



Braiding groups of automorphisms and almost-automorphisms of trees

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Abstract. We introduce “braided” versions of self-similar groups and Röver–Nekrashevych groups, and study their finiteness properties. This generalizes work of Aroca and Cumplido, and the first author and Wu, who considered the case when the self-similar groups are what we call “self-identical.” In particular, we use a braided version of the Grigorchuk group to construct a new group called the “braided Röver group,” which we prove is of type F_∞ . Our techniques involve using so-called d -ary cloning systems to construct the groups, and analyzing certain complexes of embedded disks in a surface to understand their finiteness properties.

1 Introduction

In this paper, we introduce and study braided versions of self-similar groups of tree automorphisms and Röver–Nekrashevych groups of tree almost-automorphisms. A subgroup of the group of automorphisms of an infinite rooted regular tree is called “self-similar” if it is “built out of copies of itself” in some sense (see Definition 2.2). The Röver–Nekrashevych group associated with a self-similar group is the group of self-homeomorphisms of the boundary of the tree that locally “look like” the self-similar group (see Definition 3.4). The first example, now called the “Röver group,” was constructed by Röver in [Röv99], using the Grigorchuk group constructed by Grigorchuk in [Gri80, Gri84]. In [Nek04], Nekrashevych constructed Röver–Nekrashevych groups in general, starting with an arbitrary self-similar group.

The braided variants of Röver–Nekrashevych groups we construct here were previously considered by Aroca and Cumplido [AC22] in the special case when the self-similar groups are what we call “self-identical” (Definition 2.3), and these examples were also studied by the first author and Wu in [SW]. These papers are part of a large body of recent work devoted to “braiding” groups in the extended family of Thompson’s groups. The original braided Thompson group $\text{br}V$ was introduced independently by Brin [Bri07] and Dehornoy [Deh06], as a braided version of the classical Thompson group V . Thompson’s group F also has a braided counterpart $\text{br}F$, first considered in [BBCS08]. Thompson’s group T has a number of different “braidings,”

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found, e.g., in [FK08, FK11, Wit19]. Braided versions of the Brin–Thompson groups from [Bri04] were recently constructed by Spahn [Spa]. Finally, Houghton’s groups, which are related to Thompson’s groups, have braided variants due to Degenhardt [Deg00]. The braided Houghton groups along with the braided versions of T from [FK08, FK11] are also studied in [GLU22].

In this paper, we “braid” arbitrary self-similar groups and arbitrary Röver–Nekrashevych groups. In particular, we get a new group that we call the *braided Röver group*, which is a braided variant of the Röver group, i.e., the Röver–Nekrashevych group specifically arising from the Grigorchuk group. (A torsion-free version of the Grigorchuk group that could be called a “braided” version was previously constructed by Grigorchuk in [Gri85]; the braided Grigorchuk group we construct here is slightly different.) To construct braided self-similar groups, we work inside an infinitely iterated wreath product of braid groups, and impose conditions arising from a “braided wreath recursion” on elements (see Definition 2.5). To construct braided Röver–Nekrashevych groups, we use the framework of d -ary cloning systems, developed by the authors and Witzel in [SZ21, WZ18]: we use the braided wreath recursion to produce a d -ary cloning system associated with any braided self-similar group, and then the braided Röver–Nekrashevych group is the resulting Thompson-like group that arises from the d -ary cloning system (see Definition 3.6).

As often happens with new Thompson-like groups, for example, in many of the aforementioned references, it is of interest to understand their finiteness properties. A group is of *type* F_n if it has a classifying space with finite n -skeleton, where a *classifying space* is a connected CW-complex whose fundamental group is the group in question and whose higher homotopy groups are all trivial. Thus, type F_1 is equivalent to finite generation and type F_2 is equivalent to finite presentability. We say *type* F_∞ for type F_n for all n . The classical Thompson’s groups F , T , and V , and the Brin–Thompson groups nV , are all of type F_∞ [BG84, Bro87, FMWZ13], as are all their aforementioned braided variants [BFM+16, GLU22, Spa, Wit19]. There is also a “ribbon braided” variant of V that is of type F_∞ [Thu17]. The Röver group along with some related Röver–Nekrashevych groups are of type F_∞ as well [BM16, FH15, SZ21]. In particular, this includes Röver–Nekrashevych groups arising from self-identical groups [FH15]. Röver–Nekrashevych groups also afforded the first known examples of simple groups of type F_{n-1} but not F_n for arbitrary n , in [SWZ19]. Here, we analyze finiteness properties of braided Röver–Nekrashevych groups, and prove two main results.

Theorem 4.1 *Let $G \leq \text{brAut}(\mathcal{T}_d)$ be braided self-similar. If G is of type F_n then so is $\text{br}V_d(G)$.*

Theorem 5.1 *The braided Röver group is of type F_∞ .*

When G is braided self-identical, Theorem 4.1 was proved by the first author and Wu in [SW], where it was proved that the converse also holds. We prove that the braided Grigorchuk group is (like the original Grigorchuk group) not finitely presentable (Proposition 2.14), so Theorem 5.1 shows that the converse of Theorem 4.1 is not true in general. We remark that it remains an interesting problem for $n \geq 2$ to find explicit examples of G for which Theorem 4.1 applies but the results in [SW] do not, i.e., G is braided self-similar but not braided self-identical. (For example,

when $n = 1$, see Example 2.5 using $\mathbb{Z} \wr \mathbb{Z}$.) In another direction, for G a braided self-similar group and $\pi(G)$ its corresponding (non-braided) self-similar group, it would be interesting to try and relate the finiteness properties of G to those of $\pi(G)$, and the finiteness properties of $\text{br}V_d(G)$ to those of $V_d(\pi(G))$; we leave this for future investigation.

This paper also rectifies a gap in the literature, namely we construct the so-called Stein–Farley complex for a general d -ary cloning system. When $d = 2$, this was done by Witzel and the second author in the original cloning systems paper [WZ18], but for general d -ary cloning systems, introduced in [SZ21], the Stein–Farley complex was only constructed for a special case involving self-similar groups. Here, we officially construct the Stein–Farley complex for an arbitrary d -ary cloning system (Section 4.1). The construction is straightforward, and works essentially by combining the ideas from the two aforementioned special cases, but had not technically been done before. These complexes are a key tool in proving Theorem 4.1.

In order to prove Theorem 5.1, we introduce a new type of complex defined on a surface, which we call the “ $(2, 5/2)$ -disk complex” (see Definition 5.8). A vertex of this complex is an isotopy class of an embedded disk, enclosing two marked points in its interior and either 0 or 1 marked points in its boundary, and a collection of vertices span a simplex whenever the disks are pairwise disjoint or nested. The higher connectivity properties of the descending links in the Stein–Farley complex for the braided Röver group turn out to be informed by those of the $(2, 5/2)$ -disk complex, and this complex seems to be of interest in its own right, given its connection to (braided versions of) the Grigorchuk and Röver groups.

This paper is organized as follows: In Section 2, we recall the background on self-similar groups, and define braided self-similar groups. In Section 3, we recall the background on d -ary cloning systems and Röver–Nekrashevych groups, and define braided Röver–Nekrashevych groups, including the braided Röver group. In Section 4, we construct Stein–Farley complexes for arbitrary d -ary cloning systems (which has technically not been done before), and then focus on the case of braided Röver–Nekrashevych groups to prove Theorem 4.1. Finally, in Section 5, we prove Theorem 5.1, that the braided Röver group is of type F_∞ .

2 Braiding groups of automorphisms of trees

Let $d \in \mathbb{N}$ with $d \geq 2$, let X be a set with d elements, called an *alphabet*, and let X^* be the set of all finite words in X (including the empty word, denoted \emptyset). The infinite rooted d -ary tree, denoted \mathcal{T}_d , naturally has X^* as its vertex set. The root is \emptyset , and given a vertex v , the *children* of v are the vertices of the form vx for $x \in X$.

Definition 2.1 (Automorphism) An *automorphism* of \mathcal{T}_d is a bijection $X^* \rightarrow X^*$ that preserves incidence (and so in particular fixes \emptyset). The group of all automorphisms of \mathcal{T}_d is denoted $\text{Aut}(\mathcal{T}_d)$.

We will be interested in certain subgroups of $\text{Aut}(\mathcal{T}_d)$, called *self-similar groups*. A vast amount of information about self-similar groups can be found in [Nek05]. To define them, we need to view $\text{Aut}(\mathcal{T}_d)$ as an infinitely iterated wreath product,

namely

$$\text{Aut}(\mathcal{T}_d) \cong S_d \wr_X (S_d \wr_X (S_d \wr_X \cdots)),$$

as we will now begin to explain.

Our convention for wreath products is that $S_d \wr_X G := S_d \times G^X$, i.e., the group doing the acting is written on the left. We write the subscript X in \wr_X to emphasize that this is the permutation wreath product coming from $S_d := \text{Symm}(X)$ acting on X . We may also sometimes identify X with $\{1, \dots, d\}$ so that elements of G^X can be conveniently written as tuples (g_1, \dots, g_d) . We will also sometimes write $S_d \wr_X^\infty S_d$ for $S_d \wr_X (S_d \wr_X (S_d \wr_X \cdots))$.

Now let us be more rigorous about infinitely iterated wreath products. Let $S_d \wr_X^n S_d$ be the n -times-iterated wreath product, e.g.,

$$\begin{aligned} S_d \wr_X^0 S_d &= S_d, \\ S_d \wr_X^1 S_d &= S_d \wr_X S_d, \\ S_d \wr_X^2 S_d &= S_d \wr_X (S_d \wr_X S_d), \end{aligned}$$

and so forth. For each $n \in \mathbb{N}$, we have an epimorphism $S_d \wr_X^n S_d \rightarrow S_d \wr_X^{n-1} S_d$ given by “forgetting the rightmost factor”; for example, $S_d \wr_X^2 S_d \rightarrow S_d \wr_X^1 S_d$ is the map

$$(\sigma, ((\tau_1, (v_1^1, \dots, v_1^d)), \dots, (\tau_d, (v_d^1, \dots, v_d^d)))) \mapsto (\sigma, (\tau_1, \dots, \tau_d)).$$

This forms a projective system, and the infinitely iterated wreath product $S_d \wr_X^\infty S_d$ is the projective limit of this system. It is clear that this is isomorphic to $\text{Aut}(\mathcal{T}_d)$. The point is that an automorphism of \mathcal{T}_d can be viewed as first shuffling the d many children of the root, then independently for each child of the root shuffling its d many children, then shuffling their children, and so on.

Thanks to this viewpoint, we see that $\text{Aut}(\mathcal{T}_d) \cong S_d \wr_X \text{Aut}(\mathcal{T}_d)$. Hence, an element $f \in \text{Aut}(\mathcal{T}_d)$ can be decomposed as $f = \rho(f)(f_1, \dots, f_d)$, where

$$\rho: \text{Aut}(\mathcal{T}_d) \rightarrow S_d$$

is the natural epimorphism coming from the wreath product, and $f_i \in \text{Aut}(\mathcal{T}_d)$. This is called the *wreath recursion*. This produces a function (not a homomorphism) $\psi: \text{Aut}(\mathcal{T}_d) \rightarrow \text{Aut}(\mathcal{T}_d)^X$ sending f to

$$\psi(f) := (f_1, \dots, f_d),$$

so the wreath recursion of f is $f = \rho(f)\psi(f)$. For any subgroup $G \leq \text{Aut}(\mathcal{T}_d)$, the image $\psi(G)$ is a subset of $\text{Aut}(\mathcal{T}_d)^X$, and this leads us to the definition of self-similar.

Definition 2.2 (Self-similar) A subgroup $G \leq \text{Aut}(\mathcal{T}_d)$ is called *self-similar* if $\psi(G) \subseteq G^X$.

The easiest example of a self-similar subgroup of $\text{Aut}(\mathcal{T}_d)$ is given by a certain action of the symmetric group S_d on \mathcal{T}_d . This kind of example will come up a lot, so we will actually give it its own name.

Definition 2.3 (Self-identical) A subgroup $G \leq \text{Aut}(\mathcal{T}_d)$ is called *self-identical* if

$$\psi(g) = (g, \dots, g)$$

for all $g \in G$.

Example 2.1 (Subgroups of the symmetric group) For $\sigma \in S_d = \text{Sym}(X)$, we can define an element of $\text{Aut}(\mathcal{T}_d)$, also denoted σ , by declaring that σ sends the vertex $v = x_1 \cdots x_k$ to the vertex $\sigma(v) := \sigma(x_1) \cdots \sigma(x_k)$. Note that the wreath recursion of σ is $\sigma = (\sigma, \dots, \sigma)$. In particular, $\psi(\sigma) = (\sigma, \dots, \sigma)$, and so viewing S_d as a subgroup of $\text{Aut}(\mathcal{T}_d)$ in this way we see that S_d , and indeed any subgroup of S_d , is self-identical (hence self-similar). Every self-identical subgroup occurs in this way.

Example 2.2 (Grigorchuk group) The *Grigorchuk group*, introduced by Grigorchuk in [Gri80], is the subgroup $\text{Grig} \leq \text{Aut}(\mathcal{T}_2)$ generated by elements $\bar{a}, \bar{b}, \bar{c}$, and \bar{d} defined by the following wreath recursions. (These are usually denoted by a, b, c , and d , but we will be writing those for the braided version, so we will write $\bar{a}, \bar{b}, \bar{c}$, and \bar{d} here.) First, $\bar{a} = (1\ 2)(\text{id}, \text{id})$, where we identify X with $\{1, 2\}$. Next, $\bar{b} = (\bar{a}, \bar{c})$, $\bar{c} = (\bar{a}, \bar{d})$, and $\bar{d} = (\text{id}, \bar{b})$, where the lack of a symbol in front of the ordered pair indicates that \bar{b}, \bar{c} , and \bar{d} fix the children of the root. The Grigorchuk group was the first example of a finitely generated group that has intermediate growth, and that is amenable but not elementary amenable [Gri84].

2.1 Braiding groups of automorphisms

Now we describe a braided version of all of the above. Let B_d be the d -strand braid group. Via the standard projection $B_d \rightarrow S_d$, we get an action of B_d on X . Hence, we can consider finitely iterated wreath products $B_d \wr_X^n B_d$, take the projective limit, and get the infinitely iterated wreath product

$$B_d \wr_X (B_d \wr_X (B_d \wr_X \cdots)),$$

which we may also write as $B_d \wr_X^\infty B_d$.

Definition 2.4 (Braided $\text{Aut}(\mathcal{T}_d)$) Call the above infinitely iterated wreath product the *braided automorphism group of \mathcal{T}_d* , denoted

$$\text{brAut}(\mathcal{T}_d) := B_d \wr_X^\infty B_d.$$

Note that $\text{brAut}(\mathcal{T}_d) \cong B_d \wr_X \text{brAut}(\mathcal{T}_d)$, and so just like with $\text{Aut}(\mathcal{T}_d)$, we get a “braided wreath recursion”: any $f \in \text{brAut}(\mathcal{T}_d)$ decomposes as $f = \phi(f)(f_1, \dots, f_d)$, where

$$\phi: \text{brAut}(\mathcal{T}_d) \rightarrow B_d$$

is the natural epimorphism coming from the wreath product, and $f_i \in \text{brAut}(\mathcal{T}_d)$. Also, note that the epimorphism $B_d \rightarrow S_d$ induces an epimorphism

$$\pi: \text{brAut}(\mathcal{T}_d) \rightarrow \text{Aut}(\mathcal{T}_d).$$

For any $f \in \text{brAut}(\mathcal{T}_d)$, define

$$\psi(f) := (f_1, \dots, f_d),$$



Figure 1: The braid ζ .

where $f = \phi(f)(f_1, \dots, f_d)$ is the braided wreath recursion. In particular, for any subgroup $G \leq \text{brAut}(\mathcal{T}_d)$, the image $\psi(G)$ is a subset of $\text{brAut}(\mathcal{T}_d)^X$. This leads us to the following.

Definition 2.5 (Braided self-similar) A subgroup $G \leq \text{brAut}(\mathcal{T}_d)$ is called *braided self-similar* if $\psi(G) \subseteq G^X$.

Definition 2.6 (Braided self-identical) A subgroup $G \leq \text{brAut}(\mathcal{T}_d)$ is called *braided self-identical* if $\psi(g) = (g, \dots, g)$ for all $g \in G$.

Note that the image in $\text{Aut}(\mathcal{T}_d)$ under π of any braided self-similar group is a self-similar group (with an analogous statement for self-identical).

Remark 2.3 In terms of being able to construct these variants of self-similar groups, there is nothing particularly special about braid groups. More generally, given any group Γ acting on any set Y , one can form the iterated wreath products $\Gamma \wr_Y^n \Gamma$, take the projective limit to get $\Gamma \wr_Y (\Gamma \wr_Y (\Gamma \wr_Y \dots))$, and then consider subgroups G for which the associated wreath recursion of any element of G involves only elements of G . One could call these “ (Γ, Y) -self-similar.” Our focus here is on braided versions, so we will not pursue this degree of generality here.

Example 2.4 (Subgroups of the braid group) This example is the “braided” version of Example 2.1. For $\beta \in B_d$, we can define an element of $\text{brAut}(\mathcal{T}_d)$, also denoted β , via the braided wreath recursion $\beta = \beta(\beta, \dots, \beta)$. In particular, viewing B_d as a subgroup of $\text{brAut}(\mathcal{T}_d)$, in this way, we see that B_d , and indeed any subgroup of B_d , is braided self-identical (hence braided self-similar).

Before the next example, let us fix a generator ζ of $B_2 \cong \mathbb{Z}$, which will also be useful in all that follows. We will use the braid where the strand on the left crosses over the strand on the right as the strands go down, as in Figure 1.

Example 2.5 ($\mathbb{Z} \wr \mathbb{Z}$) As a nice example that is braided self-similar but not braided self-identical, we can use $\mathbb{Z} \wr \mathbb{Z}$. Take elements a and b whose braided wreath recursions are $a = \zeta(1, a)$ and $b = \zeta^2(1, b)$. A computation shows that $a^{2k} b a^{-2k} = \zeta^2(1, a^k b a^{-k})$ and $a^{2k-1} b a^{-(2k-1)} = \zeta^2(a^k b a^{-k}, 1)$ for all $k \in \mathbb{Z}$, so an induction argument shows that all the $b_n := a^n b a^{-n}$ pairwise commute. Hence, we get a well-defined epimorphism $\mathbb{Z} \wr \mathbb{Z} \rightarrow \langle a, b \rangle$, and we claim that it is an isomorphism. It suffices to show that if $(b_{i_1})^{p_1} \dots (b_{i_\ell})^{p_\ell} a^q = 1$ for $i_1 < \dots < i_\ell$, then $p_1 = \dots = p_\ell = q = 0$. Hitting $\langle a, b \rangle$ with π , since $b \in \ker(\pi)$ and one can check that a maps under π to an infinite order element of $\text{Aut}(\mathcal{T}_2)$, we see that $q = 0$. Now, applying braided wreath recursions

and using induction on the p_i , we see that the only possibility is $p_1 = \dots = p_\ell = 0$, as desired.

Before discussing our main example of the braided Grigorchuk group, let us pin down the kernel of the map $\pi: \text{brAut}(\mathcal{T}_d) \rightarrow \text{Aut}(\mathcal{T}_d)$, i.e., $\pi: B_d \wr_X^\infty B_d \rightarrow S_d \wr_X^\infty S_d$. First, note that the kernel of $\pi: B_d \rightarrow S_d$ is the *pure braid group* PB_d . The action of PB_d on X is trivial, so $PB_d \wr_X^n PB_d$ is simply a direct product of copies of PB_d , namely $1 + d + d^2 + \dots + d^n$ many copies. This equals the kernel of the natural map $B_d \wr_X^n B_d \rightarrow S_d \wr_X^n S_d$. Now we get the following.

Lemma 2.6 *The kernel of $\pi: B_d \wr_X^\infty B_d \rightarrow S_d \wr_X^\infty S_d$ is $PB_d \wr_X^\infty PB_d$, which is a direct product of infinitely many copies of PB_d .*

Proof Viewing the projective limit $B_d \wr_X^\infty B_d$ as a subgroup of the direct product of the factors $B_d \wr_X^n B_d$ in the projective system, and similarly $S_d \wr_X^\infty S_d$ as a subgroup of the direct product of the $S_d \wr_X^n S_d$, the map π is the restriction of the analogous map between these direct products. The kernel of the map on the direct products is clearly the direct product of the $PB_d \wr_X^n PB_d$. This shows that the kernel of π is the intersection of $B_d \wr_X^\infty B_d$ with the direct product of the $PB_d \wr_X^n PB_d$, which is $PB_d \wr_X^\infty PB_d$. Since PB_d acts trivially on X , this is just a direct product of copies of PB_d . ■

Corollary 2.7 *The kernel of $\pi: \text{brAut}(\mathcal{T}_2) \rightarrow \text{Aut}(\mathcal{T}_2)$ is abelian.*

Proof By Lemma 2.6, the kernel is a direct product of copies of $PB_2 \cong \mathbb{Z}$. ■

2.2 The braided Grigorchuk group

Now we construct a braided version of Grig. Denote by a the element of $\text{brAut}(\mathcal{T}_2)$ defined by the braided wreath recursion $a = \zeta(1, 1)$. Now define b , c , and d via the braided wreath recursions $b = (a, c)$, $c = (a^{-1}, d)$, and $d = (1, b)$.

Definition 2.7 (Braided Grigorchuk group) The braided Grigorchuk group brGrig is the subgroup of $\text{brAut}(\mathcal{T}_2)$ defined by

$$\text{brGrig} := \langle a, b, c, d \rangle.$$

The reason for using $c = (a^{-1}, d)$ rather than $c = (a, d)$ is so that we get the pleasant-looking relation $bcd = 1$ that holds analogously in the Grigorchuk group, as the proof of the next result shows.

Lemma 2.8 *The subgroup $\langle b, c, d \rangle$ of brGrig is isomorphic to \mathbb{Z}^2 .*

Proof First, we claim that $bcd = 1$. Applying braided wreath recursions to bcd produces $(1, cdb)$, then $(1, (1, dbc))$, and so forth, which shows that indeed $bcd = 1$. A similar argument shows $cbd = 1$. In particular, b and c commute and $d = (bc)^{-1}$. It remains to show that b and c admit no nontrivial relations of the form $b^k c^\ell = 1$. Applying braided wreath recursions, we get $b^k c^\ell = (a^{k+\ell}, (a^k, d^k b^\ell))$, which equals 1 only if $k + \ell = 0$ and $k = 0$, so indeed no nontrivial such relations hold. ■

Lemma 2.9 *The restriction of $\pi: \text{brAut}(\mathcal{T}_2) \rightarrow \text{Aut}(\mathcal{T}_2)$ to brGrig yields an epimorphism from brGrig onto Grig .*

Proof First, note that $\pi: B_2 \rightarrow S_2$ sends ζ to $(1\ 2)$. Thus, $\pi: \text{brAut}(\mathcal{J}_2) \rightarrow \text{Aut}(\mathcal{J}_2)$ sends a to the element \bar{a} of Grig. It follows immediately that $b, c,$ and $d,$ respectively, map under π to $\bar{b}, \bar{c},$ and $\bar{d}.$ ■

Corollary 2.10 *The braided Grigorchuk group brGrig is amenable.*

Proof By Lemma 2.9, we have an epimorphism $\pi: \text{brGrig} \rightarrow \text{Grig}.$ The kernel is abelian by Corollary 2.7. Hence, brGrig is abelian-by-amenable, so amenable. ■

Momentarily, we will prove that the braided Grigorchuk group is not finitely presented. The proof is inspired by the proof for the Grigorchuk group with some modifications, and we (roughly) follow this proof as given in [dlH00]. First, we need some setup.

By Lemma 2.8, we see that there is a canonical epimorphism from $F := \mathbb{Z} * \mathbb{Z}^2$ onto brGrig by mapping the generator of the first copy of \mathbb{Z} to a and mapping the generators of \mathbb{Z}^2 to b and $c.$ Thus any element in brGrig can be written (non-uniquely) as

$$z_1 a^{k_1} z_2 \cdots a^{k_\ell} z_{\ell+1},$$

where the z_i are of the form $b^{m_i} c^{n_i}$ for some $m_i, n_i \in \mathbb{Z}$ and are nontrivial except possibly when $i = 1$ or $\ell + 1.$ Call an expression of this form *reduced.* For a reduced expression as above, declare the *length,* denoted $|z_1 a^{k_1} z_2 \cdots a^{k_\ell} z_{\ell+1}|,$ to be the number of terms in the alternating product, so the length is $2\ell - 1, 2\ell,$ or $2\ell + 1$ depending on whether z_1 and/or $z_{\ell+1}$ are equal to 1. Similarly, define $|z_1 a^{k_1} z_2 \cdots a^{k_\ell} z_{\ell+1}|_a = \sum_{i=1}^\ell k_i,$ i.e., the sum of the exponents on the a terms.

Given any word w in the generators $a, b, c,$ and d and their inverses, one can obtain a new word in reduced form by iteratively applying the following reductions and the analogous ones for their inverses:

- (1) $g^i g^j = g^{i+j}$ for $g \in \{a, b, c, d\}.$
- (2) $d^{-1} = bc.$
- (3) $cb = bc.$

Call the resulting word $w^{\text{red}}.$

Lemma 2.11 *Let w be a reduced expression for an element of $\text{brGrig}.$ Consider the braided wreath recursion $w = \phi(w)(w_1, w_2).$ Then*

$$|w_i^{\text{red}}| \leq \left\lfloor \frac{|w| + 1}{2} \right\rfloor.$$

Proof Using the braided wreath recursion and applying the reductions, we get

$$(b^k c^m) a^n = \begin{cases} \zeta^n(a^{k-m}, b^{-m} c^{k-m}), & \text{if } n \text{ is even,} \\ \zeta^n(b^{-m} c^{k-m}, a^{k-m}), & \text{if } n \text{ is odd.} \end{cases}$$

Thus, each pair $z_i a^{k_i}$ in the alternating product contributes at most one term to w_1 and at most one term to $w_2.$ The result now follows. ■

Lemma 2.12 *The braided Grigorchuk group has solvable word problem.*

Proof Let w be an expression in reduced form. To decide if w represents the identity, proceed as follows.

- (1) Determine if $|w|_a = 0$.
 - (a) If $|w|_a \neq 0$, then $w \neq 1$.
 - (b) If $|w|_a = 0$ and the length of w is 0, then $w = 1$.
 - (c) If $|w|_a = 0$ and the length of w is at least 1, then apply ψ to obtain $\psi(w) = (w_1, w_2)$ and go to (ii).
- (2) Compute w_1^{red} and w_2^{red} and return to (i) which should be checked for both w_1^{red} and w_2^{red} .

It follows from Lemma 2.11 that the procedure terminates. ■

Let W^{red} be the set of reduced words, so we can identify W^{red} with $F = \mathbb{Z} * \mathbb{Z}^2$. For $w \in W^{\text{red}}$ and for $j_1, \dots, j_n \in \{1, 2\}$, let $w_{j_1 \dots j_n}^{\text{red}}$ denote the reduced word defined inductively by

$$w_{j_1 \dots j_n}^{\text{red}} = ((w_{j_1 \dots j_{n-1}})^{\text{red}})_{j_n}^{\text{red}}.$$

Let $\Psi : F \rightarrow \text{brGrig}$ be the canonical epimorphism.

Definition 2.8 For each $n \geq 0$, let K_n denote the subset of F given by

$$K_n := \{w \in F \mid w \in \ker(\Psi), w_{j_1 \dots j_n}^{\text{red}} = 1 \text{ for all } j_1, \dots, j_n \in \{1, 2\}\}.$$

Lemma 2.13 We have $\{1\} = K_0 \leq K_1 \leq K_2 \leq \dots \leq \bigcup_{n=0}^{\infty} K_n = \ker \Psi$. Moreover, each K_n is normal in F and all the inclusions are strict.

Proof It is clear that $K_n \leq K_{n+1}$. The fact that $\bigcup_{n=0}^{\infty} K_n = \ker \Psi$ follows from the fact that the procedure in Lemma 2.12 terminates. It remains to show that each K_n is normal and that the inclusions are strict.

It is clear that K_0 is normal in F and so we proceed by induction. Observe that

$$K_n = \{w \in F \mid |w|_a = 0 \text{ and } w_1^{\text{red}}, w_2^{\text{red}} \in K_{n-1}\}.$$

Let $w \in K_n$. We claim that any conjugate of w by one of the generators is again in K_n . For $a^{-1}wa$, this follows from the fact that $|a^{-1}wa|_a = |w|_a$ and that $(a^{-1}wa)_1 = w_2$ and $(a^{-1}wa)_2 = w_1$. For the remaining cases, we see that $|b^{-1}wb|_a = |c^{-1}wc|_a = |d^{-1}wd|_a = |w|_a$, and moreover

$$(b^{-1}wb)_1 = a^{-1}w_1a, \quad (b^{-1}wb)_2 = c^{-1}w_2c,$$

$$(c^{-1}wc)_1 = aw_1a^{-1}, \quad (c^{-1}wc)_2 = d^{-1}w_2d,$$

$$(d^{-1}wd)_1 = w_1, \quad (d^{-1}wd)_2 = b^{-1}w_2b.$$

Now normality follows from the induction hypothesis.

Finally, we verify that the inclusions are proper. Let σ be the endomorphism of F defined by $\sigma(a) = a^{-1}c^{-1}a$, $\sigma(b) = d$, $\sigma(c) = b$, and $\sigma(d) = c$. Observe that σ takes reduced words to reduced words, and moreover if $|w|_a = 0$ then $|\sigma(w)|_a = 0$. Also, note that $\sigma(a)_1 = d^{-1}$, $\sigma(d)_1 = a^{-1}$, $\sigma(a)_2 = a$, and $\sigma(d)_2 = d$. Now fix

$$w = [a, d][a^{-1}, d^{-1}] = a^{-1}d^{-1}adada^{-1}d^{-1}$$

and

$$\tilde{w} = [d^{-1}, a^{-1}][d, a] = dad^{-1}a^{-1}d^{-1}a^{-1}da.$$

We claim that $\sigma^n(w)$ and $\sigma^n(\tilde{w})$ are in K_{n+1} but not K_n . For the base cases, one easily checks that w and \tilde{w} are both in K_1 but not in K_0 . Now note that $\sigma(w)_1 = \tilde{w}$, $\sigma(w)_2 = w$, $\sigma(\tilde{w})_1 = w$, and $\sigma(\tilde{w})_2 = \tilde{w}$, so using the base case, we get that $\sigma(w)$ and $\sigma(\tilde{w})$ are both in K_2 but not K_1 . Continuing in this way, the result follows by induction. ■

Proposition 2.14 *The braided Grigorchuk group brGrig is not finitely presented.*

Proof Suppose brGrig is finitely presented. As it is a quotient of F , it has a presentation of the form

$$\langle a, b, c, d \mid bcd, b^{-1}c^{-1}bc, r_1, r_2, \dots, r_k \rangle,$$

giving $\text{brGrig} \cong F/R$ where $R = \ker(\Psi)$ is the normal closure of r_1, \dots, r_k in F . As each r_i is contained in some K_n , this contradicts Lemma 2.13. ■

Anthony Genevois has pointed out to us that Proposition 2.14 also follows from [BGdlH13, Theorem 1.6], which implies that no finitely presented amenable group has Grig as a quotient.

Remark 2.15 Our braided Grigorchuk group brGrig is similar to the torsion-free group of intermediate growth constructed by Grigorchuk in [Gri85, Section 5]; see [All21] for a similar construction (which in discussions with Daniel Allcock we have determined is isomorphic to the group in [Gri85]). The difference is that, phrased in our language, it seems likely that that group would use the braided wreath recursions $b = (a, c)$, $c = (a, d)$, and $d = (1, b)$. We chose $c = (a^{-1}, d)$ so that we would get $bcd = 1$, which makes brGrig feel more closely related to Grig. We will leave it as a question for future investigation whether brGrig is isomorphic to the group from [All21, Gri85], and so in particular whether brGrig has intermediate growth.

3 Braiding groups of almost-automorphisms of trees

In this section, we discuss groups of almost-automorphisms of trees, and introduce braided versions. We will use the framework of d -ary cloning systems from [SZ21], which generalize cloning systems from [WZ18]. Very loosely, d -ary cloning systems provide a way to construct new Thompson-like groups, and have proved useful in a variety of recent work, for example, on decision problems [BZFG+18], orderability [Ish18], von Neumann algebras [BZ], and the so-called Jones technology [Bro21]. Let us recall the relevant background.

3.1 Cloning systems

Definition 3.1 (d -ary cloning system) Let $d \geq 2$ be an integer, and let $(G_n)_{n \in \mathbb{N}}$ be a family of groups. For each $n \in \mathbb{N}$, let $\rho_n: G_n \rightarrow S_n$ be a homomorphism to the symmetric group S_n , called a *representation map*. For each $1 \leq k \leq n$, let $\kappa_k^n: G_n \rightarrow G_{n+d-1}$ be an injective function (not necessarily a homomorphism), called a *d -ary cloning map*. We write ρ_n to the left of its input and κ_k^n to the right of its input, for

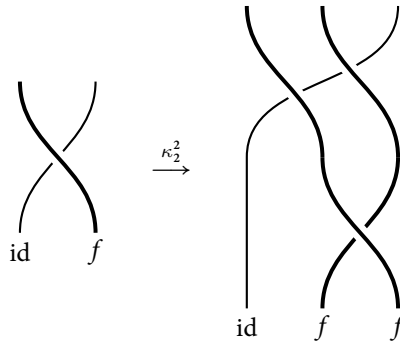


Figure 2: An example of 2-ary cloning on $\text{br}W_2$. Here, $f \in \text{brAut}(\mathcal{T}_2)$ satisfies the braided wreath recursion $f = \zeta(f, f)$. The picture shows that $(\zeta(\text{id}, f))\kappa_2^2 = (\zeta)\vartheta_2^2\phi^{(2)}(f)(\text{id}, f, f)$. We use thick lines to indicate the strand getting cloned and the resulting strands.

reasons of visual clarity. Now we call the triple

$$((G_n)_{n \in \mathbb{N}}, (\rho_n)_{n \in \mathbb{N}}, (\kappa_k^n)_{k \leq n})$$

a d -ary cloning system if the following axioms hold:

(C1): (Cloning a product) $(gh)\kappa_k^n = (g)\kappa_{\rho_n(h)k}^n (h)\kappa_k^n$.

(C2): (Product of clonings) $\kappa_\ell^n \circ \kappa_k^{n+d-1} = \kappa_k^n \circ \kappa_{\ell+d-1}^{n+d-1}$.

(C3): (Compatibility) $\rho_{n+d-1}((g)\kappa_k^n)(i) = (\rho_n(g))\zeta_k^n(i)$ for all $i \neq k, k+1, \dots, k+d-1$.

Here, we always have $1 \leq k < \ell \leq n$ and $g, h \in G_n$, and ζ_k^n denotes the standard d -ary cloning maps for the symmetric groups.

The maps ζ_k^n are explained in [SZ21, Example 2.2], and we will review them in Example 3.2.

Remark 3.1 For an illustration of a cloning map in the special case $G_n = \text{br}W_n$ (defined in Section 3.3), we direct the reader to Figure 2.

Given a d -ary cloning system on a family of groups $(G_n)_{n \in \mathbb{N}}$, one gets a Thompson-like group, denoted $\mathcal{T}_d(G_*)$, which can be viewed as a sort of ‘‘Thompson limit’’ of the G_n . Let us recall the construction of $\mathcal{T}_d(G_*)$. First, a d -ary tree is a finite rooted tree in which each nonleaf vertex has d children, and a d -ary caret is a d -ary tree with d leaves. An element of $\mathcal{T}_d(G_*)$ is represented by a triple (T_-, g, T_+) where T_\pm are d -ary trees with the same number of leaves, say n , and g is an element of G_n . There is an equivalence relation on such triples, and the equivalence classes are the elements of $\mathcal{T}_d(G_*)$. The equivalence relation is given by expansion and reduction: an expansion of (T_-, g, T_+) is a triple of the form $(T'_-, (g)\kappa_k^n, T'_+)$ where T'_+ is T_+ with a d -ary caret added to the k th leaf and T'_- is T_- with a d -ary caret added to the $\rho_n(g)(k)$ th leaf. A reduction is the reverse of an expansion. Now declare that two triples are equivalent if we can get from one to the other via a finite sequence of expansions and reductions, and write $[T_-, g, T_+]$ for the equivalence class of (T_-, g, T_+) .

Definition 3.2 (Thompson-like group) The *Thompson-like group* $\mathcal{T}_d(G_*)$ is the set of equivalence classes $[T_-, g, T_+]$.

We have not explained the group operation on $\mathcal{T}_d(G_*)$. The idea is that given any two elements $[T_-, g, T_+]$ and $[U_-, h, U_+]$, up to expansions, we can assume that $T_+ = U_-$. This is because any pair of d -ary trees have a common d -ary tree obtainable from either of them by adding d -ary carets to their leaves. Now the group operation on $\mathcal{T}_d(G_*)$ is defined by

$$[T_-, g, T_+][U_-, h, U_+] := [T_-, gh, U_+]$$

when $T_+ = U_-$. The cloning axioms ensure that this is a well-defined group operation. The identity is $[T, 1, T]$ (for any T) and inverses are given by $[T_-, g, T_+]^{-1} = [T_+, g^{-1}, T_-]$.

Example 3.2 (Cloning permutations) The most fundamental example of a d -ary cloning system is on the family $(S_n)_{n \in \mathbb{N}}$ of symmetric groups. Take $\rho_n : S_n \rightarrow S_n$ to be the identity, and let

$$\zeta_k^n : S_n \rightarrow S_{n+d-1}$$

be the function described as follows. Visualize $\sigma \in S_n$ by drawing arrows going up, from a line of labels 1 to n for the domain to another line of labels 1 to n for the range, with an arrow from i to $\sigma(i)$ for each i . Now $(\sigma)\zeta_k^n \in S_{n+d-1}$ is obtained by replacing the arrow from k to $\sigma(k)$ by d parallel arrows, and relabeling everything appropriately. For a (complicated) rigorous formula, see [SZ21, Example 2.2]. It turns out that this defines a d -ary cloning system, with d -ary cloning maps ζ_k^n . The Thompson-like group $\mathcal{T}_d(S_*)$ that arises from this d -ary cloning system is (isomorphic to) the Higman–Thompson group V_d .

Example 3.3 (Cloning braids) The braided version of the above example is a d -ary cloning system on the family $(B_n)_{n \in \mathbb{N}}$ of braid groups. Take $\rho_n : B_n \rightarrow S_n$ to be the natural projection of B_n onto S_n , and let

$$\vartheta_k^n : B_n \rightarrow B_{n+d-1}$$

be the function described as follows. Visualize $\beta \in B_n$ as an n -strand braid diagram, counting the strands 1 to n at the bottom. Now $(\beta)\vartheta_k^n \in B_{n+d-1}$ is obtained by replacing the k th strand (counting at the bottom) by d parallel strands. As discussed in [WZ18, Remark 2.10], in the $d = 2$ case, this really defines a cloning system (this was essentially already shown by Brin in [Bri07], before cloning systems had been introduced, using the language of Zappa–Szép products), and it is easy to see that in the arbitrary d case, it defines a d -ary cloning system. The Thompson-like group $\mathcal{T}_d(B_*)$ that arises from this d -ary cloning system is (isomorphic to) the braided Higman–Thompson group $\text{br}V_d$, considered previously by Aroca and Cumplido in [AC22], and the first author and Wu in [SW].

3.2 Almost-automorphisms

Every automorphism of \mathcal{T}_d induces a self-homeomorphism of the boundary $\partial\mathcal{T}_d$, which is a d -ary Cantor space. The idea behind almost-automorphisms of \mathcal{T}_d is

to consider self-homeomorphisms of $\partial\mathcal{T}_d$ that locally “look like” they came from $\text{Aut}(\mathcal{T}_d)$. Far more detail and rigor can be found, for example, in [LB17] and [SZ21, Section 1.3]. For our purposes here, we will use the isomorphism in [SZ21, Theorem 2.6] to simply define the group of almost-automorphisms of \mathcal{T}_d as the Thompson-like group arising from the following cloning system.

For $n \in \mathbb{N}$, let $W_n := S_n \wr \text{Aut}(\mathcal{T}_d)$ (in [SZ21], this was denoted A_n , but here we will use W_n). Here, the wreath product has no subscript, and so this should be interpreted as meaning there are n copies of $\text{Aut}(\mathcal{T}_d)$ and S_n acts on $\{1, \dots, n\}$ in the standard way. Following [SZ21, Section 2.2], we will define a d -ary cloning system on $(W_n)_{n \in \mathbb{N}}$. Let $\rho_n : W_n \rightarrow S_n$ be the natural epimorphism onto the S_n term, i.e.,

$$\rho_n(\sigma(f_1, \dots, f_n)) := \sigma.$$

To define the d -ary cloning maps $\kappa_k^n : W_n \rightarrow W_{n+d-1}$, we need some notation. Given $\sigma(f_1, \dots, f_n) \in W_n$ and $1 \leq k \leq n$, write the wreath recursion of f_k as $f_k = \rho(f_k)(f_k^1, \dots, f_k^d)$. Also, write $\rho^{(k)}(f_k) \in S_{n+d-1}$ for the image of $\rho(f_k) \in S_d$ under the $(k$ -dependent) monomorphism $S_d \rightarrow S_{n+d-1}$ induced by the inclusion $\{1, \dots, d\} \rightarrow \{1, \dots, n\}$ sending j to $k + j - 1$. Now we can define κ_k^n as follows:

$$(\sigma(f_1, \dots, f_n))\kappa_k^n := (\sigma)\zeta_k^n \rho^{(k)}(f_k)(f_1, \dots, f_{k-1}, f_k^1, \dots, f_k^d, f_{k+1}, \dots, f_n).$$

As proved in [SZ21, Proposition 2.4], these ρ_n and κ_k^n define a d -ary cloning system on the W_n .

Definition 3.3 (Group of almost-automorphisms) The group of almost-automorphisms $\text{AAut}(\mathcal{T}_d)$ of \mathcal{T}_d is

$$\text{AAut}(\mathcal{T}_d) := \mathcal{T}_d(W_*),$$

where $(W_n)_{n \in \mathbb{N}}$ is equipped with the above d -ary cloning system.

Now, for self-similar $G \leq \text{Aut}(\mathcal{T}_d)$, consider the family $(S_n \wr G)_{n \in \mathbb{N}}$. Thanks to self-similarity, the restriction of κ_k^n to $S_n \wr G$ lands in $S_{n+d-1} \wr G$, which means that the d -ary cloning system on the $W_n = S_n \wr \text{Aut}(\mathcal{T}_d)$ restricts to a d -ary cloning system on $S_n \wr G$.

Definition 3.4 (Röver–Nekrashevych group) For a self-similar group $G \leq \text{Aut}(\mathcal{T}_d)$, the Röver–Nekrashevych group for G is

$$V_d(G) := \mathcal{T}_d(S_* \wr G),$$

where $(S_n \wr G)_{n \in \mathbb{N}}$ is equipped with the above d -ary cloning system.

This definition agrees with the usual definition first given by Nekrashevych [Nek04], thanks to [SZ21, Corollary 2.7].

Definition 3.5 (Röver group) The Röver group is the group $V_2(\text{Grig})$.

The Röver group $V_2(\text{Grig})$ was first constructed by Röver in [Röv99], and generalized by Nekrashevych in [Nek04] to the full family of Röver–Nekrashevych groups $V_d(G)$. Röver proved that $V_2(\text{Grig})$ is isomorphic to the abstract commensurator of Grig, and is a finitely presented simple group [Röv02, Röv99]. Belk and Matucci

[BM16] proved that it is even of type F_∞ . Analogous results for certain $V_d(G)$ were proved by Nekrashevych [Nek04], Farley and Hughes [FH15], and the authors [SZ21].

3.3 Braiding groups of almost-automorphisms

Now we will introduce braided Röver–Nekrashevych groups. Starting with self-identical groups, this was previously done by Aroca and Cumplido in [AC22], but for self-similar groups that are not self-identical, to the best of our knowledge, this has not been done. In particular, using the braided Grigorchuk group to construct a braided Röver group is new. As a remark, Aroca and Cumplido’s constructions could have been phrased in the language of cloning systems, as they mention in their introduction (though they did not use this framework outside their introduction), so one can view our approach here as a direct generalization of theirs.

Convention: The notation $B_n \wr G$, i.e., with no subscript on the \wr , will always mean $B_n \wr_{\{1, \dots, n\}} G$ defined via the action of the braid group B_n on $\{1, \dots, n\}$ coming from the natural projection $B_n \rightarrow S_n$.

Recall that $\text{brAut}(\mathcal{T}_d) = B_d \wr_X^\infty B_d$, and let $\text{br}W_n := B_n \wr \text{brAut}(\mathcal{T}_d)$. We want to define a d -ary cloning system on $(\text{br}W_n)_{n \in \mathbb{N}}$. We will use the notation ρ_n and κ_k^n like we did in the nonbraided case for the cloning system on $(W_n)_{n \in \mathbb{N}}$, and no confusion should arise. Let $\rho_n: \text{br}W_n \rightarrow S_n$ be the composition of $\text{br}W_n \rightarrow W_n$ with $W_n \rightarrow S_n$, where the first map is induced by the standard epimorphism $B_n \rightarrow S_n$ together with $\pi: \text{brAut}(\mathcal{T}_d) \rightarrow \text{Aut}(\mathcal{T}_d)$, and the second map is the ρ_n epimorphism from the nonbraided case. To define the d -ary cloning maps $\kappa_k^n: \text{br}W_n \rightarrow \text{br}W_{n+d-1}$, we need some notation. Given $\beta(f_1, \dots, f_n) \in \text{br}W_n$ and $1 \leq k \leq n$, write the braided wreath recursion of f_k as $f_k = \phi(f_k)(f_k^1, \dots, f_k^d)$. Also, write $\phi^{(k)}(f_k) \in B_{n+d-1}$ for the image of $\phi(f_k) \in B_d$ under the $(k$ -dependent) monomorphism $B_d \rightarrow B_{n+d-1}$ induced by adding $k - 1$ new unbraided strands on the left and $n - k$ new unbraided strands on the right. Now we can define κ_k^n as follows:

$$(\beta(f_1, \dots, f_n))\kappa_k^n := (\beta)\vartheta_k^n \phi^{(k)}(f_k)(f_1, \dots, f_{k-1}, f_k^1, \dots, f_k^d, f_{k+1}, \dots, f_n).$$

Note that κ_k^n is injective, since ϑ_k^n is injective and f_k is uniquely determined by its braided wreath recursion. See Figure 2 for example.

Proposition 3.4 $((\text{br}W_n)_{n \in \mathbb{N}}, (\rho_n)_{n \in \mathbb{N}}, (\kappa_k^n)_{1 \leq k \leq n})$ is a d -ary cloning system.

Proof The proof is similar to that of [SZ21, Proposition 2.4] about the nonbraided situation, and some parts work in exactly the same way. Hence, in the course of this proof, we will sometimes just state that a certain step works analogously to the corresponding step in [SZ21, Proposition 2.4]. First, we prove (CI) (cloning a product). Let $f = \beta(f_1, \dots, f_n)$ and $g = \gamma(g_1, \dots, g_n)$ be elements of $\text{br}W_n$, so the product fg equals $\beta\gamma(f_{\gamma(1)}g_1, \dots, f_{\gamma(n)}g_n)$. Here, B_n acts on $\{1, \dots, n\}$ via the projection $B_n \rightarrow S_n$, and the notation $\gamma(i)$ indicates this action. Similar to the proof of [SZ21, Proposition 2.4], the left-hand side of (CI) is

$$(fg)\kappa_k^n = (\beta\gamma)\vartheta_k^n \phi^{(k)}(f_{\gamma(k)}g_k) \\ \times (f_{\gamma(1)}g_1, \dots, f_{\gamma(k-1)}g_{k-1}, f_{\gamma(k)}^{\phi(g_k)(1)}g_k^1, \dots, f_{\gamma(k)}^{\phi(g_k)(d)}g_k^d, f_{\gamma(k+1)}g_{k+1}, \dots, f_{\gamma(n)}g_n).$$

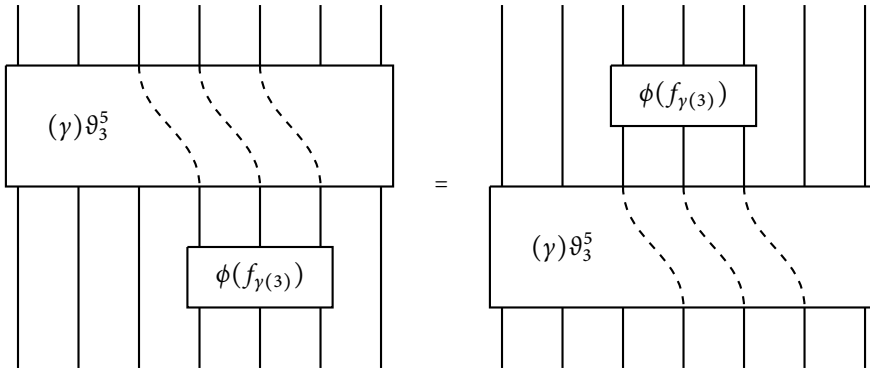


Figure 3: An example of the last step of the verification of (C1) in the proof of Proposition 3.4. Here, $d = 3$, $k = 3$, and $n = 5$.

Here, the braided wreath recursions of $f_{\gamma(k)}$ and g_k are $f_{\gamma(k)} = \phi(f_{\gamma(k)})(f_{\gamma(k)}^1, \dots, f_{\gamma(k)}^d)$ and $g_k = \phi(g_k)(g_k^1, \dots, g_k^d)$, so the braided wreath recursion of $f_{\gamma(k)}g_k$ is

$$f_{\gamma(k)}g_k = \phi(f_{\gamma(k)}g_k)(f_{\gamma(k)}^{\phi(g_k)(1)}g_k^1, \dots, f_{\gamma(k)}^{\phi(g_k)(d)}g_k^d).$$

Now we need to show that the right-hand side of (C1), which is $(f)\kappa_{\gamma(k)}^n(g)\kappa_k^n$, equals the same thing. One can compute (similar to the proof of [SZ21, Proposition 2.4]) that this equals

$$\begin{aligned} & (\beta)\vartheta_{\gamma(k)}^n \phi^{(\gamma(k))} (f_{\gamma(k)}) (\gamma)\vartheta_k^n \phi^{(k)} (g_k) \\ & \times (f_1, \dots, f_{\gamma(k)-1}, f_{\gamma(k)}^1, \dots, f_{\gamma(k)}^d, f_{\gamma(k)+1}, \dots, f_n)^{(\gamma)\vartheta_k^n \phi^{(k)} (g_k)} \\ & \times (g_1, \dots, g_{k-1}, g_k^1, \dots, g_k^d, g_{k+1}, \dots, g_n), \end{aligned}$$

where the superscript indicates conjugation in $\text{br}W_{n+d-1}$. By the same argument as in the proof of [SZ21, Proposition 2.4], the “tuple parts” of the left- and right-hand sides of (C1) are the same, so we only need to show that the “braid parts” are the same, i.e., that $(\beta\gamma)\vartheta_k^n \phi^{(k)} (f_{\gamma(k)}g_k) = (\beta)\vartheta_{\gamma(k)}^n \phi^{(\gamma(k))} (f_{\gamma(k)}) (\gamma)\vartheta_k^n \phi^{(k)} (g_k)$. Since we already know the ϑ_k^n define a d -ary cloning system on braid groups, we know $(\beta\gamma)\vartheta_k^n = (\beta)\vartheta_{\gamma(k)}^n (\gamma)\vartheta_k^n$, and since ϕ is a homomorphism, this means that it suffices to show that $(\gamma)\vartheta_k^n \phi^{(k)} (f_{\gamma(k)}) = \phi^{(\gamma(k))} (f_{\gamma(k)}) (\gamma)\vartheta_k^n$. To see this, note that the k th to $(k + d - 1)$ st strands of $(\gamma)\vartheta_k^n$ are all parallel to each other, and these are the only strands of $\phi^{(k)} (f_{\gamma(k)})$ that can braid nontrivially (see Figure 3).

Next, (C2) follows by an exactly analogous argument to the proof of [SZ21, Proposition 2.4].

Finally, we turn to (C3). Let $\beta(f_1, \dots, f_n) \in \text{br}W_n$ and $i \neq k, k + 1, \dots, k + d - 1$. We need to show that $\rho_{n+d-1}((\beta(f_1, \dots, f_n))\kappa_k^n)(i) = (\rho_n(\beta(f_1, \dots, f_n)))\zeta_k^n(i)$. Setting

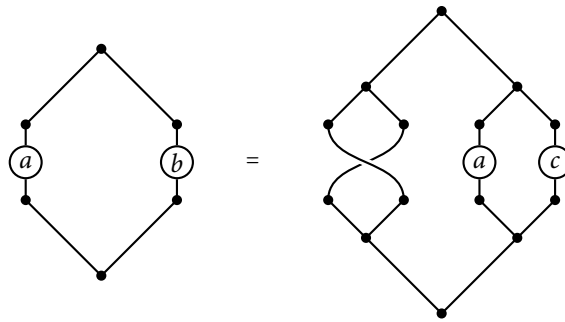


Figure 4: An element of the braided Röver group. Here, we draw a triple $(T_-, \beta(f_1, \dots, f_m), T_+)$ with T_+ upside down so that β is a braid from the leaves of T_- to the leaves of T_+ , and the f_i label the strands. The element equals $[\wedge, (a, b), \wedge]$, for \wedge the tree with one caret. As indicated in the picture, after expansions, this is the same element as $[T, \beta(1, 1, a, c), T]$ for T the result of adding a caret to each leaf of \wedge and $\beta \in B_4$ the braid crossing the first strand over the second.

$\sigma = \pi(\beta)$, the right-hand side just equals $(\sigma)\zeta_k^n(i)$. The left-hand side equals

$$\rho_{n+d-1}((\beta)\vartheta_k^n \phi^{(k)}(f_k)(f_1, \dots, f_{k-1}, f_k^1, \dots, f_k^d, f_{k+1}, \dots, f_n))(i),$$

which is $\pi((\beta)\vartheta_k^n \phi^{(k)}(f_k))(i)$. Since $\pi(\phi^{(k)}(f_k))(i) = i$ (by virtue of $i \neq k, k + 1, \dots, k + d - 1$), this equals $\pi((\beta)\vartheta_k^n)(i)$. Since π plays the role of ρ_n in the d -ary cloning system on the braid groups using the d -ary cloning maps ϑ_k^n , by (C3) for that d -ary cloning system, we know $\pi((\beta)\vartheta_k^n)(i) = (\sigma)\zeta_k^n(i)$ as desired. ■

The Thompson-like group $\mathcal{T}_d(\text{br}W_*)$ is a braided version of $\mathcal{T}_d(W_*) = \text{AAut}(\mathcal{T}_d)$, and we will denote it by $\text{brAAut}(\mathcal{T}_d)$.

Now, for braided self-similar $G \leq \text{brAut}(\mathcal{T}_d)$, consider the family $(B_n \wr G)_{n \in \mathbb{N}}$. Thanks to braided self-similarity, the restriction of κ_n^n to $B_n \wr G$ lands in $B_{n+d-1} \wr G$, which means that the d -ary cloning system on the $\text{br}W_n = B_n \wr \text{brAut}(\mathcal{T}_d)$ restricts to a d -ary cloning system on the $B_n \wr G$.

Definition 3.6 (Braided Röver–Nekrashevych group) For a braided self-similar group $G \leq \text{brAut}(\mathcal{T}_d)$, the *braided Röver–Nekrashevych group* for G is

$$\text{br}V_d(G) := \mathcal{T}_d(B_* \wr G),$$

where $(B_n \wr G)_{n \in \mathbb{N}}$ is equipped with the above d -ary cloning system.

Our main example is the following.

Definition 3.7 (Braided Röver group) We define the *braided Röver group* to be the group $\text{br}V_2(\text{brGrig})$.

See Figure 4 for an example of an element of the braided Röver group.

There is an obvious relationship between braided Röver–Nekrashevych groups and (nonbraided) Röver–Nekrashevych groups, analogous to how the braided Thompson group $\text{br}V$ surjects onto Thompson’s group V . Given a braided Röver–Nekrashevych

group $\text{br}V_d(G)$, we get a well-defined epimorphism

$$\pi: \text{br}V_d(G) \rightarrow V_d(\pi(G)),$$

where $\pi(G)$ is the image of G under $\pi: \text{brAut}(\mathcal{T}_d) \rightarrow \text{Aut}(\mathcal{T}_d)$. This epimorphism (which by abuse of notation we are also denoting by π) is given by sending $[T_-, \beta(f_1, \dots, f_n), T_+]$ to $[T_-, \pi(\beta)(\pi(f_1), \dots, \pi(f_n)), T_+]$. The d -ary cloning systems on $(B_n \wr G)_{n \in \mathbb{N}}$ and $(S_n \wr \pi(G))_{n \in \mathbb{N}}$ are clearly respected by π , so this map really is well defined.

Remark 3.5 The kernel of $\pi: \text{br}V_d(G) \rightarrow V_d(\pi(G))$ consists of all $[T, \beta(f_1, \dots, f_n), T]$ such that β is pure and each f_i lies in the kernel of $\pi: \text{brAut}(\mathcal{T}_d) \rightarrow \text{Aut}(\mathcal{T}_d)$. By Lemma 2.6, each of these kernels is a direct product of copies of PB_d . Overall, this means that the kernel of $\pi: \text{br}V_d(G) \rightarrow V_d(\pi(G))$ is a direct limit of direct products of pure braid groups PB_n with infinitely many copies of PB_d , with the direct limit informed by the cloning maps.

4 Finiteness properties

In this section, we inspect finiteness properties of braided Röver–Nekrashevych groups. The main result of this section is the following theorem.

Theorem 4.1 *Let $G \leq \text{brAut}(\mathcal{T}_d)$ be braided self-similar. If G is of type F_n , then so is $\text{br}V_d(G)$.*

The case when G is braided self-identical was already proved in [SW], where it was shown that for braided self-identical G , the converse of Theorem 4.1 is also true. (In general, the converse of Theorem 4.1 is not always true, as our Theorem 5.1 will show.)

4.1 Stein–Farley complexes

The starting point for deducing finiteness properties for a Thompson-like group is often to construct a so-called Stein–Farley complex on which the group can act. For groups of the form $\mathcal{T}_2(G_*)$, i.e., those arising from (2-ary) cloning systems, this was done in [WZ18]. For groups of the form $\mathcal{T}_d(S_* \wr G)$, i.e., Röver–Nekrashevych groups, the Stein–Farley construction was done in [SZ21]. For arbitrary groups of the form $\mathcal{T}_d(G_*)$, i.e., those arising from d -ary cloning systems in general, the Stein–Farley construction has not technically been done in the literature, but it is easy to mimic the 2-ary construction, and this is the goal of this subsection. Also, see [Zar21] for an introductory take on the situation when $d = 2$ and $G_m = \{1\}$ for all m , i.e., for Thompson’s group F . The name Stein–Farley complex pays homage to Stein [Ste92] and Farley [Far03], who constructed complexes like these for the classical Thompson groups and some close relatives.

Given a d -ary cloning system $((G_m)_{m \in \mathbb{N}}, (\rho_m)_{m \in \mathbb{N}}, (\kappa_k^m)_{k \leq m})$, we will construct a cube complex, denoted by $\mathcal{X}_d(G_*)$, called the *Stein–Farley complex* for the d -ary cloning system. This is done in a number of steps.

A groupoid: First, we consider the set of equivalence classes $[F_-, g, F_+]$, where F_- is a d -ary forest, say with m leaves (and some number of roots), g is an element of G_m , and F_+ is a d -ary forest with m leaves (and some number of roots). The equivalence relation

on such triples is given by the expansion and reduction moves, as with elements of $\mathcal{T}_d(G_*)$. This set is a groupoid; two elements $[F_-, g, F_+]$ and $[E_-, h, E_+]$ can be multiplied whenever the number of roots of F_+ equals the number of roots of E_- . In this case, up to expansions, we can assume that $F_+ = E_-$, and the multiplication in this groupoid works analogously to the multiplication in $\mathcal{T}_d(G_*)$. Multiplication is well defined in the groupoid for all the same reasons that it is well defined in $\mathcal{T}_d(G_*)$. Denote this groupoid by $\mathcal{G}_d(G_*)$.

A poset: Now we restrict to equivalence classes of triples of the form $[T_-, g, F_+]$ for T_- a d -ary tree, and mod out an additional equivalence relation given by right multiplication by elements of the form $[1, g', 1]$, for 1 a trivial forest with some number of roots, m , and $g' \in G_m$. Denote the resulting equivalence classes by $[T_-, g, F_+]_G$, and write $\mathcal{P}_d(G_*)$ for the set of all of them. Given $[T_-, g, F_+]_G \in \mathcal{P}_d(G_*)$, say with F_+ having m roots, and a groupoid element of the form $[F, 1, 1]$ for F a d -ary forest with m roots, it makes sense to consider the product $[T_-, g, F_+][F, 1, 1]$ and the equivalence class $[T_-, g, F_+][F, 1, 1]_G$. We define a partial order \leq on $\mathcal{P}_d(G_*)$ by declaring

$$[T_-, g, F_+]_G \leq [T_-, g, F_+][F, 1, 1]_G.$$

(Note that thanks to the equivalence relation, in fact, we have

$$[T_-, g, F_+]_G \leq [T_-, g, F_+][1, g', 1][F, 1, 1]_G$$

for any relevant g' .) It is easy to see that \leq is reflexive, transitive, and antisymmetric, and hence really is a partial order. It is also clear that $\mathcal{P}_d(G_*)$ with \leq is a directed poset, since for any $[T_-, g, F_+]_G$ we have $[T_-, g, F_+]_G \leq [T_-, g, 1]_G = [T_-, 1, 1]_G$, and any two $[T_-, 1, 1]_G$ and $[T'_-, 1, 1]_G$ have an upper bound. Hence, the geometric realization $|\mathcal{P}_d(G_*)|$ is contractible.

A cube complex: Now we construct the Stein–Farley complex $\mathcal{X}_d(G_*)$, which is a cube complex having a natural subdivision identifiable with a certain subcomplex of $|\mathcal{P}_d(G_*)|$. The vertex set of $\mathcal{X}_d(G_*)$ is the whole vertex set of $|\mathcal{P}_d(G_*)|$, namely $\mathcal{P}_d(G_*)$. Let E be a d -ary forest whose trees each have at most one d -caret; call such a d -ary forest *elementary*. If E is an elementary d -ary forest with one nontrivial tree and with the same number of roots as F_+ , we can consider the product $[T_-, g, F_+][E, 1, 1]$ in the groupoid $\mathcal{G}_d(G_*)$. We declare that the vertices $[T_-, g, F_+]_G$ and $[T_-, g, F_+][E, 1, 1]_G$ span an edge in $\mathcal{X}_d(G_*)$. If $[T_-, g, F_+]_G \leq [T_-, g, F_+][E, 1, 1]_G$ for E elementary, write

$$[T_-, g, F_+]_G \leq [T_-, g, F_+][E, 1, 1]_G.$$

More generally, if E is any elementary d -ary forest with the same number of roots as F_+ , then all the vertices of the form $[T_-, g, F_+][E', 1, 1]_G$, for E' obtained from E by replacing some number of d -carets with trivial trees, span the 1-skeleton of a cube in $\mathcal{X}_d(G_*)$, and we declare that there is a cube in $\mathcal{X}_d(G_*)$ with this 1-skeleton. All of this is well defined up to the equivalence relation. Any nonempty intersection of cubes is a common face of each of them, so $\mathcal{X}_d(G_*)$ really is a cube complex. Within a given cube, the geometric realization of the finite subposet of $\mathcal{P}_d(G_*)$ given by the vertices of the cube is naturally a subdivision of the cube. Hence, there is a natural subdivision of $\mathcal{X}_d(G_*)$ that is identifiable with a subcomplex of $|\mathcal{P}_d(G_*)|$.

A Morse function: Let

$$h : \mathcal{X}_d(G_*)^{(0)} \rightarrow \mathbb{N}$$

be the function sending $[T_-, g, F_+]_G$ to the number of roots of F_+ , and call this the *number of feet* of this vertex. Note that adjacent vertices have distinct h values. By the construction of $\mathcal{X}_d(G_*)$, it is clear that h can be extended to a map $h : \mathcal{X}_d(G_*) \rightarrow \mathbb{R}$ that restricts to an affine map on each cube. Hence, h satisfies all the requirements to be a discrete Morse function, in the sense of Bestvina of Brady [BB97]. In particular, we will be allowed to use discrete Morse theory when it comes up later. The function h also comes into play when proving that $\mathcal{X}_d(G_*)$ is contractible, which we do shortly.

Upward-local finiteness: The main advantage of $\mathcal{X}_d(G_*)$ over $|\mathcal{P}_d(G_*)|$ is that the former is what we will call “upward-locally finite,” as we now explain. Note that there are only finitely many elementary d -ary forests with a given number of roots. Also, note that for any $[1, g, 1], [F, 1, 1] \in \mathcal{G}_d(G_*)$ such that the product $[1, g, 1][F, 1, 1]$ makes sense, there exist F' and g' such that $[1, g, 1][F, 1, 1] = [F', 1, 1][1, g', 1]$. More precisely, F' and g' are such that upon expanding we get $[1, g, 1] = [F', g', F]$, and then

$$[1, g, 1][F, 1, 1] = [F', g', F][F, 1, 1] = [F', g', 1] = [F', 1, 1][1, g', 1].$$

In particular, given any vertex $x = [T_-, g, F_+]_G$ of $\mathcal{X}_d(G_*)$, every vertex y with $x \leq y$ is of the form $y = [T_-, g, F_+][E, 1, 1]_G$ for some elementary d -ary forest E . (Indeed, *a priori* y is of the form $[T_-, g, F_+][1, h, 1][E, 1, 1]_G$, but the above shows that we can ignore the $[1, h, 1]$ factor for the purposes of characterizing y .) We conclude that for any x , there exist only finitely many y with $x \leq y$. We refer to this property by saying that $\mathcal{X}_d(G_*)$ is *upward-locally finite*.

Contractibility: To see that $\mathcal{X}_d(G_*)$ is contractible, we will show that the inclusion $\mathcal{X}_d(G_*) \rightarrow |\mathcal{P}_d(G_*)|$ is a homotopy equivalence. Using the usual notation of open and closed intervals in posets, every simplex of $|\mathcal{P}_d(G_*)|$ that is not in $\mathcal{X}_d(G_*)$ lies in the realization of a closed interval $[x, y]$ for $x = [T_-, g, F_+]_G \leq y = [T_-, g, F_+][F, 1, 1]_G$ with F a nonelementary d -ary forest. Hence, it suffices to show that we can build up from $\mathcal{X}_d(G_*)$ to $|\mathcal{P}_d(G_*)|$ by gluing in the realizations of such closed intervals in some way that never changes the homotopy type. Using the above notation, the order we will use is in increasing order of the quantity $h(y) - h(x)$. Thus, when we glue in $[[x, y]]$, we do so along $|(x, y)| \cup |(x, y)|$. This is the suspension of $|(x, y)|$, so it suffices to see that $|(x, y)|$ is contractible. For this, we can mimic the proof of [WZ18, Lemma 4.7], which is the $d = 2$ case. Recall that a poset (Y, \sqsubseteq) is called *conically contractible* if there is a y_0 in Y and a poset map $g : Y \rightarrow Y$ such that $z \sqsubseteq g(z) \sqsupseteq y_0$ for all z in Y . A consequence of a poset being conically contractible is that its geometric realization is contractible. Since a poset and its opposite poset have isomorphic geometric realizations, we can also use the criterion $z \sqsupseteq g(z) \sqsubseteq y_0$. See the discussion in [Qui78, Section 1.5] for more details. Now, given any $z \in (x, y]$, define $g(z)$ to be the largest element of $[x, z]$ such that $x \leq g(z)$ (the idea is to replace any d -ary forest with its unique largest elementary subforest). By our hypothesis, $g(z)$ is in $[x, y]$ and it is also clearly in $(x, y]$, so we have $g(z) \in (x, y)$. Let $y_0 = g(y)$. Note that for any $z \in (x, y)$, we have $g(z) \leq y_0$. Therefore, $z \geq g(z) \leq y_0$ and (x, y) is conically contractible.

Action and stabilizers: The group $\mathcal{T}_d(G_*)$ acts on $\mathcal{X}_d(G_*)$ by left multiplication, which is well defined since the equivalence relation defining vertices of $\mathcal{X}_d(G_*)$ and the partial order dictating which vertices span cubes are both given by right multiplication. Note too that h is invariant under this action. We claim that the stabilizer of a vertex with h value m is isomorphic to G_m . Given such a vertex $[T_-, g, F_+]_G$ and an element $[U_-, h, U_+]$ of $\mathcal{T}_d(G_*)$, we have $[U_-, h, U_+][T_-, g, F_+]_G = [T_-, g, F_+]_G$ if and only if $[F_+, g^{-1}, T_-][U_-, h, U_+][T_-, g, F_+] = [1, h', 1]$ for some $h' \in G_m$ (since F_+ has m roots, the “1” here is the trivial forest with m roots, and $h' \in G_m$). Thus, $[U_-, h, U_+] \mapsto h'$ provides the desired isomorphism from the vertex stabilizer to G_m . Now we claim that any cube stabilizer is a finite index subgroup of a vertex stabilizer. Consider a cube in $\mathcal{X}_d(G_*)$, say with x its unique vertex with minimum h value and y its unique vertex with maximal h value. Since the action preserves h , the cube stabilizer lies in the stabilizer of the vertex x (and that of y). Since $\mathcal{X}_d(G_*)$ is upward-locally finite, $\text{Symm}(\{z \mid x \leq z\})$ is finite, so the kernel of $\text{Stab}(x) \rightarrow \text{Symm}(\{z \mid x \leq z\})$ has finite index in $\text{Stab}(x)$. This kernel clearly fixes our cube pointwise, so we conclude that the stabilizer of the cube has finite index in the stabilizer of x .

Cocompactness: Let $\mathcal{X}_d(G_*)^{h \leq m}$ be the full subcomplex of $\mathcal{X}_d(G_*)$ spanned by vertices with at most m feet. Since h is invariant under the action of $\mathcal{T}_d(G_*)$, all the $\mathcal{X}_d(G_*)^{h \leq m}$ are stabilized by this action. Given vertices $[T_-, g, F_+]_G$ and $[U_-, h, E_+]_G$ with the same h value, the product $[U_-, h, E_+][F_+, g^{-1}, T_-]$ exists, lies in $\mathcal{T}_d(G_*)$, and takes $[T_-, g, F_+]_G$ to $[U_-, h, E_+]_G$. Hence, $\mathcal{T}_d(G_*)$ is transitive on vertices of a given h value. Since $\mathcal{X}_d(G_*)$ is upward-locally finite, and since the vertices of any given $\mathcal{X}_d(G_*)^{h \leq m}$ only have finitely many h values, this shows that the action of $\mathcal{T}_d(G_*)$ on any $\mathcal{X}_d(G_*)^{h \leq m}$ is cocompact.

Let us summarize all of the above.

Proposition 4.2 *The group $\mathcal{T}_d(G_*)$ acts on the contractible cube complex $\mathcal{X}_d(G_*)$ with cube stabilizers isomorphic to finite index subgroups of the G_m . The action on each $\mathcal{X}_d(G_*)^{h \leq m}$ is cocompact.*

Remark 4.3 In [SZ21], there is a more general construction given for a self-similar group G , called the *H-Stein-Farley complex* for $H \leq G$, with the $H = G$ case recovering the original Stein-Farley complex. One could also fully generalize the *H-Stein-Farley complexes* from [SZ21] as we have just done in the $H = G$ case, to some sort of (H_*) -Stein-Farley complexes for $H_m \leq G_m$. This would be quite technical and tedious in general, and besides getting the braided Röver group to be F_∞ , it is unclear what this would buy us at the moment. Hence, we will do this (in the following subsection) just for the braided Röver group, where we can follow the comparatively easier road map from [BM16].

4.2 Descending links

In this subsection and the next, we prove Theorem 4.1. In a now-standard approach, we will combine Proposition 4.2 with Brown’s Criterion and discrete Morse theory to reduce the problem to an analysis of descending links.

First, let us recall some background on discrete Morse theory and descending links in general. Let Y be an affine cell complex, in the sense of [BB97]. Let $h: Y \rightarrow \mathbb{R}$ be a

map such that the image of the vertex set of Y is a closed, discrete subset of \mathbb{R} . If the restriction of h to every positive-dimensional cell is a nonconstant affine map, then we call h a *Morse function*. These conditions ensure that for every cell, there is a unique vertex at which h achieves its maximum value on that cell. The *descending star* $st^\downarrow v$ of a vertex v is the subcomplex of Y consisting of all cells for which v is this vertex with maximum h value. The *descending link* $lk^\downarrow v$ of v is the link of v in $st^\downarrow v$, i.e., the space of directions out of v along which h strictly decreases. (One could analogously define ascending stars and links, but here we will only use the descending version.)

The point of Morse theory is that an understanding of the descending links can translate to an understanding of the whole complex. The following essentially combines the Morse Lemma from [BB97] with Brown’s Criterion from [Bro87], to produce a sufficient condition for a group to be of type F_n . See [SZ21, Lemma 3.1] for an F_∞ version of the following, which works exactly analogously for F_n .

Citation 4.4 (Brown’s Criterion plus discrete Morse theory) *Let Γ be a group acting cellularly on an $(n - 1)$ -connected CW complex X . Suppose that the stabilizer of any p -cell is of type F_{n-p} . Let $h : X \rightarrow \mathbb{R}$ be a Γ -invariant Morse function such that the sublevel complexes $X^{h \leq m}$ are Γ -cocompact for each $m \in \mathbb{R}$. If there exists $t \in \mathbb{R}$ such that for all $x \in X^{(0)}$ with $h(x) \geq t$, we have that $lk^\downarrow x$ is $(n - 1)$ -connected, then Γ is of type F_n .*

Now let us discuss descending links in $\mathcal{X}_d(G_*)$. Since $\mathcal{X}_d(G_*)$ is a cube complex, the link of a vertex v is a simplicial complex, with a k -simplex for each $(k + 1)$ -cube containing v . The descending link is the subcomplex whose simplices correspond to cubes for which the vertex is the one with maximum h value, i.e., the most feet. Thus, a k -simplex in $lk^\downarrow v$, say with $h(v) = m$, is represented by $v[1, g, E]_G$, for g some element of G_m and E some elementary d -ary forest with m leaves and $k + 1$ carets. The face relation is given by removing carets from E . Note that the barycentric subdivision of $lk^\downarrow v$ is naturally a subcomplex of $|\mathcal{P}_d(G_*)|$, namely the full subcomplex spanned by all the $v[1, g, E]_G$.

There is a convenient model for the descending links. Note that, up to the action of $\mathcal{T}_d(G_*)$, $lk^\downarrow v$ only depends on $h(v)$. Thus, the following complex is isomorphic to every descending link of a vertex with m feet:

Definition 4.1 (Model for descending link) *Let $\mathcal{L}_d^m(G_*)$ be the simplicial complex with a k -simplex for each $[1, g, E]_G$ for $g \in G_m$ and E an elementary d -ary forest with m leaves and $k + 1$ carets, with face relation given by removing carets from E .*

The culmination of Sections 4.1 and 4.2 is the following, which holds for any d -ary cloning system.

Proposition 4.5 *Suppose that G_m is of type F_n for all m and that $\mathcal{L}_d^m(G_*)$ is $(n - 1)$ -connected for all but finitely many m . Then $\mathcal{T}_d(G_*)$ is of type F_n .*

Proof We look at $\mathcal{T}_d(G_*)$ acting on $\mathcal{X}_d(G_*)$, with the Morse function h , and verify the requirements from Citation 4.4. By Proposition 4.2, $\mathcal{X}_d(G_*)$ is contractible (hence $(n - 1)$ -connected), the stabilizer of any p -cube is isomorphic to a finite index subgroup of some G_m , so is of type F_n (hence F_{n-p}) by assumption, and the sublevel complexes are all cocompact. Since $\mathcal{L}_d^m(G_*)$ is $(n - 1)$ -connected for all but finitely many m , the statement about descending links holds, and so we are done. ■

4.3 Descending links in the braided case

Now we return to the special case from Section 3.3. We have a braided self-similar group $G \leq \text{brAut}(\mathcal{T}_d)$ of type F_n , and need to prove that $\text{br}V_d(G) = \mathcal{T}_d(B_* \wr G)$ is of type F_n . As indicated by Proposition 4.5, the key thing to show is that $\mathcal{L}_d^m(B_* \wr G)$ is $(n - 1)$ -connected for all but finitely many m . To analyze the higher connectivity of $\mathcal{L}_d^m(B_* \wr G)$, we will use a strategy that essentially comes from [BFM+16] in the case when $G = \{1\}$ and $d = 2$, and more generally from [SW] in the case when G is braided self-identical.

The idea is to map $\mathcal{L}_d^m(B_* \wr G)$ to a complex that is easier to understand. We will use the following complex, considered in [SW].

Definition 4.2 (*d*-marked point disk complex) Let $\mathbb{S}_{b,m}^g$ be a surface with b boundary components, m marked points, and genus g . The *d*-marked-point-disk complex $\mathbb{D}_d(\mathbb{S}_{b,m}^g)$ is the simplicial complex defined as follows. A k -simplex is an isotopy class of $k + 1$ disjointly embedded disks such that each disk encloses precisely d marked points in its interior and has no marked points in its boundary. (Here, whenever we say “isotopy,” it is implicit that all the intermediate maps in the isotopy must satisfy these rules as well, e.g., marked points cannot drift out of the interior of the disk and then back in.) The face relation is given by taking subsets.

Remark 4.6 This complex is related to the curve complex first defined by Harvey in [Har81], namely, outside some low-complexity cases, $\mathbb{D}_d(\mathbb{S}_{b,m}^g)$ can be viewed as a full subcomplex of the curve complex. Indeed, given a disk enclosing d marked points, we can take its boundary curve, which “usually” gives a vertex in the curve complex. This fails if the boundary curve bounds a disk, a punctured sphere, or an annulus on the other side, which can only happen if $m \leq d + 1$. It might also happen that two disks are disjoint up to isotopy, but their boundary curves are isotopic, which can happen when $m = 2d$.

Our next goal is to map $\mathcal{L}_d^m(B_* \wr G)$ to $\mathbb{D}_d(\mathbb{S}_{1,m}^0)$ in a certain way. First, we describe a useful alternate viewpoint of elementary d -ary forests. Let L_{m-1} be the *linear graph* with m vertices, that is, the graph with m vertices labeled 1 to m , and $m - 1$ edges, one connecting i to $i + 1$ for each $1 \leq i < m$. Call a subgraph of L_{m-1} a *d*-matching on L_{m-1} if each of its connected components is a subgraph of length $d - 1$. The set of d -matchings forms a simplicial complex called the *d*-matching complex, denoted by $\mathcal{M}_d(L_{m-1})$, where a matching forms a k -simplex whenever it consists of $k + 1$ disjoint paths, and the face relation is given by inclusion. Observe that there is a bijection between the set of elementary d -ary forests with m leaves and the set of d -matchings on L_{m-1} . Under the identification, d -carets correspond to paths of length $d - 1$. See Figure 5 for example.

Let $\mathbb{S}_m = \mathbb{S}_{1,m}^0$ be the unit disk with m marked points given by fixing an embedding $L_{m-1} \hookrightarrow \mathbb{S}_m$ of the linear graph with $m - 1$ edges into $\mathbb{S}_{1,m}^0$ (so the marked points are the images of the vertices). The braid group B_m on m strands is isomorphic to the mapping class group of the disk with m marked points [Bir75], so we have an action of B_m on $\mathbb{D}_d(\mathbb{S}_m)$, which will be convenient to take to be a right action.

Define a map $\mu: \mathcal{L}_d^m(B_* \wr G) \rightarrow \mathbb{D}_d(\mathbb{S}_m)$ as follows. For $[1, \beta(f_1, \dots, f_m), E]_G$ a simplex in $\mathcal{L}_d^m(B_* \wr G)$, first view the elementary d -ary forest E as a d -matching in

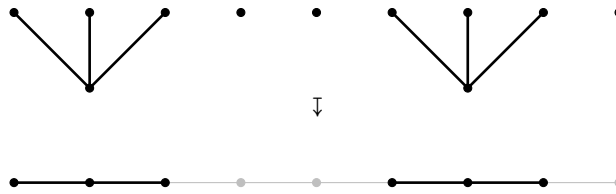


Figure 5: An example of the bijective correspondence between elementary 3-ary forests with nine leaves and simplices of $\mathcal{M}_3(L_8)$.

$\mathcal{M}_d(L_{m-1})$ as above. Then take a disk tubular neighborhood of the d -matching, call it D_E , to obtain a simplex in $\mathbb{D}_d(\mathcal{S}_m)$. Forget the labels (f_1, \dots, f_m) , and then act on D_E by applying β^{-1} on the right. In this way, we obtain a simplicial map

$$\begin{aligned} \mu: \mathcal{L}_d^m(B_* \wr G) &\rightarrow \mathbb{D}_d(\mathcal{S}_m) \\ [1, \beta(f_1, \dots, f_m), E]_G &\mapsto (D_E)\beta^{-1}. \end{aligned}$$

Lemma 4.7 *The map μ is well defined.*

Proof Say E has q roots. Let $\gamma(g_1, \dots, g_q) \in B_q \wr G$, so

$$[1, \beta(f_1, \dots, f_m), E]_G = [1, \beta(f_1, \dots, f_m), E][1, \gamma(g_1, \dots, g_q), 1]_G.$$

Let $\gamma'(g'_1, \dots, g'_m) \in B_q \wr G$ and E' be such that

$$[1, 1, E][1, \gamma(g_1, \dots, g_q), 1] = [1, \gamma'(g'_1, \dots, g'_m), 1][1, 1, E']_G.$$

Then

$$[1, \beta(f_1, \dots, f_m), E][1, \gamma(g_1, \dots, g_q), 1]_G = [1, \beta\gamma'(f'_1, \dots, f'_m)(g'_1, \dots, g'_m), 1][1, 1, E']_G,$$

for some f'_i , so μ sends this to $(D_{E'}) (\beta\gamma')^{-1}$. We need to show that this equals $(D_E)\beta^{-1}$, or equivalently that $(D_{E'}) (\gamma')^{-1} = D_E$, i.e., $(D_E)\gamma' = D_{E'}$. Note that γ' is obtained by taking γ , turning each strand corresponding to a root of E with a d -caret on it into d parallel strands (call this intermediate braid γ''), and then on each such collection of d parallel strands applying some $\phi(g_i) \in B_d$ to it (where ϕ is as in Section 2.1). When acting on D_E though, since each of these local copies of the $\phi(g_i)$ is supported in the interior of one of the disks in the disk system, they do not change the (equivalence class of the) disk system. It is clear that $(D_E)\gamma'' = D_{E'}$, so we conclude that also $(D_E)\gamma' = D_{E'}$. ■

One can visualize μ as taking $[1, \beta(f_1, \dots, f_n), E]_G$, viewing it as the braid β with strands labeled by the f_i at the bottom and with E serving to “merge” strands together, and then forgetting the labels f_i , considering the merges as d -matchings, enlarging them to disks, “combing straight” the braid, and seeing where the disks are taken. See Figure 6 for example. Note that the resulting simplex $(D_E)\beta^{-1}$ of $\mathbb{D}_d(\mathcal{S}_m)$ has the same dimension as the simplex $[1, \beta(f_1, \dots, f_m), E]_G$ of $\mathcal{L}_d^m(B_* \wr G)$, so in particular μ is simplexwise injective. Also, note that if $d > 2$, then the $\phi(g_i)$ from the proof of Lemma 4.7 could change the d -matchings, but cannot change their corresponding disks, hence why we use disk complexes instead of, say, arc complexes.

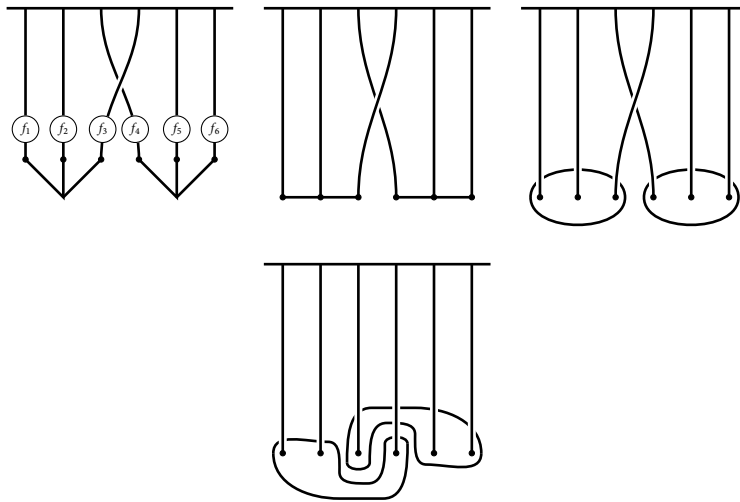


Figure 6: An illustration of $\mu : \mathcal{L}_3^6(B_* \wr G) \rightarrow \mathbb{D}_3(\mathcal{S}_6)$. The successive pictures show the process of flattening to a 3-matching, expanding the matching to disks, forgetting the labels, and “combing straight” the braid.

In the following proofs, we will need a useful tool introduced by Hatcher and Wahl called the *complete join* [HW10].

Definition 4.3 (Complete join [complex]) A surjective simplicial map $v : Y \rightarrow X$ is called a *complete join* if it satisfies the following properties:

- (1) v is simplexwise injective.
- (2) For each k -simplex σ of X , say with vertices v_0, \dots, v_k , the fiber $v^{-1}(\sigma)$ equals the join $v^{-1}(v_0) * \dots * v^{-1}(v_k)$.

In this case, we call Y a *complete join complex over X* .

Definition 4.4 (Weakly Cohen–Macaulay) A simplicial complex X is called *weakly Cohen–Macaulay (wCM) of dimension n* if X is $(n - 1)$ -connected and the link of each k -simplex of X is $(n - k - 2)$ -connected. (Note that X need not necessarily be n -dimensional.)

The main result regarding complete joins that we will use is the following.

Citation 4.8 [HW10, Proposition 3.5] *If Y is a complete join complex over a complex X that is wCM of dimension n , then Y is also wCM of dimension n .*

Now we would like to use the map $\mu : \mathcal{L}_d^m(B_* \wr G) \rightarrow \mathbb{D}_d(\mathcal{S}_{1,m}^0)$ to prove higher connectivity of $\mathcal{L}_d^m(B_* \wr G)$, and hence of the descending links in the Stein–Farley complex. First, we need the following, which is proved in [SW].

Citation 4.9 [SW] For any $d \geq 2$, the complex $\mathbb{D}_d(\mathcal{S}_{b,m}^g)$ is $\left(\lfloor \frac{m+1}{2d-1} \rfloor - 2\right)$ -connected.

Corollary 4.10 For any $d \geq 2$, the complex $\mathbb{D}_d(\mathcal{S}_{b,m}^g)$ is wCM of dimension $\lfloor \frac{m+1}{2d-1} \rfloor - 1$.

Proof Since $\mathbb{D}_d(\mathcal{S}_{b,m}^g)$ is $\left(\lfloor \frac{m+1}{2d-1} \rfloor - 2\right)$ -connected by Citation 4.9, we just need to prove that the link of any k -simplex is $\left(\lfloor \frac{m+1}{2d-1} \rfloor - k - 3\right)$ -connected. Let $\sigma = \{D_0, \dots, D_k\}$ be a k -simplex in $\mathbb{D}_d(\mathcal{S}_{b,m}^g)$. Let S' be the surface obtained from $\mathcal{S}_{b,m}^g$ by removing the interiors of the D_i , including the $d(k+1)$ many marked points in their interiors, leaving $k+1$ new boundary components. Since the D_i are pairwise disjoint, S' is connected, and hence is of the form $\mathcal{S}_{b+(k+1), m-d(k+1)}^g$. The link of σ is, therefore, isomorphic to $\mathbb{D}_d(\mathcal{S}_{b+(k+1), m-d(k+1)}^g)$, which is $\left(\lfloor \frac{m-d(k+1)+1}{2d-1} \rfloor - 2\right)$ -connected by Citation 4.9. Since $\frac{-d(k+1)}{2d-1} \geq -(k+1)$, this is also $\left(\lfloor \frac{m+1}{2d-1} \rfloor - k - 3\right)$ -connected, so we are done. ■

Proposition 4.11 For any $d \geq 2$ and any $m \in \mathbb{N}$, the complex $\mathcal{L}_d^m(B_* \wr G)$ is wCM of dimension $\lfloor \frac{m+1}{2d-1} \rfloor - 1$, so in particular is $\left(\lfloor \frac{m+1}{2d-1} \rfloor - 2\right)$ -connected.

Proof Since $\mathbb{D}_d(\mathcal{S}_{1,m}^0)$ is wCM of dimension $\lfloor \frac{m+1}{2d-1} \rfloor - 1$ by Corollary 4.10, it suffices by Citation 4.8 to prove that $\mu: \mathcal{L}_d^m(B_* \wr G) \rightarrow \mathbb{D}_d(\mathcal{S}_{1,m}^0)$ is a complete join. We know that μ is surjective and simplexwise injective. Now we need to show that the fiber under μ of any simplex in $\mathbb{D}_d(\mathcal{S}_{1,m}^0)$ is the join of the fibers of its vertices. Clearly, the fiber of the simplex lies in the join of the vertex fibers, so we need to prove the reverse inclusion. This means that we need to prove that any collection of $k+1$ vertices in $\mathcal{L}_d^m(B_* \wr G)$ whose images under μ span a k -simplex in $\mathbb{D}_d(\mathcal{S}_{1,m}^0)$ themselves span a k -simplex in $\mathcal{L}_d^m(B_* \wr G)$. We induct on k . The base case $k=0$ is trivial, so assume $k \geq 1$. Let v_0, \dots, v_k be $k+1$ vertices in $\mathcal{L}_d^m(B_* \wr G)$ whose images under μ span a k -simplex in $\mathbb{D}_d(\mathcal{S}_{1,m}^0)$. By induction, v_1, \dots, v_k span a $(k-1)$ -simplex, call it σ . Now $\mu(\sigma)$ is a collection of k pairwise disjoint disks, and $\mu(v_0)$ is a disk disjoint from all the disks in $\mu(\sigma)$. Up to the left action of $B_m \wr G$ on $\mathcal{L}_d^m(B_* \wr G)$, we can assume without loss of generality that σ is of the form $[1, 1, E]_G$ for some E . Now say $v_0 = [1, \beta(f_1, \dots, f_m), e]_G$ for some $\beta(f_1, \dots, f_m) \in B_m \wr G$ and e some elementary d -ary forest with exactly one d -caret. Since $\mu(\sigma) = D_E$ is disjoint from $\mu(v_0) = (D_e)\beta^{-1}$, we know that $[1, \beta(f_1, \dots, f_m), E]_G = [1, 1, E]_G$. Setting E' equal to the elementary d -ary forest obtained as the union of E and e , we therefore have that $[1, \beta(f_1, \dots, f_m), E']_G$ is a k -simplex containing both σ and v_0 . ■

Now we can prove Theorem 4.1.

Proof of Theorem 4.1 We have a braided self-similar group $G \leq \text{brAut}(\mathcal{T}_d)$ of type F_n , and need to prove that $\text{br}V_d(G) = \mathcal{T}_d(B_* \wr G)$ is of type F_n . Note that G being of type F_n implies each $B_m \wr G$ is of type F_n , since a direct product of finitely many type F_n groups is of type F_n , and an extension of a type F_n group (like B_d) by a type F_n group is type F_n (see, e.g., [Geo08, Theorem 7.2.21]). Hence, by Proposition 4.5, we just need to prove that $\mathcal{L}_d^m(B_* \wr G)$ is $(n-1)$ -connected for all but finitely many m . This follows from Proposition 4.11, since $\lfloor \frac{m+1}{2d-1} \rfloor - 2$ goes to ∞ with m . ■

5 Finiteness properties of the braided Röver group

This section is entirely about the braided Röver group $\text{br}V_2(\text{brGrig})$. For brevity, let us write $\text{br}R$ for the group, and we will also introduce other concise notation as we go. The main result of this section is the following.

Theorem 5.1 *The braided Röver group $\text{br}R$ is of type F_∞ .*

Note that brGrig is not even finitely presented (Lemma 2.14) much less F_∞ , so Theorem 4.1 does not apply. In particular, $\text{br}R$ shows that the converse of Theorem 4.1 is not true in general, in contrast to the braided self-identical case done in [SW]. Our road map is Belk and Matucci’s proof that the Röver group $V_2(\text{Grig})$ is of type F_∞ [BM16]. We will also make use of the constructions from Section 4.

To begin, we return to the groupoid $\mathcal{G} := \mathcal{G}_2(B_* \wr \text{brGrig})$ of elements of the form $[F_-, g, F_+]$, for F_\pm forests with m leaves and $g \in B_m \wr \text{brGrig}$. (In this section, all trees and forests are binary.) As before, consider elements of the form $[T_-, g, F_+]$ (for T_- a tree), and mod out an equivalence relation given by right multiplication by elements of the form $[1, g', 1]$, but this time only do so for $g = \beta(f_1, \dots, f_m)$ with

$$f_1, \dots, f_m \in Z := \langle b, c, d \rangle \leq \text{brGrig}.$$

The reason for doing this is that $Z \cong \mathbb{Z}^2$ is of type F_∞ , unlike brGrig itself. Write

$$[T_-, g, F_+]_Z$$

for the resulting equivalence classes, and write \sim_Z for the equivalence relation.

As before, define a partial order on these equivalence classes $[\tau]_Z$ (for τ a triple of the form $\tau = (T_-, g, F_+)$) by declaring that $[\tau]_Z \leq [\tau][F, 1, 1]_Z$ for any forest F with m roots. Note that we must account for changing representatives up to \sim_Z , so we moreover have $[\tau]_Z \leq [\tau][1, g', 1][F, 1, 1]_Z$ for any $g' \in B_m \wr Z$. This is not transitive as defined, since we must account for changing representatives up to \sim_Z , so we actually take the transitive closure. Thus, an upper bound of $[\tau]_Z$ looks like $[\tau][F_1, g'_1, 1] \cdots [F_k, g'_k, 1]_Z$ for F_i some forests and g'_i elements of the relevant braid groups wreathed with Z (not with all of brGrig , to reiterate). Write $\mathcal{P}_2(B_* \wr \text{brGrig})_Z$ for this poset, or \mathcal{P}_Z for short.

Lemma 5.2 *For any $g \in B_q \wr \text{brGrig}$, there exists a forest F such that $[1, g, 1][F, 1, 1] = [F', g', 1]$ for some F' and some g' not only in $B_m \wr \text{brGrig}$ but even in $B_m \wr Z$ for appropriate m .*

Proof Intuitively, this means that we can apply successive braided wreath recursions to g until all the “ a ”s are gone.

First, note that if $g \in B_q$, then the result holds for any F , not just some F , so without loss of generality g has no “braid part”, i.e., $g \in \prod_q \text{brGrig}$ (and at this point the desired F' will be F). Now, clearly, without loss of generality, $q = 1$, so $g \in \text{brGrig}$, and we are looking for a tree T such that $[1, g, 1][T, 1, 1] = [T, g', 1]$ for $g' \in B_m \wr Z$. Write

$$g = z_1 a^{k_1} z_2 \cdots a^{k_\ell} z_{\ell+1}$$

for $z_i \in Z$ and $k_i \in \mathbb{Z}$, as a reduced expression in the sense of Lemma 2.11. We will induct on the length of this expression. If the length is 1 and $g \in Z$, we can just take T

to be trivial, and if the length is 1 and g is a power of a , then we can take T to be a single caret. Now assume that the length is greater than 1. Let \wedge be the tree with one caret, so $[1, g, 1] = [\wedge, (g)\kappa_1^1, \wedge]$. We have that $(g)\kappa_1^1$ is of the form $\zeta^k(g_1, g_2)$ for some $k \in \mathbb{Z}$ and $g_1, g_2 \in \text{brGrig}$, and by Lemma 2.11 the length of each of g_1 and g_2 is less than that of g . By induction, we can therefore choose a tree T such that $[1, g, 1] = [T, g', T]$ with $g' \in B_m \wr Z$ for some m . Now $[1, g, 1][T, 1, 1] = [T, g', 1]$ as desired. ■

Corollary 5.3 *The poset \mathcal{P}_Z is directed, so its geometric realization is contractible.*

Proof Let $[T_-, g, F_+]_Z$ and $[U_-, h, D_+]_Z$ be elements of \mathcal{P}_Z . Up to taking upper bounds, we can assume that F_+ and D_+ are trivial. Now observe that thanks to Lemma 5.2, there exist forests F and F' such that $[1, g, 1][F, 1, 1] = [F', g', 1]$ for g' not only in $B_m \wr \text{brGrig}$ (for appropriate m) but even in $B_m \wr Z$. Hence, $[1, g, 1][F, 1, 1]_Z = [F', 1, 1]_Z$. This shows that, up to taking upper bounds, we can assume that g and h are trivial. At this point, our elements are $[T_-, 1, 1]_Z$ and $[U_-, 1, 1]_Z$, which clearly have a common upper bound. ■

Our next goal is to construct an analog of the Stein–Farley complex. It will no longer have a cubical structure, but it will have a polysimplicial structure. First, we need some definitions and notation.

Definition 5.1 (Direct sum) Given braids $\beta \in B_m$ and $\beta' \in B_{m'}$, the *direct sum* $\beta \oplus \beta'$ is the element of $B_{m+m'}$ obtained by setting β and β' next to each other, so the first m strands braid according to β , and the last m' strands braid according to β' . Given a forest F with q roots and a forest F' with q' roots, the *direct sum* $F \oplus F'$ is the forest with $q + q'$ roots whose first q trees comprise F and whose last q' trees comprise F' . Now let $[F_-, g, F_+], [F'_-, g', F'_+] \in \mathcal{G}$. Say $g = \beta(f_1, \dots, f_m)$ and $g' = \beta'(f'_1, \dots, f'_{m'})$. Let $F''_- = F_- \oplus F'_-$, let $F''_+ = F_+ \oplus F'_+$, let $\beta'' = \beta \oplus \beta'$, and let $g'' = \beta''(f_1, \dots, f_m, f'_1, \dots, f'_{m'})$. Then the *direct sum* $[F_-, g, F_+] \oplus [F'_-, g', F'_+]$ is the element $[F''_-, g'', F''_+]$ of \mathcal{G} .

Definition 5.2 (Splitting, simple splitting) Call an element of \mathcal{G} of the form

$$\Delta = [F_1, g_1, 1] \cdots [F_k, g_k, 1]$$

for $g_i \in B_{m_i} \wr Z$ (for appropriate m_i) a *splitting*, so in the poset \mathcal{P}_Z we have $[\tau]_Z \leq [\tau]\Delta_Z$ for any splitting Δ . Call this a *one-head splitting* if F_1 is a tree. A *simple splitting* is one that is \sim_Z -equivalent to a direct sum of finitely many copies of 1 together with a one-head splitting Δ . Intuitively, a splitting is simple if only one “head” actually gets split. Note that every splitting is a product of simple splittings.

Definition 5.3 (Weakly elementary) Write \wedge for the tree with one caret. Write \wedge^2 for the tree obtained from \wedge by adding a caret to its left leaf. Call a splitting *weakly elementary* if it is \sim_Z -equivalent to a direct sum of finitely many splittings, each of which is of one of the following forms:

$$[1, 1, 1], [\wedge, (a^k, 1), 1] \text{ for some } k \in \mathbb{Z}, \text{ or } [\wedge^2, 1, 1].$$

Let us abuse notation and write $1 = [1, 1, 1]$, $\wedge(a^k, 1) = [\wedge, (a^k, 1), 1]$, and $\wedge^2 = [\wedge^2, 1, 1]$, so the weakly elementary splittings are those that are \sim_Z -equivalent to direct sums of copies of 1 , $\wedge(a^k, 1)$ ($k \in \mathbb{Z}$), and \wedge^2 .

Note that the splitting $[1, b^k, 1][\wedge, (1, c^{-k}), 1]$ equals $[\wedge, (a^k, c^k), \wedge][\wedge, (1, c^{-k}), 1] = \wedge(a^k, 1)$, so $\wedge(a^k, 1)$ really is a splitting. Also, note that any weakly elementary simple splitting is \sim_Z -equivalent to a direct sum of finitely many copies of 1 together with a single splitting of the form $1, \wedge(a^k, 1)$ for some $k \in \mathbb{Z}$, or \wedge^2 .

For $[\tau]_Z \in \mathcal{P}_Z$ and Δ a splitting such that the product $[\tau]\Delta$ makes sense, so $[\tau]_Z \leq [\tau]\Delta_Z$, write $[\tau]_Z \trianglelefteq [\tau]\Delta_Z$ if Δ is weakly elementary. Call a simplex in $|\mathcal{P}_Z|$ *weakly elementary* if it is of the form $x_0 < \dots < x_k$ with $x_i \trianglelefteq x_j$ for all $i < j$. The weakly elementary simplices form a subcomplex of $|\mathcal{P}_Z|$. We will denote this subcomplex by $\mathcal{X}_2(B_* \wr \text{brGrig})_Z$, or \mathcal{X}_Z for short, and call it the *Z-Stein-Farley complex* for the braided Röver group. (Later we will identify \mathcal{X}_Z with a polysimplicial complex that is more akin to the Stein-Farley cube complexes constructed earlier for general d -ary cloning systems.)

Proposition 5.4 *The Z-Stein-Farley complex \mathcal{X}_Z is contractible.*

Proof We can follow the argument in Section 4.1 that the usual Stein-Farley complex for a d -ary cloning system is contractible, in exactly the same way, and reduce the problem to proving that the realization $|(x, y)|$ is contractible, for any $x \leq y$ in \mathcal{P}_Z with $x \not\leq y$. This in turn will follow by the same argument as in Section 4.1, provided we can show that for any $x \leq y$ with $x \not\leq y$ there exists a unique largest $y_0 \in (x, y)$ such that $x \trianglelefteq y_0$. Without loss of generality, $x = 1$. Analogously to the proofs of Lemma 4.7 and Proposition 4.8 of [BM16] (for the Röver group), it suffices to prove that if we have both $\wedge(a^k, 1)_Z \leq y$ and $\wedge(a^\ell, 1)_Z \leq y$ for some $k \neq \ell$, then $\wedge^2_Z \leq y$. Let Δ and Δ' be splittings such that $\wedge(a^k, 1)\Delta_Z = y$ and $\wedge(a^\ell, 1)\Delta'_Z = y$, so $\wedge(a^k, 1)\Delta_Z = \wedge(a^\ell, 1)\Delta'_Z$. Thus, $\Delta^{-1}(a^{\ell-k}, 1)\Delta' \in B_m \wr Z$ for appropriate m (identifying $B_m \wr Z$ with its image in \mathcal{G} under $g \mapsto [1, g, 1]$). Now note that if $\wedge^2_Z \not\leq y$, then we have $\Delta = z \oplus \bar{\Delta}$ and $\Delta' = z' \oplus \bar{\Delta}'$ for some $z, z' \in Z$ and some splittings $\bar{\Delta}$ and $\bar{\Delta}'$ (that is, neither Δ nor Δ' can involve “splitting the first head”). But then, $\Delta^{-1}(a^{\ell-k}, 1)\Delta'$ is the direct sum of $z^{-1}a^{\ell-k}z'$ with some element of $B_{m-1} \wr Z$, which implies $z^{-1}a^{\ell-k}z' \in Z$, a contradiction since $a^{\ell-k} \notin Z$. We conclude that $\wedge^2_Z \leq y$. ■

5.1 Polysimplicial structure

Now let us describe the polysimplicial structure on \mathcal{X}_Z . A *polysimplex* is a euclidean polytope that is a product of simplices. A *polysimplicial complex* is an affine cell complex whose cells are polysimplices, and such that any two cells intersect in a (possibly empty) common face of each. Polysimplicial complexes are a simultaneous generalization of simplicial and cubical complexes. The polysimplicial structure on \mathcal{X}_Z is built out of the following pieces.

Definition 5.4 (Basic polysimplex, bottom vertex) Let $[\tau]_Z$ be a vertex in \mathcal{X}_Z , say with $h([\tau]_Z) = m$ (here h is the “number of feet” function from before). For each $1 \leq i \leq m$, let S_i be one of the following sets:

$$\{1\}, \{1, \wedge(a^k, 1)\} \text{ for some } k \in \mathbb{Z}, \{1, \wedge^2\}, \text{ or } \{1, \wedge(a^k, 1), \wedge^2\} \text{ for some } k \in \mathbb{Z}.$$

Then the corresponding *basic polysimplex* $\text{poly}([\tau]; S_1, \dots, S_m)$ is the full subcomplex of \mathcal{X}_Z spanned by the vertex set

$$\{[\tau](\Delta_1 \oplus \dots \oplus \Delta_m)_Z \mid \Delta_i \in S_i\}.$$

The *bottom* vertex of this basic polysimplex is $[\tau]_Z$.

Note that $\text{poly}([\tau]; S_1, \dots, S_m)$ is a subdivision of a product of simplices, one for each S_i , where the dimension of the simplex corresponding to S_i is $|S_i| - 1$. In particular, it makes sense to view this as a polysimplex.

It is clear that every weakly elementary simplex lies in some basic polysimplex, so the basic polysimplices cover \mathcal{X}_Z . To see that the basic polysimplices form a polysimplicial complex with \mathcal{X}_Z as a simplicial subdivision, it remains to show that any intersection of two basic polysimplices is a common face of each. Before proving this, we need a definition.

Definition 5.5 (Depth, nonexpanding) For a forest F , the *depth* of a leaf is the distance from the leaf to the root of the tree in F containing the leaf. Let $[F_-, \beta(g_1, \dots, g_m), F_+] \in \mathcal{G}$. Call this groupoid element *nonexpanding at the j th foot* if for every leaf of the j th tree of F_+ , say it is the i th leaf of F_+ , we have that the depth of the i th leaf in F_+ is at most the depth of the $\pi(\beta)(i)$ th leaf of F_- . (Note that this property is invariant under expansions and reductions, so is well defined on elements of \mathcal{G} .) If an element is nonexpanding at the j th foot for all j , call it *nonexpanding*.

The terminology “nonexpanding” is inspired by the nonbraided case, as in [BM16], where groupoid elements are homeomorphisms between disjoint unions of copies of the Cantor set.

Lemma 5.5 Any intersection of two basic polysimplices is a common face of each.

Proof The proof of Lemma 5.3 of [BM16] for the Röver group can almost be copied verbatim for the braided case (up to changing notation and some left/right conventions), with just one step requiring justification, which we will point out when we reach it. Let $P = \text{poly}([\tau]; S_1, \dots, S_m)$ and $Q = \text{poly}([\omega]; T_1, \dots, T_q)$ be basic polysimplices. Each S_i has a natural total order, consistent with \leq in \mathcal{P}_Z when considered up to the “mod Z ” equivalence relation, so it makes sense to take the minimum of a collection of elements of a given S_i . Define a binary operation \wedge_P on the vertices of P via

$$\begin{aligned} & [\tau](\Delta_1 \oplus \dots \oplus \Delta_m)_Z \wedge_P [\tau](\Delta'_1 \oplus \dots \oplus \Delta'_m)_Z \\ & := [\tau](\min(\Delta_1, \Delta'_1) \oplus \dots \oplus \min(\Delta_m, \Delta'_m))_Z. \end{aligned}$$

Define \wedge_Q analogously. If $P \cap Q = \emptyset$, we are done, so suppose not. Let $v, v' \in P \cap Q$, and we claim $v \wedge_P v' = v \wedge_Q v'$. Without loss of generality, $v \wedge_P v' = [\tau]_Z$ and $v \wedge_Q v' = [\omega]_Z$. Choose $\Delta_i, \Delta'_i \in S_i$ and $\Omega_i, \Omega'_i \in T_i$ such that

$$\begin{aligned} v &= [\tau](\Delta_1 \oplus \dots \oplus \Delta_m)_Z = [\omega](\Omega_1 \oplus \dots \oplus \Omega_q)_Z \\ \text{and } v' &= [\tau](\Delta'_1 \oplus \dots \oplus \Delta'_m)_Z = [\omega](\Omega'_1 \oplus \dots \oplus \Omega'_q)_Z. \end{aligned}$$

Now, for appropriate r and p , choose $\beta \in B_r, \beta' \in B_p$, and $z_1, \dots, z_r, z'_1, \dots, z'_p \in Z$ such that

$$\begin{aligned}
 [\tau](\Delta_1 \oplus \cdots \oplus \Delta_m) &= [\omega](\Omega_1 \oplus \cdots \oplus \Omega_q)\beta(z_1, \dots, z_r) \\
 \text{and } [\tau](\Delta'_1 \oplus \cdots \oplus \Delta'_m) &= [\omega](\Omega'_1 \oplus \cdots \oplus \Omega'_q)\beta(z'_1, \dots, z'_p).
 \end{aligned}$$

(As before, we identify $B_r \wr Z$ and $B_p \wr Z$ with their images in \mathcal{G} under $g \mapsto [1, g, 1]$.) Solving for $[\omega]^{-1}[\tau]$ in each equation yields

$$\begin{aligned}
 [\omega]^{-1}[\tau] &= (\Omega_1 \oplus \cdots \oplus \Omega_q)\beta(z_1, \dots, z_r)(\Delta_1 \oplus \cdots \oplus \Delta_m)^{-1} \\
 &= (\Omega'_1 \oplus \cdots \oplus \Omega'_q)\beta'(z'_1, \dots, z'_p)(\Delta'_1 \oplus \cdots \oplus \Delta'_m)^{-1}.
 \end{aligned}$$

We want to conclude that $[\tau]_Z = [\omega]_Z$, and this is the one step that cannot be copied verbatim from the nonbraided case. However, it does work analogously, using the above definition of nonexpanding. Since $\nu \wedge_P \nu' = [\tau]_Z$, we either have $\Delta_i = 1$ or $\Delta'_i = 1$ for each i . This shows that $[\omega]^{-1}[\tau]$ is nonexpanding. Analogously, $[\tau]^{-1}[\omega]$ is nonexpanding. The only way this can happen, given the options for the Δ_i and Ω_i , is if every Δ_i and Ω_i is trivial. We conclude that $[\tau]_Z = [\omega]_Z$, so \wedge_P and \wedge_Q agree when restricted to $P \cap Q$. By the exact same argument as in the proof of [BM16, Lemma 5.3], this shows that without loss of generality, $[\tau] = [\omega]$, and $P \cap Q = \text{poly}([\tau]; S_1 \cap T_1, \dots, S_m \cap T_m)$, which is a common face of both P and Q . ■

At this point, we can view \mathcal{X}_Z either with its simplicial structure, or with this new polysimplicial structure. We will write \mathcal{X}_Z for both, and no confusion should arise.

Lemma 5.6 *Any stabilizer in brR of a polysimplex in \mathcal{X}_Z is of type F_∞ .*

Proof First, note that the stabilizer of any vertex in \mathcal{X}_Z with h value m is isomorphic to $B_m \wr Z$, by the same argument as in Section 4.1 for $\mathcal{X}_d(G_*)$. Since \mathcal{X}_Z is not upward-locally finite (thanks to the weakly elementary splittings $\wedge(a^k, 1)$ for arbitrary $k \in \mathbb{Z}$), a polysimplex stabilizer need not have finite index in a vertex stabilizer, so we need to be more careful now. Let $P = \text{poly}([\tau]; S_1, \dots, S_m)$ be a polysimplex. Write $\text{Stab}_{\text{brR}}^0(P)$ for the pointwise stabilizer of P , so $\text{Stab}_{\text{brR}}^0(P)$ is a finite index subgroup of $\text{Stab}_{\text{brR}}(P)$. Since the bottom vertex $[\tau]_Z$ is the only vertex of P with m feet, any element stabilizing P must fix $[\tau]_Z$. Thus, we have inclusions $\text{Stab}_{\text{brR}}^0(P) \leq \text{Stab}_{\text{brR}}(P) \leq \text{Stab}_{\text{brR}}([\tau]_Z)$.

Note that $B_m \wr Z \cong \text{Stab}_{\text{brR}}([\tau]_Z)$, and analogously to the argument in Section 4.1, this isomorphism can be realized as

$$\eta: \beta(z_1, \dots, z_m) \mapsto [\tau][1, \beta(z_1, \dots, z_m), 1][\tau]^{-1}.$$

If β is pure, then for any $\Delta_i \in S_i$, we have $[1, \beta, 1](\Delta_1 \oplus \cdots \oplus \Delta_m)_Z = (\Delta_1 \oplus \cdots \oplus \Delta_m)_Z$. Hence, the restriction of η to PB_m lands in $\text{Stab}_{\text{brR}}^0(P)$. The standard projection $B_m \wr Z \rightarrow B_m$ induces, via η , an epimorphism $\theta: \text{Stab}_{\text{brR}}([\tau]_Z) \rightarrow B_m$. We have shown that the image of $\text{Stab}_{\text{brR}}^0(P)$ under θ contains PB_m , and so has finite index in B_m . The kernel of θ is isomorphic to Z^m . Since any finite index subgroup of B_m is of type F_∞ , and since any subgroup of Z^m is finitely generated free abelian, hence type F_∞ , we conclude that $\text{Stab}_{\text{brR}}^0(P)$ is of type F_∞ , being an extension of a type F_∞ group by a type F_∞ group. Since $\text{Stab}_{\text{brR}}^0(P)$ has finite index in $\text{Stab}_{\text{brR}}(P)$, we are done. ■

The failure of \mathcal{X}_Z to be upward-locally finite not only makes the stabilizer argument harder, but also makes the cocompactness argument harder. Let $\mathcal{X}_Z^{h \leq m}$ be the full subcomplex of \mathcal{X}_Z spanned by all vertices with at most m feet.

Lemma 5.7 For each $m \in \mathbb{N}$, the sublevel complex $\mathcal{X}_Z^{h \leq m}$ is cocompact under the action of $\text{br}R$.

Proof By the same argument as in Section 4.1 for $\mathcal{X}_d(G_*)$, the action is transitive on vertices with a given number of feet, so in $\mathcal{X}_Z^{h \leq m}$ there are finitely many (in fact exactly m) vertex orbits. However, since we no longer have upward-local finiteness, we need to do more work to see that there are finitely many polysimplex orbits. It suffices to prove that for any vertex $[\tau]_Z$, there are finitely many $\text{Stab}_{\text{br}R}([\tau]_Z)$ -orbits of polysimplices with $[\tau]_Z$ as their bottom vertex. Let $P = \text{poly}([\tau]; S_1, \dots, S_m)$ be a polysimplex with $[\tau]_Z$ as its bottom vertex. As in the proof of Lemma 5.6, we have $B_m \wr Z \cong \text{Stab}_{\text{br}R}([\tau]_Z)$ via the isomorphism

$$\psi: \beta(z_1, \dots, z_m) \mapsto [\tau][1, \beta(z_1, \dots, z_m), 1][\tau]^{-1}.$$

Note that for any $k \in \mathbb{Z}$, we have

$$\begin{aligned} [1, b^{-k}, 1][\wedge, (a^k, 1), 1]_Z &= [\wedge, (a^{-k}, c^{-k}), \wedge][\wedge, (a^k, 1), 1]_Z \\ &= [\wedge, (a^{-k}, c^{-k})(a^k, 1), 1]_Z \\ &= [\wedge, (1, c^{-k}), 1]_Z \\ &= [\wedge, (1, 1), 1]_Z. \end{aligned}$$

Moreover,

$$\begin{aligned} [1, b^{-k}, 1][\wedge^2, 1, 1]_Z &= [\wedge^2, (\zeta^{-k}(1, 1), c^{-k}), \wedge^2][\wedge^2, 1, 1]_Z \\ &= [\wedge^2, (\zeta^{-k}(1, 1), c^{-k}), 1]_Z \\ &= [\wedge^2, 1, 1]_Z. \end{aligned}$$

(Recall that ζ is our chosen generator of $B_2 \cong \mathbb{Z}$, with $a = \zeta(1, 1)$.) Define the *signature* $\|S_i\|$ of S_i to be 1 if $S_i = \{1\}$, 2 if $S_i = \{1, \wedge(a^k, 1)\}$ for some $k \in \mathbb{Z}$, 3 if $S_i = \{1, \wedge^2\}$, and 4 if $S_i = \{1, \wedge(a^k, 1), \wedge^2\}$ for some $k \in \mathbb{Z}$. Extrapolating using direct sums, the above calculations show that the $\text{Stab}_{\text{br}R}([\tau]_Z)$ -orbit of P includes every $\text{poly}([\tau]; T_1, \dots, T_m)$ with $\|T_i\| = \|S_i\|$ for each $1 \leq i \leq m$. Since there are only finitely many (four) possibilities for each $\|S_i\|$, we are done. ■

Combining Proposition 5.4 and Lemmas 5.6 and 5.7, we get the following.

Corollary 5.8 The group $\text{br}R$ acts on the contractible complex \mathcal{X}_Z with cell stabilizers of type F_∞ , and with cocompact sublevel complexes $\mathcal{X}_Z^{h \leq m}$.

5.2 Descending links

By Citation 4.4 and Corollary 5.8, to get $\text{br}R$ to be of type F_∞ , it remains to prove that descending links get arbitrarily highly connected. (Note that h is affine on polysimplices, so it really is a Morse function.) Much of the work in this subsection will be inspired by [BM16, Section 6] and [SZ21, Section 4.3].

Since \mathcal{X}_Z is a polysimplicial complex, the link of a vertex v is a simplicial complex, with a k -simplex for each $(k + 1)$ -dimensional polysimplex containing v . If the polysimplex achieves its maximum h value at v , then the corresponding simplex in the link of v is even in the descending link. Note that vertices with the same number of feet have isomorphic descending links, so just like the complexes $\mathcal{L}_d^m(B_* \wr G)$ from

Section 4.3, we can denote by \mathcal{L}_Z^m the simplicial complex that is isomorphic to the descending link of any vertex with m feet.

Definition 5.6 (Merging) Call an element Y of \mathcal{G} a *merging* if Y^{-1} is a splitting. Call it *simple* or *weakly elementary* if its inverse is, as a splitting. If $v = [\tau]_Z$ is a vertex in \mathcal{X}_Z and Y is a merging such that the product $[\tau]Y$ makes sense, then we call $[\tau]Y_Z$ a *merging of v* .

For Y a merging, write $Y^{(i)}$ for the merging $1 \oplus \dots \oplus 1 \oplus Y \oplus 1 \oplus \dots \oplus 1$, where Y is the i th direct summand. We see that the vertices of the descending link $\text{lk}^\downarrow v$ of v are precisely the nontrivial, weakly elementary, simple mergings of v . Writing $v := \wedge^{-1}$, this means that to obtain a vertex of $\text{lk}^\downarrow v$, we choose a representative $[\tau]$ of $v = [\tau]_Z$, take Y to be either $(a^k, 1)^\vee$ for some $k \in \mathbb{Z}$ or \vee^2 , and then take $[\tau]Y_Z^{(i)}$ for some i . If we already have a fixed representative $[\tau]$ of v , then in addition to all of the above, we first multiply $[\tau]$ on the right by an element of $B_m \wr Z$, where $m = h(v)$ (to account for potentially changing representatives). In all that follows, we will often identify $B_m \wr Z$ with its image in \mathcal{G} under $g \mapsto [1, g, 1]$.

We can denote vertices of \mathcal{L}_Z^m by $gY_Z^{(i)}$ for $g \in B_m \wr Z$, Y equal to either $(a^k, 1)^\vee$ for some $k \in \mathbb{Z}$ or \vee^2 , and i some appropriate number. If \mathcal{L}_Z^m is representing $\text{lk}^\downarrow[\tau]_Z$, then $gY_Z^{(i)}$ represents $[\tau]gY_Z^{(i)}$.

Definition 5.7 (Type, mass) Let $[\tau]_Z$ be a vertex of \mathcal{X}_Z with $h([\tau]_Z) = m$. Consider a vertex of $\text{lk}^\downarrow[\tau]_Z$, which is a nontrivial, weakly elementary, simple merging of $[\tau]_Z$, so one of the form $[\tau]gY_Z^{(i)}$, for $g = \beta(z_1, \dots, z_m) \in B_m \wr Z$, Y of the form $(a^k, 1)^\vee$ for some $k \in \mathbb{Z}$ or \vee^2 , and some i . Define the *type* of this merging to be Y , so the type is either $(a^k, 1)^\vee$ for some $k \in \mathbb{Z}$ or \vee^2 . Define the *mass* of this merging to be 2 if it is of type $(a^k, 1)^\vee$ for some $k \in \mathbb{Z}$, and 3 if it is of type \vee^2 (so the mass is the “number of feet” that Y is merging together).

In order to understand \mathcal{L}_Z^m , we will map it to a certain complex of disks, defined as follows.

Definition 5.8 ((2, 5/2)-disk complex) Let $S_{b,m}^g$ be a surface with b boundary components, m marked points, and genus g . The *(2, 5/2)-marked-point-disk complex* $\mathbb{D}_{2,5/2}(S_{b,m}^g)$ is the simplicial complex defined as follows. A vertex is an isotopy class of an embedded disk enclosing precisely two marked points in its interior and either 0 or 1 marked points in its boundary. If a disk has zero marked points in its boundary, call it a *2-disk*, and if it has one marked point in its boundary, call it a *5/2-disk* (two points inside plus one point “halfway inside” equals two and a half points inside, hence the name 5/2-disk). A k -simplex in $\mathbb{D}_{2,5/2}(S_{b,m}^g)$ is an isotopy class of $k + 1$ (pairwise nonisotopic) embedded disks such that each one is either a 2-disk or a 5/2-disk, and any two of them are either disjoint or nested. The face relation is given by taking subsets.

Here, when we call two isotopy classes “disjoint” or “nested,” we of course mean that they admit disjoint or nested representatives. If one disk is nested in a (nonisotopic) second disk, then necessarily the former is a 2-disk and the latter is a 5/2-disk. Similar to how the usual disk complex can be viewed as a subcomplex of the curve

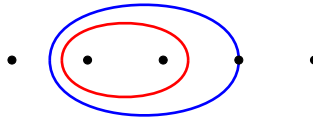


Figure 7: An example of a 1-simplex in $\mathbb{D}_{2,5/2}(\mathcal{S}_{1,5}^0)$. The disk bounded in red is a 2-disk, the disk bounded in blue is a 5/2-disk, and they are nested.

complex (outside some pathological cases), this $(2, 5/2)$ -marked-point-disk complex can usually be viewed as a subcomplex of the arc-and-curve complex: the boundary of a 2-disk is a curve and the boundary of a 5/2-disk is an arc. See Figure 7 for example. (The astute reader may wonder why we are using 5/2-disks instead of 3-disks; the answer is that the analog of Proposition 5.11 would not hold if we used 3-disks.)

Now let us map \mathcal{L}_Z^m to $\mathbb{D}_{2,5/2}(\mathcal{S}_m)$, where $\mathcal{S}_m = \mathcal{S}_{1,m}^0$. To start, we will just define the map on the vertices. A vertex of \mathcal{L}_Z^m is of the form $\beta(z_1, \dots, z_m)Y_Z^{(i)}$, where $\beta(z_1, \dots, z_m) \in B_m \wr Z$, Y is of the form $(a^k, 1) \vee$ for some $k \in \mathbb{Z}$ or \vee^2 , and i is some number. Define

$$\mu : (\mathcal{L}_Z^m)^{(0)} \rightarrow \mathbb{D}_{2,5/2}(\mathcal{S}_m)^{(0)}$$

as follows. If $Y = (a^k, 1) \vee$ for some k , then define $D(Y^{(i)})$ to be a 2-disk that is a tubular neighborhood of the 2-matching $\{i, i + 1\}$ (recall from before that we fix an embedding of the linear graph into the surface, and so matchings make sense). If $Y = \vee^2$, then define $D(Y^{(i)})$ to be a 5/2-disk that is obtained by taking a tubular neighborhood of the subset obtained from the 3-matching $\{i, i + 1, i + 2\}$ by removing a small disk centered at $i + 2$, in such a way that $i + 2$ lies precisely on the boundary of the neighborhood. For example, in Figure 7, if we label the marked points 1–5 from left to right, then (up to isotopy) the disk bounded in blue is $D((\vee^2)^{(2)})$. Now define μ via

$$\mu : \beta(z_1, \dots, z_m)Y_Z^{(i)} \mapsto (D(Y^{(i)}))\beta^{-1}.$$

Lemma 5.9 *The map μ is well defined on vertices.*

Proof The proof is similar to that of Lemma 4.7. Let $\gamma(y_1, \dots, y_q) \in B_q \wr Z$ for appropriate q , so

$$\beta(z_1, \dots, z_m)Y_Z^{(i)} = \beta(z_1, \dots, z_m)Y^{(i)}\gamma(y_1, \dots, y_q)_Z.$$

Let $\gamma'(y'_1, \dots, y'_m) \in B_m \wr \text{brGrig}$ and i' be such that

$$Y^{(i)}\gamma(y_1, \dots, y_q) = \gamma'(y'_1, \dots, y'_m)Y^{(i')}.$$

Note that the y'_j might not lie in Z , but the only way this could happen is when $j = i'$, and then only if Y has mass 2, so $y'_{i'}$ is a power of a . In this case, we can let Y' be $Y = (a^k, 1) \vee$ with k changed appropriately, and (changing the definition of $y'_{i'}$) get

$$Y^{(i)}\gamma(y_1, \dots, y_q) = \gamma'(y'_1, \dots, y'_m)(Y')^{(i')}$$

with all y'_j in Z . Now we have

$$\beta(z_1, \dots, z_m)Y^{(i)}\gamma(y_1, \dots, y_q)_Z = \beta\gamma'(z'_1, \dots, z'_m)(y'_1, \dots, y'_m)(Y')^{(i')}$$

for some $z'_i \in Z$. Since all the z_j and y'_j are in Z , this maps under μ to $(D((Y')^{(i')}))(\beta y')^{-1}$. We need to show that this equals $(D(Y^{(i)}))\beta^{-1}$, or equivalently that $(D(Y^{(i)}))y' = D((Y')^{(i')})$. If Y (and hence Y') has mass 2, then this follows by the same argument as in the proof of Lemma 4.7. Now suppose $Y = Y' = \vee^2$. Then y' is obtained from y by replacing the i th strand (counting from the top) with three parallel strands—call this intermediate braid y'' —and possibly braiding the first two of these around each other some number of times (depending on the braided wreath recursion of $y_{i'}$, which has some power of a in its left entry and some element of Z in its right entry). When acting on $D(Y^{(i)})$, this braiding of the first two strands does not affect the (equivalence class of the) $5/2$ -disk, so $(D(Y^{(i)}))y' = (D(Y^{(i)}))y''$. It is clear that $(D(Y^{(i)}))y'' = D((Y')^{(i')})$, so we are done. ■

Now we want to extend μ to all simplices of \mathcal{L}_Z^m . We need to show that if vertices w_0, \dots, w_k span a simplex in \mathcal{L}_Z^m , then their images under μ span a simplex in $\mathbb{D}_{2,5/2}(\mathcal{S}_m)$. Since $\mathbb{D}_{2,5/2}(\mathcal{S}_m)$ is a flag complex by construction, it suffices to do this for $k = 1$, i.e., to show the following.

Lemma 5.10 (Extends to simplices) *If two vertices w and u span an edge in \mathcal{L}_Z^m , then their images under μ span an edge in $\mathbb{D}_{2,5/2}(\mathcal{S}_m)$.*

Proof View \mathcal{L}_Z^m as $\text{lk}^\downarrow v$ for some vertex $v = [\tau]_Z$ of \mathcal{X}_Z with $h(v) = m$, so w and u are adjacent vertices to v with $h(w), h(u) < m$. The fact that w and u span an edge in $\text{lk}^\downarrow v$ means that there is a two-dimensional polysimplex, i.e., a square or a triangle, in \mathcal{X}_Z containing v, w , and u . First, suppose that it is a square. Then there is a merging of v of the form $[\tau]\beta(z_1, \dots, z_m)Y_Z$ for $\beta(z_1, \dots, z_m) \in B_m \wr Z$ and

$$Y = 1 \oplus \dots \oplus 1 \oplus Y_1 \oplus 1 \oplus \dots \oplus 1 \oplus Y_2 \oplus 1,$$

say with Y_1 in the i_1 th spot and Y_2 in the i_2 th spot, such that the following holds: if Ψ_i is Y with Y_i replaced by an appropriate number of copies of 1, then $w = [\tau]\beta(z_1, \dots, z_m)(\Psi_1)_Z$ and $u = [\tau]\beta(z_1, \dots, z_m)(\Psi_2)_Z$. Clearly, $D(\Psi_1)$ and $D(\Psi_2)$ are disjoint; hence so are $\mu(w) = (D(\Psi_1))\beta^{-1}$ and $\mu(u) = (D(\Psi_2))\beta^{-1}$. Now suppose that the two-dimensional polysimplex containing v, w , and u is a triangle. This means that there we can choose $[\tau']$, k , and i such that v, w , and u are the vertices of the polysimplex $\text{poly}([\tau']; S_1, \dots, S_{m-2})$, where $S_j = \{1\}$ for all $j \neq i$ and $S_i = \{1, \wedge(a^k, 1), \wedge^2\}$. Say w has mass 3 relative to v (and u has mass 2), so $w = [\tau']_Z$, $u = [\tau'](\wedge(a^k, 1))_Z^{(i)}$, and $v = [\tau'](\wedge^2)_Z^{(i)}$. Without loss of generality, $[\tau] = [\tau'](\wedge^2)_Z^{(i)}$, so $u = [\tau](\vee a^k)_Z^{(i)} = [\tau](\zeta^k \vee)_Z^{(i)}$ and $w = [\tau](\vee^2)_Z^{(i)}$. Now $\mu(u) = (D(\vee^{(i)}))((\zeta^k)^{(i)})^{-1} = D(\vee^{(i)})$ and $\mu(w) = D((\vee^2)^{(i)})$, and the former is nested in the latter as desired. ■

At this point, we have a simplicial map

$$\mu: \mathcal{L}_Z^m \rightarrow \mathbb{D}_{2,5/2}(\mathcal{S}_m).$$

Our next goal is to prove that it is a surjective complete join. It is clearly surjective on vertices, and is simplexwise injective, so it suffices to show the following.

Proposition 5.11 *Let w_0, \dots, w_k be vertices of \mathcal{L}_Z^m whose images under μ span a k -simplex in $\mathbb{D}_{2,5/2}(\mathcal{S}_m)$. Then w_0, \dots, w_k span a k -simplex in \mathcal{L}_Z^m . Hence, μ is a complete join.*

Proof If $k = 0$, then there is nothing to show, so assume that $k > 0$. By induction, w_1, \dots, w_k span a simplex in \mathcal{L}_Z^m , call it σ . The image $\mu(\sigma)$ is represented by k disks that are pairwise disjoint or nested, and such that the disk $\mu(w_0)$ is disjoint or nested with each of them. If $\mu(w_0)$ is disjoint from all the disks in $\mu(\sigma)$, then the argument in the proof of Proposition 4.11 shows that w_0, \dots, w_k span a simplex in \mathcal{L}_Z^m . Now assume that this is not the case, so without loss of generality w_0 has mass 2, w_1 has mass 3, $\mu(w_0)$ is nested in $\mu(w_1)$, and both $\mu(w_0)$ and $\mu(w_1)$ are disjoint from $\mu(w_i)$ for all $2 \leq i \leq k$. Now view \mathcal{L}_Z^m as lk_v^\downarrow for a vertex $v = [\tau]_Z$ with $h(v) = m$, so σ is represented by a polysimplex containing v, w_1, \dots, w_k , with v as its vertex maximizing h . Write this polysimplex as $\text{poly}([\tau']; S_1, \dots, S_r)$, so $v = [\tau'](\max(S_1) \oplus \dots \oplus \max(S_r))_Z$. We can assume that $[\tau] = [\tau'](\max(S_1) \oplus \dots \oplus \max(S_r))$. Without loss of generality, $S_1 = \{1, \wedge^2\}$ and

$$w_1 = [\tau'](1 \oplus \max(S_2) \oplus \dots \oplus \max(S_r))_Z = [\tau](v^2)_Z^{(1)}.$$

Now say $w_0 = [\tau]\beta(z_1, \dots, z_m)((a^\ell, 1)v)_Z^{(i)}$ for some $\beta(z_1, \dots, z_m) \in B_m \wr Z$, some ℓ , and some i . Up to \sim_Z , we can actually assume $\ell = 0$, e.g., right multiply by $(b^{-\ell})^{(i)}$. Then $\mu(w_0) = (D(v^{(i)}))\beta^{-1}$, and this is disjoint from $\mu(w_2), \dots, \mu(w_k)$ and contained in $\mu(w_1)$. By the above equation for w_1 , we have $\mu(w_1) = D((v^2)^{(1)})$, so $\mu(w_0) = D(v^{(1)})$; hence $D(v^{(i)}) = (D(v^{(1)}))\beta$. This shows that, in fact, $w_0 = [\tau](\zeta^\ell v)_Z^{(1)}$ for some ℓ ; hence,

$$w_0 = [\tau'](\max(S_1) \oplus \dots \oplus \max(S_r))(\zeta^\ell v)_Z^{(1)}.$$

Since $\max(S_1) = \wedge^2$ and $\wedge \zeta^\ell v = a^\ell$, we conclude that

$$w_0 = [\tau'](\wedge(a^\ell, 1) \oplus \dots \oplus \max(S_r))(\zeta^\ell v)_Z^{(1)}.$$

Now setting $S'_1 = \{1, \wedge(a^\ell, 1), \wedge^2\}$, we see that the polysimplex $\text{poly}([\tau']; S'_1, S_2, \dots, S_r)$ contains w_0 . Since it has $\text{poly}([\tau']; S_1, \dots, S_r)$ as a face, it also contains v, w_1, \dots, w_k , so we conclude that w_0, \dots, w_k span a simplex in lk_v^\downarrow . ■

Now that we know μ is a complete join, we can understand higher connectivity of \mathcal{L}_Z^m by analyzing higher connectivity of $\mathbb{D}_{2,5/2}(\mathcal{S}_m)$.

Proposition 5.12 *The complex $\mathbb{D}_{2,5/2}(\mathcal{S}_{b,m}^g)$ is wCM of dimension $\lfloor \frac{m+1}{3} \rfloor - 1$.*

Proof First, we show that $\mathbb{D}_{2,5/2}(\mathcal{S}_{b,m}^g)$ is homotopy equivalent to $\mathbb{D}_2(\mathcal{S}_{b,m}^g)$. Given a k -simplex $\sigma = \{D_0, \dots, D_k\}$ in $\mathbb{D}_{2,5/2}(\mathcal{S}_{b,m}^g)$, let $n_{5/2}(\sigma)$ be the number of D_i 's that are 5/2-disks. Define a Morse function on the barycentric subdivision $\mathbb{D}_{2,5/2}(\mathcal{S}_{b,m}^g)'$ by sending a k -simplex σ to the ordered pair $(n_{5/2}(\sigma), -\dim(\sigma))$. This takes on finitely many values in $\mathbb{R} \times \mathbb{R}$, so viewed lexicographically, the values are a finite totally ordered set, and adjacent (i.e., properly incident) simplices have different values, and hence this really can be viewed as a Morse function. The sublevel complex of all σ with $(n_{5/2}(\sigma), -\dim(\sigma)) \leq (0, 0)$ equals $\mathbb{D}_2(\mathcal{S}_{b,m}^g)$. In particular, if we can show

that the descending link of any σ with $(n_{5/2}(\sigma), -\dim(\sigma)) > (0, 0)$ is contractible, then Morse theory will tell us that the inclusion of $\mathbb{D}_2(\mathcal{S}_{b,m}^g)$ into $\mathbb{D}_{2,5/2}(\mathcal{S}_{b,m}^g)$ is a homotopy equivalence. (Intuitively, we attach the missing vertices in increasing order with respect to the Morse function, and at each stage we do so along a contractible descending link, so the homotopy type never changes.) To this end, suppose $(n_{5/2}(\sigma), -\dim(\sigma)) > (0, 0)$, and we need to prove the descending link of σ is contractible. The descending link is a join, of the *descending face link* spanned by all faces $\sigma^\vee < \sigma$ with $n_{5/2}(\sigma^\vee) < n_{5/2}(\sigma)$, and the *descending coface link* spanned by all proper cofaces $\sigma^\wedge > \sigma$ with $n_{5/2}(\sigma^\wedge) = n_{5/2}(\sigma)$. For any 5/2-disk D , there is a unique 2-disk contained in it, which we will denote by $\delta(D)$. Let $\sigma = \{D_0, \dots, D_k\}$. First, suppose that some D_i is a 5/2-disk such that $\delta(D_i) \notin \sigma$. Then $\sigma \cup \{\delta(D_i)\}$ is a descending proper coface of σ , and moreover for any descending proper coface σ^\wedge of σ , we have that $\sigma^\wedge \cup \{\delta(D_i)\}$ is also a descending proper coface. The map $\sigma^\wedge \mapsto \sigma^\wedge \cup \{\delta(D_i)\}$, thus, induces a homotopy equivalence from the descending coface link to the (contractible) star of $\sigma \cup \{\delta(D_i)\}$, so the descending coface link is contractible (see, e.g., [Qui78, Section 1.5]). Now suppose that whenever D_i is a 5/2-disk, $\delta(D_i) \in \sigma$. Let $\sigma_0 < \sigma$ be the (nonempty) face of σ consisting of all 2-disks in σ . This is a descending face, and given any descending face $\sigma^\vee < \sigma$, we have that $\sigma^\vee \cup \sigma_0$ is again a descending face of σ . Hence, the map $\sigma^\vee \mapsto \sigma^\vee \cup \sigma_0$ induces a homotopy equivalence from the descending face link to the (contractible) star of σ_0 , so the descending face link is contractible.

We have shown that $\mathbb{D}_{2,5/2}(\mathcal{S}_{b,m}^g)$ is homotopy equivalent to $\mathbb{D}_2(\mathcal{S}_{b,m}^g)$, which is $\left(\lfloor \frac{m+1}{3} \rfloor - 2\right)$ -connected by Citation 4.9. Since higher connectivity of links is not necessarily preserved under homotopy equivalence, we have more work to do before we can conclude that $\mathbb{D}_{2,5/2}(\mathcal{S}_{b,m}^g)$ is wCM of dimension $\lfloor \frac{m+1}{3} \rfloor - 1$. Let $\sigma = \{D_0, \dots, D_k\}$ be a k -simplex in $\mathbb{D}_{2,5/2}(\mathcal{S}_{b,m}^g)$, and consider its link $L := \text{lk } \sigma$ in $\mathbb{D}_{2,5/2}(\mathcal{S}_{b,m}^g)$. Working with the barycentric subdivision as in the first paragraph, we can view L as the subcomplex spanned by all proper cofaces τ of σ . If some D_i is a 5/2-disk such that $\delta(D_i) \notin \sigma$, then the map $\tau \mapsto \tau \cup \{\delta(D_i)\}$ induces a homotopy equivalence from L to the star of the proper coface $\sigma \cup \{\delta(D_i)\}$ in L , and hence L is contractible. Now assume that whenever D_i is a 5/2-disk, $\delta(D_i) \in \sigma$. Call D_i and $\delta(D_i)$ *paired* in this case. If D_i is a vertex of σ that is not paired, call it *solitary* (and note that the solitary vertices must be 2-disks). Say $2p$ is the number of paired vertices in σ , so $k - 2p + 1$ is the number of solitary vertices. Consider the surface $\mathcal{S}_{b+p,m-3p}^g$ obtained by cutting along the boundary and removing the interior (and unmarking the marked point on the boundary) of each 5/2-disk in σ . Let $\bar{\sigma}$ be the (possibly empty) $(k - 2p)$ -simplex in $\mathbb{D}_{2,5/2}(\mathcal{S}_{b+p,m-3p}^g)$ whose vertices are the solitary vertices of σ , now viewed as disks in $\mathcal{S}_{b+p,m-3p}^g$. It is clear that L is isomorphic to the link of $\bar{\sigma}$ in $\mathbb{D}_{2,5/2}(\mathcal{S}_{b+p,m-3p}^g)$ (which if $\bar{\sigma}$ is empty means the whole complex). If the desired result holds for $\bar{\sigma}$ and $\mathcal{S}_{b+p,m-3p}^g$, then the link of $\bar{\sigma}$ in $\mathbb{D}_{2,5/2}(\mathcal{S}_{b+p,m-3p}^g)$ (and hence also L) is $\left(\lfloor \frac{(m-3p)+1}{3} \rfloor - (k - 2p) - 3\right)$ -connected, and hence $\left(\lfloor \frac{m+1}{3} \rfloor - k - 3\right)$ -connected, i.e., the desired result holds for σ and $\mathcal{S}_{b,m}^g$. Thus, it remains only to prove the result in the case when all the vertices of σ are solitary.

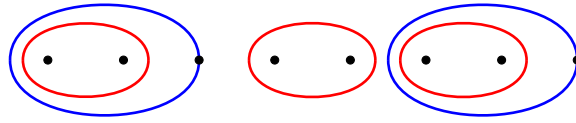


Figure 8: An example of the last case in the proof of Proposition 5.12 (for the surface \mathbb{S}_8). The disks bounded in red comprise σ , and the disks bounded in blue comprise $\tau \setminus \sigma$. Hence, σ is a 2-simplex and τ is a 4-simplex in $\mathbb{D}_{2,5/2}(\mathbb{S}_8)$ containing σ , so τ represents a 1-simplex in $L = \text{lk } \sigma$.

Since we have reduced to a case where all the vertices of σ are 2-disks, we can consider the subcomplex L_2 of L that is the link of σ in the subcomplex $\mathbb{D}_2(\mathbb{S}_{b,m}^g)$ of $\mathbb{D}_{2,5/2}(\mathbb{S}_{b,m}^g)$. Since $\mathbb{D}_2(\mathbb{S}_{b,m}^g)$ is wCM of dimension $\lfloor \frac{m+1}{3} \rfloor - 1$ by Corollary 4.10, we know that L_2 is $(\lfloor \frac{m+1}{3} \rfloor - k - 3)$ -connected. Now we will build up from L_2 to L by gluing in the missing simplices τ . We can again use the Morse function $(n_{5/2}, -\dim)$, now restricted to L , since L_2 is precisely the sublevel complex of L defined by $(n_{5/2}, -\dim) \leq (0, 0)$. If we can show that the descending link of any simplex in $L \setminus L_2$ is $(\lfloor \frac{m+1}{3} \rfloor - k - 4)$ -connected, then discrete Morse theory (see, e.g., [BB97, Corollary 2.6]) will tell us that L is $(\lfloor \frac{m+1}{3} \rfloor - k - 3)$ -connected and we will be done. The argument from the first paragraph works in exactly the same way to show that the descending link in L of a simplex τ in $L \setminus L_2$ is contractible, except in one case, namely when every disk in $\tau \setminus \sigma$ is a 5/2-disk that is paired with a 2-disk in σ (see Figure 8 for a visualization of this situation). In this case, the descending face link of τ in L is the entire boundary of τ (since removing any proper subset of disks in $\tau \setminus \sigma$ is a descending move), so if τ is an ℓ -simplex in L (i.e., a $(k + \ell + 1)$ -simplex in $\mathbb{D}_{2,5/2}(\mathbb{S}_{b,m}^g)$ containing the k -simplex σ), then the descending face link of τ in L is an $(\ell - 1)$ -sphere, hence $(\ell - 2)$ -connected. In this case, we can also understand the descending coface link of τ in L : it is isomorphic to the 2-disk complex on the surface obtained by cutting out all the disks in τ . Since each disk in $\tau \setminus \sigma$ contains a disk in σ , this produces $k + 1$ new boundary components and eliminates $2(k + 1) + (\ell + 1) = 2k + \ell + 3$ marked points, so this surface is $\mathbb{S}_{b+k+1, m-2k-\ell-3}^g$. The 2-disk complex of this surface, and hence the descending coface link of τ , is $(\lfloor \frac{(m-2k-\ell-3)+1}{3} \rfloor - 2)$ -connected. Joining this with the descending face link, which is $(\ell - 2)$ -connected, we get that the descending link of τ is $(\lfloor \frac{(m-2k-\ell-3)+1}{3} \rfloor + \ell - 2)$ -connected. We need it to be $(\lfloor \frac{m+1}{3} \rfloor - k - 4)$ -connected, so it suffices to prove that $\lfloor \frac{m+1}{3} \rfloor - k - 1 \leq \lfloor \frac{m+1}{3} - \frac{2k+\ell}{3} \rfloor + \ell$. This is indeed true by the following calculation:

$$\begin{aligned} \lfloor \frac{m+1}{3} \rfloor - k - 1 &= \lfloor \frac{m+1}{3} - \frac{3k+3}{3} \rfloor \\ &\leq \lfloor \frac{m+1}{3} - \frac{2k-2\ell}{3} \rfloor \\ &\leq \lfloor \frac{m+1}{3} - \frac{2k+\ell}{3} \rfloor + \ell. \end{aligned}$$

■

Now we can prove the main result of this section, that $\text{br}R$ is of type F_∞ .

Proof of Theorem 5.1 By Citation 4.4 and Corollary 5.8, it suffices to prove that the descending links of vertices in \mathcal{X}_Z get arbitrarily highly connected as their number of feet goes to ∞ . This follows from Citation 4.8 and Propositions and 5.12. ■

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