

A NOTE ON TORSION FREE GROUPS GENERATED BY PAIRS OF MATRICES

BY
A. CHARNOW

Let $A = \begin{bmatrix} 1 & m \\ 0 & 1 \end{bmatrix}$ and let G_m be the group generated by A and the transpose of A . The problem of determining complex numbers m such that G_m is a free group had been studied by several authors [1, 2, 3]. In this note we characterize those rational values of m for which G_m is torsion free.

We shall need the fact that every element of G_m is of the form:

$$\begin{bmatrix} 1+m^2f_1(m) & mf_2(m) \\ mf_3(m) & 1+m^2f_4(m) \end{bmatrix},$$

where the f_i are polynomials with integral coefficients. This is easily proved by induction on the length of a word in G_m .

THEOREM. *Let m be rational. Then G_m has an element of finite order (other than the identity) if and only if m is the reciprocal of an integer.*

Proof. Suppose $m=1/n$, where n is an integer. Let B =the transpose of A and let

$$C = \begin{bmatrix} -2 & -3m \\ 1/m & 1 \end{bmatrix}.$$

Then $A^{-3}B^{n^2} = \begin{bmatrix} 1 & -3m \\ 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & 0 \\ n^2m & 1 \end{bmatrix} = C$, hence C is in G_m . We have $C^3 = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$ and hence C has order 3. Conversely, assume that G_m has some element (other than the identity) of finite order. Then clearly G_m will have an element of prime order. Hence there exists C in G_m with

$$C^p = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}, \quad C \neq \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$$

and p prime.

Then the minimal polynomial of C must divide x^p-1 and hence has no multiple roots. Thus C is diagonalizable over the complex field. Hence

$$QCQ^{-1} = \begin{bmatrix} \lambda_1 & 0 \\ 0 & \lambda_2 \end{bmatrix}$$

for some Q . Since every element of G has determinant 1, we must have $\lambda_2=1/\lambda_1$. Since

$$C^p = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix},$$

it follows that $\lambda_1^p=1$. Since C is not the identity, $\lambda_1 \neq 1$. Thus λ_1 is a primitive p th root of 1. Thus the degree of λ_1 over the rationals is $p-1$. But λ_1 is a root of a quadratic with rational coefficients, namely the characteristic polynomial of C . Hence $p-1 \leq 2$. Thus either $\lambda_1 = -1$ or λ_1 is a primitive cube root of 1. Let $m = a/b$, $a > 0$, a, b integers with $(a, b) = 1$. We have

$$C = \begin{bmatrix} 1+m^2f_1(m) & mf_2(m) \\ mf_3(m) & 1+m^2f_4(m) \end{bmatrix},$$

where the f_i are polynomials with integral coefficients.

Case 1. $\lambda_1 = -1$. Then

$$C = \begin{bmatrix} -1 & 0 \\ 0 & -1 \end{bmatrix}$$

and $1+m^2f_1(m) = -1$. Thus there exists integers a_i such that $a_i m^i + \dots + a_2 m^2 + 2 = 0$. It follows that $a \mid 2$. If $a = 2$ then $a_i 2^i + a_{i-1} 2^{i-1} b + \dots + a_2 2^2 b^{i-2} + 2b^i = 0$ and this implies $2 \mid b$, a contradiction. Thus $a = 1$ and $m = 1/b$.

Case 2. λ_1 is a primitive cube root of 1. Then $\text{trace } C = \lambda_1 + (1/\lambda_1) = -1$. Hence $2+m^2[f_1(m)+f_2(m)] = -1$. Hence there exist integers a_i with $a_i m^i + \dots + a_2 m^2 + 3 = 0$. It follows that $a \mid 3$. If $a = 3$ then $a_i 3^i + a_{i-1} 3^{i-1} b + \dots + a_2 3^2 b^{i-2} + 3b^i = 0$ and this implies $3 \mid b$, a contradiction. Thus $a = 1$, $m = 1/b$.

REFERENCES

1. J. L. Brenner, *Quelques groupes libres de matrices*, C.R. Acad. Sci. Paris **241** (1955), 1689–1691.
2. B. Chang, S. A. Jennings, and R. Ree, *On certain matrices which generate free groups*, Can. J. Math. **10** (1958), 279–284.
3. R. C. Lyndon and J. L. Ullman, *Groups generated by two parabolic linear fractional transformations*, Can. J. Math. **21** (1969), 1388–1403.

DEPARTMENT OF MATHEMATICS
CALIFORNIA STATE UNIVERSITY
HAYWARD, CALIFORNIA 94542