ZEROS OF LINEAR COMBINATIONS OF POLYNOMIALS BY O. I. RAHMAN

The following theorem is due to J. L. Walsh (see [2, Theorem 17, 2a]):

THEOREM. If all the zeros of $f_1(z) = z^n + a_1 z^{n-1} + \cdots + a_n$ lie in or on the circle C_1 with centre c_1 and radius r_1 and if all the zeros of $f_2(z) = z^n + b_1 z^{n-1} + \cdots + b_n$ lie in or on the circle C_2 with centre c_2 and radius r_2 , then each zero of the polynomial

$$h(z) = f_1(z) - \lambda f_2(z), \quad \lambda \neq 1,$$

lies in at least one of the circles Γ_k with centre γ_k and radius ρ_k , where

$$\gamma_k = (c_1 - \omega_k c_2)/(1 - \omega_k), \quad \rho_k = (r_1 + |\omega_k| r_2)/|1 - \omega_k|$$

and where the ω_k (k=1, 2, ..., n) are the nth roots of λ .

As a very special case of this theorem we have:

COROLLARY. For j = 1, 2, let

$$f_j(z) = z^n + a_{1,j} z^{n-1} + a_{2,j} z^{n-2} + \dots + a_{n,j}$$

be a polynomial of degree n having all its zeros in $|z| \le 1$. If $|\arg \lambda_j| \le \beta < \pi/2$, j=1, 2, then the linear combination $\lambda_1 f_1(z) + \lambda_2 f_2(z)$ has all its zeros in

$$|z| \leq \frac{|\lambda_1|^{1/n} + |\lambda_2|^{1/n}}{(|\lambda_1|^{2/n} + |\lambda_2|^{2/n} - 2|\lambda_1\lambda_2|^{1/n}\cos\left[(\pi - 2\beta)/n\right])^{1/2}}$$

Hence for every choice of numbers λ_1 , λ_2 such that $|\arg \lambda_j| \le \beta < \pi/2$, j=1, 2 the polynomial $\lambda_1 f_1(z) + \lambda_2 f_2(z)$ has all its zeros in

$$|z| \le \operatorname{cosec} \frac{\pi - 2\beta}{2n}$$

The number cosec $(\pi - 2\beta)/2n$ in (1) cannot be replaced by anything smaller. In fact, if

$$f_1(z) = \left\{ z + i \exp\left(i\frac{\pi - 2\beta}{2n}\right) \right\}^n, \quad f_2(z) = \left\{ z - i \exp\left(-i\frac{\pi - 2\beta}{2n}\right) \right\}^n,$$

and $\lambda_2 = \lambda_1 e^{-2i\beta}$ then $\lambda_1 f_1(z) + \lambda_2 f_2(z)$ vanishes for $z = \operatorname{cosec} [(\pi - 2\beta)/2n]$. We prove:

THEOREM 1. For j = 1, 2, ..., m, let

$$f_j(z) = z^n + a_{1,j} z^{n-1} + a_{2,j} z^{n-2} + \dots + a_{n,j}$$
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be a polynomial of degree n having all its zeros in $|z| \le 1$. If $|\arg \lambda_j| \le \beta < \pi/2$, j=1, 2, ..., m, then the linear combination

$$\lambda_1 f_1(z) + \lambda_2 f_2(z) + \cdots + \lambda_m f_m(z)$$

has all its zeros in $|z| \leq \operatorname{cosec} [(\pi - 2\beta)/2n]$.

We also prove:

THEOREM 2. If the polynomials

$$f_1(z) = z^n + a_{1,1} z^{n-1} + a_{2,1} z^{n-2} + \dots + a_{n,1},$$

$$f_2(z) = z^n + a_{1,2} z^{n-1} + a_{2,2} z^{n-2} + \dots + a_{k,2} z^{n-k},$$

have all their zeros in $|z| \le 1$, and $|\arg \lambda_j| \le \beta < \pi/2$, j=1, 2, then the linear combination $\lambda_1 f_1(z) + \lambda_2 f_2(z)$ has all its zeros in

$$|z| \le \operatorname{cosec} \frac{\pi - 2\beta}{n+k}.$$

The number cosec $[(\pi - 2\beta)/(n+k)]$ in (2) cannot be replaced by anything smaller. In fact, if

$$f_1(z) = \left\{ z + i \exp\left(i\frac{\pi - 2\beta}{n+k}\right) \right\}^n, \quad f_2(z) = z^{n-k} \left\{ z - i \exp\left(-i\frac{\pi - 2\beta}{n+k}\right) \right\}^k,$$

and

$$\lambda_2 = \lambda_1 \left(\cos \frac{\pi - 2\beta}{n + k} \right)^{n - k} e^{-2i\beta}$$

then $\lambda_1 f_1(z) + \lambda_2 f_2(z)$ vanishes for $z = \operatorname{cosec} \left[(\pi - 2\beta)/(n+k) \right]$.

Proof of Theorem 1. For j=1, 2, ..., m, all the zeros of the polynomial

$$g_j(z) = z^n f_j(1/z) = 1 + a_{1,j} z + a_{2,j} z^2 + \dots + a_{n,j} z^n$$

lie in the circular region $|z| \ge 1$. According to a result of Dieudonné [1, p. 7] there exists a function $\phi_j(z)$ holomorphic and of modulus at most 1 in |z| < 1 such that

(3)
$$g_j(z) = \{1 - z\phi_j(z)\}^n.$$

For any given z in the disk $|z| < \sin [(\pi - 2\beta)/2n]$, the point $1 - z\phi_j(z)$ lies in the disk $|z-1| < \sin [(\pi - 2\beta)/2n]$ and hence in the sector

$$-\frac{\pi-2\beta}{2n} < \theta < \frac{\pi-2\beta}{2n}.$$

It follows that each of the functions $g_j(z)$ maps the disk $|z| < \sin [(\pi - 2\beta)/2n]$ into the sector $-(\pi - 2\beta)/2 < \theta < (\pi - 2\beta)/2$. Thus, if $|\arg \lambda_j| \le \beta$, then

Re
$$\lambda_j g_j(z) > 0, \quad j = 1, 2, ..., m$$

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for $|z| < \sin [(\pi - 2\beta)/2n]$. Hence

$$\operatorname{Re}\sum_{j=1}^{m}\lambda_{j}g_{j}(z)>0$$

if $|z| < \sin [(\pi - 2\beta)/2n]$ and $|\arg \lambda_j| \le \beta, j = 1, 2, ..., m$. This proves that

$$G(z) = \lambda_1 g_1(z) + \lambda_2 g_2(z) + \cdots + \lambda_m g_m(z)$$

does not vanish in $|z| < \sin [(\pi - 2\beta)/2n]$ and so

$$f(z) = z^n G(1/z) = \lambda_1 f_1(z) + \lambda_2 f_2(z) + \cdots + \lambda_m f_m(z)$$

has all its zeros in $|z| \le \operatorname{cosec} [(\pi - 2\beta)/2n]$.

Proof of Theorem 2. Consider $g_1(z) = z^n f_1(1/z)$ which is a polynomial of degree n and $g_2(z) = z^n f_2(1/z)$ which is a polynomial of degree k. The zeros of $g_1(z)$, $g_2(z)$ lie in $|z| \ge 1$. Hence according to Dieudonné's result mentioned above

$$g_1(z) = \{1 - z\phi_1(z)\}^n, \qquad g_2(z) = \{1 - z\phi_2(z)\}^k,$$

where the functions $\phi_1(z)$, $\phi_2(z)$ are holomorphic and of modulus at most 1 in |z| < 1. For any given z in $|z| < \sin [(\pi - 2\beta)/(n+k)]$ the point $1 - z\phi_1(z)$ lies in the disk $|z-1| < \sin [(\pi - 2\beta)/(n+k)]$ and hence in the sector

$$-\frac{\pi-2\beta}{n+k} < \theta < \frac{\pi-2\beta}{n+k}$$

Consequently, $\{1 - z\phi_1(z)\}^n$ is a point of the sector

$$-\frac{n(\pi-2\beta)}{n+k} < \theta < \frac{n(\pi-2\beta)}{n+k}$$

For the same reason $\{1-z\phi_2(z)\}^k$ is a point of the sector

$$-\frac{k(\pi-2\beta)}{n+k} < \theta < \frac{k(\pi-2\beta)}{n+k}$$

It follows that if $|\arg \lambda_j| \le \beta$, j=1, 2, then for a given z in $|z| < \sin [(\pi - 2\beta)/(n+k)]$, the two points $\lambda_1 g_1(z)$, $\lambda_2 g_2(z)$ simultaneously belong to at least one of the half planes

$$-\frac{n(\pi-2\beta)}{n+k}-\beta < \theta < \frac{k(\pi-2\beta)}{n+k}+\beta, \qquad -\frac{k(\pi-2\beta)}{n+k}-\beta < \theta < \frac{n(\pi-2\beta)}{n+k}+\beta$$

Hence if $|\arg \lambda_j| \le \beta$, j=1, 2, then $\lambda_1 g_1(z) + \lambda_2 g_2(z)$ does not vanish in $|z| < \sin [(\pi - 2\beta)/(n+k)]$, i.e. $\lambda_1 f_1(z) + \lambda_2 f_2(z)$ has all its zeros in

$$|z| \leq \operatorname{cosec} \left[(\pi - 2\beta)/(n+k) \right].$$

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References

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