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GENERALIZATIONS OF F. E. BROWDER'S SHARPENED FORM OF THE SCHAUDER FIXED POINT THEOREM

KOK-KEONG TAN

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Abstract

Let E be a Hausdorff topological vector space, let K be a nonempty compact convex subset of E and let f, g: $K \to 2^E$ be upper semicontinuous such that for each $x \in K$, f(x) and g(x) are nonempty compact convex. Let $\Omega \subset 2^E$ be convex and contain all sets of the form x - f(x), y - x + g(x) - f(x), for $x, y \in K$. Suppose $p: K \times \Omega \to \mathbf{R}$ satisfies: (i) for each $(x, A) \in K \times \Omega$ and for $\varepsilon > 0$, there exist a neighborhood U of x in K and an open subset set G in E with $A \subset G$ such that for all $(y, B) \in K \times \Omega$ with $y \in U$ and $B \subset G$, $|p(y, B) - p(x, A)| < \varepsilon$, and (ii) for each fixed $x \in K$, $p(x, \cdot)$ is a convex function on Ω . If $p(x, x - f(x)) \leq p(x, g(x) - f(x))$ for all $x \in K$, and if, for each $x \in K$ with $f(x) \cap g(x) = \emptyset$, there exists $y \in K$ with p(x, y - x + g(x) - f(x)) < p(x, x - f(x)), then there exists an $x_0 \in K$ such that $f(x_0) \cap g(x_0) \neq \emptyset$. Another coincidence theorem on a nonempty compact convex subset of a Hausdorff locally convex topological vector space is also given.

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1. Introduction and preliminaries

The classical Schauder fixed point theorem asserts that every continuous self-map of a nonempty compact convex subset of a Banach space has a fixed point. Obviously the Schauder fixed point theorem cannot be extended to non-self-maps without additional conditions. Many generalizations for single- or multi-valued

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maps have been obtained, for example see [2], [3], [5], [7] and [8]. Recently, F. E. Browder [4] gave a rather sharp improvement of these results for single-valued maps. Generalizations of those results in [4] to set-valued maps are obtained by S. Reich [12, 13], J. H. Jiang [9, 10] and others. In this paper, we shall extend some of Browder's results in [4] to set-valued maps in different directions, one of which extends a result of S. Reich in [12].

We shall denote by **R** the real line and, for any nonempty set X, by 2^X the collection of all nonempty subsets of X. Now let X and Y be topological spaces. Then a map $f: X \to 2^{Y}$ is said to be (i) lower semicontinuous (respectively, upper semicontinuous) [1] at $x_0 \in X$ if for each open set G in Y with $G \cap f(x_0) \neq \emptyset$ (respectively, with $f(x_0) \subset G$), there is a neighborhood U of x_0 in X such that $G \cap f(x) \neq \emptyset$ (respectively, $f(x) \subset G$) for all $x \in U$; (ii) lower semicontinuous (respectively, upper semicontinuous) on X if f is lower semicontinuous (respectively, upper semicontinuous) at each point of X; (iii) continuous on X if f is both lower semicontinuous on X and upper semicontinuous on X. Also if $\Omega \subset 2^{Y}$, then a map $p: X \times \Omega \to \mathbb{R}$ is said to be (iv) ultimately continuous at (x, A) if for each $\varepsilon > 0$, there exist a neighborhood U of x in X and an open set G in Y with $A \subset G$ such that $|p(y, B) - p(x, A)| < \varepsilon$ for all $(y, B) \in X \times \Omega$ with $y \in U$ and $B \subseteq G$; (v) ultimately continuous on $X \times \Omega$ if p is ultimately continuous at each point of $X \times \Omega$. We note that in the case $\Omega = \{\{y\}: y \in Y\}$, if we write $p(x, y) = p(x, \{y\})$, then the notions of ultimate continuity and continuity coincide. If $A \subset X$, cl(A) denotes the closure of A in X. Next let E be a vector space, let K be a nonempty subset of E and let $x \in K$; then the *inward set* and outward set [8] of K at x, denoted by $I_{K}(x)$ and $O_{K}(x)$, respectively, are defined by

 $I_K(x) = \{ y \in E : \text{ there exist } u \in K \text{ and } r > 0 \text{ such that } y = x + r(u - x) \}$ and

 $O_{K}(x) = \{ y \in E : \text{ there exist } u \in K \text{ and } r > 0 \text{ such that } y = x - r(u - x) \}.$

Also, a subset Ω of 2^E is convex if for each A, $B \in \Omega$ and for each $t \in [0, 1]$, $tA + (1 - t)B \in \Omega$. Moreover, if E is a topological vector space, we shall denote by $\mathscr{K}(E)$ the collection of all compact convex sets in 2^E and by $\mathscr{C}(E)$ the collection of all closed convex sets in 2^E . Finally we shall need the following fixed point theorem of K. Fan [6]:

THEOREM (K. Fan [6]). Let K be a nonempty compact convex subset of a Hausdorff topological vector space E and let S: $K \to 2^K$. Suppose, for each $x \in K$, that S(x) is convex, while for each $u \in K$, the set $S^{-1}(u) = \{y \in K : u \in S(y)\}$ is open in K. Then there exists $x_0 \in K$ such that $x_0 \in S(x_0)$.

2. Main results

The following two propositions are easy consequences of the definitions.

PROPOSITION 2.1. Let E be a topological vector space, let $K \subset E$ be nonempty, let f, g: $K \to 2^E$ be lower semicontinuous, let h: $K \to 2^E$ be upper semicontinuous and let $c \in \mathbb{R}$. Then f + g and cg are lower semicontinuous, and ch is upper semicontinuous.

PROPOSITION 2.2. Let E be a topological vector space, let $K \subseteq E$ be nonempty and let f, g: $K \to 2^E$ be upper semicontinuous such that for each $x \in K$, f(x) and g(x) are both compact. Then f + g is also upper semicontinuous.

We note that Proposition 2.2 is false if the condition " $f, g: K \to 2^E$ be upper semicontinuous such that for each $x \in K$, f(x) and g(x) are both compact" is replaced by the condition " $f, g: K \to \mathscr{C}(E)$ be upper semicontinuous such that for each $x \in K$, at least one of f(x) and g(x) is compact." This can be seen from the following:

EXAMPLE 2.3. Let $E = \mathbb{R}^2$ and let $K = \{(x, y) \in \mathbb{R}^2 : x^2 + y^2 \leq 1 \text{ and } x, y > 0\}$. Define $f: K \to \mathscr{K}(E)$ by

$$f(r\cos\theta, r\sin\theta) = \{(t\cos\theta, t\sin\theta): r \le t \le 2\}$$

for each $r \in (0, 1]$ and $\theta \in (0, \pi/2)$. Define g: $K \to \mathscr{C}(E)$ by

$$g(x, y) = \{(z, 0) \colon z \ge x\}$$

for all $(x, y) \in K$. It can be easily checked that f and g are both upper semicontinuous (in fact, both continuous) but f + g is not upper semicontinuous.

The following result generalizes Proposition 2 in [4] and also Theorem 1 in [7] to set-valued maps.

THEOREM 2.4. Let E be a Hausdorff topological vector space, let $K \subseteq E$ be nonempty compact convex and let f, g: $K \to \mathcal{K}(E)$ be upper semicontinuous. Let $\Omega \subset 2^E$ be convex and contain all sets of the form x - f(x), y - x + g(x) - f(x), for x, $y \in K$. Suppose p: $K \times \Omega \to \mathbb{R}$ is ultimately continuous such that for each $x \in K$, $p(x, \cdot)$ is a convex function on Ω . Assume that

- (i) $p(x, x f(x)) \leq p(x, g(x) f(x))$ for all $x \in K$, and
- (ii) for each $x \in K$ with $f(x) \cap g(x) = \emptyset$, there exists $y \in K$ such that p(x, y x + g(x) f(x)) < p(x, x f(x)).

Then there exists an $x_0 \in K$ such that $f(x_0) \cap g(x_0) \neq \emptyset$.

PROOF. Define $h: K \to \mathscr{K}(E)$ by h(x) = x + f(x) - g(x) for all $x \in K$. Then h is upper semicontinuous by Propositions 2.1 and 2.2. Assume that for each $x \in K$, $f(x) \cap g(x) = \emptyset$, so that the set $S(x) = \{y \in K: p(x, y - h(x)) < p(x, x - f(x))\}$ is nonempty by hypothesis. Thus $S: K \to 2^{K}$. Let $x \in K$, y_{1} , $y_{2} \in S(x)$ and $t \in [0,1]$; then $p(x, y_{i} - h(x)) < p(x, x - f(x))$ for i = 1, 2. Since $t(y_{1} - h(x)) + (1 - t)(y_{2} - h(x)) = ty_{1} + (1 - t)y_{2} - h(x)$, and since $p(x, \cdot)$ is convex, we see that

$$p(x, ty_1 + (1 - t)y_2 - h(x)) < p(x, x - f(x)),$$

so that $ty_1 + (1 - t)y_2 \in S(x)$. Hence S(x) is convex for each $x \in K$.

Now let $u \in K$. We shall show that $S^{-1}(u)$ is open in K. Indeed, if $x \in S^{-1}(u)$, then $u \in S(x)$, so that p(x, u - h(x)) < p(x, x - f(x)). Let $\varepsilon = [p(x, x - f(x)) - p(x, u - h(x))]/2$. Since p is ultimately continuous at (x, x - f(x)), there exist an open neighborhood U_1 of x in K and an open set G in E with $x - f(x) \subset G$ such that $|p(y, A) - p(x, x - f(x))| < \varepsilon$ for all $(y, A) \in K \times \Omega$ with $y \in U_1$ and $A \subset G$. For each $a \in x - f(x)$, let N_a be an open neighborhood of 0 in E such that $a + N_a + N_a \subset G$. Since x - f(x) is compact, there exist $a_1, \ldots, a_n \in x - f(x)$ such that $x - f(x) \subset \bigcup_{i=1}^n (a_i + N_{a_i})$. Since f is upper semicontinuous at x, and since $f(x) \subset x - \bigcup_{i=1}^n (a_i + N_{a_i})$, which is open, there exists an open neighborhood U_2 of x in K such that $f(y) \subset x - \bigcup_{i=1}^n (a_i + N_{a_i})$ for all $y \in U_2$. Let $V_1 = U_1 \cap U_2 \cap (x + \bigcap_{i=1}^n N_{a_i})$. Then V_1 is an open neighborhood of x in K. Let $y \in V_1$; as $y \in U_2$, we have $f(y) \subset x - \bigcup_{i=1}^n (a_i + N_{a_i})$, so that

(*)
$$x - f(y) \subset \bigcup_{i=1}^{n} (a_i + N_{a_i});$$

as $y \in x + \bigcap_{i=1}^{n} N_{a_i}$, we have $y - x \in \bigcap_{i=1}^{n} N_{a_i}$, so that $y - f(y) = y - x + x - f(y) \subset \bigcap_{i=1}^{n} N_{a_i} + \bigcup_{i=1}^{n} (a_i + N_{a_i})$ by (*). It follows that

(**)
$$y-f(y) \subset \bigcup_{i=1}^{n} \left(a_{i}+N_{a_{i}}+N_{a_{i}}\right) \subset G;$$

as $y \in U_1$, by (**), we have

$$(\dagger) \qquad |p(y, y-f(y))-p(x, x-f(x))| < \varepsilon.$$

Next, since p is also ultimately continuous at (x, u - h(x)), there exist an open neighborhood U_3 of x in K and an open set G' in E with $u - h(x) \subset G'$ such that $|p(y, A) - p(x, u - h(x))| < \varepsilon$ for all $(y, A) \in K \times \Omega$ with $y \in U_3$ and $A \subset G'$. Since $h(x) \subset u - G'$, which is open, and since h is upper semicontinuous at x, there exists an open neighborhood U_4 of x in K such that $h(y) \subset u - G'$ for all $y \in U_4$. Let $V_2 = U_3 \cap U_4$. Then V_2 is an open neighborhood of x in K. Let $y \in V_2$; as $y \in U_4$, we have $h(y) \subset u - G'$, so that

$$(***) u - h(y) \subset G';$$

as $y \in U_3$, by (***), we have

(††) $|p(y, u - h(y)) - p(x, u - h(x))| < \varepsilon$. Let $V = V_1 \cap V_2$. Then V is an open neighborhood of x in K such that for each $y \in V$, (†) and (††) hold; it follows that

$$p(y, u - h(y)) < p(x, u - h(x)) + \varepsilon \quad (by(\dagger\dagger))$$
$$= p(x, x - f(x)) - \varepsilon$$
$$< p(y, y - f(y)) \quad (by(\dagger))$$

so that $u \in S(y)$ and hence $y \in S^{-1}(u)$ for all $y \in V$. Therefore $S^{-1}(u)$ is open for each $u \in K$.

By K. Fan's Theorem, there exists an $x_0 \in K$ such that $x_0 \in S(x_0)$; thus we have

 $p(x_0, g(x_0) - f(x_0)) = p(x_0, x_0 - h(x_0)) < p(x_0, x_0 - f(x_0)),$

which contradicts (i). This shows that there must exist an $x_0 \in K$ such that $f(x_0) \cap g(x_0) \neq \emptyset$. This completes the proof.

By applying Theorem 2.4 and an argument similar to that used in proving Theorem 1 in [4], we obtain the following generalization of Theorem 1 in [4].

COROLLARY 2.5. Let E be a Hausdorff topological vector space, let $K \subset E$ be nonempty compact convex and let f, g: $K \to \mathcal{K}(E)$ be upper semicontinuous. Let $\Omega \subset 2^E$ be convex and contain all sets of the form x - f(x), y - x + g(x) - f(x), for x, $y \in K$. Suppose p: $K \times \Omega \to \mathbb{R}$ is ultimately continuous on $K \times \Omega$. Assume that

(i) p(x, x - f(x)) = p(x, g(x) - f(x)) for all $x \in K$, and

(ii) for each $x \in K$ with $f(x) \cap g(x) = \emptyset$, there exists $y \in I_K(x)$ such that p(x, y - x + g(x) - f(x)) < p(x, x - f(x)).

Then there exists an $x_0 \in K$ such that $f(x_0) \cap g(x_0) \neq \emptyset$.

By applying Corollary 2.5 and an argument similar to that used in proving Theorem 2 in [4], we obtain the following generalization of Theorem 2 in [4].

COROLLARY 2.6. Let E be a Hausdorff topological vector space, let $K \subset E$ be nonempty comapct convex and let f, g: $K \to \mathscr{K}(E)$ be upper semi-continuous. Let $\Omega \subset 2^E$ be convex and contain all sets of the form x - f(x), y - x + g(x) - f(x), for x, $y \in K$. Suppose p: $K \times \Omega \to \mathbb{R}$ is ultimately continuous on $K \times \Omega$. Assume that

(i)
$$p(x, x - f(x)) = p(x, g(x) - f(x))$$
 for all $x \in K$, and

(ii) for each $x \in K$ with $f(x) \cap g(x) = \emptyset$, there exist $y \in O_K(x)$ such that p(x, y - x + g(x) - f(x)) < p(x, x - f(x)).

Then there exists an $x_0 \in K$ such that $f(x_0) \cap g(x_0) \neq \emptyset$.

Let E be a locally convex topological vector space and let p be any continuous seminorm on E. If A, $B \subset E$ are nonempty, let $d_p(A, B) = \inf\{p(a - b): a \in A \text{ and } b \in B\}$; if $A = \{a\}$, we shall write $d_p(a, B)$ instead of $d_p(\{a\}, B)$. The following result is motivated by the proof of Theorem 3.1 in [11].

LEMMA 2.7. Let E be a Hausdorff locally convex topological vector space, let $K \subset E$ be nonempty comapct convex and let f, g: $K \to \mathscr{C}(E)$ be upper semicontinuous such that for each $x \in K$, either f(x) or g(x) is compact. Assume that for each continuous seminorm p on E, there exists an $x \in K$ such that $d_p(f(x), g(x)) = 0$. Then there exists an $x_0 \in K$ such that $f(x_0) \cap g(x_0) \neq \emptyset$.

PROOF. Let \mathscr{P} be the set of all continuous seminorms on E. For each $p \in \mathscr{P}$, let $K_p = \{x \in K: d_p(f(x), g(x)) = 0\}$. If $p \in \mathcal{P}$ is arbitrarily fixed, then K_p is nonempty by hypothesis; we shall show that K_p is also closed in K. Indeed, let $(x_{\alpha})_{\alpha \in \Gamma}$ be a net in K_p such that $x_{\alpha} \to x$ for some $x \in K$. Suppose r = $d_p(f(x), g(x)) > 0$. Let $V_f = \{z \in E: d_p(z, f(x)) < r/3\}$ and $V_g = \{z \in E: d_p(z, f(x)) < r/3\}$ $d_p(z, g(x)) < r/3$. Then V_f and V_g are open in E, and $f(x) \subset V_f$ and $g(x) \subset V_g$. Since f and g are upper semicontinuous at x, there exists a neighborhood U of x in K such that for all $y \in U$, $f(y) \subset V_f$ and $g(y) \subset V_g$. Since $x_{\alpha} \to x$, there exists $\alpha_0 \in \Gamma$ such that $x_{\alpha} \in U$ for all $\alpha \ge \alpha_0$; it follows that, in particular, $f(x_{\alpha_0}) \subset V_f$ and $g(x_{\alpha_0}) \subset V_g$, so that $d_p(f(x_{\alpha_0}), g(x_{\alpha_0})) \ge r/3$, which contradicts our assumption that $d_p(f(x_{\alpha_0}), g(x_{\alpha_0})) = 0$. Thus $d_p(f(x), g(x)) = 0$, whence $x \in K_p$. Therefore K_p is closed in K for each $p \in \mathcal{P}$. Now let $\{p_1, \ldots, p_n\}$ be any finite subset of \mathcal{P} . Let $p = \sum_{i=1}^n p_i$. Then $p \in \mathcal{P}$, and $\bigcap_{i=1}^{n} K_{p_i} \supset K_p \neq \emptyset$. Thus the family $\{K_p : p \in \mathscr{P}\}$ has the finite intersection property, whence, by compactness of K, $\bigcap_{p \in \mathscr{P}} K_p \neq \emptyset$. It follows that there exists an $x_0 \in K$ such that $d_p(f(x_0), g(x_0)) = 0$ for all $p \in \mathscr{P}$. By the Hahn-Banach separation theorem, $f(x_0) \cap g(x_0) \neq \emptyset$. This completes the proof.

The following result generalizes part of Theorem 3 in [12]. We shall present a different proof than the one used in [12].

THEOREM 2.8. Let E be a Hausdorff locally convex topological vector space, let $K \subset E$ be nonempty compact convex and let f, g: $K \to \mathscr{C}(E)$ be continuous such that for each $x \in K$, either f(x) or g(x) is compact. Suppose for each $x \in K$ and for each continuous seminorm p on E with $d_p(f(x), g(x)) > 0$, we have $d_p(K, x + f(x) - g(x)) < d_p(f(x), g(x))$. Then there exists an $x_0 \in K$ such that $f(x_0) \cap g(x_0) \neq \emptyset$.

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PROOF. Define h: $K \to \mathscr{C}(E)$ by h(x) = x + f(x) - g(x) for all $x \in K$. Then h is lower semicontinuous on K by Proposition 2.1. Let \mathcal{P} be the set of all continuous seminorms on E. By Lemma 2.7, it is sufficient to show that for each $p \in \mathcal{P}$, there exists an $x \in K$ such that $d_p(f(x), g(x)) = 0$. If not, then there exists a $p \in \mathscr{P}$ such that $d_p(f(x), g(x)) > 0$ for all $x \in K$, so that the set $S(x) = \{ y \in K: d_p(y, h(x)) < d_p(f(x), g(x)) \}$ is nonempty for all $x \in K$, by hypothesis. Thus S: $K \to 2^K$. Let $x \in K$. As h(x) is convex, $d_p(\cdot, h(x))$ is a convex function on K, and hence S(x) is convex. Let $u \in K$. We shall show that $S^{-1}(u)$ is open in K. Indeed, if $x \in S^{-1}(u)$, then $u \in S(x)$, so that $d_p(u, h(x))$ $< d_p(f(x), g(x))$. Let $\varepsilon = [d_p(f(x), g(x)) - d_p(u, h(x))]/4$. Choose $w_0 \in h(x)$ such that $p(u - w_0) < d_p(u, h(x)) + \varepsilon$. Let $G = \{z \in K: p(z - w_0) < \varepsilon\}$. Then G is open in K, and $G \cap h(x) \neq \emptyset$. Since h is lowe semicontinuous at x, there exists an open neighborhood V_1 of x in K such that $h(y) \cap G \neq \emptyset$ for all $y \in V_1$. Let $V_2 = V_1 \cap \{z \in K: p(z - x) < \epsilon\}$. Then V_2 is an open neighborhood of x in K. Let $y \in V_2$. Then $h(y) \cap G \neq \emptyset$, and if we choose any $w \in h(y) \cap G$, we have

(*)
$$d_p(u, h(y)) \leq p(u - w) \leq p(u - w_0) + p(w_0 - w)$$
$$< d_p(u, h(x)) + \varepsilon + \varepsilon = d_p(u, h(x)) + 2\varepsilon.$$

Next, note that for $V_f = \{z \in K: d_p(z, f(x)) < \varepsilon/2\}$ and $V_g = \{z \in K; d_p(z, g(x)) < \varepsilon/2\}$, V_f and V_g are open in K, and they contain f(x) and g(x), respectively. Since f and g are upper semicontinuous at x, there exists an open neighborhood V_3 of x in K such that $f(y) \subset V_f$ and $g(y) \subset V_g$ for all $y \in V_3$. Let $y \in V_3$, and then choose $a \in f(y)$ and $b \in g(y)$ such that $p(a - b) < d_p(f(y), g(y)) + \varepsilon$. Since $a \in f(y) \subset V_f$ and $b \in g(y) \subset V_g$, there are $a_0 \in f(x)$ and $b_0 \in g(x)$ with $p(a - a_0) < \varepsilon/2$ and $p(b - b_0) < \varepsilon/2$. It follows that

$$(**) \qquad d_p(f(x), g(x)) \leq p(a_0 - b_0)$$
$$\leq p(a_0 - a) + p(a - b) + p(b - b_0)$$
$$< \frac{\varepsilon}{2} + d_p(f(y), g(y)) + \varepsilon + \frac{\varepsilon}{2}$$
$$= d_p(f(y), g(y)) + 2\varepsilon.$$

If now $V = V_2 \cap V_3$, then V is an open neighborhood of x in K, and for each $y \in V$, we have

$$d_p(u, h(y)) < d_p(u, h(x)) + 2\varepsilon, \text{ by } (*)$$
$$= d_p(f(x), g(x)) - 2\varepsilon$$
$$< d_p(f(y), g(y)), \text{ by } (**)$$

so that $u \in S(y)$, and hence $y \in S^{-1}(u)$ for all $y \in V$. Therefore $S^{-1}(u)$ is open in K for each $u \in K$. By K. Fan's Theorem, there exists an $x_0 \in K$ such that $x_0 \in S(x_0)$, so that $d_p(x_0, h(x_0)) < d_p(f(x_0), g(x_0))$, and this is impossible because $d_p(x_0, h(x_0)) = d_p(f(x_0), g(x_0))$. This completes the proof.

Analogous to Corollary 2.5 and Corollary 2.6, we have the following results, which form generalizations of Corollary 1 (respectively, Corollary 1') in [4].

COROLLARY 2.9. Let E be a Hausdorff locally convex topological vector space, let $K \subseteq E$ be nonempty compact convex and let f, g: $K \to \mathscr{C}(E)$ be continuous such that for each $x \in K$, either f(x) or g(x) is compact. Suppose for each $x \in K$ and for each continuous seminorm p on E with $d_p(f(x), g(x)) > 0$, there exist $y \in I_K(x)$ (respectively, $y \in O_K(x)$) such that $d_p(y, x + f(x) - g(x)) < d_p(f(x), g(x))$. Then there exists an $x_0 \in K$ such that $f(x_0) \cap g(x_0) \neq \emptyset$.

The following is an immediate consequence of Corollary 2.9.

COROLLARY 2.10. Let E be a Hausdorff locally convex topoological vector space, let $K \subset E$ be nonempty compact convex and let f, g: $K \to \mathscr{C}(E)$ be continuous such that for each $x \in K$, either f(x) or g(x) is compact. Suppose for each $x \in K$ and for each continuous seminorm p on E with $d_p(f(x), g(x)) > 0$, there exists $y \in$ $cl(I_K(x))$ (respectively, $y \in cl(O_K(x))$ such that $d_p(y, x + f(x) - g(x)) <$ $d_p(f(x), g(x))$). Then there exist an $x_0 \in K$ such that $f(x_0) \cap g(x_0) \neq \emptyset$.

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Department of Mathematics, Statistics and Computing Science Dalhousie University Halifax, Nova Scotia B3H 3J5 Canada