of soft scanning force microscope cantilevers. These cantilevers have oscillations perpendicular to the surface of the order of $10^{-10}$ m, while a particle in an optical trap can fluctuate as much as several hundred nanometers in any direction. In this manner, the particle is able to scan over an area becoming a “natural 3-D mechanism”.

Scientists from the European Molecular Biology Laboratory in Germany obtained 3-D images of an agar gel by means of this technique, as they describe in the November 26, 2001, issue of *Applied Physics Letters*. An agar gel is a transparent substrate used for biological cultures and chromatography. In this case, liquid agar was mixed with latex beads to a nominal diameter of 216 ± 8 nm. The beads were added at a concentration of 1/20 µm³. A photonic force microscope, which is a scanning force microscope based on optical tweezers, was used to conduct the experiments. It included a 1064-nm laser beam and a three-dimensional detector. This detector consists of a quadrant photodiode where the scattered laser light from the particle produces an interference pattern. The position of the laser light from the particle affects the resolution which, in this volume and one at the edge. The size of the particles allowed it to scan a volume of 300 × 120 × 120 nm³. It was also possible for the particle to scan areas around an object.

When the optical trap was moved along the agar network in 80-nm steps, the variations of the agar network were detected: two of them located inside the agar network, and one at the edge. The size of the agar network was measured with nanometer resolution. A position histogram was calculated after recording the position of a particle for 0.8 s. The thermally induced particle fluctuations allowed it to scan a volume of 300 × 120 × 120 nm³. It was also possible for the particle to scan areas around an object.

Diamond has the widest optical transparency window and highest thermal conductivity of all known substances. Consequently, it is a very versatile material for use in optics. Diffractive optical elements (DOEs) are of interest for many applications, including the shaping of CO₂ lasers and Nd:YAG lasers. As reported in the November 15, 2001, issue of *Optics Letters*, M. Karlsson, K. Hjort, and F. Nikolajeff fabricated continuous-relief blazed gratings and diffractive Fresnel lenses in diamond of optical quality at the Ångstrom Laboratory in Sweden. The DOE pattern was first written in an electron-sensitive resist by direct-write electron-beam lithography, then transferred from the resist into the diamond by dry-etching in an inductively coupled plasma. The researchers used electronic-grade O₂ and Ar gases for etching of the diamond substrate, and the surfaces were polished to a root-mean-square (rms) roughness of <15 nm.

The transfer of the DOEs from the electron-beam resist into diamond was achieved in an inductively coupled plasma (ICP) etching system. ICP etching is a high-density system with plasma-etch rates of 1900 nm/min in resist and 190 nm/min in diamond. The researchers reported that to their knowledge, this is the first time that dry etching has been used to transfer continuous structures into diamond. Both blazed gratings and diffractive Fresnel-type lenses were created. The transferred blazed grating was carefully inspected with both an optical microscope and with atomic-force microscopy (AFM) to measure the height of the structure, the surface morphology, and the accuracy of the transfer process. The blazed grating was found to have a period of 45 µm and a grating depth of 3 µm. By using AFM data from the original structure, researchers simulated a diffraction efficiency of 71.5% for the e-beam-exposed structure with a theoretical value of 100%. After etching, the final diamond structure had a diffraction efficiency in the first order of 68.2%, measured with a solid-state laser.

The group now intends to proceed with a more fundamental study of transferring micro-optical elements into diamond by using ICP etching. They also plan to fabricate diffractive and reflective elements for use with Nd:YAG high-power lasers. In this study, the researchers were unable to design optical elements for wavelengths larger than ~700 nm. However, they believe that replicating DOEs in photore sist might lead to optical elements for the infrared region, facilitating applications for 10.6-µm CO₂ lasers.

**Reduction in Roughness of Waveguides Leads to Ultralow Transmission Loss**

By reducing the sidewall roughness of Si/SiO₂ waveguides, researchers at the Massachusetts Institute of Technology and the University of Wisconsin—Madison have achieved ultralow transmission losses of 0.8 dB/cm by using oxidation smoothing and anisotropic etching techniques. They believe this to be the smallest loss for a high-index-difference system reported to date.

Scattering losses in waveguides—as calculated by the commonly used method of Marcuse, Payne, and Lee—are proportional to the root-mean-square (rms) surface roughness. Typical rms roughness values for waveguides fabricated by conventional photolithography and reactive ion etching (RIE) techniques are about 10 nm, which yield scattering losses of about 32 dB/cm. By following the photolithography and RIE steps with wet oxidation smoothing or anisotropic etching, the researchers were able to reduce the sidewall roughness to 2 nm. As reported in the December 1, 2001, issue of *Optics Letters*, K. Lee, D. Lim, and L. Kimerling at MIT, and J. Shin and F. Cerrina at Wisconsin first fabricated waveguides using standard methods. Starting with wafers consisting of a 0.2-µm-thick layer of Si on 1-µm-thick SiO₂, they patterned the surface using UV photolithography, and etched it with SF₆ gas. This produced straight waveguides with widths in the range of 0.5 µm–8 µm. For the oxidation-smoothing sample, the Si layer of the starting wafer was 0.34 µm thick. After photolithography and RIE, the waveguide core was subjected to wet oxidation by H₂O and O₂ at 100°C for 43 min followed by an HF dip to remove the oxide.

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The transfer of the DOEs from the electron-beam resist into diamond was achieved in an inductively coupled plasma (ICP) etching system. ICP etching is a high-density system with plasma-etch rates of 1900 nm/min in resist and 190 nm/min in diamond. The researchers reported that to their knowledge, this is the first time that dry etching has been used to transfer continuous structures into diamond. Both blazed gratings and diffractive Fresnel-type lenses were created. The transferred blazed grating was carefully inspected with both an optical microscope and with atomic-force microscopy (AFM) to measure the height of the structure, the surface morphology, and the accuracy of the transfer process. The blazed grating was found to have a period of 45 µm and a grating depth of 3 µm. By using AFM data from the original structure, researchers simulated a diffraction efficiency of 71.5% for the e-beam-exposed structure with a theoretical value of 100%. After etching, the final diamond structure had a diffraction efficiency in the first order of 68.2%, measured with a solid-state laser.

The anisotropic-etching sample fabrication was more complicated. To reduce the sidewall roughness to 2 nm. As reported in the December 1, 2001, issue of *Optics Letters*, M. Karlsson, K. Hjort, and F. Nikolajeff fabricated continuous-relief blazed gratings and diffractive Fresnel lenses in diamond of optical quality at the Ångstrom Laboratory in Sweden. The DOE pattern was first written in an electron-sensitive resist by direct-write electron-beam lithography, then transferred from the resist into the diamond by dry-etching in an inductively coupled plasma. The researchers used electronic-grade O₂ and Ar gases for etching of the diamond substrate, and the surfaces were polished to a root-mean-square (rms) roughness of <15 nm.

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The anisotropic-etching sample fabrication was more complicated. Again starting with a 0.34-µm-thick Si layer on a 1-µm-thick SiO₂ wafer, they oxidized the Si top layer to produce a 0.3-µm-thick SiO₂ layer on a 0.2-µm-thick Si core, leaving the 1-µm-thick Si base layer intact. The top SiO₂ layer was subject to photolithography and RIE to make a hard mask for the core of the waveguide, then the sample was immersed in a 25-wt% aqueous solution of NH₄OH at 80°C for 1 min to etch away the Si from the non-waveguide area. The oxide hard mask was removed, leaving a Si waveguide core.

Atomic force microscopy revealed rms surface roughness values of 2 nm for both the oxidation-smoothed and the anisotropically etched samples, versus 10 nm for the conventionally fabricated waveguide. Transmission-loss measurements versus waveguide width were performed using cutback, IR capture of scattered light, and the Fabry–Perot interferometer. The reduced transmission loss due to smoother surfaces in the oxidation-