Index

academic freedom, 32, 37–8, 60–1, 127
Ad Hoc Committee on Fair Use and Academic Freedom, 94
Education Reform Act of 1988, 60
history of, 60–1
international variance of, 60
Statement of Principles on Academic Freedom and Tenure, 60
academics
as brands, 52, 150
as editors, 49, 52, 151
as reviewers, 49, 148
awareness of open access, 126
copyright, relationship to, 18, 20
freedom of. See academic freedom
labour of, 48–9, 55, 63–4, 66, 115
objections to open access, 30–4
payments for publishing. See article processing charge (APC)
remuneration of, 2, 18, 56–8, 62, 133
support for open access, 24–5, 30
Ad Hoc Committee on Fair Use and Academic Freedom, 94
Adema, Janneke, 114, 122
agency theory, 64–5
Alonso, Carlos, 138
alternative metrics (altmetrics), 51
Amazon, 119, 126
American Association of University Professors, 60
Amherst, 39
amplification, 19, 47, 52–3, 151
Amsterdam University Press, 40, 123, 134
Analysis of Variance between groups (ANOVA), 123
Arden Shakespeare. See Bloomsbury Academic
Arendt, Hannah, 26
art history, 97
article processing charge (APC), 9, 32, 38, 41–2, 58–61, 70–3, 76, 80–2, 84, 179–81
academic freedom, relationship to, 60
as transfer of financial risk, 59
for monographs, 130
for Palgrave Macmillan, 59
for Taylor & Francis, 59
for Ubiquity Press, 60
range, 59
waivers, 59
Arts and Humanities Research Council (AHRC), 77
Arts and Humanities User Group, 108
arXiv, 61, 73–6, 83, 146
assembly (programming language), 92
Association of American Universities, 132
first-book subvention, 132
Association of Learned and Professional Society Publishers, 68
Association of Research Libraries, 13, 132
first-book subvention, 132
Athabasca University Press, 133
Australia, 81, 311
Australian National University, 39
Australian Research Council, 81, 102
AVA. See Bloomsbury Academic
Baraniuk, Richard, 133
Barnes and Noble, 119
Bauin, Serge, 81
Beall, Jeffrey, 41–2, 62
Beall’s List, 41
Berg Publishers, 124, See Bloomsbury Academic
Berlin Declaration on Open Access to Knowledge in the Sciences and the Humanities, 21, 80

201
Berne Convention for the Protection of Literary and Artistic Works, 87
Bethesda Statement on Open Access Publishing, 21, 24
Bhaskar, Michael, 19
bibliometrics, 27
biomedical sciences, 100
Bird, Rebecca Bliege, 75
BIS Select Committee Inquiry into Open Access, 5, 32, 68
Bloomsbury Academic, 36, 134
and open licensing, 36
profits of, 36
book processing charge. See article processing charge (APC)
books. See monographs
Bourdieu, Pierre, 45, 161
Outline of a Theory of Practice, 45, 161
BPC. See article processing charge (APC)
Brazil, 81
Brill, 123, 134
Bristol Classical Press. See Bloomsbury Academic
British Academy, 7, 31, 69
British Medical Journal, 44
Budapest Open Access Initiative, 21, 24
business models
article processing charge (APC). See article processing charge (APC)
consortial, 61, 75, 134–5
first-book subvention, 132
for open-access monographs, 130–7
freemium, 39, 70, 82, 130, 133–4
institutional subsidy, 132–3
learned societies, 39
print subsidy, 131–2
subscriptions, 3, 14, 18–20, 25, 38, 41, 52, 67, 70
Cambridge University, 32, 132
Cambridge University Press, 37, 112, 134
Canada, 81, 133
Caribbean, 80
Cassell. See Bloomsbury Academic
Centre National de la Recherche Scientifique, 81
charities, 23, 108
Chen, Yiyu, 80
China, 78–80
Chinese Academy of Sciences, 80
Churchill, Winston, 146
citation, 10, 21, 26, 28, 69, 93, 104, 123–4, 141, 149
as derivative, 93
half-lives, 69
classics (discipline), 125
codex, 114, 131, 146
Collins, Ellen, 113, 115
CommentPress, 145
commissioning editors, 36
Committee of the National Natural Science Foundation of China, 80
Committee on Publication Ethics (COPE), 65, 150
commodity form, 4, 43, 62–3, 66–7, 84, 111, 119
communication studies, 94
comparative literature, 24
compiler (computer science), 92
computer science, 92, 94
contingent faculty, 56–7, 60
Continuum. See Bloomsbury Academic
Controlled Lots of Copies Keeps Stuff Safe (CLOCKSS), 126, 179
copyediting, 10, 65, 120
CopyLeft, 17, 34, 109
copyright, 2, 4, 12, 16–21, 33, 78, 86–97, 100, 103–6, 111, 119, 179
Copyright Act of 1911, 86
copyright assignment, 88
Copyright, Designs and Patents Act of 1988, 87
critique of natural rights stance, 103
economic rights, 87–8
history of, 18
image licensing, 94
moral rights, 87
non-exclusive license to publish, 89
Statute of Anne, 86
term, 87
transfer, 87
Copyright Clearance Center, 95
Copyright Licensing Agency (CLA), 95
Cornell University, 74
COUNTER, 65
Creative Commons licenses, 4, 12, 20, 36, 89–111, 179
attribution (CC BY), 32–3, 89–90, 102, 105–6, 108, 110, 130
attribution no-derivatives (CC BY-ND), 90, 95
attribution non-commercial (CC BY-NC), 90, 108, 110
attribution non-commercial no-derivatives (CC BY-NC-ND), 90
Downloaded from https://www.cambridge.org/core. IP address: 54.202.195.117, on 13 Apr 2017 at 02:53:17, subject to the Cambridge Core terms of use, available at https://www.cambridge.org/core/terms. https://doi.org/10.1017/CBO9781316161012
Index

 attribution non-commercial share-alike (CC BY-NC-SA), 90
 attribution share-alike (CC BY-SA), 34, 89–90, 109
 BY clause, 90
 CCo, 90
 definitions of, 90–2
 incompatible modifiers, 90
 irrevocability, 92
 legal rulings on non-commercial clause, 108
 marketisation of higher education, 109–10
 plagiarism, 105
 Crewe, Jennifer, 117
 critical editions, 103
 critical theory, 57
 critical thinking, 99
 critique, 23, 137–8
 Crossick, Geoffrey, 129
 cultural capital. See prestige
 Culture Machine, 24
 Darley, Rebecca, 69
 data, 26, 33, 72, 77, 83, 94, 101, 119, 123, 129
 database, 31, 90, 96
 Davidson, Cathy N., 138
 de Gruyter, 130, 134
 Denmark, 82
 Department of Health and Human Sciences, 83
 di Lauro, Roberto, 82
 digital humanities, 96, 101, 109
 Digital Humanities Quarterly, 24
 digital preservation, 11, 41, 65, 72, 126, 179
 CLOCKSS. See Controlled Lots of Copies Keeps Stuff Safe (CLOCKSS)
 LOCKSS. See Lots of Copies Keeps Stuff Safe (LOCKSS)
 Portico. See Portico
 Digital Public Library of America (DPLA), 83
 digital rights management (DRM), 65
 Dingwall, Robert, 107
 Directory of Open Access Journals, 9, 80–1
 disciplinarity, 54
 discoverability, 47, 51–2, 68, 101, 118–19, 123–4
 list as form of, 118–19
 distribution, 11, 65, 69, 85, 88, 109, 111, 120, 132
 Docherty, Thomas, 54
 double dipping, 70–1, 77, 180
 mitigation by Taylor & Francis, 71
 Duguid, Paul, 94
 Duke University Press, 115, 134
 early career researchers, 126, 140
 economics, 4, 9, 11, 14, 16, 43–85, 130–7, 151
 article processing charge (APC). See article processing charge (APC)
 differentiated pricing for open access, 72
 free rider problem, 75
 monographs, 130–7
 peer review, 139–40
 zero price point. See zero price point
 Edinburgh University Press, 134
 edited collections, 41, 51, 113, 129, 148, 150
 editing, as curation, 151
 eLife, 101
 Elsevier. See Reed Elsevier
 embargo, 10, 68–9, 77, 81–3, 133, 180
 Engelen, Jos, 82
 epigraphs, 97
 ePub (file format), 130, 132–3
 European Research Council, 77
 European Union (EU), 102
 fact checking, 72
 fair dealing. See fair use
 fair use, 2, 86, 88, 93, 95–6, 100–1, 104, 110, 179
 Fairchild Books. See Bloomsbury Academic
 Ferwerda, Eelco, 122
 filter failure, 143
 filtering, 19, 70, 116, 143, 150–1
 Finch, Janet, 40. See also Finch Report
 Finch Report, 5, 59
 first-book subvention, 132
 Fisher, Richard, 112
 Fitzpatrick, Kathleen, 99, 125, 133, 145–6
 Planned Obsolescence, 145
 footnote, 28
 Foucault, Michel, 100, 138
 Foucault Studies, 24
 framing, 19, 47, 151
 France, 81–2
 free culture, 12, 16, 21, 93, 103
 free culture movement, 12, 16, 21
 free software, 63, 92
 Friedman, Milton, 36
 funders. See research funders
 galleries, libraries, archives and museums (GLAMS), 64
 Gamut: Online Journal of the Music Theory Society of the Mid-Atlantic, 24
 gatekeeper, 118, 139, 143
 Gatti, Rupert, 131–2
Ginsberg, Benjamin, 60
Ginsparg, Paul, 73, 146
Global Research Council (GRC), 77–8
global warming, 149
Gluejar, 135
GNU Free Documentation License (GFDL), 89
GNU Public License (GPL), 17, 20
Godwin's law, 107
gold open access, 8–12, 20, 32, 43, 49, 59–61, 66, 69–73, 75–7, 80–4, 124, 127–8, 130, 135–6, 179–81
and prestige, 50
compared to green open access, 10
Google, 68, 100, 123–4
Google Books, 123–4
Grafton, Anthony, 28
green open access, 10, 12, 30, 32, 43, 60, 67–9, 71, 73, 76–8, 80–4, 88, 125, 136, 180–1
as digital preservation, 11
compared to gold open access, 10
embargo. See embargo
evidence for subscription cancellations, 67–9
publisher policies, 10
typical researcher workflow for, 10
Gross, Michael A., 64
Guadamuz, Andrés, 109
Guédon, Jean-Claude, 24
Hall, Gary, 131–2, 140
Hall, Richard, 63
Harnad, Stevan, 2, 9, 79
Harvard University, 13, 20
Harvard University Press, 44
hate crime, 148
Health and Medical Research Council, 81
Hewitt, Ted, 81
high-energy physics, 23, 68–9, 73
Higher Education Funding Council for England (HEFCE), 71, 113, 122–30
monograph investigation, 129–30
QR funding, 129
Research Excellence Framework (REF).
See Research Excellence Framework (REF)
Hill, John, 144
history (discipline), 32, 125, 129
Hitler, Adolf, 107
Hoehler, Keith, 57
Hogler, Raymond, 64
Holland. See Netherlands, the
Holmwood, John, 5, 32–3, 109–10
Horizon 2020, 102
humanities
awareness of open access, 126
formal involvement in the history of open access, 23–4
research, purpose of. See under research
scholar-led open-access projects, 24–5, 67
slow humanities, 149
value of, 28, 52, 54, 64, 108, 148–9
hybrid open access, 8, 39, 59, 73, 77–8, 180
HyperText Markup Language (HTML), 133
immigration, 148
independent researchers, 13, 29
India, 22, 80
Informa Group, 35, 128. See also Routledge;
Taylor & Francis
profits of, 35–6, 128
Institute for the Future of the Book, 145
institutional repository, 9–10, 41, 77–8, 81–3, 88, 180–1
InTech, 130
intellectual property. See copyright
International Association of Scientific, Technical & Medical Publishers, 109
international criminal law, 125
internet, 1–2, 25, 29, 55, 107, 115, 119, 135, 144, 149, 151, 180
intersubjectivity, 26
IOS Press B.V., 123
Italy, 82
Japan, 82
Jensen, Michael, 44
Jisc, 39
Jisc Collections, 89–90, 122
Johns, Adrian, 135
Jöttkandt, Sigi, 148
Kennison, Rebecca, 74
Kimmons, Royce, 3
KITLV Press, 123
Joy, Eileen, 24
Jubb, Michael, 148
Kelty, Chris, 98
Jisc Collections, 89–90, 122
Johns, Adrian, 135
Jöttkandt, Sigi, 148
Kennison, Rebecca, 74
Kimmons, Royce, 3
KITLV Press, 123
Index

Knowledge Unlatched, 36, 61, 134–5
participating presses, 135
Koninklijke Brill NV. See Brill
Koninklijke van Gorcum, 123

Lawson, Stuart, 59
learned societies, 38–40, 78, 125
business models. See business models
objections to open access, 38–40
subscriptions, dependence upon, 39
support for open access, 38–9
Lessig, Lawrence, 20, 103
libel, 88, 103, 107
liberal humanism, 53, 55, 64
librarians
objections to open access, 41–2
library, 9, 14, 34, 38, 41–2, 59, 61, 68, 71, 75, 78, 80, 96, 114, 128, 132, 135
future of, 41
Lincoln University, New Zealand, 81
Linguistic Society of America (LSA), 38
literary criticism, 26
literature (discipline), 125
live art, 26
Liverpool University Press, 40, 124, 134
Look, Hugh, 134–5
Lots of Copies Keeps Stuff Safe (LOCKSS), 11, 126, 179
Lu, Yongxiang, 80

Mac OS, 17
machine code, 92
Manchester University Press, 130, 135
mandates, 5, 7, 21, 23, 34, 60, 62, 73, 78–9, 81, 83–4, 102, 112, 124, 132, 136
Mandler, Peter, 32, 105
Marian, Michel, 81
marketing, 52, 65, 72, 117, 125
Marxism, 7, 41, 62–7
Masciandaro, Nicola, 24
Massachusetts Institute of Technology, 38
Massive Open Online Courses (MOOCs), 33
McCarthyism, 42
McCann, Jerome, 25, 151
McGettigan, Andrew, 34
McPherson, Robert, 112
MediaCommons, 133
metadata, 9, 78, 133, 141, 179–80
Methuen Drama. See Bloomsbury Academic
Mexico, 81
micro-monopoly. See mini-monopoly
Microsoft Windows, 17
mini-monopoly, 14, 50, 61, 74
Ministry of Higher Education and Research, 81
Modern Language Association (MLA), 112
Möller, Erik, 108
Monash University Publishing, 131
monographs, 5, 15, 21, 40, 42, 44, 48, 50, 52, 58–9, 82, 111–38
aggregation channels, 119
barriers to entry, 120
book processing charge (BPC), 130
consortial model for, 134–5
definition of, 113
differences to journals, 120–1
economic models for open access, 130–7
freemium, 133–4
green open access for, 125
institutional subsidy of, 132–3
investigations into open-access
monographs, 121–30
Knowlde Unlatched. See Knowledge Unlatched
length, 115
open licensing of, 119
pricing, 116–17
print as complement to digital, 114
print run of, 15
print subsidy, 131–2
production costs of open-access version, 123
production of, 117–19
royalties, 58, 133
trade crossover, 5, 116–17
uses of, 114–17
Multics, 17

National Academies, 39
National Endowment for the Humanities
(NEH), 83, 133
National Institute of Health, 82–3
National Institute of Informatics, 82
National Knowledge Commission, 80
National Repository of Open Access to Quality Scientific, Technological and Innovative Information Resources of Social and Cultural Interes, 81
Nature publishing group, 35
Nazism, 107
neoliberalism, 7, 23, 28, 34, 37, 45, 50, 54–6,
62, 109–10, 117
Netherlands, the, 82, 122
Netherlands National Organisation for Scientific Research, 82

Downloaded from https://www.cambridge.org/core. IP address: 54.202.195.117, on 13 Apr 2017 at 02:53:17, subject to the Cambridge Core terms of use, available at https://www.cambridge.org/core/terms. https://doi.org/10.1017/CBO9781316161012
Networked Infrastructure for Nineteenth-Century Electronic Scholarship (NINES), 24
New York University Press, 146
New Zealand, 81
Newton, Hazel, 115
Neylon, Cameron, 7
Nietzsche, Friedrich, 106
Nietzsche Source, 105
19: Interdisciplinary Studies in the Long Nineteenth Century, 24
nonrivalrous commodity exchange, 2, 15–16
Norberg, Lisa, 74
Nye, Joseph, 73
OAPEN-NL, 40, 122–4
budget of, 123
OAPEN-UK, 40, 113, 122, 124–8, 137
matched pairs, 124
qualitative results, 125–8
quantitative results, 125
object code, 92
open access
academic objections to, 30–4
academic support for, 24–5, 30
apolitical approach to, 7
BBB definition of, 21, 67, 89, 109
benefits of, 2, 78
citation advantage, 28, 123
commercial publishers’ objections to, 34–8
definition of, 8
differentiated pricing, 72
economics of. See economics
gold. See gold open access
golden gran, 11, 95, 111, 119, 180
green open access. See green open access
history of, 12–22
hybrid open access. See hybrid open access
ternational approach to, 5–6, 84
learned societies’ objections to, 38–40
learned societies’ support for, 38–9
libre, 11–12, 23, 111, 180
mandates. See mandates
objections to, 3, 5, 30–42
open licensing. See open licensing
politics of, 4, 6–7, 23, 33–4, 55, 67, 107–11
prerequisites to, 2
quality, perception of, 125, 137
scientific origins, 4, 22–30
transition to. See transition
university presses’ objections to, 38–40
university presses’ support for, 38–9
Open Access movement, 2, 4, 8, 12, 18, 24, 128
Open Access Publishing in European Networks (OAPEN), 82, 114, 122
Open Book Publishers (OBP), 131–2
economic model of, 132
Open Humanities Press (OHP), 24, 132
Open Journal Systems, 21, 120
Open Library of Humanities, 61, 135
open licensing, 12, 36, 42, 62, 86–111, 119, 128
academic objections to, 32–4
benefits of, 94–101
copyright, relationship to, 86–7
Creative Commons licenses. See Creative Commons licenses
objections to, 21, 32–4, 102–11
Open Monograph Press, 120
open source. See free software
OpenEdition, 71, 82, 133
OpenEdition books, 133
Otago Polytechnic, 81
overlay journal, 146–50
Oxford University, 40, 57
Oxford University Press, 37, 40, 118, 124
Oxford Scholarship Online, 118
Page, Amanda, 39
Palgrave Macmillan, 35, 59, 115, 124, 130, 146
book processing charge (BPC), 59
Pivot (form-length experiment), 115
paper-centrism, 131, 140
Pearson PLC, 33
peer review, 5, 10, 15, 20, 41, 44–5, 47, 49, 52,
65, 72, 74, 115, 118, 125, 127, 131, 137–49,
181
academic labour cost per year, 127
anonymity, 141–3, 145
as economic force, 139–40
double-blind method, 128, 138, 140, 143, 145
experiments in, 142–6
speed of, 148–50
Penn State University, 39
Pergamon Press, 128
Ph.D. candidates. See early career researchers
Philosophical Transactions of the Royal Society, 144
philosophy, 24
Philp, Mark, 100
Pinter, Frances, 36, 134
plagiarism, 28, 32, 86, 88, 104–5, 110
definition of, 104
Index

Small, Helen, 25, 108
Smith, Eric Alden, 75
Snijder, Ronald, 122
Social Sciences and Humanities Research Council (SSHRC), 81
socially necessary labour time, 66
soft power, 73
software, 11–12, 17, 20–1, 63, 86, 92–3, 101, 120
source code, 17, 92–3
South Africa, 80
South America, 5, 81
Spain, 80
Spatial Economic Analysis, 128
Springer, 123, 130
Stallman, Richard M., 17–18, 20, 93, 103
Suber, Peter, 3, 14, 21, 24, 39, 48, 58, 67–8, 96, 137
subject repository, 77. See institutional repository
Supreme Court of the United States, 18
surplus value, 64–6
Sutton, Caroline, 39
Sweeney, David, 71
symbolic capital. See prestige
T&T Clark. See Bloomsbury Academic taxpayers, 31, 82–3
Taylor & Francis, 35, 59, 70, 102, 128. See also Informa Group
article processing charge (APC), 59
double dipping policy, 71
Techne Press, 123
technological fetishism, 94
Temple University Press, 135
Territory, Politics, Governance, 128
text and data mining, 100–1, 109
Text Encoding Initiative (TEI), 106
Thakur, Neil, 82
Thatcher, Sandy, 99, 135
Thompson, John, 36, 117
Thomson Reuters, 80
tipping point, 7, 73
Tosi, Alessandra, 131
transition, 5, 61, 70, 76
tipping point. See tipping point
translation, 98–100
bad translation, 99–100
professional translators, 100
transparency, 54–5, 77, 143
Two Cultures, 26
typesetting, 10, 22, 65, 72, 120
Ubiquity Press, 60
book processing charge (BPC), 60
United Kingdom, 5, 9, 21, 32–4, 39–40, 57, 59–60, 68, 71, 77–9, 83–4,
86, 95, 102, 110, 113, 122, 124, 129, 131, 135, 137
United States of America, 18, 21, 57,
60, 82–3, 87, 95, 103, 133,
135, 143
constitution of, 87, 103
university, 5, 8–9, 19, 22, 30, 33–4,
37–8, 40, 43, 52–4, 56–7, 60, 62, 64, 67, 99, 108, 110–11,
117, 132
as instrument of social change, 53
external perception of, 52–5
isolation of, 53–5
University of Adelaide Press, 131
University of California Irvine, 132
University of California, Los Angeles, 132
University of Lincoln, UK, 9
University of Michigan, 132
University of Michigan Press, 39, 135
University of Montreal, 24
University of Pittsburgh, 133
University of Texas at Austin, 39
University of Waikato, 81
University of Wales Press, 40, 124
university presses, 35, 38–40
as commercial publishers, 38
objections to open access, 38–40
as instrument of social change, 53
external perception of, 52–5
isolation of, 53–5
University Publishing Online, 118
Unix, 17
Unsworth, John, 138
Vardi, Moshe Y., 133
Veletsianos, George, 3
version of record, 10, 68, 125, 150
Vincent, Nigel, 7
Wageningen Academic Publishers, 123
Walt Disney Company, 87
Wark, McKenzie, 145
Gamer Theory, 145
Waters, Donald J., 126
Waterstones, 116, 119
Web 2.0 expo, 143
Webber, Samuel, 53
Wellcome Trust, 79, 102, 124, 130
Wendling, Amy, 63
Wickham, Chris, 7, 69

Downloaded from https://www.cambridge.org/core. IP address: 54.202.195.117, on 13 Apr 2017 at 02:53:17, subject to the Cambridge Core terms of use, available at https://www.cambridge.org/core/terms. https://doi.org/10.1017/CBO9781316161012
<table>
<thead>
<tr>
<th>Index</th>
<th>209</th>
</tr>
</thead>
<tbody>
<tr>
<td>Wikipedia</td>
<td>29</td>
</tr>
<tr>
<td>Wiley</td>
<td>102</td>
</tr>
<tr>
<td>Willinsky, John</td>
<td>12</td>
</tr>
<tr>
<td>Winn, Joss</td>
<td>63</td>
</tr>
<tr>
<td>Wise, Alicia</td>
<td>34</td>
</tr>
<tr>
<td>Wissoker, Ken</td>
<td>115</td>
</tr>
<tr>
<td>Withey, Lynne</td>
<td>138</td>
</tr>
<tr>
<td>Wordsworth, Ann</td>
<td>57</td>
</tr>
<tr>
<td>XML</td>
<td>65</td>
</tr>
<tr>
<td>zero price point</td>
<td>65</td>
</tr>
<tr>
<td></td>
<td>116</td>
</tr>
<tr>
<td></td>
<td>119</td>
</tr>
</tbody>
</table>