Embodying self-compassion within virtual reality and its effects on patients with depression

Caroline J. Falconer, Aitor Rovira, John A. King, Paul Gilbert, Angus Antley, Pasco Fearon, Neil Ralph, Mel Slater and Chris R. Brewin

Self-criticism is known to be one of the major psychological factors that creates vulnerability and influences recovery and maintenance of depression.1,2 Innovative and easily disseminable interventions that address this, and in particular support long-term change for individuals, are urgently needed. Immersive virtual reality can potentially provide this and we report a proof-of-concept study applying one aspect of this technology, virtual embodiment.

When a life-sized virtual body substitutes a person’s real body in immersive virtual reality, it typically generates an illusion of body ownership over the virtual body. The illusion of body ownership is the perceptual illusion that the body is one’s own and relies on a first-person perspective view of the body3,4 and synchrony between touches seen on the virtual body and felt on the real body5 or synchrony between real and virtual body movements through real-time motion capture.6 Recent evidence shows that embodiment has a variety of physiological and psychological consequences that indicate that the person has identified with or taken on attributes of the virtual body, including changes in size perception after embodiment in a child body, and changes in implicit racial attitudes after embodiment in a body with a different skin colour.7,10

We previously developed a scenario designed to exploit the potential of virtual embodiment to increase feelings of self-compassion in healthy individuals high in self-criticism.11 In the first phase of our immersive virtual reality scenario, participants interacted compassionately with a crying virtual child while embodied in a virtual adult body. In the second phase, one group of participants then embodied the child body and could experience a recording of their compassionate gestures and words being delivered to them from this (child) embodied first-person perspective. By having participants embody an adult and then a child virtual body in succession, our scenario effectively provided a self-to-self situation enabling participants to deliver compassionate sentiments and statements to themselves. Consistent with predictions, this condition resulted in a significantly greater increase in self-compassion than a control condition in which participants saw the same gestures and heard the same words but from a non-embodied, third-person perspective. Self-criticism decreased significantly in both conditions.11 The current study uses an uncontrolled case series to assess whether this methodology could also potentially be of benefit in clinical depression.

Method

Experimental design and participants

The study utilised a case series with varying baseline length prior to the intervention. Patients were recruited from a local National Health Service Improving Access to Psychological Therapies service. Inclusion criteria were: meeting DSM-IV criteria for current major depressive disorder, according to the Structured Clinical Interview for DSM-IV,12 and reporting depressive symptoms at a stable level prior to treatment. Exclusion criteria were: psychotic disorders, organic brain disease, high risk of self-harm or suicide, current substance misuse and lack of fluency in English. Of 18 eligible patients who started treatment, 1 did not complete due to time commitments and 1 did not complete because she found hearing her voice played back to her aversive.

The sample comprised ten women and five men, with an average age of 32 years (range 23–61). All were White except for one Asian male. Four patients had had 1–2 previous episodes of depression, and the remainder reported more episodes with an average of 4 (overall range 1–6). Eight patients reported the onset of their first episodes in their late teens, five in their early 20s, and two in their 30s. Ten patients were currently on antidepressant medication, seven were currently receiving psychological therapy, seven were awaiting therapy and one had finished a course of therapy. One patient had comorbid attention-deficit hyperactivity disorder, one comorbid obsessive–compulsive disorder, and one comorbid obsessive–compulsive disorder and an eating disorder.

Measures

Severity of depression

The primary outcome measure was the Patient Health Questionnaire–9 (PHQ-9),13 a measure often used to assess outcomes in psychological treatments for depression.14 It assesses symptoms
over the previous 2 weeks and comprises 9 items scored 0 (‘not at all’), 1 (‘several days’), 2 (‘more than half the days’) or 3 (‘nearly every day’). A reduction of at least 5 points indicated reliable change and this reduction plus a follow-up score of 9 or less indicated clinically significant change. More transient fluctuations in depressed mood during the baseline and intervention periods were assessed with the Zung Self-Rating Depression Scale (SDS).\textsuperscript{16} The Zung SDS comprises 20 items scored 1 (‘a little of the time’), 2 (‘some of the time’), 3 (‘good part of the time’) or 4 (‘most of the time’) and was modified to be rated with respect to the previous 2 days.

Self-Compassion and Self-Criticism Scale
The Self-Compassion and Self-Criticism Scale (SCCS)\textsuperscript{17} consists of five scenarios that are potentially self-threatening and can elicit varying degrees of self-criticism or self-compassion (e.g. ‘You arrive home to find that you have left your keys at work’). Participants are instructed to imagine that these scenarios are happening to them now and rate on 7-point scales from 1 (‘not at all’) to 7 (‘highly’) the extent to which they would react to themselves in a harsh, contemptuous, critical, soothing, reassuring, and compassionate manner. The positive ratings are summed to generate the Self-Compassion Scale (range 15–105) and the negative ratings generate the Self-Criticism Scale (range 15–105). The scale has good internal reliability with Cronbach’s $\alpha$ of 0.91 and 0.87 respectively, and is sensitive to change.\textsuperscript{11,17,18} Mean scores on self-criticism at baseline were markedly elevated relative to the healthy development sample described by Falconer et al.,\textsuperscript{17} $t(426)=3.11$, $P=0.002$. In contrast, self-compassion scores in the patient sample were comparable with the healthy sample, $t(426)=0.52$, $P=0.60$.

Fears of Compassion Scales
Three related scales assess traits consisting of fear of experiencing compassion for oneself (15 items: example, ‘I fear that if I am more self-compassionate I will become a weak person’), fear of receiving compassion from others (13 items: example, ‘When people are kind and compassionate towards me I feel anxious or embarrassed’) and fear of experiencing compassion for others (10 items: example, ‘People will take advantage of me if they see me as too compassionate’).\textsuperscript{13} All items are rated on a 5-point scale from 0 (‘don’t agree at all’) to 4 (‘completely agree’). High internal reliability (coefficient $\alpha$ 0.78–0.85) and validity data have been reported. Comparing this sample’s mean scores at baseline with those of the students reported by Gilbert et al.\textsuperscript{15} indicated that patients had greater fear of compassion for self, $t(15.1)=4.25$, $P<0.001$, and fear of compassion from others, $t(14.7)=4.62$, $P<0.001$, but less fear of compassion for others, $t(15.1)=−2.65$, $P<0.05$.

Virtual Reality Experience Questionnaire
This questionnaire (Appendix) was designed to assess the experience of being in the virtual environment. The question used to assess presence was based on previous virtual reality experiments.\textsuperscript{19} The illusion of body ownership as an adult and as a child were based on two questions (adult session 1 intercorrelation $r(15)=0.69$, $P = 0.004$; child session 1 intercorrelation $r(15)=0.78$, $P = 0.001$), adapted from questions used in earlier virtual reality studies.\textsuperscript{16} Other questions corresponded to aspects of the compassion scenario: recognising the adult as oneself when embodied in the child (3 items, session 1 coefficient $\alpha = 0.66$), and the experience of feeling comforted when embodied in the child (3 items, session 1 coefficient $\alpha = 0.68$). All items were scored on a scale ranging from −3 (‘not at all’) to +3 (‘very much so’), except for the single item on presence where −3 represented ‘I felt like I was in’ the lab taking part in an experiment and 3 represented ‘I felt like I was in’ a room where there was a child crying.

Virtual environment
The virtual room was created using Autodesk 3ds Max. It was an accurate 3D model of the actual room where the experiment was carried out. The virtual furniture (curtain and door) also matched the placement and size of the actual furniture. The only addition was a virtual mirror where the participants could see a reflection of their virtual body in the virtual environment. The virtual characters used, both adult and child, were acquired from Rocket box Studios. The scenario was implemented with the Unity 3D 4 game engine.

The participant’s head was tracked with a 6-DOF InterSense IS-900, a high-rate low-latency tracking system that allows the system to know the participant’s head position and orientation and thus adjust the imagery to their perspective in real time. The rest of the body was tracked with Natural Point’s Optitrack system with 37 light reflective passive markers attached to the participant’s body. Twelve V100 infrared Optitrack cameras captured the tracking volume and body suits from Natural Point were used. To deliver the 3D imagery, we used an nVidiaQuadro 4000 graphics card and annVis SX111 head-mounted display (HMD). It has two screens built in with a resolution of $1280 \times 1024$ each. They provide a total horizontal field of view of 102 degrees. The HMD is designed in such a way that each eye can only see one of the screens. On each frame of the video, the scene is rendered twice, once on each screen taking into account the disparity between the eyes, providing a stable, immersive percept with stereoscopic depth.

Procedure and materials
Participants were screened to determine whether they met study inclusion and exclusion criteria. They then attended an initial session to acquaint them with the virtual reality equipment. They were also presented with a video of the researcher participating in the virtual reality task (Supplementary Video S1).\textsuperscript{11} During this session, they completed the Zung SDS, PHQ-9, SCCS and Fears of Compassion Scales. They were then randomly assigned to baseline measurement periods of 4, 5, 6, 8 or 10 days, on each of which they completed the Zung SDS. Prior to commencing the study, the average Zung SDS score was 51.47 (s.d.=7.90) and the average PHQ-9 score was 17.33 (s.d.=3.42), consistent with the presence of major depression.\textsuperscript{13}

In the second session, participants were given information concerning compassion and task instructions that presented a staged approach to reducing distress based on current knowledge and practice in compassion-focused therapy.\textsuperscript{21} As in Falconer et al.\textsuperscript{11} they were provided with generic sentences that corresponded to each of these three stages (validation, redirection of attention and memory activation) and instructed to memorise them as best they could so as to deliver them slowly, softly and compassionately to the child. Participants practiced their lines with the researcher to ensure that they were comfortable expressing themselves. After this, participants were fitted with the HMD and body tracking suit. Embodiment was achieved through a series of simple exercises lasting approximately 2 min in which they confirmed that the virtual body moved in synchrony with their own movements (visual–motor synchrony) while looking towards themselves directly or in the mirror. Patients were then introduced to the first phase of the scenario, which also lasted approximately 2 min. In this phase, the child was programmed to respond positively to the patient after the delivery of each stage of their compassionate response. The child movements and audio were previously recorded in conjunction with our three-stage compassionate response using a female actor. After their compassionate response, participants were asked to close their eyes to complete the body ownership questions, which were recited to them and their responses were recorded by the researcher.
In the second phase of the scenario, patients were embodied in the child virtual body and were guided through the same exercises as before to become accustomed to their environment from this new perspective and to the different body. Patients then re-experienced their compassionate response from their new perspective as the child. This included a real-time replay for approximately 2 min of their original adult body delivering compassion, which portrayed their own body movements and voice. Patients were instructed to merely stand, look and listen to their compassionate response. Participants then exited the virtual reality and completed the virtual reality experience questions including the body ownership questions relating to their time as the child, and the SCCS.

The immersive virtual reality session was repeated a further two times at approximately weekly intervals. After the third session, the primary and secondary outcome measures were assessed. Four weeks after the last session, patients completed the PHQ-9 and SCCS. They were also asked to rate on a 7-point Likert scale from 1 (‘not at all’) to 7 (‘highly’) the extent to which they would recommend the study to others, and to comment on their experience of immersive virtual reality.

Ethics statement

All procedures and materials were approved through the National Research Ethics Service (London–Stanmore section). Written informed consent was obtained from each patient prior to commencement of the study. All patients were given an inconvenience allowance of £36 for taking part in the study.

Analysis

All variables were approximately normally distributed except for Zung SDS scores at the end of session 3. Changes in the experience of immersive virtual reality (e.g. degree of body ownership) over the three sessions were tested with a series of repeated-measures ANOVAs. To examine trends over time, the main effect test from the ANOVA was replaced by tests of linear and quadratic effects. Similar analyses were employed with the Zung SDS between start and end of baseline, after each intervention session, and at follow-up. For consistency, changes in all primary (PHQ-9) and secondary (SCCS, Fear of Compassion Scales) outcome variables were assessed between baseline, the end of the intervention and follow-up using repeated-measures ANOVA. We were unable to obtain end-of-intervention data from two participants. We therefore repeated the analyses on the entire sample, testing for changes between baseline and follow-up only. These yielded identical findings and are not reported further.

Table 1: Means (standard deviations) of scales measuring the experience of three sessions of immersive virtual reality*1

<table>
<thead>
<tr>
<th>Experience of virtual reality session</th>
<th>Virtual reality session</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Session 1</td>
</tr>
<tr>
<td>Presence</td>
<td>0.77 (0.17)</td>
</tr>
<tr>
<td>Adult embodiment</td>
<td>0.88 (1.67)</td>
</tr>
<tr>
<td>Child embodiment</td>
<td>0.08 (1.87)</td>
</tr>
<tr>
<td>Recognise adult as self</td>
<td>−0.15 (1.14)</td>
</tr>
<tr>
<td>Feels comfortable as child</td>
<td>0.82 (1.30)</td>
</tr>
</tbody>
</table>

*1 Presence is the mean of 1 questionnaire item; Adult embodiment and Child embodiment are the mean of 2 questionnaire items, ‘Recognise adult as self’ is the mean of 3 questionnaire items, ‘Feels comfortable as child’ is the mean of 3 questionnaire items.

Changes in severity of depression, self-criticism and self-compassion

Transient changes in depressed mood over the previous 2 days as measured with the Zung SDS were assessed prior to the study (Mean=54.80, s.d.=4.80) and again after the individual baseline period, after each immersive virtual reality intervention session, and at 4-week follow-up. A repeated-measures ANOVA found no evidence for linear, quadratic or cubic changes in transient mood over these six measurement points, largest F(1,12)=2.27, P=0.16.

The primary outcome measure was the PHQ-9. Scores at baseline, after the immersive virtual reality sessions, and at 4-week follow-up are summarised in Table 2. There was a linear effect of time, F(1,12)=14.04, P=0.003, ηp²=0.54, such that participants became less severely depressed post-intervention but no significant quadratic effect, F(1,12)=0.06, not significant. The within-participant effect size from baseline to follow-up controlling for the dependence between means was 1.11 (Cohen’s d). Of the 15 patients, 5 showed reliable improvement and a further 4 showed clinically significant change.

For self-compassion as measured by the SCCS, there was a significant linear increase in scores, F(1,12)=6.65, P=0.02, ηp²=0.36, and no quadratic effect, F(1,12)=0.69, not significant. Scores at follow-up were significantly greater than those of the development sample reported by Falconer et al.17 t(426)=3.83, P=0.001. Likewise, for self-criticism, there was a significant linear decrease in scores, F(1,12)=23.41, P<0.001, ηp²=0.66, and no quadratic effect, F(1,12)=0.06, not significant. Scores at follow-up were significantly lower than those of the development sample reported by Falconer et al.17 t(426)=3.85, P=0.001.

All the three scales measuring the trait ‘fear of compassion’ showed a linear decrease over the course of the intervention but not to a significant extent. The largest changes were in ‘fear of compassion
for self, $F(1, 12)=2.76, P=0.12, \eta_p^2=0.19$, and in ‘fear of compassion from others’, $F(1, 12)=3.34, P=0.09, \eta_p^2=0.22$. No other linear or quadratic effects were evident, largest $F(1, 12)=0.27$, not significant. At follow-up, patients’ scores on ‘fear of compassion for self’ no longer differed significantly from the student sample of Gilbert et al $^{19}$ (95% CI $–0.38$ to $10.60$).

### Discussion

The most important finding was that there was evidence of significant reductions in depression severity and self-criticism, as well as increases in self-compassion. Second, patients’ initial perception of the immersive virtual reality self-compassion scenario was very similar to that of healthy volunteers we studied previously, and their overall experience of it was positive. Third, repeating perceptions of the immersive virtual reality self-compassion scenario were very similar to that of healthy volunteers we studied previously, and their overall experience of it was positive. Third, repeating perceptions of the immersive virtual reality self-compassion scenario were very similar to that of healthy volunteers we studied previously. (8.53) to 10.60).

Even though in large samples the Zung SDS and PHQ-9 tend to be positively correlated, results for individual patients often show large discrepancies. $^{29}$ One reason for the discrepancy in this study is that whereas the Zung SDS ratings focused on the past 2 days, the PHQ-9 required patients to take a broader perspective and assess their mood over a 2-week period, as required for a diagnosis of clinical depression. The response options of the PHQ-9 were also worded more precisely than those of the Zung SDS, for example, requiring patients to consider whether symptoms were present on more days than not. A plausible interpretation of the discrepancy is that transient feelings of depression as measured by the Zung SDS were stable across the whole intervention, that is, patients continued to experience equally strong negative mood some of the time, but the duration of these negative moods, as measured by the PHQ-9, was shorter. Previous research comparing the PHQ-9 with another commonly used outcome measure (the Beck Depression Inventory II) suggested that both had equivalent sensitivity to change in the context of a clinical trial for depression. $^{30}$ The amount of change patients reported compares favourably with the results of other very brief interventions. $^{31}$

Even if the PHQ-9 results are given greater weight than those involving the Zung SDS, without a control group it cannot be concluded that the intervention is responsible for the clinical improvement. Nevertheless, this possibility is strengthened by the brevity of the intervention relative to patients’ history of depression and by the concurrent improvement in the targeted process variables, namely the increase in patients’ self-compassion and reduction in self-criticism in response to hypothetical situations. It is important to note that these changes did not just result in patients scoring equivalently to the healthy samples used in the development of the SCCS but resulted in substantially higher levels of self-compassion and substantially less self-criticism than this healthy group.

This impression was supported anecdotally by patients’ comments about their experience of treatment. Feedback after completion of the virtual reality sessions and at 1-month follow-up clearly showed a consensus around an ‘enjoyable’, ‘interesting’ and ‘helpful’ experience. Some patients explicitly reported the positive experience. Some patients explicitly reported the positive experience of giving and receiving compassion. Of particular importance for compassion is motivation. $^{17}$ Our participants, by volunteering to take part in the study, were obviously motivated to learn about it, and we can only assume that this motivation, accompanied by the experience we provided, allowed them to act compassionately towards themselves. Our results leave a number of important questions about the key elements of the approach unanswered, however: is it the giving of compassion to a vulnerable other, the receiving of compassion, the experience of receiving compassion that appears to come from the self or the more specific experience of receiving this compassion from a first-person perspective? Our previous data $^{11}$ implicated this last possibility, but the absence of a third-person perspective control condition means that this remains to be established in a patient sample.

Although Zung SDS scores did not indicate any change, the PHQ-9 demonstrated a significant linear decrease over the period from baseline through to follow-up with over half of the patients reporting reliable levels of improvement. The amount of change from baseline to the end of the intervention was similar to the amount of change from the end of the intervention to follow-up, suggesting that immersive virtual reality sessions had an indirect effect on self-perceptions that took time to fully develop. Similar results have been reported for other interventions for depression, such as imagery rescripting. $^{28}$

### Table 2

<table>
<thead>
<tr>
<th>Measurements</th>
<th>Baseline</th>
<th>Post-virtual reality intervention $^b$</th>
<th>4-week follow-up</th>
</tr>
</thead>
<tbody>
<tr>
<td>Patient Health Questionnaire-9</td>
<td>17.54 (3.43)</td>
<td>14.23 (6.09)</td>
<td>11.69 (6.18)</td>
</tr>
<tr>
<td>SCCS: self-criticism</td>
<td>67.53 (23.82)</td>
<td>49.54 (20.44)</td>
<td>34.38 (16.83)</td>
</tr>
<tr>
<td>SCCS: self-compassion</td>
<td>35.54 (19.03)</td>
<td>38.92 (19.28)</td>
<td>52.00 (16.29)</td>
</tr>
<tr>
<td>Fear of compassion for self</td>
<td>29.15 (11.57)</td>
<td>25.38 (8.56)</td>
<td>21.23 (10.51)</td>
</tr>
<tr>
<td>Fear of compassion for others</td>
<td>15.23 (8.53)</td>
<td>15.77 (9.58)</td>
<td>13.77 (9.71)</td>
</tr>
<tr>
<td>Fear of compassion from others</td>
<td>27.69 (9.77)</td>
<td>25.54 (9.03)</td>
<td>21.23 (7.95)</td>
</tr>
<tr>
<td>SCCS: Self-Compassion and Self-Criticism Scale</td>
<td>a. Data missing from two patients at this time point.</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### Notes

$^a$ SCCS, Self-Compassion and Self-Criticism Scale.

$^b$ Fear of compassion  from others $27.69 (9.77)$ 25.54 (9.03) 21.23 (9.71).

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Embodying self-compassion within virtual reality

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benefits of the immersive virtual reality sessions on their mood and arousal, either immediately after the sessions or at follow-up. For example, one patient explicitly stated that the sessions gave her an awareness and acceptance that ‘we are all human and vulnerable and it’s ok to be vulnerable as an adult’. This statement demonstrates the patient’s understanding of compassion, which emphasises suffering as part of the human condition, and the acknowledgement and self-acceptance of one’s own experience within this framework.

A recurrent theme in patients’ feedback concerned the positive experience that immersive virtual reality offered in relation to ‘the self’. Patients commented that it allows you to ‘step out of yourself, apply yourself and “bear witness” to yourself’. These comments are consistent with our intention of creating a scenario to alter the relationship between different aspects of the self, and to counter negative self-attitudes. Similar observations together with the finding that expressions of emotion in human-like avatars are perceived similarly to human emotions are driving a variety of novel assessments and treatments for clinical conditions as diverse as auditory hallucinations, paranoia and pain. These techniques also hold considerable promise for strengthening positive emotions, for example, most recently in the realisation of self–self dialogue where the participant is afforded self-counselling via alternately embodying different bodies.

Key to all psychological therapy is the ability to generalise knowledge to real-world situations. Twelve of the patients explicitly reported thinking about the scenario outside the immersive virtual reality sessions. Of these, some reported merely reflecting on the experience, discussing it with people and how it had or might help them in the future. One person mentioned that she had discussed the study with ‘a couple of dozen people’. Many patients reported that they recalled their immersive virtual reality experience when trying to comfort others. Most importantly, however, numerous patients reported thinking about their experience and applying it to themselves while upset. For example, one patient reported that her participation in the immersive virtual reality helped her to be more self-compassionate when reflecting on herself in her cognitive–behavioural therapy sessions, a process that she had struggled with and found particularly distressing prior to participating.

Depression is a condition characterised by extremely high levels of self-focused attention. It is therefore reasonable to wonder whether the capacity to respond to virtual environments might be correspondingly reduced, but ratings of presence, or susceptibility to the body ownership illusion, were similar to those reported in Falconer et al. No changes were observed in presence generally, or in the sense of identification with the adult virtual body, over the repeated sessions. However, embodiment in the child body was initially lower than in the adult one, subsequently equalising. Both these findings suggest that patients took some time to get used to the idea of embodiment, and particularly in relation to the child virtual body. Consistent with this, there was an increase in reported ability of speaking and influences subsequent real speaking. Clin Psychol Rev 1992; 12: 527–62.

In summary, this study adds to a growing literature, suggesting that the exploitation of new knowledge about the effects of embodiment is likely to be of value to patients with several physical and psychological disorders. Although our experimental setup was expensive, equipment that will mimic it effectively is already becoming widely available to consumers at low cost. Future developments in immersive virtual reality offer an alternative that could reduce or eliminate face-to-face therapist time. Given the importance of social resources in determining the outcome of depression, they may also offer an alternative for those experiencing social isolation typical of this condition.

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References


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Appendix

Items measuring the experience of immersive virtual reality

Presence
I felt like I was in a room where a child was crying as opposed to in a lab taking part in an experiment.

Adult body ownership and agency
I felt as if the body I saw when I looked down was my own body.
I felt as if the body I saw when I looked in the mirror was my own body.

Child body ownership and agency
I felt as if the body I saw when I looked down was my own body.
I felt as if the body I saw when I looked in the mirror was my own body.

Child recognises adult as self
I felt like I was in the child’s role.
I could recognise myself as the adult when I was the child.
I could recognise myself in the movement of the adult when I was the child.

Child sense of being comforted
I felt like I was giving myself compassion when I was the child.
I felt comforted by myself as the adult when I was the child.
I felt reassured by myself as the adult when I was the child.