From *Pluribus* to *Unum*? The Civil War and Imagined Sovereignty in Nineteenth-Century America

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Contestation over the structure and location of final sovereign authority—the right to make and enforce binding rules—occupies a central role in political development. Historically, war often settled these debates and institutionalized the victor’s vision of sovereignty. Yet sovereign authority requires more than institutions; it ultimately rests on the recognition of the governed. How does war shape imagined sovereignty? We explore the effect of warfare in the United States, where the debate over two competing visions of sovereignty erupted into the American Civil War. We exploit the grammatical shift in the “United States” from a plural to a singular noun as a measure of imagined sovereignty, drawing upon two large textual corpuses: newspapers (1800–99) and congressional speeches (1851–99). We demonstrate that war shapes imagined sovereignty, but for the North only. Our results further suggest that Northern Republicans played an important role as ideational entrepreneurs in bringing about this shift.

One of the most important developments in the history of European state formation is the emergence of sovereignty: the idea that there exists a final political authority over the territorial state (Acharya and Lee 2018; Hinsley 1986; Krasner 1993, 261; Philpott 2001; Spruyt 1996; Strayer 1970, 108). Sovereignty is the organizing principle of all modern states. Indeed, alternatives to sovereignty, once common outside the Western world, have fallen away (Butcher and Griffiths 2017; Krasner 1988, 89; Phillips and Sharman 2015; Philpott 2001; Ruggie 1998; Spruyt 1996). What remains contested is not heteronomy as an alternative to sovereignty but rather the configuration of sovereign authority within the polity: where or in whom sovereign authority is located and how that authority is to be structured (Deudney 1995; Hinsley 1986, 3, 8). Is final sovereign authority found in the king or the parliament? What are the rights of subordinate political units, such as colonies, autonomous territories, and the constituent parts of federal states, vis-à-vis the superordinate unit?

Historically, violence played a central role in settling these debates. The English Civil War was fought over the location of sovereign authority and, together with the Glorious Revolution, eradicated the absolute sovereignty of the monarchy (Malcolm 1999a; 1999b; Sommerville 1999). On the Continent, the Napoleonic Wars catalyzed a movement toward sovereignty vested in the nation (Porter 1994). In the American Civil War, the Union’s victory reinforced the U.S. federal government’s claim to sovereign authority over the individual states (McPherson 1988, 859; Ward 1990, 273; Wilentz 2005, 790). The principle of national self-determination emerged victorious in World War I and the Yugoslav wars of secession (Thomas 2003).

Each of these wars left lasting legacies for the institutionalization of sovereignty. Yet, to be effective, sovereign authority cannot reside in institutions alone, but rather must ultimately rest on the recognition and acceptance of the governed. As Weber’s classical definition of the state suggests, sovereignty is not merely a material fact on the ground; it is fundamentally ideational (Loveman 2005, 1652–3). Similarly, Krasner describes sovereign authority as involving “a mutually recognized right for an actor to engage in specific kinds of activities.” (Krasner 1999, 10). Put differently, sovereign authority exists when those that the state purports to govern actually think of the state as sovereign.

Against this backdrop, this paper investigates how warfare shapes the popular imagination of sovereignty. Building from the idea that major wars constitute critical junctures in a country’s history, we identify two potential channels through which warfare may have ideational effects (Capoccia and Kelemen 2007; Soifer 2012). A first perspective highlights the role of ideational entrepreneurs in promoting normative principles in order to mobilize popular support for the war effort. By justifying wartime sacrifices in the service of higher ideals, entrepreneurs give “meaning” to the war while elevating popular attachments to their preferred notions of sovereignty. In contrast, a second
perspective focuses on ideational change in the aftermath of military defeat. Under this view, the shock and trauma of defeat may delegitimate commitments to “failed” ideas while facilitating a conversion to the opponent’s principles as focal models of success.

We explore the effect of warfare on imagined sovereignty in the context of nineteenth-century America. The United States is a theoretically appropriate case because there existed two clear visions about how sovereign authority was to be structured. From the establishment of the Constitution in 1789, the sovereignty of the United States was explicitly divided between the national government and the several states (Hamilton 1788). This constitutional arrangement provoked considerable debate among Americans about the nature of U.S. sovereignty (Holt 2019, 24) that culminated in a violent war of secession (McPherson 1988, 859; Ward 1990; Wilentz 2005, 790). We bring evidence to bear on how the Civil War shaped the subsequent imagination of sovereignty for those on both sides of the conflict.

In addition, the U.S. context provides a unique opportunity to overcome a significant barrier in past research on sovereignty. While historical settings provide a long period to observe contestation and transformation in imagined sovereignty, they predate the advent of public opinion data that directly capture whether and to what extent individuals accept a particular vision of sovereign authority. We circumvent this problem in the U.S. case by leveraging a measure of imagined sovereignty based on the shared civic language of Americans. As other scholars have shown, language reflects how one sees the world: how we speak reveals something about what we think and how we behave (Liu 2021; Pérez and Tavits 2019; Tavits and Pérez 2019). Our measure builds on this insight.

Specifically, we exploit a grammatical change in which the “United States” shifts from being a plural noun to a singular noun (Myers 2008; Santin, Murphy, and Wilkens 2016). Whereas Americans at the start of the nineteenth century once said, “the United States are,” by the start of the twentieth they were much more likely to say “the United States is.” We treat this change in speech as indicative of a change in how Americans understood U.S. sovereignty, from the multiple and equal sovereignities embedded in the several states to the single final sovereignty of the United States as a national entity.

We construct our measure of imagined sovereignty using two large textual corpuses: letters and editorials appearing in newspapers between 1800 and 1899 and all congressional speeches made between 1851 and 1899. Our analysis reveals a powerful—but selective—effect of the Civil War on the imagination of sovereignty. In particular, evidence from our newspaper corpus demonstrates that the experience of the war accelerated adoption of the grammatical singular in the North but had no effect on the South. Moreover, this acceleration appears most pronounced in Republican-leaning counties. Evidence from our congressional corpus points to a corresponding partisan divide between Northern Republicans who advocated fiercely for the idea of national sovereignty and Northern Democrats who fought with the North but held weaker ideological commitments. Taken together, these results highlight the role of ideational entrepreneurs as the key mechanism in driving ideational change during periods of conflict.

This paper contributes to the literature on sovereignty and state development by highlighting the importance of the ideational aspects of stateness. Institutions alone do not make the state. Despite the institutionalization of the North’s vision of sovereignty, ideational acceptance proceeded unevenly in both spatial and temporal terms. Our focus on imagined sovereignty is especially important in view of the large literature that examines how war affects state development. Much of this literature has largely focused on the institutional and physical manifestations of statehood: war expands the state’s territory, enhances administrative and fiscal capacity, and promotes political representation (Bensel 1990; Cederman et al. 2023; Dincecco and Wang 2018; Levi 1988; Queralt 2019; Rasler and Thompson 1985; Stasavage 2011; Thies 2005; Tilly 1992)—at least in early modern Europe and among populations able to effectively bargain with the state (Centeno 2002; Herbst 2000; Koehler-Derrick and Lee 2023). While some studies have linked warfare to nationalism and identity formation (Mann 1993; Mazumder 2019), to the best of our knowledge, we are the first to examine how war shapes the imagination of sovereignty—perhaps the most foundational characteristic of the state.

**WAR AND IMAGINED SOVEREIGNTY**

How do wars shape the popular imagination of sovereignty? Given the novelty of this research question, there exists little in the way of established theory to guide our reasoning. In this section, we draw upon disparate literatures to identify two potential pathways through which war may affect ideational outcomes. The following sections then apply these ideas to the particulars of the U.S. case in order to derive specific testable hypotheses.

Our approach begins from the observation that wars and their aftermath often constitute critical junctures in a country’s history that relax prior ideational constraints and establish new beliefs, values, and understandings (Capoccia and Kelemen 2007; Soifer 2012; Widmaier, Blyth, and Seabrooke 2007). In particular, wars often entail massive social, economic, and institutional disruptions in everyday life. Conscription, the curbing of political freedoms, the reshaping of the labor force and economy—to say nothing of the death, physical destruction, and displacement caused by fighting—all represent a sudden and violent break from the normal rhythms of life. These disruptions shake loose the structure of established ideas and increase the demand for new explanatory models of traumatic events. It is in this course of “searching for meaning” that new ideas about sovereignty take hold.

Building from this general framework, we identify two potential pathways through which wars may generate ideational change. The first perspective links ideational change to the costs of war and the need to
mobilize public support. Specifically, major wars in the era of mass mobilization demand tremendous sacrifice on the part of citizens (Koehler-Derrick and Lee 2023; Scheve and Stasavage 2010; Tilly 1992). These sacrifices include compliance with the state’s extraction of labor to fight wars and the taxes to finance them, as well as the willingness to endure shortages in everyday essentials such as food and fuel.

To persuade citizens to bear the costs of wartime disruptions, leaders must work to justify why such sacrifices are necessary (Chong and Druckman 2007; Lee and Prather 2020; Maxey 2020; Nelson, Oxley, and Clawson 1997; Widmaier, Blyth, and Seabrooke 2007). These justifications are important because ordinary citizens are unlikely to possess the specialized knowledge or political awareness to make sense of war on their own. Political issues can be complex and remote; acquiring political information independently of elites is not only costly but even prohibitive (Downs 1957; Gilens and Murakawa 2002; Guisinger and Saunders 2017). Leaders can fill the information gap by framing, interpreting, and explaining the causes of war and issues under dispute.

Themes such as the preservation of national security or the protection of economic interests figure prominently in these appeals to the public. Just as often, however, justifications for war are intimately bound up with ideational issues such as sovereignty. For example, although the Zimmerman Telegram and Germany’s resumption of unrestricted submarine warfare directly precipitated the entry of the United States into World War I, President Woodrow Wilson justified America’s involvement—and the immense sacrifices that this would entail—by invoking the higher principles of national self-determination and the safeguarding of democracy.

Political actors play an important role in advancing such higher principles as justifications for conflict. Political leaders, intellectuals, and activists thus assume the role of ideational entrepreneurs who take advantage of wartime upheavals to rally public support around the issues to which they are committed. The influence of these entrepreneurs lies in their ability to (re)frame issues and ideas in ways that both resonate with the broader public and help to make sense of the traumas of war (Finnemore and Sikkink 1998, 897).

When such frames are adopted, they can serve to elevate popular commitments to principles such as popular sovereignty or democracy by casting these ideas as “rightful” causes deserving of wartime sacrifice. In this way, justifications based on higher principles can have a psychological effect by introducing normative valence to the conflict. The sense of moral imperative that results not only increases citizens’ willingness to bear the costs of war, but also convinces them that the principle in question is a “good” cause worth fighting and dying for.

In summary, this pathway focuses on processes of framing, persuasion, and justification as critical mechanisms, and political actors as key agents of change. In seeking to explain the costs of war, these actors increase the valence of their preferred ideas. By implication, this perspective holds that we should observe the greatest ideational change among the ideological vanguard and their followers. We may also expect that the vanguard, being comprised of politically sophisticated elites, will more quickly adopt new ideas compared with their non-elite, less politically sophisticated followers.

A second, alternative pathway focuses not so much on the normative effects of war itself, but instead stresses the process of “sense-making” that follows the shock and trauma of defeat. Of course, in many ways, defeat—particularly when it is accompanied by military occupation and the imposition of new institutions and leaders—represents an intensification of the wartime disruptions and uncertainties that increase the demand for new explanatory models. Importantly, however, defeat also generates a qualitatively different set of effects. Unlike the questioning that accompanies wartime sacrifices, the “search for meaning” here is intimately related to a sense of failure, humiliation, and loss of status that defeat lays bare in clear and unequivocal terms (Barnhart 2021).

These negative emotions play an important role in shaping ideational outcomes. Our argument here builds upon Legro’s two-stage model of change (Legro 2005). The first stage underscores the role of defeat in calling into question prior ideational commitments. Here it is important to recognize that the sense of failure accompanied by military defeat is not confined to events on the battlefield alone, but also extends to the implication of those events for the higher-order principles contested in the war itself. For example, the August 2021 fall of Kabul to the Taliban and the chaotic American withdrawal from Afghanistan prompted pundits, scholars, and ordinary Americans to question the proper role of the United States in the world more generally. Similarly, historian John Dower emphasized the delegitimation of the ideational status quo ante among ordinary Japanese following the country’s unconditional surrender in 1945: “When the war ended in disaster and utter defeat, it was obvious that the ‘New Order’ [Greater East Asia Co-Prosperity Sphere] and the ‘New Structure’ had been miserably conceived” (Dower 1999, 178–9). As these examples illustrate, defeat can reverberate beyond the battlefield to signify the failure of more basic ideational principles.

Secondly, this sense of failure, as well as the associated feelings of humiliation and loss of status, engenders not only a rejection of the status quo, but also a search for alternative principles and ideas. Legro particularly identifies the quality of success as a key criteria in selecting among available alternatives (Legro 2005, 35). Ironically, in the aftermath of a major war, the most salient successful model may be found in the principles and ideals of one’s victorious adversary. The triumph of arms, as well as the resulting difference in status between the winning and losing sides, are power symbols of success. In this context, the attraction of the victor’s model, coupled with the shock and trauma of defeat, may drive the vanquished toward an ideational reversal. Dower describes this process in post-WWII Japan as a “‘the reevaluation of all values,’ even to the...
The Civil War imposed enormous disruptions on American society, both North and South. Roughly three million men—some 20% of the entire white male population in 1860—served in the conflict (McPherson 1888, 306). Casualty estimates also paint a striking picture, as approximately “1 in 10 white men of military age in 1860 died as a result of the war and two hundred thousand white women were widowed” (Hacker 2011, 311). Losses were particularly acute across the South, where the conflict “killed two-fifths of southern livestock, wrecked half of the farm machinery, ruined

1 Although our theory can generalize to ideals other than sovereignty (e.g., democracy), we focus in this paper on the American Civil War as an example of conflicts that are specifically “about” sovereignty.

2 Contrast this view to that of Mississippi Democrat Albert Gallatin Brown, who declared that “There is no such political body as the people of the United States; they can do nothing, have done nothing, have in fact no existence.” Quoted in Maizlish (2018, 96).
thousands of miles of railroad, left scores of thousands of farms and plantations in weeds and disrepair, and destroyed the principal labor system [i.e., slavery] on which southern productivity had been based. Two-thirds of assessed southern wealth vanished in the war” (McPherson 1988, 818).

The valence pathway predicts that such dramatic upheavals should prompt political actors to advance ideas that motivate and mobilize citizens to bear the costs of conflict. By justifying citizens’ sacrifices in service to higher principles, ideational entrepreneurs give “meaning to the war” while popularizing their preferred understanding of American sovereignty.

From the Northern perspective, the key justification held that war was necessary to preserve the existence of a “perpetual Union.” This principle privileged the sovereignty of a national popular majority over the sovereignty of states’ rights. While such views certainly predated the conflict, the South’s secessionist challenge lent renewed vitality to these ideas. Indeed, for Northern reformers of all stripes, “as never before, the war mobilized [their] energies...imbuing their lives with a renewed sense of purpose” (Foner 1988, 25). Further, the act of violent secession delegitimized the opposing ideal of states’ rights, turning “even those who had looked forward with equanimity to separation from the South into violent foes of any compromise” (Trefousse 1969, 168–9).

The key proponents of perpetual Union—the ideational entrepreneurs—were members of the Radical wing of the Republican Party (Bensel 1990, 11, 18–9). Before the war, Republicans and some of their Whig predecessors had supported economic policies that would have exercised or even increased national power at the expense of the states (Foner 1970, 36). For Radicals, moreover, the question of federal authority became deeply bound up with the slavery issue. Indeed, “the driving force of Radical ideology was the utopian vision of a nation whose citizens enjoyed equality of civil and political rights, secured by a powerful and beneficent national state” (Foner 1988, 230).

Secession, followed by Emancipation, provided Radicals with a critical opportunity to advance their ideals. Radicals viewed the Civil War as “a ‘golden moment,’ an opportunity for far-reaching change that, if allowed to pass, ‘will have escaped for years, if not forever’” (Foner 1988). Northern victory further propelled optimism at the prospects of far-reaching changes. In the aftermath of the war, Republicans resolved to make the nation a “custodian of freedom,” and some [reformers] questioned whether the states deserved continued existence at all” (Foner 1988, 24). Given these considerations, we offer the following valence hypothesis:

\[ H_{VALENCE}: \text{The Civil War should strengthen popular attachments to a national conception of sovereignty in the North, and particularly among supporters of the Republican Party.} \]

We could, at this point, also formulate a parallel hypothesis about the war promoting adherence to the states’ rights ideology in the South. However, we refrain from doing so for several reasons. First and foremost, many historians contend that for Southerners, the war was about the future of slavery, not states’ rights.\(^3\) Perhaps the most striking illustration of this view can be found in the famous “Cornerstone speech” that Confederate Vice-President Alexander Stephens delivered two weeks prior to the outbreak of the Civil War. Stephens explicitly pronounced that “African slavery… was the immediate cause of the late rupture and present revolution,” and explained that “Our new government is founded upon…the great [moral] truth, that the negro is not equal to the white man; that slavery...is his natural and normal condition” (Stephens 2010, 188).

More broadly, Stephens’ speech points to the lack of Southern ideational entrepreneurs pushing the states’ rights issue as a more general principle rather than a narrow and instrumental defense of slavery. Even the most vehement supporters of secession, a group of individuals known as the Fire-Eaters, opportunistically treated states’ rights as a means to an end—that end being the protection of slavery (Heidler and Heidler 2014). As such, we would expect that Southern justifications for war would serve to increase popular attachments to the South’s “peculiar institution,” but have little effect on the normative valence of states’ rights.

In contrast to the valence pathway, the reversal pathway stresses the shock of defeat as the catalyst for the reevaluation of ideational attachments in the South. Defeat was traumatic for the Confederacy; as late as May 1864, Southern civilians and soldiers alike remained optimistic about the prospects of prevailing over the Union (Gallagher 1997, 36–8). However, the capture of Atlanta and Sherman’s subsequent march to the sea, followed closely by the re-election of Abraham Lincoln, dashed Southern hopes for a negotiated peace. With the surrender of the Confederate armies in 1865, “citizens who had maintained faith in their defenders despite material hardship and social disruption…recognized that the end had come” (Gallagher 1997, 157).

The upheavals wrought by the South’s failed attempt at secession are difficult to underscore: “Four years of brutal struggle had ravaged their military-age male population, vastly altered their physical landscape and economic infrastructure, and destroyed their slave-based social system” (Gallagher 2000, 1). Postwar Reconstruction and military occupation further underscored the totality of the South’s defeat and added to its humiliation. In particular, the presence of federal troops was a constant reminder of the Confederacy’s downfall and of Southerners’ status as second-class citizens (Downs 2015, 14). Finally, the presence of formerly enslaved Blacks among the ranks of the army and in public office deepened Southern whites’ sense of humiliation (Rable 2007, 24, 62).

The reversal pathway predicts that the total shock of Southern defeat should lead to a deep dissatisfaction with ideas and principles upon which the Confederacy

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\(^3\) For example, in pushing for stronger enforcement of the Fugitive Slave Law, it appears that Southern slaveholders were all too happy to call upon federal power when it suited their interests. See, for example, Bonekemper (2015) and Gallagher and Nolan (2000).
stood while precipitating an embrace of opposing ideals. In the South, the individuals who supported the Republican Party and their vision of strong national authority were disparagingly called “scalawags.” The scalawags were a diverse group that counted both wartime Unionists as well as former Confederates among their numbers. Although the former group had never supported secession, the same could obviously not be said for the former Confederates—at least some of whom appeared to have abandoned the old Southern orthodoxy in the wake of defeat.

This position is most evident in the views of some prominent ex-Confederates. General James Longstreet, known as Robert E. Lee’s “Old War Horse,” offers one example. “Since the North had won the war, its principles became law,” Longstreet wrote in a private letter that later appeared in print, “and the South’s duty was ‘to abandon ideas that are obsolete and conform to the requirements of law’” (Richter 1970, 222), Thomas Settle, a former Confederate captain who helped found the North Carolina Republican Party, also illustrates the postwar about-face in attitudes: “Prewar ‘ideas and feelings,’ [he declared,] must be buried ‘a thousand fathoms deep..., Yankees and Yankee notions are just what we want in this country’” (Foner 1988, 299).

Despite this evidence, other factors suggest that the reversal pathway is unlikely to obtain in the specific case of the American South. Southerners like Longstreet who reversed their ideological positions after the war constituted a minority within the scalawag minority. Although their views attracted considerable attention, they were also vilified in the South as sectional traitors (Richter 1970, 215). More broadly, the Southern backlash to Reconstruction offers powerful counter-evidence against the reversal hypothesis. Nonetheless, to give full consideration to a more generalizable theoretical proposition, we test the following hypothesis:

$$H_{\text{REVERSAL}}: \text{Defeat in the Civil War should increase adherence to a national conception of sovereignty in the South.}$$

**IMAGINING SOVEREIGNTY: FROM PLURAL TO SINGULAR**

The ideational nature of sovereignty poses significant measurement challenges. Ideally, we would construct a measure of the popular imagination of sovereignty using public opinion surveys but, unfortunately, our period of study predates the advent of scientific polling. Since we cannot measure what people think about U.S. sovereignty, we instead turn to their writings and speeches about the United States. Our approach assumes that individuals’ language patterns reveal important information about what they think (Liu 2021; Pérez and Tavits 2019; Tavits and Pérez 2019). We therefore surmount the measurement challenge by studying the shared civic language as a window into the popular imagination of sovereignty.

Our measurement strategy leverages a grammatical change in the civic language in which the term “United States” shifted from a plural to a singular noun. Whereas at the beginning of the nineteenth-century Americans said “the United States are,” by the end of the century they were much more likely to say “the United States is.” We treat plural/singular usage as a proxy for the popular imagination of sovereignty. Specifically, we take plural usage as indicating that Americans view the United States as having multiple sovereignties embodied in the several states, and singular usage as indicating that Americans conceive of the United States as possessing a single national sovereignty.

We are not the first scholars to attribute such meaning to the usage of the plural and singular forms of the United States. For example, Civil War historian Shelby Foote linked sovereignty and speech in his observation that “Before the war, it was said ‘the United States are.’ Grammatically, it was spoken that way and thought of as a collection of independent states” (quoted in Ward 1990, 273). Similarly, McPherson notes that the Civil War “marked a transition of the United States to a singular noun” and that “the ‘Union’ also became the nation” (McPherson 1988, 859).

Contemporary observers in the nineteenth century also treated language as laden with sovereign meaning. The *Washington Post* editorial board’s defense of its use of the grammatical singular is illustrative:

> Before the first Bull Run we generally said “the United States are”—are a Confederacy, for instance; after Appomattox we learned to say “the United States is”—is a Nation, for instance. The war settled permanently the question of grammar, and all that that implies—behind the sentiment was the syntax… Whatever we may have thought once, however we may have felt once, it is now seen to be better for us all to say “the United States is”—is a Nation. (The Washington Post 1887, emphasis ours)

Thirty years later, a prominent former Texas legislator, newspaper editor, and columnist at the *Rusk County News* (Langston 1941) linked speech and sovereignty in his criticism blasting a rival newspaper’s grammatical choices:

> We had a right to think that the Houston Post would remain with us to the last for state rights...Just the other day we observed the Post referring with approval to the United States in the singular number. No, sir; no Jeffersonian ever said ‘this United States’ or ‘that United States’ or ‘the United States is.’ An advocate of state rights referring to these United States in the singular number is a mockery...Our government is composed of separate states with reserved rights and, therefore the United States are plural, and should never be used in the singular number. (quoted in Bryan Daily Eagle 1917)

Perhaps most telling, neither Southerners nor Northerners considered the Confederate States of America to be a singular noun, much less a singular entity. For example, in his inaugural address, Jefferson Davis referred to the Confederate States in plural terms when describing “their” militias (Davis 1861). In our own analysis of rhetoric in newspapers and congressional speeches, we find 95 instances in which the “Confederate States (of America)” appeared as a grammatical subject...
and was treated as a plural noun, and exactly two instances in which the singular was used.⁴

**METHODS AND DATA**

We follow existing scholarship in the digital humanities by identifying singular usage in our textual sources through subject-verb agreement (Myers 2008; Santin, Murphy, and Wilkens 2016). Specifically, the form of the verb reveals whether its subject is singular or plural. This approach requires the term “United States” to appear as a grammatical subject (i.e., “the United States are unwilling to pay” (Johnson 1864, 2929)), rather than as a grammatical object (i.e., “the commissioners who represented the United States are now dead” (Mann 1914, 7715)). We further restrict our search to three common verb pairs that are unambiguously singular or plural: is/are, has/have, and was/were. Details on the automated text mining processes, we use are provided in the dataverse appendix. The resulting measure of our dependent variable is an indicator taking 1 if a mention of the “United States” in the subject position treats it as a singular noun and 0 otherwise.

We employ two types of textual sources: American newspapers and congressional speeches. Our newspaper data cover the period of 1800–99 and are drawn from Nineteenth-Century U.S. Newspapers and America’s Historical Newspapers; both are proprietary databases containing OCR text (Gale 2021; Readex 2021). Because we are interested in examining geographic differences in language use, we impose the additional restriction that newspaper content must be generated locally. The practice of reprinting material from other publications, including wire service content after the founding of the Associated Press, violates this restriction. Because there is no consistent way to track wire service and reprinted content across the multiplicity of publications in our dataset, we limit our attention to content that is likely to be locally generated: editorials and letters to the editor.

Congressional speech data come from OCR text versions of the Congressional Globe, a private journal founded in 1833 to report on the daily proceedings of Congress, and the Congressional Record, the journal that succeeded the Globe in 1873 and carried official status (Phillips 2015; Gentzkow, Shapiro, and Taddy 2018, respectively). By 1850, improvements in stenographic technology and the professionalization of the reporting corps allowed these publications to verbatim accounts of congressional speech (Byrd 1988, 314; McKinney 2002, 17; McPherson 1942, 147). We are therefore able to link speeches to individual members of Congress from the 32nd Congress (1851–53) and all meetings thereafter until 1899.

Each textual source offers distinct advantages for our analysis. The newspaper corpus covers the entirety of the nineteenth century and contains both political and non-political content authored by non-elite (or at least less elite) writers.⁵ The newspaper corpus thus serves as the main focus of our analysis. In contrast, the congressional speech corpus provides additional analytical depth. In particular, since we can link statements to specific (elite) individuals and factors such as party identification, we can directly examine the responses of ideological entrepreneurs to the war.

Importantly, the ability to distinguish between elite speakers in the congressional corpus and non-elite authors in the newspaper corpus also allows us to examine an observable implication from the theory. Specifically, the valence pathway’s emphasis on the role of ideological entrepreneurs implies that postwar change in ideational adherence should appear more rapidly among the elite in comparison to their non-elite, less politically sophisticated followers. We therefore expect to observe more discontinuous or immediate ideational shifts in the elite speech data, and more gradual (i.e., “smoothed”) change in non-elite newspaper data.

Because our hypotheses concern the sectional effects of the Civil War, we restrict our attention to newspapers headquartered in U.S. states and territories that (eventually) participated in the conflict. For consistency, our congressional speech sample includes mentions from Congressmembers and delegates from the same set of states and territories. In both cases, we omit mentions from the border states of Delaware, Kentucky, Maryland, and Missouri. All four were slave states that did not (or were prevented from) joining the Confederacy. As such, we do not consider their participation in the war to be comparable to other members of the Union. For similar reasons, we exclude all mentions from West Virginia, which seceded from Virginia after Virginia seceded from the Union. Finally, our main analyses also omit Washington, DC as a “border” area.⁶

**SECTIONAL EVIDENCE**

We begin with a visual inspection of sectional patterns in language usage. We focus on the newspaper corpus given its longer temporal span. Figure 1 depicts singular usage as a proportion of all grammatical subject mentions by year, separately for Northern and Southern newspapers. In general, we observe a consistent movement toward singular usage throughout the course of the nineteenth century. Our data thus replicate the broad temporal patterns that prior studies have documented using different textual corpuses (Myers 2008; Santin, Murphy, and Wilkens 2016).⁷ That said, we also uncover evidence of novel sectional patterns. Specifically, whereas Northern and Southern trends appear similar at the beginning of the century, we begin to observe a

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⁴ See the dataverse appendix for methodological details.

⁵ The supplementary material provides greater detail about letter writers as a non-elite population.

⁶ Appendix D5 shows that including these areas with the North does not change our results.

⁷ Given these preexisting trends, we do not claim that the Civil War precipitated the use of the singular, nor do we claim that the war introduced the idea of national sovereignty. Rather, our claim is more modest: the war accelerated the shift toward a national conception of sovereignty and the usage of the singular form.
sectional divergence around time of the Civil War, with singular usage appearing to accelerate in the North but not in the South.  

Overall, these patterns provide suggestive evidence in favor of the valence hypothesis predicting a positive effect of the Civil War on singular usage in the North. Further, the continuation of prewar trends in the South indicates that defeat had little effect and speaks against the reversal hypothesis. We next turn to a regression framework to more rigorously evaluate these findings.

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Figure S1 in the Supplementary Material provides further evidence that these patterns are robust to a range of bandwidths used in fitting the lowess lines.
Because singular usage was already increasing over the course of the nineteenth century, change in the context of those trends could take different forms. First, the Civil War could have increased the rate of adoption of the singular, which would appear in the data as a change in slope. Second, the Civil War could have immediately increased the level of singular usage, which would appear as a “jump” or intercept shift. Changes in the level of usage imply a shift in our elite congressional sample. However, because both types of changes are possible, we test for both effects. Our regression models thus take the form:

\[
S_{ij} = a + \beta_1 Y_{ij} + \beta_2 P_{ij} + \beta_3 N_{ij} + \beta_4 Y \cdot P_{ij} + \beta_5 Y \cdot N_{ij} + \beta_6 P \cdot N_{ij} + \delta W_{ij} + \epsilon_i,
\]

where \(S\) is a dummy variable indicating an instance \(i\) of singular usage in newspaper \(j\), \(Y\) represents the year of publication and captures time trends in the data, \(P\) is a period indicator (either post-1860 for tests of the valence hypothesis or post-1865 for tests of the reversal hypothesis), \(N\) indicates that the newspaper was published in the north, and \(W\) represents a vector of covariates.

We estimate Equation 1 using a linear probability model with standard errors clustered within newspapers. In secondary models, we also include newspaper fixed effects to help address concerns about unobserved heterogeneity related to the entry and exit of newspapers into our dataset. The number of newspapers grew rapidly in the early nineteenth century, in part a consequence of population growth, territorial expansion, and the advent of new technology (American Antiquarian Society 2022). While some publications still exist today, others ceased production or merged with other publications. Newspaper fixed effects help ensure comparability between these publications.

Our covariates \(W\) attempt to account for potentially confounding variables that are plausibly correlated with political geography, time, and the adoption of the grammatical singular. In the nineteenth century, the most likely confounders relate to economic and political modernization. We operationalize modernization using historical and geospatial data and code the following variables at the county level: urbanization, whether the county has a steamboat-navigated river or canal, ruggedness, and post office density as a proxy for contact with the government. Covariates are described in detail in Appendix D1.

We begin by examining sectional differences in singular usage before the Civil War. We estimate Equation 1 centering \(Y\) at the year 1860 and setting \(P = 1\) if \(Y > 0\) for ease of interpretation. To facilitate presentation of the interaction effects, we display estimated linear combinations of the relevant coefficients in Figure 2. Full results, including estimates for the control variables, appear in Appendix Table D3.

Our baseline model indicates that singular usage in both sections increased at a rate of about 0.6% per year during the prewar period, such that by 1860, around 35% of statements referred to the United States as a singular noun. Importantly, we detect no statistically significant differences in usage between Northern and Southern newspapers. Our fixed effects models indicate a slower rate of increase of about 0.3% per year, but again no significant sectional differences. Finally, we note that, in general, there are few significant associations between singular usage and our control variables.

We next turn to the valence hypothesis which predicts an increase in singular usage in the North as

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**FIGURE 2. Singular Usage in Northern and Southern Newspapers before 1861**

<table>
<thead>
<tr>
<th>Singular Level in 1860</th>
<th>Slope of Time Trend in Singular Adoption: 1860–1865</th>
</tr>
</thead>
<tbody>
<tr>
<td>North</td>
<td>South</td>
</tr>
<tr>
<td>0.347</td>
<td>0.346</td>
</tr>
<tr>
<td>se=0.023</td>
<td>se=0.039</td>
</tr>
</tbody>
</table>

Note: This figure displays coefficients, linear combinations, and 95% confidence intervals from Equation 1, centering \(Y\) at the year 1860 and setting \(P = 1\) if \(Y > 0\). Full results are available in Appendix Table D3.
commitments to the idea of national sovereignty deepen as a result of the war. While we are somewhat agnostic about the exact year where one might begin to observe this effect, the outbreak of war in 1861 strikes us as a reasonable break point in the data. We therefore look for differences in both the level (i.e., an intercept shift) and rate of change (i.e., a slope change) of singular usage around this date. Figure 3 presents the relevant coefficients (and their linear combinations); full results appear in the dataverse appendix.

Our results confirm the visual patterns from Figure 1. Specifically, we observe a marked increase in the rate of change in singular usage in Northern newspapers around 1860. In particular, the difference between Northern postwar and prewar time trends is about 0.9% per year. To put this result in perspective, recall that our fixed effects estimate yields a prewar time trend of around 0.3% per year, which now accelerates to 0.3% + 0.9% = 1.2% per year after 1860. Our baseline estimate without fixed effects yields a similar result (from 0.6% to 1.4%). In substantive terms, these estimates imply that, while the transition for plural to singular was only about one-third complete by 1860, the process would reach a rapid conclusion in the North by the turn of the century.

We conclude this section by examining language patterns in Southern newspapers to test the hypothesis. Since this pathway focuses on the effects of Southern defeat, we re-estimate Equation 1 using 1865 as the break point. The relevant coefficients, presented in Figure 5, show that the downfall of the Confederacy does not affect singular usage in Southern newspapers: we observe neither a statistically significant one-time increase in singular usage, nor a significant steepening of the postwar time trend. Further, as we show in Figure S4 in the Supplementary Material, the Southern time trend in singular usage appears roughly constant throughout the entire period 1820–80.

Overall, our results thus far suggest that the war did promote greater adoption of national sovereignty in the popular imagination, but only among the Northern population. We take this as evidence in support of the hypothesis. Further, consistent with the weight of historical accounts, we find no indications of an ideational reversal in the South.

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FIGURE 3. Singular Usage in Northern Newspapers before and after 1860

Note: This figure displays coefficients, linear combinations, and 95% confidence intervals from Equation 1, centering Y at the year 1860 and setting \( P = 1 \) if \( Y > 0 \). Full results are available in Appendix Table D3.
section digs deeper into these findings by examining the entrepreneurial influence of the Republican Party in driving the **VALENCE** mechanism.

**EVIDENCE ON MECHANISMS**

We begin with the congressional speech data, which allow us to link an individual’s language patterns to his partisan identity. We argue above that Republicans, much more so than Democrats, were most committed to the ideal of a sovereignty embodied in the national government. Our argument implies that we should observe that Northern Republicans adopt the singular at higher rates than Northern Democrats. Further, since Congressmen are political elites, we expect to observe more immediate or sudden changes in their speech patterns.

---

**FIGURE 4. Slope Changes in Northern Newspapers at Each Year 1820–80**

Note: This figure displays slope changes in the time trend of singular usage in Northern newspapers, centering \( Y \) iteratively at each year from 1820 to 1880 and setting \( P = 1 \) if \( Y > 0 \). Slope changes that are not statistically significant are depicted as hollow circles.

**FIGURE 5. Singular Usage in Southern Newspapers before and after 1865**

Note: This figure displays coefficients, linear combinations, and 95% confidence intervals from Equation 1, centering \( Y \) at the year 1865 and setting \( P = 1 \) if \( Y > 0 \). Full results are available in Appendix Table D4.
We create categorical variables for democrats (DEM) and whigs+republicans (REP) and estimate the following model (treating DEM as the reference category and excluding members of third parties):

\[ S_{isc} = \alpha + \beta_1 Y_{isc} + \beta_2 P_{isc} + \beta_3 REP_{isc} \\
+ \beta_4 Y \cdot P_{isc} + \beta_5 Y \cdot REP_{isc} + \beta_6 P \cdot REP_{isc} \\
+ \beta_7 Y \cdot P \cdot REP_{isc} \\
+ \delta W_{isc} + \zeta_s + \eta_c + \epsilon_{isc}. \]

(2)

Equation 2 largely resembles Equation 1 except in some small respects. First, since each statement \( i \) is located within a congressional session which spans 2 years, our \( Y \) variable is now defined as the midpoint of each congressional session. Converting congressional meetings to years facilitates comparisons with our previous analyses. As before, we center \( Y \) around 1860. Second, grammatical subject mentions \( i \) are nested within individual speakers \( s \) and, to the extent that Congressmen may be influenced by how their counterparts speak, may also be nested within sessions of Congress \( c \). Because of this cross-nested data structure, we estimate a hierarchical linear probability model with crossed speaker \( (\zeta_s) \) and Congress \( (\eta_c) \) random effects.

To draw valid inferences from our speech corpus, we must address another issue with our data: the entry and exit of individual speakers from our dataset. This issue arises from the high level of turnover in Congress during this period (Kernell 1977; Swain et al. 2000). One may worry that Congressmembers elected prior to the Civil War are not comparable to the more professionalized, careerist Congressmembers in office during the late nineteenth century. In order to pool mentions from individuals who appear in only one Congress with those from individuals who contribute mentions in multiple Congresses, we must account for differences that could influence their usage of the singular.

We focus on variables related to a Congressmember’s life experiences and the social milieu of his birthplace. We code the same set of covariates from the newspaper analysis at the county-decade level; these variables reflect birthplace and birth decade characteristics, not contemporaneous characteristics at the time a statement is observed. In addition, we account for biographical experiences that could influence imagined sovereignty by coding the Congressmember’s birth year and whether he was college educated or served in the military. Details appear in the dataverse appendix.

Figure 6 displays results for the relevant coefficients from Equation 2 and their linear combinations. We note that, in contrast to our newspaper corpus, our congressional speech corpus begins in year 1851 and include data at only five time points (1852, 1854, 1856, 1858, and 1860) before the Civil War. Thus our estimates of prewar quantities, as well as prewar versus postwar differences, are admittedly noisy. Nonetheless, we find a discontinuous jump in singular usage of almost 24% among Northern Whigs/Republicans, and a small and non-significant increase for Northern Democrats. Substantively, these estimates imply that whereas roughly one in four statements made by Whigs/Republicans in the prewar Congresses used the grammatical singular, this proportion rises to one
in two in the first Congress to meet after the outbreak of war.

To address the potential criticism that these results are an artifact of our noisy prewar estimates, we conduct an additional analysis of partisan differences in singular usage, but restrict our attention to Congresses after 1860 where we have much more data (see Table S1 in the Supplementary Material). We also include Congress fixed effects and compare Northern Republicans versus Democrats within a particular Congress. Results indicate a consistent pro-Republican gap of 6% (se = 3%, p = 0.05), which is roughly the difference we would expect to observe in two congressional speeches made 5 years apart.

Taken together, although our congressional speech data are somewhat noisy, our analyses point to a consistent partisan gap in the adoption of singular usage following the outbreak of war. These partisan patterns are consistent with historians’ arguments that Republicans seized upon the Civil War as an opportunity to advance their own vision of a singular national sovereignty. More broadly, these results are also consistent with our expectations under the valence hypothesis concerning the role of warfare in galvanizing ideational entrepreneurs into action to advance their preferred framing of the conflict.

To complete the empirical picture, we return to the newspaper data and examine whether the North’s accelerated shift toward singular usage was more concentrated in areas of Republican influence. Given the role of the Civil War as a watershed moment in the entrepreneurial narrative, we define areas of Republican influence based on voting results in the presidential election of 1864.

We test whether we observe a more rapid adoption of the grammatical singular in counties voting for Abraham Lincoln as opposed to his Democratic challenger George McClellan. To do so, we restrict our attention to newspapers headquartered in the North, and modify Equation 1 by replacing the Northern newspaper dummy variable (\(N\)) with an indicator (\(LINCOLN\)) for whether Lincoln won the county in which the newspaper is headquartered.

Figure 7 summarizes the results for the coefficients and the linear combinations of interest. Our results corroborate the findings from the congressional partisanship analysis. Specifically, consistent with the conjecture that ideational entrepreneurs should drive ideational change under the valence hypothesis, we find that the postwar slope shift was twice as large among counties that voted for Lincoln than for counties that voted for McClellan. Read in combination with our congressional speech analysis, these results suggest that the war further strengthened ideational commitments to the vision of a national sovereignty in the places that were most subject to the Republicans’ ideological influence.

**DISCUSSION**

How does war shape the popular imagination of sovereignty? In the case of the United States, converging lines of evidence demonstrate that war reinforced and strengthened commitments in the North to a vision of sovereign authority resident in the national government. Our evidence also shows that the reinforcing effect of warfare on imagined sovereignty was concentrated among ideological entrepreneurs—Northern Republicans—and their political followers. We therefore find support for the valence hypothesis, but no evidence for the reversal hypothesis.

**FIGURE 7.** Singular Usage in Northern Newspapers, by 1864 Election Results

<table>
<thead>
<tr>
<th></th>
<th>Lincoln Counties</th>
<th>McClellan Counties</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Baseline</strong></td>
<td>( \beta = 0.010 )</td>
<td>( \beta = 0.005 )</td>
</tr>
<tr>
<td><strong>Fixed Effects</strong></td>
<td>( \text{se} = 0.002 )</td>
<td>( \text{se} = 0.002 )</td>
</tr>
</tbody>
</table>

Note: This figure displays coefficients, linear combinations, and 95% confidence intervals from modified Equation 1, where the Northern newspaper dummy variable (\(N\)) is replaced with an indicator (\(LINCOLN\)) for whether Lincoln won the county in which the newspaper is headquartered. \(Y\) is centered at the year 1860 and \(P = 1\) if \(Y > 0\). Full results are available in Appendix Table D6.

From *Pluribus to Unum?*
Why do we observe no ideational effect of the Civil War in Southern newspapers? To be clear, Southerners understood that they had lost the war, secession had failed, and a government based around states’ rights had become practically unachievable. However, such acceptance did not translate into a full-throated embrace of (Republican) ideas of national sovereignty. Nor did defeat in the Civil War lead to soul-searching among most white Southerners. Rather, many Southerners came to embrace the belief—embodied in the Lost Cause myth—that the South had seceded for honorable reasons. It is important to note, however, that while such an outcome might seem unsurprising in hindsight, it was certainly not preordained in 1865 with the shock of defeat fresh in Southern minds. Whether the alternative as predicted by the reversal pathway would have obtained had Reconstruction proceeded differently is a question we leave for historical debate. For present purposes, we interpret this (null) result to underscore the contingency of war and defeat as opportunities for, rather than guarantors of, ideational change.

CONCLUSION

A central contribution of this paper for political scientists is to recenter the study of sovereign authority around its ideational foundations and to point to the limits of violence in settling debates over sovereignty. Sovereign authority requires institutionalization for the effective exercise of state power. Yet it fundamentally rests on the recognition and acceptance of those subject to that authority. Our results imply that victory on the battlefield alone will not elicit that acceptance from the vanquished. The North won the Civil War, but the South’s ideal of sovereignty vested in the states did not die at Appomattox. Looking only at the institutional manifestations of sovereignty and ignoring its ideational foundations will lead to misleading conclusions about the nature and existence of sovereign authority after war.

This mismatch between the institutional and ideational bases of sovereignty has implications for the large literature on the legacies of civil wars. As with the scholarship on interstate war and state formation, this literature has examined how internal conflicts shape institutional and social change, including in realms such as rule of law, gender, and state capacity (Lazarev 2019; Liu 2022; Lupu 2020; Schwartz 2020; Soifer and Vieira 2021; Wood 2008). Our paper advances this scholarship by showing that the violence of war and the institutional changes it brings about do not automatically imply that ideational change will follow. Specifically, our paper helps resolve the puzzling mismatch between institutions and ideas by pointing to the pivotal role of ideological entrepreneurs in mediating the ideational impact of warfare on debates over sovereignty, and for social and political change more generally. This result implies that scholars studying the transformative effects of war must be attentive to the question of for whom those changes occur. Such inquiries must go beyond the dichotomy of victors and vanquished and instead recognize that victors may not be ideologically monolithic.

Focusing scholarly attention on ideological entrepreneurs also helps to resolve a debate about the effects of the Civil War on conceptions of American sovereignty within and between the disciplines of American studies and history. We show that, in line with research in American studies, the linguistic transformation of the term “United States” unfolded slowly over the course of the nineteenth century (Myers 2008; Santin, Murphy, and Wilkins 2016). To the extent that the Civil War reinforced the idea of America as a single sovereign nation as some historians have argued (McPherson 1988; Ward 1990; Wilentz 2005), our evidence suggests that this claim is most applicable to Northern Republicans.

Our findings about the pivotal role of ideational entrepreneurs have sober implications for governance and national solidarity in the aftermath of war. The acceptance of the state’s authority renders that authority legitimate (Weber 1978, 214). In turn, legitimacy increases the state’s ability to elicit compliance from the population without costly monitoring and coercion (Levi and Sacks 2009; Tyler 2006). When the vanquished do not recognize the authority of the victors or accept their vision of sovereignty, the victors will struggle to govern the vanquished. Radical Republican efforts to engineer social transformation during Reconstruction were met with violent backlash from the South that left the revolutionary project incomplete (Foner 1988; Stewart and Kitchens 2021). Following the departure of federal troops, Southern elites successfully hollowed out the bureaucratic capacity of the state, and Southern Democrats overwhelmingly elected unrepentant former Confederates to the U.S. Congress (Suryanarayan and White 2021). The violence of the Civil War and the victory of the North rendered the many into the one in an institutional sense. Yet the ideational transformation remained incomplete. Several more decades would pass before the United States truly “was” in the popular imagination.

SUPPLEMENTARY MATERIAL
To view supplementary material for this article, please visit https://doi.org/10.1017/S0003055423000096.

DATA AVAILABILITY STATEMENT
Research documentation and data that support the findings of this study are openly available in the American Political Science Review Dataverse at https://doi.org/10.7910/DVN/74QEO9.

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CONFLICT OF INTEREST

The authors declare no ethical issues or conflicts of interest in this research.

ETHICAL STANDARDS

The authors affirm this research did not involve human subjects.

REFERENCES


