## SUFFICIENCY CONDITIONS FOR THE EXISTENCE OF TRANSVERSALS

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**1. Introduction.** A *transversal* of a family of non-empty sets  $\mathscr{F} = \langle F_{\nu} : \nu \in I \rangle$  is a 1-1 map

$$\varphi: I \to S(\mathscr{F}) = \bigcup_{\nu \in I} F_{\nu}$$

such that  $\varphi(\nu) \in F_{\nu}$  ( $\nu \in I$ ). A number of problems in combinatorial mathematics reduce to the question of whether or not a certain family of sets has a transversal. An up-to-date account of this theory is to be found in the book by Mirsky [9]. The best known result of this kind is the following theorem.

THEOREM. If  $\mathscr{F} = \langle F_{\nu} : \nu \in I \rangle$  is either a finite family or an arbitrary family of finite sets, then  $\mathscr{F}$  has a transversal if and only if

 $(1.1) \quad \Big| \bigcup_{\nu \in J} F_{\nu} \Big| \geqslant |J|$ 

holds for all finite sets  $J \subset I$ .

This was proved for finite  $\mathscr{F}$  by P. Hall [7] (and in an equivalent graph theoretical formulation by J. König [8]) and for an arbitrary family of finite sets by M. Hall [6]. We shall refer to (1.1) as Hall's condition. If  $\mathscr{F}$  is an infinite family with infinite sets, then the problem of finding necessary and sufficient conditions for the existence of a transversal assumes a different complexity and remains unsolved. Rado and Jung [12] observed that if  $\mathscr{F}$  has just one infinite member, say  $F_{r_0}$ , then there is a transversal if and only if (1.1) holds and

$$F_{\nu_0} \not\subset \bigcup_{J \in \mathscr{C}} \bigcup_{\nu \in J} F_{\nu}$$

where  $\mathscr{C}$  is the set of critical subsets of I, i.e.,  $J \in \mathscr{C}$  if and only if J is a finite subset of I for which equality holds in (1.1). Brualdi and Scrimger [3] and Folkman [5] considered the more general problem of a family containing an arbitrary finite number of infinite sets. More recently, Nash-Williams [10] conjectured a condition which is both necessary and sufficient for an arbitrary *countable* family of sets to have a transversal, and this was proved by Damerell and Milner [4]. The conditions given by these authors are not so easily stated and the reader is referred to the original papers.

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That there can be no entirely elementary set of conditions which are necessary and sufficient for an arbitrary family of sets to have a transversal may perhaps be illustrated by considering the two families

$$\mathscr{F}_1 = \langle \alpha + 1 : \omega \leq \alpha < \omega_1 \rangle$$
 and  $\mathscr{F}_2 = \langle \alpha : \omega \leq \alpha < \omega_1 \rangle$ .

Here  $\omega$  denotes the first infinite ordinal,  $\omega_1$  the first uncountable ordinal and an ordinal  $\alpha = \{\beta : \beta < \alpha\}$  is regarded as the set of all smaller ordinals. Clearly  $\mathscr{F}_1$  has a transversal since  $\alpha \in \alpha + 1$ . However,  $\mathscr{F}_2$  has no transversal. For, if  $\varphi(\alpha) \in \alpha$  ( $\omega \leq \alpha < \omega_1$ ), then by a theorem of Alexandroff and Urysohn [1] on regressive functions, there is some  $\gamma < \omega_1$  such that  $\varphi(\alpha) = \gamma$  for uncountably many  $\alpha < \omega_1$ . The family  $\mathscr{F}_2$  gives a partial answer to [9, Problem 3, p. 220].) It is difficult to imagine any criterion involving inequalities between cardinals of sets which will be delicate enough to distinguish between the families  $\mathscr{F}_1$  and  $\mathscr{F}_2$ .

In view of the difficulty just mentioned it seems of interest therefore to have conditions which, though not necessary, are at least sufficient to ensure the existence of a transversal in a family having infinite members. In this connection Professor L. Mirsky asked if the following condition (which is a kind of dual of the finiteness condition in M. Hall's theorem) is sufficient for the existence of a transversal: each member of  $\mathscr{F}$  is infinite and each element  $x \in S(\mathscr{F})$  belongs to only a finite number of sets  $F \in \mathscr{F}$ .

If  $\mathscr{F} = \langle F_{\nu} : \nu \in I \rangle$  is a family, we write  $F \in \mathscr{F}$  if  $F = F_{\nu}$  for some  $\nu \in I$ . The cardinality of the family is  $|\mathscr{F}| = |I|$ . For any set A, put  $\mathscr{F}(A) = \langle F_{\nu} : \nu \in I, A \cap F_{\nu} \neq \emptyset \rangle$  and write  $\mathscr{F}(x)$  instead of  $\mathscr{F}(\{x\})$ . Mirsky's question is answered affirmatively by the following theorem.

THEOREM 1. If the family of nonempty sets  $\mathcal{F}$  satisfies

(1.2)  $|F| \ge |\mathscr{F}(x)|$  for all  $F \in \mathscr{F}$  and  $x \in S(\mathscr{F})$ ,

then  $\mathcal{F}$  has a transversal.

Dr. C. J. Knight conjectured that the following, more local type of condition, is also sufficient for a transversal. We write  $\mathscr{F} \in \mathscr{K}$  if and only if the members of  $\mathscr{F}$  are nonempty and

(1.3) 
$$|F| \ge |\mathscr{F}(F)| \quad (F \in \mathscr{F}).$$

The main result proved in this paper settles Knight's conjecture.

THEOREM 2. If  $\mathscr{F} \in \mathscr{K}$ , then  $\mathscr{F}$  has a transversal.

A common weakening of the conditions (1.2) and (1.3) is the condition (1.4)  $|F| \ge |\mathscr{F}(x)|$   $(x \in S(\mathscr{F}), F \in \mathscr{F}(x) \text{ i.e.}, x \in F \in \mathscr{F}).$ 

We write  $\mathscr{F} \in \mathscr{L}$  if the members of  $\mathscr{F}$  are nonempty and (1.4) is satisfied. Thus a strengthening of both Theorems 1 and 2 is THEOREM 3. If  $\mathscr{F} \in \mathscr{L}$ , then  $\mathscr{F}$  has a transversal.

Suppose  $\mathscr{F} = \langle F_{\nu} : \nu \in I \rangle \in \mathscr{L}$ . Let J be a finite set,  $J \subset I$ , and let  $\mathscr{F}'$  be the sub-family  $\langle F_{\nu} : \nu \in J \rangle$ . For  $p \in \{1, 2, \ldots, |J|\}$ , put

$$n_p = |\{\nu \in J : |F_\nu| = p\}|, \quad m_p = |\{x \in S(\mathscr{F}') : |\mathscr{F}'(x)| = p\}|.$$

Considering the number of pairs (x, F) with  $x \in F \in \mathscr{F}'$ ,  $|F| \leq p$ , we obtain by (1.4) the inequality

$$n_1 + 2n_2 + \ldots + pn_p \leq m_1 + 2m_2 + \ldots + pm_p \quad (1 \leq p \leq |J|)$$

It follows that

 $n_1 + n_2 + \ldots + n_p \leq m_1 + m_2 + \ldots + m_p \quad (1 \leq p \leq |J|),$ 

and hence (1.1) holds. It follows from this that  $\mathscr{L}$  is a sufficient condition for a family of finite sets to have a transversal. The conditions  $\mathscr{L}$  and  $\mathscr{K}$  are easily seen to be equivalent if all the members of  $\mathscr{F}$  are infinite sets and therefore,  $\mathscr{L}$  is also sufficient (by Theorem 2) for a family of infinite sets to have a transversal. In an early version of this paper we left Theorem 3 as an open question since we could not prove the special case

(1.5) if  $\mathscr{F}$  is a countable family of countable sets and  $\mathscr{F} \in \mathscr{L}$ , then  $\mathscr{F}$  has a transversal.

In fact, (1.5) and Theorem 2 implies the complete result stated as Theorem 3 (see § 6). Shelah [13] has since proved (1.5) and a simpler proof of this result is given in [2]. In § 7 we prove an even stronger result (Theorem 4).

Theorem 3 has an interesting formulation in terms of bipartite graphs. A bipartite graph is a triple  $\Gamma = \langle X, \Delta, Y \rangle$  with vertex set  $X \cup Y$ (X, Y disjoint sets) and edge set  $\Delta \subset \{\{x, y\} : x \in X, y \in Y\}$ . Let v(z) = $|\{u \in X \cup Y : \{u, z\} \in \Delta\}|$   $(z \in X \cup Y)$  be the valency function of  $\Gamma$ . Then Theorem 3 is equivalent to the following statement: If  $\Gamma = \langle X, \Delta, Y \rangle$  is a bipartite graph such that v(x) > 0 for  $x \in X$  and  $v(x) \ge v(y)$  whenever  $x \in X$ ,  $y \in Y$  and  $\{x, y\} \in \Delta$ , then there is a matching from X into Y, i.e. there is a 1-1 function  $\varphi : X \to Y$  such that  $\{x, \varphi(x)\} \in \Delta$   $(x \in X)$ .

**2. Notation.** Capital letters denote sets and the cardinal power of A is |A|. Small Latin and Greek letters denote ordinal numbers unless stated otherwise. As usual, an ordinal  $\alpha$  is the set  $\{\beta : \beta < \alpha\}$  of all smaller ordinals. A cardinal number is an initial ordinal, i.e.,  $\alpha$  is a cardinal if  $\beta < \alpha \Rightarrow |\beta| < |\alpha|$ . The letters  $\kappa$ ,  $\lambda$ ,  $\mu$  always denote infinite cardinals.  $\kappa^+$  is the successor cardinal of  $\kappa$ .

 $\mathscr{F}$  will always denote the family of non-empty sets  $\langle F_{\nu} : \nu \in I \rangle$  with index set *I*. We write  $|\mathscr{F}| = |I|$  and  $S(\mathscr{F}) = \bigcup_{\nu \in I} F_{\nu}$ . We shall abuse the usual terminology of sets by applying it to families of sets, but this should not lead to any confusion. Thus, we write  $A \in \mathscr{F}$  if  $A = F_{\nu}$  for some  $\nu \in I$ . We write A,  $B \in \mathcal{F}$ ,  $A \neq B$  to mean that A, B are different members of  $\mathcal{F}$ , i.e.,  $A = F_{\mu}$ ,  $B = F_{\nu}$  and  $\mu \neq \nu$  (even though we may have A = B in the usual set theoretical sense).  $\mathcal{F}' = \langle F_{\nu} : \nu \in I' \rangle$  is a subfamily of  $\mathcal{F}$ , and we write  $\mathcal{F}' \subset \mathcal{F}$ , if  $I' \subset I$ ; in this case we also write  $\mathcal{F} - \mathcal{F}' = \langle F_{\nu} : \nu \in I - I' \rangle$ . We write  $\mathcal{F}'' \subset \subset \mathcal{F}$  if  $\mathcal{F}'' = \langle G_{\nu} : \nu \in I \rangle$  and  $G_{\nu} \subset F_{\nu}(\nu \in I)$ . The family  $\mathcal{F}' = \langle F_{\nu} : \nu \in I' \rangle$  is disjoint from  $\mathcal{F}$  if  $I \cap I' = \emptyset$ ; it is strongly disjoint from  $\mathcal{F}$  if it is disjoint and in addition  $S(\mathcal{F}) \cap S(\mathcal{F}') = \emptyset$ . If  $\mathcal{F}$ ,  $\mathcal{F}'$  are disjoint, then  $\mathcal{F} \cup \mathcal{F}' = \langle F_{\nu} : \nu \in I \cup I' \rangle$ .

A transversal of  $\mathscr{F}$  is an 1-1 function  $\varphi: I \to S(\mathscr{F})$  such that  $\varphi(\nu) \in F_{\nu}$  $(\nu \in I)$ . Let Trans  $(\mathscr{F})$  be the set of all transversals of  $\mathscr{F}$ . If  $\varphi \in$  Trans  $(\mathscr{F})$ ,  $\psi \in$  Trans  $(\mathscr{F}')$ , then range  $(\varphi) = \{\varphi(\nu) : \nu \in I\}$  and  $\varphi, \psi$  are said to be disjoint if range  $(\varphi) \cap$  range  $(\psi) = \emptyset$ . Thus, if  $\mathscr{F}, \mathscr{F}'$  are disjoint families and  $\varphi, \varphi'$  are disjoint transversals of  $\mathscr{F}$  and  $\mathscr{F}'$  respectively, then  $\varphi \cup \varphi' \in$ Trans  $(\mathscr{F} \cup \mathscr{F}')$ .

For  $A \subset S(\mathscr{F})$ , let  $\mathscr{F}(A)$  denote the subfamily of  $\mathscr{F}$ ,

$$\mathscr{F}(A) = \langle F_{\nu} : \nu \in I, A \cap F_{\nu} \neq \emptyset \rangle$$

In particular, for a singleton we write  $\mathscr{F}(x)$  instead of  $\mathscr{F}(\{x\})$ .  $\mathscr{F}$  has property  $\mathscr{H}, \mathscr{F} \in \mathscr{H}$ , if and only if

$$(2.1) |F| \ge |\mathscr{F}(F)| \quad (F \in \mathscr{F}),$$

and  $\mathscr{F} \in \mathscr{L}$  if and only if

$$|F| \geq |\mathscr{F}(x)| \quad (x \in S(\mathscr{F}), F \in \mathscr{F}(x) \text{ i.e.}, x \in F \in \mathscr{F}).$$

If  $\lambda$  is an infinite cardinal we write

$$\mathscr{F}^{\lambda} = \langle F_{\nu} : \nu \in I, |F_{\nu}| = \lambda \rangle.$$

 $\mathscr{F}^{<\lambda}$ ,  $\mathscr{F}^{\leq\lambda}$ ,  $\mathscr{F}^{>\lambda}$ ,  $\mathscr{F}^{\geq\lambda}$  are similarly defined. For  $x \in S(\mathscr{F})$  put

 $\rho_{\mathscr{F}}(x) = \inf \{ |F| : F \in \mathscr{F}(x) \}.$ 

Thus  $\mathscr{F} \in \mathscr{L}$  if and only if  $\rho_{\mathscr{F}}(x) \ge |\mathscr{F}(x)|$   $(x \in S(\mathscr{F}))$ . We usually write  $S = S(\mathscr{F})$ , and then

$$S^{\lambda} = \{x \in S : \rho_{\mathscr{F}}(x) = \lambda\}.$$

 $S^{<\lambda}$ ,  $S^{\leq\lambda}$  are similarly defined.

A  $\lambda$ -component of  $\mathscr{F}$  is a minimal non-empty subfamily  $\mathscr{H} \subset \mathscr{F} \stackrel{\leq}{\cong} \lambda$  such that

$$A \in \mathscr{H}, B \in \mathscr{F} \cong^{\lambda}(A) \Rightarrow B \in \mathscr{H}.$$

Let  $\mathscr{F} \cong_{\lambda} = \langle F_{\mathfrak{p}} : \mathfrak{p} \in I_{\lambda} \rangle$ . Consider the graph  $\mathscr{G}_{\lambda}$  on the index set  $I_{\lambda}$  in which  $\{\rho, \sigma\}$  is an edge if and only if  $\rho, \sigma \in I_{\lambda}, \rho \neq \sigma$  and  $F_{\rho} \cap F_{\sigma} \neq \emptyset$ . Then  $\mathscr{H} = \langle F_{\mathfrak{p}} : \mathfrak{p} \in J \rangle$  is a  $\lambda$ -component of  $\mathscr{F}$  exactly when J is the vertex set of a connected component of the graph  $\mathscr{G}_{\lambda}$ . Two different  $\lambda$ -components of  $\mathscr{F}$  are strongly disjoint subfamilies of  $\mathscr{F}$ . A large  $\lambda$ -component of  $\mathscr{F}$  is a minimal non-

empty subfamily  $\mathscr{H} \subset \mathscr{F}$  such that

 $A \in \mathscr{H}, A \cap B \cap S \cong^{\lambda} \neq \emptyset \Longrightarrow B \in \mathscr{H}.$ 

Thus every set  $A \in \mathscr{F}$  is a member of a large  $\lambda$ -component of  $\mathscr{F}$ ; two large  $\lambda$ -components are disjoint subfamilies of  $\mathscr{F}$  but they are not in general strongly disjoint.

If  $\mathscr{F} \in \mathscr{L}$ , then for any  $\lambda \geq \omega$ , the valency of a vertex  $\nu$  in the graph  $\mathscr{G}_{\lambda}$  described above is at most  $\lambda$  and hence the vertex set of a connected component has cardinality at most  $\lambda$ , i.e. if  $\mathscr{H}$  is a  $\lambda$ -component of  $\mathscr{F}$ , then  $|\mathscr{H}| \leq \lambda$ .

Suppose  $\mathscr{F}$  is a family of sets such that (2.1) holds and

(2.2)  $|A \cap S \leq \lambda| \leq \lambda$  for  $A \in \mathscr{F}$ .

Now (2.1) implies that each element  $x \in S \leq \lambda$  is a member of at most  $\lambda$  different sets of the family  $\mathscr{F}$ . Therefore, by (2.2), there are at most  $\lambda^2 = \lambda$  different sets  $B \in \mathscr{F}$  such that  $A \cap B \cap S \leq \lambda \neq \emptyset$ . This implies that every large  $\lambda$ -component of  $\mathscr{F}$  also has cardinality at most  $\lambda$ .

The cofinality of the cardinal  $\lambda$ , is the least cardinal  $\mu = cf(\lambda)$  such that  $\lambda$  can be expressed as the union of  $\mu$  subsets each of cardinal less than  $\lambda$ .  $\lambda$  is *regular* if cf ( $\lambda$ ) =  $\lambda$  and *singular* if cf ( $\lambda$ ) <  $\lambda$ .

A set of ordinals  $C \subset \lambda$  is *stationary* in  $\lambda$  if for every regressive function  $f: C \to \lambda$  (i.e.,  $f(\gamma) < \gamma$  for  $\gamma \in C - \{0\}$ ), there is  $\gamma_0$  such that

$$|\{\gamma \in C : f(\gamma) = \gamma_0\}| = \lambda.$$

We use the well-known result (e.g. [11]) that if  $\lambda > \omega$  is regular then the set  $C = \{\gamma < \lambda : \gamma \text{ is a limit ordinal}\}$  is stationary in  $\lambda$ . A set  $C \subset \lambda$  is *cofinal* in  $\lambda$  if for every  $x \in \lambda$  there is  $y \in C$  such that  $x \leq y$ .

**3. Elementary lemmas and proof of Theorem 1.** We need the following well-known fact.

LEMMA 1. If  $|\mathscr{F}| \leq \lambda \leq |F|$   $(F \in \mathscr{F})$ , then there are sets  $g(F) \subset F$   $(F \in \mathscr{F})$ such that  $|g(F)| = \lambda$  and  $g(F_1) \cap g(F_2) = \emptyset$  for  $F_1, F_2 \in \mathscr{F}$  and  $F_1 \neq F_2$ .

*Proof.* We may assume that  $\mathscr{F} = \langle F_{\nu} : \nu < \alpha \rangle$ ,  $\alpha \leq \lambda$ . Let  $\langle \nu_{\rho} : \rho < \lambda \rangle$  be any sequence of ordinals such that  $\nu_{\rho} < \alpha(\rho < \lambda)$  and  $|\{\rho < \lambda : \nu_{\rho} = \nu\}| = \lambda$   $(\nu < \alpha)$ . Now by transfinite induction we can choose elements  $x_{\rho} \in F_{\nu\rho} - \{x_{\sigma} : \sigma < \rho\}$  and the lemma holds with  $g(F_{\nu}) = \{x_{\rho} : \rho < \lambda \text{ and } \nu_{\rho} = \nu\}$   $(\nu < \alpha)$ .

Since a family of non-empty pairwise disjoint sets obviously has a transversal, we have the following corollary.

COROLLARY 1. If  $|F| \ge \lambda \ge |\mathcal{F}|$  ( $F \in \mathcal{F}$ ), then Trans ( $\mathcal{F}$ )  $\neq \emptyset$ . Lemma 2. If  $\mathcal{F} \in \mathcal{K}$  and  $|\mathcal{F}| \le \aleph_0$ , then Trans ( $\mathcal{F}$ )  $\neq \emptyset$ .

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*Remark.* The condition  $\mathscr{F} \in \mathscr{K}$  can be replaced by the weaker hypothesis  $\mathscr{F} \in \mathscr{L}$ , but the proof is much more difficult in this case (see [1; 13]).

Proof of Lemma 2. We may assume that  $\mathscr{F} = \langle F_i : i < \tau \rangle$ , where  $\tau \leq \omega$ . Let  $n < \tau$  and suppose that elements  $\varphi(i) \in F_i$  have been chosen for i < n. Since  $F_n \in \mathscr{F}(F_n)$  and  $\mathscr{F} \in \mathscr{K}$ , we have that

 $|\{i < n : F_i \in \mathscr{F}(F_n)\}| < |F_n|$ 

and hence there is  $\varphi(n) \in F_n - \{\varphi(i) : i < n\}$ . This defines a transversal  $\varphi$  of  $\mathscr{F}$  by induction.

LEMMA. 3. Let  $\mathscr{F} \in \mathscr{K}$ . If either (i)  $|F| \leq \aleph_0$  for all  $F \in \mathscr{F}$  or (ii)  $|F| = \lambda$  for all  $F \in \mathscr{F}$ , then Trans  $(\mathscr{F}) \neq \emptyset$ .

*Proof.* If (i) holds put  $\mu = \omega$ ; if (ii) holds put  $\mu = \lambda$ . Then  $\mathscr{F}$  is the union of its  $\mu$ -components  $\mathscr{G}_i$   $(i \in J)$  which are pairwise strongly disjoint. Since  $|\mathscr{G}_i| \leq \mu$  and  $\mathscr{G}_i \in \mathscr{K}$  it follows, from Lemma 2 in the case  $\mu = \omega$  and from Corollary 1 in the case  $\mu > \omega$ , that Trans  $(\mathscr{G}_i) \neq \emptyset$ . Lemma 3 follows since the  $\mathscr{G}_i$  are strongly disjoint.

Proof of Theorem 1. The hypothesis implies that there is a cardinal number m such that  $|F| \ge m \ge |\mathscr{F}(x)|$  for all  $F \in \mathscr{F}$  and  $x \in S(\mathscr{F})$ . Let F' be any subset of F of power m ( $F \in \mathscr{F}$ ). Then it will be enough to show that the family  $\mathscr{F}' = \langle F' : F \in \mathscr{F} \rangle \subset \subset \mathscr{F}$  has a transversal. If m is finite then Trans ( $\mathscr{F}'$ )  $\ne \emptyset$  by Hall's theorem. If m is infinite, then for  $F' \in \mathscr{F}'$  and  $x \in F'$  we have

$$|\mathscr{F}'(x)| \leq |\mathscr{F}(x)| \leq m = |F'|$$

i.e.,  $\mathscr{F}' \in \mathscr{K}$ . Therefore, since the members of  $\mathscr{F}'$  all have the same cardinality, it follows from Lemma 3 (ii) that Trans  $(\mathscr{F}') \neq \emptyset$ .

4. A strengthening of  $\mathcal{K}$ . It will be convenient to consider the following strengthening of condition  $\mathcal{K}$ . We write  $\mathcal{F} \in \mathcal{K}^+$  if and only if the following three conditions are satisfied:

(i)  $\mathscr{F} \in \mathscr{K}$ ,

(ii)  $A \in \mathscr{F}^{>\mu} \Rightarrow |A \cap S^{\leq \mu}| < \mu$ ,

(iii)  $\lambda > \omega, A \in \mathscr{F}^{\lambda}, A \cap S^{<\lambda} \neq \emptyset \Rightarrow A \subset S^{<\lambda}.$ 

It follows from (ii) and (iii) that if  $A \in \mathscr{F}^{\lambda}$  and  $A \cap S^{<\lambda} \neq \emptyset$ , then  $\lambda$  is a limit cardinal.

LEMMA 4. Let  $\mathscr{F} \in \mathscr{K}^+$ ,  $A \in \mathscr{F}^{\lambda}$ ,  $A \cap S^{<\lambda} \neq \emptyset$ . Then cf  $(\lambda) = \omega$ .

*Proof.* The hypothesis implies that  $\lambda$  is a limit cardinal. Suppose that  $\operatorname{cf}(\lambda) = \kappa > \omega$ . Let  $\langle \lambda_{\alpha} : \alpha < \kappa \rangle$  be a closed increasing sequence of ordinals with  $\lambda = \lim_{\alpha < \kappa} \lambda_{\alpha}$ . By (ii), for each limit ordinal  $\alpha < \kappa$  there is an ordinal  $f(\alpha) < \alpha$  such that

$$|A \cap S^{\leq \lambda_{\alpha}}| \leq \lambda_{f(\alpha)}.$$

The set of limit ordinals  $\alpha < \kappa$  is a stationary subset of  $\kappa$ . Hence there is  $\beta < \kappa$  such that  $f(\alpha) = \beta$  on some cofinal set  $U \subset \kappa$ . Since U is cofinal in  $\kappa$ , it follows that

$$|A \cap S^{\leq \lambda_{\alpha}}| \leq \lambda_{\beta} \quad \text{for all } \alpha < \kappa.$$

By (iii), and the fact that the sets  $S^{\leq \lambda_{\alpha}}$  increase with  $\alpha$ , we have

$$A \subset S^{<\lambda} = \bigcup_{\alpha < \kappa} S^{\leqslant \lambda \alpha}.$$

This gives the contradiction  $|A| \leq \lambda_{\beta^+} < \lambda$ .

Before stating the next lemma, we remind the reader that  $\mathscr{K} \subset \mathscr{L}$ .

LEMMA 5. Let  $\mathscr{J} \in \{\mathscr{K}, \mathscr{L}\}, \mathscr{F} \in \mathscr{J}$ . Then there is  $\mathscr{F}_1 \subset \subset \mathscr{F}$  so that (i)  $\mathscr{F}_1 \stackrel{\leq \omega}{=} \mathscr{I},$ (ii)  $\mathscr{F}_1 \stackrel{>\omega}{=} \mathscr{K}^+.$ (iii)  $\mathscr{F}_1 \stackrel{\leq \omega}{=} and \mathscr{F}_1 \stackrel{>\omega}{=} are strongly disjoint.$ 

*Proof.* We shall define sets  $g(F) \subset F$  for  $F \in \mathscr{F}$  by induction on the cardinality of F. For  $F \in \mathscr{F} \cong \operatorname{put} g(F) = F$ . Now let  $\lambda > \omega$  and assume that g(F) is defined for  $F \in \mathscr{F}^{<\lambda}$ . Let  $A \in \mathscr{F}^{\lambda}$ . Then we define g(A) as follows.

For  $\omega \leq \mu < \lambda$ , put  $A(\mu) = \{x \in A : x \in g(B) \text{ for some } B \in \mathscr{F} \leq \mu\}$ , and for  $\mu \geq \lambda$  put  $A(\mu) = A$ . Then  $A(\mu) \subset A(\kappa)$  for  $\mu \leq \kappa$ . Put

$$C(\mu) = A(\mu) - \bigcup_{\omega \leqslant \kappa < \mu} A(\kappa).$$

Since  $|A(\lambda)| = \lambda$ , there is a smallest cardinal, say  $\lambda_0$ , such that  $\omega \leq \lambda_0 \leq \lambda$ and  $|A(\lambda_0)| \geq \lambda_0$ .

*Case* 1. If  $|C(\lambda_0)| \ge \lambda_0$ , let g(A) be any  $\lambda_0$ -subset of  $C(\lambda_0)$ .

*Case* 2. If  $|C(\lambda_0)| < \lambda_0$ , put

$$g(A) = \bigcup_{\omega < \kappa < \lambda_0} A(\kappa) - A(\omega).$$

Notice that if Case 2 holds, then  $\lambda_0 > \omega$  (since  $C(\omega) = A(\omega)$ ) and so  $|A(\omega)| < \omega$  and hence  $|g(A)| = \lambda_0$ . Thus, in either case,  $|g(A)| = \lambda_0$  and

(4.1) 
$$g(A) \subset A(\lambda_0)$$
.

The family  $\mathscr{F}_1 = \langle g(A) : A \in \mathscr{F} \rangle$  has the required properties. To prove this we first show that

$$(4.2) \quad A \in \mathscr{F}, x \in S_1 \cap A, \, \rho_1(x) \leq \mu \Longrightarrow x \in A(\mu),$$

where  $S_1 = S(\mathscr{F}_1)$  and  $\rho_1 = \rho_{\mathscr{F}_1}$ . From the hypothesis that  $\rho_1(x) \leq \mu$ , it follows that there is some  $F \in \mathscr{F}$  such that  $x \in g(F)$  and  $|g(F)| \leq \mu$ .

(i)' If  $|F| \leq \mu$ , then  $x \in A(\mu)$  by the definition of  $A(\mu)$ .

(ii)' If  $|F| > \mu$ , then  $g(F) \subset F(\mu)$  by (4.1) and hence there is  $B \in \mathscr{F}^{\leq \mu}$  such that  $x \in g(B)$ . This again implies that  $x \in A(\mu)$ , and (4.2) follows. We

now verify that  $\mathscr{F}_1$  has the required properties. Let  $C \in \mathscr{F}_1 \stackrel{\leq \omega}{=} x \in C$ . There is  $A \in \mathscr{F}$  such that C = g(A) and  $x \in A$ . If  $|A| \leq \omega$ , then C = A and we have (a)  $|C| = |A| \geq |\mathscr{F}(A)| \geq |\mathscr{F}_1(C)|$  if  $\mathscr{J} = \mathscr{K}$  and

(b)  $|C| = |A| \ge |\mathscr{F}(x)| \ge |\mathscr{F}_1(x)|$  if  $\mathscr{J} = \mathscr{L}$ . Suppose  $|A| > \omega$ . Then  $|C| = \omega$  and  $C \subset A(\omega)$ . Hence there is  $B \in \mathscr{F} \stackrel{\leq \omega}{=}$  such that  $x \in g(B) = B$ . Then, since  $\mathscr{K} \subset \mathscr{L}, \ \omega = |C| \ge |B| \ge |\mathscr{F}(x)| \ge |\mathscr{F}_1(x)|$  and also

$$|\mathscr{F}_1(C)| = \left| \bigcup_{x \in C} \mathscr{F}_1(x) \right| \leq \omega = |C|.$$

This proves (i).

Let  $\lambda > \omega$ ,  $C \in \mathscr{F}_1^{\lambda}$ ,  $x \in C$ . There is  $A \in \mathscr{F}$  such that  $C = g(A) \subset A(\lambda) \subset S^{\leq \lambda}$ . Thus  $\rho_{\mathscr{F}}(x) \leq \lambda$  and so x is a member of at most  $\lambda$  sets  $B \in \mathscr{F}$  and hence at most  $\lambda$  sets  $g(B) \in \mathscr{F}_1$ . It follows that  $|\mathscr{F}_1(C)| \leq \lambda^2 = |C|$  and hence  $\mathscr{F}_1^{>\omega} \in \mathscr{H}$ .

Now suppose  $C \in \mathscr{F}_1^{>\mu}$ . There is  $\lambda > \mu$  such that  $C = g(A), A \in \mathscr{F}^{\lambda}$ . Since  $|C| > \mu$ , it follows from the definition of g(A) that  $|A(\mu)| < \mu$ . Therefore, by (4.2),

 $|C \cap S_1^{\leq \mu}| \leq |A(\mu)| < \mu.$ 

Now let  $\lambda > \omega$ ,  $C \in \mathscr{F}_1^{\lambda}$ ,  $C \cap S_1^{<\lambda} \neq \emptyset$ . There is  $A \in \mathscr{F}^{\kappa}$  such that C = g(A) and  $\kappa \geq \lambda$ . Now  $C \subset A(\lambda)$  and from the definition of g(A), either (a)  $g(A) \cap A(\mu) = \emptyset$  for  $\omega \leq \mu < \lambda$  or

(a)  $g(A) \subset \bigcup_{\omega < \mu < \lambda} A(\mu)$ .

Now (a) is false by (4.2) and the assumption that  $C \cap S_1^{<\lambda} \neq \emptyset$ . So (b) holds. But if  $x \in A(\mu) \cap S_1$ , then  $\rho_1(x) \leq \mu$  by the definition of  $A(\mu)$ . Hence  $g(A) \subset S_1^{<\lambda}$ . This proves (ii).

Finally, suppose  $C \in \mathscr{F}_1^{>\omega}$ . Then C = g(A) for some  $A \in \mathscr{F}^{>\omega}$  and from the definition of g(A), we have  $C \cap A(\omega) = \emptyset$ . Therefore, by (4.2),  $\rho_1(x) > \omega$  for all  $x \in C$ . This proves that  $\mathscr{F}_1^{\leq \omega}$  and  $\mathscr{F}_1^{>\omega}$  and strongly disjoint.

5. Proof of Theorem 2. We shall prove the result by induction on

 $\mu(\mathscr{F}) = \inf \{ \mu : |F| \leq \mu \text{ for all } F \in \mathscr{F} \}.$ 

By Lemma 3 (i) the theorem is true if  $\mu(\mathscr{F}) = \omega$ . Now assume that  $\lambda > \omega$  and that

(5.1) 
$$\mathcal{F}' \in \mathcal{K}, \mu(\mathcal{F}') < \lambda \Rightarrow \text{Trans} (\mathcal{F}') \neq \emptyset.$$

Let  $\mathscr{F} \in \mathscr{K}$ ,  $\mu(\mathscr{F}) = \lambda$ . We have to prove that Trans  $(\mathscr{F}) \neq \emptyset$ . Since  $\mathscr{F}_1 \subset \subset \mathscr{F}$  and Trans  $(\mathscr{F}_1) \neq \emptyset \Rightarrow$  Trans  $(\mathscr{F}) \neq \emptyset$ , we may assume by Lemma 5 that  $\mathscr{F}_1 \in \mathscr{K}^+$  (and that  $\mathscr{F}_1 \stackrel{\leq}{=} = \emptyset$ , but we do not use this fact). We shall consider separately the three cases (1)  $\lambda$  a successor cardinal, (2)  $\lambda$  a regular limit cardinal, (3)  $\lambda$  a singular limit cardinal.

Case 1.  $\lambda = \mu^+$ : Since  $\mathscr{F} \in \mathscr{K}^+$ , it follows from Lemma 4, that  $\mathscr{F}^{\lambda}$  and  $\mathscr{F}^{<\lambda}$  are strongly disjoint families (since cf  $(\lambda) > \omega$ ). Now  $\mathscr{F}^{<\lambda} = \mathscr{F}^{\leq \mu}$  has

a transversal by (5.1) and  $\mathscr{F}^{\lambda}$  has a transversal by Lemma 3 (ii). Hence  $\mathscr{F} = \mathscr{F} \stackrel{\langle \lambda}{\longrightarrow} \mathcal{F}^{\lambda}$  also has a transversal.

Case 2.  $\lambda$  a regular limit cardinal: By Lemma 4, since cf ( $\lambda$ ) >  $\omega$ , the families  $\mathcal{F}^{\lambda}$  and  $\mathcal{F}^{\langle \lambda}$  are strongly disjoint. Now  $\mathcal{F}^{\lambda}$  has a transversal by Lemma 3 (ii) and so is enough to show that  $\mathcal{F}^{\langle \lambda}$  has a transversal.

Let  $A \in \mathscr{F}^{<\lambda}$ . Put  $X_0 = A$ ,  $X_{n+1} = \bigcup \{B \in \mathscr{F}^{<\lambda} : B \cap X_n \neq \emptyset\}$   $(n < \omega)$ ,  $X = \bigcup_{n < \omega} X_n$ . Then, by induction on n, we have  $|X_n| < \lambda$   $(n < \omega)$  and hence  $|X| < \lambda$ . Hence the  $\lambda$ -component of  $\mathscr{F}^{<\lambda}$  containing A,  $\mathscr{G}(A) = \langle B \in \mathscr{F}^{<\lambda} : B \cap X \neq \emptyset \rangle$ , has cardinality  $< \lambda$ . Since  $\lambda$  is weakly inaccessible, it follows that  $\mu(\mathscr{G}(A)) < \lambda$  and hence  $\mathscr{G}(A)$  has a transversal by (5.1). Since  $\mathscr{F}^{<\lambda}$  is the union of all its  $\lambda$ -components which are pairwise strongly disjoint, it follows that Trans  $(\mathscr{F}^{<\lambda}) \neq \emptyset$ .

*Case* 3. cf  $(\lambda) = \kappa < \lambda$ : Let  $\langle \lambda_{\alpha} : \alpha \leq \kappa \rangle$  be a continuous increasing sequence of cardinals,

$$\kappa < \lambda_0 < \lambda_1 < \ldots < \lambda_{\kappa} = \lambda = \lim_{lpha < \kappa} \lambda_{lpha}.$$

Denote by  $\mathscr{C}_{\alpha}$  the set of all the large  $\lambda_{\alpha}$ -components of  $\mathscr{F}$ , and let  $\mathscr{C} = \bigcup_{\alpha \leq \kappa} \mathscr{C}_{\alpha}$ . If  $\mathscr{G} \in \mathscr{C}_{\alpha}$ , then  $|\mathscr{G}| \leq \lambda_{\alpha}$  and we may write

$$\mathscr{G}^{\lambda_{\alpha}} = \langle G_{\nu} : \nu < \xi(\mathscr{G}) \rangle$$

where  $\xi(\mathscr{G})$  is some initial ordinal  $\leq \lambda_{\alpha}$ . For any ordinal  $\beta$  put

$$\mathscr{G}(\beta) = \begin{cases} \langle G_{\nu} : \nu < \beta \rangle, & \text{if } \beta \leq \xi(\mathscr{G}), \\ \mathscr{G}_{\lambda_{\alpha}}, & \text{if } \beta > \xi(\mathscr{G}). \end{cases}$$

If  $\mathscr{G}, \mathscr{G}' \in \mathscr{C}, \mathscr{G} \neq \mathscr{G}'$  and  $\beta, \beta'$  are ordinals, then

(5.2) 
$$\mathscr{G}(\beta) \cap \mathscr{G}'(\beta') = \emptyset$$

For, there are  $\alpha, \alpha' \leq \kappa$  such that  $\mathscr{G} \in \mathscr{C}_{\alpha}, \mathscr{G}' \in \mathscr{C}_{\alpha'}$ . If  $\alpha = \alpha'$  then  $\mathscr{G}$  and  $\mathscr{G}'$  are disjoint since a set  $F \in \mathscr{F}^{\lambda_{\alpha}}$  is a member of exactly one large  $\lambda_{\alpha}$ -component; if  $\alpha \neq \alpha'$  then  $\mathscr{G}^{\lambda_{\alpha}}$  and  $\mathscr{G}'^{\lambda_{\alpha'}}$  are disjoint since members of these families have cardinalities  $\lambda_{\alpha}$  and  $\lambda_{\alpha'}$  respectively.

For  $\alpha \leq \kappa$  put

$$\mathscr{F}_{\alpha}^{*} = \bigcup_{\mathscr{G} \in \mathscr{C}} \bigcup_{\gamma < \alpha} \mathscr{G}(\lambda_{\gamma}), \quad \mathscr{F}_{\alpha}^{**} = \mathscr{F}^{\leqslant \lambda_{\alpha}} \cup \mathscr{F}_{\alpha}^{*}.$$

It is easy to see that

(5.3) 
$$\mathscr{F}_{\alpha_0}^{**} = \bigcup_{\alpha < \alpha_0} \mathscr{F}_{\alpha}^{**}$$

if  $\alpha_0$  is a limit ordinal. For, if  $A \in \mathscr{F}^{\lambda_{\alpha_0}}$ , then there is a large  $\lambda_{\alpha_0}$ -component  $\mathscr{G} \in \mathscr{C}$  and  $\gamma < \alpha_0$  so that  $A \in \mathscr{G}(\lambda_{\gamma})$  and hence  $A \in \mathscr{F}_{\gamma+1}^{**}$ . We also remark that  $(\operatorname{put} \mathscr{F}_{\kappa+1}^{**} = \mathscr{F}_{\kappa}^{**})$ 

(5.4) 
$$|\langle B \in \mathscr{F}_{\alpha+1}^* : A \cap B \neq \emptyset \rangle| \leq \lambda_{\alpha} \quad (\alpha \leq \kappa, A \in \mathscr{F}).$$

For, to each  $\rho \leq \kappa$  there is at most one large  $\lambda_{\rho}$ -component containing A, and

if  $|B| = \lambda_{\rho}$  and A, B are members of different large  $\lambda_{\rho}$ -components then  $A \cap B = \emptyset$ . Thus  $|\langle B \in \mathscr{F}_{\alpha+1}^* : A \cap B \neq \emptyset \rangle| \leq \kappa \cdot \lambda_{\alpha} = \lambda_{\alpha}$ .

We are going to define functions  $\varphi_{\alpha}$  for  $\alpha \leq \kappa$  by transfinite induction so that (i)  $\varphi_{\alpha}$  is a transversal of  $\mathscr{F}_{\alpha}^{**}$ , and

(ii)  $\varphi_{\alpha}$  is an extension of  $\varphi_{\gamma}$  for  $\gamma < \alpha$ .

Then  $\varphi_{\kappa}$  will be a transversal of  $\mathscr{F} = \mathscr{F}_{\kappa}^{**}$  as required.

Let  $\alpha_0 \leq \kappa$  and assume that  $\varphi_{\alpha}$  has already been defined for  $\alpha < \alpha_0$  so that (i) and (ii) hold. If  $\alpha_0 = 0$ , then  $\mathscr{F}_{\alpha_0}^{**} = \mathscr{F}^{\leq \lambda_0}$  has a transversal  $\varphi_0$  by (5.1). If  $\alpha_0$  is a limit ordinal, then  $\varphi_{\alpha_0} = \bigcup \varphi_{\alpha}$  is a transversal of  $\mathscr{F}_{\alpha_0}^{**}$  of the required kind by (5.3) and (ii). It only remains to define  $\varphi_{\alpha_0}$  in the case when  $\alpha_0$  is a successor ordinal, say  $\alpha_0 = \alpha + 1$ .

First we show that

(5.5) 
$$|A \cap \operatorname{range}(\varphi_{\alpha})| \leq \lambda_{\alpha} \quad (A \in \mathscr{F}).$$

We may assume  $A \in \mathscr{F}^{>\lambda_{\alpha}}$ . Then  $|A \cap S^{\leq \lambda_{\alpha}}| < \lambda_{\alpha}$  and each element  $x \in A \cap S^{\leq \lambda_{\alpha}}$  is a member of at most  $\lambda_{\alpha}$  different sets  $B \in \mathscr{F}$ . Therefore,

$$|\langle B \in \mathscr{F}^{\leq \lambda_{\alpha}} : A \cap B \neq \emptyset \rangle| \leq \lambda_{\alpha}.$$

This and (5.4) proves (5.5).

Put

$$\mathscr{F}_1 = \mathscr{F}^{\leq \lambda_{\alpha+1}} - \mathscr{F}_{\alpha}^{**}, \quad \mathscr{F}_2 = \mathscr{F}_{\alpha+1}^{*} - (\mathscr{F}_{\alpha}^{**} \cup \mathscr{F}_1).$$

Then  $\mathscr{F}_{\alpha+1}^{**}$  is the disjoint union of  $\mathscr{F}_{\alpha}^{**}$ ,  $\mathscr{F}_1$  and  $\mathscr{F}_2$ . The members of  $\mathscr{F}_1$ all have cardinality  $\lambda_{\alpha+1}$  and so, by (5.5) and Lemma 3 (ii), there is a transversal  $\psi_1$  of  $\mathscr{F}_1$  which is disjoint from  $\varphi_{\alpha}$ . We shall extend  $\varphi_{\alpha}' = \varphi_{\alpha} \cup \psi_1$  to a transversal of  $\mathscr{F}_{\alpha+1}^{**}$  by selecting suitable elements from each set  $F \in \mathscr{F}_2$ . We do this component by component.

Let  $\mathscr{C} = \{\mathscr{G}_{\sigma} : \sigma < \tau\}$ . Let  $\sigma < \tau$  and suppose we have already defined a transversal  $\chi$ , say, of  $\mathscr{G}_{\sigma}^* = \bigcup_{\rho < \sigma} \mathscr{G}_{\rho}(\lambda_{\alpha}) - \mathscr{F}_{\alpha}^{**} \cup \mathscr{F}_1$  which is disjoint from  $\varphi_{\alpha}'$ . If

$$A \in \mathscr{G}' = \mathscr{G}_{\sigma}(\lambda_{\alpha}) - (\mathscr{G}_{\sigma}^* \cup \mathscr{F}_{\alpha}^{**} \cup \mathscr{F}_1),$$

then  $|A| > \lambda_{\alpha+1}$ . Therefore,  $|A \cap S^{\leq \lambda_{\alpha+1}}| < \lambda_{\alpha+1}$  and so

$$|A \cap \operatorname{range} \psi_1| \leq \lambda_{\alpha}.$$

Also, by (5.4) we have

 $|A \cap \text{range } (\chi)| \leq \lambda_{\alpha}.$ 

These two inequalities together with (5.5) show that

(5.6)  $|A \cap \operatorname{range} (\varphi_{\alpha} \cup \psi_1 \cup \chi)| < \lambda_{\alpha+1} \quad (A \in \mathscr{G}').$ 

Since  $|\mathscr{G}'| \leq \lambda_{\alpha} < |A|$   $(A \in \mathscr{G}')$ , it follows from (5.6) and Corollary 1 that  $\mathscr{G}'$  has a transversal  $\chi'$  disjoint from  $\varphi_{\alpha} \cup \psi_1 \cup \chi$ . It follows, by transfinite induction on  $\sigma < \tau$ , that  $\mathscr{F}_2$  has a transversal  $\psi_2$  disjoint from  $\varphi_{\alpha} \cup \psi_1$ . Then

 $\varphi_{\alpha+1} = \varphi_{\alpha} \cup \psi_1 \cup \psi_2$  is a transversal of  $\mathscr{F}_{\alpha+1}^*$  which extends  $\varphi_{\alpha}$ . This completes the proof of Theorem 2.

**6.** Proof of Theorem 3. We assume the special case of this theorem (proved in [13; 2]):

(6.1) if 
$$\mathscr{F}'$$
 is a countable family of countable sets, then  
 $\mathscr{F}' \in \mathscr{L} \Rightarrow \operatorname{Trans}(\mathscr{F}') \neq \emptyset.$ 

Now let  $\mathscr{F}$  be an arbitrary family satisfying condition  $\mathscr{L}$ . By Lemma 5 there is  $\mathscr{F}_1 \subset \subset \mathscr{F}$  such that  $\mathscr{F}_1 \stackrel{\leq \omega}{=} \text{and } \mathscr{F}_1^{>\omega}$  are strongly disjoint,  $\mathscr{F}_1 \stackrel{\leq \omega}{=} \mathscr{L}$  and  $\mathscr{F}_1^{>\omega} \in \mathscr{K}^+$ .

The  $\omega$ -components of  $\mathscr{F}_1 \leq \omega$  are countable and strongly disjoint and every such component has a transversal by (6.1).  $\mathscr{F}_1 > \omega$  has a transversal by Theorem 2. Therefore  $\mathscr{F}_1$ , and hence  $\mathscr{F}$ , has a transversal.

7. A generalization. We shall now prove a generalization of Theorem 3 using a different idea. A family  $\mathscr{F}$  has property  $\mathscr{P}$  if and only if the following three conditions are satisfied:

 $\begin{array}{l} \mathscr{P}_{1}. \ \mathscr{F}^{<\omega} \in \mathscr{L}; \\ \mathscr{P}_{2}. \ |\mathscr{F}^{\lambda}(x)| \leq \lambda \ for \ x \in S(\mathscr{F}) \ and \ \lambda \geq \omega; \\ \mathscr{P}_{3}. \ If \ \lambda \ is \ inaccessible \ and \ x \in S(\mathscr{F}), \ then \ \{\mu < \lambda : \mathscr{F}^{\mu}(x) \neq \emptyset\} \ is \\ a \ non-stationary \ subset \ of \ \lambda. \end{array}$ 

It is clear that if  $\mathscr{F} \in \mathscr{L}$ , then  $\mathscr{F} \in \mathscr{P}$  (if  $\lambda$  inaccessible,  $x \in S(\mathscr{F})$  and  $\mathscr{F}^{\kappa}(x) \neq \emptyset$ , then  $|\{\mu < \lambda : \mathscr{F}^{\mu}(x) \neq \emptyset\}| \leq \kappa$ ). It is also easy to verify that

(7.1) if 
$$\mathscr{F} \in \mathscr{P}$$
 and  $g(F) \subset F$ ,  $|g(F)| = |F|(F \in \mathscr{F})$ , then  
 $\mathscr{F}_1 = \langle g(F) : F \in \mathscr{F} \rangle \in \mathscr{P}$ .

Theorem 4.  $\mathscr{F} \in \mathscr{P} \Rightarrow \text{Trans} (\mathscr{F}) \neq \emptyset$ .

Proof of Theorem 4. For each infinite cardinal  $\mu$ , the  $\mu$ -components of  $\mathscr{F}$  are pairwise strongly disjoint. Every such component has cardinality  $\leq \mu$  and so, by Lemma 1, the  $\mu$ -sets of a  $\mu$ -component can be replaced by subsets of power  $\mu$  which are pairwise disjoint. By (7.1) the family thus obtained still enjoys property  $\mathscr{P}$ . So we may assume without loss of generality that

(7.2) if  $\lambda \ge \omega$  and  $A, B \in \mathscr{F}^{\lambda}, A \neq B$ , then  $A \cap B = \emptyset$ .

As in the proof of Theorem 2, we shall prove the theorem by transfinite induction on  $\mu(\mathscr{F})$ . If  $\mu(\mathscr{F}) = \omega$ , then  $\mathscr{F} \in \mathscr{L}$  and Trans  $(\mathscr{F}) \neq \emptyset$  by Theorem 3. Suppose  $\mu(\mathscr{F}) = \lambda > \omega$ .

Case 1.  $\lambda = \kappa^+$ : By (7.2) the members of  $\mathscr{F}$  having power  $\kappa^+$  are pairwise disjoint. Therefore, if we replace every such set by a subset of power  $\omega$ , the resulting family  $\mathscr{F}_1$ , say, has property  $\mathscr{P}$  and  $\mu(\mathscr{F}_1) = \kappa$ . Thus Trans  $(\mathscr{F}_1) \neq \emptyset$  by the induction hypothesis and hence Trans  $(\mathscr{F}) \neq \emptyset$ .

*Case 2.*  $\lambda = \mu(\mathscr{F})$  *is singular*: Let cf  $(\lambda) = \kappa < \lambda$ , and let  $\langle \lambda_{\rho} : \rho \leq \kappa \rangle$  be a closed increasing sequence of ordinals

$$\kappa < \lambda_0 < \ldots < \lambda_{\kappa} = \lambda = \lim_{\rho < \kappa} \lambda_{\rho}$$

Form a new family  $\mathscr{F}_1$  from  $\mathscr{F}$  by replacing each set  $A \in \bigcup_{\rho \leq \kappa} \mathscr{F}^{\lambda_\rho}$  by a subset  $g(A) \subset A$  of power  $\kappa$ . Any element  $x \in S(\mathscr{F})$  belongs to at most  $\kappa$  new sets of power  $\kappa$  and so  $\mathscr{F}_1 \in \mathscr{P}$ . We may as well assume that  $\mathscr{F} = \mathscr{F}_1$ , i.e.

(7.3) 
$$\bigcup_{\rho\leqslant\kappa}\mathscr{F}^{\lambda\rho}=\emptyset.$$

If  $A \in \mathscr{F}^{\leq \lambda_{\rho}}$ , let  $\mathscr{G}_{\rho}(A)$  be the unique  $\lambda_{\rho}$ -component of  $\mathscr{F}$  which contains A; if  $A \in \mathscr{F}^{>\lambda_{\rho}}$ , let  $\mathscr{G}_{\rho}(A) = \emptyset$ , the empty family. Then

 $\mathcal{G}_{\rho}(A) \subset \mathcal{G}_{\sigma}(A) \quad \text{for } \rho < \sigma \leq \kappa.$ 

Also, by (7.3),

$$\mathscr{G}_{\sigma}(A) = \bigcup_{\rho \leq \sigma} \mathscr{G}_{\rho}(A) \quad \text{if } \sigma \text{ is a limit ordinal} \leq \kappa.$$

Put  $S_{\rho}(A) = S(\mathscr{G}_{\rho}(A)) (\rho \leq \kappa)$ . Since  $|\mathscr{G}_{\rho}(A)| \leq \lambda_{\rho}$  and  $|B| < \lambda_{\rho}$  $(B \in \mathscr{G}_{\rho}(A))$ , it follows that  $|S_{\rho}(A)| \leq \lambda_{\rho} (\rho < \kappa)$ . For  $B \in \mathscr{F} - \mathscr{G}_{\rho}(A)$  we have that either (i)  $|B| \leq \lambda_{\rho}$  and  $B \cap S_{\rho}(A) = \emptyset$  or (ii)  $|B| > \lambda_{\rho}$ . Therefore, by (7.1),

$$\mathscr{G}_{\rho}^{*}(A) = \langle B - S_{\rho}(A) : B \in \mathscr{G}_{\rho+1}(A) - \mathscr{G}_{\rho}(A) \rangle \in \mathscr{P}$$

for  $\rho < \kappa$ . Now  $\mathscr{G}_0(A)$  has a transversal and so does  $\mathscr{G}_{\rho}^*(A)$   $(\rho < \kappa)$  since  $\mu(\mathscr{G}_{\rho}^*(A)) \leq \lambda_{\rho+1} < \lambda$ . Therefore, since the families  $\mathscr{G}_0(A)$ ,  $\mathscr{G}_{\rho}^*(A)$   $(\rho < \kappa)$  are pairwise strongly disjoint, the family

$$\mathscr{G}'(A) = \mathscr{G}_{0}(A) \cup \bigcup_{\rho < \kappa} \mathscr{G}_{\rho}^{*}(A)$$

has a transversal. This clearly implies that the  $\lambda$ -component,  $\mathscr{G}_{\kappa}(A)$ , containing A also has a transversal. This holds for any  $A \in \mathscr{F}$  and so  $\mathscr{F}$  has a transversal since the  $\lambda$ -components of  $\mathscr{F}$  are strongly disjoint.

Case 3.  $\lambda$  is weakly inaccessible: Since the  $\lambda$ -components of  $\mathscr{F}$  are strongly disjoint, we may assume that  $\mathscr{F}$  has but a single  $\lambda$ -component. Then  $|\mathscr{F}| \leq \lambda$  and  $|S(\mathscr{F})| \leq \lambda$  and so we can assume further that  $\mathscr{F}$  is a family of subsets of  $\lambda$ . (As usual, an ordinal is the set of all smaller ordinals.) Now by (7.2) the members of  $\mathscr{F}$  which have power  $\lambda$  are pairwise disjoint and, if we replace these by subsets of power  $\omega$ , the resulting family still has property  $\mathscr{P}$ . Thus we can assume that

(7.4) 
$$|A| < \lambda$$
  $(A \in \mathscr{F}).$ 

By  $\mathscr{P}_3$ , for each  $x \in \lambda$  there is a function  $f_x : \lambda \to \lambda$  such that

$$f_{x}(\alpha) \leq \alpha \quad (\alpha < \lambda),$$

$$x \leq f_{x}(|A|) < |A| \quad (A \in \mathscr{F}(x), |A| > x),$$

$$(7.5) \quad |\{\alpha < \lambda : f_{x}(\alpha) = \gamma\}| < \lambda \quad (\gamma < \lambda).$$

We now define a function  $g : \lambda \to \lambda$  by putting

$$g(\alpha) = \sup (\alpha \cup \{y \in \lambda : (\exists x < \alpha) (\exists A \in \mathscr{F}(x)) (y \in A \text{ and } f_x(|A|) < \alpha)\}).$$

(If C is a set of ordinals then sup C is the smallest ordinal  $\beta$  such that  $\beta > \gamma$  for all  $\gamma \in C$ .) We immediately have from the definition of g, (7.5) and  $\mathscr{P}_2$ , that

(7.6) 
$$\alpha \leq g(\alpha) \leq g(\beta) < \lambda$$
 for  $\alpha < \beta < \lambda$ .

If  $\alpha$  is a limit ordinal such that  $g(\gamma) < \alpha$  for all  $\gamma < \alpha$ , then  $g(\alpha) = \alpha$ . Put

 $C = \{0\} \cup \{\alpha < \lambda : \alpha \text{ a limit ordinal, } g(\alpha) = \alpha\}.$ 

Now C is a cofinal subset of  $\lambda$ . For if  $\gamma < \lambda$ , put  $\alpha_0 = \gamma$ ,  $\alpha_{n+1} = g(\alpha_n + 1)$  $(n < \omega)$ . Then  $\gamma < \alpha = \lim_{n < \omega} \alpha_n$  and  $\alpha \in C$ . Therefore, we may write

 $C = \{\beta_{\nu} : \nu < \lambda\},\$ 

where  $0 = \beta_0 < \beta_1 < \ldots < \lambda = \lim_{\nu < \lambda} \beta_{\nu}$  and  $\beta_{\nu}$  is a limit ordinal satisfying  $g(\beta_{\nu}) = \beta_{\nu} \ (\nu < \lambda)$ .

We will prove that, for  $A \in \mathscr{F}$  there is  $\nu = \nu(A) < \lambda$  such that

(7.7) 
$$|A \cap [\beta_{\nu}, \beta_{\nu+1})| = |A|.$$

Let x be the first element of A. If  $|A| \leq x$ , then  $f_x(|A|) \leq x$  and hence  $A \subset [x, g(x + 1))$ . Now there is  $\nu < \lambda$  such that  $x \in [\beta_{\nu}, \beta_{\nu+1})$ . Then  $g(x + 1) \leq \beta_{\nu+1} = g(\beta_{\nu+1})$  and (7.7) holds. Now suppose that |A| > x. There is  $\nu < \lambda$  such that  $f_x(|A|) \in [\beta_{\nu}, \beta_{\nu+1})$ . Hence, there is  $\gamma$  such that

 $x \leq f_x(|A|) < \gamma < \beta_{\nu+1}.$ 

Then  $A \subset [x,g(\gamma))$ . Since  $g(\gamma) < \beta_{\nu+1}$  and  $\beta_{\nu} \leq f_x(|A|) < |A|$ , we again obtain (7.7).

By (7.7) and (7.1) we can replace each set  $A \in \mathscr{F}$  by the subset  $g(A) = A \cap [\beta_r, \beta_{r+1})$  to obtain a family  $\mathscr{F}_1$  also with property  $\mathscr{P}$ . For  $A \in \mathscr{F}$ , if  $\mathscr{G}(A)$  is the  $\lambda$ -component of  $\mathscr{F}_1$  containing g(A), then  $\mathscr{G}(A)$  is a family of subsets of  $[\beta_{r(A)}, \beta_{r(A)+1})$ . Thus  $\mu(\mathscr{G}(A)) < \lambda$  and so  $\mathscr{G}(A)$  has a transversal. Since different  $\lambda$ -components of  $\mathscr{F}_1$  are strongly disjoint, it follows that  $\mathscr{F}_1$  (and hence  $\mathscr{F}$ ) has a transversal.

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