LINEAR ISOMETRIES BETWEEN SPACES OF FUNCTIONS OF BOUNDED VARIATION

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Given two subsets X and Y of \mathbb{R} each with at least two points, we describe the surjective linear isometries between the spaces of functions of bounded variation BV(X) and BV(Y): namely, if $T: BV(X) \to BV(Y)$ is such an isometry, then there exist $\alpha \in \mathbb{C}$, $|\alpha| = 1$, and a monotonic bijective map $h: Y \to X$ such that $(Tf)(y) = \alpha f(h(y))$ for every $f \in BV(X)$ and every $y \in Y$.

Let X be an arbitrary subset of the real line with at least two points. Given a complex valued function f on X we denote by V(f; X) the variation of f on X, that is, the least upper bound of the set

$$\left\{\sum_{k=1}^{n} \left| f(x_k) - f(x_{k-1}) \right| : n \in \mathbb{N}, \ x_0, x_1, \dots, x_n \in X, \ x_0 < x_1 < \dots < x_n \right\}.$$

If $V(f;X) < +\infty$, then f is said to be a function of bounded variation. We denote by BV(X) the set of all functions of bounded variation on X. It is straightforward to see that BV(X) becomes a Banach space if we endow it with the norm $||f|| := ||f||_{\infty} + V(f;X)$, $f \in BV(X)$, where $||\cdot||_{\infty}$ stands for the sup norm.

In this paper we give a complete description of the surjective linear isometries between spaces of functions of bounded variation. The techniques used to do this are not based on extreme points or related techniques used to prove similar results in the study of isometries between some other spaces of functions (see for instance [3] or [1]). We use only straightforward concepts, always taking into account that the functions we deal with are not continuous in general. Related results are given for instance in [2, 4] and [5], where the authors study the isometries between spaces of absolutely continuous functions, endowed with a similar norm. However, in these papers the fact that the functions are absolutely continuous is fundamental to carrying out their proofs, and no similar approach can be taken in our context.

In the sequel, given a subset A of C, we denote by cl A its closure in C. Also, for $f \in BV(X)$, we denote by C(f) the set of numbers $\alpha \in \mathbb{C}$ such that $|\alpha| = ||f||_{\infty}$ and

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 $\alpha \in \operatorname{cl} f(X)$. For $f \in BV(X)$, c(f) will be the cozero set of f, that is, the set of all $x \in X$ such that $f(x) \neq 0$. On the other hand, given an interval $I \subset \mathbb{R}$ $(I \neq \mathbb{R}, \emptyset)$, we say that $I \in \mathfrak{I}_{\leftarrow}$ (respectively $I \in \mathfrak{I}_{\rightarrow}$) if it is not bounded below (respectively if it is not bounded above). Finally $T : BV(X) \to BV(Y)$ will be a surjective linear isometry.

We begin with a straightforward lemma.

LEMMA 1. Given $f \in BV(X)$, there exists $\alpha \in \mathbb{C}$, $|\alpha| = 1$, such that $||\alpha f + 1|| = ||f|| + 1$.

LEMMA 2. T1 is a constant function.

PROOF: Suppose that $f \in BV(Y)$. By Lemma 1, taking into account that T is an isometry, we have that there exists $\alpha \in \mathbb{C}$, $|\alpha| = 1$, such that $||\alpha f + T1|| = ||f|| + 1$. With this we have

$$||f|| + 1 = ||\alpha f + T1||_{\infty} + V(\alpha f + T1; Y)$$

$$\leq ||f||_{\infty} + V(f; Y) + ||T1||_{\infty} + V(T1; Y)$$

$$= ||f|| + 1.$$

As a consequence we deduce that $\|\alpha f + T1\|_{\infty} = \|f\|_{\infty} + \|T1\|_{\infty}$ and

$$V(\alpha f + T1; Y) = V(f; Y) + V(T1; Y).$$

In particular this implies that for $y_0 \in Y$ such that $(-\infty, y_0]$ and $[y_0, +\infty)$ have at least two points, we have

$$V(\alpha f + T1; Y \cap (-\infty, y_0]) = V(f; Y \cap (-\infty, y_0]) + V(T1; Y \cap (-\infty, y_0]),$$

and

$$V(\alpha f + T1; Y \cap [y_0, +\infty)) = V(f; Y \cap [y_0, +\infty)) + V(T1; Y \cap [y_0, +\infty)).$$

Next we fix y_0 as above and define the functions

$$g_{y_0}^1 := \xi_{Y \cap (-\infty, y_0]} T 1 + (T 1)(y_0) \xi_{Y \cap (y_0, +\infty)}$$

and

$$g_{y_0}^2 := \xi_{Y \cap (y_0, +\infty)} T 1 - (T 1)(y_0) \xi_{Y \cap (y_0, +\infty)}.$$

Let $f_{y_0} := g_{y_0}^1 - g_{y_0}^2$. We are going to apply the above results. Taking into account that $f_{y_0} \equiv T1$ on $Y \cap (-\infty, y_0]$, we have

$$V((\alpha+1)T1; Y \cap (-\infty, y_0]) = 2V(T1; Y \cap (-\infty, y_0]),$$

which clearly implies

 $\alpha = 1$,

whenever $V(T1; Y \cap (-\infty, y_0]) \neq 0$. As for the other equality, it is clear that $V(\alpha f_{y_0} + T1; Y \cap [y_0, +\infty))$ is equal to

$$V\Big(2\alpha(T1)(y_0)\xi_{Y\cap[y_0,+\infty)}-\alpha\xi_{Y\cap[y_0,+\infty)}T1+T1;Y\cap[y_0,+\infty)\Big),$$

that is,

$$V(\alpha f_{y_0} + T1; Y \cap [y_0, +\infty)) = V((1-\alpha)T1; Y \cap [y_0, +\infty)),$$

which implies

$$V((1-\alpha)T1; Y \cap [y_0, +\infty)) = 2V(T1; Y \cap [y_0, +\infty)).$$

As a consequence we deduce that $\alpha = -1$ whenever $V(T1; Y \cap [y_0, +\infty)) \neq 0$. Since this is impossible, we conclude that either $V(T1; Y \cap (-\infty, y_0]) = 0$ or $V(T1; Y \cap [y_0, +\infty)) = 0$, which means that there exist $I \in \mathfrak{I}_{\leftarrow}$ and $\alpha_0, \beta_0 \in \mathbb{C}$ such that $T1 = \alpha_0 \xi_{Y \cap I} + \beta_0 \xi_{Y \cap (\mathbb{R}^{-I})}$. Note that the same result also holds if Y has just two points.

On the other hand, we can prove that $|\alpha_0| = |\beta_0|$. Otherwise, we suppose for instance that $|\beta_0| < |\alpha_0|$, and take $\gamma_0 \in \mathbb{C}$, $\gamma_0 \neq 0$, such that $|\beta_0| + |\gamma_0| < |\alpha_0|$. If we define $f_0 := \gamma_0 \xi_{Y \cap (\mathbb{R}^{-1})}$, as we have seen above, there exists $\alpha \in \mathbb{C}$, $|\alpha| = 1$ such that $\|\alpha_f_0 + T1\|_{\infty} = \|f_0\|_{\infty} + \|T1\|_{\infty}$. This implies that $|\alpha_0| = |\gamma_0| + |\alpha_0|$, which is not possible. A similar contradiction comes if we assume $|\alpha_0| < |\beta_0|$. Consequently $|\alpha_0| = |\beta_0|$.

Next, note first that we can assume without loss of generality that α_0 may be taken to be real and positive. We show that if $\alpha_0 \neq \beta_0$, then $\alpha_0 = -\beta_0$. For, take $f_1 := \alpha_0\xi_{Y\cap(\mathbf{R}-I)}$. It is clear that if $\alpha \in \mathbb{C}$ satisfies $\|\alpha f_1 + T1\|_{\infty} = \|f_1\|_{\infty} + \|T1\|_{\infty}$, then $\alpha = 1$ or $\alpha = -1$. We assume that $\alpha = 1$, a similar argument being valid also for $\alpha = -1$. Consequently we have $V(f_1 + T1; Y) = V(f_1; Y) + V(T1; Y)$, which means $|2\alpha_0| = |\alpha_0 + \beta_0| + |\alpha_0 - \beta_0|$. This clearly means that β_0 is a real number, since α_0 is. Also $|\beta_0| = |\alpha_0|$, which implies that $\alpha_0 = -\beta_0$. Finally taking into account that $1 = \|T1\| = |\alpha_0| + |2\alpha_0|$ we obtain that $\alpha_0 = 1/3$.

Now take $f_2 := (1/3)\xi_{Y\cap I} + (1/3)i\xi_{Y\cap(\mathbb{R}-I)}$. We have that there exists $\alpha \in \mathbb{C}$, $|\alpha| = 1$, such that $||\alpha f_2 + T1||_{\infty} = ||f_2||_{\infty} + ||T1||_{\infty} = 2/3$. This implies that $\alpha = 1$ or $\alpha = i$. Suppose first that $\alpha = 1$. For this α , we should also have $V(\alpha f_2 + T1; Y) = V(f_2; Y) + V(T1; Y)$, which implies that, making the necessary calculations, $\sqrt{10}/3 = \sqrt{2}/3 + 2/3$, and this is absurd. A similar argument proves that α cannot be equal to *i*.

We arrive at the conclusion that $\alpha_0 = \beta_0$, this is, T1 is a constant function.

According to Lemma 2, we are going to assume without loss of generality that T1 = 1 in the rest of the paper.

LEMMA 3. Suppose that $\alpha \in C(f)$, $f \in BV(X)$. Then there exists r > 0 such that $r\alpha \in C(Tf)$.

PROOF: Without loss of generality, we assume that $\alpha = 1$. It is easy to see that ||f+1|| = ||f|| + 1, and consequently ||Tf+1|| = ||Tf|| + 1. Since V(Tf+1;Y) =

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V(Tf; Y), we have that $||Tf + 1||_{\infty} = ||Tf||_{\infty} + 1$. It is easy to see that this implies that there exists r > 0 such that $r \in C(Tf)$.

LEMMA 4. Suppose that $\xi_A \in BV(X)$ for some $A \subset X$. Then $||T\xi_A||_{\infty} \ge 1$.

PROOF: By Lemma 3, there exists r > 0 such that $r \in C(T\xi_A)$. Take $f_0 := \xi_A + \sqrt{3}i$, which clearly satisfies

$$||f_0|| - ||\xi_A|| = (2 + V(f_0; X)) - (1 + V(\xi_A; X)) = 1.$$

As $T\sqrt{3}i = \sqrt{3}i$ and T is an isometry, we have that

$$1 = ||Tf_0|| - ||T\xi_A|| = ||Tf_0||_{\infty} - ||T\xi_A||_{\infty} \ge \sqrt{r^2 + 3} - r.$$

Clearly if r < 1, then $(r+1)^2 < r^2 + 3$, this is, $1 < \sqrt{r^2 + 3} - r$, and the above inequality does not hold. Consequently we deduce that $r \ge 1$.

LEMMA 5. Let I be an interval. Then there exists an interval J such that $T\xi_{X\cap I} = \xi_{Y\cap J}$. Also if $I \in \mathfrak{I}_{\leftarrow} \cup \mathfrak{I}_{\rightarrow}$, then $J \in \mathfrak{I}_{\leftarrow} \cup \mathfrak{I}_{\rightarrow}$.

PROOF: We prove some claims leading to the result.

CLAIM 1. Let $I \in \mathfrak{I}_{\leftarrow} \cup \mathfrak{I}_{\rightarrow}$ be such that $X \cap I \neq X, \emptyset$. Then $1 \in C(T\xi_{X \cap I})$ and $0 \in \operatorname{cl}(T\xi_{X \cap I})(Y)$. Also, if $\alpha \in (T\xi_{X \cap I})(Y)$, then $\alpha \in \mathbb{R}$ and $0 \leq \alpha \leq 1$.

According to Lemmas 3 and 4, $C(T\xi_{X\cap I})$ contains a real number $r, r \ge 1$. Also $f_0 := \xi_{X\cap I} - 1/2$ satisfies $1/2, -1/2 \in C(f_0)$, and by Lemma 3, there exist $s_1, s_2 \in C(Tf_0)$ with $s_1 > 0$ and $s_2 < 0$. Since T1 = 1, we have that $s_1 := r - 1/2$. Also it is clear that there exists $r' \in cl(T\xi_{X\cap I})(Y)$ such that $s_2 = r' - 1/2$. It is clear that $r' \in \mathbb{R}$, and taking into account that $r \ge 1$ and that r - 1/2 = |r' - 1/2|, we deduce that $r' \le 0$. On the other hand, since T is an isometry, we have that $||\xi_{X\cap I}|| = 2 = ||T\xi_{X\cap I}|| \ge r + (r - r')$. This implies clearly that r = 1 and r' = 0.

Finally suppose that α belongs to $(T\xi_{X\cap I})(Y)$. If $\alpha \notin [0,1]$, then $V(T\xi_{X\cap I};Y) \ge |\alpha| + |\alpha - 1| > 1$, which implies that $||T\xi_{X\cap I}|| > 2$. Since T is an isometry, this is not possible, and we conclude that α is a real number between 0 and 1.

CLAIM 2. Let I be an interval. Then $1 \in C(T\xi_{X \cap I})$. Also if $\alpha \in (T\xi_{X \cap I})(Y)$, then $\alpha \in [-1, 1]$.

The result is given by Claim 1 if $I \in \mathfrak{I}_{\leftarrow} \cup \mathfrak{I}_{\rightarrow}$. Otherwise we take $J \in \mathfrak{I}_{\leftarrow}$ such that $J \cap I = \emptyset$ and $J \cup I \in \mathfrak{I}_{\leftarrow}$. It is apparent that $\xi_{X \cap I} = \xi_{X \cap (J \cup I)} - \xi_{X \cap J}$. Also, by Lemmas 3 and 4, there exists $r \ge 1$ contained in $C(T\xi_{X \cap I})$. This implies that there exist r_1 and r_2 in cl $(T\xi_{X \cap (J \cup I)})(Y)$ and cl $(T\xi_{X \cap J})(Y)$, respectively, such that $r = r_1 - r_2$. Applying Claim 1, we have that $r_1, r_2 \in [0, 1]$, which means that r = 1.

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CLAIM 3. Let $I \in \mathfrak{I}_{\leftarrow} \cup \mathfrak{I}_{\rightarrow}$. Then $(T\xi_{X\cap I})(Y) \subset \{0,1\}$.

Take $\alpha \in (T\xi_{X\cap I})(Y)$. By Claim 1, we know that $\alpha \in \mathbb{R}$ and $0 \leq \alpha \leq 1$. Now suppose that $0 < \alpha < 1$. We deduce that there exists $y_1 \in Y$ such that $\alpha := (T\xi_{X\cap I})(y_1) \neq 0, 1$. Now it is clear that $\{\alpha + i\} = C(i\xi_{\{y_1\}} + T\xi_{X\cap I}), \text{ and by}$ Lemma 3 there exists r > 0 such that $r(\alpha + i)$ belongs to $C(iT^{-1}\xi_{\{y_1\}} + \xi_{X\cap I})$. It is clear that $r(\alpha + i)$ can be written as $\gamma_1 + \gamma_2$, where γ_1 and γ_2 belong to $cl(iT^{-1}\xi_{\{y_1\}})(X)$ and $cl\xi_{X\cap I}(X)$, respectively. Now $\gamma_2 \in \{0,1\}$, and on the other hand we have that $\gamma_1 = \gamma i$, where $\gamma \in \mathbb{R}, |\gamma| \leq 1$, by Claim 2. We show that this is impossible. First, if $\gamma_2 = 0$, then $r(\alpha + i) = \gamma i$ is an imaginary number, which is clearly not true. Then we deduce that γ_2 must be equal to 1. But also in this case we have that $r(\alpha + i) = 1 + \gamma i$, which implies r > 1, since $\alpha \in (0, 1)$. On the other hand, the above equality also gives that $ri = \gamma i$, this is, $\gamma > 1$. This contradiction yields that $cl(T\xi_{X\cap I})(Y) \subset \{0,1\}$, and the claim is proved.

Now suppose that $I \in \mathfrak{I}_{\leftarrow} \cup \mathfrak{I}_{\rightarrow}$. By Claim 3 we deduce that there exists a subset J of \mathbb{R} such that $T\xi_{X\cap I} = \xi_{Y\cap J}$. Now show that J can be taken to be an interval. For this, it is enough to prove that if $y_1, y_2 \in Y \cap J$ satisfy $y_1 < y_2$, then any $y \in Y$ such that $y_1 < y < y_2$ belongs to J. We note that if $y \notin J$, $||\xi_{Y\cap J}|| \ge 3$, which is impossible because $||\xi_{Y\cap J}|| = ||\xi_{X\cap I}|| = 2$. For a similar reason we deduce that J can be taken in $\mathfrak{I}_{\leftarrow} \cup \mathfrak{I}_{\rightarrow}$.

As for the case when I is an interval not in $\mathfrak{I}_{\leftarrow} \cup \mathfrak{I}_{\rightarrow}$, we can work as in the proof of Claim 2. There exists $K \in \mathfrak{I}_{\leftarrow}$ such that $K \cap I = \emptyset$ and $K \cup I \in \mathfrak{I}_{\leftarrow}$. Clearly we have that $\xi_{X \cap I} = \xi_{X \cap (K \cup I)} - \xi_{X \cap K}$. This means that there exist intervals L and L' in $\mathfrak{I}_{\leftarrow} \cup \mathfrak{I}_{\rightarrow}$ with $T\xi_{X \cap (K \cup I)} = \xi_{Y \cap L}$ and $T\xi_{X \cap K} = \xi_{Y \cap L'}$. Then we have that $T\xi_{X \cap I} = \xi_{Y \cap L} - \xi_{Y \cap L'}$. Also by Claim 2, $1 \in C(T\xi_{X \cap I})$. On the other hand, by Lemma 3, $-1 \notin C(T\xi_{X \cap I})$. This means that $T\xi_{X \cap I} = \xi_{Y \cap L} - \xi_{Y \cap L'}$.

LEMMA 6. If both I, J belong to $\mathfrak{I}_{\leftarrow}$ (respectively to $\mathfrak{I}_{\rightarrow}$), and satisfy $I \subset J$, then $c(T\xi_{X\cap I}) \subset c(T\xi_{X\cap J})$.

PROOF: We shall proceed just in the case when $I, J \in \mathcal{I}_{\leftarrow}$, the other one being similar. The result is trivial if I = J, so we suppose $I \neq J$. By Lemma 5 we have that there exist K, L in $\mathcal{I}_{\leftarrow} \cup \mathcal{I}_{\rightarrow}$ such that $T\xi_{X\cap I} = \xi_{Y\cap K}$ and $T\xi_{X\cap J} = \xi_{Y\cap L}$. Assuming that K is not contained in L, and working as in the end of the proof of Lemma 5, we arrive at a contradiction. Accordingly $K \subset L$, and we are done.

LEMMA 7. If I, J are intervals satisfying $I \subset J$, then $c(T\xi_{X\cap I}) \subset c(T\xi_{X\cap J})$.

PROOF: We shall assume that $I, J \notin \mathfrak{I}_{\leftarrow} \cup \mathfrak{I}_{\rightarrow}$. Take $K, L \in \mathfrak{I}_{\leftarrow}$ such that $I \cap K = \emptyset = J \cap L$ and $I \cup K, J \cup L$ belong to $\mathfrak{I}_{\leftarrow}$. It is clear that $I \cup K \subset J \cup L$, and by Lemma 6

$$c(T\xi_{X\cap(I\cup K)}) \subset c(T\xi_{X\cap(J\cup L)})$$
. Now we suppose that $y_0 \in c(T\xi_{X\cap I})$. Then we have that
 $1 = (T\xi_{X\cap I})(y_0) = (T\xi_{X\cap(I\cup K)})(y_0) - (T\xi_{X\cap K})(y_0),$

which clearly implies that $(T\xi_{X\cap(I\cup K)})(y_0) = 1$ and $(T\xi_{X\cap K})(y_0) = 0$, by Lemma 5. On the other hand, it is easy to see that since $I \subset J$, then $L \subset K$ and $I \cup K \subset J \cup L$. This implies, by Lemma 6, that $(T\xi_{X\cap(J\cup L)})(y_0) = 1$ and $(T\xi_{X\cap L})(y_0) = 0$, that is, $(T\xi_{X\cap J})(y_0) = 1$. We conclude that $c(T\xi_{X\cap I}) \subset c(T\xi_{X\cap J})$.

The proof of the other cases is similar.

COROLLARY 8. Let $x_0 \in X$. Then there exists $y_0 \in Y$ such that $T\xi_{\{x_0\}} = \xi_{\{y_0\}}$.

PROOF: By Lemma 5, we have that there exists an interval J such that $T\xi_{\{x_0\}} = \xi_{Y \cap J}$. Now take $y_0 \in Y \cap J$. It is clear that by Lemma 7 applied to T^{-1} , $c(T^{-1}\xi_{\{y_0\}}) \subset \{x_0\}$. It follows from Lemmas 5 and 7 applied to T^{-1} that $T^{-1}\xi_{\{y_0\}} = \xi_{\{x_0\}}$, and we are done.

LEMMA 9. Suppose that $T\xi_{\{x_0\}} = \xi_{\{y_0\}}$, with $x_0 \in X$ and $y_0 \in Y$. If $f \in BV(X)$ satisfies $f(x_0) = 0$, then $(Tf)(y_0) = 0$.

PROOF: Suppose that $(Tf)(y_0) \neq 0$. Then consider $\alpha \in \mathbb{C}$ such that $|\alpha| = 2 ||f||$ and such that there is no r > 0 satisfying $r\alpha = (Tf)(y_0) + \alpha$. It is clear that $C(f + \alpha \xi_{\{x_0\}}) = \{\alpha\}$, and consequently, by Lemma 3, there exists r > 0 such that $r\alpha \in C(T(f + \alpha \xi_{\{x_0\}}))$. We obtain a contradiction with the fact that $C(T(f + \alpha \xi_{\{x_0\}})) = \{(Tf)(y_0) + \alpha\}$, and conclude that $(Tf)(y_0) = 0$.

THEOREM 10. There exists a monotonic bijective map $h: Y \to X$ such that for every $f \in BV(X)$ and for every $y \in Y$,

$$(Tf)(y) = f(h(y)).$$

PROOF: Take $y \in Y$. By Corollary 8 applied to T^{-1} , there exists $x \in X$ such that $T\xi_{\{x\}} = \xi_{\{y\}}$. This allows us to define a map $h: Y \to X$, by h(y) := x, where x is obtained from y as above. Also, by Lemma 9, (Tf)(y) = 0 whenever f(h(y)) = 0. Now fix $y \in Y$, take $g \in BV(X)$, and let $\alpha := g(h(y))$. It is clear that $g = \alpha + (g - \alpha)$. Taking into account that T1 = 1 and $(g - \alpha)(h(y)) = 0$, we have that (Tg)(y) = g(h(y)).

On the other hand it is clear that h is injective. It is also surjective, as we can see by applying Corollary 8. We show finally that h is monotonic. First we take $I \in \mathfrak{I}_{\leftarrow}$, and let $J \in \mathfrak{I}_{\leftarrow} \cup \mathfrak{I}_{\rightarrow}$ such that $T\xi_{X\cap I} = \xi_{Y\cap J}$ (see Lemma 5): we claim that if J belongs to $\mathfrak{I}_{\leftarrow}$, then h is increasing, and that if J belongs to $\mathfrak{I}_{\rightarrow}$, then h is decreasing. For, suppose that $J \in \mathfrak{I}_{\leftarrow}$, and that $y_1 < y_2$, $y_1, y_2 \in Y$. It is clear that we have that either $(-\infty, y_1] \subset J$ or $J \subset (-\infty, y_1]$. In both cases, by Lemmas 5 and 7 applied to T^{-1} , we have that $c(T^{-1}\xi_{Y\cap(-\infty,y_1]}) = X\cap K$, where K belongs to $\mathfrak{I}_{\leftarrow}$. From the process above we

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deduce that $(T^{-1}\xi_{Y\cap(-\infty,y_1]})(h(y_1)) = 1$ and $(T^{-1}\xi_{Y\cap(-\infty,y_1]})(h(y_2)) = 0$, which clearly implies $h(y_1) < h(y_2)$. Hence h is increasing. The case when $J \in \mathfrak{I}_{\rightarrow}$ yields in a similar way that h is decreasing.

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