

ENDOMORPHISM SEMIGROUPS OF SUMS OF RINGS

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Let $R \equiv \langle R, +, \cdot \rangle$ be the cartesian sum of the rings $R_i, i=1, 2, \dots, n$ denoted by $R = \sum_{i=1}^n R_i$, and recall that R is a ring under the componentwise operations. It is well-known (e.g. [1], p. 212) that the endomorphisms of the group $\langle R, + \rangle$ form a ring $\text{Hom}_{\mathbb{Z}} R$ (under function addition and composition) and moreover $\text{Hom}_{\mathbb{Z}} R$ is isomorphic to the matrix ring $\mathcal{M} = \{[\sigma_{ij}] \mid \sigma_{ij} \in \text{Hom}_{\mathbb{Z}}(R_i, R_j)\}$ under the usual matrix operations of addition and multiplication.

Let \mathcal{S} denote the subset of \mathcal{M} consisting of those matrices $A = [\sigma_{ij}]$ which represent ring endomorphisms of R . In this paper we characterize \mathcal{S} (see Theorem 1) by finding necessary and sufficient conditions on the components σ_{ij} of A in order that $[\sigma_{ij}]$ correspond to a ring endomorphism. In other words, if $\text{End } R$ denotes the semigroup of ring endomorphisms of R , we determine a matrix representation of this semigroup.

Recall from matrix theory that any automorphism of the ring of matrices (over a field) leaving the scalars fixed is an inner automorphism. This result has been generalized to a class of simple artinian rings (see [2] and [3]). In Section 2 of this paper we apply the matrix representation of $\text{End } R$ to extend the result on simple rings to a class of semi-simple rings.

1. Characterizations. Let $\{R_\alpha\}_{\alpha=1}^n$ be a finite collection of rings. The cartesian sum R of these rings R_α will be denoted by $R = \sum_{\alpha=1}^n R_\alpha$. Clearly, if each R_α has a multiplicative identity e_α then $e = \langle e_1, e_2, \dots, e_n \rangle$ is an identity for R . We also remark here, that if a ring S has an identity, then we do *not* require that an endomorphism ϕ of S preserve this identity.

THEOREM 1. *Let $\{R_\alpha\}, \alpha=1, \dots, n$ be a collection of rings and $[\chi_{ij}]$ an $n \times n$ matrix where each $\chi_{ij}: R_i \rightarrow R_j$ satisfies the following properties:*

- (1) χ_{ij} is a ring morphism,
- (2) $\forall x_i \in R, \forall x_k \in R_k, i \neq k \Rightarrow x_i \chi_{ij} x_k \chi_{ki} = 0$ for all j .

Then $[\chi_{ij}]$ determines a ring endomorphism of $R = \sum_{\alpha=1}^n R_\alpha$. Moreover every ring endomorphism ϕ of R determines such a matrix.

Proof. Define $\chi: R \rightarrow R$ by $\langle x_1, \dots, x_n \rangle \chi = \langle x_1, \dots, x_n \rangle [\chi_{ij}]$. Since each χ_{ij} is a morphism for the addition in R_i it is easy to show that χ is a morphism for the pointwise addition in R . Also, using condition (2) and the fact that each χ_{ij} is a morphism for the multiplication in R_i one finds that $x y \chi = x \chi y \chi$ for each x, y in R .

Conversely let ϕ be a ring endomorphism of $R = \sum R_\alpha$. Let $\beta_i: R_i \rightarrow R$ be the insertion map $(x_i \rightarrow \langle 0, \dots, 0, x_i, 0, \dots, 0 \rangle)$ and $\rho_j: R \rightarrow R_j$ ($\langle x_1, \dots, x_j, \dots, x_n \rangle \rightarrow x_j$) the projection map. For all i, j , $\beta_i \phi \rho_j: R_i \rightarrow R_j$ is a ring morphism. Let $\phi_{ij} \equiv \beta_i \phi \rho_j$ and take $x_i \in R_i, x_k \in R_k, i \neq k$. Then $x_i \phi_{ij} x_k \phi_{kj} = (x_i \beta_i x_k \beta_k) \phi \rho_j = 0$. Thus the ϕ_{ij} satisfy conditions (1) and (2) and $[\phi_{ij}]$ is the desired matrix.

COROLLARY. *If each of the rings R_α in the above theorem has an identity e_α then condition (2) can be replaced by*

$$(2^*) \quad e_i \chi_{ij} e_k \chi_{kj} = 0 \quad \text{for } i \neq k \quad \text{and all } j.$$

Moreover, for the identity $e = \langle e_1, \dots, e_n \rangle$ of R and any ring endomorphism ϕ of R , $e\phi = e \Leftrightarrow \sum_{i=1}^n e_i \chi_{ij} = e_j$ for all j .

Proof. Since $x_i \chi_{ij} x_k \chi_{kj} = x_i \chi_{ij} e_i \chi_{ij} e_k \chi_{kj} x_j \chi_{kj}$, condition (2) is equivalent to condition (2*). The second statement of the corollary is immediate.

For the remainder of this paper we let \mathcal{S} denote the collection of matrices $[\chi_{ij}]$ satisfying conditions (1) and (2) of Theorem 1 and let $\text{End } R$ denote the semigroup of ring endomorphisms of R . If further, each R_i has multiplicative identity e_i , then \mathcal{S}_1 denotes the subset of \mathcal{S} of matrices satisfying $\sum_{i=1}^n e_i \chi_{ij} = e_j$ and $\text{End}_1 R$ denotes the subsemigroup of identity preserving ring endomorphisms.

THEOREM 2. *For $R = \sum_{i=1}^n R_i$, $\text{End } R \cong \mathcal{S}$ and $\text{End}_1 R \cong \mathcal{S}_1$.*

Proof. Let $\phi \in \text{End } R$. From Theorem 1, we note that the correspondence $\phi \leftrightarrow [\phi_{ij}]$ is a bijection and that \mathcal{S} and \mathcal{S}_1 are semigroups. If $\phi \rightarrow [\phi_{ij}]$ and $\theta \rightarrow [\theta_{ij}]$ then $\phi \circ \theta \rightarrow [(\phi \circ \theta)_{ij}] = [\beta_i \phi \circ \theta \rho_j] = [\beta_i \phi (\sum \rho_k \beta_k) \theta \rho_j]$ since $\sum \rho_k \beta_k = 1_R$. From this we obtain $[(\phi \circ \theta)_{ij}] = [\sum \beta_i \phi \rho_k \beta_k \theta \rho_j] = [\phi_{ij}][\theta_{ij}]$.

Thus, for any ring R which can be represented as a finite direct sum of rings, the above theorem characterizes those elements of $\text{Hom}_Z R$ which also preserve the multiplication in R .

We conclude this section with some remarks concerning the extension of the above results to an arbitrary family $\{R_\alpha\} (\alpha \in \Lambda)$ of rings. In this case the direct sum $R (\equiv \sum R_\alpha)$ is defined to be the collection of all vectors $\langle \dots, r_\alpha, \dots \rangle$ such that almost all components (all but a finite number) are zero and the ring operations are componentwise. In the analogous situation for abelian groups, Fuchs ([1], p. 212) uses row convergent matrices (a matrix $[\sigma_{\alpha\beta}] (\alpha \in \Lambda, \beta \in \Omega)$ where $\sigma_{\alpha\beta} \in \text{Hom}_Z(R_\alpha, R_\beta)$ is said to be row convergent if for each row α and each $x_\alpha \in R_\alpha, x_\alpha \sigma_{\alpha\beta} = 0$ for almost all β).

In this setting, Theorems 1 and 2 become:

THEOREM 3. *Let $R = \sum_{\alpha \in \Lambda} R_\alpha, |\Lambda| = \mu$. The endomorphism semigroup $\text{End } R$ of R is isomorphic to the semigroup of all μ by μ row convergent matrices $\mathcal{S}' = \{[\sigma_{\alpha\beta}]\}$ such that*

- (1') $\sigma_{\alpha\beta}: R_\alpha \rightarrow R_\beta$ is a ring morphism,
- (2') for $x_\alpha \in R_\alpha, x_\gamma \in R_\gamma$, if $\gamma \neq \alpha$ then $x_\alpha \sigma_{\alpha\beta} x_\gamma \sigma_{\gamma\beta} = 0$ for all β .

2. **Main result.** In this section we use the above characterizations to extend the following known result (called the Noether-Skolem Theorem by Herstein, [3], p. 99) to a class of semisimple rings:

- If R is a simple artinian ring, finite dimensional over its center $\mathcal{C}(R)$,
 (*) then every ring automorphism ϕ which fixes the elements of $\mathcal{C}(R)$ is an inner automorphism.

We first note that the hypothesis of (*) can be (apparently) weakened to allow ϕ to be an endomorphism. For, any endomorphism ϕ of R satisfying the stated conditions is an injective linear transformation of the finite dimensional vector space R (over $\mathcal{C}(R)$) and hence is a surjective map.

Let R be any semisimple artinian ring. From Wedderburn Theory, $R = \sum_{\alpha=1}^n R_{\alpha}$ where R_{α} is a simple ring with identity e_{α} (and thus $e = \langle e_1, \dots, e_n \rangle$ is an identity for R).

LEMMA. *Let R be a semisimple artinian ring. If R is a finitely generated module over its center $\mathcal{C}(R)$ then R_{α} is a finite dimensional vector space over its center $\mathcal{C}(R_{\alpha})$, for all α .*

Proof. If $G = \{g_1, g_2, \dots, g_h\}$ is a generating set for R over $\mathcal{C}(R)$, then for $r \in R$, $r = \sum_{j=1}^h c_j^j g_j$ where $c_j \in \mathcal{C}(R)$. Clearly $\mathcal{C}(R) = \sum \mathcal{C}(R_{\alpha})$ and from the decompositions $r = \langle r_1, \dots, r_{\alpha}, \dots, r_n \rangle$, $g_j = \langle g_1^j, \dots, g_{\alpha}^j, \dots, g_n^j \rangle$ and $c_j = \langle c_1^j, \dots, c_{\alpha}^j, \dots, c_n^j \rangle$, $c_{\alpha}^j \in \mathcal{C}(R_{\alpha})$ one obtains

$$r_{\alpha} = \sum_{j=1}^h c_{\alpha}^j g_{\alpha}^j \text{ where } c_{\alpha}^j \in \mathcal{C}(R_{\alpha}) \text{ and } g_{\alpha}^j \in R_{\alpha}.$$

THEOREM 4. *Let R be a semisimple artinian ring, finitely generated as a module over $\mathcal{C}(R)$. Every endomorphism ϕ of R which fixes the elements of $\mathcal{C}(R)$ is an inner automorphism of R .*

Proof. Since ϕ fixes elements of $\mathcal{C}(R)$, $e\phi = e$ which implies that ϕ determines a matrix $[\phi_{ij}]$ of \mathcal{S}_1 . Since $\langle 0, \dots, 0, e_i, 0, \dots, 0 \rangle \in \mathcal{C}(R)$,

$$\langle 0, \dots, 0, e_i, 0, \dots, 0 \rangle [\phi_{ij}] = \langle 0, \dots, 0, e_i, 0, \dots, 0 \rangle$$

which implies that $e_i \phi_{ij} = e_i$ if $i=j$ and $e_i \phi_{ij} = 0$ for $i \neq j$. Then, for any $x_i \in R_i$ and $i \neq j$, $x_i \phi_{ij} = x_i \phi_{ij} e_i \phi_{ij} = 0$. Consequently $[\phi_{ij}]$ is diagonal,

$$[\phi_{ij}] = \begin{bmatrix} \phi_{11} & 0 & \cdots & 0 \\ 0 & \phi_{22} & 0 & \cdots & 0 \\ \vdots & & & & \\ 0 & \cdots & 0 & & \phi_{nn} \end{bmatrix}$$

where ϕ_{ii} is a ring endomorphism of the simple ring R_i , fixing the elements of $\mathcal{C}(R_i)$. From the above lemma and the Noether-Skolem Theorem, there exists

$b_i \in R_i$ such that $x_i \phi_{ii} = b_i^{-1} x_i b_i$. Hence for $x \in R$,

$x = \langle x_1, \dots, x_n \rangle$, $x\phi = \langle x_1, \dots, x_n \rangle [\phi_{ij}] = \langle b_1^{-1} x_1 b_1, \dots, b_n^{-1} x_n b_n \rangle = b^{-1} x b$
 where $b = \langle b_1, \dots, b_n \rangle$. Since each ϕ_{ii} is an automorphism, so is ϕ .

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