Risk factors for dementia

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There is little doubt that dementia is a very common cause of disability and dependency in our society. Since dementia of whatever type is usually more common with increasing age, then as population demographics change, so will the prevalence of dementia. Dementia is a generic term and the objective for clinicians, once dementia is suspected, is to attempt to define the cause. Alzheimer’s disease is the most common cause of dementia, and in most centres vascular dementia would feature as the next most common aetiology. In some centres, Lewy body dementia is the second most common cause. Mixed Alzheimer’s disease and vascular dementia would also feature high on the list at most centres.

This article will focus on risk factors for dementia and will concentrate only on Alzheimer’s disease and vascular dementia. The risk factors for these will be discussed, and, since there have been significant advances in our understanding of the genetic component of Alzheimer’s disease in particular, there is a separate section on genetic contribution.

Environmental or non-genetic risk factors for Alzheimer’s disease

In contrast to the advances made in our understanding of genetic risk factors in Alzheimer’s disease, identification of non-genetic or environmental risk factors has been slower. Non-inherited risk factors are likely to be important, as monozygotic twin concordance rates reach only 40%. Different ethnic groups living in similar environments show comparable prevalence rates, again suggesting a role for environmental factors. Studies, which are often beset with methodological problems, have produced repeatedly conflicting results. Interpretation is further hampered by multiple interactions between different factors. Apolipoprotein E (ApoE) status in particular appears to modulate the influence of several environmental risk factors.

Age

With the exception of increasing age, none of the evidence for non-genetic risk factors is universally accepted. After 65 years of age, the incidence and prevalence of Alzheimer’s disease doubles every 5 years. It is reasoned that older individuals have longer exposure to putative environmental and genetic influences. However, Alzheimer’s disease in advanced age is not inevitable; differences in distribution and density of senile plaques and neurofibrillary tangles exist between patients and age-matched controls. Recent work suggests that the acceleration of incidence rates for Alzheimer’s disease slows down in very old age (although there is no evidence of a rate decline), the corollary thus being that Alzheimer’s disease is age-related rather than age-dependent (Gao et al., 1998).

Gender and hormonal effects

Even when controlling for differences in longevity, several studies have found that women are at increased risk for Alzheimer’s disease. This is
Alzheimer’s disease is more pronounced. Results from a pooled analysis of incident patients demonstrated increased risk of Alzheimer’s disease in current and former smokers (Launer et al, 1999). Positive relationships between Alzheimer’s disease and other vascular risk factors, including insulin-dependent diabetes, vascular disease, hypertension and electrocardiogram ischaemia, have also been reported (Stewart et al, 1999). Fish consumption, an important source of $\Omega$-3 polyunsaturated fatty acids, was inversely related to dementia, and in particular to Alzheimer’s disease, in the Rotterdam incident analysis (Kalmijn et al, 1997).

Positive, negative and neutral associations have been noted between blood pressure levels and Alzheimer’s disease, although a recent clinical trial has revealed the potential preventive effect of antihypertensive treatment on the incidence of dementia, especially of Alzheimer’s disease (Forette et al, 1998).

### Head trauma

The dementia pugilistica of boxers is associated with pathological changes similar to those of Alzheimer’s disease. This led to the hypothesis that previous head injury increased the risk of subsequent Alzheimer’s disease. Studies of head trauma have been hampered by recall bias, as relatives of affected patients may be more likely to remember previous events than those of healthy controls. A recent meta-analysis failed to implicate head trauma as a risk factor for Alzheimer’s disease (Launer et al, 1999).

### Education

Poor education has been cited as a risk factor for Alzheimer’s disease, especially in males (Ott et al, 1999). Better education may reflect greater cognitive capacity and reserve, thus deferring the onset of the illness. Similar arguments apply to head size and dementia risk. It is unclear whether it is education obtained in childhood or the lifetime acquisition of knowledge (thus implicating challenging occupations) that is protective. Assuming the latter, a trial of cognitive training in individuals at risk of dementia is currently running in the USA.

### Chemical exposure

Solvents and heavy metals have been implicated as possible aetiological factors. In theory, high levels of iron could encourage free radical formation and oxidant stress. Current research into genetic polymorphisms within iron-handling genes may yield useful results. The involvement of both lead and solvents is generally discounted.
The well-publicised relationship between Alzheimer’s disease and aluminium is difficult to assess (McLachlan et al., 1996). Epidemiological studies were initiated in response to the observation of aluminium within plaques and tangles. Moreover, aluminium can cause abnormal phosphorylation of tau, a major component of the neurofibrillary tangle. Aluminium is ubiquitous and caution is needed when interpreting positive associations based on population exposure to high aluminium levels in drinking water. Studies concerning the role of aluminium compounds in antacids and antiperspirants have generally yielded negative results. As events at Camelford, England (where large quantities of aluminium sulphate were allowed to contaminate the water supply) indicate, aluminium is potentially neurotoxic (Altmann et al., 1999). It is much less clear whether aluminium is responsible for the chronic neurodegeneration of Alzheimer’s disease. Only large-scale prospective analysis can help to resolve the issue.

**Depression**

The frequent coexistence of dementia and depression has stimulated speculation that associations exist between the two conditions. Prospective studies have again yielded opposing results. Depressive symptoms have been shown to increase the risk of subsequent cognitive decline (Yaffe et al., 1999). This has been tempered by research suggesting that depression is merely an early manifestation, rather than a predictor, of Alzheimer’s disease (Chen et al., 1999). High levels of cortisol may be associated with depression, and indeed stress, and may also cause neuronal death (Lerner, 1999).

**Parental age**

Both old and young maternal ages have been found by different studies to increase the risk of subsequent Alzheimer’s disease in offspring – the role of paternal age is even less clear (Rocca et al., 1991).

**Risk factors for vascular dementia**

Research into dementia has concentrated on Alzheimer’s disease, and much progress has been made in revealing possible genetic and neuropathological mechanisms. However, over the past few years there has been renewed interest in vascular dementia, the only preventable type of dementia. This highlights the importance of identifying potential modifiable risk factors. Unlike Alzheimer’s disease, which is primarily a cortical dementia, and progressive supranuclear palsy, Parkinson’s disease and Huntington’s disease, which are all subcortical, vascular dementia can be cortical (e.g. large cortical infarcts), subcortical (e.g. lacunar states, or Binswagers) or both cortical and subcortical.

The prevalence of dementia would appear to be constant worldwide, but the type of dementia varies from country to country. Alzheimer’s disease is generally accepted as the most common type of dementia in the Western world, whereas vascular dementia is more common in Asian countries (Jorm et al., 1987).

Vascular dementia accounts for 24–48% of dementing illnesses in older people, with an incidence of 6–10 in 10 000 per year in those aged over 70 years. Prevalence varies from 1.2% to 4.2% of those aged 65 years or above, increasing with age. There would appear to be a slight male preponderance. The average duration of the illness is 5 years and survival is less than that for Alzheimer’s disease (Hebert & Brayne, 1995).

Clinical diagnosis requires the presence of: (a) dementia; (b) cerebrovascular disease; and (c) a temporal relation between vascular disease and dementia.

There has been difficulty in establishing agreed criteria for diagnosis, definition and assessment of subjects, and much of the uncertainty stems from diagnostic issues. Furthermore, problems arise owing to the common co-occurrence of Alzheimer’s disease and vascular dementia. To improve the sensitivity and specificity of the clinical diagnosis of vascular dementia, two sets of criteria have been developed over the past 5 years by the State of California Alzheimer’s Disease Diagnostic and Treatment Centers (ADDTC) and the National Institute of Neurological Disorders and Stroke (NINDS), with support from the Association Internationale pour la Recherche et l’Enseignement en Neurosciences (AIREN). The NINDS–AIREN criteria, expressly developed for research purposes, have a high specificity, making them most useful tool in this area.

Vascular dementia designates cognitive deterioration caused by occlusion of cerebral arteries and loss of cerebral tissue and encompasses a heterogeneous group of conditions (Box 1).

**Demographic risk factors**

It is widely accepted that advancing age is a risk factor for stroke and thereby vascular dementia, as are male gender and race/ethnicity (Gorelick, 1997).
Vascular risk factors

The diagnosis of vascular dementia is associated with a history of cerebrovascular disorders, therefore some risk factors are the same as for stroke.

Stroke

Dementia after stroke is particularly associated with lacunar infarcts, left-hemispheric lesions and infarcts in the left-posterior and left-anterior cerebral territories (Tatemichi et al, 1993).

One year after stroke, the probability of new-onset dementia is 5.4% in patients over 60 years of age and 10.4% in patients over 90 years (Tatemichi et al, 1992). Four years after a first lacunar infarct, 23% of patients develop dementia, that is, 4–12 times more than controls (Loeb et al, 1992).

In one study, the prevalence of dementia after ischaemic stroke in patients aged 60 or over was 26.3%, that is, 9.4-fold higher than that of a stroke-free control group, after adjusting for age and level of education. This, however, included patients who were suffering from dementia prior to stroke. In this study, stroke was the underlying cause of dementia in 56.1%, while in 36.4% it was presumed to be owing to the cumulative effects of stroke and Alzheimer’s disease, as suggested by a pre-stroke history of functional impairment (Tatemichi et al, 1992).

The increased incidence of dementia after stroke suggests that cognitive decline is not only a direct consequence of the damage to the brain caused by stroke, but that there are additional processes related to the occurrence of stroke and the development of dementia. Approximately one-third of cases cannot be explained by the sequelae of stroke or hypoxic or ischaemic disorders.

Hypertension

Hypertension is the most important remediable risk factor for stroke (especially lacunar infarction) and vascular dementia (Lindsay et al, 1997). There is substantial evidence to suggest that elevated blood pressure earlier in life is a risk factor for dementia in later life. Late-life cognitive impairment can be associated with normal or low-normal blood pressure (Skoog et al, 1996). Thus, blood pressure may be an important early-life predictor of dementia, and control of blood pressure may prevent or delay
dementia onset. Alternatively, mild systolic blood pressure increase in patients with vascular dementia could have beneficial effects (Meyer et al., 1986).

The Vascular Dementia arm of the Systolic Hypertension in Europe trial investigated whether antihypertensive drug treatment could reduce the incidence of dementia. The results showed that treatment was associated with a lower incidence of dementia. If 1000 hypertensive patients were treated with antihypertensive drugs for 5 years, 19 cases of dementia might be prevented (Forette et al., 1998). It may be that antihypertensive agents have actions other than blood-pressure lowering (e.g. endothelial arterial wall modification), which add to their ability to slow the progression of dementia or cognitive impairment. The Cochrane Library is conducting a review of effectiveness of antihypertensives in dementia.

### Non-vascular risk factors

#### Occupational exposure

Interestingly, the Canadian Study of Health and Aging (Lindsay et al., 1997) also showed an elevated odds ratio for vascular dementia in patients who had occupational exposure to pesticides and fertilisers (odds ratio 2.6) and liquid plastics or rubbers (odds ratio 2.59). These should be studied in more detail as, again, they are potentially preventable causes of vascular dementia.

#### Alcohol

Several studies have shown an increased risk of vascular dementia in patients with a history of alcohol misuse (Lindsay et al., 1997), but not all report this (Meyer et al., 1988). This warrants further study, as it is potentially preventable.

#### Other non-vascular risk factors

Psychological stress early in life (Peerson & Skoog, 1996), poor formal education (Lindsay et al., 1997) and blue-collar occupation (Peerson & Skoog, 1996) have been associated with a higher incidence of vascular dementia.

#### Genetic factors

Several rare genetic diseases have been associated with stroke and subsequent vascular dementia. These include autosomal dominant hereditary cerebral haemorrhage with amyloidosis – Dutch type and familial vascular encephalopathies, such as cerebral autosomal dominant arteriopathy with subcortical infarcts and leukoencephalopathy (CADASIL) (Haan et al., 1990).

Several studies (Lenzen et al., 1986; Gerdes, 1994) have shown an increased risk of stroke or coronary heart disease in patients with the ApoE-4 allele.
polymorphism, a known genetic risk factor for Alzheimer’s disease. This may suggest a shared pathogenic relationship and unifying explanation. Alzheimer’s disease patients also have some degree of vascular changes and one-fifth have vascular lesions (Jellinger et al., 1990), which probably contributes to their cognitive decline. The link between stroke and Alzheimer’s disease seems to be higher than that expected by chance and may reduce the period of preclinical Alzheimer’s disease.

Furthermore, ApoE could influence the pathogenesis of dementia with stroke through its effects on lipid metabolism and atherosclerosis.

In summary, there are many risk factors for vascular dementia, most of which are shared in common with stroke. As vascular dementia is the only preventable type of dementia, it is important that these risk factors are identified early in life to reduce not only stroke risk, but risk of dementia.

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**Genetic risk factors for dementia**

Alzheimer’s disease is the most common form of dementia, and the fact that the vast majority of genetic risk investigations are concerned with Alzheimer’s disease reflects this. In familial disease, risk to first-degree relatives has been estimated to range from 24% to over 50% at the age of 90 years. Concordance rates in monozygotic twins have been reported to be 40–50% (Pericak-Vance & Haines, 1995). Early-onset families show an autosomal dominant mode of inheritance with age-related penetrance, and mutations in one of at least three genes have been shown to confer this susceptibility. The three genes in question are those encoding the amyloid precursor protein (APP) gene on chromosome 21, presenilin 1 (PS–1) on chromosome 14 and presenilin 2 (PS–2) on chromosome 1. Late-onset families show a more complicated mode of inheritance, which, like sporadic disease, probably indicates the involvement of a number of genetic and other factors.

One stratagem for identifying genetic risk factors is to type deoxyribonucleic acid (DNA) polymorphisms in genes that may be involved in the pathogenesis of that disease. Polymorphisms in DNA are the existence of differences in the DNA sequence at a particular locus. These are more frequent than mutations and can be found in the DNA of both controls and disease subjects.

Although most reported genetic risk factors remain controversial, the ApoE ε4 allele has been identified as a risk factor for Alzheimer’s disease in most populations. Individuals who possess the ε4 allele are approximately four times more likely to suffer from Alzheimer’s disease than those without it, and this risk increases with ε4 dose. ApoE ε4 also reduces the age at which one can expect to develop the disease. However, possession of ApoE ε4 is neither necessary nor sufficient for disease initiation, and this has prompted a search for other genetic factors that may influence risk for Alzheimer’s disease.

An intronic polymorphism in the early-onset gene for PS–1 was reported to increase the risk of sporadic Alzheimer’s disease, but this finding was not universally replicated. A form of vascular dementia, CADASIL, has been linked to the human Notch3 gene (Joutel et al., 1997). The gene product has been shown to interact with human PS–1, possibly a common pathway for neurodegeneration and dementia.

Other research groups have concentrated on receptors for ApoE, reasoning that if ApoE conferred increased risk then polymorphisms in ApoE receptors may modify that risk. A polymorphism in very low-density lipoprotein receptor (VLDL–R) was reported to increase risk in a Japanese Alzheimer’s disease population (Okuzumi et al., 1995), and this was confirmed in a Scottish Alzheimer’s disease population (Brookes et al., 1997), but other studies could not replicate this finding. Our group has reported an increased risk for Alzheimer’s disease in Northern Ireland associated with a different genotype of VLDL–R from that originally reported by the Japanese group, reflecting the different population origins. Another ApoE receptor, the low-density lipoprotein receptor-related protein (LRP), was shown to increase risk for Alzheimer’s disease in some studies. The identity of this molecule as a risk factor was further enhanced by the identification of a region of chromosome 12 near to the LRP locus as possibly being involved in familial Alzheimer’s disease aetiology. However, other groups, including ours, failed to confirm this association.

Alpha-2 macroglobulin (A2M), a ligand for LRP and a serum pan-protease inhibitor, has also been shown in some studies to increase risk of Alzheimer’s disease. It resides close to LRP on the short arm of chromosome 12 and is also in the region of the locus linked to familial Alzheimer’s disease. As in the previous case, other groups, including our own, failed to replicate this finding. Polymorphisms in another protease inhibitor, α–1 antichymotrypsin (AACT), have been linked with risk of Alzheimer’s disease. This molecule, like ApoE, is a component of amyloid plaques, which increased its candidature as a risk factor. However, this also proved controversial.

Variations in the mitochondrial genome have been estimated to account for up to 20% of late-onset Alzheimer’s disease cases. Polymorphisms in several genes, such as the COI and COII sub-units of the cytochrome C oxidase complex, have been associated with increased risk of Alzheimer’s disease. Mutations in mitochondrial genes have also...
been implicated in the aetiology of Parkinson’s disease. Point mutations in genes encoding sub-units of NADH–ubiquinone oxoreductase have been proposed to lead to increased susceptibility to oxidative damage and neurodegeneration.

Parkinson’s disease shares other susceptibility loci with Alzheimer’s disease. One of these is the oestrogen receptor gene. This gene is a well-known, although not widely replicated, risk factor for Alzheimer’s disease. Recently, a Japanese group reported that one allele of a polymorphism in this gene increased the risk of both Alzheimer’s disease and Parkinson’s disease (Isoe-Wada et al., 1999). Mutations in the α-synuclein gene are also thought to increase the risk of both diseases. Another gene that appears to be involved in the pathogenesis of both Parkinson’s disease and Alzheimer’s disease is the tau gene on chromosome 17. Mutations in this gene are now known to cause frontotemporal dementia with parkinsonism linked to chromosome 17 (FTDP–17). Clinically, this syndrome is very similar to Alzheimer’s disease, although the frontal lobe abnormalities do not match the Alzheimer’s disease phenotype. FTDP–17 has also been confused with Pick’s disease. In the search for genetic risk factors for both Alzheimer’s disease and vascular dementia, generalised vascular disease genetic risk factors have also been examined. Recently, our group in collaboration with groups from London and Cardiff reported that the I allele of a common insertion (I)/deletion (D) polymorphism in the angiotensin-converting enzyme (ACE) was associated with increased risk of Alzheimer’s disease (Kehoe et al., 1999). This finding has since been replicated in a US population, enhancing the candidacy of ACE as a risk factor for Alzheimer’s disease. The results of studies on vascular dementia have not been as fruitful. Of the few reports in the literature, most are contradictory with no clear candidate risk factor for this disease identified so far. However, given the effect that molecular genetics has had on complex diseases, especially Alzheimer’s disease, the identification of definitive genetic risk factors for other forms of dementia cannot be too far off.

References


1. The following have clearly been shown to be risk factors for Alzheimer’s disease:
   a. female gender
   b. head injury
   c. aluminium
   d. age
   e. maternal age.

2. Regarding Alzheimer’s disease:
   a. oestrogen therapy has recently been shown to improve cognition and disease progression
   b. vitamin E has recently been shown to improve cognition
   c. insulin-dependent diabetes has been shown to be an independent risk factor
   d. treatment of isolated systolic hypertension has been found to reduce incidence
   e. non-steroidal anti-inflammatory drugs are associated with risk of Alzheimer’s disease.

3. Regarding dementia:
   a. Alzheimer’s disease is more common than vascular disease in Asian countries
   b. the survival rate in vascular dementia is lower than in Alzheimer’s disease
   c. 20% of patients with Alzheimer’s disease have cerebral vascular lesions
   d. more than 25% of patients may develop dementia following a stroke
   e. elevated blood pressure early in life is a risk factor for dementia.

4. Gene mutations on the following chromosomes have been shown to be associated with early-onset of familial Alzheimer’s disease:
   a. chromosome 1
   b. chromosome 19
   c. chromosome 12
   d. chromosome 21
   e. chromosome 14.

5. ApoE ε4:
   a. is associated with earlier onset of Alzheimer’s disease
   b. is necessary for development of Alzheimer’s disease
   c. is associated with increased risk of coronary heart disease
   d. is on chromosome 19
   e. can be used as a ‘genetic prediction test’ for Alzheimer’s disease.