

## ASYMPTOTIC BEHAVIOUR OF IDEALS RELATIVE TO INJECTIVE MODULES OVER COMMUTATIVE NOETHERIAN RINGS II

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Let  $E$  be an injective module over a commutative Noetherian ring  $A$  (with non-zero identity), and let  $\mathfrak{a}$  be an ideal of  $A$ . The submodule  $(0 :_E \mathfrak{a})$  of  $E$  has a secondary representation, and so we can form the finite set  $\text{Att}_A(0 :_E \mathfrak{a})$  of its attached prime ideals. In [1, 3.1], we showed that the sequence of sets  $(\text{Att}_A(0 :_E \mathfrak{a}^n))_{n \in \mathbb{N}}$  is ultimately constant; in [2], we introduced the integral closure  $\mathfrak{a}^{*(E)}$  of  $\mathfrak{a}$  relative to  $E$ , and showed that  $(\text{Att}_A(0 :_E (\mathfrak{a}^n)^{*(E)}))_{n \in \mathbb{N}}$  is increasing and ultimately constant. In this paper, we prove that, if  $\mathfrak{a}$  contains an element  $r$  such that  $rE = E$ , then  $(\text{Att}_A((0 :_E \mathfrak{a}^n)/(0 :_E (\mathfrak{a}^n)^{*(E)})))_{n \in \mathbb{N}}$  is ultimately constant, and we obtain information about its ultimate constant value.

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### 1. Introduction

Throughout this paper,  $A$  will denote a commutative Noetherian ring with non-zero identity, and  $E$  will denote an injective  $A$ -module.

In [1, 2.2], we showed that, for each ideal  $\mathfrak{a}$  of  $A$ , the submodule  $(0 :_E \mathfrak{a})$  of  $E$  has a secondary representation, and so we can form the finite set  $\text{Att}_A(0 :_E \mathfrak{a})$  of its attached prime ideals. (Accounts of the relevant theory of secondary representation of modules and attached prime ideals are available in [5], [4] and [8], and we shall use the terminology of [12] and [5] for these topics.) One of the main results of [1] is Theorem 3.1, which shows that the sequence of sets

$$(\text{Att}_A(0 :_E \mathfrak{a}^n))_{n \in \mathbb{N}}$$

is ultimately constant: we denote its ultimate constant value by  $\text{At}^*(\mathfrak{a}, E)$ . This result can be viewed as a companion to [13, (3.1)(iii)], which shows that, for an ideal  $I$  in a commutative ring  $R$  (with identity) and an Artinian  $R$ -module  $N$ , the sequence of sets

$$(\text{Att}_R(0 :_N I^n))_{n \in \mathbb{N}}$$

is ultimately constant; and this result can, in turn, be viewed as dual to Brodmann's result [3] that, for a Noetherian  $A$ -module  $M$ , the sequence of sets

$$(\text{Ass}_A(M/\mathfrak{a}^n M))_{n \in \mathbb{N}}$$

is ultimately constant. In fact, our proof of Theorem 3.1 in [1] depended heavily on Brodmann's work.

In [2], we introduced concepts of reduction and integral closure of  $\mathfrak{a}$  relative to the injective  $A$ -module  $E$ , and we showed that these concepts have properties which reflect some of those of the classical concepts of reduction and integral closure introduced by Northcott and Rees in [9].

We say that the ideal  $\mathfrak{a}$  of  $A$  is a *reduction* of the ideal  $\mathfrak{b}$  of  $A$  relative to  $E$  if  $\mathfrak{a} \subseteq \mathfrak{b}$  and there exists  $s \in \mathbb{N}$  (we use  $\mathbb{N}$  (respectively  $\mathbb{N}_0$ ) to denote the set of positive (respectively non-negative) integers) such that  $(0 :_E \mathfrak{a} \mathfrak{b}^s) = (0 :_E \mathfrak{b}^{s+1})$ . An element  $x$  of  $A$  is said to be *integrally dependent on  $\mathfrak{a}$  relative to  $E$*  if there exists  $n \in \mathbb{N}$  such that

$$\left(0 :_E \sum_{i=1}^n x^{n-i} \mathfrak{a}^i\right) \subseteq (0 :_E x^n).$$

In fact, this is the case if and only if  $\mathfrak{a}$  is a reduction of  $\mathfrak{a} + Ax$  relative to  $E$  [2, 2.2]; moreover,

$$\mathfrak{a}^{*(E)} := \{y \in A : y \text{ is integrally dependent on } \mathfrak{a} \text{ relative to } E\}$$

is an ideal of  $A$ , called the *integral closure of  $\mathfrak{a}$  relative to  $E$* , and is the largest ideal of  $A$  which has  $\mathfrak{a}$  as a reduction relative to  $E$ . The main result of [2] is Theorem 3.2, which shows that the sequence of sets

$$(\text{Att}_A(0 :_E (\mathfrak{a}^n)^{*(E)}))_{n \in \mathbb{N}}$$

is increasing and ultimately constant; we denote its ultimate constant value by  $\overline{\text{At}}^*(\mathfrak{a}, E)$ . Our proof of this result used, among other things, the result of L. J. Ratliff [10, (2.4) and (2.7)] that the sequence of sets  $(\text{ass}(\mathfrak{a}^n)^- )_{n \in \mathbb{N}}$  is increasing and ultimately constant, where  $(\mathfrak{a}^n)^-$  denotes the classical integral closure of the ideal  $\mathfrak{a}^n$ . (For a proper ideal  $\mathfrak{c}$  of  $A$ , we use  $\text{ass } \mathfrak{c}$  to denote the set of associated prime ideals of  $\mathfrak{c}$  for primary decomposition. We interpret  $\text{ass } A$  as  $\emptyset$ .)

The above-mentioned results of Brodmann and Ratliff have led to a large body of research: see, for example, McAdam's book [7]. Indeed, that research provides ideas for possible directions in which the theory of asymptotic behaviour of ideals relative to injective  $A$ -modules might be pursued. For example, [7, 11.16] shows that, if the ideal  $\mathfrak{a}$  of  $A$  contains a non-zero-divisor on  $A$ , then the sequence of sets

$$(\text{Ass}_A((\mathfrak{a}^n)^- / \mathfrak{a}^n))_{n \in \mathbb{N}}$$

is ultimately constant; moreover, if we denote the ultimate constant value of the above sequence by  $\text{Cs}^*(\mathfrak{a}, A)$ , and the ultimate constant values of the sequences

$$(\text{ass } \mathfrak{a}^n)_{n \in \mathbb{N}} \quad \text{and} \quad (\text{ass}(\mathfrak{a}^n)^- )_{n \in \mathbb{N}}$$

by  $As^*(\mathfrak{a}, A)$  and  $\overline{As^*(\mathfrak{a}, A)}$  respectively, then [7, 11.19] shows that (still assuming  $\mathfrak{a}$  contains a non-zero-divisor),  $As^*(\mathfrak{a}, A) = \overline{As^*(\mathfrak{a}, A)} \cup Cs^*(\mathfrak{a}, A)$ . These results raise questions about asymptotic behaviour relative to  $E$ : under what conditions on  $\mathfrak{a}$  and  $E$  can we show that the sequence of sets

$$(Att_A((0 :_E \mathfrak{a}^n) / (0 :_E (\mathfrak{a}^n)^*(E))))_{n \in \mathbb{N}}$$

is ultimately constant, and, when this is the case and  $Ct^*(\mathfrak{a}, E)$  denotes the ultimate constant value of the sequence, are we also able to show that

$$At^*(\mathfrak{a}, E) = \overline{At^*(\mathfrak{a}, E)} \cup Ct^*(\mathfrak{a}, E)?$$

These questions are the concern of this paper. It is an easy consequence of our methods and results in [1] and [2] that the sequence is stable and the second question has an affirmative answer when  $\mathfrak{a}$  contains a non-zero-divisor (and  $E$  is an arbitrary injective  $A$ -module). However, it is more interesting and perhaps more appropriate to consider the case where it is assumed only that there exists  $r \in \mathfrak{a}$  such that  $rE = E$ : this is automatically the case when  $\mathfrak{a}$  contains a non-zero-divisor on  $A$ , but can also occur when  $\mathfrak{a}$  consists entirely of zero-divisors on  $A$ . The purpose of this paper is to prove similar results for this more general situation.

**2. Notation and previous results**

Throughout the paper,  $\mathfrak{a}$  will denote an ideal of the commutative Noetherian ring  $A$ , and  $E$  will denote an injective  $A$ -module.

**Notation 2.1.** (i) We shall use the notation  $Occ(E)$  of [12, Section 2] in connection with our injective  $A$ -module  $E$ : this is explained as follows. By well-known work of Matlis and Gabriel, there is a family  $(\mathfrak{p}_\alpha)_{\alpha \in \Lambda}$  of prime ideals of  $A$  for which  $E \cong \bigoplus_{\alpha \in \Lambda} E(A/\mathfrak{p}_\alpha)$  (we use  $E(L)$  to denote the injective envelope of an  $A$ -module  $L$ ), and the set  $\{\mathfrak{p}_\alpha : \alpha \in \Lambda\}$  is uniquely determined by  $E$ : we denote it by  $Occ(E)$  (or  $Occ_A(E)$ ).

(ii) We shall use  $As^*(\mathfrak{a}, A)$ ,  $\overline{As^*(\mathfrak{a}, A)}$ ,  $At^*(\mathfrak{a}, E)$  and  $\overline{At^*(\mathfrak{a}, E)}$  to denote the ultimate constant values of the sequences of sets

$$(ass \mathfrak{a}^n)_{n \in \mathbb{N}}, (ass(\mathfrak{a}^n)^-)_{n \in \mathbb{N}}, (Att_A(0 :_E \mathfrak{a}^n))_{n \in \mathbb{N}} \text{ and } (Att_A(0 :_E (\mathfrak{a}^n)^*(E)))_{n \in \mathbb{N}}$$

respectively: references for the results which show that these sequences are all ultimately constant were given in the Introduction. In the case in which  $\mathfrak{a}$  contains a non-zero-divisor, we shall use  $Cs^*(\mathfrak{a}, A)$  to denote the eventual constant value of  $(Ass_A((\mathfrak{a}^n)^- / \mathfrak{a}^n))_{n \in \mathbb{N}}$ : see [7, 11.16].

(iii) We shall also use the notation  $\mathfrak{a}(\mathcal{P})$  of [2, 1.1] for a subset  $\mathcal{P}$  of  $Spec(A)$ : this denotes  $(\mathfrak{a}$  if  $\mathfrak{a} = A$  and), if  $\mathfrak{a}$  is proper, the intersection of those primary terms in a

minimal primary decomposition of  $\mathfrak{a}$  which are contained in at least one member of  $\mathcal{P}$ . Note, in particular, that this assigns a meaning to  $\mathfrak{a}(\text{Occ}(E))$ .

We shall need the following results from [1] and [2].

**Theorem 2.2** [1, 2.1]. *Let  $M$  be a finitely generated  $A$ -module. Then the  $A$ -module  $\text{Hom}_A(M, E)$  has a secondary representation, and, furthermore,*

$$\text{Att}_A(\text{Hom}_A(M, E)) = \{ \mathfrak{p}' \in \text{Ass}_A(M) : \mathfrak{p}' \subseteq \mathfrak{p} \text{ for some } \mathfrak{p} \in \text{Occ}(E) \}.$$

**Theorem 2.3** [1, 3.1].

$$\text{At}^*(\mathfrak{a}, E) = \{ \mathfrak{p}' \in \text{As}^*(\mathfrak{a}, A) : \mathfrak{p}' \subseteq \mathfrak{p} \text{ for some } \mathfrak{p} \in \text{Occ}(E) \}.$$

**Theorem 2.4** [2, 3.2].

$$\overline{\text{At}}^*(\mathfrak{a}, E) = \{ \mathfrak{p}' \in \overline{\text{As}}^*(\mathfrak{a}, A) : \mathfrak{p}' \subseteq \mathfrak{p} \text{ for some } \mathfrak{p} \in \text{Occ}(E) \}.$$

**Proof.** This is immediate from the proof of [2, 3.2] and the results of Ratliff [10, (2.4) and (2.7)] cited in the Introduction.

### 3. Consequences of results of McAdam

**Remark 3.1.** Let  $\mathfrak{b}$  be a second ideal of  $A$  for which  $\mathfrak{a} \subseteq \mathfrak{b}$ . Then it follows easily from application of the exact functor  $\text{Hom}_A(\_, E)$  to the canonical exact sequence

$$0 \rightarrow \mathfrak{b}/\mathfrak{a} \rightarrow A/\mathfrak{a} \rightarrow A/\mathfrak{b} \rightarrow 0$$

that

$$(0 :_E \mathfrak{a}) / (0 :_E \mathfrak{b}) \cong \text{Hom}_A(\mathfrak{b}/\mathfrak{a}, E).$$

**Theorem 3.2.** *Suppose that  $\mathfrak{a}$  contains a non-zerodivisor on  $A$ .*

(i) *The sequence of sets*

$$(\text{Att}_A((0 :_E \mathfrak{a}^n) / (0 :_E (\mathfrak{a}^n)^*(E))))_{n \in \mathbb{N}}$$

*is ultimately constant. We denote its ultimate constant value by  $\text{Ct}^*(\mathfrak{a}, E)$ .*

(ii) *We have*

$$\text{Ct}^*(\mathfrak{a}, E) = \{ \mathfrak{p}' \in \text{Cs}^*(\mathfrak{a}, A) : \mathfrak{p}' \subseteq \mathfrak{p} \text{ for some } \mathfrak{p} \in \text{Occ}(E) \}.$$

(iii) *Consequently,  $\text{At}^*(\mathfrak{a}, E) = \overline{\text{At}}^*(\mathfrak{a}, E) \cup \text{Ct}^*(\mathfrak{a}, E)$ .*

**Proof.** First note that, by [2, 2.6], we have  $(\alpha^n)^{*(E)} = (\alpha^n)^- (\text{Occ}(E))$ , and so, by [2, 2.5(iii)],

$$(0 :_E (\alpha^n)^{*(E)}) = (0 :_E (\alpha^n)^-)$$

for each  $n \in \mathbb{N}$ . Hence, by 3.1, for each  $n \in \mathbb{N}$ ,

$$(0 :_E \alpha^n) / (0 :_E (\alpha^n)^{*(E)}) \cong \text{Hom}_A((\alpha^n)^- / \alpha^n, E),$$

and it follows from 2.2 that

$$\text{Att}_A(\text{Hom}_A((\alpha^n)^- / \alpha^n, E)) = \{p' \in \text{Ass}_A((\alpha^n)^- / \alpha^n) : p' \subseteq p \text{ for some } p \in \text{Occ}(E)\}.$$

It is now easy to use [7, 11.16] to prove (i) and (ii).

(iii) By 2.4 and (ii) above,

$$\overline{\text{At}}^*(\alpha, E) \cup \text{Ct}^*(\alpha, E) = \{p' \in \overline{\text{As}}^*(\alpha, A) \cup \text{Cs}^*(\alpha, A) : p' \subseteq p \text{ for some } p \in \text{Occ}(E)\}.$$

But  $\overline{\text{As}}^*(\alpha, A) \cup \text{Cs}^*(\alpha, A) = \text{As}^*(\alpha, A)$ , by [7, 11.19], and so, in view of 2.3 above,

$$\begin{aligned} \overline{\text{At}}^*(\alpha, E) \cup \text{Ct}^*(\alpha, E) &= \{p' \in \text{As}^*(\alpha, A) : p' \subseteq p \text{ for some } p \in \text{Occ}(E)\} \\ &= \text{At}^*(\alpha, E). \end{aligned}$$

This completes the proof.

Theorem 3.2 above was proved under the hypothesis that the ideal  $\alpha$  contains a non-zero-divisor on  $A$ . However, in the context of secondary representation, it is, in our view, more appropriate to work under the weaker condition that  $\alpha$  contains an element  $r$  for which  $rE = E$ . (It should be noted that if  $\alpha$  contains a non-zero-divisor  $r'$  on  $A$ , then by [14, Proposition 2.6],  $r'E = E$ .) Thus we would like to obtain the results of 3.2(i) and (iii) under the weaker hypothesis that  $\alpha$  contains an element  $r$  such that  $rE = E$ . We shall achieve this in Section 4 below.

#### 4. The result

**Theorem 4.1.** *Suppose that  $\alpha$  contains an element  $r$  such that  $rE = E$ .*

(i) *The sequence of sets*

$$(\text{Att}_A((0 :_E \alpha^n) / (0 :_E (\alpha^n)^{*(E)})))_{n \in \mathbb{N}}$$

is ultimately constant. We denote its ultimate constant value by  $Ct^*(a, E)$ .

(ii) We have  $At^*(a, E) = \overline{At^*(a, E)} \cup Ct^*(a, E)$ .

**Proof.** First reason as in the proof of 3.2, using [2, 2.6], [2, 2.5(iii)], 3.1 and 2.2, to see that, for each  $n \in \mathbb{N}$ ,

$$Att_A((0 :_E a^n)/(0 :_E (a^n)^{*(E)})) = \{p' \in Ass_A((a^n)^{-}/a^n) : p' \subseteq p \text{ for some } p \in Occ(E)\}.$$

Note that  $Ass_A((a^n)^{-}/a^n) \subseteq Ass_A(A/a^n)$ , and recall from 2.3 and 2.4 that

$$At^*(a, E) = \{p' \in As^*(a, A) : p' \subseteq p \text{ for some } p \in Occ(E)\}$$

and

$$\overline{At^*(a, E)} = \{p' \in \overline{As^*(a, A)} : p' \subseteq p \text{ for some } p \in Occ(E)\}.$$

Let  $At^*(a, E) = \{q_1, \dots, q_t\}$ , and, for each  $i = 1, \dots, t$ , choose  $p_i \in Occ(E)$  such that  $q_i \subseteq p_i$ . Set

$$E' = \bigoplus_{i=1}^t E(A/p_i),$$

and note that, since, for each  $i = 1, \dots, t$ ,  $E$  has a direct summand isomorphic to  $E(A/p_i)$ , it follows that  $rE' = E'$ .

Suppose that  $h \in \mathbb{N}$  is such that  $ass(a^n) = As^*(a, A)$  for all  $n \geq h$ . It follows from the equations displayed in the first paragraph of this proof (and the fact that  $As^*(a, A) \subseteq \overline{As^*(a, A)}$ ) that  $At^*(a, E) = At^*(a, E')$ ,  $\overline{At^*(a, E)} = \overline{At^*(a, E')}$  and

$$Att_A((0 :_E a^n)/(0 :_E (a^n)^{*(E)})) = Att_A((0 :_{E'} a^n)/(0 :_{E'} (a^n)^{*(E')})) \quad \forall n \geq h.$$

It is therefore enough for us to prove the results under the additional assumption that  $E = \bigoplus_{i=1}^t E(A/p_i)$ . We shall make this assumption for the remainder of the proof. Note that  $Occ(E) = \{p_1, \dots, p_t\}$  is a finite set. Clearly, we can assume that  $t \geq 1$ .

By [12, 2.6] (and prime avoidance),  $Att E = \{p' \in Ass A : p' \subseteq \bigcup_{i=1}^t p_i\}$ , and so it follows that, if we use  $S$  to denote the multiplicatively closed subset  $A \setminus \bigcup_{i=1}^t p_i$ , then  $r/1 \in S^{-1}A$  is a non-zero-divisor in this ring of fractions. It therefore follows from [7, 11.16 and 11.19] that the sequence of sets

$$(Ass_{S^{-1}A}(S^{-1}((a^n)^{-}/a^n)))_{n \in \mathbb{N}}$$

is ultimately constant, and that, if we denote its ultimate constant value by  $Cs^*(S^{-1}a, S^{-1}A)$ , then

$$As^*(S^{-1}a, S^{-1}A) = \overline{As^*(S^{-1}a, S^{-1}A)} \cup Cs^*(S^{-1}a, S^{-1}A).$$

Thus the sequence of sets

$$\left( \left\{ p' \in \text{Ass}_A((a^n)^{-}/a^n) : p' \subseteq \bigcup_{i=1}^t p_i \right\} \right)_{n \in \mathbb{N}}$$

is ultimately constant, that is (in view of the first four lines of this proof)

$$(\text{Att}_A((0 :_E a^n)/(0 :_E (a^n)^{*}(E))))_{n \in \mathbb{N}}$$

is ultimately constant; also, if we denote its ultimate constant value by  $\text{Ct}^*(a, E)$ , then the preceding paragraph shows that

$$\left\{ p' \in \text{As}^*(a, A) : p' \subseteq \bigcup_{i=1}^t p_i \right\} = \left\{ p' \in \overline{\text{As}}^*(a, A) : p' \subseteq \bigcup_{i=1}^t p_i \right\} \cup \text{Ct}^*(a, E).$$

The result now follows from a further recourse to the first paragraph of this proof.

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