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# Phase transitions for non-singular Bernoulli actions

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*Abstract.* Inspired by the phase transition results for non-singular Gaussian actions introduced in [AIM19], we prove several phase transition results for non-singular Bernoulli actions. For generalized Bernoulli actions arising from groups acting on trees, we are able to give a very precise description of their ergodic-theoretical properties in terms of the Poincaré exponent of the group.

Key words: non-singular Bernoulli action, phase transition, strong ergodicity, Krieger type 2020 Mathematics Subject Classification: 37A40 (Primary); 20E08 (Secondary)

## 1. Introduction

When G is a countable infinite group and  $(X_0, \mu_0)$  is a non-trivial standard probability space, the probability measure-preserving (pmp) action

$$G \curvearrowright (X_0, \mu_0)^G : \quad (g \cdot x)_h = x_{g^{-1}h}$$

is called a *Bernoulli action*. Probability measure-preserving Bernoulli actions are among the best-studied objects in ergodic theory and they play an important role in operator algebras [Ioa10, Pop03, Pop06]. When we consider a family of probability measures  $(\mu_g)_{g\in G}$  on the base space  $X_0$  that need not all be equal, the Bernoulli action

$$G \curvearrowright (X,\mu) = \prod_{g \in G} (X_0,\mu_g) \tag{1.1}$$

is in general no longer measure-preserving. Instead, we are interested in the case where  $G \cap (X, \mu)$  is *non-singular*, that is, the group *G* preserves the *measure class* of  $\mu$ . By Kakutani's criterion for equivalence of infinite product measures the Bernoulli action (1.1) is non-singular if and only if  $\mu_h \sim \mu_g$  for every  $h, g \in G$  and

$$\sum_{h \in G} H^2(\mu_h, \mu_{gh}) < +\infty \quad \text{for every } g \in G.$$
(1.2)

Here  $H^2(\mu_h, \mu_{gh})$  denotes the *Hellinger distance* between  $\mu_h$  and  $\mu_{gh}$  (see (2.2)).



It is well known that a pmp Bernoulli action  $G \curvearrowright (X_0, \mu_0)^G$  is mixing. In particular, it is ergodic and conservative. However, for non-singular Bernoulli actions, determining conservativeness and ergodicity is much more difficult (see, for instance, [**BKV19**, **Dan18**, **Kos18**, **VW17**]).

Besides non-singular Bernoulli actions, another interesting class of non-singular group actions comes from the *Gaussian construction*, as introduced in [AIM19]. If  $\pi: G \to \mathcal{O}(\mathcal{H})$  is an orthogonal representation of a locally compact second countable (lcsc) group on a real Hilbert space  $\mathcal{H}$ , and if  $c: G \to \mathcal{H}$  is a 1-cocycle for the representation  $\pi$ , then the assignment

$$\alpha_g(\xi) = \pi_g(\xi) + c(g) \tag{1.3}$$

defines an *affine isometric action*  $\alpha : G \curvearrowright \mathcal{H}$ . To any affine isometric action  $\alpha : G \curvearrowright \mathcal{H}$ Arano, Isono and Marrakchi associated a non-singular group action  $\widehat{\alpha} : G \curvearrowright \widehat{\mathcal{H}}$ , where  $\widehat{\mathcal{H}}$  is the Gaussian probability space associated to  $\mathcal{H}$ . When  $\alpha : G \curvearrowright \mathcal{H}$  is actually an orthogonal representation, this construction is well established and the resulting Gaussian action is pmp. As explained below [**BV20**, Theorem D], if *G* is a countable infinite group and  $\pi : G \to \ell^2(G)$  is the left regular representation, the affine isometric representation (1.3) gives rise to a non-singular action that is conjugate with the Bernoulli action  $G \curvearrowright \prod_{g \in G} (\mathbb{R}, \nu_{F(g)})$ , where  $F : G \to \mathbb{R}$  is such that  $c_g(h) = F(g^{-1}h) - F(h)$ , and  $\nu_{F(g)}$  denotes the Gaussian probability measure with mean F(g) and variance 1.

By scaling the 1-cocycle  $c: G \to \mathcal{H}$  with a parameter  $t \in [0, +\infty)$  we get a one-parameter family of non-singular actions  $\widehat{\alpha}^t: G \cap \widehat{\mathcal{H}}^t$  associated to the affine isometric actions  $\alpha^t: G \cap \mathcal{H}$ , given by  $\alpha_g^t(\xi) = \pi_g(\xi) + tc(g)$ . Arano, Isono and Marrakchi showed that there exists a  $t_{\text{diss}} \in [0, +\infty)$  such that  $\widehat{\alpha}^t$  is dissipative up to compact stabilizers for every  $t > t_{\text{diss}}$  and infinitely recurrent for every  $t < t_{\text{diss}}$  (see §2 for terminology).

Inspired by the results obtained in [AIM19], we study a similar phase transition framework, but in the setting of non-singular Bernoulli actions. Such a phase transition framework for non-singular Bernoulli actions was already considered by Kosloff and Soo in [KS20]. They showed the following phase transition result for the family of non-singular Bernoulli actions of  $G = \mathbb{Z}$  with base space  $X_0 = \{0, 1\}$  that was introduced in [VW17, Corollary 6.3]. For every  $t \in [0, +\infty)$  consider the family of measures  $(\mu_n^t)_{n \in \mathbb{Z}}$  given by

$$\mu_n^t(0) = \begin{cases} 1/2 & \text{if } n \le 4t^2, \\ 1/2 + t/\sqrt{n} & \text{if } n > 4t^2. \end{cases}$$

Then  $\mathbb{Z} \curvearrowright (X, \mu_t) = \prod_{n \in \mathbb{Z}} (\{0, 1\}, \mu_n^t)$  is non-singular for every  $t \in [0, +\infty)$ . Kosloff and Soo showed that there exists a  $t_1 \in (1/6, +\infty)$  such that  $\mathbb{Z} \curvearrowright (X, \mu_t)$  is conservative for every  $t < t_1$  and dissipative for every  $t > t_1$  [KS20, Theorem 3]. In [DKR20, Example D] the authors describe a family of *non-singular Poisson suspensions* for which a similar phase transition occurs. These examples arise from dissipative essentially free actions of  $\mathbb{Z}$ , and thus they are non-singular Bernoulli actions. We generalize the phase transition result from [KS20] to arbitrary non-singular Bernoulli actions as follows. Suppose that *G* is a countable infinite group and let  $(\mu_g)_{g \in G}$  be a family of equivalent probability measure on a standard Borel space  $X_0$ . Let  $\nu$  also be a probability measure on  $X_0$ . For every  $t \in [0, 1]$  we consider the family of equivalent probability measures  $(\mu_g^t)_{g \in G}$  that are defined by

$$\mu_g^t = (1-t)v + t\mu_g. \tag{1.4}$$

Our first main result is that in this setting there is a phase transition phenomenon.

THEOREM A. Let G be a countable infinite group and assume that the Bernoulli action  $G \cap (X, \mu_1) = \prod_{g \in G} (X_0, \mu_g)$  is non-singular. Let  $v \sim \mu_e$  be a probability measure on  $X_0$  and for every  $t \in [0, 1]$  consider the family  $(\mu_g^t)_{g \in G}$  of equivalent probability measures given by (1.4). Then the Bernoulli action

$$G \curvearrowright (X, \mu_t) = \prod_{g \in G} (X_0, \mu_g^t)$$

is non-singular for every  $t \in [0, 1]$  and there exists a  $t_1 \in [0, 1]$  such that  $G \curvearrowright (X, \mu_t)$  is weakly mixing for every  $t < t_1$  and dissipative for every  $t > t_1$ .

Suppose that *G* is a non-amenable countable infinite group. Recall that for any standard probability space  $(X_0, \mu_0)$ , the pmp Bernoulli action  $G \curvearrowright (X_0, \mu_0)^G$  is strongly ergodic. Consider again the family of probability measures  $(\mu_g^t)_{g \in G}$  given by (1.4). In Theorem B below we prove that for *t* close enough to 0, the resulting non-singular Bernoulli action is strongly ergodic. This is inspired by [AIM19, Theorem 7.20] and [MV20, Theorem 5.1], which state similar results for non-singular Gaussian actions.

THEOREM B. Let G be a countable infinite non-amenable group and suppose that the Bernoulli action  $G \cap (X, \mu_1) = \prod_{g \in G} (X_0, \mu_g)$  is non-singular. Let  $v \sim \mu_e$  be a probability measure on  $X_0$  and for every  $t \in [0, 1]$  consider the family  $(\mu_g^t)_{g \in G}$  of equivalent probability measures given by (1.4). Then there exists a  $t_0 \in (0, 1]$  such that  $G \cap (X, \mu_t) = \prod_{g \in G} (X_0, \mu_g^t)$  is strongly ergodic for every  $t < t_0$ .

Although we can prove a phase transition result in large generality, it remains very challenging to compute the critical value  $t_1$ . However, when  $G \subset \operatorname{Aut}(T)$ , for some locally finite tree T, following [AIM19, §10], we can construct *generalized Bernoulli actions* of which we can determine the conservativeness behaviour very precisely. To put this result into perspective, let us first explain briefly the construction from [AIM19, §10].

For a locally finite tree T, let  $\Omega(T)$  denote the set of orientations on T. Let  $p \in (0, 1)$  and fix a root  $\rho \in T$ . Define a probability measure  $\mu_p$  on  $\Omega(T)$  by orienting an edge towards  $\rho$ with probability p and away from  $\rho$  with probability 1 - p. If  $G \subset \operatorname{Aut}(T)$  is a subgroup, then we naturally obtain a non-singular action  $G \curvearrowright (\Omega(T), \mu_p)$ . Up to equivalence of measures, the measure  $\mu_p$  does not depend on the choice of root  $\rho \in T$ . The *Poincaré exponent* of  $G \subset \operatorname{Aut}(T)$  is defined as

$$\delta(G \frown T) = \inf \left\{ s > 0 \text{ for which } \sum_{w \in G \cdot v} \exp(-sd(v, w)) < +\infty \right\}, \qquad (1.5)$$

where  $v \in V(T)$  is any vertex of *T*. In [AIM19, Theorem 10.4] Arano, Isono and Marrakchi showed that if  $G \subset \operatorname{Aut}(T)$  is a closed non-elementary subgroup, the action  $G \curvearrowright (\Omega(T), \mu_p)$  is dissipative up to compact stabilizers if  $2\sqrt{p(1-p)} < \exp(-\delta)$  and weakly mixing if  $2\sqrt{p(1-p)} > \exp(-\delta)$ . This motivates the following similar construction.

Let  $E(T) \subset V(T) \times V(T)$  denote the set of *oriented edges*, so that vertices v and w are adjacent if and only if (v, w),  $(w, v) \in E(T)$ . Suppose that  $X_0$  is a standard Borel space and that  $\mu_0, \mu_1$  are equivalent probability measures on  $X_0$ . Fix a root  $\rho \in T$  and define a family of probability measures  $(\mu_e)_{e \in E(T)}$  by

$$\mu_e = \begin{cases} \mu_0 & \text{if } e \text{ is oriented towards } \rho, \\ \mu_1 & \text{if } e \text{ is oriented away from } \rho. \end{cases}$$
(1.6)

Suppose that  $G \subset Aut(T)$  is a subgroup. Then the generalized Bernoulli action

$$G \curvearrowright \prod_{e \in E(T)} (X_0, \mu_e) : \quad (g \cdot x)_e = x_{g^{-1} \cdot e}$$
 (1.7)

is non-singular and up to conjugacy it does not depend on the choice of root  $\rho \in T$ . In our next main result we generalize [AIM19, Theorem 10.4] to non-singular actions of the form (1.7).

THEOREM C. Let T be a locally finite tree with root  $\rho \in T$  and let  $G \subset \operatorname{Aut}(T)$  be a non-elementary closed subgroup with Poincaré exponent  $\delta = \delta(G \cap T)$ . Let  $\mu_0$  and  $\mu_1$ be equivalent probability measures on a standard Borel space  $X_0$  and define a family of equivalent probability measures  $(\mu_e)_{e \in E(T)}$  by (1.6). Then the generalized Bernoulli action (1.7) is dissipative up to compact stabilizers if  $1 - H^2(\mu_0, \mu_1) < \exp(-\delta/2)$  and weakly mixing if  $1 - H^2(\mu_0, \mu_1) > \exp(-\delta/2)$ .

#### 2. Preliminaries

2.1. *Non-singular group actions.* Let  $(X, \mu)$ ,  $(Y, \nu)$  be standard measure spaces. A Borel map  $\varphi \colon X \to Y$  is called *non-singular* if the pushforward measure  $\varphi_*\mu$  is equivalent to  $\nu$ . If in addition there exist conull Borel sets  $X_0 \subset X$  and  $Y_0 \subset Y$  such that  $\varphi \colon X_0 \to Y_0$  is a bijection we say that  $\varphi$  is a *non-singular isomorphism*. We write Aut $(X, \mu)$  for the group of all non-singular automorphisms  $\varphi \colon X \to X$ , where we identify two elements if they agree almost everywhere. The group Aut $(X, \mu)$  carries a canonical Polish topology.

A non-singular group action  $G \curvearrowright (X, \mu)$  of an lcsc group G on a standard measure space  $(X, \mu)$  is a continuous group homomorphism  $G \to \operatorname{Aut}(X, \mu)$ . A non-singular group action  $G \curvearrowright (X, \mu)$  is called *essentially free* if the stabilizer subgroup  $G_x = \{g \in G : g \cdot x = x\}$  is trivial for almost every (a.e.)  $x \in X$ . When G is countable this is the same as the condition that  $\mu(\{x \in X : g \cdot x = x\}) = 0$  for every  $g \in G \setminus \{e\}$ . We say that  $G \curvearrowright (X, \mu)$  is *ergodic* if every G-invariant Borel set  $A \subset X$  satisfies  $\mu(A) = 0$ or  $\mu(X \setminus A) = 0$ . A non-singular action  $G \curvearrowright (X, \mu)$  is called *weakly mixing* if for any ergodic pmp action  $G \curvearrowright (Y, \nu)$  the diagonal product action  $G \curvearrowright X \times Y$  is ergodic. If Gis not compact and  $G \curvearrowright (X, \mu)$  is pmp, we say that  $G \curvearrowright X$  is *mixing* if

$$\lim_{g \to \infty} \mu(g \cdot A \cap B) = \mu(A)\mu(B) \quad \text{for every pair of Borel subsets } A, B \subset X.$$

Suppose that  $G \curvearrowright (X, \mu)$  is a non-singular action and that  $\mu$  is a probability measure. A sequence of Borel subsets  $A_n \subset X$  is called *almost invariant* if

$$\sup_{g \in K} \mu(g \cdot A_n \triangle A_n) \to 0 \quad \text{for every compact subset } K \subset G.$$

The action  $G \curvearrowright (X, \mu)$  is called *strongly ergodic* if every almost invariant sequence  $A_n \subset X$  is trivial, that is,  $\mu(A_n)(1 - \mu(A_n)) \rightarrow 0$ . The strong ergodicity of  $G \curvearrowright (X, \mu)$  only depends on the measure class of  $\mu$ . When  $(Y, \nu)$  is a standard measure space and  $\nu$  is infinite, a non-singular action  $G \curvearrowright (Y, \nu)$  is called strongly ergodic if  $G \curvearrowright (Y, \nu')$  is strongly ergodic, where  $\nu'$  is a probability measure that is equivalent to  $\nu$ .

Following [AIM19, Definition A.16], we say that a non-singular action  $G \curvearrowright (X, \mu)$  is *dissipative up to compact stabilizers* if each ergodic component is of the form  $G \curvearrowright G/K$ , for a compact subgroup  $K \subset G$ . By [AIM19, Theorem A.29] a non-singular action  $G \curvearrowright (X, \mu)$ , with  $\mu(X) = 1$ , is dissipative up to compact stabilizers if and only if

$$\int_G \frac{dg\mu}{d\mu}(x) \, d\lambda(g) < +\infty \quad \text{for a.e. } x \in X,$$

where  $\lambda$  denotes the left invariant Haar measure on *G*. We say that  $G \curvearrowright (X, \mu)$  is *infinitely recurrent* if for every non-negligible subset  $A \subset X$  and every compact subset  $K \subset G$  there exists  $g \in G \setminus K$  such that  $\mu(g \cdot A \cap A) > 0$ . By [AIM19, Proposition A.28] and Lemma 2.1 below, a non-singular action  $G \curvearrowright (X, \mu)$ , with  $\mu(X) = 1$ , is infinitely recurrent if and only if

$$\int_G \frac{dg\mu}{d\mu}(x) \, d\lambda(g) = +\infty \quad \text{for a.e. } x \in X.$$

A non-singular action  $G \cap (X, \mu)$  is called *dissipative* if it is essentially free and dissipative up to compact stabilizers. In that case there exists a standard measure space  $(X_0, \mu_0)$  such that  $G \cap X$  is conjugate with the action  $G \cap G \times X_0$ :  $g \cdot (h, x) =$ (gh, x). A non-singular action  $G \cap (X, \mu)$  decomposes, uniquely up to a null set, as  $G \cap D \sqcup C$ , where  $G \cap D$  is dissipative up to compact stabilizers and  $G \cap C$  is infinitely recurrent. When G is a countable group and  $G \cap (X, \mu)$  is essentially free, we say that  $G \cap X$  is *conservative* if it is infinitely recurrent.

LEMMA 2.1. Suppose that G is an lcsc group with left invariant Haar measure  $\lambda$  and that  $(X, \mu)$  is a standard probability space. Assume that  $G \curvearrowright (X, \mu)$  is a non-singular action that is infinitely recurrent. Then we have that

$$\int_G \frac{dg\mu}{d\mu}(x) \, d\lambda(g) = +\infty \quad \text{for a.e. } x \in X.$$

*Proof.* Note that the set

$$D = \left\{ x \in X : \int_G \frac{dg\mu}{d\mu}(x) \, d\lambda(g) < +\infty \right\}$$

is *G*-invariant. Therefore, it suffices to show that  $G \curvearrowright X$  is not infinitely recurrent under the assumption that *D* has full measure.

Let  $\pi : (X, \mu) \to (Y, \nu)$  be the projection onto the space of ergodic components of  $G \curvearrowright X$ . Then there exist a conull Borel subset  $Y_0 \subset Y$  and a Borel map  $\theta : Y_0 \to X$  such that  $(\pi \circ \theta)(y) = y$  for every  $y \in Y_0$ .

Write  $X_y = \pi^{-1}(\{y\})$ . By [AIM19, Theorem A.29], for a.e.  $y \in Y$  there exists a compact subgroup  $K_y \subset G$  such that  $G \curvearrowright X_y$  is conjugate with  $G \curvearrowright G/K_y$ . Let  $G_n \subset G$  be an increasing sequence of compact subsets of G such that  $\bigcup_{n\geq 1} G_n = G$ . For every  $x \in X$ , write  $G_x = \{g \in G : g \cdot x = x\}$  for the stabilizer subgroup of x. Using an argument as in [MRV11, Lemma 10], one shows that for each  $n \geq 1$  the set  $\{x \in X : G_x \subset G_n\}$  is Borel. Thus, for every  $n \geq 1$  the set

$$U_n = \{ y \in Y_0 : K_y \subset G_n \} = \{ y \in Y_0 : G_{\theta(y)} \subset G_n \}$$

is a Borel subset of Y and we have that  $\nu(\bigcup_{n>1} U_n) = 1$ . Therefore, the sets

$$A_n = \{g \cdot \theta(y) : g \in G_n, y \in U_n\}$$

are analytic and exhaust X up to a set of measure zero. So there exist an  $n_0 \in \mathbb{N}$  and a non-negligible Borel set  $B \subset A_{n_0}$ . Suppose that  $h \in G$  is such that  $h \cdot B \cap B \neq \emptyset$ . Then there exist  $y \in U_{n_0}$  and  $g_1, g_2 \in G_{n_0}$  such that  $hg_1 \cdot \theta(y) = g_2 \cdot \theta(y)$ , and we get that  $h \in G_{n_0}K_yG_{n_0}^{-1} \subset G_{n_0}G_{n_0}G_{n_0}^{-1}$ . In other words, for  $h \in G$  outside the compact set  $G_{n_0}G_{n_0}G_{n_0}^{-1}$  we have that  $\mu(h \cdot B \cap B) = 0$ , so that  $G \curvearrowright X$  is not infinitely recurrent.  $\Box$ 

We will frequently use the following result of Schmidt and Walters. Suppose that  $G \curvearrowright (X, \mu)$  is a non-singular action that is infinitely recurrent and suppose that  $G \curvearrowright (Y, \nu)$  is pmp and mixing. Then by [SW81, Theorem 2.3] we have that

$$L^{\infty}(X \times Y)^{G} = L^{\infty}(X)^{G} \overline{\otimes} 1,$$

where  $G \curvearrowright X \times Y$  acts diagonally. Although [SW81, Theorem 2.3] demands proper ergodicity of the action  $G \curvearrowright (X, \mu)$ , the infinite recurrence assumption is sufficient as remarked in [AIM19, Remark 7.4].

2.2. The Maharam extension and crossed products. Let  $(X, \mu)$  be a standard measure space. For any non-singular automorphism  $\varphi \in Aut(X, \mu)$ , we define its Maharam extension by

$$\widetilde{\varphi} \colon X \times \mathbb{R} \to X \times \mathbb{R} \colon \quad \widetilde{\varphi}(x,t) = (\varphi(x), t + \log(d\varphi^{-1}\mu/d\mu)(x)).$$

Then  $\widetilde{\varphi}$  preserves the infinite measure  $\mu \times \exp(-t)dt$ . The assignment  $\varphi \mapsto \widetilde{\varphi}$  is a continuous group homomorphism from Aut(X) to Aut( $X \times \mathbb{R}$ ). Thus, for each non-singular group action  $G \curvearrowright (X, \mu)$ , by composing with this map, we obtain a non-singular group action  $G \curvearrowright X \times \mathbb{R}$ , which we call the *Maharam extension of*  $G \curvearrowright X$ . If  $G \curvearrowright X$  is a non-singular group action, the translation action  $\mathbb{R} \curvearrowright X \times \mathbb{R}$  in the second component commutes with the Maharam extension  $G \curvearrowright X \times \mathbb{R}$ . Therefore, we get a well-defined action  $\mathbb{R} \curvearrowright L^{\infty}(X \times \mathbb{R})^{G}$ , which is the *Krieger flow* associated to the action  $G \curvearrowright X$ . The Krieger flow is given by  $\mathbb{R} \curvearrowright \mathbb{R}$  if and only if there exists a *G*-invariant  $\sigma$ -finite measure  $\nu$  on *X* that is equivalent to  $\mu$ . Suppose that  $M \subset B(\mathcal{H})$  is a von Neumann algebra represented on the Hilbert space  $\mathcal{H}$ and that  $\alpha : G \curvearrowright M$  is a continuous action on M of an lcsc group G. Then the *crossed product von Neumann algebra*  $M \rtimes_{\alpha} G \subset B(L^2(G, \mathcal{H}))$  is the von Neumann algebra generated by the operators  $\{\pi(x)\}_{x \in M}$  and  $\{u_h\}_{h \in G}$  acting on  $\xi \in L^2(G, \mathcal{H})$  as

$$(\pi(x)\xi)(g) = \alpha_{g^{-1}}(x)\xi(g), \quad (u_h\xi)(g) = \xi(h^{-1}g).$$

In particular, if  $G \curvearrowright (X, \mu)$  is a non-singular group action, the crossed product  $L^{\infty}(X) \rtimes G \subset B(L^2(G \times X))$  is the von Neumann algebra generated by the operators

$$(\pi(H)\xi)(g,x) = H(g \cdot x)\xi(g,x), \quad (u_h\xi)(g,x) = \xi(h^{-1}g,x),$$

for  $H \in L^{\infty}(X)$  and  $h \in G$ . If  $G \curvearrowright X$  is non-singular essentially free and ergodic, then  $L^{\infty}(X) \rtimes G$  is a factor. Moreover, when G is a unimodular group, the Krieger flow of  $G \curvearrowright X$  equals the flow of weights of the crossed product von Neumann algebra  $L^{\infty}(X) \rtimes G$ . For non-unimodular groups this is not necessarily true, motivating the following definition.

*Definition* 2.2. Let *G* be an lcsc group with modular function  $\Delta : G \to \mathbb{R}_{>0}$ . Let  $\lambda$  denote the Lebesgue measure on  $\mathbb{R}$ . Suppose that  $\alpha : G \curvearrowright (X, \mu)$  is a non-singular action. We define the *modular Maharam extension* of  $G \curvearrowright X$  as the non-singular action

$$\beta \colon G \curvearrowright (X \times \mathbb{R}, \mu \times \lambda) \colon g \cdot (x, t) = (g \cdot x, t + \log(\Delta(g)) + \log(dg^{-1}\mu/d\mu)(x)).$$

Let  $L^{\infty}(X \times \mathbb{R})^{\beta}$  denote the subalgebra of  $\beta$ -invariant elements. We define the *flow of* weights associated to  $G \curvearrowright X$  as the translation action  $\mathbb{R} \curvearrowright L^{\infty}(X \times \mathbb{R})^{\beta}$ :  $(t \cdot H)(x, s) = H(x, s - t)$ .

As we explain below, the flow of weights associated to an essentially free ergodic non-singular action  $G \curvearrowright X$  equals the flow of weights of the crossed product factor  $L^{\infty}(X) \rtimes G$ , justifying the terminology. See also [Sa74, Proposition 4.1].

Let  $\alpha: G \cap X$  be an essentially free ergodic non-singular group action with modular Maharam extension  $\beta: G \cap X \times \mathbb{R}$ . By [Sa74, Proposition 1.1] there is a canonical normal semifinite faithful weight  $\varphi$  on  $L^{\infty}(X) \rtimes_{\alpha} G$  such that the modular automorphism group  $\sigma^{\varphi}$  is given by

$$\sigma_t^{\varphi}(\pi(H)) = \pi(H), \quad \sigma_t^{\varphi}(u_g) = \Delta(g)^{it} u_g \pi((dg^{-1}\mu/d\mu)^{it}),$$

where  $\Delta \colon G \to \mathbb{R}_{>0}$  denotes the modular function of *G*.

For an element  $\xi \in L^2(\mathbb{R}, L^2(G \times X))$  and  $(g, x) \in G \times X$ , write  $\xi_{g,x}$  for the map given by  $\xi_{g,x}(s) = \xi(s, g, x)$ . Then by Fubini's theorem  $\xi_{g,x} \in L^2(\mathbb{R})$  for a.e.  $(g, x) \in G \times X$ . Let  $U: L^2(\mathbb{R}, L^2(G \times X)) \to L^2(G, L^2(X \times \mathbb{R}))$  be the unitary given on  $\xi \in L^2(\mathbb{R}, L^2(G \times X))$  by

$$(U\xi)(g, x, t) = \mathcal{F}^{-1}(\xi_{g, x})(t + \log(\Delta(g)) + \log(dg^{-1}\mu/d\mu)(x)),$$

where  $\mathcal{F}^{-1}: L^2(\mathbb{R}) \to L^2(\mathbb{R})$  denotes the inverse Fourier transform. One can check that conjugation by *U* induces an isomorphism

$$\Psi \colon (L^{\infty}(X) \rtimes_{\alpha} G) \rtimes_{\sigma^{\varphi}} \mathbb{R} \to L^{\infty}(X \times \mathbb{R}) \rtimes_{\beta} G.$$

Let  $\kappa : L^{\infty}(X \times \mathbb{R}) \to L^{\infty}(X \times \mathbb{R}) \rtimes_{\beta} G$  be the inclusion map and let  $\gamma : \mathbb{R} \curvearrowright L^{\infty}(X \times \mathbb{R}) \rtimes_{\beta} G$  be the action given by

$$\gamma_t(\kappa(H))(x,s) = \kappa(H)(x,s-t), \quad \gamma_t(u_g) = u_g.$$

Then one can verify that  $\Psi$  conjugates the dual action  $\widehat{\sigma^{\varphi}} \colon \mathbb{R} \curvearrowright (L^{\infty}(X) \rtimes_{\alpha} G) \rtimes_{\sigma^{\varphi}} \mathbb{R}$ and  $\gamma$ . Therefore, we can identify the flow of weights  $\mathbb{R} \curvearrowright \mathcal{Z}((L^{\infty}(X) \rtimes_{\alpha} G) \rtimes_{\sigma^{\varphi}} \mathbb{R})$ with  $\mathbb{R} \curvearrowright \mathcal{Z}(L^{\infty}(X \times \mathbb{R}) \rtimes_{\beta} G) \cong L^{\infty}(X \times \mathbb{R})^{\beta}$ : the flow of weights associated to  $G \curvearrowright X$ .

*Remark 2.3.* It will be useful to speak about the *Krieger type* of a non-singular ergodic action  $G \cap X$ . In light of the discussion above, we will only use this terminology for countable groups G, so that no confusion arises with the type of the crossed product von Neumann algebra  $L^{\infty}(X) \rtimes G$ . So assume that G is countable and that  $G \cap (X, \mu)$  is a non-singular ergodic action. Then the Krieger flow is ergodic and we distinguish several cases. If  $\nu$  is atomic, we say that  $G \cap X$  is of type I. If  $\nu$  is non-atomic and finite, we say that  $G \cap X$  is of type II<sub>1</sub>. If  $\nu$  is non-atomic and infinite, we say that  $G \cap X$  is of type II<sub> $\infty$ </sub>. If the Krieger flow is given by  $\mathbb{R} \cap \mathbb{R}/\log(\lambda)\mathbb{Z}$  with  $\lambda \in (0, 1)$ , we say that  $G \cap X$ is of type III<sub> $\lambda$ </sub>. If the Krieger flow is properly ergodic (that is, every orbit has measure zero), we say that  $G \cap X$  is of type III<sub>0</sub>.

2.3. *Non-singular Bernoulli actions*. Suppose that *G* is a countable infinite group and that  $(\mu_g)_{g \in G}$  is a family of equivalent probability measures on a standard Borel space  $X_0$ . The action

$$G \curvearrowright (X, \mu) = \prod_{h \in G} (X_0, \mu_h) : (g \cdot x)_h = x_{g^{-1}h}$$
 (2.1)

is called the *Bernoulli action*. For two probability measures v,  $\eta$  on a standard Borel space *Y*, the *Hellinger distance*  $H^2(v, \eta)$  is defined by

$$H^{2}(\nu,\eta) = \frac{1}{2} \int_{Y} \left( \sqrt{d\nu/d\zeta} - \sqrt{d\eta/d\zeta} \right)^{2} d\zeta, \qquad (2.2)$$

where  $\zeta$  is any probability measure on Y such that  $\nu$ ,  $\eta \prec \zeta$ . By Kakutani's criterion for equivalence of infinite product measures [Kak48] the Bernoulli action (2.1) is non-singular if and only if

$$\sum_{h \in G} H^2(\mu_h, \mu_{gh}) < +\infty \quad \text{for every } g \in G.$$

If  $(X, \mu)$  is non-atomic and the Bernoulli action (2.1) is non-singular, then it is essentially free by [**BKV19**, Lemma 2.2].

Suppose that *I* is a countable infinite set and that  $(\mu_i)_{i \in I}$  is a family of equivalent probability measures on a standard Borel space  $X_0$ . If *G* is an lcsc group that acts on *I*, the action

$$G \curvearrowright (X, \mu) = \prod_{i \in I} (X_0, \mu_i) : \quad (g \cdot x)_i = x_{g^{-1} \cdot i}$$
(2.3)

is called the *generalized Bernoulli action* and it is non-singular if and only if  $\sum_{i \in I} H^2(\mu_i, \mu_{g \cdot i}) < +\infty$  for every  $g \in G$ . When  $\nu$  is a probability measure on  $X_0$  such that  $\mu_i = \nu$  for every  $i \in I$ , the generalized Bernoulli action (2.3) is pmp and it is mixing if and only if the stabilizer subgroup  $G_i = \{g \in G : g \cdot i = i\}$  is compact for every  $i \in I$ . In particular, if G is countable infinite, the pmp Bernoulli action  $G \curvearrowright (X_0, \mu_0)^G$  is mixing.

2.4. *Groups acting on trees.* Let T = (V(T), E(T)) be a locally finite tree, so that the edge set E(T) is a symmetric subset of  $V(T) \times V(T)$  with the property that vertices  $v, w \in V(T)$  are adjacent if and only if  $(v, w), (w, v) \in E(T)$ . When *T* is clear from the context, we will write *E* instead of E(T). Also we will often write *T* instead of V(T) for the vertex set. For any two vertices  $v, w \in T$  let [v, w] denote the smallest subtree of *T* that contains v and w. The distance between vertices  $v, w \in T$  is defined as d(v, w) = |V([v, w])| - 1. Fixing a root  $\rho \in T$ , we define the *boundary*  $\partial T$  of *T* as the collection of all infinite line segments starting at  $\rho$ . We equip  $\partial T$  with a metric  $d_{\rho}$  as follows. If  $\omega, \omega' \in \partial T$ , let  $v \in T$  be the unique vertex such that  $d(\rho, v) = \sup_{v \in \omega \cap \omega'} d(\rho, v)$  and define

$$d_{\rho}(\omega, \omega') = \exp(-d(\rho, v)).$$

Then, up to homeomorphism, the space  $(\partial T, d_{\rho})$  does not depend on the chosen root  $\rho \in T$ . Furthermore, the Hausdorff dimension dim<sub>H</sub>  $\partial T$  of  $(\partial T, d_{\rho})$  is also independent of the choice of  $\rho \in T$ .

Let Aut(T) denote the group of automorphisms of *T*. By [Tit70, Proposition 3.2], if  $g \in Aut(T)$ , then either:

- g fixes a vertex or interchanges a pair of vertices (in this case we say that g is *elliptic*);
- or there exists a bi-infinite line segment *L* ⊂ *T*, called the *axis* of *g*, such that *g* acts on *L* by non-trivial translation (in this case we say that *g* is *hyperbolic*).

We equip Aut(*T*) with the topology of pointwise convergence. A subgroup  $G \subset Aut(T)$  is closed with respect to this topology if and only if for every  $v \in T$  the stabilizer subgroup  $G_v = \{g \in G : g \cdot v = v\}$  is compact. An action of an lcsc group *G* on *T* is a continuous homomorphism  $G \to Aut(T)$ . We say that the action  $G \cap T$  is *cocompact* if there is a finite set  $F \subset E(T)$  such that  $G \cdot F = E(T)$ . A subgroup  $G \subset Aut(T)$  is called *non-elementary* if it does not fix any point in  $T \cup \partial T$  and does not interchange any pair of points in  $T \cup \partial T$ . Equivalently,  $G \subset Aut(T)$  is non-elementary if there exist hyperbolic elements  $h, g \in G$  with axes  $L_h$  and  $L_g$  such that  $L_h \cap L_g$  is finite. If  $G \subset Aut(T)$  is a non-elementary closed subgroup, there exists a unique minimal *G*-invariant subtree  $S \subset T$  and *G* is compactly generated if and only if  $G \cap S$  is cocompact (see [CM11, §2]). Recall from (1.5) the definition of the Poincaré exponent  $\delta(G \cap T)$  of a subgroup  $G \subset Aut(T)$ . If  $G \subset Aut(T)$  is a closed subgroup such that  $G \cap T$  is cocompact, then we have that  $\delta(G \cap T) = \dim_H \partial T$ .

#### 3. Phase transitions of non-singular Bernoulli actions: proof of Theorems A and B

Let *G* be a countable infinite group and let  $(\mu_g)_{g \in G}$  be a family of equivalent probability measures on a standard Borel space  $X_0$ . Let  $\nu$  also be a probability measure on  $X_0$ . For  $t \in [0, 1]$  we define the family of probability measures

$$\mu_{g}^{t} = (1-t)\nu + t\mu_{g}, \quad g \in G.$$
(3.1)

We write  $\mu_t$  for the infinite product measure  $\mu_t = \prod_{g \in G} \mu_g^t$  on  $X = \prod_{g \in G} X_0$ . We prove Theorem 3.1 below, which is slightly more general than Theorem A.

THEOREM 3.1. Let G be a countable infinite group and let  $(\mu_g)_{g\in G}$  be a family of equivalent probability measures on a standard probability space  $X_0$ , which is not supported on a single atom. Assume that the Bernoulli action  $G \cap \prod_{g\in G} (X_0, \mu_g)$  is non-singular. Let v also be a probability measure on  $X_0$ . Then for every  $t \in [0, 1]$  the Bernoulli action

$$G \curvearrowright (X, \mu_t) = \prod_{g \in G} (X_0, (1-t)\nu + t\mu_g)$$
(3.2)

is non-singular. Assume, in addition, that one of the following conditions holds.

(1)  $v \sim \mu_e$ .

(2)  $\nu \prec \mu_e$  and  $\sup_{g \in G} |\log d\mu_g/d\mu_e(x)| < +\infty$  for a.e  $x \in X_0$ .

Then there exists a  $t_1 \in [0, 1]$  such that  $G \curvearrowright (X, \mu_t)$  is dissipative for every  $t > t_1$  and weakly mixing for every  $t < t_1$ .

*Remark* 3.2. One might hope to prove a completely general phase transition result that only requires  $\nu \prec \mu_e$ , and not the additional assumption that  $\sup_{g \in G} |\log d\mu_g/d\mu_e(x)| < +\infty$  for a.e.  $x \in X_0$ . However, the following example shows that this is not possible.

Let *G* be any countable infinite group and let  $G \curvearrowright \prod_{g \in G} (C_0, \eta_g)$  be a conservative non-singular Bernoulli action. Note that Theorem 3.1 implies that

$$G \curvearrowright \prod_{g \in G} (C_0, (1-t)\eta_e + t\eta_g)$$

is conservative for every t < 1. Let  $C_1$  be a standard Borel space and let  $(\mu_g)_{g\in G}$  be a family of equivalent probability measures on  $X_0 = C_0 \sqcup C_1$  such that  $0 < \sum_{g\in G} \mu_g(C_1) < +\infty$ and such that  $\mu_g|_{C_0} = \mu_g(C_0)\eta_g$ . Then the Bernoulli action  $G \curvearrowright (X, \mu) = \prod_{g\in G} (X_0, \mu_g)$ is non-singular with non-negligible conservative part  $C_0^G \subset G$  and dissipative part  $X \setminus C_0^G$ . Taking  $v = \eta_e \prec \mu_e$ , for each t < 1 the Bernoulli action  $G \curvearrowright (X, \mu_t) = \prod_{g\in G} (X_0, (1-t)\eta_e + t\mu_g)$  is constructed in the same way, by starting with the conservative Bernoulli action  $G \curvearrowright \prod_{g\in G} (C_0, (1-t)\eta_e + t\eta_g)$ . So for every  $t \in (0, 1)$ the Bernoulli action  $G \curvearrowright (X, \mu_t)$  has non-negligible conservative part and non-negligible dissipative part.

We can also prove a version of Theorem B in the more general setting of Theorem 3.1.

THEOREM 3.3. Let G be a countable infinite non-amenable group. Make the same assumptions as in Theorem 3.1 and consider the non-singular Bernoulli actions  $G \curvearrowright (X, \mu_t)$  given by (3.2). Assume, moreover, that:

(1) 
$$v \sim \mu_e$$
, or

(2)  $\nu \prec \mu_e \text{ and } \sup_{e \in G} |\log d\mu_g/d\mu_e(x)| < +\infty \text{ for a.e. } x \in X_0.$ 

Then there exists a  $t_0 > 0$  such that  $G \curvearrowright (X, \mu_t)$  is strongly ergodic for every  $t < t_0$ .

*Proof of Theorem 3.1.* Assume that  $G \curvearrowright (X, \mu_1) = \prod_{g \in G} (X_0, \mu_g)$  is non-singular. For every  $t \in [0, 1]$  we have that

$$\sum_{h \in G} H^2(\mu_h^t, \mu_{gh}^t) \le t \sum_{h \in G} H^2(\mu_h, \mu_{gh}) \quad \text{for every } g \in G,$$

so that  $G \curvearrowright (X, \mu_t)$  is non-singular for every  $t \in [0, 1]$ . The rest of the proof we divide into two steps.

CLAIM 1. If  $G \curvearrowright (X, \mu_t)$  is conservative, then  $G \curvearrowright (X, \mu_s)$  is weakly mixing for every s < t.

*Proof of Claim 1.* Note that for every  $g \in G$  we have that

$$(\mu_g^s)^r = (1-r)\nu + r\mu_g^s = (1-r)\nu + r(1-s)\nu + rs\mu_g = \mu_g^{sr},$$

so that  $(\mu_s)_r = \mu_{sr}$ . Therefore, it suffices to prove that  $G \curvearrowright (X, \mu_s)$  is weakly mixing for every s < 1, assuming that  $G \curvearrowright (X, \mu_1)$  is conservative.

The claim is trivially true for s = 0. So assume that  $G \curvearrowright (X, \mu_1)$  is conservative and fix  $s \in (0, 1)$ . Let  $G \curvearrowright (Y, \eta)$  be an ergodic pmp action. Define  $Y_0 = X_0 \times X_0 \times \{0, 1\}$  and define the probability measures  $\lambda$  on  $\{0, 1\}$  by  $\lambda(0) = s$ . Define the map  $\theta: Y_0 \to X_0$  by

$$\theta(x, x', j) = \begin{cases} x & \text{if } j = 0, \\ x' & \text{if } j = 1. \end{cases}$$
(3.3)

Then for every  $g \in G$  we have that  $\theta_*(\mu_g \times \nu \times \lambda) = \mu_g^s$ . Write  $Z = \{0, 1\}^G$  and equip Z with the probability measure  $\lambda^G$ . We identify the Bernoulli action  $G \cap Y_0^G$  with the diagonal action  $G \cap X \times X \times Z$ . By applying  $\theta$  in each coordinate we obtain a G-equivariant factor map

$$\Psi \colon X \times X \times Z \to X \colon \quad \Psi(x, x', z)_h = \theta(x_h, x'_h, z_h). \tag{3.4}$$

Then the map  $\operatorname{id}_Y \times \Psi \colon Y \times X \times X \times Z \to Y \times X$  is *G*-equivariant and we have that  $(\operatorname{id}_Y \times \Psi)_*(\eta \times \mu_1 \times \mu_0 \times \lambda^G) = \eta \times \mu_s$ . The construction above is similar to **[KS20**, §4].

Take  $F \in L^{\infty}(Y \times X, \eta \times \mu_s)^G$ . Note that the diagonal action  $G \curvearrowright (Y \times X, \eta \times \mu_1)$  is conservative, since  $G \curvearrowright (Y, \eta)$  is pmp. The action  $G \curvearrowright (X \times Z, \mu_0 \times \lambda^G)$  can be identified with a pmp Bernoulli action with base space  $(X_0 \times \{0, 1\}, \nu \times \lambda)$ , so that it is mixing. By [SW81, Theorem 2.3] we have that

$$L^{\infty}(Y \times X \times X \times Z, \eta \times \mu_1 \times \mu_0 \times \lambda^G)^G = L^{\infty}(Y \times X, \eta \times \mu_1)^G \overline{\otimes} 1 \overline{\otimes} 1,$$

which implies that the assignment  $(y, x, x', z) \mapsto F(y, \Psi(x, x', z))$  is essentially independent of x' and z. Choosing a finite set of coordinates  $\mathcal{F} \subset G$  and changing, for  $g \in \mathcal{F}$ , the value  $z_g$  between 0 and 1, we see that F is essentially independent of the  $x_g$ -coordinates for  $g \in \mathcal{F}$ . As this is true for any finite set  $\mathcal{F} \subset G$ , we have that  $F \in L^{\infty}(Y)^G \otimes 1$ . The action  $G \curvearrowright (Y, \eta)$  is ergodic and therefore F is essentially constant. We conclude that  $G \curvearrowright (X, \mu_s)$  is weakly mixing.

CLAIM 2. If  $v \sim \mu_e$  and if  $G \curvearrowright (X, \mu_t)$  is not dissipative, then  $G \curvearrowright (X, \mu_s)$  is conservative for every s < t.

*Proof of Claim 2.* Again it suffices to assume that  $G \curvearrowright (X, \mu_1)$  is not dissipative and to show that  $G \curvearrowright (X, \mu_s)$  is conservative for every s < 1.

When s = 0, the statement is trivial, so assume that  $G \curvearrowright (X, \mu_1)$  is not dissipative and fix  $s \in (0, 1)$ . Let  $C \subset X$  denote the non-negligible conservative part of  $G \curvearrowright (X, \mu_1)$ . As in the proof of Claim 1, write  $Z = \{0, 1\}^G$  and let  $\lambda$  be the probability measure on  $\{0, 1\}$  given by  $\lambda(0) = s$ . Writing  $\Psi \colon X \times X \times Z \to X$  for the *G*-equivariant map (3.4). We claim that  $\Psi_*((\mu_1 \times \mu_0 \times \lambda^G)|_{C \times X \times Z}) \sim \mu_s$ , so that  $G \curvearrowright (X, \mu_s)$  is a factor of a conservative non-singular action, and therefore must be conservative itself.

As  $\Psi_*(\mu_1 \times \mu_0 \times \lambda^G) = \mu_s$ , we have that  $\Psi_*((\mu_1 \times \mu_0 \times \lambda^G)|_{C \times X \times Z}) \prec \mu_s$ . Let  $\mathcal{U} \subset X$  be the Borel set, uniquely determined up to a set of measure zero, such that  $\Psi_*((\mu_1 \times \mu_0 \times \lambda^G)|_{C \times X \times Z}) \sim \mu_s|_{\mathcal{U}}$ . We have to show that  $\mu_s(X \setminus \mathcal{U}) = 0$ . Fix a finite subset  $\mathcal{F} \subset G$ . For every  $t \in [0, 1]$  define

$$(X_1, \gamma_1^t) = \prod_{g \in \mathcal{F}} (X_0, (1-t)\nu + t\mu_g),$$
  
$$(X_2, \gamma_2^t) = \prod_{g \in G \setminus \mathcal{F}} (X_0, (1-t)\nu + t\mu_g).$$

We shall write  $\gamma_1 = \gamma_1^1$ ,  $\gamma_2 = \gamma_2^1$ . Also define

$$(Y_1, \zeta_1) = \prod_{g \in \mathcal{F}} (X_0 \times X_0 \times \{0, 1\}, \mu_g \times \nu \times \lambda),$$
  
$$(Y_2, \zeta_2) = \prod_{g \in G \setminus \mathcal{F}} (X_0 \times X_0 \times \{0, 1\}, \mu_g \times \nu \times \lambda)$$

By applying the map (3.3) in every coordinate, we get factor maps  $\Psi_j: Y_j \to X_j$ that satisfy  $(\Psi_j)_*(\zeta_j) = \gamma_j^s$  for j = 1, 2. Identify  $X_1 \times Y_2 \cong X \times (X_0 \times \{0, 1\})^{G \setminus \mathcal{F}}$  and define the subset  $C' \subset X_1 \times Y_2$  by  $C' = C \times (X_0 \times \{0, 1\})^{G \setminus \mathcal{F}}$ . Let  $\mathcal{U}' \subset X$  be Borel such that

$$(\mathrm{id}_{X_1} \times \Psi_2)_*((\gamma_1 \times \zeta_2)|_{C'}) \sim (\gamma_1 \times \gamma_2^s)|_{\mathcal{U}'}.$$

Identify  $Y_1 \times X_2 \cong X \times (X_0 \times \{0, 1\})^{\mathcal{F}}$  and define  $V \subset Y_1 \times X_2$  by  $V = \mathcal{U}' \times (X_0 \times \{0, 1\})^{\mathcal{F}}$ . Then we have that

$$\begin{aligned} (\Psi_1 \times \mathrm{id}_{X_2})_*((\zeta_1 \times \gamma_2^s)|_V) &\sim (\Psi_1 \times \mathrm{id}_{X_2})_*(\mathrm{id}_{Y_1} \times \Psi_2)_*((\gamma_1 \times \zeta_1)|_{C'} \times \nu^{\mathcal{F}} \times \lambda^{\mathcal{F}}) \\ &= \Psi_*((\zeta_1 \times \zeta_2)|_{C \times X \times Z}) \sim \mu_s|_{\mathcal{U}}. \end{aligned}$$

Let  $\pi: X_1 \times X_2 \to X_2$  and  $\pi': Y_1 \times X_2 \to X_2$  denote the coordinate projections. Note that by construction we have that

$$\pi'_*((\zeta_1 \times \gamma_2^s)|_V) \sim \pi_*((\gamma_1 \times \gamma_2^s)|_{\mathcal{U}'}) \sim \pi_*(\mu_s|_{\mathcal{U}}).$$

$$(3.5)$$

Let  $W \subset X_2$  be Borel such that  $\pi_*(\mu_s|_{\mathcal{U}}) \sim \gamma_2^s|_W$ . For every  $y \in X_2$  define the Borel sets

$$\mathcal{U}_y = \{x \in X_1 : (x, y) \in \mathcal{U}\} \text{ and } \mathcal{U}'_y = \{x \in X_1 : (x, y) \in \mathcal{U}'\}.$$

As  $\pi_*((\gamma_1 \times \gamma_2^s)|_{\mathcal{U}'}) \sim \gamma_2^s|_W$ , we have that

$$\gamma_1(\mathcal{U}'_y) > 0 \quad \text{for } \gamma_2^s \text{-a.e. } y \in W.$$

The disintegration of  $(\gamma_1 \times \gamma_2^s)|_{\mathcal{U}'}$  along  $\pi$  is given by  $(\gamma_1|_{\mathcal{U}'_y})_{y \in W}$ . Therefore, the disintegration of  $(\zeta_1 \times \gamma_2^s)|_V$  along  $\pi'$  is given by  $(\gamma_1|_{\mathcal{U}'_y} \times \nu^{\mathcal{F}} \times \lambda^{\mathcal{F}})_{y \in W}$ . We conclude that the disintegration of  $(\Psi_1 \times \operatorname{id}_{X_2})_*((\zeta_1 \times \gamma_2^s)|_V)$  along  $\pi$  is given by  $((\Psi_1)_*(\gamma_1|_{\mathcal{U}'_y} \times \nu^{\mathcal{F}} \times \lambda^{\mathcal{F}}))_{y \in W}$ . The disintegration of  $\mu_s|_{\mathcal{U}}$  along  $\pi$  is given by  $(\gamma_2^s|_{\mathcal{U}_y})_{y \in W}$ . Since  $\mu_s|_{\mathcal{U}} \sim (\Psi_1 \times \operatorname{id}_{X_2})_*((\zeta_1 \times \gamma_2^s)|_V)$ , we conclude that

$$(\Psi_1)_*(\gamma_1|_{\mathcal{U}'_y} \times \nu^{\mathcal{F}} \times \lambda^{\mathcal{F}}) \sim \gamma_1^s|_{\mathcal{U}_y} \quad \text{for } \gamma_2^s\text{-a.e. } y \in W.$$

As  $\gamma_1(\mathcal{U}'_{\nu}) > 0$  for  $\gamma_2^s$ -a.e.  $y \in W$ , and using that  $\nu \sim \mu_e$ , we see that

$$\begin{split} \gamma_1^s &\sim \nu^{\mathcal{F}} \sim (\Psi_1)_* ((\gamma_1 \times \nu^{\mathcal{F}} \times \lambda^{\mathcal{F}})|_{\mathcal{U}_y' \times X_0^{\mathcal{F}} \times \{1\}^{\mathcal{F}}}) \\ &\prec (\Psi_1)_* (\gamma_1|_{\mathcal{U}_y'} \times \nu^{\mathcal{F}} \times \lambda^{\mathcal{F}}). \end{split}$$

for  $\gamma_2^s$ -a.e.  $y \in W$ . It is clear that also  $(\Psi_1)_*(\gamma_1|_{\mathcal{U}'_y} \times \nu^{\mathcal{F}} \times \lambda^{\mathcal{F}}) \prec \gamma_1^s$ , so that  $\gamma_1^s|_{\mathcal{U}_y} \sim \gamma_1^s$ for  $\gamma_2^s$ -a.e.  $y \in W$ . Therefore, we have that  $\gamma_1^s(X_1 \setminus \mathcal{U}_y) = 0$  for  $\gamma_2^s$ -a.e.  $y \in W$ , so that

$$\mu_s(\mathcal{U}\triangle(X_0^{\mathcal{F}}\times W))=0.$$

Since this is true for every finite subset  $\mathcal{F} \subset G$ , we conclude that  $\mu_s(X \setminus U) = 0$ .  $\Box$ 

The conclusion of the proof now follows by combining both claims. Assume that  $G \curvearrowright (X, \mu_t)$  is not dissipative and fix s < t. Choose *r* such that s < r < t.

 $\nu \sim \mu_e$ . By Claim 2 we have that  $G \curvearrowright (X, \mu_r)$  is conservative. Then by Claim 1 we see that  $G \curvearrowright (X, \mu_s)$  is weakly mixing.

 $\nu \prec \mu_e$ . As  $\nu \prec \mu_e$ , the measures  $\mu_e^t$  and  $\mu_e$  are equivalent. We have that

$$\frac{d\mu_g^I}{d\mu_e^I} = \left((1-t)\frac{d\nu}{d\mu_e} + t\frac{d\mu_g}{d\mu_e}\right)\frac{d\mu_e}{d\mu_e^I}$$

So if  $\sup_{g \in G} |\log d\mu_g/d\mu_e(x)| < +\infty$  for a.e  $x \in X_0$ , we also have that

$$\sup_{g \in G} |\log d\mu_g^t / d\mu_e^t(x)| < +\infty \quad \text{for a.e. } x \in X_0.$$

It follows from [**BV20**, Proposition 4.3] that  $G \curvearrowright (X, \mu_t)$  is conservative. Then by Claim 1 we have that  $G \curvearrowright (X, \mu_s)$  is weakly mixing.

*Remark 3.4.* Let *I* be a countably infinite set and suppose that we are given a family of equivalent probability measures  $(\mu_i)_{i \in I}$  on a standard Borel space  $X_0$ . Let  $\nu$  be a probability measure on  $X_0$  that is equivalent to all the  $\mu_i$ . If *G* is an lcsc group that acts

on *I* such that for each  $i \in I$  the stabilizer subgroup  $G_i = \{g \in G : g \cdot i = i\}$  is compact, then the pmp generalized Bernoulli action

$$G \curvearrowright \prod_{i \in I} (X_0, \nu), \quad (g \cdot x)_i = x_{g^{-1} \cdot i}$$

is mixing. For  $t \in [0, 1]$  write

$$(X, \mu_t) = \prod_{i \in I} (X_0, (1 - t)\nu + t\mu_i)$$

and assume that the generalized Bernoulli action  $G \curvearrowright (X, \mu_1)$  is non-singular.

Since [SW81, Theorem 2.3] still applies to infinitely recurrent actions of lcsc groups (see [AIM19, Remark 7.4]), it is straightforward to adapt the proof of Claim 1 in the proof of Theorem 3.1 to prove that if  $G \curvearrowright (X, \mu_t)$  is infinitely recurrent, then  $G \curvearrowright (X, \mu_s)$  is weakly mixing for every s < t. Similarly, we can adapt the proof of Claim 2, using that a factor of an infinitely recurrent action is again infinitely recurrent. Together, this leads to the following phase transition result in the lcsc setting.

Assume that  $G_i = \{g \in G : g \cdot i = i\}$  is compact for every  $i \in I$  and that  $v \sim \mu_e$ . Then there exists a  $t_1 \in [0, 1]$  such that  $G \curvearrowright (X, \mu_t)$  is dissipative up to compact stabilizers for every  $t > t_1$  and weakly mixing for every  $t < t_1$ .

Recall the following definition from [**BKV19**, Definition 4.2]. When G is a countable infinite group and  $G \curvearrowright (X, \mu)$  is a non-singular action on a standard probability space, a sequence  $(\eta_n)$  of probability measures on G is called *strongly recurrent* for the action  $G \curvearrowright (X, \mu)$  if

$$\sum_{h\in G} \eta_n^2(h) \int_X \frac{d\mu(x)}{\sum_{k\in G} \eta_n(hk^{-1})dk^{-1}\mu/d\mu(x)} \xrightarrow{n\to+\infty} 0.$$

We say that  $G \curvearrowright (X, \mu)$  is *strongly conservative* if there exists a sequence  $(\eta_n)$  of probability measures on G that is strongly recurrent for  $G \curvearrowright (X, \mu)$ .

LEMMA 3.5. Let  $G \curvearrowright (X, \mu)$  and  $G \curvearrowright (Y, \nu)$  be non-singular actions of a countable infinite group G on standard probability spaces  $(X, \mu)$  and  $(Y, \nu)$ . Suppose that  $\psi: (X, \mu) \to (Y, \nu)$  is a measure-preserving G-equivariant factor map and that  $\eta_n$ is a sequence of probability measures on G that is strongly recurrent for the action  $G \curvearrowright (X, \mu)$ . Then  $\eta_n$  is strongly recurrent for the action  $G \curvearrowright (Y, \nu)$ .

*Proof.* Let  $E: L^0(X, [0, +\infty)) \to L^0(Y, [0, +\infty))$  denote the conditional expectation map that is uniquely determined by

$$\int_Y E(F)H \, d\nu = \int_X F(H \circ \psi) \, d\mu$$

for all positive measurable functions  $F: X \to [0, +\infty)$  and  $H: Y \to [0, +\infty)$ . Since

$$\frac{dk^{-1}\nu}{d\nu} = \frac{d\psi_*(k^{-1}\mu)}{d\psi_*\mu} = E\left(\frac{dk^{-1}\mu}{d\mu}\right)$$

for every  $k \in G$ , we have that

$$\sum_{k \in G} \eta_n(hk^{-1}) \frac{dk^{-1}\nu}{d\nu}(y) = E\left(\sum_{k \in G} \eta_n(hk^{-1}) \frac{dk^{-1}\mu}{d\mu}\right)(y) \quad \text{for a.e. } y \in Y.$$
(3.6)

By Jensen's inequality for conditional expectations, applied to the convex function  $t \mapsto 1/t$ , we also have that

$$\frac{1}{E(\sum_{k\in G}\eta_n(hk^{-1})dk^{-1}\mu/d\mu)(y)} \le E\left(\frac{1}{\sum_{k\in G}\eta_n(hk^{-1})dk^{-1}\mu/d\mu}\right)(y) \quad \text{for a.e. } y \in Y.$$
(3.7)

Combining (3.6) and (3.7), we see that

$$\begin{split} &\sum_{h \in G} \eta_n^2(h) \int_Y \frac{d\nu(y)}{\sum_{k \in G} \eta_n(hk^{-1})dk^{-1}\nu/d\nu(y)} \\ &\leq \sum_{h \in G} \eta_n^2(h) \int_Y E\bigg(\frac{1}{\sum_{k \in G} \eta_n(hk^{-1})dk^{-1}\mu/d\mu}\bigg)(y) \, d\nu(y) \\ &= \sum_{h \in G} \eta_n^2(h) \int_X \frac{d\mu(x)}{\sum_{k \in G} \eta_n(hk^{-1})dk^{-1}\mu/d\mu(x)}, \end{split}$$

which converges to 0 as  $\eta_n$  is strongly recurrent for  $G \curvearrowright (X, \mu)$ .

We say that a non-singular group action  $G \cap (X, \mu)$  has an *invariant mean* if there exists a *G*-invariant linear functional  $\varphi \in L^{\infty}(X)^*$ . We say that  $G \cap (X, \mu)$  is *amenable (in the sense of Zimmer)* if there exists a *G*-equivariant conditional expectation  $E: L^{\infty}(G \times X) \to L^{\infty}(X)$ , where the action  $G \cap G \times X$  is given by  $g \cdot (h, x) = (gh, g \cdot x)$ .

PROPOSITION 3.6. Let G be a countable infinite group and let  $(\mu_g)_{g\in G}$  be a family of equivalent probability measures on a standard Borel space  $X_0$  that is not supported on a single atom. Let v be a probability measure on  $X_0$  and for each  $t \in [0, 1]$  consider the Bernoulli action (3.2). Assume that  $G \curvearrowright (X, \mu_1)$  is non-singular.

- (1) If  $G \curvearrowright (X, \mu_t)$  has an invariant mean, then  $G \curvearrowright (X, \mu_s)$  has an invariant mean for every s < t.
- (2) If  $G \curvearrowright (X, \mu_t)$  is amenable, then  $G \curvearrowright (X, \mu_s)$  is amenable for every s > t.
- (3) If  $G \curvearrowright (X, \mu_t)$  is strongly conservative, then  $G \curvearrowright (X, \mu_s)$  is strongly conservative for every s < t.

*Proof.* (1) We may assume that t = 1. So suppose that  $G \curvearrowright (X, \mu_1)$  has an invariant mean and fix s < 1. Let  $\lambda$  be the probability measure on  $\{0, 1\}$  that is given by  $\lambda(0) = s$ . Then by [AIM19, Proposition A.9] the diagonal action  $G \curvearrowright (X \times X \times \{0, 1\}^G, \mu_1 \times \mu_0 \times \lambda^G)$  has an invariant mean. Since  $G \curvearrowright (X, \mu_s)$  is a factor of this diagonal action, it admits a *G*-invariant mean as well.

(2) It suffices to show that  $G \curvearrowright (X, \mu_1)$  is amenable whenever there exists a  $t \in (0, 1)$  such that  $G \curvearrowright (X, \mu_t)$  is amenable. Write  $\lambda$  for the probability measure on  $\{0, 1\}$  given by  $\lambda(0) = t$ . Then  $G \curvearrowright (X, \mu_t)$  is a factor of the diagonal action  $G \curvearrowright (X \times X \times X)$ 

 $\square$ 

 $\{0, 1\}^G, \mu_1 \times \mu_0 \times \lambda^G\}$ , so by [**Zim78**, Theorem 2.4] also the latter action is amenable. Since  $G \curvearrowright (X \times \{0, 1\}^G, \mu_0 \times \lambda^G)$  is pmp, we have that  $G \curvearrowright (X, \mu_1)$  is amenable.

(3) We may again assume that t = 1. Suppose that  $(\eta_n)$  is a strongly recurrent sequence of probability measures on *G* for the action  $G \cap (X, \mu_1)$ . Fix s < 1 and let  $\lambda$  be the probability measure on  $\{0, 1\}$  defined by  $\lambda(0) = s$ . As the diagonal action  $G \cap (X \times \{0, 1\}^G, \mu_0 \times \lambda^G)$  is pmp, the sequence  $\eta_n$  is also strongly recurrent for the diagonal action  $G \cap (X \times X \times \{0, 1\}, \mu_1 \times \mu_0 \times \lambda^G)$ . Since  $G \cap (X, \mu_t)$  is a factor of  $G \cap (X \times X \times \{0, 1\}^G, \mu_1 \times \mu_0 \times \lambda^G)$ , it follows from Lemma 3.5 that the sequence  $\eta_n$  is strongly recurrent for  $G \cap (X, \mu_t)$ .

We finally prove Theorem 3.3. The proof relies heavily upon the techniques developed in [**MV20**, §5].

*Proof of Theorem 3.3.* For every  $t \in (0, 1]$  write  $\rho^t$  for the Koopman representation

$$\rho^{t} \colon G \curvearrowright L^{2}(X, \mu_{t}) \colon \quad (\rho_{g}^{t}(\xi))(x) = \left(\frac{dg\mu_{t}}{d\mu_{t}}(x)\right)^{1/2} \xi(g^{-1} \cdot x)$$

Fix  $s \in (0, 1)$  and let C > 0 be such that  $\log(1 - x) \ge -Cx$  for every  $x \in [0, s)$ . Then for every t < s and every  $g \in G$  we have that

$$\begin{split} \log(\langle \rho_g^t(1), 1 \rangle) &= \sum_{h \in G} \log(1 - H^2(\mu_{gh}^t, \mu_h^t)) \\ &\geq \sum_{h \in G} \log(1 - tH^2(\mu_{gh}, \mu_h)) \\ &\geq -Ct \sum_{h \in G} H^2(\mu_{gh}, \mu_h). \end{split}$$

Because  $G \curvearrowright (X, \mu_1)$  is non-singular we get that

$$\langle \rho_{\rho}^{t}(1), 1 \rangle \to 1 \quad \text{as } t \to 0, \text{ for every } g \in G.$$
 (3.8)

We claim that there exists a t' > 0 such that  $G \cap (X, \mu_t)$  is non-amenable for every t < t'. Suppose, to the contrary, that  $t_n$  is a sequence that converges to zero such that  $G \cap (X, \mu_t)$  is amenable for every  $n \in \mathbb{N}$ . Then it follows from [Nev03, Theorem 3.7] that  $\rho^{t_n}$  is weakly contained in the left regular representation  $\lambda_G$  for every  $n \in \mathbb{N}$ . Write  $1_G$  for the trivial representation of *G*. It follows from (3.8) that  $\bigoplus_{n \in \mathbb{N}} \rho^{t_n}$  has almost invariant vectors, so that

$$1_G \prec \bigoplus_{n \in \mathbb{N}} \rho^{t_n} \prec \infty \lambda_G \prec \lambda_G,$$

which is in contradiction to the non-amenability of G. By Theorem 3.1 there exists a  $t_1 \in [0, 1]$  such that  $G \cap (X, \mu_t)$  is weakly mixing for every  $t < t_1$ . Since every dissipative action is amenable (see, for example, [AIM19, Theorem A.29]) it follows that  $t_1 \ge t' > 0$ . Write  $Z_0 = [0, 1)$  and let  $\lambda$  denote the Lebesgue probability measure on  $Z_0$ . Let  $\rho^0$  denote the reduced Koopman representation

$$\rho^0 \colon G \curvearrowright L^2(X \times Z_0^G, \mu_0 \times \lambda^G) \ominus \mathbb{C}1 \colon \quad (\rho_g^0(\xi))(x) = \xi(g^{-1} \cdot x).$$

As *G* is non-amenable,  $\rho^0$  has stable spectral gap. Suppose that for every s > 0 we can find 0 < s' < s such that  $\rho^{s'}$  is weakly contained in  $\rho^{s'} \otimes \rho^0$ . Then there exists a sequence  $s_n$  that converges to zero, such that  $\rho^{s_n}$  is weakly contained in  $\rho^{s_n} \otimes \rho^0$  for every  $n \in \mathbb{N}$ . This implies that  $\bigoplus_{n \in \mathbb{N}} \rho^{s_n}$  is weakly contained in  $(\bigoplus_{n \in \mathbb{N}} \rho^{s_n}) \otimes \rho^0$ . But by (3.8), the representation  $\bigoplus_{n \in \mathbb{N}} \rho^{s_n}$  has almost invariant vectors, so that  $(\bigoplus_{n \in \mathbb{N}} \rho^{s_n}) \otimes \rho^0$  weakly contains the trivial representation. This is in contradiction to  $\rho^0$  having stable spectral gap. We conclude that there exists an s > 0 such that  $\rho^t$  is not weakly contained in  $\rho^t \otimes \rho^0$  for every t < s.

We prove that  $G \curvearrowright (X, \mu_t)$  is strongly ergodic for every  $t < \min\{t', s\}$ , in which case we can apply [**MV20**, Lemma 5.2] to the non-singular action  $G \curvearrowright (X, \mu_t)$  and the pmp action  $G \curvearrowright (X \times Z_0^G, \mu_0 \times \lambda^G)$  by our choice of t' and s. After rescaling, we may assume that  $G \curvearrowright (X, \mu_1)$  is ergodic and that  $\rho^t$  is not weakly contained in  $\rho^t \otimes \rho^0$  for every  $t \in (0, 1)$ .

Let  $t \in (0, 1)$  be arbitrary and define the map

$$\Psi \colon X \times X \times Z_0^G \to X \colon \quad \Psi(x, y, z)_h = \begin{cases} x_h & \text{if } z_h \le t, \\ y_h & \text{if } z_h > t. \end{cases}$$

Then  $\Psi$  is *G*-equivariant and we have that  $\Psi(\mu_1 \times \mu_0 \times \lambda^G) = \mu_t$ . Suppose that  $G \cap (X, \mu_t)$  is not strongly ergodic. Then we can find a bounded almost invariant sequence  $f_n \in L^{\infty}(X, \mu_t)$  such that  $||f_n||_2 = 1$  and  $\mu_t(f_n) = 0$  for every  $n \in \mathbb{N}$ . Therefore,  $\Psi_*(f_n)$  is a bounded almost invariant sequence for  $G \cap (X \times X \times Z_0^G, \mu_1 \times \mu_0 \times \lambda^G)$ . Let  $E: L^{\infty}(X \times X \times Z_0^G) \to L^{\infty}(X)$  be the conditional expectation that is uniquely determined by  $\mu_1 \circ E = \mu_1 \times \mu_0 \times \lambda^G$ . By [MV20, Lemma 5.2] we have that  $\lim_{n\to\infty} ||(E \circ \Psi_*)(f_n) - \Psi_*(f_n)||_2 = 0$ . As  $\Psi$  is measure-preserving we get, in particular, that

$$\lim_{n \to \infty} \|(E \circ \Psi_*)(f_n)\|_2 = 1.$$
(3.9)

Note that if  $\mu_t(f) = 0$  for some  $f \in L^2(X, \mu_t)$ , we have that  $\mu_1((E \circ \Psi_*)(f)) = 0$ . So we can view  $E \circ \Psi_*$  as a bounded operator

$$E \circ \Psi_* \colon L^2(X, \mu_t) \ominus \mathbb{C} 1 \to L^2(X, \mu_1) \ominus \mathbb{C} 1.$$

CLAIM. The bounded operator  $E \circ \Psi_*$ :  $L^2(X, \mu_t) \ominus \mathbb{C}1 \rightarrow L^2(X, \mu_1) \ominus \mathbb{C}1$  has norm strictly less than 1.

The claim is in direct contradiction to (3.9), so we conclude that  $G \curvearrowright (X, \mu_t)$  is strongly ergodic.

*Proof of claim.* For every  $g \in G$ , let  $\varphi_g$  be the map

$$\varphi_g \colon L^2(X_0, \mu_g^t) \to L^2(X_0, \mu_g) \colon \varphi_g(F) = tF + (1-t)\nu(F) \cdot 1.$$

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Then  $E \circ \Psi_*$ :  $L^2(X_0, \mu_t) \to L^2(X, \mu_1)$  is given by the infinite product  $\bigotimes_{g \in G} \varphi_g$ . For every  $g \in G$  we have that

$$\|F\|_{2,\mu_g} = \|(d\mu_g^t/d\mu_g)^{-1/2}F\|_{2,\mu_g^t} \le t^{-1/2}\|F\|_{2,\mu_g^t},$$

so that the inclusion map  $\iota_g \colon L^2(X_0, \mu_g^t) \hookrightarrow L^2(X_0, \mu_g)$  satisfies  $\|\iota_g\| \leq t^{-1/2}$  for every  $g \in G$ . We have that

$$\varphi_g(F) = t(F - \mu_g(F) \cdot 1) + \mu_t(F) \cdot 1 \quad \text{for every } F \in L^2(X_0, \mu_g^t).$$

So if we write  $P_g^t$  for the projection map onto  $L^2(X_0, \mu_g^t) \ominus \mathbb{C}1$ , and  $P_g$  for the projection map onto  $L^2(X_0, \mu_g) \ominus \mathbb{C}1$ , we have that

$$\varphi_g \circ P_g^t = t(P_g \circ \iota_g) \quad \text{for every } g \in G.$$
 (3.10)

For a non-empty finite subset  $\mathcal{F} \subset G$  let  $V(\mathcal{F})$  be the linear subspace of  $L^2(X, \mu_t) \ominus \mathbb{C}1$ spanned by

$$\left(\bigotimes_{g\in\mathcal{F}}L^2(X_0,\mu_g^t)\ominus\mathbb{C}1\right)\otimes\bigotimes_{g\in G\setminus\mathcal{F}}1.$$

Then, using (3.10), we see that

$$\|(E \circ \Psi_*)(f)\|_2 \le t^{|\mathcal{F}|/2} \|f\|_2 \quad \text{for every } f \in V(\mathcal{F}).$$

Since  $\bigoplus_{\mathcal{F} \neq \emptyset} V(\mathcal{F})$  is dense inside  $L^2(X, \mu_t) \ominus \mathbb{C}1$ , we have that

$$\|(E \circ \Psi_*)|_{L^2(X, \mu_t) \cap \mathbb{C}^1}\| \le t^{1/2} < 1.$$

This also concludes the proof of Theorem 3.3.

4. Non-singular Bernoulli actions arising from groups acting on trees: proof of Theorem C

Let *T* be a locally finite tree and choose a root  $\rho \in T$ . Let  $\mu_0$  and  $\mu_1$  be equivalent probability measures on a standard Borel space  $X_0$ . Following [AIM19, §10], we define a family of equivalent probability measures  $(\mu_e)_{e \in E}$  by

$$\mu_e = \begin{cases} \mu_0 & \text{if } e \text{ is oriented towards } \rho, \\ \mu_1 & \text{if } e \text{ is oriented away from } \rho. \end{cases}$$
(4.1)

Let  $G \subset \operatorname{Aut}(T)$  be a subgroup. When  $g \in G$  and  $e \in E$ , the edges e and  $g \cdot e$  are simultaneously oriented towards, or away from  $\rho$ , unless  $e \in E([\rho, g \cdot \rho])$ . As  $E([\rho, g \cdot \rho])$  is finite for every  $g \in G$ , the generalized Bernoulli action

$$G \curvearrowright (X, \mu) = \prod_{e \in E} (X_0, \mu_e) : \quad (g \cdot x)_e = x_{g^{-1} \cdot e}$$
 (4.2)

is non-singular. If we start with a different root  $\rho' \in T$ , let  $(\mu'_e)_{e \in E}$  denote the corresponding family of probability measures on  $X_0$ . Then we have that  $\mu_e = \mu'_e$  for all but finitely many  $e \in E$ , so that the measures  $\prod_{e \in E} \mu_e$  and  $\prod_{e \in E} \mu'_e$  are equivalent. Therefore, up to conjugacy, the action (4.2) is independent of the choice of root  $\rho \in T$ .

LEMMA 4.1. Let T be a locally finite tree such that each vertex  $v \in V(T)$  has degree at least 2. Suppose that  $G \subset \operatorname{Aut}(T)$  is a countable subgroup. Let  $\mu_0$  and  $\mu_1$  be equivalent probability measures on a standard Borel space  $X_0$  and fix a root  $\rho \in T$ . Then the action  $\alpha : G \curvearrowright (X, \mu)$  given by (4.2) is essentially free.

*Proof.* Take  $g \in G \setminus \{e\}$ . It suffices to show that  $\mu(\{x \in X : g \cdot x = x\}) = 0$ . If g is elliptic, there exist disjoint infinite subtrees  $T_1, T_2 \subset T$  such that  $g \cdot T_1 = T_2$ . Note that

$$(X_1, \mu_1) = \prod_{e \in E(T_1)} (X_0, \mu_e)$$
 and  $(X_2, \mu_2) = \prod_{e \in E(T_2)} (X_0, \mu_e)$ 

are non-atomic and that g induces a non-singular isomorphism  $\varphi \colon (X_1, \mu_1) \to (X_2, \mu_2) \colon \varphi(x)_e = x_{g^{-1} \cdot e}$ . We get that

$$\mu_1 \times \mu_2(\{(x, \varphi(x)) : x \in X_1\}) = 0.$$

A fortiori  $\mu(\{x \in X : g \cdot x = x\}) = 0$ . If g is hyperbolic, let  $L_g \subset T$  denote its axis on which it acts by non-trivial translation. Then  $\prod_{e \in E(L_g)} (X_0, \mu_e)$  is non-atomic and by [**BKV19**, Lemma 2.2] the action  $g^{\mathbb{Z}} \curvearrowright \prod_{e \in E(L_g)} (X_0, \mu_e)$  is essentially free. This implies that also  $\mu(\{x \in X : g \cdot x = x\}) = 0$ .

We prove Theorem 4.2 below, which implies Theorem C and also describes the stable type when the action is weakly mixing.

THEOREM 4.2. Let T be a locally finite tree with root  $\rho \in T$ . Let  $G \subset \operatorname{Aut}(T)$  be a closed non-elementary subgroup with Poincaré exponent  $\delta = \delta(G \cap T)$  given by (1.5). Let  $\mu_0$ and  $\mu_1$  be non-trivial equivalent probability measures on a standard Borel space  $X_0$ . Consider the generalized non-singular Bernoulli action  $\alpha : G \cap (X, \mu)$  given by (4.2). Then  $\alpha$  is:

- weakly mixing if  $1 H^2(\mu_0, \mu_1) > \exp(-\delta/2)$ ;
- *dissipative up to compact stabilizers if*  $1 H^2(\mu_0, \mu_1) < \exp(-\delta/2)$ .

Let  $G \curvearrowright (Y, v)$  be an ergodic pmp action and let  $\Lambda \subset \mathbb{R}$  be the smallest closed subgroup that contains the essential range of the map

$$X_0 \times X_0 \to \mathbb{R}$$
:  $(x, x') \mapsto \log(d\mu_0/d\mu_1)(x) - \log(d\mu_0/d\mu_1)(x')$ .

Let  $\Delta: G \to \mathbb{R}_{>0}$  denote the modular function and let  $\Sigma$  be the smallest subgroup generated by  $\Lambda$  and  $\log(\Delta(G))$ .

Suppose that  $1 - H^2(\mu_0, \mu_1) > \exp(-\delta/2)$ . Then the Krieger flow and the flow of weights of  $\beta : G \curvearrowright X \times Y$  are determined by  $\Lambda$  and  $\Sigma$  as follows.

- If Λ (respectively, Σ) is trivial, then the Krieger flow (respectively, flow of weights) is given by ℝ ~ ℝ.
- (2) If  $\Lambda$  (respectively,  $\Sigma$ ) is dense, then the Krieger flow (respectively, flow of weights) is trivial.
- (3) If  $\Lambda$  (respectively,  $\Sigma$ ) equals  $a\mathbb{Z}$ , with a > 0, then the Krieger flow (respectively, flow of weights) is given by  $\mathbb{R} \cap \mathbb{R}/a\mathbb{Z}$ .

In general, we do not know the behaviour of the action (4.2) in the critical situation  $1 - H^2(\mu_0, \mu_1) = \exp(-\delta/2)$ . However, if *T* is a regular tree and  $G \cap T$  has full Poincaré exponent, we prove in Proposition 4.3 below that the action is dissipative up to compact stabilizers. This is similar to [AIM19, Theorems 8.4 and 9.10].

PROPOSITION 4.3. Let T be a q-regular tree with root  $\rho \in T$  and let  $G \subset \operatorname{Aut}(T)$  be a closed subgroup with Poincaré exponent  $\delta = \delta(G \curvearrowright T) = \log(q-1)$ . Let  $\mu_0$  and  $\mu_1$  be equivalent probability measures on a standard Borel space  $X_0$ .

If  $1 - H^2(\mu_0, \mu_1) = (q - 1)^{-1/2}$ , then the action (4.2) is dissipative up to compact stabilizers.

Interesting examples of actions of the form (4.2) arise when  $G \subset \operatorname{Aut}(T)$  is the free group on a finite set of generators acting on its Cayley tree. In that case, following [AIM19, §6] and [MV20, Remark 5.3], we can also give a sufficient criterion for strong ergodicity.

PROPOSITION 4.4. Let the free group  $\mathbb{F}_d$  on  $d \ge 2$  generators act on its Cayley tree *T*. Let  $\mu_0$  and  $\mu_1$  be equivalent probability measures on a standard Borel space  $X_0$ . Then the action (4.2) dissipative if  $1 - H^2(\mu_0, \mu_1) \le (2d - 1)^{-1/2}$  and weakly mixing and non-amenable if  $1 - H^2(\mu_0, \mu_1) > (2d - 1)^{-1/2}$ . Furthermore, the action (4.2) is strongly ergodic when  $1 - H^2(\mu_0, \mu_1) > (2d - 1)^{-1/4}$ .

The proof of Theorem 4.2 below is similar to that of [LP92, Theorem 4] and [AIM19, Theorems 10.3 and 10.4]

*Proof of Theorem 4.2.* Define a family  $(X_e)_{e \in E}$  of independent random variables on  $(X, \mu) = \prod_{e \in E} (X_0, \mu_e)$  by

$$X_e(x) = \begin{cases} \log(d\mu_1/d\mu_0)(x_e) & \text{if } e \text{ is oriented towards } \rho, \\ \log(d\mu_0/d\mu_1)(x_e) & \text{if } e \text{ is oriented away from } \rho. \end{cases}$$
(4.3)

For  $v \in T$  we write

$$S_v = \sum_{e \in E([\rho, v])} X_e$$

Then we have that

$$\frac{dg\mu}{d\mu} = \exp(S_{g \cdot \rho}) \quad \text{for every } g \in G.$$

Since  $G \subset \operatorname{Aut}(T)$  is a closed subgroup, for each  $v \in T$  the stabilizer subgroup  $G_v = \{g \in G : g \cdot v = v\}$  is a compact open subgroup of G.

Suppose that  $1 - H^2(\mu_0, \mu_1) < \exp(-\delta/2)$ . Then we have that

$$\int_X \sum_{v \in G \cdot \rho} \exp(S_v(x)/2) \, d\mu(x) = \sum_{v \in G \cdot \rho} (1 - H^2(\mu_0, \mu_1))^{2d(\rho, v)} < +\infty,$$

by definition of the Poincaré exponent. Therefore, we have that  $\sum_{v \in G \cdot \rho} \exp(S_v(x)/2) < +\infty$  for a.e.  $x \in X$ . Let  $\lambda$  denote the left invariant Haar measure on *G* and define  $L = \lambda(G_\rho)$ , where  $G_\rho = \{g \in G : g \cdot \rho = \rho\}$ . Then we have that

$$\int_{G} \frac{dg\mu}{d\mu}(x) \, d\lambda(g) = L \sum_{v \in G \cdot \rho} \exp(S_v(x)) < +\infty \quad \text{for a.e. } x \in X.$$

We conclude that  $G \curvearrowright (X, \mu)$  is dissipative up to compact stabilizers.

Now assume that  $1 - H^2(\mu_0, \mu_1) > \exp(-\delta/2)$ . We start by proving that  $G \curvearrowright (X, \mu)$  is infinitely recurrent. By [AIM19, Theorem 8.17] we can find a non-elementary closed compactly generated subgroup  $G' \subset G$  such that  $1 - H^2(\mu_0, \mu_1) > \exp(-\delta(G')/2)$ . Let  $T' \subset T$  be the unique minimal G'-invariant subtree. Then G' acts cocompactly on T' and we have that  $\delta(G') = \dim_H \partial T'$ . Let X and Y be independent random variables with distributions  $(\log d\mu_1/d\mu_0)_*\mu_0$  and  $(\log d\mu_0/d\mu_1)_*\mu_1$ , respectively. Set Z = X + Y and write

$$\varphi(t) = \mathbb{E}(\exp(tZ))$$

The assignment  $t \mapsto \varphi(t)$  is convex,  $\varphi(t) = \varphi(1-t)$  for every t and  $\varphi(1/2) = (1 - H^2(\mu_0, \mu_1))^2$ . We conclude that

$$\inf_{t\geq 0}\varphi(t) = (1 - H^2(\mu_0, \mu_1))^2.$$

Write  $R_k$  for the sum of k independent copies of Z. By the Chernoff–Cramér theorem, as stated in [LP92], there exists an  $M \in \mathbb{N}$  such that

$$\mathbb{P}(R_M \ge 0) > \exp(-M\delta(G')). \tag{4.4}$$

Below we define a new *unoriented* tree S. This means that the edge set of S consists of subsets  $\{v, w\} \subset V(S)$ . Fix a vertex  $\rho' \in T'$  and define the unoriented tree S as follows.

- S has vertices  $v \in T'$  so that  $d_{T'}(\rho', v)$  is divisible by M.
- There is an edge  $\{v, w\} \in E(S)$  between two vertices  $v, w \in S$  if  $d_{T'}(v, w) = M$  and  $[\rho', v]_{T'} \subset [\rho', w]_{T'}$ .

Here the notation  $[\rho', v]_{T'}$  means that we consider the line segment  $[\rho', v]$  as a subtree of T'. We have that  $\dim_H \partial S = M \dim_H \partial T' = M\delta(G')$ . Form a random subgraph S(x) of S by deleting those edges  $\{v, w\} \in E(S)$  where

$$\sum_{e \in E([v,w]_{T'})} X_e(x_e) < 0.$$

This is an edge percolation on *S*, where each edge remains with probability  $p = \mathbb{P}(R_M \ge 0)$ . So by (4.4) we have that  $p \exp(\dim_H S) > 1$ . Furthermore, if  $\{v, w\}$  and  $\{v', w'\}$  are edges of *S* so that  $E([v, w]_{T'}) \cap E([v', w']_{T'}) = \emptyset$ , their presence in S(x) constitutes independent events. So the percolation process is a quasi-Bernoulli percolation as introduced in [Lyo89]. Taking  $w \in (1, p \exp(\dim_H S))$  and setting  $w_n = w^{-n}$ , it follows from [Lyo89, Theorem 3.1] that percolation occurs almost surely, that is, S(x) contains an infinite connected component for a.e.  $x \in X$ . Writing

$$S'_{v}(x) = \sum_{e \in E([\rho', v]_{T'})} X_{e}(x_{e}),$$

this means that for a.e.  $x \in (X, \mu)$  we can find a constant  $a_x > -\infty$  such that  $S'_v(x) > a_x$  for infinitely many  $v \in T'$ . As T'/G' is finite, there exists a vertex  $w \in T'$  such that

$$\sum_{v \in G' \cdot w} \exp(S'_v(x)) = +\infty \quad \text{with positive probability.}$$
(4.5)

Therefore, by Kolmogorov's zero-one law, we have that  $\sum_{v \in G' \cdot w} \exp(S'_v(x)) = +\infty$  almost surely. Since a change of root results in a conjugate action, we may assume that  $\rho = w$ . Then (4.5) implies that  $\sum_{v \in G \cdot \rho} \exp(S_v(x)) = +\infty$  for a.e.  $x \in X$ . Writing again L for the Haar measure of the stabilizer subgroup  $G_\rho = \{g \in G : g \cdot \rho = \rho\}$ , we see that

$$\int_{G} \frac{dg\mu}{d\mu} d\lambda(g) = L \sum_{v \in G \cdot \rho} \exp(S_{v}) = +\infty \quad \text{almost surely.}$$

We conclude that  $G \curvearrowright (X, \mu)$  is infinitely recurrent. We prove that  $G \curvearrowright (X, \mu)$  is weakly mixing using a phase transition result from the previous section. Define the measurable map

$$\psi: X_0 \to (0, 1]: \quad \psi(x) = \min\{d\mu_1/d\mu_0(x), 1\}.$$

Let v be the probability measure on  $X_0$  determined by

$$\frac{d\nu}{d\mu_0}(x) = \rho^{-1}\psi(x) \quad \text{where } \rho = \int_{X_0} \psi(x) \, d\mu_0(x).$$

Then we have that  $\nu \sim \mu_0$  and for every  $s > 1 - \rho$  the probability measures

$$\eta_0^s = s^{-1}(\mu_0 - (1 - s)\nu)$$
  
$$\eta_1^s = s^{-1}(\mu_1 - (1 - s)\nu)$$

are well defined. We consider the non-singular actions  $G \cap (X, \eta_s) = \prod_{e \in E} (X_0, \eta_e^s)$ , where

$$\eta_e^s = \begin{cases} \eta_0^s & \text{if } e \text{ is oriented towards } \rho, \\ \eta_1^s & \text{if } e \text{ is oriented away from } \rho. \end{cases}$$

By the dominated convergence theorem we have that  $H^2(\eta_0^s, \eta_1^s) \to H^2(\mu_0, \mu_1)$  as  $s \to 1$ . So we can choose *s* close enough to 1, but not equal to 1, such that  $1 - H^2(\eta_0^s, \eta_1^s) > \exp(-\delta/2)$ . By the first part of the proof we have that  $G \curvearrowright (X, \eta_s)$  is infinitely recurrent. Note that

$$\mu_i = (1 - s)\nu + s\eta_i^s$$
 for  $j = 0, 1$ .

Since we assumed that  $G \subset \operatorname{Aut}(T)$  is closed, all the stabilizer subgroups  $G_v = \{g \in G : g \cdot v = v\}$  are compact. By Remark 3.4 we conclude that  $G \curvearrowright (X, \mu)$  is weakly mixing.

Let  $G \curvearrowright (Y, \nu)$  be an ergodic pmp action. To determine the Krieger flow and the flow of weights of  $\beta \colon G \curvearrowright X \times Y$  we use a similar approach to [AIM19, Theorem 10.4] and [VW17, Proposition 7.3]. First we determine the Krieger flow and then we deal with the flow of weights.

As before, let  $G' \subset G$  be a non-elementary compactly generated subgroup such that  $1 - H^2(\mu_0, \mu_1) > \exp(-\delta(G')/2)$ . By [AIM19, Theorem 8.7] we may assume that G/G' is not compact. Let  $T' \subset T$  be the minimal G'-invariant subtree. Let  $v \in T'$  be as in Lemma 4.5 below so that

$$\bigcap_{g \in G} \left( E(gT') \cup E([v, g^{-1} \cdot v]) \right) = \emptyset.$$
(4.6)

Since changing the root yields a conjugate action, we may assume that  $\rho = v$ . Let  $(Z_0, \zeta_0)$  be a standard probability space such that there exist measurable maps  $\theta_0, \theta_1 \colon Z_0 \to X_0$  that satisfy  $(\theta_0)_*\zeta_0 = \mu_0$  and  $(\theta_1)_*\zeta_0 = \mu_1$ . Write

$$(Z, \zeta) = \prod_{e \in E(T) \setminus E(T')} (Z_0, \zeta_0),$$
  

$$(X_1, \rho_1) = \prod_{e \in E(T) \setminus E(T')} (X_0, \mu_e),$$
  

$$(X_2, \rho_2) = \prod_{e \in E(T')} (X_0, \mu_e).$$

By the first part of the proof we have that  $G' \curvearrowright (X_2, \rho_2)$  is infinitely recurrent. Define the pmp map

$$\Psi \colon (Z, \zeta) \to (X_1, \rho_1) \colon \quad (\Psi(z))_e = \begin{cases} \theta_0(z_e) & \text{if } e \text{ is oriented towards } \rho, \\ \theta_1(z_e) & \text{if } e \text{ is oriented away from } \rho. \end{cases}$$

Consider

$$U = \{e \in E(T) : e \text{ is oriented towards } \rho\}.$$

Since  $gU \triangle U = E(T)([\rho, g \cdot \rho]) \subset E(T')$  for any  $g \in G'$ , the set  $(E(T) \setminus E(T')) \cap U$  is G'-invariant. Therefore,  $\Psi$  is a G'-equivariant factor map. Consider the Maharam extensions

$$G' \cap Z \times X_2 \times Y \times \mathbb{R}$$
 and  $G \cap X \times Y \times \mathbb{R}$ 

of the diagonal actions  $G' \cap Z \times X_2 \times Y$  and  $G' \cap X \times Y \times \mathbb{R}$ , respectively. Identifying  $(X, \mu) = (X_1, \rho_1) \times (X_2, \rho_2)$ , we obtain a G'-equivariant factor map

$$\Phi\colon Z\times X_2\times Y\times \mathbb{R}\to X_1\times X_2\times Y\times \mathbb{R}:\quad \Phi(z,x,y,t)=(\Psi(z),x,y,t).$$

Take  $F \in L^{\infty}(X \times Y \times \mathbb{R})^G$ . By [AIM19, Proposition A.33] the Maharam extension  $G' \curvearrowright X_2 \times Y \times \mathbb{R}$  is infinitely recurrent. Since  $G' \curvearrowright Z$  is a mixing pmp generalized Bernoulli action we have that  $F \circ \Phi \in L^{\infty}(Z \times X_2 \times Y \times \mathbb{R})^G \subset 1 \otimes L^{\infty}(X_2 \times Y \times \mathbb{R})^G$  by [SW81, Theorem 2.3]. Therefore, F is essentially independent of the  $E(T) \setminus E(T')$ -coordinates. Thus, for any  $g \in G$  the assignment

$$(x, y, t) \mapsto F(g \cdot x, y, t) = F(x, y, t - \log(dg^{-1}\mu/d\mu)(x))$$

is essentially independent of the  $E(T) \setminus E(gT')$ -coordinates. Since  $\log(dg^{-1}\mu/d\mu)$  only depends on the  $E([\rho, g^{-1} \cdot \rho])$ -coordinates, we deduce that *F* is essentially independent of

the  $E(T) \setminus (E(gT') \cup E([\rho, g^{-1} \cdot \rho]))$ -coordinates, for every  $g \in G$ . Therefore, by (4.6), we have that  $F \in 1 \otimes L^{\infty}(Y \times \mathbb{R})$ .

So we have proven that any *G*-invariant function  $F \in L^{\infty}(X \times Y \times \mathbb{R})$  is of the form F(x, y, t) = H(y, t), for some  $H \in L^{\infty}(Y \times \mathbb{R})$  that satisfies

$$H(y,t) = H(g \cdot y, t + \log(dg^{-1}\mu/d\mu)(x)) \text{ for a.e. } (x, y, t) \in X \times Y \times \mathbb{R}.$$

Since 0 is in the essential range of the maps  $\log(dg\mu/d\mu)$ , for every  $g \in G$ , we see that  $H(g \cdot y, t) = H(y, t)$  for a.e.  $(y, t) \in Y \times \mathbb{R}$ . By ergodicity of  $G \cap Y$ , we conclude that *H* is of the form H(y, t) = P(t), for some  $P \in L^{\infty}(\mathbb{R})$  that satisfies

$$P(t) = P(t + \log(dg^{-1}\mu/d\mu)(x)) \text{ for a.e. } (x, t) \in X \times \mathbb{R}, \text{ for every } g \in G.$$
(4.7)

Let  $\Gamma \subset \mathbb{R}$  be the subgroup generated by the essential ranges of the maps  $\log(dg\mu/d\mu)$ , for  $g \in G$ . If  $\Gamma = \{0\}$  we can identify  $L^{\infty}(X \times Y \times \mathbb{R})^G \cong L^{\infty}(\mathbb{R})$ . If  $\Gamma \subset \mathbb{R}$  is dense, then it follows that *P* is essentially constant so that the Maharam extension  $G \curvearrowright X \times Y \times$  $\mathbb{R}$  is ergodic, that is, the Krieger flow of  $G \curvearrowright X \times Y$  is trivial. If  $\Gamma = a\mathbb{Z}$ , with a > 0, we conclude by (4.7) that we can identify  $L^{\infty}(X \times Y \times \mathbb{R})^G \cong L^{\infty}(\mathbb{R}/a\mathbb{Z})$ , so that the Krieger flow of  $G \curvearrowright X \times Y$  is given by  $\mathbb{R} \curvearrowright \mathbb{R}/a\mathbb{Z}$ . Finally, note that the closure of  $\Gamma$ equals the closure of the subgroup generated by the essential range of the map

$$X_0 \times X_0 \to \mathbb{R}: \quad (x, x') \mapsto \log(d\mu_0/d\mu_1)(x) - \log(d\mu_0/d\mu_1)(x').$$

So we have calculated the Krieger flow in every case, concluding the proof of the theorem in the case where G is unimodular.

When *G* is not unimodular, let  $G_0 = \ker \Delta$  be the kernel of the modular function. Let  $G \curvearrowright X \times Y \times \mathbb{R}$  be the modular Maharam extension and let  $\alpha : G_0 \curvearrowright X \times Y \times \mathbb{R}$  be its restriction to the subgroup  $G_0$ . Then we have that

$$L^{\infty}(X \times Y \times \mathbb{R})^G \subset L^{\infty}(X \times Y \times \mathbb{R})^{\alpha}.$$

By [AIM19, Theorem 8.16] we have that  $\delta(G_0) = \delta$ , and we can apply the argument above to conclude that  $L^{\infty}(X \times Y \times \mathbb{R})^{\alpha} \subset 1 \otimes 1 \otimes L^{\infty}(\mathbb{R})$ . So for every  $F \in L^{\infty}(X \times Y \times \mathbb{R})^{G}$  there exists a  $P \in L^{\infty}(\mathbb{R})$  such that

$$P(t) = P(t + \log(dg^{-1}\mu/d\mu)(x) + \log(\Delta(g))) \text{ for a.e. } (x, t) \in X \times \mathbb{R}, \text{ for every } g \in G.$$
(4.8)

Let  $\Pi$  be the subgroup of  $\mathbb{R}$  generated by the essential range of the maps

$$x \mapsto \log(dg^{-1}\mu/d\mu)(x) + \log(\Delta(g))$$
 with  $g \in G$ .

As 0 is contained in the essential range of  $\log(dg^{-1}\mu/d\mu)$ , for every  $g \in G$ , we get that  $\log(\Delta(G)) \subset \Pi$ . Therefore,  $\Pi$  also contains the subgroup  $\Gamma \subset \mathbb{R}$  defined above. Thus, the closure of  $\Pi$  equals the closure of  $\Sigma$ , where  $\Sigma \subset \mathbb{R}$  is the subgroup as in the statement of the theorem. From (4.8) we conclude that we may identify  $L^{\infty}(X \times Y \times \mathbb{R})^G \cong L^{\infty}(\mathbb{R})^{\Sigma}$ , so that the flow of weights of  $G \curvearrowright X \times Y$  is as stated in the theorem.  $\Box$ 

LEMMA 4.5. Let T be a locally finite tree and let  $G \subset Aut(T)$  be a closed subgroup. Suppose that  $H \subset G$  is a closed compactly generated subgroup that contains a hyperbolic element and assume that G/H is not compact. Let  $S \subset T$  be the unique minimal H-invariant subtree. Then there exists a vertex  $v \in S$  such that

$$\bigcap_{g \in G} \left( gS \cup [v, g^{-1} \cdot v] \right) = \{v\}.$$

$$(4.9)$$

*Proof.* Let  $k \in H$  be a hyperbolic element and let  $L \subset T$  be its axis, on which k acts by a non-trivial translation. Then  $L \subset S$ , as one can show for instance as in the proof of [CM11, Proposition 3.8]. Pick any vertex  $v \in L$ . We claim that this vertex will satisfy (4.9). Take any  $w \in V(T) \setminus \{v\}$ . As G/H is not compact, one can show as in [AIM19, Theorem 9.7] that there exists a  $g \in G$  such that  $g \cdot w \notin S$ . Since k acts by translation on L, there exists an  $n \in \mathbb{N}$  large enough such that

$$[v, k \cdot v] \subset [v, k^n g \cdot v]$$
 and  $[v, k^{-1} \cdot v] \subset [v, k^{-n} g \cdot v]$ 

so that in particular we have that  $w \notin [v, k^n g \cdot v] \cap [v, k^{-n} g \cdot v] = \{v\}$ . Since *S* is *H*-invariant, we also have that  $k^n g \cdot w \notin S$  and  $k^{-n} g \cdot w \notin S$  and we conclude that

$$w \notin ((k^{n}g)^{-1}S \cup [v, k^{n}g \cdot v]) \cap ((k^{-n}g)^{-1}S \cup [v, k^{-n}g \cdot v]).$$

*Proof of Proposition 4.3.* Define the family  $(X_e)_{e \in E}$  of independent random variables on  $(X, \mu)$  by (4.3) and write

$$S_v = \sum_{e \in E([\rho, v])} X_e$$

CLAIM. There exists a  $\delta > 0$  such that

$$\mu(\{x \in X : S_v(x) \le -\delta \quad \text{for every } v \in T \setminus \{\rho\}\}) > 0.$$

*Proof of claim.* Note that  $\mathbb{E}(\exp(X_e/2)) = 1 - H^2(\mu_0, \mu_1)$  for every  $e \in E$ . Define a family of random variables  $(W_n)_{n>0}$  on  $(X, \mu)$  by

$$W_n = \sum_{\substack{v \in T \\ d(v,\rho) = n}} \exp(S_v/2).$$

Using that  $1 - H^2(\mu_0, \mu_1) = (q - 1)^{-1/2}$ , one computes that

$$\mathbb{E}(W_{n+1}| S_v, d(v, \rho) \le n) = W_n \text{ for every } n \ge 1.$$

So the sequence  $(W_n)_{n\geq 0}$  is a martingale, and since it is positive it converges almost surely to a finite limit when  $n \to +\infty$ . Write  $\Sigma_n = \{v \in T : d(v, \rho) = n\}$ . As  $W_n \ge \max_{v \in \Sigma_n} \exp(S_v/2)$  we conclude that there exists a positive constant  $C < +\infty$ such that

$$\mathbb{P}(S_v \leq C \text{ for every } v \in T) > 0.$$

For any vertex  $w \in T$ , write  $T_w = \{v \in T : [\rho, w] \subset [\rho, v]\}$ : the set of children of w, including w itself. Using the symmetry of the tree and changing the root from  $\rho$  to  $w \in T$ , we also have that

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$$\mathbb{P}(S_v - S_w \le C \text{ for every } v \in T_w) > 0 \quad \text{for every } w \in T.$$
(4.10)

Set  $v_0 = (\log d\mu_1/d\mu_0)_*\mu_0$  and  $v_1 = (\log d\mu_0/d\mu_1)_*\mu_1$ . Because  $1 - H^2(\mu_0, \mu_1) \neq 0$ we have that  $\mu_0 \neq \mu_1$ , so that there exists a  $\delta > 0$  such that

$$\nu_0 * \nu_1((-\infty, -\delta)) > 0.$$

Here  $v_0 * v_1$  denotes the convolution product of  $v_0$  with  $v_1$ . Therefore, there exists  $N \in \mathbb{N}$  large enough such that

$$\mathbb{P}(S_w \le -C - \delta \text{ for every } w \in \Sigma_N \text{ and } S_{w'} \le -\delta \text{ for every } w' \in \Sigma_n \text{ with } n \le N) > 0.$$
(4.11)

Since for any  $w \in \Sigma_N$  and  $w' \in \Sigma_n$  with  $n \le N$ , we have that  $S_v - S_w$  is independent of  $S_{w'}$  for every  $v \in T_w$ , and since  $\Sigma_N$  is a finite set, it follows from (4.10) and (4.11) that

$$\mathbb{P}(S_v \le -\delta \quad \text{for every } v \in T \setminus \{\rho\}) > 0.$$

This concludes the proof of the claim.

Let  $\delta > 0$  be as in the claim and define

$$\mathcal{U} = \{ x \in X : S_v(x) \le -\delta \text{ for every } v \in T \setminus \{\rho\} \},\$$

so that  $\mu(\mathcal{U}) > 0$ . Let  $G_{\rho}$  be the stabilizer subgroup of  $\rho$ . Note that for every  $g, h \in G$  we have that  $S_{hg \cdot \rho}(x) = S_{g \cdot \rho}(h^{-1} \cdot x) + S_{h \cdot \rho}(x)$  for a.e.  $x \in X$ , so that for  $h \in G$  we have that

$$h \cdot \mathcal{U} \subset \{x \in X : S_{hg \cdot \rho}(x) \leq -\delta + S_{h \cdot \rho}(x) \text{ for every } g \notin G_{\rho}\}.$$

It follows that if  $h \notin G_{\rho}$ , we have that

$$\mathcal{U} \cap h \cdot \mathcal{U} \subset \{x \in X : S_{h \cdot \rho}(x) \leq -\delta \text{ and } S_{h \cdot \rho}(x) \geq \delta\} = \emptyset.$$

Since  $G \subset \operatorname{Aut}(T)$  is closed, we have that  $G_{\rho}$  is compact. So the action  $G \curvearrowright (X, \mu)$  is not infinitely recurrent. Let  $\lambda$  denote the left invariant Haar measure on G. By an adaptation of the proof of [**BV20**, Proposition 4.3], the set

$$D = \left\{ x \in X : \int_G \frac{dg\mu}{d\mu}(x) \, d\lambda(g) < +\infty \right\} = \left\{ x \in X : \int_G \exp(S_{g \cdot \rho}(x)) \, d\lambda(g) < +\infty \right\}$$

satisfies  $\mu(D) \in \{0, 1\}$ . Since  $G \curvearrowright (X, \mu)$  is not infinitely recurrent, it follows from [AIM19, Proposition A.28] that  $\mu(D) > 0$ , so that we must have that  $\mu(D) = 1$ . By [AIM19, Theorem A.29] the action  $G \curvearrowright (X, \mu)$  is dissipative up to compact stabilizers.

We use a similar approach to [MV20, §6] in the proof of Proposition 4.4.

*Proof of Proposition 4.4.* It follows from Theorem 4.2 and Proposition 4.3 that the action  $G \curvearrowright (X, \mu)$ , given by (4.2), is dissipative when  $1 - H^2(\mu_0, \mu_1) \le (2d - 1)^{-1/2}$  and weakly mixing when  $1 - H^2(\mu_0, \mu_1) > (2d - 1)^{-1/2}$ . So it remains to show that  $G \curvearrowright (X, \mu)$  is non-amenable when  $1 - H^2(\mu_0, \mu_1) > (2d - 1)^{-1/2}$  and strongly ergodic when  $1 - H^2(\mu_0, \mu_1) > (2d - 1)^{-1/2}$ .

Assume first that  $1 - H^2(\mu_0, \mu_1) > (2d - 1)^{-1/2}$ . By taking the kernel of a surjective homomorphism  $\mathbb{F}_d \to \mathbb{Z}$  we find a normal subgroup  $H_1 \subset \mathbb{F}_d$  that is free on infinitely many generators. By [**RT13**, Théorème 0.1] we have that  $\delta(H_1) = (2d - 1)^{-1/2}$ . Then, using [**Sul79**, Corollary 6], we can find a finitely generated free subgroup  $H_2 \subset H_1$  such that  $H_1 = H_2 * H_3$  for some free subgroup  $H_3 \subset H_1$  and such that  $1 - H^2(\mu_0, \mu_1) >$  $\exp(-\delta(H_2)/2)$ . Let  $\psi : H_1 \to H_3$  be the surjective group homomorphism uniquely determined by

$$\psi(h) = \begin{cases} e & \text{if } h \in H_2, \\ h & \text{if } h \in H_3. \end{cases}$$

We set  $N = \ker \psi$ , so that  $H_2 \subset N$  and we get that  $1 - H^2(\mu_0, \mu_1) > \exp(-\delta(N)/2)$ . Therefore,  $N \curvearrowright (X, \mu)$  is ergodic by Theorem 4.2. Also we have that  $H_1/N \cong H_3$ , which is a free group on infinitely many generators. Therefore,  $H_1 \curvearrowright (X, \mu)$  is non-amenable by [**MV20**, Lemma 6.4]. A posteriori also  $\mathbb{F}_d \curvearrowright (X, \mu)$  is non-amenable.

Let  $\pi$  be the Koopman representation of the action  $\mathbb{F}_d \curvearrowright (X, \mu)$ :

$$\pi: G \curvearrowright L^2(X,\mu): \quad (\pi_g(\xi))(x) = \left(\frac{dg\mu}{d\mu}(x)\right)^{1/2} \xi(g^{-1} \cdot x)$$

CLAIM. If  $1 - H^2(\mu_0, \mu_1) > (2d - 1)^{-1/4}$ , then  $\pi$  is not weakly contained in the left regular representation.

*Proof of claim.* Let  $\eta$  denote the canonical symmetric measure on the generator set of  $\mathbb{F}_d$  and define

$$P = \sum_{g \in \mathbb{F}_d} \eta(g) \pi_g.$$

The  $\eta$ -spectral radius of  $\alpha : \mathbb{F}_d \curvearrowright (X, \mu)$ , which we denote by  $\rho_{\eta}(\alpha)$ , is by definition the norm of *P*, as a bounded operator on  $L^2(X, \mu)$ . By [AIM19, Proposition A.11] we have that

$$\begin{aligned} \rho_{\eta}(\alpha) &= \lim_{n \to \infty} \langle P^n(1), 1 \rangle^{1/n} \\ &= \lim_{n \to \infty} \left( \sum_{g \in \mathbb{F}_d} \eta^{*n}(g) (1 - H^2(\mu_0, \mu_1))^{2|g|} \right)^{1/n}, \end{aligned}$$

where |g| denotes the word length of a group element  $g \in \mathbb{F}_d$ . By [AIM19, Theorem 6.10] we then have that

$$\rho_{\eta}(\alpha) = \frac{(1 - H^2(\mu_0, \mu_1))^2}{2d} \left( (2d - 1) + (1 - H^2(\mu_0, \mu_1))^{-4} \right)$$
$$-H^2(\mu_0, \mu_1) > (2d - 1)^{-1/4}, \text{ and}$$

$$\rho_{\eta}(\alpha) = \frac{\sqrt{2d-1}}{d}$$

if  $1 - H^2(\mu_0, \mu_1) \le (2d - 1)^{-1/4}$ . Therefore, if  $1 - H^2(\mu_0, \mu_1) > (2d - 1)^{-1/4}$ , we have that  $\rho_\eta(\alpha) > \rho_\eta(\mathbb{F}_d)$ , where  $\rho_\eta(\mathbb{F}_d)$  denotes the  $\eta$ -spectral radius of the left regular

if 1

representation. This implies that  $\alpha$  is not weakly contained in the left regular representation (see, for instance, [AD03, §3.2]).

Now assume that  $1 - H^2(\mu_0, \mu_1) > (2d - 1)^{-1/4}$ . As in the proof of Theorem 4.2 there exist probability measures  $\nu$ ,  $\eta_0$  and  $\eta_1$  on  $X_0$  that are equivalent to  $\mu_0$  and a number  $s \in (0, 1)$  such that

$$\mu_j = (1 - s)v + s\eta_j$$
 for  $j = 0, 1,$ 

and such that  $1 - H^2(\eta_0, \eta_1) > (2d - 1)^{-1/4}$ . Consider the non-singular action

$$\mathbb{F}_d \curvearrowright (X, \eta) = \prod_{e \in E(T)} (X_0, \eta_e) \quad \text{where } \eta_e = \begin{cases} \eta_0 & \text{if } e \text{ is oriented towards } \rho, \\ \eta_1 & \text{if } e \text{ is oriented away from } \rho \end{cases}$$

By Theorem 4.2 the action  $\mathbb{F}_d \curvearrowright (X, \eta)$  is ergodic. Write  $\rho$  for the Koopman representation associated to  $\mathbb{F}_d \curvearrowright (X, \eta)$ . By the claim,  $\rho$  is not weakly contained in the left regular representation. Let  $\lambda$  be the probability measure on  $\{0, 1\}$  given by  $\lambda(0) = s$ . Let  $\rho^0$  be the reduced Koopman representation of the pmp generalized Bernoulli action  $\mathbb{F}_d \curvearrowright (X \times \{0, 1\}^{E(T)}, \nu^{E(T)} \times \lambda^{E(T)})$ . Then  $\rho^0$  is contained in a multiple of the left regular representation. Therefore, as  $\rho$  is not weakly contained in the left regular representation,  $\rho$  is not weakly contained in  $\rho \otimes \rho^0$ .

Define the map

$$\Psi \colon X \times X \times \{0, 1\}^{E(T)} \to X \colon \quad \Psi(x, y, z)_e = \begin{cases} x_e & \text{if } z_e = 0, \\ y_e & \text{if } z_e = 1. \end{cases}$$

Then  $\Psi$  is  $\mathbb{F}_d$ -equivariant and we have that  $\Psi_*(\eta \times \nu^{E(T)} \times \lambda^{E(T)}) = \mu$ . Suppose that  $\mathbb{F}_d \curvearrowright (X, \mu)$  is not strongly ergodic. Then there exists a bounded almost invariant sequence  $f_n \in L^{\infty}(X, \mu)$  such that  $||f_n||_2 = 1$  and  $\mu(f_n) = 0$  for every  $n \in \mathbb{N}$ . Therefore,  $\Psi_*(f_n)$  is a bounded almost invariant sequence for the diagonal action  $\mathbb{F}_d \curvearrowright (X \times X \times \{0, 1\}^{E(T)}, \eta \times \nu^{E(T)} \times \lambda^{E(T)})$ . Let  $E: L^{\infty}(X \times X \times \{0, 1\}^{E(T)}) \rightarrow L^{\infty}(X)$  be the conditional expectation that is uniquely determined by  $\mu \circ E = \eta \times \nu^{E(T)} \times \lambda^{E(T)}$ . By [MV20, Lemma 5.2] we have that  $\lim_{n\to\infty} ||(E \circ \Psi_*)(f_n) - \Psi_*(f_n)||_2 = 0$ , and in particular we get that

$$\lim_{n \to \infty} \| (E \circ \Psi_*)(f_n) \|_2 = 1.$$
(4.12)

But just as in the proof of Theorem 3.3 we have that

$$\left\| (E \circ \Psi_*) \right|_{L^2(X,\mu) \ominus \mathbb{C}1} \right\| < 1,$$

which is in contradiction with (4.12). We conclude that  $\mathbb{F}_d \curvearrowright (X, \mu)$  is strongly ergodic.

Proposition 4.6 below complements Theorem 4.2 by considering groups  $G \subset Aut(T)$  that are not closed. This is similar to [AIM19, Theorem 10.5].

**PROPOSITION 4.6.** Let T be a locally finite tree with root  $\rho \in T$ . Let  $G \subset \operatorname{Aut}(T)$  be an lcsc group such that the inclusion map  $G \to \operatorname{Aut}(T)$  is continuous and such that

 $G \subset \operatorname{Aut}(T)$  is not closed. Write  $\delta = \delta(G \curvearrowright T)$  for the Poincaré exponent given by (1.5). Let  $\mu_0$  and  $\mu_1$  be non-trivial equivalent probability measures on a standard Borel space  $X_0$ . Consider the generalized non-singular Bernoulli action  $\alpha \colon G \curvearrowright (X, \mu)$  given by (4.2). Let  $H \subset \operatorname{Aut}(T)$  be the closure of G. Then the following assertions hold.

• If  $1 - H^2(\mu_0, \mu_1) > \exp(-\delta/2)$ , then  $\alpha$  is ergodic and its Krieger flow is determined by the essential range of the map

$$X_0 \times X_0 \to \mathbb{R}$$
:  $(x, x') \mapsto \log(d\mu_0/d\mu_1)(x) - \log(d\mu_0/d\mu_1)(x')$  (4.13)

as in Theorem 4.2.

 If 1 − H<sup>2</sup>(µ<sub>0</sub>, µ<sub>1</sub>) < exp(−δ/2), then each ergodic component of α is of the form G ∩ H/K, where K is a compact subgroup of H. In particular, there exists a G-invariant σ-finite measure on X that is equivalent to µ.

*Proof.* Let  $H \subset \operatorname{Aut}(T)$  be the closure of *G*. Then  $\delta(H) = \delta$  and we can apply Theorem 4.2 to the non-singular action  $H \curvearrowright (X, \mu)$ .

If  $1 - H^2(\mu_0, \mu_1) > \exp(-\delta/2)$ , then  $H \curvearrowright X$  is ergodic. As  $G \subset H$  is dense, we have that

$$L^{\infty}(X)^G = L^{\infty}(X)^H = \mathbb{C}1,$$

so that  $G \cap X$  is ergodic. Let  $H \cap X \times \mathbb{R}$  be the Maharam extension associated to  $H \cap X$ . Again, as  $G \subset H$  is dense, we have that

$$L^{\infty}(X \times \mathbb{R})^{G} = L^{\infty}(X \times \mathbb{R})^{H}$$

Note that the subgroup generated by the essential ranges of the maps  $\log(dg^{-1}\mu/d\mu)$ , with  $g \in G$ , is the same as the subgroup generated by the essential ranges of the maps  $\log(dh^{-1}\mu/d\mu)$ , with  $h \in H$ . Then one determines the Krieger flow of  $G \curvearrowright X$  as in the proof of Theorem 4.2.

If  $1 - H^2(\mu_0, \mu_1) < \exp(-\delta/2)$ , the action  $H \curvearrowright (X, \mu)$  is dissipative up to compact stabilizers. By [AIM19, Theorem A.29] each ergodic component is of the form  $H \curvearrowright H/K$ for a compact subgroup  $K \subset H$ . Therefore, each ergodic component of  $G \curvearrowright (X, \mu)$  is of the form  $G \curvearrowright H/K$ , for some compact subgroup  $K \subset H$ .

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