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Modular compactifications of the space of pointed elliptic curves II

David Ishii Smyth

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Abstract

We prove that the moduli spaces of *n*-pointed *m*-stable curves introduced in our previous paper have projective coarse moduli. We use the resulting spaces to run an analogue of Hassett's log minimal model program for $\overline{M}_{1,n}$.

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1. Introduction

In [Has05], Hassett proposed the problem of studying log canonical models of \overline{M}_g . For any $\alpha \in \mathbb{Q} \cap [0, 1]$ such that $K_{\overline{\mathcal{M}}_g} + \alpha \Delta$ is big, Hassett defined

$$\overline{M}_g(\alpha) := \operatorname{Proj} \oplus_{m \ge 0} H^0(\overline{\mathcal{M}}_g, m(K_{\overline{\mathcal{M}}_g} + \alpha \Delta)),$$

where the sum ranges over sufficiently divisible m, and asked whether the spaces $\overline{M}_g(\alpha)$ admit a modular interpretation. In this paper, we consider an analogous problem for $\overline{M}_{1,n}$. For any $s \in \mathbb{Q}$,

we define

$$D(s) := s\lambda + \psi - \Delta,$$

$$R(s) := \bigoplus_{m \ge 0} H^0(\overline{\mathcal{M}}_{1,n}, mD(s)),$$

$$\overline{M}_{1,n}^s := \operatorname{Proj} R(s),$$

where λ , ψ , and Δ are certain tautological divisor classes on $\overline{\mathcal{M}}_{1,n}$ (these will be defined in § 3), and the sum defining R(s) is taken over m sufficiently divisible. We will show that the section ring R(s) is finitely generated and that the associated birational model $\overline{\mathcal{M}}_{1,n}^s$ admits a modular interpretation for all $s \in \mathbb{Q}$ such that D(s) is big. In fact, the birational models arising in this construction are precisely the moduli spaces of m-stable curves introduced in [Smy11].

Recall that an *n*-pointed curve $(C, \{p_i\}_{i=1}^n)$ of arithmetic genus one is *m*-stable if it satisfies the following three conditions:

- (1) C has only nodes and elliptic *l*-fold points, $l \leq m$, as singularities;
- (2) if $E \subset C$ is any connected subcurve of arithmetic genus one, then

$$|E \cap C \setminus E| + |\{p_i \in E\}| > m_i$$

(3) $H^0(C, \Omega_C^{\vee}(-\Sigma)) = 0$. Equivalently:

- (a) if C is nodal, then every rational component of \tilde{C} has at least three distinguished points;
- (b) if C has a (unique) elliptic m-fold point p, and $\tilde{B}_1, \ldots, \tilde{B}_m$ denote the components of the normalization whose images contain p, then:
 - (b1) $\tilde{B}_1, \ldots, \tilde{B}_m$ each have ≥ 2 distinguished points;
 - (b2) at least one of $\tilde{B}_1, \ldots, \tilde{B}_m$ has ≥ 3 distinguished points;
 - (b3) every other component of \tilde{C} has ≥ 3 distinguished points.

In [Smy11, Theorem 3.8], we proved that the moduli stack of *n*-pointed *m*-stable curves is an irreducible, proper, Deligne–Mumford stack over Spec $\mathbb{Z}[1/6]$ for all m < n. In this paper, we work over a fixed algebraically closed field k of characteristic zero. Henceforth, $\overline{\mathcal{M}}_{1,n}(m)$ will denote the moduli stack of *m*-stable curves over k, $\overline{\mathcal{M}}_{1,n}(m)$ the corresponding coarse moduli space, and $\overline{\mathcal{M}}_{1,n}(m)^*$ the normalization of the coarse moduli space. Our main result (Corollary 4.14) is the following.

MAIN RESULT. Given $s \in \mathbb{Q}$ and $m, n \in \mathbb{N}$ satisfying m < n, we have:

(1) D(s) is big if and only if $s \in (12 - n, \infty)$;

(2)
$$\overline{M}_{1,n}^s = \begin{cases} \overline{M}_{1,n} & \text{if and only if } s \in (11,\infty), \\ \overline{M}_{1,n}(1) & \text{if and only if } s \in (10,11], \\ \overline{M}_{1,n}(m)^* & \text{if and only if } s \in (11-m, 12-m) \text{ and } m \in \{2, \dots, n-2\}, \\ \overline{M}_{1,n}(n-1)^* & \text{if and only if } s \in (12-n, 13-n]. \end{cases}$$

Remarks. (1) Note that we do not give a modular interpretation of the model $\overline{M}_{1,n}^s$ for the transitional values $s = 10, 9, \ldots, 14 - n$. At these values, the model $\overline{M}_{1,n}^s$ may be viewed as the intermediate small contraction associated to the flip $\overline{M}_{1,n}(m-1)^* \rightarrow \overline{M}_{1,n}(m)^*$.

(2) We will show that $\overline{\mathcal{M}}_{1,n}(m)$ is a smooth stack if and only if $m \leq 5$ (Corollaries 2.2 and 4.17). In particular, $\overline{\mathcal{M}}_{1,n}(m) = \overline{\mathcal{M}}_{1,n}(m)^*$ for $m \leq 5$. We do not know whether $\overline{\mathcal{M}}_{1,n}(m) = \overline{\mathcal{M}}_{1,n}(m)^*$ for $m \geq 6$.



FIGURE 1. Comparison of log minimal model program for \overline{M}_{g} and $\overline{M}_{1,n}$.

Our main result gives a complete Mori chamber decomposition of the two-dimensional slice of the effective cone of $\overline{M}_{1,n}$ spanned by λ and $\psi - \Delta$ (Figure 1). Now let us explain how this result is connected to the log minimal model program for \overline{M}_g . Recall that the canonical divisor of $\overline{\mathcal{M}}_g$ is given by $K_{\overline{\mathcal{M}}_g} = 13\lambda - 2\Delta \in \operatorname{Pic}(\overline{\mathcal{M}}_g)$, so $K_{\overline{\mathcal{M}}_g} + \alpha\Delta$ is numerically proportional to a uniquely defined divisor of the form $s\lambda - \Delta$, where s is the slope of the divisor. We may define

$$D(s) := s\lambda - \Delta,$$

$$R(s) := \bigoplus_{m \ge 0} H^0(\overline{\mathcal{M}}_g, mD(s)),$$

$$\overline{M}_g^s := \operatorname{Proj} R(s).$$

We have $\overline{M}_g(\alpha) = \overline{M}_g^s$ for $s = 13/(2 - \alpha)$, so describing the birational models $\overline{M}_g(\alpha)$ is equivalent to describing the models \overline{M}_g^s . In this notation, results of Hassett and Hyeon [HH06, HH09] give the following theorem.

THEOREM (Hassett-Hyeon). For $s \in (10 - \epsilon, \infty)$, where $\epsilon > 0$ is a sufficiently small rational number, the log canonical models \overline{M}_{g}^{s} are given by:

$$\overline{M}_{g}^{s} = \begin{cases} \overline{M}_{g} & \text{if } s \in (11, \infty), \\ \overline{M}_{g}^{\text{ps}} & \text{if } s \in (10, 11], \\ \overline{M}_{q}^{\text{qs}} & \text{if } s \in (10 - \epsilon, 10), \end{cases}$$

where $\overline{M}_{g}^{\text{ps}}$ is the moduli space of pseudostable curves (in which elliptic tails are replaced by cusps) and $\overline{M}_{g}^{\text{qs}}$ is the moduli space of quasistable curves (in which elliptic tails and bridges are replaced by cusps and tacnodes).

Our results for $\overline{M}_{1,n}$ are connected to the log minimal model program for \overline{M}_g by the following observation: for $g \gg 0$, we may define a closed immersion

$$i:\overline{\mathcal{M}}_{1,n}\hookrightarrow\overline{\mathcal{M}}_q,$$

by gluing fixed tails of genus g_1, \ldots, g_n (satisfying $g_1 + \cdots + g_n + 1 = g$) onto the *n* marked points. One easily checks that the restriction of the divisor $s\lambda - \Delta$ on $\overline{\mathcal{M}}_g$ to the subvariety $i(\overline{\mathcal{M}}_{1,n})$ is simply $s\lambda + \psi - \Delta$, i.e. $i^*D(s) = D(s)$. Thus, our results track the effect of the Hassett-Keel log minimal model program on $\overline{\mathcal{M}}_{1,n}$, viewed as a subvariety of $\overline{\mathcal{M}}_g$. In our view, the fact that

every birational model $\overline{M}_{1,n}^s$ admits a modular interpretation gives strong evidence that the models \overline{M}_g^s should admit a modular interpretation. Furthermore, our results suggest that elliptic *m*-fold points should arise in the moduli problem associated to \overline{M}_g^s at slope s = 12 - m.

Finally, we should remark that our main result can also be formulated as running a log minimal model program on $\overline{M}_{1,n}$ provided one scales Δ_{irr} rather than Δ . Here, Δ_{irr} denotes the irreducible component of the boundary whose generic point parameterizes an irreducible curve, and we set $\Delta_{red} := \overline{\Delta} \setminus \Delta_{irr}$. Using the relations in $\operatorname{Pic}(\overline{\mathcal{M}}_{1,n})$ (Proposition 3.1), one easily checks that

$$s\lambda + \psi - \Delta \equiv K_{\overline{\mathcal{M}}_{1,n}} + \alpha \Delta_{\operatorname{irr}} + \Delta_{\operatorname{red}}$$
 if and only if $\alpha = \frac{s-1}{12}$.

Thus, our main result is equivalent to the statement

$$\begin{split} \operatorname{Proj} \oplus_{m \geqslant 0} & \Gamma(\overline{\mathcal{M}}_{1,n}, m(K_{\overline{\mathcal{M}}_{1,n}} + \alpha \Delta_{\operatorname{irr}} + \Delta_{\operatorname{red}})) \\ &= \begin{cases} \overline{\mathcal{M}}_{1,n} & \text{if and only if } \alpha \in (5/6, \infty), \\ \overline{\mathcal{M}}_{1,n}(1) & \text{if and only if } \alpha \in (3/4, 5/6], \\ \overline{\mathcal{M}}_{1,n}(m)^* & \text{if and only if } \alpha \in \left(\frac{10-m}{12}, \frac{11-m}{12}\right), \\ \overline{\mathcal{M}}_{1,n}(n-1)^* & \text{if and only if } \alpha \in \left(\frac{11-n}{12}, \frac{12-n}{12}\right]. \end{split}$$

Note that α becomes negative when $m \ge 11$, so that the birational models $\overline{M}_{1,n}(m)^*$ are only log canonical models for $m \le 10$. An amusing consequence of this result is that the normalization of a versal deformation space for an elliptic *m*-fold point has log canonical singularities for $m \le 10$. As far as we know, there is no proof of this fact by means of pure deformation theory.

It is natural to ask whether the log canonical models $\operatorname{Proj} \oplus_{m \ge 0} \Gamma(\overline{\mathcal{M}}_{1,n}, m(K_{\overline{\mathcal{M}}_{1,n}} + \alpha \Delta))$ can be given a modular interpretation. In forthcoming work, we will extend our main result by considering

$$D(s,t) := s\lambda + t\psi - \Delta,$$

$$R(s,t) := \bigoplus_{m \ge 0} H^0(\overline{\mathcal{M}}_{1,n}, mD(s,t)),$$

$$\overline{\mathcal{M}}_{1,n}^{s,t} := \operatorname{Proj} R(s,t).$$

We will show that each birational model $\overline{M}_{1,n}^{s,t}$ is isomorphic to the normalization of one of the moduli spaces of (m, \mathcal{A}) -stable curves $\overline{M}_{1,\mathcal{A}}(m)$ introduced in [Smy11]. It is easy to see that $K_{\overline{\mathcal{M}}_{1,n}} + \alpha \Delta$ is numerically equivalent to a divisor of the form D(s, t), so we obtain an affirmative answer to the preceding question.

1.1 Notation

Throughout this paper, we work over a fixed algebraically closed field k of characteristic zero. An n-pointed curve $(C, \{p_i\}_{i=1}^n)$ is a reduced, connected, one-dimensional scheme of finite type over k with n distinct smooth points $p_1, \ldots, p_n \in C$. A family of n-pointed curves $(f : \mathcal{C} \to T, \{\sigma_i\}_{i=1}^n)$ is a flat, proper morphism $\mathcal{C} \to T$ with n sections $\{\sigma_i\}_{i=1}^n$, whose geometric fibers are n-pointed curves. We will frequently refer to definitions introduced in our earlier paper [Smy11].

1.2 Outline of paper

In this section, we outline the contents of this paper. In §2, we study the stratification of $\overline{\mathcal{M}}_{1,n}(m)$ by singularity type, i.e. the stratification

$$\overline{\mathcal{M}}_{1,n}(m) = \mathcal{M}_{1,n} \coprod \mathcal{E}_0 \coprod \mathcal{E}_1 \coprod \cdots \coprod \mathcal{E}_m,$$

where \mathcal{E}_0 is the locus of singular curves with only nodal singularities, and \mathcal{E}_l $(l \ge 1)$ is the locus of curves with an elliptic *l*-fold point. In § 2.1, we use deformation theory to analyze local properties of $\overline{\mathcal{M}}_{1,n}(m)$ and of the individual strata \mathcal{E}_l . In § 2.2, we study the 'moduli of attaching data' of the elliptic *m*-fold point. We show that isomorphism classes of elliptic *m*-fold pointed curves with given pointed normalization $(\tilde{C}, \{q_i\}_{i=1}^m)$ are naturally parameterized by $(k^*)^{m-1}$. In § 2.3, we construct a modular compactification $(k^*)^{m-1} \subset \mathbb{P}^{m-1}$ by considering all isomorphism classes of elliptic *m*-fold pointed curves whose normalization is obtained from the given $(\tilde{C}, \{q_i\}_{i=1}^m)$ by sprouting semistable \mathbb{P}^1 s along a proper subset of the $\{q_i\}_{i=1}^m$. We show that this construction is compatible with families, i.e. given a family of pointed normalizations $(\pi : \tilde{C} \to T, \{\sigma_i\}_{i=1}^m)$, we consider

$$E := \bigoplus_{i=1}^{m} \sigma_i^* \mathscr{O}_{\tilde{\mathcal{C}}}(-\sigma_i),$$
$$\mathbb{P} := \mathbb{P}(E) \to T,$$

and we construct a family of curves over \mathbb{P} , whose fibers range over all isomorphism classes of elliptic *m*-fold pointed curves whose normalization is obtained from a fiber $(\tilde{C}_t, \{\sigma_i(t)\}_{i=1}^m)$ by sprouting semistable \mathbb{P}^1 s along a proper subset of $\{\sigma_i(t)\}_{i=1}^m$. In § 2.4, we use this construction to describe the strata \mathcal{E}_l explicitly as projective bundles over products of moduli spaces of genus zero stable curves. This explicit description will be a key tool for subsequent intersection-theoretic calculations.

In §3, we establish a framework for doing intersection theory on $\overline{M}_{1,n}(m)$. The fact that $\overline{M}_{1,n}(m)$ may be non-normal for large m presents a technical difficulty, which we circumvent by simply passing to the normalization $\overline{M}_{1,n}(m)^*$. In §3.1, we show that $\overline{M}_{1,n}(m)^*$ is Q-factorial and that $\operatorname{Pic}_{\mathbb{Q}}(\overline{M}_{1,n}(m)^*)$ is naturally generated by tautological classes. In §3.2, we explain how to evaluate the degrees of tautological classes on one-parameter families of m-stable curves. The usual heuristics for nodal curves are not sufficient, since families of m-stable curves exhibit novel features not encountered with stable curves. For example, one can have non-isotrivial families of m-stable curves whose pointed normalization is isotrivial. Furthermore, whereas the limit of a node is always a node in a family of stable curves, non-disconnecting nodes degenerate to more complicated singularities in families of m-stable curves. We explain techniques for computing the degree of tautological classes on such families.

In §4, we prove our main result. In §4.1, we analyze the birational contraction $\phi : \overline{M}_{1,n} \dashrightarrow \overline{M}_{1,n}(m)^*$, and show that

$$\phi^* \phi_* D(s) - D(s) \ge 0 \quad \text{for } s \le 12 - m.$$

This implies that the section ring of D(s) on $\overline{M}_{1,n}$ is identical to the section ring of $\phi_*D(s)$ in $\overline{M}_{1,n}(m)^*$. Thus, to prove $\overline{M}_{1,n}^s = \overline{M}_{1,n}(m)^*$, it suffices to show that $\phi_*D(s)$ is ample. In §4.2, we use the intersection theory developed in §3 to prove that $\phi_*D(s)$ has positive intersection on every curve in $\overline{M}_{1,n}(m)^*$ for $s \in (m, m + 1)$. We then apply Kleiman's criterion to conclude that the divisor D(s) is ample. Section 4.3 is logically independent of the rest of the paper; we use a discrepancy calculation to prove that the stacks $\overline{\mathcal{M}}_{1,n}(m)$ must be singular for $m \ge 6$.

2. Geometry of $\overline{\mathcal{M}}_{1,n}(m)$

2.1 Deformation theory

The deformation theory of stable curves implies that $\overline{\mathcal{M}}_{1,n}$ is a smooth Deligne–Mumford stack with normal crossing boundary, and that $\overline{\mathcal{M}}_{1,n}$ has a locally closed stratification by topological type. In this section, we investigate the corresponding properties for $\overline{\mathcal{M}}_{1,n}(m)$. We assume that the reader is familiar with formal deformation theory (as in [Ser06]), and consider the following deformation functors, from the category of Artinian k-algebras with residue field k to sets.

 $Def_{(C,\{p_i\}_{i=1}^n)} : A \to \{ Flat \text{ deformations of } C \text{ over } A \text{ with } n \text{ sections } \sigma_1, \ldots, \sigma_n \}, \\ Def_C : A \to \{ Flat \text{ deformations of } C \text{ over } A \}, \\ Def_{(a_i \in C)} : A \to \{ Flat \text{ deformations of } Spec \mathscr{O}_{C,a_i} \text{ over } A \}.$

LEMMA 2.1. Suppose that $(C\{p_i\}_{i=1}^n)$ is a pointed curve with reduced singular points $q_1, \ldots, q_m \in C$. The natural morphisms of deformation functors

$$\operatorname{Def}_{(C,\{p_i\}_{i=1}^n)} \to \operatorname{Def}_C \to \prod_{i=1}^m \operatorname{Def}_{(q_i \in C)}$$

are formally smooth of relative dimensions n and $h^1(C, \Omega_C^{\vee})$, respectively.

Proof. Since the marked points p_1, \ldots, p_n are smooth,

$$\operatorname{Def}_{(C, \{p_i\}_{i=1}^n)} \to \operatorname{Def}_C$$

is clearly formally smooth of relative dimension n. The fact that

$$\operatorname{Def}_C \to \prod_{i=1}^m \operatorname{Def}_{(q_i \in C)}$$

is formally smooth of relative dimension $h^1(C, \Omega_C^{\vee})$ is contained in [DM69, Proposition 1.5] under the assumption that C has local complete intersection singularities, but elliptic *m*-fold points are not local complete intersections for $m \ge 5$. Thus, we must use the cotangent complex.

By [GLS07, C.4.8 and C.5.1], there exist a sequence of sheaves $\{\mathcal{T}_C^i : i \ge 0\}$, a sequence of finite-dimensional k-vector spaces $\{T_C^i : i \ge 0\}$, and a spectral sequence $E_2^{p,q} = H^p(\mathcal{T}_C^q) \to \mathcal{T}_C^{p+q}$ with the following properties:

- (1) the sheaves $\{T_C^i : i \ge 1\}$ are supported on the singular locus of C;
- (2) $\mathcal{T}_C^0 = \mathscr{H}om(\Omega_C, \mathscr{O}_C);$
- (3) $T_C^1 = \operatorname{Def}_C(k[\epsilon]/(\epsilon^2));$
- (4) T_C^2 is an obstruction theory for Def_C ;
- (5) $H^0(C, (\mathcal{T}^1_C)_q) = \text{Def}_{(q \in C)}(k[\epsilon]/(\epsilon^2));$
- (6) $H^0(C, (\mathcal{T}^2_C)_q)$ is an obstruction theory for $\operatorname{Def}_{(q \in C)}$.

Since C is a curve and \mathcal{T}_C^1 is supported on the singular locus, we have $H^2(\mathcal{T}_C^0) = 0$ and $H^1(\mathcal{T}_C^1) = 0$. The spectral sequence $E_2^{p,q}$ then gives an exact sequence

$$0 \to H^1(\mathcal{T}^0_C) \to T^1_C \to H^0(\mathcal{T}^1_C) \to 0 \to T^2_C \to H^0(\mathcal{T}^2_C).$$

Since \mathcal{T}_C^1 and \mathcal{T}_C^2 are supported on the singular locus, we have

$$H^{0}(\mathcal{T}_{C}^{1}) = \bigoplus_{i=1}^{m} H^{0}(X, (\mathcal{T}_{C}^{1})_{q_{i}}),$$

$$H^{0}(\mathcal{T}_{C}^{2}) = \bigoplus_{i=1}^{m} H^{0}(X, (\mathcal{T}_{C}^{2})_{q_{i}}).$$

Thus, the exact sequence shows that $\operatorname{Def}_C \to \bigoplus_{i=1}^m \operatorname{Def}_{(q_i \in C)}$ induces a surjection on first-order deformations and an injection on obstruction spaces. Formal smoothness follows by [Ser06, Proposition 2.3.6]. Finally, the relative dimension of the map on first-order deformations is evidently dim $H^1(\mathcal{T}_C^0) = h^1(C, \Omega_C^{\vee})$.

COROLLARY 2.2. $\overline{\mathcal{M}}_{1,n}(m)$ is smooth if and only if $m \leq 5$.

Proof. By Lemma 2.1, $\overline{\mathcal{M}}_{1,n}(m)$ is smooth at a point $[C] \in \overline{\mathcal{M}}_{1,n}(m)$ if and only if the local rings $\mathscr{O}_{C,p}$ have unobstructed deformations for all singular points $p \in C$. For m = 1, 2, 3, the elliptic m-fold point is a local complete intersection, hence has unobstructed deformations. The cases m = 4, 5 are handled by slightly less well-known criteria: the local ring $\mathscr{O}_{C,p}$ is a Cohen–Macaulay quotient of a regular local ring of dimension three when m = 4, and a Gorenstein quotient of a regular local ring of dimension four when m = 5 (see [Smy11, Proposition 2.5]). There is a determinantal structure theorem for such local rings, which implies that they have unobstructed deformations [Har10, Theorems 8.3 and 9.7]. This shows that $\overline{\mathcal{M}}_{1,n}(m)$ is smooth when $m \leq 5$. We will show that $\overline{\mathcal{M}}_{1,n}(m)$ is singular for $m \geq 6$ in § 4.3.

COROLLARY 2.3. The boundary $\Delta \subset \overline{\mathcal{M}}_{1,n}(m)$ is normal crossing if and only if m = 0.

Proof. If $m \ge 1$, then there exists an *m*-stable curve $(C, \{p_i\}_{i=1}^n)$ with a single cusp $q \in C$ and no other singular points. The family

Spec
$$k[a, b, x, y]/(y^2 = x^3 + ax + b) \rightarrow \text{Spec } k[a, b]$$

is a miniversal deformation for the cusp and in these coordinates the locus of singular deformations is cut out by $b^2 - 4a^3$. It follows from Lemma 2.1 that, locally around $[C, \{p_i\}_{i=1}^n] \in \overline{\mathcal{M}}_{1,n}(m)$, we can choose two smooth coordinates a and b such that Δ is defined by the equation $b^2 - 4a^3$. In particular, Δ is not a normal crossing divisor.

COROLLARY 2.4 (Stratification of $\overline{\mathcal{M}}_{1,n}(m)$ by singularity type). Consider the set-theoretic decomposition given by

$$\overline{\mathcal{M}}_{1,n}(m) = \mathcal{M}_{1,n} \coprod \mathcal{E}_0 \coprod \mathcal{E}_1 \coprod \cdots \coprod \mathcal{E}_m,$$

where \mathcal{E}_i are defined by

 $\mathcal{E}_0 := \{ [C] \in \overline{\mathcal{M}}_{1,n}(m) \mid C \text{ is singular with only nodal singularities} \}, \\ \mathcal{E}_l := \{ [C] \in \overline{\mathcal{M}}_{1,n}(m) \mid C \text{ has an elliptic } l\text{-fold point} \}.$

Then we have:

- (1) $\mathcal{E}_l \subset \overline{\mathcal{M}}_{1,n}(m)$ is a locally closed substack;
- (2) for $l \ge 1$, \mathcal{E}_l is smooth;
- (3) \mathcal{E}_0 has normal crossing singularities and pure codimension one;
- (4) $\overline{\mathcal{E}_l} \subset \mathcal{E}_l \coprod \mathcal{E}_{l+1} \coprod \mathcal{E}_{l+2} \coprod \cdots \coprod \mathcal{E}_m.$

Proof. First, we show that if $l \ge 1$, then $\mathcal{E}_l \subset \overline{\mathcal{M}}_{1,n}(m)$ is smooth and locally closed. Suppose that $(C, \{p_i\}_{i=1}^n)$ is an *m*-stable curve with an elliptic *l*-fold point $q_0 \in C$ and nodes $q_1, \ldots, q_k \in C$. There exists an etale neighborhood of $[C, \{p_i\}_{i=1}^n]$, say

$$\pi: (U,0) \to \overline{\mathcal{M}}_{1,n}(m),$$
$$0 \to [C, \{p_i\}_{i=1}^n].$$

and a morphism

$$s: U \to \prod_{i=0}^{k} \operatorname{Ver}(q_i \in C) \to \operatorname{Ver}(q_0 \in C),$$

where $\operatorname{Ver}(q_i \in C)$ is the base of a miniversal deformation of the singularity $q_i \in C$. Note that $\pi^{-1}(\mathcal{E}_l) \subset U$ is simply the fiber of s over $s(0) \in \operatorname{Ver}(C, q_0)$. Using Lemma 2.1 and the fact that the miniversal deformation space of a node is smooth, we conclude that s is smooth in a neighborhood of 0, so $s^{-1}(s(0)) \subset U$ is a smooth, closed subvariety of U. It follows that $\mathcal{E}_l \subset \overline{\mathcal{M}}_{1,n}(m)$ is smooth and locally closed.

The argument that \mathcal{E}_0 is locally closed with pure codimension one and normal crossing singularities is essentially identical: if $(C, \{p_i\}_{i=1}^n)$ is an *m*-stable curve with nodes $q_1, \ldots, q_k \in C$, there are an etale neighborhood U of $[C, \{p_i\}_{i=1}^n] \in \overline{\mathcal{M}}_{1,n}(m)$ and maps

$$s_i: U \to \prod_{i=1}^k \operatorname{Ver}(q_i \in C) \to \operatorname{Ver}(C, q_i),$$

and $\pi^{-1}(\mathcal{E}_0)$ is the union of the fibers $s_i^{-1}(s_i(0))$ for $i = 1, \ldots, k$.

Finally, to see that $\overline{\mathcal{E}_l} = \mathcal{E}_l \coprod \mathcal{E}_{l+1} \coprod \mathcal{E}_{l+2} \coprod \cdots \coprod \mathcal{E}_m$, it is sufficient to note that elliptic *m*-fold points only deform to elliptic *l*-fold points if l < m. This fact is proved in [Smy11, Lemma 3.10].

In order to describe the strata \mathcal{E}_l explicitly, we need to understand the moduli of attaching data of the elliptic *m*-fold point.

2.2 Moduli of attaching data of the elliptic m-fold point

It is well known that if $q \in C$ is a node, then C is determined (up to isomorphism) by its normalization \tilde{C} and the two points q_1, q_2 lying above the node. Indeed, one can recover C as follows: take $\tilde{C}/(q_1 \sim q_2)$ to be the underlying topological space of C and define the sheaf of regular functions on C to be the subsheaf of $\mathscr{O}_{\tilde{C}}$ generated by all functions which vanish at q_1 and q_2 . By contrast, if $q \in C$ is an elliptic *m*-fold point, then the isomorphism class of C is not determined by the pointed normalization $(\tilde{C}, \{q_i\}_{i=1}^m)$.

In order to study the moduli of attaching data of the elliptic *m*-fold point, let us fix a curve \tilde{C} with *m* distinct smooth points, say $q_1, \ldots, q_m \in \tilde{C}$, and define the following two sets:

$$\underline{\text{Attaching Moduli}} := \{ (C, q) \mid (C, q) \text{ satisfies (a) and (b)} \} / \simeq,$$

$$\underline{\text{Attaching Maps}} := \{ \pi : (\tilde{C}, \{q_i\}_{i=1}^m) \to (C, q) \mid \pi \text{ satisfies (a) and (c)} \} / \simeq,$$

where the conditions (a), (b), and (c) refer to:

- (a) $q \in C$ is an elliptic *m*-fold point;
- (b) the normalization of (C, q) is isomorphic to $(\tilde{C}, \{q_i\}_{i=1}^m)$;
- (c) π is the normalization of (C, q).

As usual, an isomorphism between two maps, say $\pi : (\tilde{C}, \{q_i\}_{i=1}^m) \to (C, q)$ and $\pi' : (\tilde{C}, \{q_i\}_{i=1}^m) \to (C', q')$, consists of an isomorphism $i : (C, q) \simeq (C', q')$ such that the obvious diagram commutes. There is a surjection

Attaching Maps
$$\rightarrow$$
 Attaching Moduli,

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given by forgetting the map, and two maps have the same image in moduli if and only if they differ by an automorphism of $(\tilde{C}, \{q_i\}_{i=1}^m)$. Thus, we have

Attaching Moduli
$$\simeq$$
 Attaching Maps $/\operatorname{Aut}(C, \{q_i\}_{i=1}^m)$.

Remark 2.5. For simplicity, we will assume that every automorphism of \tilde{C} which fixes the set $\{q_i\}_{i=1}^m$ actually fixes the points q_i individually. This holds when $(\tilde{C}, \{q_i\}_{i=1}^m)$ consists of m distinct non-isomorphic connected components, each containing one of the points q_i , and this is the only case we need.

Now let us consider the problem of parameterizing these sets algebraically. Given

$$\pi: (C, \{q_i\}_{i=1}^m) \to (C, q)$$

satisfying (a) and (c), [Smy11, Lemma 2.2] implies that we obtain a codimension-one subspace

$$\pi^*(T_q^{\vee}) \subset \oplus_{i=1}^m T_{q_i}^{\vee}$$

satisfying $\pi^*(T_q^{\vee}) \supseteq T_{q_i}^{\vee}$ for each $i = 1, \ldots, m$. Since \mathscr{O}_C can be recovered as the sheaf generated by (arbitrary lifts of) a basis of $\pi^*T_q^{\vee}$, together with all functions vanishing to order at least two along q_1, \ldots, q_m , this subspace determines the map up to isomorphism. Conversely, any codimension-one subspace

$$V \subset \bigoplus_{i=1}^m T_{q_i}^{\vee},$$

with the property that $V \supseteq T_{q_i}^{\vee}$ for any $i = 1, \ldots, m$, gives rise to a map $\pi : \tilde{C} \to C$ simply by identifying the points q_1, \ldots, q_m , and declaring \mathscr{O}_C to be the push forward of the subsheaf of $\mathscr{O}_{\tilde{C}}$ generated by (arbitrary lifts of) a basis of V, together with all functions vanishing to order at least two along q_1, \ldots, q_m . By [Smy11, Lemma 2.2], the singular point $\pi(q_1) = \cdots = \pi(q_m) \in C$ is an elliptic *m*-fold point. In sum, we have established the following.

LEMMA 2.6. Let $\mathbb{P} := \mathbb{P}(\bigoplus_{j=1}^{m} T_{q_i}^{\vee})$ denote the projective space of hyperplanes in $\bigoplus_{j=1}^{m} T_{q_i}^{\vee}$, and let $H_i \subset \mathbb{P}$ be the coordinate hyperplane $H_i := \mathbb{P}(\bigoplus_{j \neq i} T_{q_j}^{\vee})$. Then we have a natural bijection

$$\frac{\text{Attaching Maps}}{\pi} \leftrightarrow \mathbb{P} \setminus (H_1 \cup \dots \cup H_m),$$
$$\pi \to \pi^*(T_q^{\vee}).$$

COROLLARY 2.7. If $\operatorname{Aut}(\tilde{C}, \{q_i\}_{i=1}^m) = \{0\}$, then we have a natural bijection

Attaching Moduli
$$\leftrightarrow \mathbb{P} \setminus (H_1 \cup \cdots \cup H_m).$$

In the following lemma, we extend this description to the case when $(\tilde{C}, \{q_i\}_{i=1}^m)$ has automorphisms.

LEMMA 2.8. Suppose that the image of the natural map

$$\operatorname{Aut}(C, \{q_i\}_{i=1}^m) \to \bigoplus_{i=1}^m \operatorname{Aut}(T_{q_i}^{\vee})$$

is precisely

$$\oplus_{i \in S} \operatorname{Aut}(T_{a_i}^{\vee}),$$

for some proper subset $S \subset \{1, \ldots, m\}$. Let $\mathbb{P}, H_1, \ldots, H_m$ be defined as before and set

$$H_S := \bigcap_{i \in S} H_i = \mathbb{P}(\bigoplus_{i \notin S} T_{q_i}^{\vee}).$$

Then we have a natural bijection

Attaching Moduli
$$\leftrightarrow H_S \setminus \bigcup_{i \notin S} (H_i \cap H_S).$$

Proof. Consider the map

Attaching Maps
$$\rightarrow \mathbb{P} \setminus (H_1 \cup \cdots \cup H_m) \rightarrow H_S \setminus \bigcup_{i \notin S} (H_i \cap H_S),$$

defined by

$$\pi \to \pi^*(T_q^{\vee}) \to \pi^*(T_q^{\vee}) \cap \oplus_{i \notin S} T_{q_i}^{\vee}.$$

Two distinct maps differ by an element of $\operatorname{Aut}(\tilde{C}, \{q_i\}_{i=1}^m)$ if and only if the corresponding subspaces $\pi^*(T_q^{\vee}) \subset \bigoplus_{i=1}^m T_{q_i}^{\vee}$ differ by an element of $\bigoplus_{i \in S} \operatorname{Aut}(T_{q_i}^{\vee})$. Since

Attaching Moduli
$$\simeq$$
 Attaching Maps /Aut($\tilde{C}, \{q_i\}_{i=1}^m$),

it suffices to show that two subspaces $\pi^*(T_q^{\vee}) \subset \bigoplus_{i=1}^m T_{q_i}^{\vee}$ differ by an element of $\bigoplus_{i \in S} \operatorname{Aut}(T_{q_i}^{\vee})$ if and only if they have the same projection $\pi^*(T_q^{\vee}) \cap \bigoplus_{i \notin S} T_{q_i}^{\vee}$.

To see this explicitly, order the branches so that $S = \{1, \ldots, k\}$, choose uniformizers t_1, \ldots, t_m on the normalization, and pick coordinates for $\mathbb{P} \setminus (H_1 \cup \cdots \cup H_m)$ so that the point $(c_1, \ldots, c_m) \in (k^*)^m$ corresponds to the subspace spanned by

$$\begin{pmatrix} t_1 & 0 & \cdots & 0 & c_1 t_m \\ 0 & t_2 & \ddots & \vdots & c_2 t_m \\ \vdots & \ddots & \ddots & 0 & \vdots \\ 0 & \cdots & 0 & t_{m-1} & c_{m-1} t_m \end{pmatrix}$$

The projection of this subspace to $\bigoplus_{i \notin S} T_{q_i}^{\vee}$ is simply

$$\begin{pmatrix} t_{k+1} & 0 & \cdots & 0 & c_{k+1}t_{k+1} \\ 0 & t_{k+2} & \ddots & \vdots & c_{k+2}t_{k+2} \\ \vdots & \ddots & \ddots & 0 & \vdots \\ 0 & \cdots & 0 & t_{m-1} & c_{m-1}t_m \end{pmatrix}.$$

In these coordinates, an element $(\lambda_1, \ldots, \lambda_k) \in \bigoplus_{i \in S} \operatorname{Aut}(T_{q_i}^{\vee}) = (k^*)^{|S|}$ acts by

$$(\lambda_1, \ldots, \lambda_k) * (c_1, \ldots, c_{m-1}) = (\lambda_1^{-1} c_1, \ldots, \lambda_k^{-1} c_k, c_{k+1}, \ldots, c_{m-1}),$$

which shows that two subspaces are in the same orbit if and only if they have the same projection to $\bigoplus_{i \notin S} T_{q_i}^{\vee}$.

Remark 2.9. This entire discussion applies without change to the case of pointed curves, i.e. if we are given an *n*-pointed curve $(C, \{p_i\}_{i=1}^n)$ and *m* smooth points $\{q_i\}_{i=1}^m \in C$ which are distinct from the marked points, we may define

$$\underbrace{\text{Attaching Moduli}}_{\text{Attaching Maps}} := \{ (C, q, \{p_i\}_{i=1}^n) \mid (C, q, \{p_i\}_{i=1}^n) \text{ satisfies (a) and (b)} \} / \simeq, \\
\underbrace{\text{Attaching Maps}}_{\text{Attaching Maps}} := \{ \pi : (\tilde{C}, \{q_i\}_{i=1}^m, \{p_i\}_{i=1}^n) \to (C, q, \{p_i\}_{i=1}^n) \mid \pi \text{ satisfies (a) and (c)} \} / \simeq, \\
\text{here the conditions (c)} \quad (h) \text{ ord (c) refer to:}$$

where the conditions (a), (b), and (c) refer to:



FIGURE 2. Compactification of the moduli of attaching data of the planar triple point. Over the three coordinate hyperplanes in $\mathbb{P}(T_{q_1}^{\vee} \oplus T_{q_2}^{\vee} \oplus T_{q_3}^{\vee})$, the normalization sprouts a \mathbb{P}^1 at the corresponding branch.

- (a) $p \in C$ is an elliptic *m*-fold point;
- (b) the normalization of $(C, q, \{p_i\}_{i=1}^n)$ is isomorphic to $(\tilde{C}, \{q_i\}_{i=1}^m, \{p_i\}_{i=1}^n)$;
- (c) π is the normalization of $(C, q, \{p_i\}_{i=1}^n)$.

Precisely the same arguments give

Attaching Moduli \simeq Attaching Maps / Aut($\tilde{C}, \{q_i\}_{i=1}^m, \{p_i\}_{i=1}^n$),

and the statement and proof of Lemma 2.8 hold in this context, with $\operatorname{Aut}(\tilde{C}, \{q_i\}_{i=1}^m)$ replaced by $\operatorname{Aut}(\tilde{C}, \{q_i\}_{i=1}^m, \{p_i\}_{i=1}^n)$.

2.3 Construction of universal elliptic m-fold pointed families

If $(\tilde{C}, \{q_i\}_{i=1}^m)$ is a fixed curve with $\operatorname{Aut}(\tilde{C}, \{q_i\}_{i=1}^m) = 0$, Corollary 2.7 implies that

Attaching Moduli $\simeq \mathbb{P} \setminus (H_1 \cup \cdots \cup H_m) \simeq (k^*)^{m-1}$.

In this section, we construct a modular compactification $(k^*)^{m-1} \subset \mathbb{P}^{m-1}$ which is functorial with respect to the normalization $(\tilde{C}, \{q_i\}_{i=1}^m)$. The key idea is to allow the normalization $(\tilde{C}, \{q_i\}_{i=1}^m)$ to sprout a semistable \mathbb{P}^1 at q_i as the modulus of attaching data approaches the hyperplane H_i (see Figure 2).

DEFINITION 2.10 (Sprouting). Let $(\tilde{C}, \{q_i\}_{i=1}^m)$ be an *m*-pointed curve and $S \subset [m]$ a proper subset. We say that $(\tilde{C}', \{q'_i\}_{i=1}^m)$ is obtained from $(\tilde{C}, \{q_i\}_{i=1}^m)$ by sprouting at $\{q_i\}_{i\in S}$ if

$$\tilde{C}' \simeq \tilde{C} \cup E_1 \cup \cdots \cup E_{|S|},$$

where:

- (1) E_i is a smooth rational curve, nodally attached to \tilde{C} at q_i ;
- (2) for $i \in S$, q'_i is an arbitrary point of $E_i \{q_i\}$;
- (3) for $i \notin S$, $q_i = q'_i$.

Note that the isomorphism class of $(\tilde{C}', \{q'_i\}_{i=1}^m)$ is uniquely determined by $(\tilde{C}, \{q_i\}_{i=1}^m)$ and the subset $S \subset [m]$.

If
$$\operatorname{Aut}(\tilde{C}, \{q_i\}_{i=1}^m) = 0$$
 and $(\tilde{C}', \{q'_i\}_{i=1}^m)$ is obtained from $(\tilde{C}, \{q_i\}_{i=1}^m)$ by sprouting at S , then
 $\operatorname{Image}(\operatorname{Aut}(\tilde{C}', \{q'_i\}_{i=1}^m) \to \oplus_{i=1}^m \operatorname{Aut}(T_{q'_i}^{\vee})) = \oplus_{i \in S} \operatorname{Aut}(T_{q'_i}^{\vee}).$

Thus, Lemma 2.8 implies that the attaching moduli for $(\tilde{C}', \{q'_i\}_{i=1}^m)$ is given by $H_S \setminus \bigcup_{i \notin S} (H_i \cap H_S)$. As S ranges over proper subsets of [m], the locally closed subvarieties $H_S \setminus \bigcup_{i \notin S} (H_i \cap H_S)$ give a stratification of \mathbb{P} . This suggests the construction of a flat family over \mathbb{P} whose fibers range over all isomorphism classes of elliptic *m*-fold pointed curves with pointed normalization obtained from $(\tilde{C}, \{q_i\}_{i=1}^m)$ by sprouting along a proper subset of $\{q_i\}_{i=1}^m$. In fact, we can make this construction relative to a family of varying normalizations.

To set notation, let $(f : \mathcal{C} \to T, \{\tau_i\}_{i=1}^m)$ be a family of curves with $\{\tau_i\}_{i=1}^m$ mutually disjoint sections in the smooth locus of f. Let $\psi_i := \tau_i^* \mathscr{O}_{\mathcal{C}}(-\tau_i)$ be the universal cotangent bundle along τ_i , and consider the projective bundle

$$\phi: \mathbb{P}:=\mathbb{P}(\oplus_{i=1}^{m}\psi_i) \to T_{i}$$

We will abuse notation by letting f and τ_i continue to denote the pull backs p^*f and $p^*\tau_i$. For any subset $S \subset [m]$, let H_S denote the $\mathbb{P}^{m-|S|-1}$ -subbundle of \mathbb{P} corresponding to the quotient

$$\oplus_{i=1}^{m} \psi_i \to \oplus_{i \notin \{S\}} \psi_i \to 0,$$

and set

$$U_S := H_S \setminus \bigcup_{i \notin \{S\}} (H_i \cap H_S).$$

Note that, as S ranges over non-empty subsets of [m], the locally closed subschemes U_S give a stratification of \mathbb{P} .

PROPOSITION 2.11 (Construction of universal elliptic m-fold pointed families I). With notation as above, there exists a diagram



satisfying:

- (1) g, \tilde{g} are flat of relative dimension one;
- (2) ϕ is the blow-up of $\mathcal{C} \times_T \mathbb{P}$ along the smooth codimension-two locus $\bigcup_{i=1}^m (\tau_i(\mathbb{P}) \cap f^{-1}(H_i))$, and $\tilde{\tau}_i$ is the strict transform of τ_i ;
- (3) π is an isomorphism away from $\bigcup_{i=1}^{m} \tilde{\tau}_i$ and $\pi(\tilde{\tau}_1) = \cdots = \pi(\tilde{\tau}_m) = \tau$, i.e. π is the normalization of \mathcal{D} along τ ;
- (4) for each geometric point $z \in \mathbb{P}$, $\tau(z) \in D_z$ is an elliptic *m*-fold point.

Furthermore, we can describe the restriction of this diagram to a geometric point $z \in \mathbb{P}$ as follows: let $S \subset [m]$ be the unique proper subset (possibly empty) such that $z \in U_S$. Then: (5) $\phi_z: (\tilde{D}_z, \{\tilde{\tau}_i(z)\}_{i=1}^m) \to (C_z, \{\tau_i(z)\}_{i=1}^m)$, is the sprouting of C_z along $\{\tau_i(z)\}_{i\in S}$. In particular, there is a canonical identification

$$\bigoplus_{i \notin S} T_{C_z, \tau_i(z)}^{\vee} = \bigoplus_{i \notin S} T_{\tilde{D}_z, \tilde{\tau}_i(z)}^{\vee};$$

(6) $\pi_z: (\tilde{D}_z, \{\tilde{\tau}_i(z)\}_{i=1}^m) \to (D_z, \tau(z)), \text{ is the normalization of } D_z \text{ at the elliptic m-fold point } \tau(z).$ The codimension-one subspace $\pi^*(T_{D_z,\tau(z)}^{\vee}) \subset \bigoplus_{i=1}^m T_{\tilde{D}_z,\tilde{\tau}_i(z)}^{\vee}$ satisfies

$$\pi^*(T_{D_z,\tau(z)}^{\vee}) \cap \oplus_{i \notin S} T_{\tilde{D}_z,\tilde{\tau}_i(z)}^{\vee} = [z] \cap \oplus_{i \notin S} T_{C_z,\tau_i(z)}^{\vee},$$

where $[z] \subset \bigoplus_{i=1}^{m} T_{C_{z,\tau_{i}}(z)}^{\vee}$ is the codimension-one subspace corresponding to $z \in \mathbb{P}$, and we identify $\bigoplus_{i \notin S} T_{C_{z,\tau_{i}}(z)}^{\vee} = \bigoplus_{i \notin S} T_{\tilde{D}_{z},\tilde{\tau}_{i}(z)}^{\vee}$ as in (5).

Proof. To construct the diagram, first note that for each i = 1, ..., m, the codimension-two subvariety

$$f^{-1}(H_i) \cap \tau_i(\mathbb{P}) \subset \mathcal{C} \times_T \mathbb{P}$$

is contained in the smooth locus of f. Furthermore, these subvarieties are mutually disjoint. Let

$$\phi: \tilde{\mathcal{D}} \to \mathcal{C} \times_T \mathbb{P}$$

be the blow-up along the union of these subvarieties, let E_1, \ldots, E_m denote the exceptional divisors of the blow-up, and let $\tilde{\tau}_i$ denote the strict transform of τ_i . The flatness of $\tilde{g}: \tilde{\mathcal{D}} \to \mathbb{P}$ is a standard local calculation. Note that if $z \in U_S$, then the fiber over z intersects the center of the blow-up transversely at $\tau_i(z)$ for $i \in S$, so property (5) is clear.

It remains to construct the map π . Begin by considering the tautological sequence on \mathbb{P} :

$$\oplus_{i=1}^{m} p^* \psi_i \to \mathscr{O}_{\mathbb{P}}(1) \to 0$$

and let $e_j \in \text{Hom}(p^*\psi_j, \mathscr{O}_{\mathbb{P}}(1))$ be the section obtained by the composition

$$e_j: p^*\psi_j \hookrightarrow \bigoplus_{i=1}^m p^*\psi_i \to \mathscr{O}_{\mathbb{P}}(1).$$

Note that e_j vanishes to order one along H_j and is non-vanishing elsewhere. Set

$$\tilde{\psi}_i := \tilde{\tau}_i^* \mathscr{O}_{\mathcal{C}}(-\tilde{\tau}_i),$$

and note that $\phi^* \tau_i = \tilde{\tau}_i + E_i$ implies that

$$\psi_i = (p^* \psi_i)(H_i).$$

Since $e_i : p^* \psi_i \to \mathscr{O}_{\mathbb{P}}(1)$ vanishes to order one along H_i and is non-vanishing elsewhere, e_i induces an isomorphism

$$\tilde{e}_i: \tilde{\psi}_i \simeq \mathscr{O}_{\mathbb{P}}(1)$$

Taking the direct sum of these maps, we obtain an exact sequence

$$0 \to \mathcal{E} \to \oplus_{i=1}^{m} \tilde{\psi}_i \to \mathscr{O}_{\mathbb{P}}(1) \to 0,$$

with the property that, for each point $z \in \mathbb{P}$, the induced subspace

$$\mathcal{E}_z \subset \oplus_{i=1}^m T^{\vee}_{\tilde{\tau}_i(z)}$$

does not contain any of the lines $T^{\vee}_{\tilde{\tau}_i(z)}$.

It is sufficient to define ϕ locally around $\tilde{\tau}_1, \ldots, \tilde{\tau}_m$, so we may assume that \tilde{g} is smooth and affine, i.e. we may assume that

$$\mathcal{D} := \underline{\operatorname{Spec}}_{\mathscr{O}_{\mathfrak{D}}} \tilde{g}_* \mathscr{O}_{\tilde{\mathcal{D}}}.$$

We specify a sheaf of $\mathscr{O}_{\mathbb{P}}$ -subalgebras of $\tilde{g}_* \mathscr{O}_{\tilde{\mathcal{D}}}$ as follows: we consider the exact sequence on \mathbb{P} ,

$$0 \to \tilde{g}_* \mathscr{O}_{\tilde{\mathcal{D}}}(-2\tilde{\tau}_1 - \dots - 2\tilde{\tau}_m) \to \tilde{g}_* \mathscr{O}_{\tilde{\mathcal{D}}}(-\tilde{\tau}_1 - \dots - \tilde{\tau}_m) \to \bigoplus_{i=1}^m \tilde{\psi}_i \to 0,$$

and let $\mathscr{F} \subset \tilde{g}_* \mathscr{O}_{\tilde{\mathcal{D}}}(-\tilde{\tau}_1 - \cdots - \tilde{\tau}_m)$ be the inverse image of $\mathscr{E} \subset \bigoplus_{i=1}^m \tilde{\psi}_i$. Then we define $\mathscr{G} \subset \tilde{g}_* \mathscr{O}_{\tilde{\mathcal{D}}}$ to be the sheaf of $\mathscr{O}_{\mathbb{P}}$ -subalgebras generated by sections of \mathscr{F} . Setting $\mathcal{D} := \operatorname{Spec}_{\mathscr{O}_{\mathbb{P}}} \mathscr{G}$, we let π be the morphism $\tilde{\mathcal{D}} \to \mathcal{D}$ associated to the inclusion $\mathscr{G} \subset \tilde{g}_* \mathscr{O}_{\tilde{\mathcal{D}}}$.

Conclusion (3) is clear by construction, since any section of \mathscr{G} vanishes along one section τ_i if and only if it vanishes along all of them. For (4), note that for any geometric point $z \in \mathbb{P}$,

$$\pi_z^* \mathscr{O}_{D_z}(-2\tau(z)) = \mathscr{O}_{\tilde{D}_z}(-2\tilde{\tau}_1(z) - \dots - 2\tilde{\tau}_m(z)),$$

$$\pi_z^*(T_{\tau(z)}^{\vee}) = \mathcal{E}_z \subset \bigoplus_{i=1}^m T_{\tilde{\tau}_i(z)}^{\vee}.$$

Since \mathcal{E}_z does not contain any of the lines $T^{\vee}_{\tilde{\tau}_i(z)}$, $\tau(z) \in C$ is an elliptic *m*-fold point by [Smy11, Lemma 2.2]. Finally, for (6), note that if $z \in U_S$, then the inclusion $p^*\psi_i \subset \tilde{\psi}_i$ is an isomorphism in a neighborhood of z, for all $i \notin S$. Thus, we have a commutative diagram.

$$\begin{array}{c} \bigoplus_{i \notin S} \tilde{\psi}_i \longrightarrow \mathscr{O}_{\mathbb{P}}(1) \longrightarrow 0 \\ \simeq & \uparrow \qquad \simeq & \uparrow \\ \bigoplus_{i \notin S} p^* \psi_i \longrightarrow \mathscr{O}_{\mathbb{P}}(1) \longrightarrow 0 \end{array}$$

The bottom arrow is induced by the tautological sequence, while the kernel of the top arrow is $\mathcal{E} \cap \bigoplus_{i \notin S} \tilde{\psi}_i$. It follows that

$$\mathcal{E}_z \cap \oplus_{i \notin S} \oplus_{i=1}^m T^{\vee}_{\tilde{D}_z, \tilde{\tau}_i(z)} = [z] \cap \oplus_{i=1}^m T^{\vee}_{C_z, \tau_i(z)}.$$

COROLLARY 2.12. Suppose that $(f: \mathcal{C} \to T, \{\tau_i\}_{i=1}^m, \{\sigma_i\}_{i=1}^n)$ is a family of (n+m)-pointed curves satisfying:

- (1) the geometric fibers of f have no automorphisms (as pointed curves);
- (2) no two geometric fibers of f are isomorphic (as pointed curves).

Then the construction of Proposition 2.11 gives a family $(g : \mathcal{D} \to \mathbb{P}, \tau, \{\sigma_i\}_{i=1}^n)$ with the property that there is a bijection

$$\{k\text{-points } z \in \mathbb{P}\} \leftrightarrow \{(D, q, \{p_i\}_{i=1}^n) \text{ satisfying } (a), (b)\}/\simeq, \\ z \leftrightarrow (D_z, \tau(z), \{\sigma_i(z)\}_{i=1}^m),$$

where the conditions (a) and (b) are:

- (a) $q \in D$ is an elliptic *m*-fold point;
- (b) if $(\tilde{D}, \{q_i\}_{i=1}^m, \{p_i\}_{i=1}^n)$ denotes the normalization of $(D, q, \{p_i\}_{i=1}^n)$ at q, then there exist a geometric fiber of f, say $(C_t, \{\tau_i(t)\}_{i=1}^m, \{\sigma_i(t)\}_{i=1}^n)$, and a proper subset $S \subset [m]$, such that $(\tilde{D}, \{q_i\}_{i=1}^m, \{p_i\}_{i=1}^n)$ is obtained from $(C_t, \{\tau_i(t)\}_{i=1}^m, \{\sigma_i(t)\}_{i=1}^n)$ by sprouting along $\{\tau_i(t)\}_{i\in S}$.

Proof. Note that the morphisms ϕ and π constructed in Proposition 2.11 are isomorphisms in a neighborhood of the sections $\{\sigma_i\}_{i=1}^n$, so they induce sections $\{\sigma_i\}_{i=1}^n$ on $\mathcal{D} \to \mathbb{P}$.

To check the stated bijection, fix a geometric point $t \in T$ and a proper subset $S \subset [m]$, and let $(\tilde{D}, \{q_i\}_{i=1}^m, \{p_i\}_{i=1}^n)$ be the curve obtained from the fiber $f^{-1}(t)$ by sprouting along $\{\tau_i(t)\}_{i\in S}$. Since the fiber $f^{-1}(t)$ has no automorphisms, the automorphism group of $(\tilde{D}, \{q_i\}_{i=1}^m, \{p_i\}_{i=1}^n)$

is $(k^*)^{|S|}$, and we have

 $\operatorname{Image}(\operatorname{Aut}(\tilde{D}, \{q_i\}_{i=1}^m, \{p_i\}_{i=1}^n) \to \bigoplus_{i=1}^m \operatorname{Aut}(T_{q_i}^{\vee})) = \bigoplus_{i \in S} \operatorname{Aut}(T_{q_i}^{\vee}).$

Now Lemma 2.8 and conclusion (6) of Proposition 2.11 imply that the fibers of g over $p^{-1}(t) \cap U_S$ precisely range over all isomorphism classes of elliptic m-fold pointed curves whose pointed normalization is isomorphic to $(\tilde{D}, \{q_i\}_{i=1}^m, \{p_i\}_{i=1}^n)$. Since the locally closed subsets U_S stratify \mathbb{P} , the fibers of g over $p^{-1}(t)$ range over all isomorphism classes of elliptic m-fold pointed curves whose normalization is obtained from the fiber $f^{-1}(t)$ by sprouting along an arbitrary proper subset of $\{\tau_i(t)\}_{i=1}^m$. The claim follows.

In §2.4, we will need a slight modification of Proposition 2.11. Suppose that we are given a family $(\mathcal{C} \to T, \{\tau_i\}_{i=1}^l)$ with only l attaching sections, where l < m. In Proposition 2.13, we construct a universal family of elliptic *m*-fold pointed curves whose normalizations are the disjoint union of m - l smooth rational curves and a curve obtained from a fiber of f by sprouting along a proper subset of $\{\tau_i(t)\}_{i=1}^l$. As before, we define $\psi_i := \tau_i^* \mathscr{O}_{\mathcal{C}}(-\tau_i), p : \mathbb{P} := \mathbb{P}(\bigoplus_{i=1}^l \psi_i) \to T$, and abuse notation by letting f and τ_i denote the pull backs p^*f and $p^*\tau_i$. Furthermore, for each $i = l + 1, \ldots, m$, we define

$$(\mathcal{R}^i \to \mathbb{P}, \tilde{\tau}_i)$$

to be the one-pointed \mathbb{P}^1 -bundle $\mathbb{P}(\mathscr{O}_{\mathbb{P}} \oplus \mathscr{O}_{\mathbb{P}}(1)) \to \mathbb{P}$ with section $\tilde{\tau}_i$ corresponding to the quotient $\mathscr{O}_{\mathbb{P}} \oplus \mathscr{O}_{\mathbb{P}}(1) \to \mathscr{O}_{\mathbb{P}}$.

PROPOSITION 2.13 (Construction of universal elliptic m-fold pointed families II). With notation as above, there exists a diagram



satisfying:

- (1) g, \tilde{g} are flat of relative dimension one;
- (2) ϕ is the blow-up of $\mathcal{C} \times_T \mathbb{P}$ along the smooth codimension-two locus $\bigcup_{i=1}^{l} (\tau_i(\mathbb{P}) \cap f^{-1}(H_i))$, and $\tilde{\tau}_i$ is the strict transform of τ_i for $i = 1, \ldots, l$;
- (3) $i: \tilde{\mathcal{D}}^0 \to \tilde{\mathcal{D}}$ is the inclusion of $\tilde{\mathcal{D}}^0$ into the disjoint union $\tilde{\mathcal{D}}^0 \coprod \mathcal{R}^{l+1} \coprod \cdots \coprod \mathcal{R}^m$;
- (4) π is an isomorphism away from $\bigcup_{i=1}^{m} \tilde{\tau}_i$ and $\pi(\tilde{\tau}_1) = \cdots = \pi(\tilde{\tau}_m) = \tau$;
- (5) for each geometric point $z \in \mathbb{P}$, $\tau(z) \in D_z$ is an elliptic *m*-fold point.

Furthermore, we can describe the restriction of this diagram to a geometric point $z \in \mathbb{P}$ as follows. For any subset $S \subset [l]$, let $H_S \subset \mathbb{P}$ and $U_S \subset \mathbb{P}$ be defined as in Proposition 2.11, and let $S \subset [l]$ be the unique subset such that $z \in U_S$. Then we have:

(6) $\phi_z : (\tilde{D}_z^0, \{\tilde{\tau}_i(z)\}_{i=1}^l) \to (C_z, \{\tau_i(z)\}_{i=1}^l)$ is the sprouting of C_z along $\{\tau_i(z)\}_{i\in S}$. In particular, there is a canonical identification

$$\oplus_{i\in[l]\setminus S} T^{\vee}_{C_z,\tau_i(z)} = \oplus_{i\in[l]\setminus S} T^{\vee}_{\tilde{D}^0_z,\tilde{\tau}_i(z)} = \oplus_{i\in[l]\setminus S} T^{\vee}_{\tilde{D}_z,\tilde{\tau}_i(z)};$$

(7) $\pi_z: (\tilde{D}_z, \{\tilde{\tau}_i(z)\}_{i=1}^m) \to (D_z, \tau(z))$ is the normalization of D_z at the elliptic *m*-fold point $\tau(z)$. The codimension-one subspace $\pi^*(T_{D_z,\tau(z)}^{\vee}) \subset \bigoplus_{i=1}^m T_{\tilde{D}_z,\tilde{\tau}_i(z)}^{\vee}$ satisfies

$$\phi^*(T_{D_z,\tau(z)}^{\vee}) \cap \oplus_{i \in [l] \setminus S} T_{\tilde{D}_z,\tilde{\tau}_i(z)}^{\vee} = [z] \cap \oplus_{i \in [l] \setminus S} T_{C_z,\tau_i(z)}^{\vee},$$

where $[z] \subset \bigoplus_{i=1}^{l} T_{C_{z,\tau_{i}}(z)}^{\vee}$ is the codimension-one subspace corresponding to $z \in \mathbb{P}$, and we identify $\bigoplus_{i \in [l] \setminus S} T_{C_{z,\tau_{i}}(z)}^{\vee} = \bigoplus_{i \in [l] \setminus S} T_{\tilde{D}_{z},\tilde{\tau}_{i}(z)}^{\vee}$ as in (5).

Proof. The blow-up ϕ is constructed as in Proposition 2.11. To construct π , we use the sections $\{\tilde{\tau}_i\}_{i=1}^l$ on $\tilde{\mathcal{D}}^0$ and the sections $\tilde{\tau}_{l+1}, \ldots, \tilde{\tau}_m$ on $\mathcal{R}^{l+1}, \ldots, \mathcal{R}^m$. Set $\tilde{\psi}_i := \tilde{\tau}_i^* \mathcal{O}_{\tilde{\mathcal{D}}}(-\tau_i)$ and observe that, for each $i = 1, \ldots, m$, we have a natural isomorphism

$$e_i: \tilde{\psi}_i \simeq \mathscr{O}_{\mathbb{P}}(1)$$

For i = 1, ..., l, the existence of e_i follows as in the proof of Proposition 2.11. For i = l + 1, ..., m, this is a standard computation on the projective bundle \mathcal{R}^i . Taking the direct sum of the isomorphisms e_i , we obtain an exact sequence

$$0 \to \mathcal{E} \to \bigoplus_{i=1}^{m} \tilde{\psi}_i \to \mathscr{O}_{\mathbb{P}}(1) \to 0,$$

where \mathcal{E} has the property that for each point $z \in \mathbb{P}$ the induced subspace $\mathcal{E}_z \subset \bigoplus_{i=1}^m T^{\vee}_{\tilde{\sigma}_i(z)}$ does not contain any of the lines $T^{\vee}_{\tilde{\sigma}_i(z)}$. Using \mathcal{E} , we may construct $\phi : \tilde{\mathcal{D}} \to \mathcal{D}$ and verify properties (4)–(7) precisely as in Proposition 2.11.

Since each of the projective bundles $\mathcal{R}^i \to \mathbb{P}$ is endowed with a distinguished section disjoint from the attaching section (namely, the section corresponding to the quotient $\mathscr{O}_{\mathbb{P}} \oplus \mathscr{O}_{\mathbb{P}}(1) \to \mathscr{O}_{\mathbb{P}}(1) \to 0$), we may use the previous proposition to construct universal families of *n*-pointed elliptic *m*-fold pointed curves from (n - m + l)-pointed families of normalizations.

COROLLARY 2.14. Suppose that $(f : \mathcal{C} \to T, \{\tau_i\}_{i=1}^l, \{\sigma_i\}_{i=1}^{n-m+l})$ is a family of pointed curves satisfying:

- (1) the geometric fibers of f have no automorphisms (as pointed curves);
- (2) no two geometric fibers of f are isomorphic (as pointed curves).

Then the construction of Proposition 2.11 gives rise to a family of n-pointed curves $(g: \mathcal{D} \to \mathbb{P}, \tau, \{\sigma_i\}_{i=1}^n)$ with the property that there is a bijection

$$\begin{aligned} \{k\text{-points } z \in \mathbb{P}\} &\leftrightarrow \{(D, q, \{p_i\}_{i=1}^n) \text{ satisfying } (a), (b)\}/\simeq, \\ z \in \mathbb{P} &\to (D_z, \tau(z), \{\sigma_i(z)\}_{i=1}^m), \end{aligned}$$

where the conditions (a) and (b) are:

- (a) $q \in D$ is an elliptic *m*-fold point;
- (b) the normalization of $(D, q, \{p_i\}_{i=1}^n)$ at q is a disjoint union

$$(\tilde{D}^0, \{q_i\}_{i=1}^l, \{p_i\}_{i=1}^{n-m+l}) \prod \left(\prod_{i=l+1}^m (R_i, q_i, p_{n-m+i})\right),$$

where $(\tilde{D}^0, \{q_i\}_{i=1}^l, \{p_i\}_{i=1}^{n-m+l})$ is obtained from a geometric fiber of f, say $(C_t, \{\tau_i(t)\}_{i=1}^l, \{\sigma_i(t)\}_{i=1}^{n-m+l})$, by sprouting along $\{\tau_i(t)\}_{i\in S}$ for some $S \subset [l]$, and each $(R_i, q_i, p_{n-m+i}) \simeq (\mathbb{P}^1, 0, \infty)$.

Proof. The morphisms ϕ and π are isomorphisms in a neighborhood of $\{\sigma_i\}_{i=1}^{n-m+l}$, so $\{\sigma_i\}_{i=1}^{n-m+l}$, so $\{\sigma_i\}_{i=1}^{n-m+l}$, induce sections on $g: \mathcal{D} \to \mathbb{P}$. For $i = l+1, \ldots, m$, we define σ_{n-m+i} to be the section of $\mathcal{R}^i \to \mathbb{P}$ corresponding to the quotient $\mathscr{O}_{\mathbb{P}} \oplus \mathscr{O}_{\mathbb{P}}(1) \to \mathscr{O}_{\mathbb{P}}(1) \to 0$. Since this section is disjoint from the attaching section $\tilde{\tau}_i$, it induces a section of $\mathcal{D} \to \mathbb{P}$. Altogether, we obtain a family of *n*-pointed curves $(\mathcal{D} \to \mathbb{P}, \{\sigma_i\}_{i=1}^n)$. The proof of the stated bijection is essentially identical to the proof of Corollary 2.12.

2.4 Stratification by singularity type

In §2.1, we defined a stratification of $\overline{\mathcal{M}}_{1,n}(m)$ by singularity type:

$$\overline{\mathcal{M}}_{1,n}(m) = \mathcal{M}_{1,n} \coprod \mathcal{E}_0 \coprod \mathcal{E}_1 \coprod \cdots \coprod \mathcal{E}_m.$$

In this section, we construct the strata \mathcal{E}_l $(l \ge 1)$ explicitly. We will show that the irreducible components (equivalently, by Corollary 2.4(2), the connected components) of \mathcal{E}_l are indexed by partitions of [n] into l subsets, i.e. we have

$$\mathcal{E}_l = \prod_{\Sigma} \mathcal{E}_{\Sigma},$$

where Σ runs over *l*-partitions of [*n*]. To describe the curves parameterized by the irreducible component \mathcal{E}_{Σ} , we need the following definition.

DEFINITION 2.15 (Combinatorial type). Let $(C, \{p_i\}_{i=1}^n)$ be an *m*-stable curve with an elliptic *l*-fold point $q \in C$. Then the normalization of *C* at *q* consists of *l* distinct connected components, each of which carries at least one of the marked points $\{p_i\}_{i=1}^n$. We define the *combinatorial* type of $(C, \{p_i\}_{i=1}^n)$ to be the partition $\{S_1, \ldots, S_l\}$ of [n] induced by the connected components of \tilde{C} .

Given a partition $\Sigma := \{S_1, \ldots, S_l\}$ of [n], we will construct a universal family for all *m*-stable curves of combinatorial type Σ . We must consider two cases.

Case I. Each S_i satisfies $|S_i| \ge 2$.

Let $f_i : C_i \to \overline{M}_{0,|S_1|+1} \times \cdots \times \overline{M}_{0,|S_l|+1}$ be the pull back of the universal curve over $\overline{M}_{0,|S_i|+1}$, and label the tautological sections of f_i as $\{\sigma_j : j \in S_i\} \cup \{\tau_i\}$. Now apply Proposition 2.11 with

$$T := M_{0,|S_1|+1} \times \cdots \times M_{0,|S_k|+1},$$

$$f := \prod_{i=1}^{l} \mathcal{C}_i \to \overline{M}_{0,|S_1|+1} \times \cdots \times \overline{M}_{0,|S_l|+1},$$

$$\tau_i := T \to \mathcal{C}_i \hookrightarrow \coprod \mathcal{C}_i.$$

By Corollary 2.12, we obtain an *n*-pointed family of curves

$$(g: \mathcal{D} \to \mathbb{P}, \{\sigma_i\}_{i=1}^n)$$

over the projective bundle $\mathbb{P} := \mathbb{P}(\bigoplus_{i=1}^{l} \psi_i) \to T$, such that the fibers of g range over all isomorphism classes of elliptic l-fold pointed curves $(D, q, \{p_i\}_{i=1}^n)$ whose normalization $(\tilde{D}, \{q_i\}_{i=1}^l, \{p_i\}_{i=1}^n)$ is obtained from a fiber of f by sprouting along a proper subset of the points $\{\tau_i(t)\}_{i=1}^l$.

Since the normalization of any *m*-stable curve of combinatorial type Σ at its unique elliptic *l*-fold point is obtained from a disjoint union of *l* stable curves of genus zero by sprouting along

a subset of attaching points, every *m*-stable curve of combinatorial type Σ appears as a fiber of \mathcal{D} . On the other hand, some fibers of $\mathcal{D} \to \mathbb{P}$ may fail to be *m*-stable (i.e. they may have elliptic *l*-bridges for some $l < k \leq m$). Since *m*-stability is an open condition however [Smy11, Lemma 3.10], there is a maximal Zariski open subset $\mathcal{E}_{\Sigma} \subset \mathbb{P}$ such that the fibers of *g* over \mathcal{E}_{Σ} are *m*-stable, and we obtain an *m*-stable curve

$$(g: \mathcal{C} \to \mathcal{E}_{\Sigma}, \{\sigma_i\}_{i=1}^n)$$

whose fibers comprise all *m*-stable curves of combinatorial type Σ .

Case II. One or more of S_i satisfy $|S_i| = 1$.

Order the S_i so that $|S_i| \ge 2$ for i = 1, ..., k, and $|S_i| = 1$ for i = k + 1, ..., l. For i = 1, ..., k, let $f_i : \mathcal{C}_i \to \overline{M}_{0,|S_1|+1} \times \cdots \times \overline{M}_{0,|S_k|+1}$ be the pull back of the universal curve over $\overline{M}_{0,|S_i|+1}$, and label the tautological sections of f_i as $\{\sigma_j : j \in S_i\} \cup \{\tau_i\}$. Now apply Proposition 2.13 with

$$T := \overline{M}_{0,|S_1|+1} \times \cdots \times \overline{M}_{0,|S_k|+1},$$
$$f := \prod_{i=1}^k \mathcal{C}_i \to \overline{M}_{0,|S_1|+1} \times \cdots \times \overline{M}_{0,|S_k|+1}$$
$$\tau_i := T \to \mathcal{C}_i \hookrightarrow \coprod \mathcal{C}_i.$$

By Corollary 2.14, we obtain a family of *n*-pointed curves $(g : \mathcal{D} \to \mathbb{P}, \{\sigma_i\}_{i=1}^n)$ over the projective bundle $\mathbb{P} := \mathbb{P}(\bigoplus_{i=1}^k \psi_i) \to T$, such that the fibers of *g* range over all isomorphism classes of curves whose normalization is a disjoint union of l - k smooth one-pointed rational curves and a curve obtained from a fiber of *f* by sprouting along a proper subset of the points $\{\tau_i(t)\}_{i=1}^k$. Note that we consider the l - k sections lying on the one-pointed rational components as labeled by the elements in S_{k+1}, \ldots, S_l .

As in Case I, there is a maximal Zariski open subset $\mathcal{E}_{\Sigma} \subset \mathbb{P}$ such that the fibers of g over \mathcal{E}_{Σ} are *m*-stable, and we obtain an *m*-stable curve

$$(g: \mathcal{C} \to \mathcal{E}_{\Sigma}, \{\sigma_i\}_{i=1}^n)$$

whose fibers comprise all *m*-stable curves of combinatorial type Σ .

PROPOSITION 2.16. The natural classifying map

$$\coprod_{\Sigma} \mathcal{E}_{\Sigma} \to \mathcal{E}_l \subset \overline{\mathcal{M}}_{1,n}(m)$$

is an isomorphism. In particular, the varieties \mathcal{E}_{Σ} are the irreducible components of \mathcal{E}_l .

Proof. Since every point of \mathcal{E}_l is an *m*-stable curve whose combinatorial type is given by some *l*-partition of [n], the natural map

$$\coprod_{\Sigma} \mathcal{E}_{\Sigma} \to \mathcal{E}_{l}$$

is bijective on k-points. Since $\bigcup_{\Sigma} \mathcal{E}_{\Sigma}$ is smooth by construction and \mathcal{E}_l is smooth by Corollary 2.4(2), the morphism $\coprod_{\Sigma} \mathcal{E}_{\Sigma} \to \mathcal{E}_l$ is smooth. Since we are working in characteristic zero, a smooth morphism which is bijective on k-points is an isomorphism. \Box

COROLLARY 2.17. The boundary stratum $\mathcal{E}_l \subset \overline{\mathcal{M}}_{1,n}(m)$ has pure codimension l+1.

Proof. If $\Sigma := \{S_1, \ldots, S_l\}$ is any *l*-partition of [n], ordered so that $|S_i| \ge 2$ for $i = 1, \ldots, k$, and $|S_{k+1}| = \cdots = |S_l| = 1$, then $\mathcal{E}_{\Sigma} \subset \mathcal{E}_l$ is an open subset of a projective bundle $\mathbb{P}(\bigoplus_{i=1}^k \psi_i) \to \mathbb{P}(\mathbb{P}_{i})$ $\overline{M}_{0,|S_1|+1} \times \cdots \times \overline{M}_{0,|S_k|+1}$. The dimension of this projective bundle is

$$\sum_{i=1}^{k} (|S_i| - 2) + (k - 1).$$

Since $\sum_{i=1}^{k} |S_i| = n - l + k$, this expression reduces to n - l - 1, as desired.

3. Intersection theory on $\overline{\mathcal{M}}_{1,n}(m)$

3.1 The Picard group of $\overline{M}_{1,n}(m)^*$

In this section, we will define several tautological divisor classes on $\overline{\mathcal{M}}_{1,n}$, $\overline{\mathcal{M}}_{1,n}(m)$, and $\overline{\mathcal{M}}_{1,n}(m)^*$ (equivalently, $\overline{\mathcal{M}}_{1,n}$, $\overline{\mathcal{M}}_{1,n}(m)$, and $\overline{\mathcal{M}}_{1,n}(m)^*$), and use these to give a complete description of $\operatorname{Pic}_{\mathbb{Q}}(\overline{\mathcal{M}}_{1,n}(m)^*)$.

We begin by recalling the definition of the tautological divisor classes on $\overline{\mathcal{M}}_{1,n}$. If $\pi : \mathcal{C} \to \overline{\mathcal{M}}_{1,n}$ is the universal curve, with universal sections $\sigma_1, \ldots, \sigma_n$, we have line bundles $\lambda, \psi_1, \ldots, \psi_n, \psi \in \operatorname{Pic}(\overline{\mathcal{M}}_{1,n})$ defined as:

$$\lambda = \det(\pi_* \omega_{\mathcal{C}/\overline{\mathcal{M}}_{1,n}}),$$

$$\psi_i = \sigma_i^*(\omega_{\mathcal{C}/\overline{\mathcal{M}}_{1,n}}),$$

$$\psi = \otimes_{i=1}^n \psi_i.$$

To define the boundary divisors of $\overline{\mathcal{M}}_{1,n}$, we adopt the following terminology: if $(C, \{p_i\}_{i=1}^n)$ is an *n*-pointed curve of arithmetic genus one and $S \subset [n]$ is any subset, we say that $q \in C$ is a node of type S if the normalization of C at q consists of two connected components (necessarily of genera zero and one), and $\{p_i \mid i \in S\}$ is the set of marked points supported on the genus-zero component. We say that a node $q \in C$ is non-disconnecting if the normalization of C at q is connected. We then define

$$\Delta_{\operatorname{irr}} := \{ [C] \in \overline{\mathcal{M}}_{1,n} \mid C \text{ has a non-disconnecting node} \} \subset \overline{\mathcal{M}}_{1,n}, \\ \Delta_{0,S} := \{ [C] \in \overline{\mathcal{M}}_{1,n} \mid C \text{ has a node of type } S \} \subset \overline{\mathcal{M}}_{1,n}, \\ \Delta_0 := \{ [C] \in \overline{\mathcal{M}}_{1,n} \mid C \text{ has a disconnecting node} \} \subset \overline{\mathcal{M}}_{1,n}.$$

 Δ_{irr} and $\Delta_{0,S}$ are closed, irreducible, codimension-one substacks of $\overline{\mathcal{M}}_{1,n}$ when $|S| \ge 2$, while $\Delta_0 = \sum_{S \subset [n]} \Delta_{0,S}$. Thus, we obtain cycles

$$\Delta_{\operatorname{irr}}, \Delta_{0,S}, \Delta_0 \in \operatorname{A}^1(\overline{\mathcal{M}}_{1,n}).$$

Since the deformation space of a node is regular, these substacks are Cartier, and we obtain line bundles

$$\delta_{\operatorname{irr}}, \delta_{0,S}, \delta_0 \in \operatorname{Pic}(\overline{\mathcal{M}}_{1,n}).$$

Now let us define the analogous tautological divisor classes on $\overline{\mathcal{M}}_{1,n}(m)$. We define $\lambda, \psi_1, \ldots, \psi_n, \psi \in \operatorname{Pic}(\overline{\mathcal{M}}_{1,n}(m))$ by precisely the same recipes as for $\overline{\mathcal{M}}_{1,n}$. Similarly, we define reduced closed substacks of $\overline{\mathcal{M}}_{1,n}(m)$:

$$\Delta_{\operatorname{irr}} := \{ [C] \in \overline{\mathcal{M}}_{1,n}(m) \mid C \text{ has a non-disconnecting node or non-nodal singularity} \},$$

$$\Delta_{0,S} := \{ [C] \in \overline{\mathcal{M}}_{1,n}(m) \mid C \text{ has a node of type } S \},$$

$$\Delta_0 := \{ [C] \in \overline{\mathcal{M}}_{1,n}(m) \mid C \text{ has a disconnecting node} \}.$$

Note that $\Delta_{0,S}$ is non-empty if and only if $2 \leq |S| \leq n - m$. (The condition $|S| \leq n - m$ comes from the requirement that $(C, \{p_i\}_{i=1}^n)$ have no elliptic *m*-bridge.)

With this notation, $\Delta_{irr}, \Delta_{0,S}, \Delta_0 \in \overline{\mathcal{M}}_{1,n}(m)$ are simply the birational images of the corresponding divisors on $\overline{\mathcal{M}}_{1,n}$. In particular, they are irreducible and we obtain

$$\Delta_{\operatorname{irr}}, \Delta_{0,S}, \Delta_0 \in \mathcal{A}^1(\overline{\mathcal{M}}_{1,n}(m)).$$

As before, each substack $\Delta_{0,S}$ is Cartier, so we obtain line bundles

$$\delta_{0,S}, \delta_0 \in \operatorname{Pic}(\mathcal{M}_{1,n}(m)).$$

On the other hand, $\Delta_{irr} \subset \overline{\mathcal{M}}_{1,n}(m)$ is *not* obviously Cartier, so we do not immediately obtain a line bundle $\delta_{irr} \in \operatorname{Pic}(\overline{\mathcal{M}}_{1,n}(m))$.

Finally, we will abuse notation by using $\lambda, \psi_i, \psi, \Delta_{irr}, \Delta_{0,S}$ to denote the line bundles and cycles on $\overline{M}_{1,n}(m)$ and $\overline{M}_{1,n}(m)^*$ induced by the canonical isomorphisms [Vis89, Proposition 6.1]

$$\operatorname{Pic}_{\mathbb{Q}}(\overline{\mathcal{M}}_{1,n}(m)) \simeq \operatorname{Pic}_{\mathbb{Q}}(\overline{\mathcal{M}}_{1,n}(m)), \quad \operatorname{Pic}_{\mathbb{Q}}(\overline{\mathcal{M}}_{1,n}(m)^*) \simeq \operatorname{Pic}_{\mathbb{Q}}(\overline{\mathcal{M}}_{1,n}(m)^*), \\ \operatorname{A}_{\mathbb{D}}^{1}(\overline{\mathcal{M}}_{1,n}(m)) \simeq \operatorname{A}_{\mathbb{D}}^{1}(\overline{\mathcal{M}}_{1,n}(m)), \qquad \operatorname{A}_{\mathbb{D}}^{1}(\overline{\mathcal{M}}_{1,n}(m)^*) \simeq \operatorname{A}_{\mathbb{D}}^{1}(\overline{\mathcal{M}}_{1,n}(m)^*).$$

Note that the normalization of the coarse moduli space of a Deligne–Mumford stack is canonically isomorphic to the coarse moduli space of the normalization of the stack, so there is no ambiguity in the definition of $\overline{M}_{1,n}(m)^*$.

It is well known that the tautological classes generate $\operatorname{Pic}_{\mathbb{Q}}(\overline{M}_{1,n})$, and we have a complete description of the relations between them. In the following proposition (and throughout this section), we will use the notation $[n]_{i}^{j} := \{S \subset [n] \mid i \leq |S| \leq j\}$.

PROPOSITION 3.1 (Q-Picard group of $\overline{M}_{1,n}$).

- (1) $\operatorname{Pic}_{\mathbb{Q}}(\overline{M}_{1,n})$ is freely generated by λ and the boundary divisors $\{\delta_{0,S}\}_{S \in [n]_{2}^{n}}$.
- (2) The following relations hold in $\operatorname{Pic}_{\mathbb{O}}(\overline{M}_{1,n})$:

$$\begin{split} \delta_{\mathrm{irr}} &= 12\lambda, \\ \psi_i &= \lambda + \sum_{i \in S \in [n]_2^n} \delta_{0,S}, \\ \psi &= n\lambda + \sum_{S \in [n]_2^n} |S| \delta_{0,S}. \end{split}$$

Proof. See [AC98, Theorem 2.2].

We would like an analogue of Proposition 3.1 for $\operatorname{Pic}_{\mathbb{Q}}(\overline{M}_{1,n}(m))$. Unfortunately, we do not know whether $\overline{M}_{1,n}(m)$ is normal, and this presents a major obstacle. On the other hand, a description of $\operatorname{Pic}_{\mathbb{Q}}(\overline{M}_{1,n}(m)^*)$ follows easily from Proposition 3.1.

PROPOSITION 3.2 (Q-Picard group of $\overline{M}_{1,n}(m)^*$).

(1) The cycle map $\operatorname{Pic}_{\mathbb{Q}}(\overline{M}_{1,n}(m)^*) \to A^1_{\mathbb{Q}}(\overline{M}_{1,n}(m)^*)$ is an isomorphism. In particular, $\overline{M}_{1,n}(m)^*$ is \mathbb{Q} -factorial.

(2) $\operatorname{Pic}_{\mathbb{Q}}(\overline{M}_{1,n}(m)^*)$ is freely generated by λ and $\{\delta_{0,S}\}_{S \in [n]_2^{n-m}}$.

(3) The following relations hold in $\operatorname{Pic}_{\mathbb{Q}}(\overline{M}_{1,n}(m)^*)$:

$$\psi_i = \lambda + \sum_{i \in S \in [n]_2^{n-m}} \delta_{0,S},$$
$$\psi = n\lambda + \sum_{S \in [n]_2^{n-m}} |S| \delta_{0,S}$$

Proof. Let $U \subset \overline{M}_{1,n}$ be the open set parameterizing *m*-stable curves, and let $\phi : \overline{M}_{1,n} \dashrightarrow \overline{M}_{1,n}(m)$ be the natural map. Then $\phi|_U$ is an isomorphism, and $\phi(U) \subset \overline{M}_{1,n}(m)$ is precisely the locus of nodal curves in $\overline{M}_{1,n}(m)$. In particular, $\phi(U)$ is smooth and, by Corollary 2.17, the codimension of $\overline{M}_{1,n}(m) \setminus \phi(U)$ is two.

Now let V be the maximal open subset on which the birational map $\overline{M}_{1,n} \to \overline{M}_{1,n}(m)^*$ is regular. Since the complement of V in $\overline{M}_{1,n}$ has codimension two, Proposition 3.1 gives

$$\mathcal{A}^{1}_{\mathbb{Q}}(V) \simeq \mathcal{A}^{1}_{\mathbb{Q}}(\overline{M}_{1,n}) \simeq \mathbb{Q}\{\Delta_{\mathrm{irr}}, \Delta_{0,S} : S \in [n]_{2}^{n}\}.$$

Evidently, $U \subset V$ and the codimension-one points of $V \setminus U$ are precisely the generic points of the divisors $\{\Delta_{0,S} : S \in [n]_{n-m+1}^n\}$. Thus, we have an exact sequence

$$\mathbb{Q}\{\Delta_{0,S}: S \in [n]_{n-m+1}^n\} \to \mathcal{A}^1_{\mathbb{Q}}(V) \to \mathcal{A}^1_{\mathbb{Q}}(U) \to 0.$$

Since all boundary divisors are linearly independent in $A^{1}_{\mathbb{Q}}(\overline{M}_{1,n})$, the map on the left is injective. Thus,

$$\mathcal{A}^{1}_{\mathbb{Q}}(U) \simeq \mathbb{Q}\{\Delta_{\operatorname{irr}}, \Delta_{0,S} : S \subset [n]_{2}^{n-m}\}.$$

Since $\phi|_U$ is an isomorphism, and the normalization map $\overline{M}_{1,n}(m)^* \to \overline{M}_{1,n}(m)$ is an isomorphism over $\phi(U)$, we have

$$\mathcal{A}^{1}_{\mathbb{Q}}(\overline{M}_{1,n}(m)^{*}) \simeq \mathcal{A}^{1}_{\mathbb{Q}}(\phi(U)) \simeq \mathbb{Q}\{\Delta_{\mathrm{irr}}, \Delta_{0,S} : S \subset [n]_{2}^{n-m}\}.$$

Now consider the map

$$\operatorname{Pic}_{\mathbb{Q}}(\overline{M}_{1,n}(m)^*) \to \operatorname{A}^1_{\mathbb{O}}(\overline{M}_{1,n}(m)^*).$$

It is injective since $\overline{M}_{1,n}(m)^*$ is normal. To show that it is surjective, it suffices to see that $\delta_{0,S}$ maps to $\Delta_{0,S}$ and 12λ maps to Δ_{irr} . This can be checked after restriction to $\phi(U)$ since the complement has codimension two. But, since $\phi|_U$ is an isomorphism, these follow from the corresponding statements on $\overline{M}_{1,n}$. Similarly, the stated relations can be checked after restriction to $\phi(U)$, where they follow from the corresponding relations in $\operatorname{Pic}_{\mathbb{Q}}(\overline{M}_{1,n})$.

Remark 3.3. While we do not know whether $\Delta_{irr} \in A^1(\overline{M}_{1,n}(m))$ is Q-Cartier, the proof of Proposition 3.2 shows that the cycle $\Delta_{irr} \in A^1(\overline{M}_{1,n}(m)^*)$ is Q-Cartier with associated line bundle 12λ .

3.2 Intersection theory on one-parameter families

If $(f: \mathcal{C} \to B, \{\sigma_i\}_{i=1}^n)$ is an *n*-pointed *m*-stable curve over a smooth curve *B*, we obtain a classifying map

$$c: B \to \mathcal{M}_{1,n}(m),$$

and we wish to compute the intersection numbers

$$\lambda \cdot B := \deg_B c^* \lambda,$$

$$\psi_i \cdot B := \deg_B c^* \psi_i,$$

$$\delta_{0,S} \cdot B := \deg_B c^* \delta_{0,S}.$$

in terms of the geometry of the family. Evidently, $\psi_i \cdot B$ and $\delta_{0,S} \cdot B$ may be computed by standard techniques: $\psi_i \cdot B$ is $-\sigma_i^2$ and $\delta_{0,S} \cdot B$ is the number of disconnecting nodes of type Sin the fibers of f, counted with multiplicity. Furthermore, since the limit of a node of type S is a node of type S in any family of m-stable curves, the case where $B \subset \Delta_{0,S}$ is handled in the usual way: normalizing C along the locus of nodes of type S and letting τ_1 , τ_2 be the sections lying over this locus, we have $\delta_{0,S} \cdot B = \tau_1^2 + \tau_2^2$.

In this section, we explain how to compute $\lambda \cdot B$ for arbitrary one-parameter families of m-stable curves. First, we consider the special case where the classifying map $c: B \to \overline{\mathcal{M}}_{1,n}(m)$ factors through one of the equisingular boundary strata \mathcal{E}_l , i.e. when every fiber of f has an elliptic *l*-fold point. In this case, we compute $\lambda \cdot B$ as a certain self-intersection on the surface obtained by normalizing along the locus of elliptic *l*-fold points (Proposition 3.4). Then we use stable reduction to reduce the general case to this special case (Corollary 3.7).

Case I. $c: B \to \overline{\mathcal{M}}_{1,n}(m)$ factors through a boundary stratum $\mathcal{E}_l \ (l \ge 1)$.

Since $f : \mathcal{C} \to B$ has a unique elliptic *l*-fold point in each fiber, f admits a section τ such that $\tau(b) \in C_b$ is an elliptic *l*-fold point for each $b \in B$. Let $\pi : \tilde{\mathcal{C}} \to \mathcal{C}$ be the normalization of \mathcal{C} along τ , and let $\tilde{\tau}_1, \ldots, \tilde{\tau}_l$ by the sections lying over τ .

PROPOSITION 3.4. With notation as above, $\lambda \cdot B = \tilde{\tau}_i^2$ for any $i \in \{1, \ldots, l\}$.

Proof. Consider the sheaf homomorphism $\tau^* \mathscr{I}_{\tau} \to \bigoplus_{i=1}^l \tilde{\tau}_i^* \mathscr{I}_{\tilde{\tau}_i}$, whose restriction to the fiber over $b \in B$ is just the map

$$m_{\tau(b)}/m_{\tau(b)}^2 \to \oplus_{i=1}^l m_{\tilde{\tau}_i(b)}/m_{\tilde{\tau}_i(b)}^2.$$

Since $\tau(b) \in C_b$ is an elliptic *l*-fold point, [Smy11, Lemma 2.2(1)] implies that this map has a one-dimensional quotient. Since this holds on every fiber, we have an invertible quotient sheaf \mathscr{L} , defined by the exact sequence

$$\tau^*\mathscr{I}_{\tau} \to \oplus_{i=1}^l \tilde{\tau}_i^*(\mathscr{I}_{\tilde{\tau}_i}) \to \mathscr{L} \to 0.$$

[Smy11, Lemma 2.2(2)] implies that each composition

$$\tau_i^*\mathscr{I}_{\tilde{\tau}_i} \hookrightarrow \oplus_{i=1}^l \tilde{\tau}_i^*\mathscr{I}_{\tilde{\tau}_i} \to \mathscr{L}$$

is nowhere vanishing. Since $\tilde{\tau}_i^* \mathscr{I}_{\tilde{\tau}_i}$ and \mathscr{L} are invertible, these must be isomorphisms. Thus, we have

$$\psi_i := \tilde{\tau}_i^* \mathscr{I}_{\tilde{\tau}_i}^{\vee} \simeq \mathscr{L}^{\vee},$$

for each $i \in \{1, \ldots, l\}$. Note that since $\tilde{f} : \tilde{\mathcal{C}} \to B$ is a family of genus-zero curves, we have $c_1(\tilde{\pi}_*\omega_{\tilde{\mathcal{C}}/B}) = 0$. Thus, to prove the proposition, it suffices to show that

$$c_1(\pi_*\omega_{\mathcal{C}/B}) = c_1(\tilde{\pi}_*\omega_{\tilde{\mathcal{C}}/B}) + c_1(\mathscr{L}^{\vee}).$$

To prove this formula, we must recall some facts about the dualizing sheaf of an elliptic *m*-fold pointed curve. If *C* is a complete curve with an elliptic *l*-fold point $q \in C$, $\pi : \tilde{C} \to C$ is the normalization of *C* at *q*, and $q_1, \ldots, q_l \in \tilde{C}$ are the points lying above *q*, we may compare ω_C

and $\omega_{\tilde{C}}$ as follows: for any section $\omega \in \omega_{\tilde{C}}(2q_1 + \cdots + 2q_l)$, let $(\omega) : \bigoplus_{i=1}^l m_{q_i}/m_{q_i}^2 \to k$ denote the linear functional induced by

$$f \to \sum_{i=1}^{l} \operatorname{Res}_{q_i}(f\omega), \quad f \in \bigoplus_{i=1}^{l} m_{q_i}.$$

In [Smy11, § 2.2], we showed that $\omega_C \subset \pi_* \omega_{\tilde{C}}(2q_1 + \cdots + 2q_l)$ is precisely the subsheaf of sections satisfying:

- (a) $\sum_{i=1}^{l} \operatorname{Res}_{q_i} \omega = 0$; and
- (b) $(\omega) \in \operatorname{Ker}(\bigoplus_{i=1}^{l} (m_{q_i}/m_{q_i}^2)^{\vee} \to (m_q/m_q^2)^{\vee}).$

We make use of this observation by considering the following two-step filtration for $f_*\omega_{\mathcal{C}/B}$:

$$\tilde{f}_*\omega_{\tilde{\mathcal{C}}/B} \subset f_*\omega_{\mathcal{C}/B} \cap \tilde{f}_*\omega_{\tilde{\mathcal{C}}/B}(\tilde{\tau}_1 + \dots + \tilde{\tau}_l) \subset f_*\omega_{\mathcal{C}/B} \cap \tilde{f}_*\omega_{\tilde{\mathcal{C}}/B}(2\tilde{\tau}_1 + \dots + 2\tilde{\tau}_l) = f_*\omega_{\mathcal{C}/B}.$$

Define Λ and Λ' to be the quotients of this filtration, i.e.

$$0 \to \tilde{f}_* \omega_{\tilde{\mathcal{C}}/B} \to f_* \omega_{\mathcal{C}/B} \cap \tilde{f}_* \omega_{\tilde{\mathcal{C}}/B} (\tilde{\tau}_1 + \dots + \tilde{\tau}_l) \to \Lambda' \to 0,$$

$$0 \to f_* \omega_{\mathcal{C}/B} \cap \tilde{f}_* \omega_{\tilde{\mathcal{C}}/B} (\tilde{\tau}_1 + \dots + \tilde{\tau}_l) \to f_* \omega_{\mathcal{C}/B} \to \Lambda \to 0.$$

It suffices to show that $c_1(\Lambda') = 0$ and $c_1(\Lambda) = c_1(\mathscr{L}^{\vee})$. To check that $c_1(\Lambda') = 0$, consider the sequence

$$0 \to \tilde{f}_* \omega_{\tilde{\mathcal{C}}/B} \to \tilde{f}_* \omega_{\tilde{\mathcal{C}}/B} (\tilde{\tau}_1 + \dots + \tilde{\tau}_l) \to \bigoplus_{i=1}^l \mathscr{O}_B,$$

where we have used the canonical isomorphism $\omega_{\tilde{\mathcal{C}}/B}(\tilde{\tau}_i)|_{\tilde{\tau}_i} \simeq \mathcal{O}_{\tilde{\tau}_i}$ coming from adjunction. Since the map $\tilde{f}_*\omega_{\tilde{\mathcal{C}}/B}(\tilde{\tau}_1 + \cdots + \tilde{\tau}_l) \to \bigoplus_{i=1}^l \mathcal{O}_B$ is given by taking residues, condition (a) implies that Λ' lies in an exact sequence

$$0 \to \Lambda' \to \oplus_{i=1}^{l} \mathscr{O}_B \to \mathscr{O}_B \to 0,$$

where $\oplus_{i=1}^{l} \mathscr{O}_B \to \mathscr{O}_B$ is given by summing sections. Thus, $c_1(\Lambda') = 0$.

To check that $c_1(\Lambda) = c_1(\mathscr{L}^{\vee})$, consider the sequence

$$0 \to \tilde{f}_* \omega_{\tilde{\mathcal{C}}/B}(\tilde{\tau}_1 + \dots + \tilde{\tau}_l) \to \tilde{f}_* \omega_{\tilde{\mathcal{C}}/B}(2\tilde{\tau}_1 + \dots + 2\tilde{\tau}_l) \to \bigoplus_{i=1}^l \tilde{\tau}_i^* \mathscr{I}_{\tilde{\tau}_i}^{\vee},$$

where we have used the canonical isomorphism $\omega_{\tilde{\mathcal{C}}/B}(2\tilde{\tau}_i)|_{\tilde{\tau}_i} \simeq \mathscr{I}_{\tilde{\tau}_i}^{\vee}|_{\tilde{\tau}_i}$ coming from adjunction. Now condition (b) implies that Λ is simply the kernel of the map

$$\oplus_{i=1}^{l} \tilde{\tau}_{i}^{*} \mathscr{I}_{\tilde{\tau}_{i}}^{\vee} \to (\tau^{*} \mathscr{I}_{\tau})^{\vee} \to 0,$$

i.e. $\Lambda\simeq \mathscr{L}^\vee,$ as desired.

Example 3.5. Recall that the connected components of \mathcal{E}_l are parameterized by partitions of [n] (Proposition 2.16). Given a partition $\Sigma = \{S_1, \ldots, S_l\}$, with S_1, \ldots, S_k satisfying $|S_i| \ge 2$ and $|S_{k+1}| = \cdots = |S_l| = 1$, the associated connected component \mathcal{E}_{Σ} is simply the projective bundle

$$\mathbb{P}(\psi_1 \oplus \cdots \oplus \psi_k) \to \overline{M}_{0,|S_1|+1} \times \cdots \times \overline{M}_{0,|S_k|+1}.$$

Let $B = \mathbb{P}^1$ be a generic fiber of this projective bundle and let $(f : \mathcal{C} \to B, \{\sigma_i\}_{i=1}^n)$ be the associated family of *m*-stable curves. We will compute the intersection numbers $\psi_i \cdot B, \delta_{0,S} \cdot B$, and $\lambda \cdot B$ for this family.

Unwinding the construction of \mathcal{E}_{Σ} in Proposition 2.13, we find that $\mathcal{C} \to B$ can be explicitly described as follows. For each $i = 1, \ldots, k$, choose a point $z_i \in \mathbb{P}^1$ and a smooth genus zero stable

curve $(C_i, \{p_j\}_{j=1}^{|S_i|}, q_i)$, and let

$$\tilde{\mathcal{C}}_i =$$
Blow-up of $C_i \times \mathbb{P}^1$ at (q_i, z_i) .

Let $\{\sigma_j\}_{j=1}^{|S_i|}$ and τ_i be the strict transforms of the sections $\{p_j\} \times \mathbb{P}^1$ and $\{q_i\} \times \mathbb{P}^1$, so that we obtain a family of $(|S_i| + 1)$ -pointed genus zero curves $(\mathcal{C}_i \to B, \{\sigma_j\}_{j \in S_i}, \tau_i)$.

In addition, for $i = k + 1, \ldots, l$, let

$$\mathcal{C}_i := \mathbb{P}(\mathscr{O}_{\mathbb{P}_1} \oplus \mathscr{O}_{\mathbb{P}_1}(1)) \to B = \mathbb{P}^1$$

and label a pair of disjoint sections with self-intersections 1 and -1 by σ_j , $(j \in S_i)$, and τ_i , respectively, so that we obtain a two-pointed family of genus-zero curves $(\mathcal{C}_i \to B, \{\sigma_j\}_{j \in S_i}, \tau_i)$.

The family $(\mathcal{C} \to B, \{\sigma_i\}_{i=1}^n)$ is constructed by gluing $\{\mathcal{C}_i\}_{i=1}^l$ along the sections τ_1, \ldots, τ_l . The gluing data corresponds to a one-dimensional quotient of the vector bundle $\bigoplus_{i=1}^l \tau_i^* \mathscr{O}_{\mathcal{C}_i}(-\tau_i)$, which is constructed as follows. For each $i = 1, \ldots, l$, we have an isomorphism $\tau_i^* \mathscr{O}_{\mathcal{C}_i}(-\tau_i) \simeq \mathscr{O}_{\mathbb{P}}(1)$ and taking the direct sum of these maps gives the quotient $\bigoplus_{i=1}^l \tau_i^* \mathscr{O}_{\mathcal{C}_i}(-\tau_i) \to \mathscr{O}_{\mathbb{P}}(1) \to 0$.

Since the sections τ_1, \ldots, τ_l lying above the locus of elliptic *l*-fold points each have selfintersection -1, Proposition 3.4 implies that $\lambda \cdot B = -1$. The remaining intersection numbers are apparent from the construction:

$$\lambda \cdot B = -1,$$

$$\delta_{0,S} \cdot B = \begin{cases} 1 & \text{if } S \in \{S_1, \dots, S_k\}, \\ 0 & \text{otherwise}, \end{cases}$$

$$\psi_i \cdot B = \begin{cases} -1 & \text{if } i \in \{S_{k+1}, \dots, S_l\}, \\ 0 & \text{otherwise.} \end{cases}$$

Note that neither λ nor ψ_i is nef on $\overline{\mathcal{M}}_{1,n}(m)$, whereas both are nef on $\overline{\mathcal{M}}_{1,n}$.

Case II. $c: B \to \overline{\mathcal{M}}_{1,n}(m)$ does not factor through any boundary stratum \mathcal{E}_l .

We reduce to Case I as follows: suppose that the generic fiber of $\mathcal{C} \to B$ contains an elliptic l-fold point. (If the generic fiber is smooth or nodal, take l = 0.) Outside a finite set of fibers, $(f : \mathcal{C} \to B, \{\sigma_i\}_{i=1}^n)$ is l-stable, so (after a finite base change) there exist a family of l-stable curves $(g : \mathcal{D} \to B, \{\sigma_i\}_{i=1}^n)$ and a birational map $\mathcal{D} \dashrightarrow \mathcal{C}$ over B. We obtain a commutative diagram



where c_l is the classifying map associated to the *l*-stable family and c_m is the classifying map associated to the *m*-stable family. We will use the notation

$$\begin{array}{ll} \lambda^m \cdot B := \deg_B c_m^* \lambda, & \lambda^l \cdot B := \deg_B c_l^* \lambda, \\ \psi^m \cdot B := \deg_B c_m^* \psi, & \psi^l \cdot B := \deg_B c_l^* \psi, \\ \delta_0^m \cdot B := \deg_B c_m^* \delta_0, & \delta_0^l \cdot B := \deg_B c_l^* \delta_0. \end{array}$$

Since the boundary stratum $\mathcal{E}_l \subset \overline{\mathcal{M}}_{1,n}(l)$ is closed, the image $c_l(B)$ lies entirely in \mathcal{E}_l and we can compute $\lambda^l \cdot B$ as in Case I. The intersection numbers we are after are $\lambda^m \cdot B$, so we are left with the problem of computing the difference $\lambda^m \cdot B - \lambda^l \cdot B$. We will explain how to compute

this difference in terms of the explicit sequence of blow-ups and contractions that transforms the fibers of $\mathcal{D} \to B$ into the fibers of $\mathcal{C} \to B$.

For simplicity, let us assume that the generic fiber of C has no disconnecting nodes, and that \mathcal{D} and C are isomorphic away from the fiber over a single point $b \in B$.

CLAIM. There exists a diagram



satisfying:

- (1) $\mathcal{C}_0 \to \mathcal{D}$ is the desingularization of \mathcal{D} at the disconnecting nodes of D_b ;
- (2) $\mathcal{C}_k \to \mathcal{C}$ is the desingularization of \mathcal{C} at the disconnecting nodes of C_b ;
- (3) p_i is the blow-up of C_i at a collection of smooth points of C_i , namely the marked points of the minimal elliptic subcurve of $(C_i)_b$;
- (4) q_i is a birational contraction with $\text{Exc}(q_i) = E_i$, where E_i is the minimal elliptic subcurve of $(\mathcal{B}_i)_b$.

(Recall that the minimal elliptic subcurve of a Gorenstein genus one curve C is the unique connected genus one subcurve $E \subset C$ such that E has no disconnecting nodes [Smy11, Lemma 3.1].)

Proof. This diagram is constructed precisely as in the proof of the valuative criterion for $\overline{\mathcal{M}}_{1,n}(m)$ (see [Smy11, Theorem 3.11 and Figure 5]). For the convenience of the reader, we recall the argument. Given \mathcal{C}_i , we may certainly blow up along the collection of marked points of the minimal elliptic subcurve of $(\mathcal{C}_i)_b$ to obtain p_i . To construct q_i , it suffices by [Smy11, Lemma 2.12] to exhibit a nef line bundle on \mathcal{B}_i which has degree zero precisely on the minimal elliptic subcurve $E_i \subset (\mathcal{B}_i)_b$. One easily checks that the line bundle $\omega_{\mathcal{B}_i/B}(E_i + 2\sum_{i=1}^n \sigma_i)$ satisfies this condition.

It only remains to check that, after finitely many steps, we arrive at the desingularization of the *m*-stable limit. To see this, one first checks (as in Step 2 of the proof of [Smy11, Theorem 3.11(1)]) that the contraction q_i replaces E_i by an elliptic l_i -fold point, where $l_i := |E_i \cap E_i^c|$, and that the number of disconnecting nodes in \mathcal{C}_{i+1} is less than the number of disconnecting nodes in \mathcal{C}_i . This implies that after finitely many steps, we arrive at a special fiber of \mathcal{C}_{i+1} which has no elliptic *j*-bridge ($j \leq m$) and has only nodes and elliptic *j*-fold points ($j \leq m$) as singularities. Letting $\mathcal{C}_k \to \mathcal{C}$ denote the morphism obtained by blowing down all semistable chains of \mathbb{P}^1 s, one checks (as in Step 3 of the proof of [Smy11, Theorem 3.11(1)]) that the special fiber of \mathcal{C} is *m*-stable. By uniqueness of *m*-stable limits, the resulting family of *m*-stable curves must be the family ($\mathcal{C} \to B$, $\{\sigma_i\}_{i=1}^n$).

Fixing a diagram as above, let F_i be the minimal elliptic subcurve of the fiber $(\mathcal{C}_i)_b$, and define

$$n_i := |\{\sigma_i \mid \sigma_i(b) \in F_i\}|$$

$$m_i := |F_i \cap \overline{(\mathcal{C}_i)_b \setminus F_i}|,$$

$$l_i := n_i + m_i.$$

We call l_i the *level* of the minimal elliptic subcurve $F_i \subset (\mathcal{C}_i)_b$. With this notation, we can record formulae not only for the difference $\lambda^m \cdot B - \lambda^l \cdot B$, but also for $\psi^m \cdot B - \psi^l \cdot B$ and $\delta_0^m \cdot B - \delta_0^l \cdot B$.

PROPOSITION 3.6. With notation as above, we have

$$\lambda^m \cdot B - \lambda^l \cdot B = k,$$

$$\psi^m \cdot B - \psi^l \cdot B = \sum_{i=0}^{k-1} n_i,$$

$$\delta_0^m \cdot B - \delta_0^l \cdot B = -\sum_{i=0}^{k-1} m_i$$

$$(\psi^m - \delta_0^m) \cdot B - (\psi^l - \delta_0^l) \cdot B = \sum_{i=1}^{k-1} l_i.$$

Proof. Let g^i denote the structure morphism $g^i : \mathcal{C}_i \to B$ and h^i the structure morphism $h^i : \mathcal{B}_i \to B$. For the first formula, we must show that

$$c_1(f_*\omega_{\mathcal{C}/B}) = c_1(g_*\omega_{\mathcal{D}/B}) + k.$$

Note that since the desingularization maps $\mathcal{C}_0 \to \mathcal{D}$ and $\mathcal{C}_k \to \mathcal{C}$ are obtained by resolving A_k -singularities, we have

$$g_*\omega_{\mathcal{D}/B} = g^0_*\omega_{\mathcal{C}_0/B}l,$$

$$f_*\omega_{\mathcal{C}/B} = g^k_*\omega_{\mathcal{C}_k/B}.$$

Thus, it is enough to show that for each $i = 0, \ldots, k - 1$,

$$c_1(g_*^{i+1}\omega_{\mathcal{C}^i/B}) = c_1(g_*^i\omega_{\mathcal{C}^{i-1}/B}) + 1.$$

Let R_1, \ldots, R_{n_i} be the exceptional divisors of the blow-up p_i and let E_i be the exceptional divisors of the contraction q_i , i.e. the minimal elliptic subcurve of $(\mathcal{B}_i)_b$. We claim that

$$p_i^* \omega_{\mathcal{C}_i/B} = \omega_{\mathcal{B}_i/B}(-\Sigma R_i)$$
$$p_i^* \omega_{\mathcal{C}_{i+1}/B} = \omega_{\mathcal{B}_i/B}(E_i).$$

The first formula is clear since p_i is a simple blow-up. For the second formula, note that $q_i^* \omega_{\mathcal{C}_{i+1}/B} = \omega_{\mathcal{B}_i/B}(D)$, where D is the unique Cartier divisor supported on E_i such that $\omega_{\mathcal{B}_i/B}(D)|_{E_i} \simeq \mathscr{O}_{E_i}$. Clearly, $D = E_i$ since $\omega_{\mathcal{B}_i/B}(E_i)|_{E_i} \simeq \omega_{E_i} \simeq \mathscr{O}_{E_i}$. From these formulae, it follows that

$$g_*^i \omega_{\mathcal{C}_i/B} = h_*^i \omega_{\mathcal{B}_i/B},$$
$$g_*^{i+1} \omega_{\mathcal{C}_{i+1}/B} = h_*^i \omega_{\mathcal{B}_i/B}(E_i).$$

Thus, to compare $g_*^i \omega_{\mathcal{C}^i/B}$ and $g_*^{i+1} \omega_{\mathcal{C}^{i+1}/B}$, we consider the exact sequence on \mathcal{B}_i :

$$0 \to \omega_{\mathcal{B}_i/B} \to \omega_{\mathcal{B}_i/B}(E_i) \to \mathscr{O}_{E_i} \to 0.$$

Pushing forward, we obtain

$$0 \to h^i_* \omega_{\mathcal{B}_i/B} \to h^i_* \omega_{\mathcal{B}_i/B}(E_i) \to h^i_* \mathscr{O}_{E_i} \to 0.$$

where we have used the fact that the connecting homomorphism $h_*^i \mathscr{O}_{E_i} \to R^1 h_*^i \omega_{\mathcal{B}_i/B}$ is zero, since $h_*^i \mathscr{O}_{E_i} \simeq k(b)$ is torsion, while $R^1 h_*^i \omega_{\mathcal{B}_i/B}$ is locally free. We conclude that

$$c_1(h_*^i\omega_{\mathcal{B}_i/B}) = c_1(h_*^i\omega_{\mathcal{B}_i/B}(E_i)) + 1,$$

which implies that

$$c_1(g_*^i\omega_{\mathcal{C}_i/B}) = c_1(g_*^{i+1}\omega_{\mathcal{C}_{i+1}/B}) + 1,$$

as desired.

To prove the formula relating $\psi^l \cdot B$ and $\psi^m \cdot B$, let us define $\{\sigma_i^j\}_{i=1}^n$ to be the strict transform of the sections $\{\sigma_i\}_{i=1}^n$ on \mathcal{C}_j . Since the desingularization maps $\mathcal{C}_0 \to \mathcal{D}$ and $\mathcal{C}_k \to \mathcal{C}$ are isomorphisms in a neighborhood of the sections and hence do not affect the sum of the self-intersections, we have

$$\psi^l \cdot B = -\sum_{i=1}^n (\sigma_i^0)^2,$$

$$\psi^m \cdot B = -\sum_{i=1}^n (\sigma_i^k)^2.$$

Thus, it suffices to show that for $j = 0, 1, \ldots, k - 1$,

$$\sum_{i=1}^{n} (\sigma_i^{j+1})^2 - \sum_{i=1}^{n} (\sigma_i^j)^2 = -n_j.$$

To see this, simply note that the blow-up p_j is supported along n_j marked points, and the selfintersections of the strict transforms of the corresponding sections each decrease by one. On the other hand, the contraction q_j is an isomorphism in a neighborhood of the sections and hence does not affect their self-intersections.

To prove the formula relating $\delta_0^l \cdot B$ and $\delta_0^m \cdot B$, let us define δ^i to be the number of disconnecting nodes in the fibers of $\mathcal{C}_i \to B$. Since the desingularization maps $\mathcal{C}_0 \to \mathcal{D}$ and $\mathcal{C}_k \to \mathcal{C}$ introduce d-1 nodes into the special fiber for each node counted with multiplicity d in $\delta_0^l \cdot B$ and $\delta_0^m \cdot B$, respectively, we have

$$\delta_0^l \cdot B = \delta^0, \delta_0^m \cdot B = \delta^k.$$

Thus, it suffices to show that for $i = 0, 1, \ldots, k - 1$,

 $\delta^{i+1} - \delta^i = -m_i.$

To see this, note that the blow-up p_i introduces n_i disconnecting nodes into the special fiber, but the contraction q_i absorbs $n_i + m_i$ disconnecting nodes into an elliptic $(n_i + m_i)$ -fold point. Thus, there are m_i fewer nodes in $(\mathcal{C}_{i+1})_b$ than in $(\mathcal{C}_i)_b$.

The final formula is an obvious consequence of the preceding two.

This analysis clearly extends to the case when $\mathcal{D} \dashrightarrow \mathcal{C}$ is an isomorphism away from multiple fibers, since we can perform the necessary blow-ups and contractions on each fiber individually.

COROLLARY 3.7. Suppose that $(f : \mathcal{C} \to B, \{\sigma_i\}_{i=1}^n)$ is a family of *m*-stable curves and $(g : \mathcal{D} \to B, \{\sigma_i\}_{i=1}^n)$ is a family of *l*-stable curves with l < m. Suppose that the generic fiber of *f* has no disconnecting nodes, and that there is a birational morphism $\mathcal{D} \dashrightarrow \mathcal{C}$, so that \mathcal{D} and \mathcal{C} are isomorphic away from the fibers over $b_1, \ldots, b_t \in B$. Then we have

$$\lambda^m \cdot B = \lambda^l \cdot B + \sum_{i=1}^t k_i,$$
$$(\psi^m - \delta_0^m) \cdot B = (\psi^l - \delta_0^l) \cdot B + \sum_{i=1}^t \sum_{j=1}^{k_i} l_{ij},$$

where k_i is the number of blow-ups/contractions required to transform the fiber \mathcal{D}_{b_i} into \mathcal{C}_{b_i} , and l_{ij} is the level of the elliptic bridge contracted in the *j*th step of this transformation.

Proof. Immediate from Proposition 3.6.

4. Proof of main result

4.1 The birational contraction $\phi: \overline{M}_{1,n} \dashrightarrow \overline{M}_{1,n}(m)^*$

Recall that a *birational contraction* is a birational map $\phi: X \dashrightarrow Y$ between normal proper algebraic spaces, such that $\text{Exc}(\phi^{-1})$ has codimension ≥ 2 . The *exceptional divisors* of ϕ are the divisors on X whose birational image in Y has codimension ≥ 2 .

LEMMA 4.1. $\phi: \overline{M}_{1,n} \dashrightarrow \overline{M}_{1,n}(m)^*$ is a birational contraction with exceptional divisors $\{\Delta_{0,S}\}_{S \in [n]_{n-m+1}^n}$.

Proof. Consider the commutative diagram.



Let $\mathcal{E}_l \subset \overline{M}_{1,n}(m)$ denote the locally closed subspace parameterizing curves with an elliptic *l*-fold point. Since an *m*-stable curve is stable if and only if it is nodal, the open set $\mathcal{U} := \overline{M}_{1,n}(m) - \bigcup_{l=1}^m \mathcal{E}_l$ parameterizes stable curves, so $(\pi \circ \phi)^{-1}|_{\mathcal{U}}$ is an isomorphism. Thus, $\operatorname{Exc}(\phi^{-1}) \subset \bigcup_{i=1}^m \pi^{-1}(\mathcal{E}_l)$. Since π is finite, $\bigcup_{i=1}^m \pi^{-1}(\mathcal{E}_l)$ has codimension ≥ 2 by Corollary 2.17. To see that $\operatorname{Exc}(\phi) \subset \int \Delta_0 g^{1} g^{1} g^{-1}$ is a simply observe that the generic point of each divisor

To see that $\operatorname{Exc}(\phi) \subset \{\Delta_{0,S}\}_{S \in [n]_{n-m+1}^n}$, simply observe that the generic point of each divisor $\{\Delta_{0,S}\}_{S \in [n]_2^{n-m}}$ corresponds to an *m*-stable curve, so that ϕ must be an isomorphism at this point. Conversely, the generic point of each divisor $\{\Delta_{0,S}\}_{S \in [n]_{n-m+1}^n}$ is not *m*-stable and is replaced by an *m*-stable curve with an elliptic *l*-fold point, where l = n - |S| + 1. Thus, the birational images of $\{\Delta_{0,S}\}_{S \in [n]_{n-m+1}^n}$ are contained in $\bigcup_{l=1}^m \pi^{-1}(\mathcal{E}_l)$, which has codimension ≥ 2 .

In order to make calculations with test curves, it will be necessary to have a precise description of the locus on which ϕ is regular. The following lemma gives a useful tool for determining this locus.

LEMMA 4.2. Suppose that $\phi: X \to Y$ is a birational map of proper algebraic spaces with X normal, and suppose that $U \subset X$ is an open subset such that $\phi|_U$ is an isomorphism. If $x \in X$ is any point, then ϕ is regular at x if and only if there exists a point $y \in Y$ such that the following condition holds.

For any map $t: \Delta \to X$ satisfying:

- (1) Δ is the spectrum of a discrete valuation ring with generic point $\eta \in \Delta$ and closed point $0 \in \Delta$;
- (2) $t(\eta) \in U$;
- (3) t(0) = x,

the composition $\phi \circ t : \Delta \to Y$ satisfies $\phi \circ t(0) = y$. (The composition $\phi \circ t$ is regular, since Y is proper.)

Proof. The existence of a point $y \in Y$ satisfying the given condition is clearly necessary for ϕ to be regular at x. We will prove that it is sufficient. Consider a resolution of the rational map ϕ .



We may choose the resolution so that W is normal and p and q are isomorphisms when restricted to $p^{-1}(U)$.

We claim that $p^{-1}(x) \subset q^{-1}(y)$. Given any point $w \in p^{-1}(x)$, the fact that $p^{-1}(U) \subset W$ is dense implies that there exists a map $t: \Delta \to W$ such that $t(\eta) \in p^{-1}(U)$ and t(0) = w. Clearly, the composition $p \circ t$ satisfies the conditions (1), (2), and (3), so our hypothesis ensures that $\phi \circ p \circ t(0) = q \circ t(0) = y \in Y$. Thus, $w \in q^{-1}(y)$, as desired.

Now, if Y is normal, then q factors through p (locally around $p^{-1}(x)$) and we are done. If Y is not normal, let $\pi: \tilde{Y} \to Y$ be the normalization of Y and let $\pi^{-1}(y) = \{y_1, \ldots, y_m\}$. Since W is normal, q factors through π , say $q = \pi \circ \tilde{q}$, and $q^{-1}(y) = \tilde{q}^{-1}(y_1) \cup \cdots \cup \tilde{q}^{-1}(y_m)$. By Zariski's main theorem, $p^{-1}(x)$ is connected, so the above argument gives $p^{-1}(x) \subset q^{-1}(y_i)$ for some *i*. Thus, \tilde{q} factors through p and $\tilde{\phi}: X \to \tilde{Y}$ is regular at $x \in X$ with $\tilde{\phi}(x) = y_i$. Since π is regular at y_i , the composition $\phi = \pi \circ \tilde{\phi}$ is regular at x, as desired. \Box

COROLLARY 4.3. The birational map $\phi: \overline{M}_{1,n} \to \overline{M}_{1,n}(m)$ is regular at $[C, \{p_i\}_{i=1}^n] \in \overline{M}_{1,n}$ if and only if $[C, \{p_i\}_{i=1}^n]$ satisfies one of the following conditions:

- (1) $[C, \{p_i\}_{i=1}^n] \notin \Delta_{0,S}$ for any $S \in [n]_{n-m+1}^n$; or
- (2) C has only one disconnecting node.

Proof. If $[C, \{p_i\}_{i=1}^n] \notin \Delta_{0,S}$ for some $S \in [n]_{n-m+1}^n$, then $[C, \{p_i\}_{i=1}^n]$ is *m*-stable, so ϕ is obviously regular in a neighborhood of $[C, \{p_i\}_{i=1}^n]$. Thus, we may assume that $[C, \{p_i\}_{i=1}^n] \in \Delta_{0,S}$ for some $S \in [n]_{n-m+1}^n$ and that *C* has exactly one disconnecting node.

By Lemma 4.2, it suffices to show that there exists a point $[C', \{p'_i\}_{i=1}^n] \in \overline{M}_{1,n}(m)$ with the property that, for any map $t: \Delta \to \overline{M}_{1,n}$ such that $t(\eta) \in M_{1,n}$ and $t(0) = [C, \{p_i\}_{i=1}^n]$, we have $\phi \circ t(0) = [C', \{p'_i\}_{i=1}^n]$. Write

$$(C, \{p_i\}_{i=1}^n) = (E, \{p_i\}_{i \in [n] \setminus S}, q_2) \bigcup_{q_1 \sim q_2} (\mathbb{P}^1, \{p_i\}_{i \in S}, q_1),$$

where E and \mathbb{P}^1 are the two connected components of the normalization of C at its unique disconnecting node. Now let $(C', \{p'_i\}_{i=1}^n)$ be the unique isomorphism class of elliptic (n - |S| + 1)-fold pointed curves with normalization equal to

$$\left(\coprod_{i\in[n]\setminus S}(\mathbb{P}^1,p_i,q_i)\right)\coprod(\mathbb{P}^1,\{p_i\}_{i\in S},q_1),$$

where $(\mathbb{P}^1, p_i, q_i) \simeq (\mathbb{P}^1, 0, \infty)$ and we identify $q_1 \cup \{q_i\}_{i \in [n] \setminus S}$ to form an elliptic n - |S| + 1-fold point. Note that, by Corollary 2.7, the attaching data for this elliptic n - |S| + 1-fold point is uniquely determined. We claim that $[C', \{p'_i\}_{i=1}^n] \in \overline{M}_{1,n}(m)$ satisfies the desired condition.

Given a map $t : \Delta \to \overline{M}_{1,n}$ such that $t(\eta) \in M_{1,n}$ and $t(0) = [C, \{p_i\}_{i=1}^n]$, we may assume (after a finite base change) that t corresponds to the smoothing $(\mathcal{C} \to \Delta, \{\sigma_i\}_{i=1}^n)$ and it suffices to show that the *m*-stable limit of the generic fiber \mathcal{C}_{η} is $[C', \{p'_i\}_{i=1}^n]$. To check this, we use the explicit algorithm for finding *m*-stable limits as described in [Smy11, Theorem 3.11]. When the total space of \mathcal{C} is smooth, the *m*-stable limit is produced simply by blowing up \mathcal{C} at the marked points on E and contracting the strict transform of E, which precisely gives $[C', \{p'_i\}_{i=1}^n]$. If \mathcal{C} has an A_k singularity at the unique disconnecting node of C, the *m*-stable limit is produced by desingularizing \mathcal{C} at this point, and repeating this blow-up/contraction process k + 1 times. We leave it to the reader to check that the result is again simply $[C', \{p'_i\}_{i=1}^n]$.

To see that if $[C, \{p_i\}_{i=1}^n] \in \overline{M}_{1,n}$ fails to satisfy (1) and (2), then ϕ is not regular at $[C, \{p_i\}_{i=1}^n]$, it suffices to exhibit two smoothings of $[C, \{p_i\}_{i=1}^n]$ which have different *m*-stable limits. We leave this as an exercise for the reader. \Box

COROLLARY 4.4. Suppose that $[C, \{p_i\}_{i=1}^n] \in \overline{M}_{1,n}$ satisfies:

- (1) $C \in \Delta_{0,S}$ for some $S \in [n]_{n-m+1}^n$;
- (2) C has exactly one disconnecting node.

If we write

$$(C, \{p_i\}_{i=1}^n) = (E, \{p_i\}_{i \in [n] \setminus S}, q_2) \bigcup_{q_1 \sim q_2} (\mathbb{P}^1, \{p_i\}_{i \in S}, q_1),$$

then $\phi([C, \{p_i\}_{i=1}^n]) = [C', \{p'_i\}_{i=1}^n]$, where $(C', \{p'_i\}_{i=1}^n)$ is the unique isomorphism class of elliptic (n - |S| + 1)-fold pointed curves with normalization equal to $(\mathbb{P}^1, \{p_i\}_{i \in S}, q_1) \cup \prod_{i \in [n] \setminus S} (\mathbb{P}^1, p_i, q_i)$.

Proof. Immediate from the proof of the preceding corollary.

COROLLARY 4.5. The birational map $\phi: \overline{M}_{1,n}(m-1) \dashrightarrow \overline{M}_{1,n}(m)$ is regular if and only if m = 1 or m = n - 1.

Proof. If m = 1, then any *m*-stable curve evidently satisfies condition (1) in Corollary 4.3. If m = n - 1, then any *m*-stable curve has at most one disconnecting node, i.e. any *m*-stable curve satisfies condition (2) in Corollary 4.3. On the other hand, if $2 \le m \le n - 2$, then the reader may easily check that there exist *m*-stable curves which fail to satisfy both (1) and (2).

Since $\phi : \overline{M}_{1,n} \dashrightarrow \overline{M}_{1,n}(m)^*$ is a birational contraction, push forward of cycles and pull back of divisors induce well-defined maps:

$$\begin{split} \phi_* &: N^1(\overline{M}_{1,n}) \to N^1(\overline{M}_{1,n}(m)^*), \\ \phi^* &: N^1(\overline{M}_{1,n}(m)^*) \to N^1(\overline{M}_{1,n}), \end{split}$$

where $N^1(X)$ denotes the Q-vector space generated Cartier divisors moduli numerical equivalence. Since $N^1(\overline{M}_{1,n})$ and $N^1(\overline{M}_{1,n}(m)^*)$ are generated by the classes of the boundary divisors (Propositions 3.1 and 3.2), the following proposition determines ϕ_* and ϕ^* completely.

PROPOSITION 4.6. For the birational contraction $\phi : \overline{M}_{1,n} \dashrightarrow \overline{M}_{1,n}(m)^*$, ϕ_* and ϕ^* satisfy the following formulae:

$$\phi_* \Delta_{0,S} = \Delta_{0,S} \quad (S \in [n]_2^{n-m}),$$

$$\phi_* \Delta_{irr} = \Delta_{irr},$$

$$\phi^* \Delta_{0,S} = \Delta_{0,S} \quad (S \in [n]_2^{n-m}),$$

$$\phi^* \Delta_{irr} = \Delta_{irr} + \sum_{S \in [n]_{n-m+1}^n} 12\Delta_{0,S}.$$

Proof. The push forward formulae are immediate from the definition of $\Delta_{0,S}$ and Δ_{irr} . For the pull back formulae, note that since ϕ has exceptional divisors $\{\Delta_{0,T}\}_{T \in [n]_{n-m+1}^n}$, we may write

$$\phi^* \Delta_{\operatorname{irr}} = \Delta_{\operatorname{irr}} + \sum_{T \in [n]_{n-m+1}^n} a_T \Delta_{0,T},\tag{\dagger}$$

$$\phi^* \Delta_{0,S} = \Delta_{0,S} + \sum_{T \in [n]_{n-m+1}^n} b_T \Delta_{0,T}, \tag{\ddagger}$$

for some coefficients a_T, b_T . We will prove that $a_T = 12$ and $b_T = 0$ by intersecting with an appropriate collection of test curves.

Fix $T \in [n]_{n-m+1}^n$, and define a complete one-parameter family of *n*-pointed stable curves as follows: let $(\mathcal{C}_1 \to B_T, \{\sigma_i\}_{i=1}^{|T|+1})$ be a non-constant family of (|T|+1)-pointed stable curves of genus one, with smooth general fiber and only irreducible singular fibers. The existence of such families follows from Corollary 4.13 in §4.2. (The reader may verify that this proposition is not invoked in the proof of any intermediate results.) Let $\sigma_1, \ldots, \sigma_{|T|}$ be labeled by the elements of T, and consider $\sigma_{|T|+1}$ as an attaching section. Next, let $(\mathcal{C}_2 \to B_T, \{\tau_i\}_{i=1}^{n-|T|+1})$ be a constant family of smooth rational curves over B_T with n - |T| + 1 constant sections. Let $\tau_1, \ldots, \tau_{n-|T|}$ be labeled by elements of $[n] \setminus T$, and consider $\tau_{n-|T|+1}$ as an attaching section. Gluing \mathcal{C}_1 to \mathcal{C}_2 along $\sigma_{|T|+1} \sim \tau_{n-|T|+1}$, we obtain a family of *n*-pointed stable curves over B_T . We claim that the curve $B_T \subset \overline{M}_{1,n}$ satisfies:

- (1) ϕ is regular in a neighborhood of B_T ;
- (2) B_T is contracted by ϕ ;
- (3) $\Delta_{\operatorname{irr}} \cdot B_T = -12(\Delta_{0,T} \cdot B_T);$
- (4) $\Delta_{0,S} \cdot B_T = 0$ if $S \neq T$.

Part (1) follows from Corollary 4.3, since each fiber of the family has only one disconnecting node. Using Corollary 4.4, one sees that each point of B_T is mapped to the same point in $\overline{M}_{1,n}(m)$, and (2) follows. Part (3) is a standard calculation using the relations in $\operatorname{Pic}_{\mathbb{Q}}(\overline{M}_{1,n})$ (Proposition 3.1), and (4) is immediate from the construction. Intersecting both sides of (†) and (‡) with the test curve B_T gives $a_T = 12$ and $b_T = 0$, as desired.

We can use our formulae for ϕ_* and ϕ^* to compare section rings on $\overline{M}_{1,n}$ and $\overline{M}_{1,n}(m)^*$.

PROPOSITION 4.7. $R(\overline{M}_{1,n}, D(s)) = R(\overline{M}_{1,n}(m)^*, \phi_*D(s))$ if and only if $s \leq 12 - m$.

Proof. It suffices to show that $\phi^* \phi_* D(s) - D(s) \ge 0$ if and only if $s \le 12 - m$. Using the relations in $\operatorname{Pic}_{\mathbb{Q}}(\overline{M}_{1,n})$ (Proposition 3.1), we have

$$D(s) := s\lambda + \psi - \Delta = \frac{(n+s-12)}{12} \Delta_{irr} + \sum_{S \in [n]_2^n} (|S|-1) \Delta_{0,S}.$$

Using the formulae of Proposition 4.6, we have

$$\phi_* D(s) = \frac{(n+s-12)}{12} \Delta_{irr} + \sum_{S \in [n]_2^{n-m}} (|S|-1) \Delta_{0,S},$$

$$\phi^* \phi_* D(s) = \frac{(n+s-12)}{12} \Delta_{irr} + \sum_{S \in [n]_2^{n-m}} (|S|-1) \Delta_{0,S} + \sum_{S \in [n]_{n-m+1}^n} (n+s-12) \Delta_{0,S}.$$

Thus,

$$D(s) - \phi^* \phi_* D(s) = \sum_{S \in [n]_{n-m+1}^n} (|S| + 11 - n - s) \Delta_{0,S}.$$

Since $|S| \ge n - m + 1$, we have $D(s) - \phi^* \phi_* D(s) \ge 0 \iff 12 - m - s \ge 0$. Thus, $s \le 12 - m$ if and only if $R(\overline{M}_{1,n}, D(s)) = R(\overline{M}_{1,n}(m)^*, \phi_* D(s))$. \Box

4.2 Ample divisors on $\overline{M}_{1,n}(m)$

In this section, we prove that $\overline{M}_{1,n}(m)$ is projective. More precisely, we show that

 $\psi - \delta_0 - s\lambda$ is ample on $\overline{M}_{1,n}(m)$ if m < s < m + 1.

In conjunction with the discrepancy calculation of Proposition 4.7, this will allow us to prove our main result (Corollary 4.14). Our proof of ampleness proceeds via Kleiman's criterion, i.e. we will show that the given divisors have positive intersection on all curves in $\overline{M}_{1,n}(m)$. We begin with two preparatory lemmas.

LEMMA 4.8. (1) λ is nef on $\overline{M}_{1,n}$.

- (2) $\psi \delta$ is ample on $\overline{M}_{0,n}$.
- (3) $\psi \delta_0 \lambda$ is nef on $\overline{M}_{1,n}$.
- (4) ψ_i is nef on $\overline{M}_{0,n}$ for each $i = 1, \ldots, n$.

Proof. Parts (1) and (4) are well known. For (2), consider the closed immersion

$$i: M_{0,n} \to M_g$$

defined by attaching fixed curves of genus $g_1, \ldots, g_n \ge 2$ to the *n* marked points, where *g* is chosen so that $g_1 + \cdots + g_n = g$. By [CH88, Theorem 1.3], the divisor $s\lambda - \delta$ is ample on \overline{M}_g if s > 11. Since

$$i^*\lambda = \lambda,$$

 $i^*\delta = \delta - \psi,$

we conclude that $i^*(12\lambda - \delta) = 12\lambda + \psi - \delta = \psi - \delta$ is ample on $\overline{M}_{0,n}$.

The proof of (3) is similar. Consider the closed immersion

$$i: \overline{M}_{1,n} \to \overline{M}_g$$

defined by attaching fixed curves of genus $g_1, \ldots, g_n \ge 2$ to the *n* marked points, where *g* is chosen so that $g_1 + \cdots + g_n + 1 = g$. Using the same formulae as above and the relation $\delta_{irr} = 12\lambda$ on $\overline{M}_{1,n}$, one checks that

 $i^*(11\lambda + \psi - \delta) = \psi - \delta_0 - \lambda,$

so that $\psi - \delta_0 - \lambda$ is nef on $\overline{M}_{1,n}$.

For our second lemma, suppose that $(f : \mathcal{C} \to B, \{\sigma_i\}_{i=1}^n)$ is a family of *m*-stable curves over a smooth curve *B* and that every fiber of *f* contains an elliptic *l*-fold point, for some $l \ge 1$. Then *f* admits a section τ such that $\tau(b) \in \mathcal{C}_b$ is an elliptic *l*-fold point for all $b \in B$, and we may consider the normalization $\tilde{\mathcal{C}} \to \mathcal{C}$ along τ . Let $\{\tilde{\tau}_i\}_{i=1}^l$ be the sections lying over τ , and let S_i be the subset of marked points lying on the *i*th connected component of the normalization. The normalization $\tilde{\mathcal{C}}$ decomposes as

$$\prod_{i=1}^{l} (\tilde{\mathcal{C}}_i, \tilde{\tau}_i, \{\tilde{\sigma}_j\}_{j \in S_i}),$$

where each $(\mathcal{C}_i, \tilde{\tau}_i, {\tilde{\sigma}_j}_{j \in S_i})$ is a family of semistable genus zero curves over B. If we assume, in addition, that the generic fiber of \mathcal{C} has no disconnecting nodes, then the generic fiber of each $\tilde{\mathcal{C}}_i$

is smooth. In this case, for each *i* satisfying $|S_i| \ge 2$, there is a well-defined stabilization map, i.e. a birational map $\tilde{\mathcal{C}}_i \to \tilde{\mathcal{C}}_i^s$ obtained by blowing down the semistable components in the fibers of $\tilde{\mathcal{C}}_i$. Let $\tilde{\tau}_i^s$ and $\tilde{\sigma}_i^s$ be the images of $\tilde{\tau}_i$ and $\tilde{\sigma}_i$ under this map.

Without loss of generality, we may assume that the S_i are ordered so that $|S_i| \ge 2$ for $i = 1, \ldots, k$ and $|S_{k+1}| = |S_{k+2}| = \cdots = |S_l| = 1$. Then, for each $i = 1, \ldots, k$, each $(\tilde{\mathcal{C}}_i^s, \tilde{\tau}_i^s, \{\tilde{\sigma}_j^s\}_{j \in S_i})$ is a stable family of genus-zero curves over B, so we have a map

$$c^s: B \to \overline{M}_{0,|S_1|+1} \times \cdots \times \overline{M}_{0,|S_k|+1},$$

and we may define

$$\psi^s \cdot B := \deg_B(c^s)^* \psi,$$
$$\delta_0^s \cdot B := \deg_B(c^s)^* \delta.$$

The following lemma compares the intersection number $(\psi - \delta_0) \cdot B$ with the intersection number $(\psi^s - \delta_0^s) \cdot B$.

LEMMA 4.9. Suppose that $(f: \mathcal{C} \to B, \{\sigma_i\}_{i=1}^n)$ is a family of *m*-stable curves satisfying:

- (1) every fiber of C has an elliptic *l*-fold point, for some $l \ge 1$;
- (2) the generic fiber of \mathcal{C} has no disconnecting nodes.

With notation as above, we have

$$(\psi - \delta_0) \cdot B = (\psi^s - \delta_0^s) \cdot B + l\lambda \cdot B.$$

Proof. As in the discussion preceding the lemma, we have a diagram



where π is the normalization of \mathcal{C} along τ , and ϕ is the birational stabilization map.

Since π is an isomorphism in an open neighborhood of every node and every section σ_i , π does not affect the relevant intersection numbers, i.e. we have

$$\begin{split} \delta_0 \cdot B &= \#\{\text{Nodes in fibers of } \mathcal{C}\} = \#\{\text{Nodes in fibers of } \mathcal{C}\},\\ \psi \cdot B &= -\sum_{i=1}^k \sigma_i^2 = -\sum_{i=1}^k \tilde{\sigma}_i^2, \end{split}$$

where the nodes are counted with suitable multiplicity.

To analyze the effect of ϕ on these intersection numbers, observe that if $R \simeq \mathbb{P}^1$ is a component of a fiber of $\tilde{\mathcal{C}}_i$ contracted by ϕ , then R meets the rest of the fiber at a single node and the section $\tilde{\tau}_i$ passes through R. If the attaching node is an A_k -singularity of the total space, then blowing down R decreases the number of nodes in $\tilde{\mathcal{C}}$ by k (counted with multiplicity), while raising the

self-intersection of the section $\tilde{\tau}_i$ by k. Thus,

$$\psi^s \cdot B - \delta_0^s \cdot B = -\sum_{i=1}^n (\tilde{\sigma}_i^s)^2 - \sum_{i=1}^l (\tilde{\tau}_i^s)^2 - \#\{\text{Nodes in fibers of } \tilde{\mathcal{C}}^s\}$$
$$= -\sum_{i=1}^n \tilde{\sigma}_i^2 - \sum_{i=1}^l \tilde{\tau}_i^2 - \#\{\text{Nodes in fibers of } \tilde{\mathcal{C}}\}$$
$$= \psi \cdot B - \delta_0 \cdot B - \sum_{i=1}^l (\tilde{\tau}_i)^2.$$

Applying Proposition 3.4, we see that the last line is equivalent to $(\psi - \delta_0) \cdot B - l\lambda \cdot B$, as desired.

PROPOSITION 4.10. If $s \in \mathbb{Q} \cap [m, m+1]$, then $\psi - \delta_0 - s\lambda$ is nef on $\overline{M}_{1,n}(m)$.

Proof. Fix $s \in \mathbb{Q} \cap [m, m+1]$. To prove that $\psi - \delta_0 - s\lambda$ is nef on $\overline{M}_{1,n}(m)$, it suffices to show that $\psi - \delta_0 - s\lambda$ has non-negative degree on any family of *m*-stable curves $(f : \mathcal{C} \to B, \{\sigma_i\}_{i=1}^n)$ over a smooth curve *B*. We begin with three reductions.

REDUCTION 1. We may assume that the generic fiber of C has no disconnecting nodes.

Proof. We may decompose a generic fiber of \mathcal{C} as

$$C = E \cup R_1 \cup \cdots \cup R_k,$$

where E is the minimal elliptic subcurve of C, and R_1, \ldots, R_k are rational tails meeting E in a single node [Smy11, Lemma 3.1]. Since the limit of a disconnecting node is a disconnecting node, there exist sections $\tau_1, \ldots, \tau_k : B \to C$ such that:

- (1) $\tau_i(b) \in C_b$ is a disconnecting node for all $b \in B$;
- (2) $\tau_i(b) \in E \cap R_i$ over the generic point of B.

Let $\tilde{\mathcal{C}} \to \mathcal{C}$ be the normalization of \mathcal{C} along $\bigcup_{i=1}^{k} \tau_i$, so that we have

$$\tilde{\mathcal{C}} = \mathcal{E} \coprod \mathcal{R}_1 \coprod \cdots \coprod \mathcal{R}_k,$$

where $\mathcal{E} \to B$ is a family of genus-one curves and each $\mathcal{R}_i \to B$ is a family of genus-zero curves. Mark the two sections of $\tilde{\mathcal{C}}$ lying above τ_i as τ'_i and τ''_i , so that $(\tilde{\mathcal{C}}, \{\sigma_i\}_{i=1}^n, \{\tau'_i\}_{i=1}^k, \{\tau''_i\}_{i=1}^k)$ decomposes as

$$(\mathcal{E}, \{\sigma_i\}_{i\in S_0}, \{\tau'_i\}_{i=1}^k) \coprod (\mathcal{R}_1, \{\sigma_i\}_{i\in S_1}, \tau''_1) \coprod \cdots \coprod (\mathcal{R}_k, \{\sigma_i\}_{i\in S_k}, \tau''_k),$$

where $\{S_0, S_1, \ldots, S_k\}$ is some partition of [n]. Note that $(\mathcal{E}, \{\sigma_i\}_{i \in S_0}, \{\tau'_i\}_{i=1}^k)$ is an $(|S_0| + k)$ pointed *m*-stable curve, and each $(\mathcal{R}_j, \{\sigma_i\}_{i \in S_j}, \tau''_j)$ is an $(|S_j| + 1)$ -pointed stable curve of genus
zero. Let $c_0: B \to \overline{\mathcal{M}}_{1,|S_0|+k}(m)$ and $c_j: B \to \overline{\mathcal{M}}_{0,|S_j|+1}$ be the corresponding classifying maps,
and define

$$\lambda^{i} \cdot B := \deg_{B} c_{i}^{*} \lambda,$$
$$(\psi - \delta_{0})^{i} \cdot B := \deg_{B} c_{i}^{*} (\psi - \delta_{0}).$$

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Since the degree of λ is zero on any family of genus zero stable curves, we have

$$\lambda \cdot B = \lambda^0 \cdot B + \sum_{j=1}^k \lambda^j \cdot B = \lambda^0 \cdot B.$$

Furthermore, since $(\psi - \delta)$ is ample on $\overline{M}_{0,n}$ (Lemma 4.8), we have

$$(\psi - \delta_0) \cdot B = (\psi - \delta_0)^0 \cdot B + \sum_{j=1}^k (\psi - \delta_0)^j \cdot B > (\psi - \delta_0)^0 \cdot B.$$

Altogether, we obtain

$$(\psi - \delta_0 - s\lambda) \cdot B > (\psi - \delta_0 - s\lambda)^0 \cdot B.$$

Since $(\mathcal{E} \to B, \{\sigma_i\}_{i \in S_0}, \{\tau'_i\}_{i=1}^k)$ is an *m*-stable curve with no disconnecting nodes in the generic fiber, it suffices to prove the non-negativity of $\psi - \delta_0 - s\lambda$ on families of *m*-stable curves satisfying this extra condition.

REDUCTION 2. We may assume that $\lambda \cdot B < 0$.

Proof. Using the relations in Proposition 3.2, we have

$$(\psi - \delta_0 - s\lambda) \cdot B = \sum_{S \subset [n]_2^{n-m}} (|S| - 1)\delta_{0,S} \cdot B + (n-s)\lambda \cdot B.$$

By the first reduction, we have $\delta_{0,S} \cdot B > 0$ for each $S \subset [n]_2^{n-m}$. Furthermore, $n-s \ge 0$, since $s \le m+1$ and $m \le n-1$. Thus, if $\lambda \cdot B \ge 0$, the intersection number $(\psi - \delta_0 - s\lambda) \cdot B$ is non-negative.

REDUCTION 3. We may assume that the generic fiber of C contains an elliptic *l*-fold point, for some $l \ge 1$.

Proof. Since λ is nef on $\overline{M}_{1,n}$ (Lemma 4.8), Corollary 3.7 (applied with l = 0) implies that $\lambda \cdot B \ge 0$ for any *m*-stable curve with nodal generic fiber. Thus, by the second reduction, we may assume that the generic fiber of \mathcal{C} contains an elliptic *l*-fold point, for some $l \ge 1$.

Now suppose first that *every* fiber of C contains an elliptic *l*-fold point. In this case, Lemma 4.9 implies that

$$(\psi - \delta_0 - s\lambda) \cdot B = (\psi^s - \delta_0^s) \cdot B + (l - s)\lambda \cdot B,$$

where $(\psi^s - \delta_0^s) \cdot B$ is the sum of the intersection numbers of $\psi - \delta$ on the families of genus zero stable curves obtained by normalizing C along the locus of elliptic *l*-fold points, and stabilizing the resulting families of semistable curves. By Lemma 4.8(2), $(\psi^s - \delta_0^s) \cdot B > 0$, so

$$(\psi - \delta_0 - s\lambda) \cdot B > (l - s)\lambda \cdot B.$$

Since $l \leq m \leq s$ and $\lambda \cdot B < 0$, this intersection number is non-negative.

It remains to consider the possibility that there is a finite set of points $b_1, \ldots, b_t \in B$ where the fibers of \mathcal{C} acquire elliptic k-fold points with k > l. Since the restriction of f to $B - \{b_1, \ldots, b_t\}$ is an l-stable curve, we have a classifying map $c_l : B \to \overline{\mathcal{M}}_{1,n}(l)$, an induced l-stable family $(\mathcal{C}^l \to \Delta, \{\sigma_i\}_{i=1}^n)$, and we set

$$\lambda^{l} \cdot B := \deg_{B} c_{l}^{*} \lambda,$$
$$(\psi - \delta_{0})^{l} \cdot B := \deg_{B} c_{l}^{*} (\psi - \delta_{0})$$

In the preceding paragraph, we saw that

$$(\psi - \delta_0 - s\lambda)^l \cdot B > (l - s)\lambda^l \cdot B$$

On the other hand, Corollary 3.7 says that

$$\lambda \cdot B - \lambda^l \cdot B = \sum_{i=1}^t k_i,$$
$$(\psi - \delta_0) \cdot B - (\psi - \delta_0)^l \cdot B = \sum_{i=1}^t \sum_{j=1}^{k_i} l_{ij},$$

where it takes k_i blow-ups/contractions to transform the *l*-stable fiber $C_{b_i}^l$ into the *m*-stable fiber C_{b_i} , and l_{ij} is the level of the elliptic bridge contracted at the *j*th step. Thus, we obtain

$$(\psi - \delta_0 - s\lambda) \cdot B - (\psi - \delta_0 - s\lambda)^l \cdot B = \sum_{i=1}^t \sum_{j=1}^{k_i} (l_{ij} - s).$$

We have $l_{ij} \ge l+1$, since we only contract elliptic bridges of level $l+1, \ldots, m$ in transforming an *l*-stable fiber to an *m*-stable fiber. Thus, we obtain

$$\sum_{i=1}^{t} \sum_{j=1}^{k_i} (l_{ij} - s) \ge \sum_{i=1}^{t} \sum_{j=1}^{k_i} (l+1-s) = (l+1-s) \sum_{i=1}^{t} k_i.$$

Combining the preceding inequalities, we obtain

$$(\psi - \delta_0 - s\lambda) \cdot B = (\psi - \delta_0 - s\lambda)^l \cdot B + \sum_{i=1}^t \sum_{j=1}^{k_i} (l_{ij} - s)$$
$$> (l - s)\lambda^l \cdot B + (l - s + 1) \sum_{i=1}^t k_i$$
$$= (l - s) \left(\lambda^l \cdot B + \sum_{i=1}^t k_i\right) + \sum_{i=1}^t k_i,$$
$$= (l - s)\lambda \cdot B + \sum_{i=1}^t k_i,$$

which is non-negative since $l \leq m \leq s$ and $\lambda \cdot B < 0$.

To upgrade from nefness to ampleness, we will use Kleiman's criterion [Kol96, Theorem 2.19]. Unfortunately, Kleiman's criterion can fail for algebraic spaces [Kol96, Excercise 2.19.3]. Thus, we must first show that Kleiman's criterion applies to $\overline{M}_{1,n}(m)^*$ without assuming *a priori* that $\overline{M}_{1,n}(m)^*$ is a scheme.

LEMMA 4.11. Any divisor in the interior of the nef cone of $\overline{M}_{1,n}(m)^*$ is ample.

Proof. To show that Kleiman's criterion applies to $\overline{M}_{1,n}(m)^*$, we must show that for any irreducible subvariety

$$Z \subset \overline{M}_{1,n}(m)^*$$

there exists an effective Cartier divisor E which meets Z properly [FS10, Lemma 4.9]. Since $\overline{M}_{1,n}(m)^*$ is Q-factorial, it is enough to show that there exists an open affine subscheme of $\overline{M}_{1,n}(m)^*$ meeting Z.

Let $\pi: \overline{M}_{1,n}(m)^* \to \overline{M}_{1,n}(m)$ be the normalization map, and consider the stratification of $\overline{M}_{1,n}(m)^*$ induced by the equisingular stratification of $\overline{M}_{1,n}(m)$:

$$\overline{M}_{1,n}(m)^* = \pi^{-1}(M_{1,n}) \coprod \pi^{-1}(\mathcal{E}_0) \coprod \cdots \coprod \pi^{-1}(\mathcal{E}_m).$$

Using Proposition 4.12 and induction on m, we may assume that $\overline{M}_{1,n}(m-1)^*$ is projective. Since the open set

$$\pi^{-1}(M_{1,n}) \coprod \pi^{-1}(\mathcal{E}_0) \coprod \cdots \coprod \pi^{-1}(\mathcal{E}_{m-1}) \subset \overline{M}_{1,n}(m)^*$$

is isomorphic to an open subset of $\overline{M}_{1,n}(m-1)^*$, every point has an open affine neighborhood. Thus, we may assume that $Z \subset \pi^{-1}(\mathcal{E}_m)$.

Evidently, it is sufficient to produce an effective Cartier divisor on $\overline{M}_{1,n}(m)$ which meets $\pi(Z)$ properly. $\pi(Z)$ lies in one of the irreducible components of \mathcal{E}_m and, by Proposition 2.16, these are each projective bundles of the form

$$p: \mathbb{P}(\psi_1 \oplus \cdots \oplus \psi_k) \to \overline{M}_{0,|S_1|+1} \times \cdots \times \overline{M}_{0,|S_k|+1}.$$

By construction, the divisor $\Delta_{0,S_1} \subset \overline{M}_{1,n}(m)$ restricts to a hyperplane subbundle

$$\Delta_{0,S_1} \cap \mathbb{P}(\psi_1 \oplus \cdots \oplus \psi_k) \subset \mathbb{P}(\psi_1 \oplus \cdots \oplus \psi_k).$$

If Z meets Δ_{0,S_1} properly, we are done, since some multiple of Δ_{0,S_1} is Cartier. If not, then the map

$$Z \to p(Z) \subset \overline{M}_{0,|S_1|+1} \times \cdots \times \overline{M}_{0,|S_k|+1}$$

is finite. Since $M_{0,|S_1|+1} \times \cdots \times M_{0,|S_k|+1}$ is affine and dim p(Z) > 1, p(Z) must meet some boundary divisor $\pi_i^* \Delta_{0,T}, T \subset S_i$. Equivalently, Z meets the boundary divisor $\Delta_{0,T} \subset \overline{M}_{1,n}(m)$. Since some multiple of $\Delta_{0,T}$ is Cartier, we are done.

Now we will upgrade our nefness result to an ampleness result by showing that $\psi - \delta_0 - s\lambda$ remains ample under a small perturbation by boundary divisors.

PROPOSITION 4.12. If $s \in \mathbb{Q} \cap (m, m+1)$, then $\psi - \delta_0 - s\lambda$ is ample on $\overline{M}_{1,n}(m)$. In particular, $\overline{M}_{1,n}(m)$ is projective.

Proof. Fix $s \in \mathbb{Q} \cap (m, m+1)$. It is sufficient to show that $\pi^*(\psi - \delta_0 - s\lambda)$ is ample, where $\pi : \overline{M}_{1,n}(m)^* \to \overline{M}_{1,n}(m)$ is the normalization map. By Proposition 3.2,

$$\operatorname{Pic}(\overline{M}_{1,n}(m)^*) \otimes \mathbb{Q} = \mathbb{Q}\{\lambda, \delta_{0,S} : S \subset [n]_2^{n-m}\}.$$

Thus, by Lemma 4.11, it is enough to show that there exists $c \in \mathbb{Q}_{>0}$ such that

$$(\psi - \delta_0 - s\lambda) + \epsilon_\lambda \lambda + \sum_{S \in [n]_2^{n-m}} \epsilon_S \delta_{0,S}$$

is nef, for any choice of $\epsilon_{\lambda}, \epsilon_{S} \in \mathbb{Q} \cap (-c, c)$. Clearly, we may pick c small enough that $(s-c, s+c) \in (m, m+1)$. Replacing s by $s + \epsilon_{\lambda}$, it suffices to show that

$$(\psi - \delta_0 - s\lambda) + \sum_{S \in [n]_2^{n-m}} \epsilon_S \delta_{0,S}$$

is nef for any $\epsilon_S \in \mathbb{Q} \cap (-c, c)$.

Since $\psi - \delta_0$ is ample on $\overline{M}_{0,n}$ (Lemma 4.8), we may choose c sufficiently small so that:

(1) c < s/m - 1; (2) $(\psi - \delta_0) + \sum_{S \subset [k]_2^k} \epsilon_S \delta_{0,S}$ is ample on $\overline{M}_{0,k}$, for all $3 \leq k \leq n$ and $\epsilon_S \in (c, -c)$.

Now fix c satisfying (1) and (2), and fix $\epsilon_S \in \mathbb{Q} \cap (-c, c)$. We claim that

$$(\psi - \delta_0 - s\lambda) + \sum_{S \in [n]_2^{n-m}} \epsilon_S \delta_{0,S}$$

has positive degree on any one-parameter family of *m*-stable curves $(f : C \to B, \{\sigma_i\}_{i=1}^n)$. The proof is essentially identical to the proof of Proposition 4.10, but we will indicate how the proof needs to be modified at each step.

REDUCTION 1. We may assume that the generic fiber of C has no disconnecting nodes.

Proof. As in the proof of Proposition 4.10, we decompose $\mathcal{C} = \mathcal{E} \cup \mathcal{R}_1 \cup \cdots \cup \mathcal{R}_k$, where $\mathcal{E} \to B$ is a family of *m*-stable curves whose the general fiber has no disconnecting nodes, and each $\mathcal{R}_i \to B$ is a stable family of genus-zero curves. By condition (2) in our choice of c, $(\psi - \delta_0) + \sum_{S \in [n]_2^{n-m}} \epsilon_S \delta_{0,S}$ has positive degree on each of the families $\mathcal{R}_i \to B$. Arguing as in Proposition 4.10, we see that it is sufficient to prove the nefness of $(\psi - \delta_0 - s\lambda) + \sum_{S \in [n]_2^{n-m}} \epsilon_S \delta_{0,S}$ on $\mathcal{E} \to B$. \Box

REDUCTION 2. We may assume that $\lambda \cdot B < 0$.

Proof. Using the relations in Proposition 3.2, we have

$$(\psi - \delta_0 - s\lambda) \cdot B + \sum_{S \subset [n]_2^{n-m}} \epsilon_S \delta_{0,S} = \sum_{S \subset [n]_2^{n-m}} (|S| - 1 + \epsilon_S) \delta_{0,S} \cdot B + (n-s)\lambda \cdot B.$$

Since $|S| \ge 2$ and $|\epsilon_S| < 1$, the coefficients $(|S| - 1 + \epsilon_S)$ are positive. Arguing precisely as in the proof of Proposition 4.10, we may assume that $\lambda \cdot B < 0$.

REDUCTION 3. We may assume that the generic fiber of C contains an elliptic *l*-fold point, for some $l \ge 1$.

Proof. Follows precisely as in the proof of Proposition 4.10.

Now suppose first that *every* fiber of \mathcal{C} has an elliptic *l*-fold point. Then Lemma 4.9 implies that

$$(\psi - \delta_0 - s\lambda) \cdot B + \sum_{S \subset [n]_2^{n-m}} \epsilon_S \delta_{0,S} \cdot B = (\psi^s - \delta_0^s) \cdot B + \sum_{S \subset [n]_2^{n-m}} \epsilon_S \delta_{0,S}^s \cdot B + (l-s)\lambda \cdot B.$$

Our choice of c ensures that $(\psi^s - \delta_0^s) \cdot B + \sum_{S \subset [n]_2^{n-m}} \epsilon_S \delta_{0,S}^s \cdot B$ is positive, i.e.

$$(\psi - \delta_0 - s\lambda) \cdot B + \sum_{S \subset [n]_2^{n-m}} \epsilon_S \delta_{0,S} \cdot B > (l-s)\lambda \cdot B.$$

Since $l \leq m < s$ and $\lambda \cdot B < 0$, the total intersection number is positive.

It remains to consider the possibility that there is a finite set of points $b_1, \ldots, b_t \in B$, where the fibers of C acquire elliptic k-fold points with k > l. Since the restriction of f to $B - \{b_1, \ldots, b_t\}$ is an *l*-stable curve, we have a classifying map $c_l : B \to \overline{\mathcal{M}}_{1,n}(l)$, and we set

$$\lambda^{l} \cdot B := \deg_{B} c_{l}^{*} \lambda,$$

$$\psi^{l} \cdot B := \deg_{B} c_{l}^{*} \psi,$$

$$\delta_{0,S}^{l} \cdot B := \deg_{B} c_{l}^{*} \delta_{0,S}.$$

In the preceding paragraph, we saw that

$$(\psi - \delta_0 - s\lambda)^l \cdot B + \sum_{S \subset [n]_2^{n-m}} \epsilon_S \delta_{0,S}^l \cdot B > 0,$$

so it suffices to show that

S

$$(\psi - \delta_0 - s\lambda) \cdot B - (\psi - \delta_0 - s\lambda)^l \cdot B + \sum_{S \subset [n]_2^{n-m}} \epsilon_S(\delta_{0,S} \cdot B - \delta_{0,S}^l \cdot B) \ge 0.$$

The proof of Proposition 4.10 shows that

$$(\psi - \delta_0 - s\lambda) \cdot B - (\psi - \delta_0 - s\lambda)^l \cdot B > (l - s + 1) \sum_{i=1}^t k_i \ge \sum_{i=1}^t k_i,$$

where it takes k_i blow-ups/contractions to transform the *l*-stable fiber over b_i into the *m*-stable fiber.

On the other hand, it is easy to see that $0 \ge \delta_0 \cdot B - \delta_0^l \cdot B \ge -m \sum_{i=1}^t k_i$, since each of the k_i contractions used to transform the *l*-stable fiber over b_i into the *m*-stable fiber over b_i absorbs no more than *m* nodes. Thus, we obtain

$$\sum_{\subset [n]_2^{n-m}} \epsilon_S(\delta_{0,S} \cdot B - \delta_{0,S}^l \cdot B) \ge -cm \sum_{i=1}^t k_i \ge (m-s) \sum_{i=1}^t k_i > -\sum_{i=1}^t k_i,$$

where $-cm \ge (m-s)$ follows from condition (1) in our choice of c. Combining the previous two equations, we obtain

$$(\psi - \delta_0 - s\lambda) \cdot B - (\psi - \delta_0 - s\lambda)^l \cdot B + \sum_{S \subset [n]_2^{n-m}} \epsilon_S(\delta_{0,S} \cdot B - \delta_{0,S}^l \cdot B) \ge 0,$$

as desired.

COROLLARY 4.13. For any $n \ge 1$, there exists a family of *n*-pointed stable curves of genus one $(\pi : \mathcal{C} \to B, \{\sigma_i\}_{i=1}^n)$ over a smooth complete curve *B* such that the generic fiber of π is smooth and the only singular fibers of π are irreducible nodal curves.

Proof. Since $\overline{M}_{1,n}(n-1)$ is projective, a general complete-intersection curve $B \subset \overline{M}_{1,n}(n-1)$ will not intersect the codimension-two locus $\bigcup_{l \ge 1} \mathcal{E}_l$. The induced family $(\mathcal{C} \to B, \{\sigma_i\}_{i=1}^n)$ of (n-1)-stable curves has no elliptic *l*-fold points and is therefore stable. Since the only boundary divisor of $\overline{M}_{1,n}(n-1)$ is Δ_{irr} , the only singular fibers of $\mathcal{C} \to B$ will be irreducible nodal.

COROLLARY 4.14. Given $s \in \mathbb{Q}$ and $m, n \in \mathbb{N}$ satisfying m < n, we have:

(1) D(s) is big if and only if $s \in (12 - n, \infty)$;

(2)
$$\overline{M}_{1,n}^s = \begin{cases} \overline{M}_{1,n} & \text{if and only if } s \in (11,\infty), \\ \overline{M}_{1,n}(1) & \text{if and only if } s \in (10,11], \\ \overline{M}_{1,n}(m)^* & \text{if and only if } s \in (11-m, 12-m) \text{ and } m \in \{2, \dots, n-2\}, \\ \overline{M}_{1,n}(n-1)^* & \text{if and only if } s \in (12-n, 13-n]. \end{cases}$$

Proof. Let us prove (2) first. Since $\delta_{irr} = 12\lambda$, we have

$$D(s) := s\lambda + \psi - \delta = (s - 12)\lambda + \psi - \delta_0 \in \operatorname{Pic}_{\mathbb{Q}}(\overline{M}_{1,n}).$$

Lemma 4.8 implies that D(s) is ample on $\overline{M}_{1,n}$ for $s \in (11, \infty)$, since it lies in the interior of the convex hull of λ and $\psi - \delta_0 - \lambda$. This implies that $\overline{M}_{1,n}^s = \overline{M}_{1,n}$ for $s \in (11, \infty)$. To see that $\overline{M}_{1,n}^s = \overline{M}_{1,n}(m)^*$ for all $s \in (11 - m, 12 - m)$ and $m \in \{1, \ldots, n - 1\}$, consider the birational contraction $\phi: \overline{M}_{1,n} \longrightarrow \overline{M}_{1,n}(m)^*$. By Proposition 4.7, $R(\overline{M}_{1,n}, D(s)) = R(\overline{M}_{1,n}(m)^*, \phi_* D(s))$ for all $s \in (11 - m, 12 - m)$. Using Proposition 4.6, we have

$$\phi_* D(s) = (s - 12)\lambda + \psi - \delta_0 \in \operatorname{Pic}(\overline{M}_{1,n}(m)^*).$$

Thus, Proposition 4.12 implies that $\phi_* D(s)$ is ample on $\overline{M}_{1,n}(m)^*$. It follows that

$$R(\overline{M}_{1,n}, D(s)) = R(\overline{M}_{1,n}(m)^*, \phi_*D(s)) = \overline{M}_{1,n}(m)^*$$

as desired. Finally, the fact that $\overline{M}_{1,n}^{12-m} = \overline{M}_{1,n}(m)$ if and only if m = 1 or m = n - 1 is a formal consequence of the fact that the rational map $\overline{M}_{1,n}(m-1) \dashrightarrow \overline{M}_{1,n}(m)$ is regular if and only if m = 1 or m = n - 1 (Corollary 4.5).

It remains to prove (1). It is clear that D(s) is big for s > 12 - n, since D(s) becomes ample on a suitable birational model of $\overline{M}_{1,n}$ (for all but finitely many values of s). On the other hand, if s = 12 - n, then we may consider $\phi : \overline{M}_{1,n} \longrightarrow \overline{M}_{1,n}(n-1)^*$, and one easily checks that $\phi_* D(s) \equiv 0 \in N^1(\overline{M}_{1,n}(n-1)^*)$. Thus, Proposition 4.7 implies that $H^0(\overline{M}_{1,n}, mD(s)) = H^0(\overline{M}_{1,n}(n-1)^*, mD(s)) \leq 1$ for all $m \ge 0$, so D(s) is not big. \Box

4.3 $\overline{\mathcal{M}}_{1,n}(m)$ is singular for $m \ge 6$

In this section, we use intersection theory to prove that $\overline{\mathcal{M}}_{1,n}(m)$ is singular for $m \ge 6$. By Lemma 2.1, the singularities of $\overline{\mathcal{M}}_{1,n}(m)$ depend only on m, so it is sufficient to prove that $\overline{\mathcal{M}}_{1,7}(6)$ is singular. The main idea is to study the discrepancies of the exceptional divisors of the regular birational contraction $\overline{\mathcal{M}}_{1,7}(5) \to \overline{\mathcal{M}}_{1,7}(6)$.

LEMMA 4.15. The canonical divisor of $\overline{M}_{1,n}$ is given by

$$K_{\overline{M}_{1,n}} \equiv \frac{n-11}{12} \Delta_{\text{irr}} + \sum_{S \subset [n]_2^m} (|S|-2) \Delta_{0,S} - \Delta_{0,[n]}.$$

Proof. A standard application of the Grothendieck Riemann–Roch theorem [HM98, $\S \, 3E$] shows that

$$K_{\overline{\mathcal{M}}_{1,n}} = 13\lambda - 2\delta + \psi \in \operatorname{Pic}(\overline{\mathcal{M}}_{1,n}).$$

Using the relations in $\operatorname{Pic}(\overline{\mathcal{M}}_{1,n})$ to rewrite this in terms of boundary divisors (Proposition 3.1), we have

$$K_{\overline{\mathcal{M}}_{1,n}} \equiv \frac{n-11}{12} \Delta_{\operatorname{irr}} + \sum_{S \subset [n]_2^m} (|S|-2) \Delta_{0,S}.$$

Finally, the map $\overline{\mathcal{M}}_{1,n} \to \overline{\mathcal{M}}_{1,n}$ is ramified along the divisor $\Delta_{0,[n]}$, so we obtain

$$K_{\overline{M}_{1,n}} \equiv \frac{n-11}{12} \Delta_{\text{irr}} + \sum_{S \subset [n]_2^m} (|S|-2) \Delta_{0,S} - \Delta_{0,[n]},$$

as desired.

The following lemma says that we can detect singularities by studying the discrepancies of birational contractions.

LEMMA 4.16. Suppose that $\phi: X \to Y$ is a birational morphism of normal, projective varieties, such that $\phi(\operatorname{Exc}(\phi))$ is a finite collection of smooth points of Y. Then the discrepancy of any exceptional divisor of ϕ is at least dim Y - 1.

Proof. Since the question is local on Y, we may assume that Y is smooth and that $\phi(\text{Exc}(\phi)) = p$ is a single point of Y. Since the discrepancy of any exceptional divisor E depends only on the behavior of ϕ around a generic point of E, it is sufficient to prove the lemma after passing to a resolution of singularities of X, i.e. we may assume that X is smooth. By the universal property of blow-ups [Deb01, Proposition 1.43], ϕ factors as

$$X \xrightarrow{\phi_m} X_m \xrightarrow{\epsilon_m} X_{m-1} \xrightarrow{\epsilon_{m-1}} \cdots \xrightarrow{\epsilon_2} X_1 \xrightarrow{\epsilon_1} Y_2$$

where each ϵ_i is a blow-up along a smooth center, and the restriction

$$\phi_m|_E: E \to \phi_m(E)$$

is birational for each ϕ -exceptional divisor E. Thus, for the purpose of computing discrepancies, we may assume that $X = X_m$ and $\phi = \epsilon_m \circ \cdots \circ \epsilon_1$ is a composition of blow-ups along smooth centers. Since ϵ_1 is the blow-up of Y at p, we have

$$\epsilon_1^* K_Y = K_{X_1} + (\dim Y - 1)E_1,$$

where E_1 is the exceptional divisor of ϵ_1 . But, since any other ϕ -exceptional divisor E is centered over E_1 , its discrepancy must be at least (dim Y - 1).

COROLLARY 4.17. $\overline{\mathcal{M}}_{1,n}(m)$ is not smooth when $m \ge 6$.

Proof. It suffices to prove that $\overline{\mathcal{M}}_{1,7}(6)$ is not smooth. Suppose, to the contrary, that $\overline{\mathcal{M}}_{1,7}(6)$ were smooth. Then the coarse moduli space $\overline{M}_{1,7}(6)$ would be a normal projective variety. Furthermore, since the finitely many points of $\overline{\mathcal{M}}_{1,7}(6)$ corresponding to curves with elliptic six-fold points have no stabilizer, $\overline{M}_{1,7}(6)$ would be smooth at these finitely many points. By Corollary 4.3, the birational map

$$\phi: \overline{M}_{1,7}(5) \to \overline{M}_{1,7}(6)$$

is regular, with exceptional divisors $\{\Delta_{0,S} : S \subset [7], |S| = 2\}$. Furthermore, if $\phi_m : \overline{M}_{1,n} \dashrightarrow \overline{M}_{1,n}(m)$ denotes the natural birational contraction, Lemma 4.15 and Proposition 4.6 give

$$K_{\overline{M}_{1,7}(5)} = (\phi_5)_* K_{\overline{M}_{1,7}} = \frac{-4}{12} \Delta_{\text{irr}},$$

$$K_{\overline{M}_{1,7}(6)} = (\phi_6)_* K_{\overline{M}_{1,7}} = \frac{-4}{12} \Delta_{\text{irr}}.$$

Using Proposition 4.6, we obtain

$$K_{\overline{\mathcal{M}}_{1,7}(5)} - \phi^* K_{\overline{\mathcal{M}}_{1,7}(6)} = \frac{-4}{12} \Delta_{irr} - \phi^* \left(\frac{-4}{12} \Delta_{irr}\right) = 4 \sum_{|S|=2} \Delta_{0,S}.$$

Since $4 < 6 = \dim \overline{\mathcal{M}}_{1,7}(6) - 1$, this contradicts Lemma 4.16. We conclude that $\overline{\mathcal{M}}_{1,7}(6)$ must be singular.

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David Ishii Smyth dsmyth@math.harvard.edu

Department of Mathematics, Harvard University, Cambridge, MA 02138, USA