# IMAGES OF HIGHER-ORDER DIFFERENTIAL OPERATORS OF POLYNOMIAL ALGEBRAS 

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(Received 3 February 2017; accepted 22 March 2017; first published online 9 June 2017)


#### Abstract

We investigate images of higher-order differential operators of polynomial algebras over a field $k$. We show that, when char $k>0$, the image of the set of differential operators $\left\{\xi_{i}-\tau_{i} \mid i=1,2, \ldots, n\right\}$ of the polynomial algebra $k\left[\xi_{1}, \ldots, \xi_{n}, z_{1}, \ldots, z_{n}\right]$ is a Mathieu subspace, where $\tau_{i} \in k\left[\partial_{z_{1}}, \ldots, \partial_{z_{n}}\right]$ for $i=1,2, \ldots, n$. We also show that, when char $k=0$, the same conclusion holds for $n=1$. The problem concerning images of differential operators arose from the study of the Jacobian conjecture.


2010 Mathematics subject classification: primary 13N10; secondary 14R15.
Keywords and phrases: image conjecture, differential operators, Mathieu subspaces, Jacobian conjecture.

## 1. Introduction

Throughout the paper, $k$ denotes a field. The Jacobian conjecture, a long-standing open problem in affine algebraic geometry, asserts that, when char $k=0$, a polynomial map $F: k^{n} \rightarrow k^{n}$ is invertible if its Jacobian determinant is a nonzero constant (see [2, 3]).

The study of images of differential operators of polynomial algebras is closely related to the Jacobian conjecture. On the one hand, van den Essen et al. [5] showed that the two-dimensional Jacobian conjecture is equivalent to saying that the image, $\operatorname{Im} \delta$, of every $k$-derivation $\delta$ of the polynomial algebra $k[x, y]$ with $1 \in \operatorname{Im} \delta$ and divergence zero, is equal to $k[x, y]$. On the other hand, Zhao [9] showed that if the following image conjecture $\operatorname{IC}(n)$ (or its special case $\operatorname{SIC}(n)$ ) holds for all $n \geq 1$, then the Jacobian conjecture has an affirmative answer for all $n \geq 1$.

Image conjecture $(\operatorname{IC}(n))$. Let $A[z]=A\left[z_{1}, \ldots, z_{n}\right]$ be the polynomial algebra in $n$ variables over a commutative $k$-algebra $A$. Let $a_{1}, a_{2}, \ldots, a_{n} \in A$ be a regular sequence of $A$ and let $D$ be the set of differential operators

$$
a_{1}-\partial_{z_{1}}, a_{2}-\partial_{z_{2}}, \ldots, a_{n}-\partial_{z_{n}} .
$$

Then $\operatorname{Im} D:=\sum_{i=1}^{n}\left(a_{i}-\partial_{z_{i}}\right) A[z]$ is a Mathieu subspace of $A[z]$.

[^0]Recall that $a_{1}, a_{2}, \ldots, a_{n} \in A$ is a regular sequence if the ideal $\left(a_{1}, a_{2}, \ldots, a_{n}\right)$ is not equal to $A$ and each $a_{i}$ is a nonzero divisor of $A /\left(a_{1}, a_{2}, \ldots, a_{i-1}\right)$. A subspace $M$ of a commutative $k$-algebra $B$ is a Mathieu subspace if the following property holds. If $f \in B$ is such that $f^{m} \in M$ for all $m \geq 1$, then, for every $g \in B$, there exists a positive integer $m_{g}$ such that $g f^{m} \in M$ for all $m \geq m_{g}$. Mathieu subspaces are a natural generalisation of ideals and named after a conjecture of Mathieu in [8]. They were first proposed by Zhao in [10] and further studied in [7, 11].
Remark 1.1. The image conjecture here is taken from [4, 6]. The original version in [9] is a little more general. It asserts that when char $k=0$, the same conclusion holds for $n$ commuting differential operators of order one with constant leading coefficients, that is, differential operators of the form $\partial_{z_{i}}(q)-\partial_{z_{i}}, i=1,2, \ldots, n$, where $q \in A[z]$.

Let $\xi=\left(\xi_{1}, \ldots, \xi_{n}\right)$ be $n$ new variables. Taking $A=k[\xi]=k\left[\xi_{1}, \ldots, \xi_{n}\right]$ and $a_{i}=\xi_{i}$ in $\operatorname{IC}(n)$ gives the so-called special image conjecture.

Special image conjecture ( $\operatorname{SIC}(n)$ ). Let $k[\xi, z]=k\left[\xi_{1}, \ldots, \xi_{n}, z_{1}, \ldots, z_{n}\right]$ be the polynomial algebra in $2 n$ variables over $k$ and let

$$
D=\left\{\xi_{1}-\partial_{z_{1}}, \xi_{2}-\partial_{z_{2}}, \ldots, \xi_{n}-\partial_{z_{n}}\right\} .
$$

Then $\operatorname{Im} D:=\sum_{i=1}^{n}\left(\xi_{i}-\partial_{z_{i}}\right) k[\xi, z]$ is a Mathieu subspace of $k[\xi, z]$.
Several special cases of $\operatorname{IC}(n)$ have been proved: if char $k>0$, then $\operatorname{IC}(n)$ holds for all $n \geq 1$ [6, Theorem 2.2]; if char $k=0$ and $A a_{1}$ is a radical ideal, then IC(1) holds [6, Theorem 2.8]; and if char $k=0$ and $A$ is a UFD, then IC(1) holds [7, Theorem 5.1]. In particular, $\operatorname{SIC}(n)$ holds for all $n \geq 1$ when char $k>0$ and holds for $n=1$ when char $k=0$.

Images of differential operators (including derivations) of polynomial algebras are far from being well understood and only a few results are known. The IC $(n)$ involves differential operators of order one. The purpose of this paper is to investigate images of higher-order differential operators. More precisely, we propose the following conjecture.

Higher order image conjecture (HIC(n)). Let $k[\xi, z]:=k\left[\xi_{1}, \ldots, \xi_{n}, z_{1}, \ldots, z_{n}\right]$ be the polynomial algebra in $2 n$ variables over $k$ and let

$$
D=\left\{\xi_{1}-\tau_{1}, \xi_{2}-\tau_{2}, \ldots, \xi_{n}-\tau_{n}\right\}
$$

where $\tau_{i} \in k\left[\partial_{z_{1}}, \ldots, \partial_{z_{n}}\right]$ for $i=1,2, \ldots, n$. Then $\operatorname{Im} D:=\sum_{i=1}^{n}\left(\xi_{i}-\tau_{i}\right) k[\xi, z]$ is a Mathieu subspace of $k[\xi, z]$.

Our original idea was to find counterexamples to $\mathrm{HIC}(n)$ so as to understand the case of order one better. However, it seems that the higher-order case behaves similarly to the case of order one. In fact, we show in Section 2 that $\operatorname{HIC}(n)$ holds for all $n \geq 1$ when char $k>0$ and it holds for $n=1$ when char $k=0$. The theory of $\mathfrak{D}$-modules plays an important role in our proof. This method was proposed in [4] to deal with the case of order one and characteristic zero, and we develop it to deal with higher order and arbitrary characteristic.

## 2. Main results

We begin with the basic properties of Weyl algebras and $\mathfrak{D}$-modules. The Weyl algebra of rank $n$ over $k$, denoted by $A_{n}(k)$, is the algebra of differential operators (with polynomial coefficients) on the polynomial ring $k\left[x_{1}, \ldots, x_{n}\right]$. When char $k=0$, $A_{n}(k)$ is isomorphic to the associative $k$-algebra $k\left[\partial_{1}, \ldots, \partial_{n}, t_{1}, \ldots, t_{n}\right]$ generated by free generators $\partial_{1}, \ldots, \partial_{n}, t_{1}, \ldots, t_{n}$ with relations

$$
\begin{equation*}
\partial_{i} \partial_{j}=\partial_{j} \partial_{i}, \quad t_{i} t_{j}=t_{j} t_{i}, \quad \partial_{i} t_{j}-t_{j} \partial_{i}=\delta_{i j}, \quad 1 \leq i, j \leq n . \tag{2.1}
\end{equation*}
$$

When char $k=p>0$, the relations $\partial_{i}^{p}=0,1 \leq i \leq n$, should be added to (2.1). A $\mathfrak{D}$-module means a (left) $A_{n}(k)$-module.

The following result is well known (see, for example, [4, Proposition 3.2]). For $\alpha=\left(\alpha_{1}, \ldots, \alpha_{n}\right) \in \mathbb{N}^{n}$, we write $|\alpha|=\sum_{i=1}^{n} \alpha_{i}$ and $t^{\alpha}=t_{1}^{\alpha_{1}} t_{2}^{\alpha_{2}} \cdots t_{n}^{\alpha_{n}}$.

Proposition 2.1. Let $A_{n}(k)=k\left[\partial_{1}, \ldots, \partial_{n}, t_{1}, \ldots, t_{n}\right]$. Let $M$ be an $A_{n}(k)$-module and $f \in M$. Suppose that each $\partial_{i}$ is nilpotent on $f$, that is, there exists some positive integer $m_{i}$ such that $\partial_{i}^{m_{i}} f=0$. Then $f$ can be written uniquely as

$$
f=\sum_{\alpha} t^{\alpha} f_{\alpha}, \quad f_{\alpha} \in \bigcap_{i=1}^{n} \operatorname{Ann} \partial_{i} \quad\left(\text { with } \alpha_{i}<p \text { if } \operatorname{char} k=p>0\right) .
$$

Now we consider the image of the set of differential operators

$$
D=\left\{\xi_{1}-\tau_{1}, \xi_{2}-\tau_{2}, \ldots, \xi_{n}-\tau_{n}\right\},
$$

on the polynomial algebra $k[\xi, z]=k\left[\xi_{1}, \ldots, \xi_{n}, z_{1}, \ldots, z_{n}\right]$, where $\tau_{i} \in k\left[\partial_{z_{1}}, \ldots, \partial_{z_{n}}\right]$ for $i=1,2, \ldots, n$. Let $c_{i}$ be the constant term of $\tau_{i}$. We always assume that $c_{i}=0$ (by the coordinate transformation $\xi_{i}^{\prime}=\xi_{i}-c_{i}$ ), which ensures that $\tau_{i}^{p}=0$ if $\operatorname{char} k=p>0$.

When char $k=0$ and $\tau_{i}=\partial_{z_{i}}$, Zhao [9] constructed a linear map $l: k[\xi, z] \rightarrow k[z]$ with $l\left(\xi^{\alpha} z^{\beta}\right)=\partial_{z}^{\alpha}\left(z^{\beta}\right)$, where we use the notation $\partial_{z}^{\alpha}=\partial_{z_{1}}^{\alpha_{1}} \partial_{z_{2}}^{\alpha_{2}} \cdots \partial_{z_{n}}^{\alpha_{n}}$, and showed that $\sum_{i=1}^{n} \operatorname{Im}\left(\xi_{i}-\partial_{z_{i}}\right)=$ ker $l$. We will show that a similar result holds for higher-order differential operators in arbitrary characteristic.

Defintion 2.2. For $D=\left\{\xi_{1}-\tau_{1}, \xi_{2}-\tau_{2}, \ldots, \xi_{n}-\tau_{n}\right\}$, where $\tau_{i} \in k\left[\partial_{z_{1}}, \ldots, \partial_{z_{n}}\right]$ for $i=1,2, \ldots, n$, define $L: k[\xi, z] \rightarrow k[z]$ to be the $k$-linear map such that

$$
L\left(\xi^{\alpha} z^{\beta}\right)=\left(\tau_{1}^{\alpha_{1}} \tau_{2}^{\alpha_{2}} \cdots \tau_{n}^{\alpha_{n}}\right)\left(z^{\beta}\right)
$$

Proposition 2.3. With the notation of Definition 2.2, $\operatorname{Im} D=\operatorname{ker} L$.
Proof. Let $f \in \operatorname{Im} D$. Then $f=\sum_{i=1}^{n}\left(\xi_{i}-\tau_{i}\right) f_{i}$ for some $f_{i} \in k[\xi, z]$. By the definition of $L$ and since $\tau_{i} \in k\left[\partial_{z_{1}}, \ldots, \partial_{z_{n}}\right]$, we know that $L\left(\xi_{i} f_{i}\right)=\tau_{i}\left(L\left(f_{i}\right)\right)$ and $L\left(\tau_{i}\left(f_{i}\right)\right)=$ $\tau_{i}\left(L\left(f_{i}\right)\right)$. Thus

$$
L(f)=\sum_{i=1}^{n}\left(L\left(\xi_{i} f_{i}\right)-L\left(\tau_{i}\left(f_{i}\right)\right)\right)=\sum_{i=1}^{n} \tau_{i}\left(L\left(f_{i}\right)\right)-\tau_{i}\left(L\left(f_{i}\right)\right)=0 .
$$

So $\operatorname{Im} D \subseteq \operatorname{ker} L$.

For the converse, set $A_{2 n}(k)=k\left[\partial_{\xi_{1}}, \ldots, \partial_{\xi_{n}}, \partial_{z_{1}}, \ldots, \partial_{z_{n}}, \xi_{1}, \ldots, \xi_{n}, z_{1}, \ldots, z_{n}\right]$. Note that $k[\xi, z]$ has a natural $A_{2 n}(k)$-module structure. Define a $k$-algebra morphism

$$
\rho: A_{n}(k)=k\left[\partial_{1}, \ldots, \partial_{n}, t_{1}, \ldots, t_{n}\right] \rightarrow A_{2 n}(k)
$$

by $\rho\left(\partial_{i}\right)=\partial_{\xi_{i}}, \rho\left(t_{i}\right)=\xi_{i}-\tau_{i}$. The definition is reasonable, since

$$
\left\{\begin{array}{l}
\partial_{\xi_{i}} \partial_{\xi_{j}}=\partial_{\xi_{j}} \partial_{\xi_{i}}, \\
\left(\xi_{i}-\tau_{i}\right)\left(\xi_{j}-\tau_{j}\right)=\left(\xi_{j}-\tau_{j}\right)\left(\xi_{i}-\tau_{i}\right), \\
\partial_{\xi_{i}}\left(\xi_{j}-\tau_{j}\right)-\left(\xi_{j}-\tau_{j}\right) \partial_{\xi_{i}}=\delta_{i j}, \\
\partial_{\xi_{i}}^{p}=0 \quad(\text { when char } k=p>0)
\end{array}\right.
$$

Thus $k[\xi, z]$ has an $A_{n}(k)$-module structure defined by

$$
\left\{\begin{array}{l}
t_{i} f=\left(\xi_{i}-\tau_{i}\right) f, \\
\partial_{i} f=\partial_{\xi_{i}}(f)
\end{array}\right.
$$

For any $f \in k[\xi, z]$, since $\partial_{i}$ is nilpotent on $f$ by Proposition $2.1, f$ can be written as

$$
f=\sum_{\alpha} t^{\alpha} f_{\alpha}, \quad f_{\alpha} \in \bigcap_{i=1}^{n} \operatorname{Ann} \partial_{i}
$$

and thus

$$
f=f_{0}+\sum_{\alpha \neq 0}\left(\xi_{1}-\tau_{1}\right)^{\alpha_{1}} \cdots\left(\xi_{n}-\tau_{n}\right)^{\alpha_{n}} f_{\alpha}, \quad f_{0} \in \bigcap_{i=1}^{n} \operatorname{Ann} \partial_{i} .
$$

When char $k=0, \bigcap_{i=1}^{n} \operatorname{Ann} \partial_{i}=\bigcap_{i=1}^{n} \operatorname{ker} \partial_{\xi_{i}}=k[z]$, so $f_{0} \in k[z]$. When char $k=p$,

$$
\bigcap_{i=1}^{n} \operatorname{Ann} \partial_{i}=\bigcap_{i=1}^{n} \operatorname{ker} \partial_{\xi_{i}}=k\left[z, \xi_{1}^{p}, \ldots, \xi_{n}^{p}\right],
$$

and thus

$$
f_{0}=g_{0}+\sum_{\beta \neq 0}\left(\xi_{1}^{p}\right)^{\beta_{1}} \cdots\left(\xi_{n}^{p}\right)^{\beta_{n}} h_{\beta}(z)=g_{0}+\sum_{\beta \neq 0}\left(\xi_{1}-\tau_{1}\right)^{p \beta_{1}} \cdots\left(\xi_{n}-\tau_{n}\right)^{p \beta_{n}} h_{\beta}(z)
$$

where $g_{0} \in k[z]$.
In conclusion, $f$ can be written as $f=g+h$, where $g \in k[z]$ and $h \in \operatorname{Im} D \subseteq \operatorname{ker} L$. So $L(f)=L(g)+L(h)=g+0=g$. If $f \in \operatorname{ker} L$, then $g=L(f)=0$ and consequently $f=g+h=h \in \operatorname{Im} D$. It follows that $\operatorname{ker} L \subseteq \operatorname{Im} D$. Therefore, $\operatorname{ker} L=\operatorname{Im} D$.

In what follows, for $f \in k[\xi, z]$, we denote by $f_{i}$ the homogeneous part of $f$ with degree $i$ in $z$. If $\operatorname{deg}_{z} f=d$, then $f=f_{0}+f_{1}+\cdots+f_{d}$, where $f_{i}=\sum_{|\alpha|=i} c_{\alpha} z^{\alpha}, c_{\alpha} \in k[\xi]$.
Lemma 2.4. Let $g=\sum_{\alpha} c_{\alpha} z^{\alpha} \in \operatorname{Im} D$, where $c_{\alpha} \in k[\xi]$, and let $\operatorname{deg}_{z} g=d$. Then $c_{\alpha} \in I$ for all $\alpha$ with $|\alpha|=d$, where $I=\left(\xi_{1}, \ldots, \xi_{n}\right)$ is the ideal of $k[\xi]$ generated by $\xi_{1}, \ldots, \xi_{n}$.

Proof. By Lemma 2.3, $g \in \operatorname{ker} L$, that is, $L(g)=0$. Since $g=\sum_{|\alpha|=d} c_{\alpha} z^{\alpha}+\sum_{|\alpha|<d} c_{\alpha} z^{\alpha}$, we know that $0=L(g)=\sum_{|\alpha|=d} c_{\alpha}(0) z^{\alpha}+$ lower order terms, and thus $c_{\alpha}(0)=0$, that is, $c_{\alpha} \in I$ when $|\alpha|=d$.

Theorem 2.5. Suppose that char $k=p>0$. Then $\operatorname{HIC}(n)$ holds for all $n \geq 1$, that is, $\operatorname{Im} D:=\sum_{i=1}^{n}\left(\xi_{i}-\tau_{i}\right) k[\xi, z]$ is a Mathieu subspace of $k[\xi, z]$.
Proof. Since $\xi_{i}^{p} h=\left(\xi_{i}-\tau_{i}\right)^{p} h$ for any $h \in k[\xi, z]$, It follows that $I^{p} k[\xi, z] \subseteq \operatorname{Im} D$, where we write $I=\left(\xi_{1}, \ldots, \xi_{n}\right)$ for the ideal of $k[\xi]$ generated by $\xi_{1}, \ldots, \xi_{n}$.

Let $f=\sum_{\alpha} c_{\alpha} z^{\alpha} \in k[\xi, z]$ be such that $f^{p} \in \operatorname{Im} D$, where $c_{\alpha} \in k[\xi]$.
Claim. $c_{\alpha} \in I$ for all $\alpha$.
Suppose the claim is true. Then $f^{p}=\left(\sum_{\alpha} c_{\alpha} z^{\alpha}\right)^{p}=\sum_{\alpha} c_{\alpha}^{p} z^{\alpha p} \in I^{p} k[\xi, z]$. Thus, for any $g \in k[\xi, z]$, we have $g f^{m}=f^{p}\left(g f^{m-p}\right) \in I^{p} k[\xi, z] \subseteq \operatorname{Im} D$ for all $m \geq p$. It follows that $\operatorname{Im} D$ is a Mathieu subspace. It now remains to prove the claim.

Write $f=f_{0}+f_{1}+\cdots+f_{d}$, where $f_{i}=\sum_{|\alpha|=i} c_{\alpha} z^{\alpha}$. Since

$$
f^{p}=f_{0}^{p}+f_{1}^{p}+\cdots+f_{d}^{p} \in \operatorname{Im} D
$$

by Lemma 2.4, all coefficients of $f_{d}^{p}=\left(\sum_{|\alpha|=d} c_{\alpha} z^{\alpha}\right)^{p}=\sum_{|\alpha|=d} c_{\alpha}^{p} z^{\alpha p}$ belong to $I$, that is, $c_{\alpha}^{p} \in I$ and so $c_{\alpha} \in I$ for all $|\alpha|=d$. It follows that $c_{\alpha}^{p} \in I^{p}$. So $f_{d}^{p} \in I^{p} k[\xi, z] \subseteq \operatorname{Im} D$. Then $\left(f_{0}+f_{1}+\cdots+f_{d-1}\right)^{p}=f^{p}-f_{d}^{p} \in \operatorname{Im} D$. The claim follows by induction on $d=\operatorname{deg} f$.

Theorem 2.6. Suppose char $k=0$. Then $\mathrm{HIC}(1)$ holds, that is, on the polynomial ring $k[\xi, z]$ in two variables, $\operatorname{Im}(\xi-\tau)$ is a Mathieu subspace, for $\tau \in k\left[\partial_{z}\right]$.

Proof. Recall that $L: k[\xi, z] \rightarrow k[z], L\left(\xi^{a} z^{b}\right)=\tau^{a}\left(z^{b}\right)$. By Proposition 2.3, we have $\operatorname{Im}(\xi-\tau)=\operatorname{ker} L$. We may assume, without loss of generality, that

$$
\tau=\partial_{z}^{r}+a_{r+1} \partial_{z}^{r+1}+\cdots+a_{d} \partial_{z}^{d}
$$

where $a_{i} \in k$. Define a linear map

$$
L_{0}: k[\xi, z] \rightarrow k[z], \quad L_{0}\left(\xi^{a} z^{b}\right)=\left(\partial_{z}^{r}\right)^{a}\left(z^{b}\right)
$$

Consider the $w$-degree on $k[\xi, z]$, where $w=(-r, 1)$. Then $\operatorname{deg}_{w} \xi^{a} z^{b}=b-a r$. For any $h \in k[\xi, z]$, we denote by $\bar{h}$ the highest homogeneous part of $h$ with respect to $w$-degree. Let $s=\operatorname{deg}_{w} h$. Then $h=\sum_{j-i r \leq s} c_{i j} \xi^{i} z^{j}, \bar{h}=\sum_{j-i r=s} c_{i j} \xi^{i} z^{j}$ and

$$
\begin{aligned}
L(h)=L\left(\sum_{j-i r \leq s} c_{i j} \xi^{i} z^{j}\right) & =\sum_{j-i r \leq s} c_{i j}\left(\partial_{z}^{r}+a_{r+1} \partial_{z}^{r+1}+\cdots+a_{d} \partial_{z}^{d}\right)^{i} z^{j} \\
& =\sum_{j-i r=s} c_{i j}\left(\partial_{z}^{r}\right)^{i} z^{j}+\text { lower order terms in } z \\
& =L_{0}(\bar{h})+\text { lower order terms in } z .
\end{aligned}
$$

So $\overline{L(h)}=L_{0}(\bar{h})$, where $\overline{L(h)}$ means the highest homogeneous part of $L(h) \in k[z]$ in terms of $z$.
Claim. If $f^{m} \in \operatorname{Im}(\xi-\tau)=\operatorname{ker} L$ for all $m \geq 1$, then $\operatorname{deg}_{w} f<0$.
Suppose that $d:=\operatorname{deg}_{w} f \geq 0$ and write $d=q r+r_{0}$, where $0 \leq r_{0}<r$. Since $L\left(\left(\xi^{q} f\right)^{m}\right)=\tau^{q m} L\left(f^{m}\right)=0$, it follows that $\left(\xi^{q} f\right)^{m} \in \operatorname{ker} L$. In addition, $\operatorname{deg}_{w} \xi^{q} f=$ $(-q r)+d=r_{0}$. Replacing $f$ by $\xi^{q} f$, we may assume that $\operatorname{deg}_{w} f=r_{0}$ with $0 \leq r_{0}<r$.

So $\bar{f}=\sum_{j-i r=r_{0}} a_{i j} \xi^{i} z^{j}, a_{i j} \in k$. Write

$$
\bar{f}=\sum_{n_{0} \leq i \leq n_{1}} c_{i} \xi^{i} z^{i r+r_{0}} \quad \text { with } c_{i} \in k
$$

For any $m \geq 1, L_{0}\left(\bar{f}^{m}\right)=L_{0}\left(\overline{f^{m}}\right)=\overline{L\left(f^{m}\right)}=\underline{0}$.
Now we show that we may assume that $\bar{f} \in \overline{\mathbb{Q}}[\xi, z]$, that is, all the $c_{i}$ belong to $\overline{\mathbb{Q}}$. Since $L_{0}\left(\xi^{a} z^{a r+b}\right)=\left(\partial_{z}^{r}\right)^{a}\left(z^{a r+b}\right)=((a r+b)!/ b!) z^{b}$,

$$
\begin{aligned}
0=L_{0}\left(\bar{f}^{m}\right) & =L_{0}\left(\left(\sum_{n_{0} \leq i \leq n_{1}} c_{i} \xi^{i} z^{i r+r_{0}}\right)^{m}\right) \\
& =L_{0}\left(\sum_{n_{0} \leq i_{1}, i_{2}, \ldots, i_{m} \leq n_{1}} c_{i_{1}} c_{i_{2}} \cdots c_{i_{m}} \xi^{i_{1}+\cdots+i_{m}} z^{\left(i_{1}+\cdots+i_{m}\right) r+m r_{0}}\right) \\
& =\sum_{n_{0} \leq i_{1}, i_{2}, \ldots, i_{m} \leq n_{1}} c_{i_{1}} c_{i_{2}} \cdots c_{i_{m}} \frac{\left(\left(i_{1}+\cdots+i_{m}\right) r+m r_{0}\right)!}{\left(m r_{0}\right)!} z^{m r_{0}} .
\end{aligned}
$$

Observe that $L_{0}\left(\bar{f}^{m}\right)=0$ means that $\left(c_{n_{0}}, \ldots, c_{n_{1}}\right)$ is a (nonzero) zero point of the homogeneous polynomial $g_{m}$ in the variables $x_{n_{0}}, \ldots, x_{n_{1}}$, where

$$
g_{m}:=\sum_{n_{0} \leq i_{1}, i_{2}, \ldots, i_{m} \leq n_{1}} x_{i_{1}} x_{i_{2}} \cdots x_{i_{m}} \frac{\left(\left(i_{1}+\cdots+i_{m}\right) r+m r_{0}\right)!}{\left(m r_{0}\right)!}
$$

Since all these homogeneous polynomials $g_{m}$ are over $\mathbb{Q}$ and have a common nonzero zero point $\left(c_{n_{0}}, \ldots, c_{n_{1}}\right)$ in $k$, it is well known that they must have a common nonzero zero point $\left(\bar{c}_{n_{0}}, \ldots, \bar{c}_{n_{1}}\right)$ in $\overline{\mathbb{Q}}$. Replacing $c_{n_{0}}, \ldots, c_{n_{1}}$ by $\bar{c}_{n_{0}}, \ldots, \bar{c}_{n_{1}}$, we may assume that $c_{n_{0}}, \ldots, c_{n_{1}} \in \overline{\mathbb{Q}}$, that is, $\bar{f} \in \overline{\mathbb{Q}}[\xi, z]$.

Assume, without loss of generality, that $c_{n_{0}}=1$. Then, for any prime number $p$,

$$
\begin{aligned}
0 & =L_{0}\left(\bar{f}^{p}\right)=L_{0}\left(\left(\xi^{n_{0}} z^{n_{0} r+r_{0}}+\sum_{n_{0}<i \leq n_{1}} c_{i} \xi^{i} z^{i r+r_{0}}\right)^{p}\right) \\
& =L_{0}\left(\xi^{n_{0} p} z^{n_{0} r p+r_{0} p}+\sum_{n_{0}<i \leq n_{1}} c_{i}^{p} \xi^{i p} z^{i r p+r_{0} p}+p \sum_{n_{0} p<j<n_{1} p} d_{j} \xi^{j} z^{j r+r_{0} p}\right) \\
& =\frac{\left(n_{0} r p+r_{0} p\right)!}{\left(r_{0} p\right)!} z^{r_{0} p}+\sum_{n_{0}<i \leq n_{1}} c_{i}^{p} \frac{\left(i r p+r_{0} p\right)!}{\left(r_{0} p\right)!} z^{r_{0} p}+p \sum_{n_{0} p<j<n_{1} p} d_{j} \frac{\left(j r+r_{0} p\right)!}{\left(r_{0} p\right)!} z^{r_{0} p},
\end{aligned}
$$

where $d_{j} \in \mathbb{Z}\left[c_{n_{0}+1}, \ldots, c_{n_{1}}\right]$. Thus

$$
1+\sum_{n_{0}<i \leq n_{1}} c_{i}^{p} \frac{\left(\operatorname{irp}+r_{0} p\right)!}{\left(n_{0} r p+r_{0} p\right)!}+p \sum_{n_{0} p<j<n_{1} p} d_{j} \frac{\left(j r+r_{0} p\right)!}{\left(n_{0} r p+r_{0} p\right)!}=0 .
$$

Since $i>n_{0}$, we see that $\left(\operatorname{irp}+r_{0} p\right)!/\left(n_{0} r p+r_{0} p\right)$ ! is an integer divisible by $p$ and, since $j>n_{0} p$, also $\left(j r+r_{0} p\right)!/\left(n_{0} r p+r_{0} p\right)$ ! is an integer. So $p \mid 1$ in $\mathbb{Z}\left[c_{n_{0}+1}, \ldots, c_{n_{1}}\right]$ for any prime number $p$. Note that $c_{n_{0}+1}, \ldots, c_{n_{1}} \in \overline{\mathbb{Q}}$, so there exists an $l$ in $\mathbb{Z}$ such that
$c_{n_{0}+1}, \ldots, c_{n_{1}}$ are integral in $\mathbb{Z}[1 / l]$. So $\mathbb{Z}\left[c_{n_{0}+1}, \ldots, c_{n_{1}}, 1 / l\right]$ is integral over $\mathbb{Z}[1 / l]$. When $p \nmid l, p \mathbb{Z}[1 / l]$ is a prime ideal of $\mathbb{Z}[1 / l]$. There exists a prime ideal $\alpha$ of $\mathbb{Z}\left[c_{n_{0}+1}, \ldots, c_{n_{1}}, 1 / l\right]$ such that $\alpha \cap \mathbb{Z}[1 / l]=p \mathbb{Z}[1 / l]$ (see, for example, [1, Theorem 5.10]). Since $p \mid 1$ in $\mathbb{Z}\left[c_{n_{0}+1}, \ldots, c_{n_{1}}\right], \alpha=\mathbb{Z}\left[c_{n_{0}+1}, \ldots, c_{n_{1}}, 1 / l\right]$, which contradicts the fact that $\alpha$ is a prime ideal.

This proves the claim, that is, if $f^{m} \in \operatorname{Im}(\xi-\tau)$ for all $m \geq 1$, then $\operatorname{deg}_{w} f<0$. Then, for any $g \in k[\xi, z], \operatorname{deg}_{w}\left(g f^{m}\right)=\operatorname{deg}_{w} g+m \operatorname{deg}_{w} f<0$ for all sufficiently large $m$, and thus $L\left(g f^{m}\right)=0$ for such $m$. It follows that $\operatorname{Im}(\xi-\tau)=\operatorname{ker} L$ is a Mathieu subspace of $k[\xi, z]$.

## Acknowledgements

The authors are grateful to Professor van den Essen for discussions on the image conjecture and Mathieu subspaces during his visit in Jilin University.

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[^0]:    This work was supported by the NSF of China $(11401249,11371165)$ and the STDPF of Jilin Province, China (20150520051JH, 20150101001JC).
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