

# MASCHKE MODULES OVER DEDEKIND RINGS

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**1. Introduction.** We use the following notation throughout:

- $\mathfrak{o}$  = Dedekind ring (**8; 12**, p. 83).
- $K$  = quotient field of  $\mathfrak{o}$ .
- $A$  = finite-dimensional separable algebra over  $K$ , with identity element  $e$  (**6**, p. 115).
- $G$  =  $\mathfrak{o}$ -order in  $A$  (**2**, p. 69).
- $\mathfrak{p}$  = prime ideal in  $\mathfrak{o}$ .
- $K_{\mathfrak{p}}$  =  $\mathfrak{p}$ -adic completion of  $K$ .
- $\mathfrak{o}_{\mathfrak{p}}$  =  $\mathfrak{p}$ -adic integers in  $K_{\mathfrak{p}}$ .
- $\mathfrak{p}^*$  =  $\pi\mathfrak{o}_{\mathfrak{p}}$  = unique prime ideal in  $\mathfrak{o}_{\mathfrak{p}}$ .
- $\bar{K} = \mathfrak{o}/\mathfrak{p} = \mathfrak{o}_{\mathfrak{p}}/\mathfrak{p}^* =$  residue class field.

By a  $G$ -module we shall mean a left  $G$ -module  $R$  satisfying

1.  $R$  is a finitely generated torsion-free left  $\mathfrak{o}$ -module.
2. For  $x, y \in G, r, s \in R$ :

$$(xy)r = x(yr), (x + y)r = xr + yr, x(r + s) = xr + xs, er = r.$$

Following Gaschütz and Ikeda (**3; 5**; see also **7; 10**) we call a  $G$ -module  $R$  an  $M_u$ - $G$ -module (unterer Maschke Modul) if, whenever  $R$  is an  $\mathfrak{o}$ -direct summand of a  $G$ -module  $S$ ,  $R$  is a  $G$ -direct summand of  $S$ . Likewise,  $R$  is an  $M_0$ - $G$ -module (oberer Maschke Modul) if, whenever  $S/R_1$  is  $G$ -isomorphic to  $R$  where the  $G$ -module  $S$  contains the  $G$ -module  $R_1$  as  $\mathfrak{o}$ -direct summand,  $R_1$  is a  $G$ -direct summand of  $S$ .

If all modules considered happen to have  $\mathfrak{o}$ -bases (for example, when  $\mathfrak{o}$  is a principal ideal ring), then we may interpret these concepts in terms of matrix representations over  $\mathfrak{o}$ . Thus, a representation  $\Gamma$  of  $G$  in  $\mathfrak{o}$  is an  $M_0$ -representation if for every reduced representation

$$\begin{pmatrix} \Gamma & \Lambda \\ 0 & \Delta \end{pmatrix}$$

of  $G$  in  $\mathfrak{o}$ , the binding system  $\Lambda$  is strongly-equivalent (**13**) to zero, that is, there exists a matrix  $T$  (over  $\mathfrak{o}$ ) such that

$$\Lambda(x) = \Gamma(x)T - T\Delta(x) \quad \text{for all } x \in G.$$

(Likewise we may define an  $M_u$ -representation of  $G$  in  $\mathfrak{o}$ .)

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Starting with a prime ideal  $\mathfrak{p}$  of  $\mathfrak{o}$ , we may form  $\tilde{G} = G/\mathfrak{p}G$ , an algebra over  $\tilde{K}$ . If  $R$  is a  $G$ -module, then  $\tilde{R} = R/\mathfrak{p}R$  can be made into a  $\tilde{G}$ -module in obvious fashion, and  $\tilde{R}$  is then a vector space over  $\tilde{K}$ . The main results of this note are as follows:

**THEOREM 1.** *If for each  $\mathfrak{p}$ ,  $\tilde{R}$  is an  $M_u\text{-}\tilde{G}$ -module (or  $M_{\mathfrak{o}}\text{-}\tilde{G}$ -module), then  $R$  is an  $M_u\text{-}G$ -module (or  $M_{\mathfrak{o}}\text{-}G$ -module).*

**THEOREM 2.** *If  $G$  is a Frobenius algebra over  $\mathfrak{o}$ , and  $R$  is an  $M_u\text{-}G$ -module (or  $M_{\mathfrak{o}}\text{-}G$ -module), then for each  $\mathfrak{p}$ ,  $\tilde{R}$  is an  $M_u\text{-}\tilde{G}$ -module (or  $M_{\mathfrak{o}}\text{-}\tilde{G}$ -module).*

The significance of Theorem 1 is that it reduces the problem of deciding whether an  $\mathfrak{o}$ -module  $R$  is an  $M_u\text{-}G$ -module to that of determining for each  $\mathfrak{p}$  whether the vector space  $\tilde{R}$  over  $\tilde{K}$  is an  $M_u\text{-}\tilde{G}$ -module. Thus, we pass from a *ring* problem to a *field* problem, which is in general much simpler.

In the important case where  $G = \mathfrak{o}(H)$  is the group ring of a finite group  $H$ , then  $\tilde{G}$  is semi-simple whenever  $\mathfrak{p}$  does not divide the order of  $H$ , and for such  $\mathfrak{p}$  the module  $\tilde{R}$  is automatically an  $M\text{-}\tilde{G}$ -module. More generally, we may form the ideal  $I(G)$  of  $G$  defined by Higman (4); his results show that  $I(G) \neq 0$  in this case. From (9) we deduce at once that  $\tilde{G}$  is semi-simple whenever  $\mathfrak{p}$  does not divide  $I(G)$ . Therefore:

**COROLLARY 1.**  *$R$  is an  $M_u\text{-}G$ -module (or  $M_{\mathfrak{o}}\text{-}G$ -module) if for each  $\mathfrak{p}$  dividing  $I(G)$ ,  $\tilde{R}$  is an  $M_u\text{-}\tilde{G}$ -module (or  $M_{\mathfrak{o}}\text{-}\tilde{G}$ -module). (Note that only finitely many  $\mathfrak{p}$ 's are involved.)*

Now let  $G$  be a Frobenius algebra over  $\mathfrak{o}$ , for example,  $G = \mathfrak{o}(H)$ . Then by (5) there is no distinction between  $M_{\mathfrak{o}}$ - and  $M_u$ -modules, and Theorems 1 and 2 tell us that  $R$  is an  $M\text{-}G$ -module if and only if for each  $\mathfrak{p}$ ,  $\tilde{R}$  is an  $M\text{-}\tilde{G}$ -module. Using the concept of *genus* introduced by Maranda in (9), we have:

**COROLLARY 2.** *Let  $G$  be a Frobenius algebra over  $\mathfrak{o}$ , and let  $R, S$  be  $G$ -modules in the same genus. Then  $R$  is an  $M\text{-}G$ -module if and only if  $S$  is an  $M\text{-}G$ -module.*

**2.  $\mathfrak{p}$ -adic completion.** Theorem 1 will follow at once from two lemmas, of which we prove the more difficult first. Let  $R$  be a  $G$ -module, and define

$$G_{\mathfrak{p}} = G \otimes \mathfrak{o}_{\mathfrak{p}}, \quad R_{\mathfrak{p}} = \mathfrak{o}_{\mathfrak{p}} \otimes R,$$

both products being taken over  $\mathfrak{o}$ .

**LEMMA 1.** *If for each  $\mathfrak{p}$ ,  $R_{\mathfrak{p}}$  is an  $M_u\text{-}G_{\mathfrak{p}}$ -module (or  $M_{\mathfrak{o}}\text{-}G_{\mathfrak{p}}$ -module), then  $R$  is an  $M_u\text{-}G$ -module (or  $M_{\mathfrak{o}}\text{-}G$ -module).*

*Proof.* (We give the proof only for  $M_u$ -modules.) Let  $R$  be an  $\mathfrak{o}$ -direct summand of a  $G$ -module  $S$ . We wish to show that  $R$  is a  $G$ -direct summand of  $S$ , that is, that there exists  $f \in \text{Hom}_{\mathfrak{o}}(S, R)$  such that  $f|R = \text{identity}$ . Using

the Steinitz-Chevalley theory **(1; 11)** of the structure of finitely generated torsion-free modules over Dedekind rings, and taking into account the hypothesis that  $R$  is an  $\mathfrak{o}$ -direct summand of  $S$ , we may write

$$S = \mathfrak{A}_1 s_1 \oplus \dots \oplus \mathfrak{A}_n s_n, \quad R = \mathfrak{A}_1 s_1 \oplus \dots \oplus \mathfrak{A}_m s_m,$$

with  $m \leq n$ , where each  $\mathfrak{A}_i$  is an  $\mathfrak{o}$ -ideal in  $K$ , and where  $s_1, \dots, s_n$  are linearly independent over  $K$ . For the remainder of this proof, let the index  $i$  range from 1 to  $n$ , and  $j$  from 1 to  $m$ .

To prove the lemma, it suffices to exhibit  $f \in \text{Hom}_A(KS, KR)$  such that  $f|KR = \text{identity}$ , and  $f$  maps  $S$  into  $R$ . (We use  $Ks$  to denote the  $K$ -module generated by  $S$ .) Let us set

$$(1) \quad f(s_i) = \sum a_{ij} s_j, \quad a_{ij} \in K,$$

thereby defining  $f \in \text{Hom}_K(KS, KR)$ . Then  $f$  maps  $S$  into  $R$  if and only if for each  $\alpha \in \mathfrak{A}_i$  we have  $\alpha a_{ij} \in \mathfrak{A}_j$ , that is, if and only if

$$(2) \quad a_{ij} \in (\mathfrak{A}_j : \mathfrak{A}_i) \quad \text{for all } i, j.$$

On the other hand, the map  $f$  defined by (1) will be an  $A$ -homomorphism with  $f|KR = \text{identity}$ , if and only if for all  $x \in G, s \in S, r \in R$ :

$$f(xs) = xf(s), \quad f(r) = r.$$

Let us set

$$G = \mathfrak{o}x_1 + \dots + \mathfrak{o}x_t.$$

This is possible since **(2, p. 70)**  $G$  is a finitely generated  $\mathfrak{o}$ -module. Then  $f$  is an  $A$ -homomorphism with  $f|KR = \text{identity}$ , if and only if

$$(3) \quad f(x_k s_i) = x_k f(s_i), \quad f(s_j) = s_j \quad \text{for all } i, j, k,$$

where the index  $k$  ranges from 1 to  $t$ . Equations (3) are a set of linear equations with coefficients in  $K$ , to be solved for unknowns  $\{a_{ij}\}$  satisfying (2).

From the hypotheses of the lemma we deduce that for each  $\mathfrak{p}$ , (3) has a solution  $\{a_{ij}\}$  satisfying  $a_{ij} \in (\mathfrak{A}_j : \mathfrak{A}_i) \mathfrak{o}_{\mathfrak{p}}$  for all  $i, j$ . Thus (3) is solvable over the extension field  $K_{\mathfrak{p}}$  of  $K$ , and hence is also solvable over  $K$ . The general solution of (3) over  $K$  is given by

$$(4) \quad a_{ij} = e_{ij}/d_{ij}, \quad e_{ij} = e_{ij}(t) = b_{ij} + \sum_{v=1}^N c_{ij}^{(v)} t_v,$$

where the  $b_{ij}, c_{ij}^{(v)}, d_{ij}$  are fixed elements of  $\mathfrak{o}, d_{ij} \neq 0$ , and where  $t$  ranges over all  $N$ -tuples in  $K^N$ . The general solution of (3) over  $K_{\mathfrak{p}}$  is also given by (4) by letting  $t$  range over  $K_{\mathfrak{p}}^N$ . Then for each  $\mathfrak{p}$ , we can find  $t(\mathfrak{p})$  for which

$$(5) \quad e_{ij}(t(\mathfrak{p})) \in \mathfrak{B}_{ij} \mathfrak{o}_{\mathfrak{p}} \quad \text{for all } i, j,$$

where  $\mathfrak{B}_{ij} = (\mathfrak{A}_j : \mathfrak{A}_i) d_{ij}$ .

For each  $\mathfrak{p}$ , let  $b(\mathfrak{p})$  be the maximal exponent to which  $\mathfrak{p}$  occurs in the prime ideal factorizations of the ideals  $\mathfrak{B}_{ij}$ . Then  $b(\mathfrak{p}) = 0$  except for a finite set of primes. Set  $P = \{\mathfrak{p} : b(\mathfrak{p}) > 0\}$ , and choose an  $N$ -tuple  $t$  with components in  $\mathfrak{o}$  such that (componentwise)

$$t \equiv t(\mathfrak{p}) \pmod{\mathfrak{p}^{b(\mathfrak{p})}} \quad \text{for each } \mathfrak{p} \in P.$$

In that case,  $e_{ij}(t) \equiv e_{ij}(t(\mathfrak{p})) \pmod{\mathfrak{p}^{b(\mathfrak{p})}}$  for each  $\mathfrak{p} \in P$ , and all  $i, j$ , whence by (5) we have

$$(6) \quad \text{ord}_{\mathfrak{p}} e_{ij}(t) \geq \text{ord}_{\mathfrak{p}} \mathfrak{B}_{ij} \quad \text{for all } i, j,$$

for all  $\mathfrak{p} \in P$ . But for  $\mathfrak{p} \notin P$ , equation (6) is certainly valid because  $e_{ij}(t) \in \mathfrak{o}$ , and  $\text{ord}_{\mathfrak{p}} \mathfrak{B}_{ij} \leq 0$ . Hence we deduce that  $e_{ij}(t) \in \mathfrak{B}_{ij} = (\mathfrak{A}_j : \mathfrak{A}_i) d_{ij}$  for all  $i, j$ , whence (4) gives a solution of (3) for which (2) holds.

We may remark that this lemma is almost trivial when  $\mathfrak{o}$  is a principal ideal ring.

**3. Modular representations.** Now let  $R_{\mathfrak{p}}$  be a  $G_{\mathfrak{p}}$ -module, and define  $\bar{R}_{\mathfrak{p}} = R_{\mathfrak{p}}/\pi R_{\mathfrak{p}}$ ,  $\bar{G}_{\mathfrak{p}} = G_{\mathfrak{p}}/\pi G_{\mathfrak{p}}$ . To complete the proof of Theorem 1, we need only show:

**LEMMA 2.** *If  $\bar{R}_{\mathfrak{p}}$  is an  $M_u\text{-}\bar{G}_{\mathfrak{p}}$ -module (or  $M_{\mathfrak{o}}\text{-}\bar{G}_{\mathfrak{p}}$ -module), then  $R_{\mathfrak{p}}$  is an  $M_u\text{-}G_{\mathfrak{p}}$ -module (or  $M_{\mathfrak{o}}\text{-}G_{\mathfrak{p}}$ -module).*

*Proof.* Since  $\mathfrak{o}_{\mathfrak{p}}$  is a principal ideal ring, we may express the proof (given here only for  $M_{\mathfrak{o}}$ -modules) in terms of matrix representations. We must show that if  $\Gamma$  is a representation of  $G_{\mathfrak{p}}$  in  $\mathfrak{o}_{\mathfrak{p}}$  for which  $\bar{\Gamma}$  (the induced modular representation of  $\bar{G}_{\mathfrak{p}}$  in  $\bar{K}$ ) is an  $M_{\mathfrak{o}}$ -representation, then in any reduced representation

$$(7) \quad \begin{pmatrix} \Gamma & \Lambda \\ 0 & \Delta \end{pmatrix}$$

of  $G_{\mathfrak{p}}$  in  $\mathfrak{o}_{\mathfrak{p}}$ , the binding system  $\Lambda$  is strongly-equivalent to zero.

We may write  $G_{\mathfrak{p}} = \mathfrak{o}_{\mathfrak{p}}\gamma_1 \oplus \dots \oplus \mathfrak{o}_{\mathfrak{p}}\gamma_n$ ,  $\bar{G}_{\mathfrak{p}} = \bar{K}\gamma_1 \oplus \dots \oplus \bar{K}\gamma_n$ . We shall show the existence of a matrix  $T$  over  $\mathfrak{o}_{\mathfrak{p}}$  such that

$$(8) \quad \Lambda(\gamma_i) = \Gamma(\gamma_i)T - T\Delta(\gamma_i) \quad \text{for each } i,$$

where in this proof the index  $i$  ranges from 1 to  $n$ . By taking residue classes mod  $\mathfrak{p}^*$ , the representation (7) gives a representation

$$\begin{pmatrix} \bar{\Gamma} & \bar{\Lambda} \\ 0 & \Delta \end{pmatrix}$$

of  $\bar{G}_{\mathfrak{p}}$  in  $\bar{K}$ . Since  $\bar{\Gamma}$  is by hypothesis an  $M_{\mathfrak{o}}$ -representation, the binding system  $\bar{\Lambda}$  is strongly-equivalent to zero over  $\bar{K}$ . Therefore there exists  $V_1$  over  $\mathfrak{o}_{\mathfrak{p}}$  such that

$$(9) \quad \Lambda(\gamma_i) = \Gamma(\gamma_i)V_1 - V_1\Delta(\gamma_i) + \pi \Lambda^{(1)}(\gamma_i) \quad \text{for each } i,$$

where  $\Lambda^{(1)}$  is also over  $\mathfrak{o}_p$ . But then (7) with  $\Lambda$  replaced by  $\Lambda^{(1)}$  gives another  $\mathfrak{o}_p$ -representation of  $G_p$ , whence the same argument shows

$$\Lambda^{(1)}(y_i) = \Gamma(y_i) V_2 - V_2 \Delta(y_i) + \pi \Lambda^{(2)}(y_i) \quad \text{for all } i,$$

where  $V_2$  and  $\Lambda^{(2)}$  are over  $\mathfrak{o}_p$ . Continuing in this way, we obtain a solution of (8) given by  $T = V_1 + \pi V_2 + \pi^2 V_3 + \dots$ .

This proof could also have been stated in terms of cohomology groups.

**4. Frobenius algebra.** Suppose in this section that  $G$  is a Frobenius algebra over  $\mathfrak{o}$ , that is, there exist  $\mathfrak{o}$ -bases  $\{u_i\}, \{v_i\}$  of  $G$  (called *dual* bases) such that the right regular representation of  $G$  with respect to  $\{v_i\}$  coincides with the left regular representation with respect to  $\{u_i\}$ . Assume that  $G$  has an  $\mathfrak{o}$ -basis containing  $e$ . Ikeda showed (5) that  $M_0$ - and  $M_u$ -modules were the same, and that a  $G$ -module  $R$  is an  $M$ - $G$ -module if and only if there exists an  $\mathfrak{o}$ -endomorphism  $\phi$  of  $R$  such that

$$(10) \quad \sum u_i \phi v_i = \text{identity endomorphism of } R.$$

Gaschütz (3) had shown this for the case where  $G = \mathfrak{o}(H)$ ,  $H = \text{finite group}$ , with (10) replaced by:

$$(11) \quad \sum_{h \in H} h \phi h^{-1} = \text{identity endomorphism of } R.$$

We may use Ikeda's result to obtain an immediate proof of Theorem 2. By hypothesis,  $R$  is an  $M$ - $G$ -module, whence (10) holds for some  $\mathfrak{o}$ -endomorphism  $\phi$ . But then clearly  $\phi$  induces a  $\bar{K}$ -endomorphism  $\bar{\phi}$  of  $\bar{R}$ , and  $\sum u_i \phi v_i = \text{identity endomorphism of } \bar{R}$ , so that  $\bar{R}$  is an  $M$ - $\bar{G}$ -module.

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