

# ON MAXIMAL NILPOTENT SUBRINGS OF RIGHT NOETHERIAN RINGS

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**1. Introduction.** Applying Hopkins's Theorem asserting that each unitary right Artinian ring is right Noetherian, G. Köthe and K. Shoda proved the following theorem (cf. Köthe [7], p. 360, Theorem 1 and p. 363, Theorem 5): If  $R$  is a unitary right Artinian ring, then the following statements hold:

- (i) Each nilpotent subring of  $R$  is contained in a maximal nilpotent subring of  $R$ .
- (ii) The intersection of all maximal nilpotent subrings of  $R$  is the maximal nilpotent two-sided ideal of  $R$ .
- (iii) All maximal nilpotent subrings of  $R$  are conjugate.

Our problem is to decide which of these statements remain valid in right Noetherian rings. It is an immediate consequence of the Theorem of Levitzki (cf. Jacobson [6, p. 199, Theorem 1]), and Theorem 1 of Herstein and Small [5, p. 775], that each nilpotent subring of a right Noetherian ring  $R$  is contained in a maximal nilpotent subring of  $R$  (Proposition 1).

In [1] D. W. Barnes proved statement (ii) for all rings with minimum condition for right ideals. Now, if  $R$  is any right Artinian ring, then the sum  $B(R)$  of all nilpotent ideals of  $R$  is nilpotent, and  $R/B(R)$  is a unitary right Artinian and right Noetherian ring. Hence Barnes's Theorem is a consequence of the following theorem:

*If  $R$  is a ring such that the sum  $B(R)$  of all nilpotent ideals of  $R$  is nilpotent, and that  $R/B(R)$  is right Noetherian, then statement (ii) holds in  $R$ .*

This theorem is an easy consequence of our Theorem 1. It is perhaps remarkable that we do not make full use of the maximum condition for right ideals of  $R/B(R)$ . In particular, it follows that the intersection of all maximal nilpotent subrings of a right Noetherian ring  $R$  is the maximal nilpotent ideal  $B(R)$  of  $R$  (Corollary 4).

In rings without an identity element the customary concept of conjugacy is not applicable. Consequently we term the subrings  $X$  and  $Y$  of  $R$  *quasi-conjugate* if there exists a pair of elements  $u, v$  in  $R$  satisfying  $u+v=uv=vu$  such that  $Y$  is the totality of elements  $x-ux-xv+uxv$  with  $x$  in  $X$ . Then our Theorem 2 asserts: If  $R$  is a right Artinian ring without additive subgroups of type  $p^\infty$ , then its maximal nilpotent subrings are quasi-conjugate.

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**2. Notations and definitions.** Throughout this paper, every ring  $R$  is associative. The existence of an identity in  $R$  is not assumed.

$R^+$  = additive group of the ring  $R$ .

$A \oplus B$  = ring-theoretical direct sum of the ideals  $A, B$  of  $R$ .

$A \dot{+} B$  = direct sum of the right ideals  $A, B$  of  $R$ .

$\sum_{\mu \in I}^d R_\mu$  = discrete direct sum of the rings  $R_\mu$ .

$\sum_{\mu \in I}^c R_\mu$  = complete direct sum [or Cartesian sum] of the rings  $R_\mu$ .

If  $M$  is a subset of  $R$ , then we denote by  $(M)_R$  the right ideal of  $R$  generated by  $M$ .

Let  $X$  be a subset of the ring  $R$ . Then

$$X_l(R) = \{x \in R \mid xX = 0\}, \quad X_r(R) = \{x \in R \mid Xx = 0\}.$$

$Z'(R) = \{x \in R \mid x_r(R) \text{ is an essential right ideal of } R\}$  = right singular ideal of  $R$ .

The subrings  $X$  and  $Y$  of the ring  $R$  are *quasi-conjugate* if there is a quasi-regular element  $u \in R$  such that

$$Y = \{x - ux - xv + uxv \mid x \in X, \text{ where } v \text{ is the quasi-inverse of } u \text{ in } R.\}$$

Right Goldie ring = ring with ascending chain condition on right annihilators and on direct sums of right ideals.

The right ideal  $U \neq 0$  of the ring  $R$  is called *uniform* if  $X \cap Y \neq 0$  for all right ideals  $X \neq 0 \neq Y$  of  $R$  with  $X, Y \leq U$ .

If  $R$  is a semi-prime right Goldie ring, then, by Goldie [4, p. 202, Theorem, 1.1], there is a positive integer  $n$  such that

- (i) every direct sum of uniform right ideals of  $M$  contains at most  $n$  terms,
- (ii) a right ideal  $X$  of  $R$  is essential if and only if  $X$  contains a sum of  $n$  uniform right ideals.

The integer  $n$  is called the *dimension* of  $R$  and is denoted by  $\dim R$ .

The right ideals  $U, V$  of  $R$  are *subisomorphic* if there is a right  $R$ -module monomorphism  $\theta$  of  $U$  into  $V$ , and a right  $R$ -module monomorphism  $\mu$  of  $V$  into  $U$ .

**3. Maximal nilpotent subrings of rings with certain chain conditions.** Let  $\mathfrak{n}$  be a non-empty set of subrings  $S$  of the ring  $R$  such that the union of every tower  $\mathfrak{t}$  of  $\mathfrak{n}$  belongs to  $\mathfrak{n}$ . Then by Zorn's Lemma we obtain that each  $\mathfrak{n}$ -subring of the ring  $R$  is contained in a maximal  $\mathfrak{n}$ -subring of  $R$ . If  $\mathfrak{n}$  is the set of all locally nilpotent (resp. nil) subrings of  $R$ ,  $\mathfrak{n}$  satisfies our transfinite induction hypothesis. Thus we have

LEMMA 1. (a) *Each locally nilpotent subring of an arbitrary ring  $R$  is contained in a maximal locally nilpotent subring of  $R$ .*

(b) *Each nil subring of an arbitrary ring  $R$  is contained in a maximal nil subring.*

By Herstein and Small [5, p. 775, Theorem 1], every nil subring of a ring  $R$  satisfying the ascending chain conditions on right and left annihilators is nilpotent. This implies

**COROLLARY 1.** *If  $R$  is a ring with maximum conditions on right and left annihilators, then each nilpotent subring is contained in a maximal nilpotent subring.*

Now let  $R$  be a right Noetherian ring. Then the sum  $B(R)$  of all nilpotent ideals of  $R$  is nilpotent by Levitzki's Theorem (cf. Jacobson [6, p. 199, Theorem 1]). Since  $R/B(R)$  is a semi-prime right Noetherian ring, it satisfies the maximum conditions on right and left annihilators by Procesi and Small [12, p. 81, Lemma 2]. Hence from Corollary 1 and Lemma 1 we deduce

**PROPOSITION 1.** *Each nilpotent subring of a right Noetherian ring  $R$  is contained in a maximal nilpotent subring of  $R$ .*

In the following it will be proved that the intersection of all maximal nilpotent subrings of a right Noetherian ring  $R$  is just the sum  $B(R)$  of all nilpotent ideals of  $R$ .

**LEMMA 2.** *Let  $M \neq 0$  be a nilpotent subring of the prime right Goldie ring  $R$ . Let  $r$  be the exponent of  $M$  and  $n = \dim R$ . Then*

(a)  $r \leq n$ ,

(b)  $\dim (M)_R \leq n - 1$ ,

(c) *there exists a set of  $r$  idempotents  $e_0 = 0, e_k \neq 0$  ( $k = 1, 2, \dots, r - 1$ ) of the ring  $Q$  of right quotients of  $R$  satisfying  $e_k Q = M^{r-k} Q$  such that*

$$M \leq R \cap \sum_{k=1}^{r-1} (e_k - e_{k-1})Q(1 - e_k).$$

*Proof.*  $(M)_R$  is a right ideal of  $R$ ; so  $\dim (M)_R \leq \dim R = n$ . Assume that  $\dim (M)_R = n$ ; then  $MQ = Q$  by Goldie [4, p. 212, Lemma 4.3]. Hence  $0 = M^r Q = Q \neq 0$ . This contradiction proves (b).

Since  $M$  is a nilpotent subring of the unitary Artinian ring  $Q$ , which is a ring of  $n \times n$  matrices over a division ring, the exponent  $r$  of  $M$  satisfies  $r \leq n$  by Levitzki [8, p. 625, Zusatz], and (a) has been proved.

For each integer  $1 \leq m \leq r - 1$  we have  $M^m Q > M^{m+1} Q$ ; for equality implies that  $M = 0$ , because  $Q$  has an identity element. If  $d(r - i) = \dim (M^{r-i})_R$  for  $i = 1, 2, \dots, r - 1$ , then there are primitive orthogonal idempotents  $e_{i, j_i} \neq 0$  ( $i = 1, 2, \dots, r - 1, j_i = 1, 2, \dots, d(r - i)$ ) of  $Q$  such that

$$M^{r-i} Q = e_{1, 1} Q + \dots + e_{1, d(r-1)} Q + \dots + e_{i, 1} Q + \dots + e_{i, d(r-i)} Q.$$

If  $e_k = e_{1, 1} + \dots + e_{1, d(r-1)} + e_{2, 1} + \dots + e_{k, 1} + \dots + e_{k, d(r-k)}$  for  $k = 1, 2, \dots, r - 1$ , then we obtain  $e_k e_{k+1} = e_k = e_{k+1} e_k$ , because the  $e_{i, j_i}$  are orthogonal idempotents. Furthermore we have  $M^{r-k} Q = e_k Q$ . From  $e_{k+1} Q = M^{r-k-1} Q > M^{r-k} Q = e_k Q$  for all  $k = 1, 2, \dots, r - 1$  we deduce for each  $a \in M$  that

$$a(e_{k+1} Q) = aM^{r-k-1} Q \leq M^{r-k} Q = e_k Q.$$

Hence  $ae_{k+1} = e_kq \in e_kQ$  for some  $q \in Q$ . Since

$$(e_{k+1} - e_k)ae_{k+1} = (e_{k+1} - e_k)e_kq = e_{k+1}e_kq - e_kq = e_kq - e_kq = 0,$$

it follows that  $(e_{k+1} - e_k)a \in (e_{k+1})_1(Q) = Q(1 - e_{k+1})$ . Therefore

$$(e_{k+1} - e_k)a \in (e_{k+1} - e_k)Q(1 - e_{k+1})$$

is a consequence of  $e_{k+1}e_k = e_k = e_ke_{k+1}$ . Now  $M \leq MQ = e_{r-1}Q$  implies that  $a = e_{r-1}a$ . Hence

$$a = \sum_{k=1}^{r-1} (e_k - e_{k-1})a \in \sum_{k=1}^{r-1} (e_k - e_{k-1})Q(1 - e_k),$$

where  $e_0 = 0$ . This completes the proof.

The nilpotent ring  $M$  has exponent  $h$ , if  $h$  is the least positive integer  $r$  with  $M^r = 0$ .

LEMMA 3. Let  $R$  be a prime right Goldie ring, and  $Q$  its ring of right quotients. Then the following statements hold:

(a) There are  $n = \dim R$  primitive orthogonal idempotents  $g_i \neq 0$  of  $Q$  such that  $g_kQ \cap R \neq 0 \neq R \cap Qg_k$  for all  $k = 1, 2, \dots, n$ .

(b) If the  $f_i \neq 0$  ( $i = 1, 2, \dots, n$ ) are  $n$  primitive orthogonal idempotents of  $Q$  such that  $f_iQ \cap R \neq 0 \neq R \cap Qf_i$  for all  $i$ , then

$$(i) \quad T = R \cap \left[ \sum_{j=1}^{n-1} f_jQ \left( \sum_{h=j+1}^n f_h \right) \right] \quad \text{and} \quad U = R \cap \left[ \sum_{j=1}^{n-1} \left( \sum_{h=j+1}^n f_h \right) Qf_j \right]$$

are maximal nilpotent subrings of  $R$  with exponent  $n$  satisfying  $U \cap T = 0$ , and

(ii) if  $N$  is a nilpotent subring of  $R$  containing an element  $t$  of the form

$$t = \sum_{i=1}^{n-1} f_iq_i f_{i+1},$$

where  $0 \neq f_iq_i f_{i+1} \in R$  and  $q_i \in Q$  for all  $i = 1, 2, \dots, n-1$ , then  $N \leq T$ , and  $N$  has exponent  $n$ .

(c) For each nilpotent subring  $M$  of  $R$  there exists a regular element  $c \in R$  such that  $c^{-1}Mc \cap R$  is contained in a maximal nilpotent subring  $S$  of  $R$  with exponent  $n = \dim R$ . [ $c^{-1}$  denotes the inverse of  $c$  in  $Q$ .]

*Proof.* By Goldie's Theorem for prime rings,  $Q$  is a ring of  $n \times n$  matrices over a division ring  $D$ . Hence there are  $n$  orthogonal primitive idempotents  $e_i \in Q$ . Since  $R$  is a classical right order of  $Q$ , each  $e_i$  has the form  $e_i = a_i c_i^{-1}$ , where  $a_i, c_i \in R$ , and  $c_i$  is regular. By Jacobson [6, p. 263, Lemma 1], there exist regular elements  $b_1, b_2, \dots, b_n, c \in R$  such that  $c_i^{-1} = b_i c^{-1}$  for  $i = 1, 2, \dots, n$ . If  $g_i = c^{-1}e_i c$  for all  $i$ , then the  $g_i$  form a maximal set of orthogonal primitive idempotents of  $Q$ . Clearly  $R \cap g_i Q \neq 0$  for all  $i$ . This together with  $0 \neq a_i b_i = c g_i$  proves (a).

Now let  $f_i \neq 0$  ( $i = 1, 2, \dots, n$ ) be  $n$  orthogonal primitive idempotents of  $Q$  such that  $f_i Q \cap R \neq 0 \neq R \cap Q f_i$  for all  $i$ . Since  $R$  is a prime ring we have

$$0 \neq (f_i Q \cap R)(Q f_h \cap R) \leq f_i Q f_h \cap R \quad \text{for } i, h = 1, 2, \dots, n. \tag{3.1}$$

Let  $x_i$  ( $i = 1, 2, \dots, n-1$ ) be  $n-1$  elements of  $Q$  such that  $0 \neq f_i x_i f_{i+1} \in R$ . Let  $N$  be a nilpotent subring of  $R$  containing the element

$$x = \sum_{i=1}^{n-1} f_i x_i f_{i+1}.$$

Clearly  $x \neq 0$ , because the  $f_i$  are orthogonal primitive idempotents of  $Q$ . Now  $x$  satisfies  $x^n = 0$ . But  $x^{n-1} \neq 0$ , because

$$0 = x^{n-1} = (f_1 x_1 f_2)(f_2 x_2 f_3) \dots (f_{n-2} x_{n-2} f_{n-1})(f_{n-1} x_{n-1} f_n)$$

would imply that

$$\begin{aligned} 0 = x^{n-1} Q &= (f_1 x_1 f_2)(f_2 x_2 f_3) \dots (f_{n-2} x_{n-2} f_{n-1})(f_{n-1} x_{n-1} f_n) Q \\ &= (f_1 x_1 f_2)(f_2 x_2 f_3) \dots (f_{n-2} x_{n-2} f_{n-1}) Q = \dots \\ &= (f_1 x_1 f_2) Q = f_1 Q \neq 0, \end{aligned}$$

since  $Q$  is an associative ring and the right ideals  $f_k Q$  ( $k = 1, 2, \dots, n$ ) are minimal right ideals of  $Q$ . From  $x^{n-1} \neq 0$  we obtain by Lemma 2 that  $n = \dim R$  is the exponent of  $N$ . Therefore

$$0 < N^{n-1} Q < N^{n-2} Q < \dots < N^2 Q < N Q < Q$$

is a (right) composition series of the unitary simple Artinian ring  $Q$ . Hence from

$$0 \neq x^{n-s} = \sum_{j=1}^s [(f_j x_j f_{j+1})(f_{j+1} x_{j+1} f_{j+2}) \dots (f_{j+n-s-1} x_{j+n-s-1} f_{j+n-s})] \in N^{n-s}$$

for  $s = 1, 2, \dots, n-1$  we obtain  $N^{n-s} Q = (f_1 + f_2 + \dots + f_s) Q$  for all  $s$ . Therefore by application of Lemma 2 we have

$$N \leq R \cap \left[ \sum_{j=1}^{n-1} f_j Q \left( 1 - \sum_{h=1}^j f_h \right) \right] = R \cap \left[ \sum_{j=1}^{n-1} f_j Q \left( \sum_{h=j+1}^n f_h \right) \right] = T.$$

This completes the proof of Lemma 3(b) (ii).

Now we have to show that  $T$  is a maximal nilpotent subring of  $R$ . Since the  $f_i$  are orthogonal idempotents,  $T$  is a nilpotent subring of  $R$ . By Lemma 2 of Proceti and Small [12, p. 81] and Corollary 1 we know that  $T$  is contained in a maximal nilpotent subring  $S$  of  $R$ . From (3.1) we deduce the existence of  $n-1$  elements  $g_i \in Q$  such that  $0 \neq f_i g_i f_{i+1} \in R$  for  $i = 1, 2, \dots, n-1$ . Clearly  $f_i g_i f_{i+1} \in T$  for all  $i$ . Thus

$$t = \sum_{i=1}^{n-1} f_i g_i f_{i+1} \in T \leq S.$$

Hence, by Lemma 3(b) (ii),  $S \leq T$ , and  $T$  is a maximal nilpotent subring of  $R$ .

If 
$$U = R \cap \left[ \sum_{j=1}^{n-1} \left( \sum_{h=j+1}^n f_h \right) Q f_j \right],$$

then  $U$  is a nilpotent subring of  $R$ , and, by the right-left symmetry of the given proof for  $T$  being a maximal nilpotent subring of  $R$ , it can be shown that  $U$  is a maximal nilpotent subring of  $R$ . Since the elements  $f_k$  ( $k = 1, 2, \dots, n$ ) are orthogonal idempotents, it is easy to see that  $U \cap T = 0$ . Thus Lemma 3(b) (i) holds.

Now let  $M$  be a nilpotent subring of  $R$  with exponent  $r$ . By Lemma 2 there exists a set of  $r-1$  idempotents  $e_k \neq 0$  ( $k = 1, 2, \dots, r-1$ ) of the ring  $Q$  such that

$$M \subseteq R \cap \left( \sum_{k=1}^{r-1} (e_k - e_{k-1}) Q (1 - e_k) \right),$$

where  $e_0 = 0$ . By the proof of Lemma 2, each  $e_k$  has the form

$$e_k = e_{1, 1} + \dots + e_{1, d(r-1)} + e_{2, 1} + \dots + e_{k, d(r-k)},$$

where  $d(r-i) = \dim(M^{r-i})_R$ , and where the  $e_{i, j_i} \neq 0$  [ $i = 1, 2, \dots, r-1$ ;  $j_i = 1, 2, \dots, d(r-i)$ ] are orthogonal primitive idempotents of  $Q$ . Clearly this set of orthogonal primitive idempotents of  $Q$  can be extended to a maximal set of  $n$  orthogonal primitive idempotents  $f_j \neq 0$  ( $j = 1, 2, \dots, n$ ) of  $Q$  such that

$$e_{i, j_i} = f_{j_i} + \sum_{s=1}^i d(r-s-1)$$

for  $i = 1, 2, \dots, r-1$ . Hence

$$M \subseteq R \cap \left[ \sum_{j=1}^{n-1} f_j Q \left( \sum_{h=j+1}^n f_h \right) \right].$$

Each  $f_j = x_j c_j^{-1}$ , where  $x_j, c_j \in R$ , and  $c_j$  is regular. By Jacobson [6, p. 263, Lemma 1] there are regular elements  $b_1, b_2, \dots, b_n, c \in R$  with  $c_j^{-1} = b_j c^{-1}$ . Let  $g_j = c^{-1} f_j c$  for  $j = 1, 2, \dots, n$ . By the proof of Lemma 3(a) the primitive orthogonal idempotents  $g_j$  satisfy  $g_j Q \cap R \neq 0 \neq Q g_j \cap R$  for all  $j$ . Hence

$$c^{-1} M c \cap R \subseteq R \cap \left[ \sum_{j=1}^{n-1} g_j Q \left( \sum_{h=j+1}^n g_h \right) \right] = T.$$

By Lemma 3(b)(i),  $T$  is a maximal nilpotent subring of  $R$  with exponent  $n$ .

This completes the proof of Lemma 3.

*Remark 1.* Let  $R$  be a prime right Goldie ring, and  $M$  be a maximal nilpotent subring of  $R$ . If  $c \in R$  is a regular element of  $R$ , then in general the nilpotent subring  $c^{-1} M c \cap R$  of  $R$  is not a maximal nilpotent subring of  $R$ , as can be seen by the following

*Example.* Let  $K$  be a unitary principal right ideal domain which is not a left Ore domain (e.g. Goldie [4, p. 219]). Hence there are  $x, y \in K$  such that  $Kx \cap Ky = 0$ . Let  $R$  be the ring of all  $2 \times 2$  matrices  $(a_{ij})$  ( $i, j = 1, 2$ ) with  $a_{1j} \in Kx$  and  $a_{i2} \in Ky$ . If  $\hat{K}$  is the division ring of right quotients of  $K$ , then  $Q = \hat{K}_2$  is the classical ring of right quotients of  $R$ , by Faith and Utumi [2, p. 59]. Hence  $R$  is a prime right Goldie ring (cf. Jacobson [6, p. 268], Goldie's Theorem for prime rings). Let  $M$  be the ring of all matrices  $(a_{ij})$  of  $R$  with  $a_{11} = a_{12} = a_{22} = 0$  and  $a_{21} \in Kx$ . We shall prove that  $M$  is a maximal nilpotent subring of  $R$ . If this were not true, then there would be a  $v \in R, v \notin M$  such that  $\{M, v\}$  is a nilpotent subring of  $R$ . By Lemma 2 we have  $\{M, v\}^2 = 0$ . Let

$$v = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \text{ and } g = \begin{pmatrix} 0 & 0 \\ c & 0 \end{pmatrix},$$

where  $a, c \in Kx$  and  $b, d \in Ky$ . Then  $v - g \in \{M, v\}$ . Thus  $(v - g)^2 = 0$ . Hence  $a = 0 = d$ . From  $v^2 = 0$  we deduce that  $c = 0$  or  $b = 0$ . If we had  $b \neq 0$ , then we would obtain  $v + z \in \{M, v\}$  for

$$z = \begin{pmatrix} 0 & 0 \\ x & 0 \end{pmatrix}.$$

Therefore  $(v + z)^2 = 0$  which implies that  $bx = 0$ . Hence  $b = 0$ , and we get  $v = g \in M$ , a contradiction. Therefore  $M$  is a maximal nilpotent subring of  $R$ . If  $N$  is the ring of all matrices  $(a_{ij}) \in R$  with  $a_{11} = a_{21} = a_{22} = 0$  and  $a_{12} \in Ky$ , then by a similar argument  $N$  also is a maximal nilpotent subring of  $R$ . Clearly

$$c = \begin{pmatrix} 0 & y \\ x & 0 \end{pmatrix}$$

is a regular element of  $R$ , and  $c^{-1}Mc \cap R \leq N$ . If  $c^{-1}Mc \cap R$  were a maximal nilpotent subring of  $R$ , then we would have  $c^{-1}Mc \cap R = N$ . Hence there would be an element  $w \in Kx$  with  $y^{-1}wy = y$ , and thus we would have  $0 \neq y = w = kw \in Kx \cap Ky = 0$ . This contradiction shows that  $c^{-1}Mc \cap R < N$ .

It is well known (cf. Goldie [4], p. 206, Theorem 3.2) that each semi-prime right Goldie ring has the following properties:

- (a)  $Z_r(R) = 0$ .
- (b) Each set of independent uniform right ideals of  $R$  which are subisomorphic in pairs has a finite number of elements.
- (c) Each right ideal  $X \neq 0$  of  $R$  contains a uniform right ideal  $U \neq 0$  of  $R$ .

We therefore call a ring  $R$  with the properties (a), (b) and (c) a *generalized right Goldie ring*.

**LEMMA 4.** *Let  $\mathfrak{n}$  be a set of nil subrings of the semi-prime generalized right Goldie ring  $R$  such that the union of each tower of  $\mathfrak{n}$ -rings is an  $\mathfrak{n}$ -ring. If each locally nilpotent subring  $X$  of  $R$  belongs to  $\mathfrak{n}$ , then there exist two maximal  $\mathfrak{n}$ -subrings  $H$  and  $G$  of  $R$  with  $H \cap G = 0$ .*

*Proof.* Since  $R$  is a semi-prime ring with the properties (a), (b) and (c), by Lemma 4.2 and Lemma 4.5 of [10]  $R$  is an irredundant subdirect sum of the prime right Goldie rings  $R_\mu = R/P_\mu$  ( $\mu \in I$ ,  $I$  a well ordered index set), where the ideals  $P_\mu$  are the maximal two-sided annihilator ideals of  $R$ . If  $A_\mu = (P_\mu)_r(R)$ , then  $A_\mu$  is a minimal two-sided annihilator ideal of  $R$  by L. Levy [9, p. 65, Lemma 3.1]. Since  $R$  is semi-prime,  $A_\mu \cap P_\mu = 0$  for all  $\mu \in I$ . Hence  $A_\mu$  is isomorphic to a two-sided ideal  $\bar{A}_\mu$  of  $R_\mu$ . If we identify  $A_\mu$  with  $\bar{A}_\mu$ , then  $A_\mu$  is an essential right ideal of the prime right Goldie ring  $R_\mu$ . Hence  $A_\mu$  is a prime ring with  $Z'(A_\mu) = 0$ , and  $\dim_R(A_\mu) = \dim_{A_\mu}(A_\mu) = \dim_{R_\mu}(A_\mu) = \dim R_\mu$ . Thus  $A_\mu$  has a ring of right quotients  $Q(A_\mu)$  which coincides with the ring of right quotients  $Q_\mu$  of  $R_\mu$ , because  $A_\mu$  is a two-sided ideal of  $R$ . Application of Lemma 3[(a) and (b) (i)] shows the existence of a maximal set of primitive orthogonal idempotents  $g_i^{(\mu)} \in Q_\mu$  ( $i = 1, 2, \dots, n_\mu; \mu \in I$ ) such that

$$T_\mu = A_\mu \cap \left[ \sum_{j=1}^{n_\mu-1} g_j^{(\mu)} Q_\mu \left( \sum_{h=j+1}^{n_\mu} g_h^{(\mu)} \right) \right],$$

and

$$S_\mu = A_\mu \cap \left[ \sum_{j=1}^{n_\mu-1} \left( \sum_{h=j+1}^{n_\mu} g_h^{(\mu)} \right) Q_\mu g_j^{(\mu)} \right]$$

are maximal nilpotent subrings of  $A_\mu$  with exponent  $n_\mu$ . Furthermore  $T_\mu \cap S_\mu = 0$ .

Let  $T = \sum_{\mu \in I}^d T_\mu$ . Then  $T$  is a nil subring of  $R$  contained in  $\sum_{\mu \in I}^d A_\mu \leq R$ . If  $t_i \in T$  ( $i = 1, 2, \dots, m$ ), where  $m$  is any positive integer, then there is a finite number  $z$  (depending on the  $t_i \in T$ ) of minimal two-sided annihilators  $A_k$  ( $k = 1, 2, \dots, z$ ) such that the subring  $\{t_1, t_2, \dots, t_m\}$  of  $R$  generated by the  $t_i$  is contained in  $V = A_1 \oplus A_2 \oplus \dots \oplus A_z$ . Since  $V$  is a complete direct sum of a finite number of prime right Goldie rings,  $V$  is a semi-prime right Goldie ring. Hence the nil subring  $\{t_1, t_2, \dots, t_m\}$  of  $V$  is nilpotent by application of Procesi and Small [12, p. 81, Lemma 2] and Herstein and Small [5, p. 775, Theorem 1]. Thus  $T$  is a locally nilpotent subring of  $R$ . Hence  $T$  is an  $n$ -subring of  $R$ . Since the union of each tower of  $n$ -rings is an  $n$ -ring,  $T$  is contained in a maximal  $n$ -subring  $G$  of  $R$ . If  $S = \sum_{\mu \in I}^d S_\mu$ , by the same argument we get that  $S$  is contained in a maximal  $n$ -subring  $H$  of  $R$ . From  $G \geq T$  and  $H \geq S$  we obtain  $G_\mu \geq T_\mu$  and  $H_\mu \geq S_\mu$  for all  $\mu \in I$ . For each  $\mu \in I$  we define

$$F_\mu = R_\mu \cap \left[ \sum_{j=1}^{n_\mu-1} g_j^{(\mu)} Q_\mu \left( \sum_{h=j+1}^{n_\mu} g_h^{(\mu)} \right) \right],$$

and

$$E_\mu = R_\mu \cap \left[ \sum_{j=1}^{n_\mu-1} \left( \sum_{h=j+1}^{n_\mu} g_h^{(\mu)} \right) Q_\mu g_j^{(\mu)} \right].$$

Clearly  $F_\mu \cap E_\mu = 0$  for all  $\mu \in I$ .

We now want to show that  $G_\mu \leq F_\mu$  and  $H_\mu \leq E_\mu$  for all  $\mu \in I$ . By Lemma 3(a) applied to the prime right Goldie ring  $A_\mu$  we have  $g_k^{(\mu)} Q_\mu \cap A_\mu \neq 0 \neq Q_\mu g_k^{(\mu)} \cap A_\mu$  for all  $k = 1, 2, \dots, n_\mu$  and all  $\mu \in I$ . Since each  $A_\mu$  is a prime ring we get

$$0 \neq g_j^{(\mu)} Q_\mu g_h^{(\mu)} \cap A_\mu \leq g_j^{(\mu)} Q_\mu g_h^{(\mu)} \cap R_\mu$$

for all  $j, h = 1, 2, \dots, n_\mu$ . Therefore there are  $n_\mu - 1$  elements  $q_i^{(\mu)} \in Q_\mu$  ( $i = 1, 2, \dots, n_\mu - 1$ ) such that for all  $i$  we have

$$0 \neq g_i^{(\mu)} q_i^{(\mu)} g_{i+1}^{(\mu)} \in g_i^{(\mu)} Q_\mu g_{i+1}^{(\mu)} \cap A_\mu \leq T_\mu \leq G_\mu.$$

Since  $G_\mu = (G + P_\mu)/P_\mu$ ,  $G_\mu$  is a nil subring of the prime right Goldie ring  $R_\mu$ , because each  $n$ -ring is a nil ring. Hence  $G_\mu$  is nilpotent. Clearly

$$t_\mu = \sum_{i=1}^{n_\mu-1} g_i^{(\mu)} q_i^{(\mu)} g_{i+1}^{(\mu)} \in T_\mu \leq G_\mu \leq R_\mu.$$

Hence, by application of Lemma 3(b) (ii) to the prime right Goldie ring  $R_\mu$ ,  $G_\mu \leq F_\mu$ . By the right-left symmetry of this argument we get  $H_\mu \leq E_\mu$ .

Now

$$G \leq \sum_{\mu \in I}^c G_\mu \leq \sum_{\mu \in I}^c F_\mu, \quad \text{and} \quad H \leq \sum_{\mu \in I}^c H_\mu \leq \sum_{\mu \in I}^c E_\mu.$$

Therefore  $G \cap H = 0$ , because  $G_\mu \cap F_\mu = 0$  for all  $\mu \in I$ . This completes the proof of Lemma 4.

Before stating our Theorem 1 we restate some definitions of [11]. Let  $\mathfrak{U}$  be the universal class of all [associative] rings; then a single-valued function  $f$  assigning to every ring  $R$  a (two-sided) ideal  $fR$  of  $R$  is called a *preradical* over  $\mathfrak{U}$  if it satisfies

$$(fR)^\mu \leq fR^\mu \text{ for every epimorphism } \mu \text{ of } R.$$

It is well known (cf. Jacobson [6]) that the lower and the upper nil radical, the Levitzki-radical and the Jacobson-radical are preradicals.

Let  $f$  and  $g$  be preradicals over  $\mathfrak{U}$ . Then we define  $f \leq g$  if and only if  $fR \leq gR$  for all rings  $R$ . If  $f$  is a preradical over  $\mathfrak{U}$ , we term a ring  $S$  an *f-ring* if  $S = fS$ . The ideal  $X$  of the ring  $R$  is an *f-ideal* of  $R$  if  $X$  is an *f-ring*. We denote by  $s_f R$  the sum of all *f-ideals* of the ring  $R$ . Using these definitions and notations we now establish the following theorem.

**THEOREM 1.** *Let  $f$  be a preradical over  $\mathfrak{U}$  with the following properties:*

- A. *If  $L$  is the Levitzki-radical and  $N$  the upper nil radical over  $\mathfrak{U}$ , then  $L \leq f \leq N$ .*
- B. *Extensions of  $f$ -rings by  $f$ -rings are  $f$ -rings.*
- C. *The union of each tower of  $f$ -rings is an  $f$ -ring.*

*If  $R/s_f R$  is a generalized right Goldie ring, then the sum  $s_f R$  of all  $f$ -ideals of the ring  $R$  is the intersection of all maximal  $f$ -subrings of  $R$ .*

*Proof.* Let  $t$  be a tower of  $f$ -ideals  $X$  of  $R$ . If  $T = \sum_{X \in t} X$ , then  $T$  is an  $f$ -ideal by C. Hence there exists a maximal  $f$ -ideal  $M$  of  $R$  by Zorn's Lemma. If  $M \neq s_f R$ , then there would be an  $f$ -ideal  $Y$  of  $R$  with  $Y \not\leq M$ . Since  $M$  is an  $f$ -ring, we would have

$$f[M/M \cap Y] = M/M \cap Y \cong (M + Y)/Y.$$

Hence  $M + Y$  would be an  $f$ -ideal of  $R$ , by B. Thus  $M$  would not be a maximal  $f$ -ideal of  $R$ . This contradiction proves that  $M = s_f R$ . Since  $s_f R$  is an  $f$ -ideal of  $R$ , the only  $f$ -ideal of  $R/s_f R$  is 0, by C. From  $L \leq f$  we obtain that  $R/s_f R$  is a semi-prime ring.

Using C, another application of Zorn's Lemma establishes the existence of maximal  $f$ -subrings of  $R$ . Now, if  $F$  is such a subring of  $R$ ,  $s_f R \leq F$ . Hence from B it follows that the subring  $S$  of  $R$  is a maximal  $f$ -subring of  $R$  if and only if  $S \geq s_f R$  and  $S/s_f R$  is a maximal  $f$ -subring of  $\bar{R} = R/s_f R$ . Thus, if  $F_\alpha$  ( $\alpha \in \bar{A}$ ) are the maximal  $f$ -subrings of  $R$ , then the  $F_\alpha/s_f R$  are the maximal  $f$ -subrings of  $\bar{R}$ . Since  $\bar{R}$  is a semi-prime generalized right Goldie ring, the intersection of all maximal  $f$ -subrings of  $\bar{R}$  is zero by Lemma 4. Hence

$$\left( \bigcap_{\alpha \in \bar{A}} F \right) / s_f R = \bigcap_{\alpha \in \bar{A}} [F_\alpha / s_f R] = 0,$$

which implies that

$$\bigcap_{\alpha \in \bar{A}} F_\alpha = s_f R.$$

This completes the proof of Theorem 1.

By Lemma 1 and Jacobson [6, p. 197, Lemma and Proposition 1, and p. 193, Lemma 1], the Levitzki-radical  $L$  and the upper nil radical  $N$  over  $\mathfrak{U}$  satisfy the conditions A, B and C of Theorem 1. Hence we have

**COROLLARY 2.** (a) *If  $R/L(R)$  is a generalized right Goldie ring, then the sum  $L(R)$  of all locally nilpotent ideals of  $R$  is the intersection of all maximal locally nilpotent subrings of  $R$ .*

(b) *If  $R/N(R)$  is a generalized right Goldie ring, then the sum  $N(R)$  of all nil ideals of  $R$  is the intersection of all maximal nil subrings of  $R$ .*

**COROLLARY 3.** *Let  $B(R)$  be the lower nil radical of the ring  $R$ . Let  $R/B(R)$  be a generalized right Goldie ring with the following property:*

(b') *Each set of independent, uniform right ideals of  $R$  which are subisomorphic in pairs has at most  $n$  elements, where  $n$  is a fixed positive integer.*

*Then the following properties of the ring  $R$  are equivalent:*

- (i)  $B(R)$  is nilpotent.
- (ii)  $B(R)$  is the intersection of all maximal nilpotent subrings of  $R$ .

*Proof.* Clearly (i) is a consequence of (ii). Assume that  $R$  satisfies condition (i). Since  $R/B(R)$  is a semi-prime generalized right Goldie ring the Levitzki-radical  $L(R)$  coincides with  $B(R)$ , by [10, Zusatz 5.4]. Hence  $B(R)$  is the intersection of all maximal locally nilpotent subrings of  $R$ , by Corollary 2(a). Let  $M$  be a maximal locally nilpotent subring of  $R$ . Then  $M/B(R) = \bar{M}$  is a maximal locally nilpotent subring of  $R/B(R) = \bar{R}$ . By [10, Theorem 4.8]  $\bar{R}$  is a subring of a complete direct sum  $\bar{Q}$  of complete rings of  $n_\mu \times n_\mu$  matrices over division rings  $K_\mu$  ( $\mu \in I$ ). From (b') one easily deduces that  $n_\mu \leq n$  for all  $\mu \in I$ . Hence  $\bar{M}$  is nilpotent by application of Lemma 2(a). This completes the proof of Corollary 3.

**COROLLARY 4.** *The lower nil radical  $B(R)$  of a right Noetherian ring is the intersection of all maximal nilpotent subrings of  $R$ .*

This follows at once from Corollary 3.

**THEOREM 2.** *If  $R$  is a right Artinian ring without additive subgroups of type  $p^\infty$ , then the maximal nilpotent subrings of  $R$  are quasi-conjugate.*

*Proof.* Since  $R^+$  does not contain any subgroup of type  $p^\infty$ , it follows from Fuchs [3, p. 283, Theorem 73.1] that

$$R = C_0 \oplus C_1 \oplus C_2 \oplus \dots \oplus C_r,$$

where  $C_0$  is a torsion-free right Artinian ring, and the  $C_i$  ( $i = 1, 2, \dots, r$ ) are uniquely determined ( $p_i \neq p_j$ , if  $i \neq j$ ) right Artinian  $p_i$ -rings whose elements are of bounded order.

Let  $U_0 = \{(c, \mu) \mid c \in C_0, \mu \in Q, \text{ where } Q \text{ is the field of rationals}\}$ , where the addition is defined componentwise and the multiplication by

$$(c_1, \mu_1)(c_2, \mu_2) = (c_1c_2 + \mu_1c_2 + \mu_2c_1, \mu_1\mu_2). \tag{3.2}$$

Then, by Fuchs [3, p. 284],  $U_0$  is a unitary right Artinian ring such that  $C_0$  is an ideal of  $U_0$ .

For  $i = 1, 2, \dots, r$  let  $p_i^{k_i}$  be the least upper bound of the orders of the elements of  $C_i$ . Let  $Z$  be the ring of rational integers, and let  $Z(p_i^{k_i})$  be the factor ring of  $Z \text{ mod } p_i^{k_i}$ . Let

$$U_i = \{(c, \mu) \mid c \in C_i, \mu \in Z(p_i^{k_i})\},$$

where addition is defined componentwise and the multiplication by (3.2). Then, by Fuchs [3, p. 285], each  $U_i$  ( $i = 1, 2, \dots, r$ ) is a unitary right Artinian ring such that  $C_i$  is a two-sided ideal of  $U_i$ . Hence

$$U = U_0 \oplus U_1 \oplus U_2 \oplus \dots \oplus U_r$$

is a right Artinian ring. Since each right ideal of  $R$  is a right ideal of  $U$ ,  $R$  is right Noetherian. Thus  $R$  has maximal nilpotent subrings by Proposition 1. If  $N$  is such a subring of  $R$ , then the  $C_j$ -component ( $j = 0, 1, 2, \dots, r$ )

$$N_j = \{x \in R \mid x = n - y \in C_j \text{ for some } n \in N \text{ and } y \in \sum_{k \neq j} U_k\}$$

of  $N$  is a maximal nilpotent subring of  $C_j$ , and

$$N = N_0 \oplus N_1 \oplus \dots \oplus N_r,$$

by Barnes [1, p. 234, Lemma 1], because  $R$  is right Artinian. Let  $M$  be another maximal nilpotent subring of  $R$ . Then

$$M = M_0 \oplus M_1 \oplus \dots \oplus M_r,$$

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Since  $U_0/C \cong Q$ , all nilpotent subrings of  $U_0$  are contained in  $C_0$ . Hence  $N_0$  and  $M_0$  are maximal nilpotent subrings of the unitary right Artinian ring  $U_0$ . Thus, by Köthe [7, p. 363, Theorem 5], there is a unit  $u_0 \in U_0$  satisfying

$$M_0 = u_0^{-1}N_0u_0.$$

Let  $u_0 = (c_0, \mu_0)$  and  $u_0^{-1} = (d_0, \mu_0^{-1})$ .

If  $q_0 = (-c_0, 0)(0, \mu_0^{-1})$  and  $p_0 = (-d_0, 0)(0, \mu_0)$ , then  $p_0$  is the quasi-inverse of  $q_0$  in  $C_0$ , and we have

$$M_0 = (1 - p_0)N_0(1 - q_0).$$

For  $j = 1, 2, \dots, r$  we know that  $N_j$  (resp.  $M_j$ ) is a maximal nilpotent subring of  $C_j$ . Let  $J_j$  be the radical of  $Z(p_j^{k_j})$ . Then

$$N_j^* = (N_j, 0) + (0, J_j)$$

is a nilpotent subring of  $U_j$ . If  $N_j^*$  were not a maximal nilpotent subring of  $U_j$ , then there would be an element  $v \in U_j$  such that  $\{N_j^*, v\}$  is nilpotent.

Hence  $v = (c_j, z_j) [c_j \in C_j, z_j \in Z(p_j^{k_j})]$  is nilpotent. This implies that  $z_j \in J_j$ , and

$$c_j = (c_j, 0) = v - (0, z_j) \in \{N_j^*, v\} \cap C_j.$$

Now  $\{N_j, c_j\} \subseteq \{N_j^*, v\}$  and the fact that  $N_j$  is a maximal nilpotent subring of  $C_j$  imply that  $c_j \in N_j$ , which implies that  $v \in N_j^*$ , a contradiction. Hence  $N_j^*$  is a maximal nilpotent subring of  $U_j$ . By the same argument we obtain that  $M_j^* = (M_j, 0) + (0, J_j)$  is a maximal nilpotent subring of  $U_j$ . Thus, by Köthe [7, p. 363, Theorem 5], there is a unit  $u_j \in U_j$  satisfying

$$M_j^* = u_j^{-1}N_j^*u_j.$$

Now identify  $M_j$  with  $(M_j, 0)$  and  $N_j$  with  $(N_j, 0)$ . Then it is obvious that  $u_j^{-1}N_ju_j \subseteq M_j$ . Conversely, for each  $m_j$  there is an  $n_j^* = n_j + z_j$ , where  $n_j \in N_j, z_j \in J_j$ , such that

$$m_j = u_j^{-1}(n_j + z_j)u_j = u_j^{-1}n_ju_j + u_j^{-1}z_ju_j.$$

Let  $u_j = d_j + r_j, u_j^{-1} = e_j + s_j$ , where  $d_j, e_j \in N_j, r_j, s_j \in Z(p_j^{k_j})$  and  $r_js_j = 1 = s_jr_j$ . Then it follows that

$$m_j - u_j^{-1}n_ju_j - z_je_jd_j - s_jz_jd_j - r_jz_je_j = s_jz_jr_j \in C_j \cap Z(p_j^{k_j}) = 0.$$

Hence  $z_j = 0$ . This means that  $M_j = u_j^{-1}N_ju_j$ .

For each  $j = 1, 2, \dots, r$ , let  $q_j = (-d_j, 0)(0, r_j^{-1})$  and  $p_j = (-e_j, 0)(0, r_j)$ . Then  $p_j$  is the quasi-inverse of  $q_j$  in  $C_j$ , and we have  $M_j = (1 - p_j)N_j(1 - q_j)$ . If  $p = \sum_{k=0}^r p_k$  and  $q = \sum_{k=0}^r q_k$ , then  $p$  is the quasi-inverse of  $q$  in  $R$ , and it follows that  $M = (1 - p)N(1 - q)$ . This completes the proof of Theorem 2.

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*Added in proof.* We now have an example of a prime right and left Goldie ring with identity having two maximal nilpotent subrings which are not isomorphic (cf Michler, *Math. Z.* **100** (1967), p. 180).

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