A lactic acid-fermented oat gruel increases non-haem iron absorption from a phytate-rich meal in healthy women of childbearing age

Stine Bering¹, Seema Suchdev¹, Laila Sjøltov¹, Anna Berggren², Inge Tetens¹ and Klaus Bukhave¹*

¹Department of Human Nutrition, Centre for Advanced Food Studies, The Royal Veterinary and Agricultural University, Rolighedsvej 30, 1958 Frederiksberg C, Denmark
²Probi AB, Ideon, Gamma 1, Sölvegatan 41, 22370 Lund, Sweden

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Lactic acid-fermented foods have been shown to increase Fe absorption in human subjects, possibly by lowering pH, activation of phytases, and formation of soluble complexes of Fe and organic acids. We tested the effect of an oat gruel fermented with Lactobacillus plantarum 299v on non-haem Fe absorption from a low-Fe bioavailability meal compared with a pasteurised, fermented oat gruel and non-fermented oat gruels. In a cross-over trial twenty-four healthy women with a mean age of 25 (SD 4) years were served (A) fermented gruel, (B) pasteurised fermented gruel, (C) non-fermented oat gruel and (D) non-fermented gruel with added organic acids. The meals were extrinsically labelled with ⁵⁷Fe or ⁶³Fe and consumed on 4 consecutive days, for example, in the order ABBA or BAAB followed by CDDC or DCCD in a second period. Fe absorption was determined from isotope activities in blood samples. The fermented gruel with live L. plantarum 299v increased Fe absorption significantly (P<0.0001) compared with the pasteurised and non-fermented gruels. The lactic acid concentration in the fermented gruel was 19 % higher than in the pasteurised gruel, but the Fe absorption was increased by 50 %. In the gruel with organic acids, the lactic acid concentration was 52 % lower than in the pasteurised gruel, with no difference in Fe absorption. The fermented gruel increased non-haem Fe absorption from a phytate-rich meal in young women, indicating a specific effect of live L. plantarum 299v and not only an effect of the organic acids.

Non-haem iron absorption: Phytate: Lactic acid fermentation: Organic acids

Fe deficiency and low Fe stores are prevalent in infants, adolescents and women of childbearing age in both Western and developing countries (Dallman et al. 1980; Hallberg et al. 1993; Milman, 1996; World Health Organization, 1997). One cause of Fe deficiency is the low Fe bioavailability from foods, which is partly due to inhibiting factors in the diet, such as phytic acid and phenolic compounds. Other factors enhance Fe absorption. These include muscle tissue, ascorbic acid and certain other organic acids (Hallberg et al. 1989; Rossander-Hultén & Hallberg, 1996).

Phytic acid is found mainly in the fibre fraction of cereals, vegetables and fruit (Rossander-Hultén & Hallberg, 1996). The inhibiting effect of phytic acid is due to the formation of insoluble complexes with Fe at intestinal pH. A reduction in the content of phytic acid in these foods or determining a means of inhibiting the formation of Fe-bound complexes would reduce the problem of low Fe absorption from foods that are rich in Fe and which are otherwise regarded as healthy and nutritious. Phytic acid is hydrolysed by phytases found in certain plants, micro-organisms and animal tissues. Cereal phytases are considered to have a pH optimum in the range 4.5–6.0 (Tijjskens et al. 2001). By lowering the pH of foods the endogenous phytases in cereals and vegetables may be activated, thereby reducing the content of phytic acid, such as in sourdough fermentation (Navert et al. 1985).

A number of single-meal studies with lactic acid-fermented vegetables and cereals have shown a significant increase in Fe absorption in human subjects (Derman et al. 1980; Hallberg & Rossander, 1982; Gillooly et al. 1983). The increased absorption of Fe from fermented foods is believed to be caused by several factors. The buffer capacity of lactic acid in the pH range 3–5 may hinder or delay the formation of less soluble Fe complexes with reduced bioavailability, and may lead to activation of phytases present. The low-molecular weight organic acids produced during the fermentation process are believed to be able to chelate Fe, thereby making Fe unavailable for complex binding with phytate, and may also hinder precipitation of Fe at intestinal pH. The potential delayed gastric emptying rate caused by the presence of organic acids (Liljeberg & Bjorck, 1998) may increase the exposure of Fe to the proximal intestinal epithelium and thereby increase Fe absorption, as shown in in vitro absorption studies (Salovaara et al. 2002, 2003).

Probiotics are live micro-organisms which, when administered in adequate amounts, confer a health benefit on the host (World Health Organization, 2001). The majority of probiotics are bacterial, with the genera Lactobacillus and Bifidobacterium being the most common type of bacteria used. The health benefits attributed to probiotics include relief of conditions such as gastrointestinal infections, certain bowel

* Corresponding author: Dr Klaus Bukhave, fax +45 35282483, email klb@kvl.dk
disorders, allergy, and urogenital infections. Furthermore, they can be taken to prevent certain diseases and modulate host immunity (Goldin, 1998; World Health Organization, 2001).

A probiotic non-dairy food product based on oatmeal fermented with the defined probiotic strain *Lactobacillus plantarum* 299v (Probi AB, Lund, Sweden) has shown several of the health benefits attributed to probiotics (Molin, 2001). The strain originates from the human intestinal mucosa and has been shown to survive the low pH of the stomach, to tolerate the bile salts in the small intestine, and to colonise the intestinal mucosa, including the upper jejunum, after oral administration in a fermented oatmeal gruel (Johansson et al. 1993). In the present study, we have examined the effect of *L. plantarum* 299v and its fermentation products, lactic acid and acetic acid, on non-haem Fe absorption from a low-Fe bioavailability meal using a cross-over design. Four different oat gruels were included to test the specific effect of *L. plantarum* 299v and organic acids: a fermented oat gruel with active *L. plantarum* 299v, a pasteurised fermented oat gruel with the fermentation products but inactivated bacteria, a pH-adjusted non-fermented oat gruel, and a non-fermented oat gruel with added organic acids.

**Subjects and methods**

**Subjects**

Seventy women volunteered and were screened 2–4 weeks before the study, and twenty-four women who had relatively low Fe stores but were non-anaemic (i.e. serum ferritin concentrations 12–40 μg/l and Hb concentrations ≥110 g/l) were selected for the study. The twenty-four women were healthy with a mean age of 25 (SD 4) years, mean weight of 62 (SD 7) kg, and a mean BMI of 21.3 (SD 1.9) kg/m². All subjects were non-smokers and none of them were pregnant or lactating or took any vitamin or mineral supplements for 2 months before or during the study. Each participant received oral and written information about the study before written consent was obtained. The study was approved by the Municipal Ethical Committee of Copenhagen and Frederiksberg, Denmark (file no. KF 01-219/03) and the National Institute of Radiation Hygiene, Denmark.

**Experimental design**

The study was a completely randomised, double-blinded cross-over trial, in which each subject was served four test meals: (A) a fermented oat gruel; (B) a pasteurised fermented oat gruel; (C) a non-fermented oat gruel (pH adjusted with lactic acid); (D) a non-fermented oat gruel with added organic acids (lactic acid and acetic acid).

Fe absorption from the four test meals was determined with the dual-label extrinsic tag method (Hallberg, 1980). Using this method, Fe absorption from the four test meals was calculated by measuring Fe absorption from two test meals simultaneously in each of two periods. The two different test meals in each period were extrinsically labelled with ⁵⁵Fe and ⁵⁹Fe, respectively, and served twice on four consecutive mornings to minimise potential effects of day-to-day variation, for example, in the order ABBA. All twelve serving orders were used and assigned randomly to subjects, so that all test meals were served as the first meal in a period with equal frequency. This was important to be able to validate the possible carry-over effect of the fermented oat gruel with the live colonising bacteria within a period.

The activities of both isotopes were measured in a blood sample 18 d after ingestion (Bukhave et al. 2001), and the second period was subsequently carried out with the remaining test meals. Residual isotope activity from the first period was subtracted from the isotope activity levels in the blood sample from the second period.

**Composition of test meals and serving procedure**

Probi AB (Lund, Sweden) supplied the oat gruels for the test meals. Wholegrain oatmeal (Kungsörnen AB, Järna, Sweden) and water were mixed and a blend of different enzymes was added, followed by a specific heat treatment to reduce viscosity. The oat gruel was then heated to 90°C for 1 h to inactivate the enzymes and to kill any contaminating microorganisms already present in the gruel. For the production of the fermented oat gruel (A), the heat-treated gruel was inoculated with *L. plantarum* 299v (DSM 9843) (Molin et al. 1991). After fermentation, product A (viable count 1·1 × 10⁸ colony-forming units/g) was chilled and stored at 4°C with a final DM of 23 g/100 g. Product B was produced by pasteurisation (90°C, 1 h) of product A immediately after fermentation and stored at 4°C. Oat gruels C and D were produced in a similar manner to A, but the inoculation of bacteria was excluded. Product C was instead acidified with L-lactic acid to a pH equivalent to that in the fermented oat gruel A, after the fermentation step. The organic acids DL-lactic acid and acetic acid were added at concentrations equivalent to those expected to be produced in oat gruel A during the fermentation. As product C contained viable bacteria the exact quantity of the individual organic acids present at the time of consumption could not be precisely determined before the preparation of product C.

For each test meal 100 g oat gruel (A, B, C or D) was served with a 140 g wholewheat roll (60 g wheat flour, 20 g whole-wheat flour, 2 g salt, 2 g yeast, 16 g rapeseed oil, 40 g ultra-pure water) with 10 g butter and a glass of ultra-pure water (200 ml). The oat gruels were prepared from one batch and stored at 4°C until serving. The wholewheat rolls were prepared in one batch, stored at −20°C and reheated in an oven at 200°C for 10 min before serving.

The test meals were served in the morning after 12 h of fasting. Intake of a maximum of 0.5 litres water was allowed overnight. Moderate or hard physical activity or the intake of any alcohol or medication was not allowed during the 12 h before intake of the test meals. After consuming the test meals, the subjects were not allowed to eat or drink for 2 h and intake of alcohol was prohibited for 24 h. The subjects filled in a questionnaire in connection with each test meal to ensure that they adhered to all procedures, and they were instructed to eat and drink alternately and to rinse the glass containing the oat gruel thoroughly with the water to ensure complete intake of the isotope dose. A staff member ensured that everything was eaten.
During the experimental period the subjects filled in a detailed questionnaire on their daily eating habits.

Isotopes and labelling procedure

All meals were extrinsically labelled by adding 1 ml isotope solution ($^{57}$FeCl$_3$ (NEN Life Science Products, Inc., Boston, MA, USA) or $^{59}$FeCl$_3$ (Amersham Biosciences Corp., Piscataway, NJ, USA) in HCl (0.1 mol/l)) directly to the oat gruels 18 h before serving for isotope exchange. In the first period each dose contained 37 kBq $^{57}$FeCl$_3$ or $^{59}$FeCl$_3$ and in the second period 74 kBq $^{57}$FeCl$_3$ or $^{59}$FeCl$_3$.

Dietary analyses

The four oat gruels and the bread were freeze-dried, homogenised, and analysed in duplicate for total Fe, Ca, Zn, phytic acid and polyphenols. The energy content was calculated with the use of a national food composition database (Danish Tables of Food Composition, DANKOST 2000, version 1.20; Herlev, Denmark). Total Fe, Ca and Zn were determined by atomic absorption spectrophotometry (Spectra-AA 200; Varian, Mulgrave, Australia) after wet-ashing in an MES 1000 Solvent Extraction System (CEM Corp., Matthews, NC, USA) with 65 % (w/v) suprapure nitric acid (Merek kgaA, Darmstadt, Germany). A typical diet (Standard Reference Material 1548a; National Institute of Standards and Technology, Gaithersburg, MD, USA) was used as the reference for Fe (35.3 (SD 3.77) µg/g), Ca (1.96 (SD 0.11) mg/g) and Zn (24.6 (SD 1.79) µg/g). The analysed values were 33.38 µg/g, 2.00 mg/g, and 23.25 µg/g, respectively for Fe, Ca and Zn. Phytic acid was analysed as individual inositol tri- to hexaphosphates by high-performance ion chromatography (Carlsson et al. 2001). Polyphenols were analysed as total polyphenols by the Folin–Ciocalteu method and as proanthocyanidins using the vanillin assay with sulphuric acid (Scalbert, 1992) and the results expressed as mg gallic acid and mg (+)-catechin equivalents, respectively. The concentration of organic acids in the oat gruels was determined by capillary GC (Richardson et al. 1989).

Determination of iron status

Blood samples were drawn from the cubital vein after the subjects had rested for 10 min in a supine position. Hb analysis was carried out on venous blood (3-5 ml) collected in tubes containing dissolved EDTA (Vacutainer system; Becton Dickinson, Franklin Lakes, NJ, USA) using a Sysmex KX-21 automated haematology analyser (Sysmex America Inc., Mundelein, IL, USA) and appropriate controls (Eight check-3WP, 22490822; Sysmex America Inc.). Intra-assay and interassay variations were 0.5 % (n 12) and 0.6 % (n 27), respectively. Serum ferritin and $\alpha_1$-antichymotrypsin analyses were carried out on venous blood (5-0 ml) collected in plain tubes (Vacutainer system; Becton Dickinson). Serum ferritin was determined by a cheluminescent immunometric assay using an Immulite 1000 analyser (Diagnostic Products Corporation, Los Angeles, CA, USA) with appropriate reference sera (WHO 3rd international standard for ferritin (80/578); National Institute for Biological Standards and Control, South Mimms, Herts, UK). Intra-assay and interassay variations were 2.7 % (n 15) and 5.0 % (n 15), respectively. $\alpha_1$-Antichymotrypsin was determined by an immunoturbidimetric technique using a Cobas Mira analyser (Roche Diagnostic Systems, F Hoffman-La Roche Ltd, Basel, Switzerland) and appropriate reference sera were also analysed (European Commission certified reference material 470, no. 11924; IRMM, Geel, Belgium). Intra-assay and interassay variations were 1.4 % (n 12) and 3.2 % (n 14), respectively.

Determination of non-haem iron absorption

Activity of $^{57}$Fe and $^{59}$Fe was determined from blood samples (60 ml) collected in tubes containing heparin as anticoagulant (Vacutainer system; Becton Dickinson). Simultaneous determination of $^{57}$Fe and $^{59}$Fe was performed by dry-ashing followed by recrystallisation and solubilisation before counting in a Tricarb 2100TR Liquid Scintillation Analyser (Packard Instruments, Meriden, CT, USA) with automatic quench correction according to the method described previously (Bukhave et al. 2001).

Statistical analyses

Non-haem Fe absorption data were converted to logarithms before statistical analysis, and the results were reconverted to antilogarithms. All data used for statistical analyses were normally distributed, with variance homogeneity tested by plots of residuals. The non-haem Fe absorption from the different meals was compared using a linear mixed model with log (non-haem Fe absorption) as the dependent variable, meal and ferritin as independent fixed variables and subject and subject × period interaction as random effects:

$$\log(\text{non-haem Fe absorption}) = \mu(\text{meal}_i) + b \times \text{ferritin}_i + A(\text{subject}_i) + B(\text{subject}_i \times \text{period}_i) + e_i$$

(1)

For studying the carry-over effect from the first meals to the consecutive meals within each period, the alternate meal was inserted as a fixed variable in the linear mixed model:

$$\log(\text{non-haem Fe absorption}) = \mu(\text{meal}_i) + a(\text{alternate meal}_i) + b \times \text{ferritin}_i + A(\text{subject}_i) + B(\text{subject}_i, \times \text{period}_i) + e_i$$

(2)

Data are presented as estimates of least-squares means and differences between estimates of means with 95 % CI.

The statistical analyses were performed with the SAS statistical software package, version 8.2 (SAS Institute Inc., Cary, NC, USA), and values were considered significantly different at $P<0.05$.

Results

Composition of the test meals

The composition of the test meals and the contents of organic acids in the oat gruels are given in Table 1.
Iron status and non-haem iron absorption

The subjects’ Hb concentrations were in the range 111–137 g/l and serum ferritin concentrations in the range 12–40 μg/l. As the serum ferritin concentration is sensitive to inflammation, the acute-phase protein α1-antichymotrypsin was determined in serum as a marker of an acute-phase response. The concentrations were in the region 0·20–0·37 g/l, indicating no acute-phase response (α1-antichymotrypsin < 0·6 g/l) and therefore, serum ferritin was a valid measurement of the Fe status in these subjects (Wieringa et al. 2002).

The non-haem Fe absorption from the four test meals calculated from the mixed linear model analysis is given in Table 2. The results show a highly significant effect of the test meal with the fermented oat gruel when comparing both absolute non-haem Fe absorption values and the ratios relative to the pH-adjusted non-fermented oat gruel meal (P<0·0001), in which the inter-individual variations are accounted for.

The concentration of serum ferritin was significantly inversely correlated to the non-haem Fe absorption in the linear mixed model given in equation 1 (r² = 0·27), the specific carry-over effect of the meal with the fermented oat gruel was close to reaching statistical significance (P=0·06).

**Table 1.** Composition of the test meals (including a wholewheat roll with butter), pH and concentrations of organic acids in the oat gruels

<table>
<thead>
<tr>
<th>Meal...</th>
<th>(A) Fermented oat gruel*</th>
<th>(B) Pasteurised fermented oat gruel</th>
<th>(C) Non-fermented oat gruel (pH-adjusted)</th>
<th>(D) Non-fermented oat gruel with organic acids</th>
</tr>
</thead>
<tbody>
<tr>
<td>Energy (MJ)</td>
<td>2·5</td>
<td>2·5</td>
<td>2·5</td>
<td>2·5</td>
</tr>
<tr>
<td>Non-haem Fe (mg)</td>
<td>2·8</td>
<td>2·8</td>
<td>2·5</td>
<td>2·8</td>
</tr>
<tr>
<td>Phytate (mg)†</td>
<td>403</td>
<td>393</td>
<td>388</td>
<td>344</td>
</tr>
<tr>
<td>Phytate (μmol)</td>
<td>645</td>
<td>635</td>
<td>621</td>
<td>551</td>
</tr>
<tr>
<td>Proanthocyanidins CE (mg)</td>
<td>9·7</td>
<td>9·9</td>
<td>9·3</td>
<td>9·4</td>
</tr>
<tr>
<td>Total phenols GE (mg)</td>
<td>40·9</td>
<td>41·5</td>
<td>36·8</td>
<td>41·5</td>
</tr>
<tr>
<td>Ca (mg)</td>
<td>39·6</td>
<td>42·2</td>
<td>39·5</td>
<td>41·1</td>
</tr>
<tr>
<td>Zn (mg)</td>
<td>2·2</td>
<td>2·2</td>
<td>2·1</td>
<td>2·2</td>
</tr>
<tr>
<td>Lactic acid (μmol/g)</td>
<td>110</td>
<td>89</td>
<td>61</td>
<td>43</td>
</tr>
<tr>
<td>Acetic acid (μmol/g)</td>
<td>0·3</td>
<td>0·3</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Succinic acid (μmol/g)</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>pH</td>
<td>3·9</td>
<td>4·1</td>
<td>4·2</td>
<td>4·0</td>
</tr>
</tbody>
</table>

CE, catechin equivalents; GE, gallic acid equivalents.
* Containing live Lactobacillus plantarum 299v.
† Represents individual inositol tetra- to hexaphosphates.

**Table 2.** Non-haem iron absorption from the meals containing the four different oat gruels

(Mean values and 95 % confidence intervals)

<table>
<thead>
<tr>
<th>Meal...</th>
<th>(A) Fermented oat gruel*</th>
<th>(B) Pasteurised fermented oat gruel</th>
<th>(C) Non-fermented oat gruel (pH-adjusted)</th>
<th>(D) Non-fermented oat gruel with organic acids</th>
</tr>
</thead>
<tbody>
<tr>
<td>Non-haem Fe absorbed in blood (%)†</td>
<td>1·1*</td>
<td>0·6</td>
<td>0·5*</td>
<td>0·5*</td>
</tr>
<tr>
<td>Test meal/control meal</td>
<td>2·2*</td>
<td>1·7, 2·9</td>
<td>1·1*</td>
<td>1·0*</td>
</tr>
</tbody>
</table>

* Mean values within a row with unlike superscript letters were significantly different (P<0·0001).
† Containing live Lactobacillus plantarum 299v.
‡ Geometric means of least-squares estimates from the mixed linear model analysis (n = 24).
§ Geometric means of estimates of differences from the mixed linear model analysis (n = 24).
8% lower, respectively. When comparing the Fe absorption ratios for these two meals, the ratio for the pasteurised fermented oat gruel was 50% lower. As the concentration of lactic acid in the non-fermented oat gruel meal with added organic acids was 52% lower than in the pasteurised fermented oat gruel, whereas the absorption ratio was reduced by only 9%, it is unlikely that the increased Fe absorption found after intake of the fermented oat gruel was due mainly to an effect of the organic acids. The contents of Fe, phytate, and polyphenols in the four test meals were fairly constant. Thus the results of the present study indicate that the significant effect of the fermented oat gruel may be caused by the presence of the active lactic acid bacterium *Lactobacillus plantarum* 299v (1·1 × 10¹¹ colony-forming units) and not only by the presence of organic acids produced during the fermentation.

Although the subjects were selected with low Fe stores, Fe absorption into the blood was very low from all of the test meals (0·4–1·5%), corresponding to a total body Fe retention of 0·5–1·9% (as 80% of the Fe absorbed enters the bloodstream (Hosain *et al*. 1967)), or, if calculated as absolute amounts, 0·01–0·05 mg, as the meals contained 2·5 to 2·8 mg Fe. This very low absorption was presumably due to the presence of high amounts of phytate and phenolic compounds in the meals (see Table 1), and the lack of enhancers such as ascorbic acid. Enhancers were avoided in this initial study as we wished to ensure detection of even small effects of *L. plantarum* 299v and organic acids on Fe absorption. Previous studies on Fe absorption from oat porridge meals with similar contents of phytate have also shown relatively low Fe absorption values of 3·2–4·0% (Rossander-Hulten *et al*. 1990; Larsson *et al*. 1996). In both studies, the Fe absorption was nearly double that found in the present study, but orange juice, corresponding to 70 mg ascorbic acid, was served with the meals in those studies to achieve a realistic counteraction of the expected inhibitory effect of the phytate present in the oat products. As stated by Rossander-Hulten *et al*. (1990), the amount of Fe absorption from similar oat-containing meals without ascorbic acid would probably have been about half the amount found, i.e. 1·6–2·0%, which corresponds well to the absorption found in the present study. However, it is questionable whether an increase of that magnitude in absorption has any significance from a practical point of view. As mentioned earlier, the present study was designed to investigate specific effects of both *L. plantarum* 299v and the organic acids, without interference from other food components. Normal meals do, however, contain more Fe, less phytate, and also enhancers such as ascorbic acid and meat. The effect of the fermented oat gruel is significant in the present study, but the improvement obtained (from 0·01 to 0·05 mg Fe) is small compared with the 12·5 mg daily intake of Fe recommended for women in the childbearing age. Whether *L. plantarum* 299v will increase the Fe absorption from meals with a relatively higher basal absorption ratio remains to be established.

Fe absorption is normally described as occurring in the duodenum and proximal small intestine (Conrad & Umbreit, 2000). Small organic acids in foods, such as lactic and acetic acid from the pasteurised fermented oat gruel and the non-fermented oat gruel are very quickly absorbed in the gastrointestinal tract. A possible explanation of the enhanced non-haem Fe absorption from the fermented oat gruel could be the colonisation of *L. plantarum* 299v in the mucosa of the most proximal small intestine (Johansson *et al*. 1993), leading to local production of lactic acid by the active bacterium, which may both decrease the local pH and chelate Fe in soluble complexes, both leading to an increased Fe absorption (Derman *et al*. 1980). This hypothesis is supported by the fact that the carry-over effect of the fermented oat gruel was close to obtaining statistical significance (*P* = 0·06), indicating a specific effect of the colonising bacterium *L. plantarum* 299v on non-haem Fe absorption from the meals ingested on consecutive days. Even if the bacteria did not colonise the small intestine they may have been metabolically active and produced organic acids during intestinal transit, thereby facilitating Fe uptake.

In the previous studies describing non-haem Fe absorption from lactic acid-fermented meals (Derman *et al*. 1980; Hallberg & Rossander, 1982; Gillooly *et al*. 1983), several reasons for the increased Fe absorption have been stated: (a) the low pH; (b) activation of phytases present; (c) the production of organic acids. In the present study, pH was kept low and no correlation between pH (4·1 (SD 0·1)) in the test meals and Fe absorption was observed. We can therefore eliminate pH as the significant factor. A significant effect of endogenous phytases is also considered unlikely, as the oat gruels were heat-treated (90°C; 1 h) before the fermentation process and any phytases present in the oats were therefore inactivated. This was also verified by phytate analysis, as the amount
Fermented oatmeal increases iron absorption


