Beyond probiotic legend: ESSAP gut microbiota health score to delineate SARS-COV-2 infection severity

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Abstract

COVID-19 pandemic continues to be a global health crisis. The gut microbiome critically affects the immune system, and some respiratory infections are associated with changes in the gut microbiome; here, we evaluated the role of nutritional and lifestyle habits that modulate gut microbiota on COVID-19 outcomes in a longitudinal cohort study that included 200 patients infected with COVID-19. Of these, 122 cases were mild and seventy-eight were moderate, according to WHO classification. After detailed explanation by a consultant in clinical nutrition, participants responded to a written questionnaire on daily sugar, prebiotic intake in food, sleeping hours, exercise duration and antibiotic prescription, during the past 1 year before infection. Daily consumption of prebiotic-containing foods, less sugar, regular exercise, adequate sleep and fewer antibiotic prescriptions led to a milder disease and rapid virus clearance. Additionally, data on these factors were compiled into a single score, the ESSAP score (Exercise, Sugar consumption, Sleeping hours, Antibiotics taken, and Prebiotics consumption; 0–11 points), median ESSAP score was 5 for both mild and moderate cases; however, the range was 4–8 in mild cases, but 1–6 in moderate (P=0·001, OR: 4·2, 95% CI 1·9, 9·1); our results showed a negative correlation between regular consumption of yogurt containing probiotics and disease severity (P=0·007, OR: 1·6, 95% CI 1·1, 2·1). Mild COVID-19 disease was associated with 10–20 min of daily exercise (P=0·016), sleeping at least 8 h daily, prescribed antibiotics less than 5 times per year (P=0·077) and ate plenty of prebiotic-containing food.

Key words: Probiotics: Gut microbiota: COVID-19: Exercise

Introduction

SARS-COV-2 infection, a new strain of coronavirus, has resulted in a pandemic (COVID-19) of acute respiratory distress syndrome in humans. Egypt is one of the countries that has been affected by this worldwide crisis, and in early 2021, nearly 170 000 cases were confirmed with more than 9500 deaths(1). A fear of future waves of the infection has disabled the world and nations are working hard to defeat this virus. Manifestations of COVID-19 show a wide spectrum and can also mimic the clinical presentation of various gastrointestinal (GI) diseases as anorexia, nausea and diarrhoea have been commonly described in patients with confirmed COVID-19 infection(2).

Some respiratory infections are associated with a change in the gut microbiome, referred to as the gut–lung axis, which is involved in lung immune homeostasis(3,4). This axis is expected to be mutual, that is, any alteration in the gut microenvironment will have an effect on the pulmonary system through the action of gut endotoxins and disturbances in innate and adaptive immunity(5). The gut microbiome masterminds the gut immune system by fine tuning the equilibrium between pro-inflammatory TH17 and anti-inflammatory responses via Tregs(5) to be protective against respiratory infections, as its depletion or absence in mice leads to impairment of the immune responses and worsens the outcomes following viral infections(6). Our diet plays a critical role in defining the bacterial population in the gut

Abbreviations: CRP, C-reactive protein; ESSAP, Exercise, Sugar consumption, Sleeping hours, Antibiotics taken, and Prebiotics; GI, gastrointestinal; PY, probiotic yogurt; MOH, ministry of health.

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Patients and methods

Study design and participants

This longitudinal cohort single centre study was conducted in patients whose nasopharyngeal swab samples tested positive for COVID-19 by the PCR between May and mid-July 2020. Patients were recruited from the COVID-19 out-patient clinic, Kasr Al-Aini School of Medicine, Cairo University Hospitals. Inclusion criteria were any adult patient (aged 18–75 years) suffering from mild or moderate COVID-19 infection, according to WHO COVID-19 criteria(12) and treated according to the Egyptian ministry of health and population management recommendations that had been approved by the scientific COVID-19 committee of the Faculty of Medicine, Cairo University. After diagnosis by PCR, mild and moderate cases were administered paracetamol (1 g every 8 h), along with hydroxychloroquine 400 mg twice daily on the first day and maintained on 200 mg twice daily for 6 d. They were also provided vitamin C (1 g), 400 mg twice daily on the first day and maintained on 200 mg paracetamol (1 g every 8 h), along with hydroxychloroquine dihydrochloride (300 mg twice daily for 6 d after symptom onset, and swabs were placed in viral transport medium for rapid transportation to the laboratory. Molecular diagnosis of COVID-19 used real-time RT-qPCR assay that employed Taqman-based probes to conduct in vitro transcription of SARS-COV-2 RNA, followed by DNA amplification and fluorescence detection. Materials and reagents were supplied by VIASURE for use on a Rotor-Gene instrument (QIAGEN GmbH).

Eight millilitres of blood was drawn from all subjects after fasting for 12 h and divided into three tubes as follows – 2 ml blood was evacuated in an ethylenediamine tetraacetic acid tube for complete blood count which was done on CELL-DYN 3200 automated hematology analyser (Abbott); 5 ml of blood was drawn into a plain vacutainer tube to isolate serum for laboratory evaluation which was done on Auto analyser (Roche Diagnostics GmbH). The final one ml of blood was evacuated into a fluoride vacutainer tube for fasting blood sugar assay. All laboratory evaluations were performed at the Clinical Chemistry Department, Faculty of Medicine, Cairo University, Cairo, Egypt.

The study protocol conformed to ethical guidelines of the Declaration of Helsinki, 1975(13), was approved by the Research Ethics Committee, Faculty of Medicine, Cairo University (REC n-86-2020) and was registered on clinical trial.gov of the US National library of Medicine (clinicaltrial.gov identifier: NCT04447144) under the title ‘Nutritional Habits, and covarionus disease (COVID-19) outcome’. Policy of data confidentiality was strictly followed. The aim was explained clearly, and informed consent was obtained from all participants before enrolment. All authors had access to the study data and reviewed and approved the final manuscript.

Data collection

To correlate disease severity with nutritional and lifestyle habits, we followed up 200 cases of mild or moderate COVID-19 that had been confirmed by PCR till they tested negative twice by PCR and their symptoms had resolved.

Data on clinical and demographic parameters, such as age, sex, BMI, underlying co-morbidities (diabetes mellitus, hypertension, chronic pulmonary, kidney, liver or heart disease), clinical symptoms and vital signs, were collected. Clinical symptoms of every patient were recorded using a ‘yes/no’ checklist that asked about constitutional symptoms (fever, fatigue and bony aches), respiratory symptoms (cough, dyspnoea and sore throat), neurological symptoms (headache, loss of taste and smell) and GI symptoms (nausea, vomiting, abdominal pain and diarrhoea).

Phone calls and scheduled video chat on commercial platforms were used to follow up patients throughout their illness, from ‘home quarantine’ when symptomatic or upon testing positive to full recovery, that is, resolution of their symptoms and testing negative twice (48 h apart) by PCR.

Samples for laboratory assessment were collected within 24 h of symptoms onset and analyses consisted of complete blood count (serum creatinine and urea) and liver function tests (alanine aminotransferase, aspartate aminotransferase and total bilirubin), serum C-reactive protein (CRP), D-dimer, ferritin, total lipid profile and lactate dehydrogenase. Initial chest computed tomography was performed and its result was recorded. A single deep nasopharyngeal swab was obtained within 5–6 d after symptom onset, and swabs were placed in viral transport medium for rapid transportation to the laboratory. Molecular diagnosis of COVID-19 used real-time RT-qPCR assay that employed Taqman-based probes to conduct in vitro transcription of SARS-COV-2 RNA, followed by DNA amplification and fluorescence detection. Materials and reagents were supplied by VIASURE for use on a Rotor-Gene instrument (QIAGEN GmbH).

Eight millilitres of blood was drawn from all subjects after fasting for 12 h and divided into three tubes as follows – 2 ml blood was evacuated in an ethylenediamine tetraacetic acid tube for complete blood count which was done on CELL-DYN 3200 automated hematology analyser (Abbott); 5 ml of blood was drawn into a plain vacutainer tube to isolate serum for analysing total bilirubin, aspartate aminotransferase, alanine aminotransferase, urea, creatinine, cholesterol, TAG, HDL, LDL, CRP, lactate dehydrogenase and ferritin on COBAS 6000 Auto analyser (Roche Diagnostics GmbH). The final one ml of blood was evacuated into a fluoride vacutainer tube for fasting blood sugar assay. All laboratory evaluations were performed at the Clinical Chemistry Department, Faculty of Medicine, Cairo University, Cairo, Egypt.

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microenvironment(8), and probiotic ‘live microorganisms’ have been shown to have anti-inflammatory properties as they regulate multiple signalling pathways and toll-like receptor activity(9). Prebiotics are a non-digestible food ingredient that beneficially affect the host by selectively stimulating the growth and/or activity of one or a limited number of bacteria in the colon, thereby improving host health. They are associated not only with a healthy gut but also with improving lung immunity(10). Microbiota composition in humans is also affected by sugar intake, exercise, sleeping hours, antibiotic use and other environmental factors, all of which can have long-term effects on the immune system(11). We hypothesised that diet and lifestyle factors that modulate gut microbiota can affect COVID-19 disease outcomes, and therefore, the aim of this study was to evaluate the role of nutritional habits and lifestyle on the degree of severity COVID-19 infection in Egyptian patients. Additionally, we propose a prognostic nutrition and lifestyle scoring system (Exercise, Sugar consumption, Sleeping hours, Antibiotics taken, and Prebiotics consumption (ESSAP) score) that can predict sease outcome and link potential benefits of gut microbiota in boosting our immune system to fight against COVID-19.
A questionnaire on nutrition and lifestyle habits that obtained data on patterns during the 12 months before infection was filled by all patients in written forms after a consultant in clinical nutrition explained the contents of the questionnaire (original in Arabic, the English translated form is attached). Two authors, who are experts in clinical nutrition, designed and evaluated whether the proposed questions could effectively capture the role of nutrition and lifestyle habits in modulating gut microbiota. A biostatistician verified that the questionnaire was free of errors, such as double-barreled or confusing questions.

We recruited thirty patients with COVID-19 for a pilot study to assess the validity of our questionnaire. Based on clinical data, disease outcomes and statistical data analysis, we also proposed scores for each item that affected outcome, that is, the ESSAP score (0–11 points), which assessed exercise, sugar consumption, sleeping hours, antibiotic use, and prebiotic consumption in the past 12 months.

After establishing the face validity of our questionnaire, we completed the study with the rest of the 170 patients with COVID-19.

**Nutritional habits**

**Probiotics.** Dosage of probiotic foods is based solely on the number of live organisms present; thus, therapeutic yogurts contain $>10^9$ CFU per ml and a 100 g serve size will provide sufficient probiotic bacteria. Among all the commercial yogurt brands in the Egyptian market, only one product provided information on type of bacteria (Bifidobacterium). Further, CFU values were not provided on the packaging of full cream or skimmed yogurts. However, Bassuoni et al. have worked out that a single 135 g daily portion of probiotic yogurt (PY) provided $1 \times 10^9$ CFU of Bifidobacterium. Thus, a score of 0 was designed to assess the frequency of consumption of single serve PY as $0 = \text{never eat}$; $1 = \leq 1 \text{ per week}$; $2 = \geq 2 \text{ per week}$; and $3 = \text{every day}$.

**Prebiotics.** The recommended daily intake of dietary fibre is 25 g for women and 38 g for men, and this component can provide prebiotics in the diet. The Dietary Guidelines for Americans 2010 Committee suggests that foods high in prebiotics (beans, wheat, onions, garlic, artichoke, honey, banana, barley, tomato, rye, soyabean, peas) should be consumed to cover the recommended fibre amount. Fava bean is a traditional breakfast in Egypt which is consumed by all socio-economic classes, and 100 g contains 9.03 g fibre. Traditional Egyptian bread weighs about 90–100 g and is made from whole wheat. As 100 g whole-wheat grain contains 13.5 g fibre, bread provides 12–13.5 g fibre, which is the main type of carbohydrate consumed in large amounts. Therefore, scores ranging from 0 to 4 were designed based on the diversity of food commonly consumed by the Egyptian population that are rich in prebiotics. Consuming one type, irrespective of the amount, cannot provide 25 g of fibre; however, consuming two–six different types may provide close to 25 g of fibre, while eating seven or more types will easily provide the required amount. Thus, score 0 indicated once daily consumption; score 1 = 2 items consumed daily; score 2 = 3–4 types daily; score 3 = 5–6 types daily; and score 4 = ≥ 7 types consumed daily.

**Sugar intake.** The American Heart Association recommends an added-sugar limit of no more than 100 energy intake per day (about 6 teaspoons or 24 g of sugar) for most women and no more than 150 energy intake per day (about 9 teaspoons or 36 g of sugar) for most men. Accordingly, we designed our score for a maximum of 9 teaspoons as follows: score 0 = 10 or more teaspoons; score 1 = 3–9 teaspoons; and score 2 = very small amounts, for example, 8 g or ‘2 teaspoons’.

**Lifestyle and exercise.** According to the US Department of Health and Human Services 2008 Physical Activity Guidelines for Americans, for substantial health benefits, adults should do at least 150 min/week (average of 21 or more minutes per day) of moderate-intensity activity (e.g., aerobic activity that increases a person’s heart rate and breathing to some extent, brisk walking, dancing, swimming or bicycling) in installments of at least 10 min. Further, they should be preferably spread throughout the week, and additional health benefits are gained by engaging in physical activity beyond this amount.

Based on this, we designed scores from 0 to 2, where $0 = \text{less than 10 min}$, $1 = 10–20 \text{ min}$ and $2 = \text{more than 20 min}$. Household chores were considered as less than 10 min because we could not establish exact duration or whether it was continuous or interrupted, and also because it is not stated in the above guidelines.

**Sleeping hours.** Sleep recommendations provided by the National Sleep Foundation (USA), the American Academy of Sleep Medicine, the Sleep Research Society (USA) and 24-hour movement guidelines (Canada) suggest 7–9, 7–8 and ≥ 7 h, respectively. Therefore, we decided to score responses based on a total of 8 sleeping hours (night and day naps), on average, where $0 = < 8 \text{ hours}$ and $1 = 8 \text{ hours}$.

**Antibiotic use.** It is scored as either 0 or 1 based on the frequency of use in the last 12 months, where $0 = \text{no}$ and $1 = \geq 5 \text{ times}$.

In this scoring system, values of 0 or lower are the worst possible while the highest scores imply following or even exceeding international recommendations.

**Statistical analyses**

Data management and analyses were performed using the Statistical Package for Social Sciences (ver. 25). Numerical data were summarised using means and standard deviation or medians and ranges, as appropriate. Categorical data were summarised as numbers and percentages. Normality of numerical data was established using the Kolmogorov–Smirnov test or the Shapiro–Wilk test.

Chi-squared or Fisher’s tests were used for between-group comparisons of categorical data, as appropriate. Between-group comparisons of normally distributed numeric variables used the Student’s t test, while the Mann–Whitney test was used for non-normally distributed numeric variables. Logistic regression
was used to arrive at adjusted OR and to calculate the magnitude of the effect of the various risk factors. OR and 95% CI were also computed (95% CI that was not 1-0 was considered significant). All tests were two-sided and P values < 0.05 were considered significant.

Results

Of the 200 patients with COVID-19 included, 122 were categorised as mild while seventy-eight were moderate. All patients were managed according to Egyptian MOH recommendations. Females accounted for 59% of the mild cases and 43.6% of the moderate cases (P = 0.033), mean ages were 37 and 45 years, respectively, for mild and moderate cases, and while 68.6% of mild cases were younger than 50 years of age, 65.9% of moderate cases were older than 50 years (P < 0.001). Means and standard deviations of BMI values were 29.0 (sd 5.8) and 31.1 (sd 6.1) kg/m² for mild and moderate cases, respectively (P = 0.016).

A significant positive correlation between COVID-19 severity and co-morbidities was observed (Table 1). Clinical presentation in 147 patients involved constitutional and respiratory symptoms, while 53 had GI symptoms such as diarrhoea; of the latter, 31 (58.5%) were classified as having mild COVID-19 while 41.5% had moderate disease.

Patients categorised as moderate COVID-19 displayed significant lymphopenia (1784 v. 2240/μl; moderate v. mild, P = < 0.001), higher CRP and serum ferritin levels (CRP, 12 v. 6 mg/l; ferritin, 160 ng/ml v. 100 ng/ml, respectively, P = 0.012), liver enzymes (aspartate aminotransferase and alanine aminotransferase) and total bilirubin (P = 0.011).

There was a significant negative correlation between PY intake and SARS-COV-2 infection severity as 71.2% of mild cases had never consumed PY, while those who ate PY on a weekly basis (105 ml) suffered a more severe COVID-19 course (P = 0.027) (Fig. 1).

The incidence of diarrhoea was higher in the patients who had never consumed PY (32.7%) but lower in those who consumed variable amounts of PY (19.1, 30.4 and 26%), as shown in Fig. 2.

Prevalence of diabetes was similar among groups consuming variable amounts of PY. Serum ferritin levels were higher in patients who ate PY daily, while lowest median CRP (5.6 mg/l) was seen in patients who never ate PY. Similarly, D-dimer levels were lower in patients who never consumed PY or did so only infrequently (Fig. 3).

The results of the analysis and the correlation between nutrition and lifestyle questionnaire scores and COVID-19 disease severity are shown in Table 2. None of the patients ate ≥ 5 types of food that contain prebiotics; thus, all responses were scored between 0 and 2 with mild cases distributed almost equally among patients who ate between 1 and 4 types daily.

Daily sugar intake and frequency of antibiotic use were positively correlated with disease severity. Specifically, 44.7% of patients who reported regular intake of ≥ 10 teaspoons (0 score) had moderate disease which reduced to 36.9% in patients with a regular intake of ≤ 2 teaspoons daily (score 2). Next, 57.9% of the patients who had been prescribed

Table 1. Correlation between medical co-morbidities and their correlation to COVID-19 severity (Numbers and percentages)

<table>
<thead>
<tr>
<th>Factors</th>
<th>All</th>
<th>Mild</th>
<th>Moderate</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>n 200</td>
<td>n 122</td>
<td>n 78</td>
</tr>
<tr>
<td>DM</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>No</td>
<td>170</td>
<td>111</td>
<td>59</td>
</tr>
<tr>
<td>Yes</td>
<td>30</td>
<td>11</td>
<td>19</td>
</tr>
<tr>
<td>HTN</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>No</td>
<td>166</td>
<td>107</td>
<td>59</td>
</tr>
<tr>
<td>Yes</td>
<td>34</td>
<td>15</td>
<td>19</td>
</tr>
<tr>
<td>Chronic lung disease</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>No</td>
<td>185</td>
<td>117</td>
<td>68</td>
</tr>
<tr>
<td>Yes</td>
<td>15</td>
<td>5</td>
<td>10</td>
</tr>
<tr>
<td>Chronic liver disease</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>No</td>
<td>198</td>
<td>121</td>
<td>77</td>
</tr>
<tr>
<td>Yes</td>
<td>2</td>
<td>1</td>
<td>1</td>
</tr>
<tr>
<td>Cardiac</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>No</td>
<td>191</td>
<td>120</td>
<td>62.8</td>
</tr>
<tr>
<td>Yes</td>
<td>9</td>
<td>2</td>
<td>22.2</td>
</tr>
</tbody>
</table>

NA, not applicable.

* Significant.
antibiotics ≥ 6 times/year suffered from moderate COVID-19 disease, while 63% of the mild cases reported fewer prescriptions.

Exercise was the factor that was positively and most significantly correlated with disease severity. Among patients who did not exercise at all (0 score), 53.8% underwent a moderate COVID-19 course, while 70% (P = 0.016) of those who exercised 10–20 min daily (score 1) experienced mild disease. None of our patients accomplished 20 min of daily exercise.

Among patients reporting total sleeping time ≥ 8 h/d on a regular basis, 37% were categorised as having moderate disease, while this was 41% among those who slept less than 8 h/d.

From a statistical point of view, after analysing the scores and evaluating the correlation between COVID-19 severity and exercise, sugar intake, sleeping hours, antibiotics received or prebiotic intake, we combined these parameters into a single score (0–11 points), namely the ESSAP score – nutrition and lifestyle gut microbiota modifier health score (score attached).

Median ESSAP score was 5 among both mild and moderate cases, but the range was 4–8 among mild cases and 1–6 among

<p>| Table 2. Correlation between nutritional and lifestyle habits and severity of SARS-COV-2 (Numbers and percentages) |
|---------------------------------------------------|-----------------|-----------------|-----------------|-----------------|</p>
<table>
<thead>
<tr>
<th>Score</th>
<th>All</th>
<th>Mild</th>
<th>Moderate</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>n 200</td>
<td>n 122</td>
<td>%</td>
</tr>
<tr>
<td>Probiotic N</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Never eat</td>
<td>0</td>
<td>52</td>
<td>37</td>
</tr>
<tr>
<td>≤ 1 time on weekly basis</td>
<td>1</td>
<td>68</td>
<td>45</td>
</tr>
<tr>
<td>2-6 times weekly</td>
<td>2</td>
<td>46</td>
<td>21</td>
</tr>
<tr>
<td>Daily intake</td>
<td>3</td>
<td>34</td>
<td>19</td>
</tr>
<tr>
<td>Prebiotic N</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Only one type of prebiotics daily</td>
<td>0</td>
<td>36</td>
<td>25</td>
</tr>
<tr>
<td>2 types of prebiotics daily</td>
<td>1</td>
<td>124</td>
<td>71</td>
</tr>
<tr>
<td>3-4 types of prebiotics daily</td>
<td>2</td>
<td>40</td>
<td>26</td>
</tr>
<tr>
<td>≥ 5 types of prebiotics daily</td>
<td>3</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Sugar intake N</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>≥ 10 teaspoon daily</td>
<td>0</td>
<td>38</td>
<td>21</td>
</tr>
<tr>
<td>3-9 teaspoon daily</td>
<td>1</td>
<td>97</td>
<td>60</td>
</tr>
<tr>
<td>≤ 2 teaspoon daily</td>
<td>2</td>
<td>85</td>
<td>41</td>
</tr>
<tr>
<td>≥ 6 times per year</td>
<td>0</td>
<td>19</td>
<td>8</td>
</tr>
<tr>
<td>≤ 3 times per year</td>
<td>1</td>
<td>181</td>
<td>114</td>
</tr>
<tr>
<td>≤ 1 time on weekly basis</td>
<td>0</td>
<td>100</td>
<td>59</td>
</tr>
<tr>
<td>≥ 8 h</td>
<td>1</td>
<td>100</td>
<td>63</td>
</tr>
<tr>
<td>Sleep N</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Exercise N</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Non</td>
<td>0</td>
<td>13</td>
<td>6</td>
</tr>
<tr>
<td>Less than 10 min daily</td>
<td>1</td>
<td>105</td>
<td>58</td>
</tr>
<tr>
<td>10–20 min daily</td>
<td>2</td>
<td>82</td>
<td>58</td>
</tr>
<tr>
<td>≥ 20 min daily</td>
<td>3</td>
<td>0</td>
<td>0</td>
</tr>
</tbody>
</table>

Fig. 3. D-dimer in relation to probiotic intake.
Factors B SE OR 95 % CI P
Age groups 1.1 0.4 3.1 1.4, 6.8 0.004
DM 1.1 0.5 2.9 1.2, 7.1 0.022
Probiotic 0.4 0.2 1.6 1.1, 2.1 0.007
ESSAP score 1.4 0.4 4.2 1.9, 9.1 < 0.001
Constant -2.5 0.5 0.1 < 0.001

B, regression coefficient. P-value ≤ 0.05 is considered significant.

moderates (P = 0.001). Furthermore, none of the mild cases recorded a score of less than 4, but twenty patients (16.4 %) had a score of ≥ 7. Among patients with moderate COVID-19, thirty-three (42.3 %) patients had score ≤ 4 and none had scores ≥ 7 (Fig. 4).

To measure the independent effect of all factors that affect COVID-19 severity, factors which were significant (P < 0.001) during univariate analysis were subjected to stepwise logistic regression analysis (Table 3). The independent factors that could significantly predict COVID-19 severity were age, DM, probiotic intake and ESSAP score. Specifically, patients aged 50 years or older had a 3.1-fold greater risk of severe infection (P = 0.004, OR: 3.1, 95 % CI 1.4, 6.8), while it was 3-fold for diabetic patients (P = 0.022, OR: 2.9, 95 % CI 1.2, 7.1). Patients who ate PY had 1.6 times higher risk of severity compared with those who did not consume PY (P = 0.007, OR: 1.6, 95 % CI 1.1, 2.1).

Discussion

Fighting against SARS-COV-2 infection is essential. The ability to evaluate immune system status and predict COVID-19 disease outcome based on a simple nutrition and lifestyle questionnaire is not only a valuable prognostic tool but also a guide to boost gut microbiota, and ultimately the immune system, to counter infections.

Given the critical role of gut microbiota in modifying the immune system, we designed a questionnaire to obtain information covering a range of nutritional and lifestyle habits that directly supply live bacteria (probiotic), sustain the microbiome (prebiotic) or modify the microbiome (sugar, sleeping hours, exercise and antibiotics). The impact of these factors, namely, consumption of prebiotic-containing foods and sugar, sleeping hours, regular exercise and antibiotics used, was combined into a scoring tool, that is, the ESSAP score – nutrition and lifestyle gut microbiota modifier health score. To identify significant domains in the ESSAP score, we evaluated effect size for all data and found that exercise for at least 20 min daily, sleeping 8 h or more, consumption of ≤ 2 teaspoons of sugar, along with prebiotics-containing food every day and limiting antibiotic use were all associated with mild COVID-19. These results can be explained by the benefits of a diverse gut microbiome on overall immune response. Our results suggest that an ESSAP score of 5 or less is associated with moderate disease severity, while that above 5 was associated with mild disease.

The diet has a crucial role in defining our gut microbiome(23). Specifically, a healthy microbiota stimulates the development of non-specific and specific immune system components, just after birth and during life, and provides resistance to pathogenic invasion of the mucosa. However, several organisms can overcome these defensive mechanisms by producing pro-inflammatory cytokines(24). Gut microbiota can regulate the production and development of T helper cell types 1, 2, 17 and regulatory T cells, and hence affect overall immune status(25). The relationship between respiratory infections and the gut microbiome is bi-directional, in that respiratory infections can manipulate the architecture of the gut microbiota(26).

We show that the risk of greater COVID-19 severity was 3.1-fold higher among patients who were 50 years or older. This is related not only to associated co-morbidities but also to age-related decline in the clearance of inhaled particles in the small airways(27). Further, our results clearly show the burden of co-morbidities on disease outcome as diabetes was associated...
with three times greater risk of severity. This is in agreement with the conclusions of a previous systemic review and meta-analysis which reported that the prevalence of diabetes and cardiovascular and respiratory diseases were significantly higher among critical COVID-19 cases compared with noncritical ones\(^{(26)}\). Additionally, excess fat as visceral adipose tissue has been reported to trigger the release of inflammatory mediators that promote a state of chronic inflammation and immune dysregulation\(^{(29)}\). Previous studies have also addressed the influence of type 2 diabetes on gut microbiota and suggest the presence of an intricate axis between host immune system and gut microbiota that involves glycolysis, polysaccharide degradation, NEFA modulation and mucin degradation\(^{(30,31)}\).

Existing reports also document a reduction in lung damage and improved survival among mice fed with diets rich in fermentable fibres during an influenza virus infection; this effect is thought to be associated with metabolism of SCFA.\(^{(32)}\) Prebiotic-rich foods with high content of fermentable fibres, oligosaccharides and resistant starches, such as legumes, vegetables, some fruits and whole-wheat grain, can beneficially affect gut microbiota as prebiotics are selective substrates for specific beneficial colonic bacteria, as they are neither hydrolysed nor absorbed in the proximal gut\(^{(33)}\). Dietary fibre has been suggested as immune modulators because they reduce bacterial translocation by maintaining the integrity of the gut barrier\(^{(34)}\). All our patients with COVID-19 ate four or fewer types of foods rich in fibres (fava beans, lentil, wheat, garlic) and scored between 0 and 2. The frequency of mild cases was similar between patients who ate only one type and those who consumed up to four types daily, and this reflects a limitation of our study, that is, that the quantity of each type of food could not be accurately assessed. Thus, fibre consumption was approximated, and a significant impact may have been achieved if patients had reported consuming ≥ 5 types of food which corresponds to scores 3 or 4 and consumption of almost 25 g fibre/d.

Our results show that daily sugar intake of ≤ 2 teaspoons was associated with lower COVID-19 severity. These results concur with those reported previously, that is, that excess sugar consumption in Western diets is not only linked to increased incidence of obesity, diabetes and CVD\(^{(35)}\) but also to alterations in the gut microbiome resulting in the evolution of new morphic strains\(^{(36)}\). Lifestyle habits had a positive impact on COVID-19 outcomes. Specifically, exercise was the most significant factor that was positively correlated with disease severity; however, none of our patients reported > 20 min of regular daily exercise. Exercise is thought to shorten GI transit time, thereby reducing pathogen exposure at the mucosal layer\(^{(37)}\). Further, increasing evidence suggests that exercise can modulate gut microbiota by enhancing microbiome diversity, thereby improving immune status\(^{(38)}\) and this effect is attributed to increased levels of α-glucuronidase augmenting B-cell activation\(^{(39)}\). Moderate-intensity exercise has been reported to affect neutrophil number and function, host immune system modulation and reduction in pulmonary infection\(^{(40)}\).

Moderate COVID-19 was higher among patients who slept less than 8 h daily, and 7–8 h of nocturnal sleep has been suggested to negatively affect T-cell activation and immunity through greater β2-integrin activation and down-regulated Gαi-coupled receptor signalling\(^{(42)}\). Gut microbiome diversity also improved with increased sleeping hours and greater sleep quality, with IL-6 providing a coherent link between sleep quality and microbiome diversity\(^{(43)}\). Another study has shown that sleep deprivation impairs the gut microbiome in less than 48 h\(^{(44)}\).

Several studies have highlighted the consequences of antibiotic use on gut microbiome integrity and such effects can be direct or indirect. While broad-spectrum antibiotics can directly constrain commensal gut bacteria growth and result in gut dysbiosis, susceptible bacteria will be eliminated under fastidious pressure by antibiotics, resulting in an increase in antibiotic-resistant strains that impair host immunity. The underlying mechanisms are thought to involve reduced concentration of peptidoglycan\(^{(45)}\) and altered gut lymphoid cells development which lead to diminished IL-22 production\(^{(46)}\). Antibiotics that alter gut microbiota have been found to blunt respiratory defenses against S. pneumoniae by interfering with toll-like receptor signalling and alveolar macrophage microbial response, apart from decreasing alveolar IgA production\(^{(47)}\). These facts help explain the findings from the present study, that is, that mild COVID-19 was more prevalent among patients reporting less frequent antibiotic use.

A negative correlation between PY intake and disease severity was observed; specifically, PY consumption was associated with 1.6 times greater risk of severity compared with those who never ate it. The GI tract is repeatedly exposed to external factors including food particles, and bacterial antigens which alter them cause what is known as ‘gut dysbiosis’. Certain bacterial species like Bifidobacterium and Lactobacillus are known to have favourable effects in restoring gut microbiota balance; hence, the term ‘probiotic’ has been introduced. Many of the normal gut commensals compete for nourishment and mucosal binding sites and enhance gut mucosal barrier by decreasing the production of deleterious lipopolysaccharides and peptidoglycans\(^{(48)}\). In humans, Lactobacillus- and Bifidobacterium-based probiotics have shown improvement in incidence of and outcomes after respiratory infections\(^{(49,51)}\).

However, our results on COVID-19 severity and probiotic intake are contrary to the known health benefits of probiotics. This led us to question the safety of probiotics as well. Interestingly, a study published in 2010 reported that probiotics have been theoretically suggested to increase the incidence of systemic infections, lead to deleterious metabolic activities and excessive immune stimulation in susceptible individuals, apart from gene transfer and GI side effects\(^{(52)}\). Endocarditis caused by either Lactobacillus or Streptococcus in yogurt has also been reported\(^{(53,54)}\). Accumulating evidence also suggests that probiotic use was associated with metabolic concerns including D-lactate production and de-conjugation of bile acids\(^{(55)}\). Other studies have raised concerns that probiotics can excessively stimulate the immune system and cause immune dysregulation through over-activation of innate and adaptive immunity\(^{(56)}\).

Lastly, even lactic acid-rich microbiota have been shown to harbour plasmids containing resistance genes against many antibiotics, thereby increasing chances of resistance development\(^{(57)}\). Several studies have emphasised that probiotic-rich yogurt is healthier than non-probiotic ones. However, commercial yogurt...
is widely available in two forms – heat-treated fermented cow milk, known as pasteurised yogurt, and probiotic ‘active’ yogurt that is rich in Lactobacillus. Heat and acidity characterise the yogurt manufacturing process and are both fundamental to ensure the product safety. Starter organisms are added to avoid longer incubation periods and thus, poor product quality\(^{68}\). When yogurt is produced from AFM\(_1\)-contaminated milk, the toxin with potential carcinogenic properties can still be present during the process of fermentation, even though the developing acidity can reduce AFM\(_1\) levels through several mechanisms involving peptidoglycans, cell-wall polysaccharides and proteins\(^{69}\). Nevertheless, a previous study showed that pasteurisation was not effective in reducing the formation of this toxin\(^{60,62}\).

Our results also showed no relation between diabetes and the proposed beneficial effect of probiotic consumption on microbiota of patients with diabetes. Several factors have been proposed to affect probiotic bioavailability during processing, including reduced levels of hydrogen peroxide, presence of lactic acid and the interactions between the yogurt starter culture and probiotics\(^{63}\). Probiotics can be consumed in many forms, including as dietary supplements, and such probiotics should stay stable and viable during storage and consumption\(^{64}\).

Our results regarding the negative correlation between probiotics and disease severity could be explained by many possible contributing factors, starting from differences in the source and type of milk used for preparing commercial yogurt to possible Aflatoxin M1 contamination. Irrespective of whether the product is rich in live bacteria, possible deleterious effects include defects in enzymatic function during denaturation or type of starter added, which can reduce the stability of yogurt during storage before consumption.

GI symptoms in the form of diarrhoea in patients with COVID-19 in the present study were more frequent in patients who never ate PY than in those who consumed variable amounts. A previous systematic review has reported beneficial effects of probiotics on acute diarrhoea, and probiotic use has been associated with shortened duration of acute diarrhoea\(^{69}\). Contrastingly, our results did not show any significant difference, again raising the question of commercial PY containing either a negligible amount of bacteria or an insufficiency in the type that has rendered it non-beneficial. Thus, methods of verifying the exact type and amount of the beneficial bacteria in the yogurt box must be established.

To the best of our knowledge, we introduce for the first time a lifestyle and nutritional gut microbiota modifier health score and have used it for predicting COVID-19 severity. Nevertheless, there are limitations to our study. First is the relatively small patient number and unequal case distribution between disease severity groups. Next, microbiota gene sequencing or stool analysis for microbiome mapping of each individual was not possible due to isolation and/or home quarantine of the patients with infection. Consequently, this may be regarded as a pilot study and we hope to test this tool on wider scale.

In summary, we designed a nutrition and lifestyle questionnaire that covered factors that can potentially modulate our microbiome and show that sustaining the gut microbiome will help reduce the severity of COVID-19. Further, it appears that introduction of bacteria from an external source, specifically PY, may not only be useless but might also have deleterious effects. Future prospective randomised studies are warranted to shed more light on the effects of nutritional habits and lifestyle on COVID-19 disease outcomes and on the role of different types of bacterial species, either from a natural food source or as dietary supplements, on the gut microbiome and on the possible application of the ESSAP score in different infectious and non-infectious diseases.

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**References**


