WHEN THE SUM OF ALIQUOTS DIVIDES THE TOTIENT

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Abstract Let $\varphi(\cdot)$ be the Euler function and let $\sigma(\cdot)$ be the sum-of-divisors function. In this note, we bound the number of positive integers $n \leq x$ with the property that $s(n) = \sigma(n) - n$ divides $\varphi(n)$.

Keywords: sum of divisors; Euler function; divisibility

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1. Introduction

Let $\varphi(\cdot)$ be the *Euler function*:

$$\varphi(n) = \#(\mathbb{Z}/n\mathbb{Z})^* = n \prod_{p|n} (1 - 1/p), \quad n \ge 1.$$

Let $\sigma(\cdot)$ be the sum-of-divisors function,

$$\sigma(n) = \sum_{d \mid n} d, \quad n \geqslant 1,$$

and let $s(n) = \sigma(n) - n$ be the sum of the *aliquot* divisors of $n \ge 1$. The function s(n) and related arithmetic functions (such as $f(n) = n - \varphi(n)$) have been previously studied in the literature (see, for example, [1, 2, 5-8]).

In this note, we study the set of positive integers n with the property that $s(n)|\varphi(n)$. Note that if n is prime, then s(n) = 1, and therefore $s(n)|\varphi(n)$; hence, we restrict our attention to *composite* integers n with this property. Let

$$\mathcal{B} = \{n \text{ composite} : s(n) | \varphi(n) \}.$$

Our main result is an unconditional upper bound for the counting function $\#\mathcal{B}(x)$ of the set \mathcal{B} , where $\mathcal{B}(x) = \mathcal{B} \cap [1, x]$.

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Theorem 1.1. The following estimate holds as $x \to \infty$:

 $\#\mathcal{B}(x) \leqslant x \exp(-(\frac{1}{2}(\log 8)^{1/3} + o(1))(\log x)^{1/3}(\log \log x)^{2/3}).$

We cannot show that \mathcal{B} is an infinite set. However, if m is a positive integer such that p = 5m + 1 and q = 20m + 13 are both primes, then for n = pq we have s(n) = 25m + 15 and $\varphi(n) = 4m(25m + 15)$, and therefore $n \in \mathcal{B}$. We recall that Dickson's prime k-tuplets conjecture [4] asserts that there are infinitely many examples of such pairs of primes p and q. Thus, it is reasonable to expect that $\#\mathcal{B} = \infty$.

1.1. Notation

Throughout the paper, the letters p and q (with or without subscripts) are used to denote prime numbers, and the letter n is used to denote a positive integer. As usual, we denote by: P(n) the largest prime factor of n; $\omega(n)$ the number of distinct prime factors of n; $\Omega(n)$ the number of prime factors of n, counted with multiplicity; $\tau(n)$ the number of positive integer divisors of n; $\operatorname{ord}_q(n)$ the order at which the prime q divides n ($\operatorname{ord}_q(n) = \alpha$ if and only if $q^{\alpha} || n$).

For any set \mathcal{A} of positive integers and a positive real number x, we put $\mathcal{A}(x) = \mathcal{A} \cap [1, x]$. We also put $\log x = \max\{\ln x, 1\}$, where $\ln(\cdot)$ is the natural logarithm, and we use $\log_k(\cdot)$ to denote the *k*th iterate of $\log(\cdot)$. Finally, we use the Vinogradov symbols \gg and \ll , as well as the Landau symbols O and o, with their usual meanings.

2. The proof of Theorem 1.1

Let x be a large real number, let y = y(x) be a function of x to be determined later, and put

$$u = u(x) = \frac{\log x}{\log y}.$$
(2.1)

In what follows, we assume that y and u tend to infinity with x.

Let us consider the following sets:

$$\begin{aligned} \mathcal{B}_{1}(x) &= \{n \in \mathcal{B}(x) : P(n) \leqslant y\}, \\ \mathcal{B}_{2}(x) &= \{n \in \mathcal{B}(x) \setminus \mathcal{B}_{1}(x) : P(n)^{2} | n\}, \\ \mathcal{B}_{3}(x) &= \left\{n \in \mathcal{B}(x) \setminus \left(\bigcup_{j=1}^{2} \mathcal{B}_{j}(x)\right) : \omega(n) > u\right\}, \\ \mathcal{B}_{4}(x) &= \left\{n \in \mathcal{B}(x) \setminus \left(\bigcup_{j=1}^{3} \mathcal{B}_{j}(x)\right) : \max_{q \mid n} \{\operatorname{ord}_{q}(n)\} > u(\log u) / \log 2\right\}, \\ \mathcal{B}_{5}(x) &= \left\{n \in \mathcal{B}(x) \setminus \left(\bigcup_{j=1}^{4} \mathcal{B}_{j}(x)\right) : \omega(p-1) > u \text{ for some prime } p | n\right\}, \end{aligned}$$

$$\mathcal{B}_{6}(x) = \left\{ n \in \mathcal{B}(x) \setminus \left(\bigcup_{j=1}^{5} \mathcal{B}_{j}(x) \right) : \max_{p|n, q|p-1} \{ \operatorname{ord}_{q}(p-1) \} > u(\log u) / \log 2 \right\},\$$
$$\mathcal{B}_{7}(x) = \mathcal{B}(x) \setminus \left(\bigcup_{j=1}^{6} \mathcal{B}_{j}(x) \right).$$

Since $\mathcal{B}(x)$ is the union of the sets $\mathcal{B}_j(x)$, j = 1, ..., 7, it suffices to find an appropriate bound on the cardinality of each set $\mathcal{B}_j(x)$.

We begin with the following well-known estimate of Canfield *et al.* [3] for the number of *y*-smooth numbers $n \leq x$ (see also [9]):

$$\Psi(x,y) = \#\{n \leqslant x : P(n) \leqslant y\} = xu^{-u+o(u)}, \quad u \to \infty,$$

which holds uniformly in the range

$$(\log x)^{1+\varepsilon} \leqslant y \leqslant x^{1/3} \tag{2.2}$$

for every fixed $\varepsilon > 0$. From now on, we assume that (2.2) holds, and thus we have the bound

$$#\mathcal{B}_1(x) \leqslant x \exp(-(1+o(1))u \log u).$$
(2.3)

Next, let $n \in \mathcal{B}_2(x)$, and write $n = p^2 m$, where p = P(n) > y and $P(m) \leq p$. For each prime p that arises in this way, the number of such integers $n \in \mathcal{B}_2(x)$ does not exceed $|x/p^2|$; therefore,

$$#\mathcal{B}_2(x) \leqslant \sum_{p>y} \frac{x}{p^2} \leqslant x \sum_{k>y} \frac{1}{k^2} \ll \frac{x}{y}.$$
(2.4)

Put $K = \lfloor u \rfloor$. For every $n \in \mathcal{B}_3(x)$, there exist primes $p_1 < \cdots < p_K$ such that $p_1 \cdots p_K | n$. For every sequence of primes $p_1 < \cdots < p_K$ arising in this way, the number of such integers $n \in \mathcal{B}_3(x)$ does not exceed $\lfloor x/(p_1 \cdots p_K) \rfloor$; consequently,

$$#\mathcal{B}_3(x) \leqslant \sum_{\substack{p_1 \cdots p_K \leqslant x, \\ p_1 < \cdots < p_K}} \frac{x}{p_1 \cdots p_K} \leqslant \frac{x}{K!} \left(\sum_{p \leqslant x} \frac{1}{p}\right)^K.$$

Using Stirling's formula together with Mertens's estimate

$$\sum_{p \leqslant x} \frac{1}{p} = \log_2 x + O(1), \tag{2.5}$$

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we see that the bound

$$#\mathcal{B}_3(x) \leqslant x \left(\frac{e \log_2 x + O(1)}{\lfloor u \rfloor}\right)^{\lfloor u \rfloor} = x \exp(-(1 + o(1))u \log u)$$
(2.6)

holds, provided that

$$\log_3 x = o(\log u). \tag{2.7}$$

From now on, we assume that (2.7) is satisfied.

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Let $L = \lfloor u(\log u) / \log 2 \rfloor$. For every $n \in \mathcal{B}_4(x)$, there exists a prime q such that $q^L | n$. Since the number of $n \in \mathcal{B}_4(x)$ divisible by q^L does not exceed $\lfloor x/q^L \rfloor$, we have

$$\#\mathcal{B}_4(x) \leqslant \sum_{q \leqslant x} \frac{x}{q^L} \leqslant x \sum_{k \ge 2} \frac{1}{k^L}$$

Since

$$\sum_{k \ge 2} \frac{1}{k^L} \ll \int_2^\infty \frac{\mathrm{d}t}{t^L} \ll \frac{1}{2^L} = \exp(-(1+o(1))u\log u), \tag{2.8}$$

we derive the bound

$$#\mathcal{B}_4(x) \leqslant x \exp(-(1+o(1))u \log u).$$
(2.9)

Let $K = \lfloor u \rfloor$ as before. For every $n \in \mathcal{B}_5(x)$, there exists a sequence of primes $p_1 < \cdots < p_K$ and a prime p|n such that $p_1 \cdots p_K|p-1$. Write $p-1 = p_1 \cdots p_K \ell$, where $\ell \ge 1$; then the number of $n \in \mathcal{B}_5(x)$ divisible by p is at most

$$\left\lfloor \frac{x}{p} \right\rfloor \leqslant \frac{x}{p} < \frac{x}{p-1} = \frac{x}{p_1 \cdots p_K \ell}.$$

Summing over the possible choices of the primes $p_1 < \cdots < p_K$ and the integer ℓ , and applying Stirling's formula together with (2.5), we obtain

$$#\mathcal{B}_{5}(x) \leq \sum_{\substack{p_{1} \cdots p_{K} \ell \leq x, \\ p_{1} < \cdots < p_{K}}} \frac{x}{p_{1} \cdots p_{K} \ell}$$

$$\leq x \left(\sum_{\substack{p_{1} \cdots p_{K} \leq x, \\ p_{1} < \cdots < p_{K}}} \frac{1}{p_{1} \cdots p_{K}} \right) \left(\sum_{\ell \leq x} \frac{1}{\ell} \right)$$

$$\ll \frac{x \log x}{K!} \left(\sum_{p \leq x} \frac{1}{p} \right)^{K}$$

$$\leq x \log x \left(\frac{e \log_{2} x + O(1)}{\lfloor u \rfloor} \right)^{\lfloor u \rfloor}$$

$$= x \exp(-(1 + o(1))u \log u), \qquad (2.10)$$

where we have used (2.7) in the last step.

Let $L = \lfloor u(\log u) / \log 2 \rfloor$ as before. For every $n \in \mathcal{B}_6(x)$, there exists a prime p|n and a prime q such that $q^L|p-1$. Write $p-1 = q^L \ell$, where $\ell \ge 1$; then the number of $n \in \mathcal{B}_6(x)$ divisible by p is at most

$$\left\lfloor \frac{x}{p} \right\rfloor \leqslant \frac{x}{p} < \frac{x}{p-1} = \frac{x}{q^L \ell}.$$

Summing over the possible choices of the prime q and the integer ℓ , we derive that

$$#\mathcal{B}_6(x) \leqslant \sum_{q^L \ell \leqslant x} \frac{x}{q^L \ell} \leqslant x \left(\sum_{q^L \leqslant x} \frac{1}{q^L}\right) \left(\sum_{\ell \leqslant x} \frac{1}{\ell}\right) \ll x \log x \sum_{k \geqslant 2} \frac{1}{k^L}$$

Using (2.8) together with (2.7), we derive the bound

$$\#\mathcal{B}_6(x) \le x \exp(-(1+o(1))u \log u).$$
(2.11)

Finally, we come to the set $\mathcal{B}_7(x)$. Every integer $n \in \mathcal{B}_7(x)$ can be uniquely expressed in the form n = pm, where p = P(n), y , and <math>P(m) < y. Thus,

$$#\mathcal{B}_7(x) = \sum_{m < x/y} #\mathcal{P}_m, \qquad (2.12)$$

where

$$\mathcal{P}_m = \{ p : pm \in \mathcal{B}_7(x) \text{ and } p > P(m) \}, \quad m < x/y.$$

Let m < x/y be fixed in what follows, and suppose that $p \in \mathcal{P}_m$. We have

$$s(pm) = \sigma(pm) - pm = p(\sigma(m) - m) + \sigma(m).$$
(2.13)

Since pm is not prime (as it is an element of \mathcal{B}), $m \neq 1$, and hence $\sigma(m) - m > 0$; therefore, the number s(pm) determines p uniquely. As s(pm) is a divisor of $\varphi(pm) = (p-1)\varphi(m)$, we can write $s(pm) = d_1d_2$ for some divisors $d_1|p-1$ and $d_2|\varphi(m)$. Reducing the identity (2.13) modulo d_1 , it follows that $2\sigma(m) - m \equiv 0 \pmod{d_1}$ and, consequently,

$$s(pm)|(2\sigma(m)-m)\varphi(m).$$

In particular, for each $p \in \mathcal{P}_m$, all of the prime factors of the number s(pm) lie in the set

$$\mathcal{Q}_m = \{q: q | (2\sigma(m) - m)\varphi(m)\}.$$

Using standard estimates, we have

$$\#\mathcal{Q}_m = \omega((2\sigma(m) - m)\varphi(m)) \leq \lfloor \log x \rfloor$$

if x is sufficiently large (in fact, $\#Q_m \ll (\log x)/\log_2 x$). On the other hand, for each $p \in \mathcal{P}_m$,

$$\begin{split} \omega(s(pm)) &\leqslant \omega((p-1)\varphi(m)) \leqslant \omega(p-1) + \omega(m) + \sum_{q|m} \omega(q-1) \\ &\leqslant 2K + \sum_{q|m} L \leqslant 2K + KL, \end{split}$$

where $K = \lfloor u \rfloor$ and $L = \lfloor u(\log u) / \log 2 \rfloor$ as before. Let $\gamma(k) = \prod_{q|k} q$ denote the squarefree kernel of the integer k. Then the preceding argument shows that, as p varies over the set \mathcal{P}_m , the number $\gamma(s(pm))$ takes at most

$$\binom{\lfloor \log x \rfloor}{2K + KL} \leqslant \left(\frac{\log x}{2K + KL}\right)^{2K + KL}$$

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possible values. Since the order at which any prime q divides s(pm) is bounded by

$$\begin{aligned} \operatorname{ord}_q(s(pm)) &\leqslant \operatorname{ord}_q((p-1)\varphi(m)) \\ &\leqslant \operatorname{ord}_q(p-1) + \operatorname{ord}_q(m) + \sum_{q'\mid m} \operatorname{ord}_q(q'-1) \\ &\leqslant 2L + \sum_{q'\mid m} L \leqslant 2L + KL, \end{aligned}$$

we see that the total number of possibilities for the number s(pm), as p varies over the set \mathcal{P}_m , does not exceed

$$\left(\frac{\log x}{2K+KL}\right)^{2K+KL} (2L+KL)^{2K+KL}.$$

Since the number s(pm) determines p uniquely, we therefore obtain the bound

$$\begin{aligned} \#\mathcal{P}_m &\leqslant \left(\frac{\log x}{2K + KL}\right)^{2K + KL} (2L + KL)^{2K + KL} \\ &= \exp((2K + KL)(\log_2 x - \log(2K + KL) + \log(2L + KL))) \\ &= \exp\left((1 + o(1))\frac{u^2 \log u}{\log 2} \log_2 x\right), \end{aligned}$$

where we have substituted the predefined values of K and L and used the fact that

$$\log(2L + KL) - \log(2K + KL) = \log\left(\frac{2L + KL}{2K + KL}\right) = \log(1 + o(1)) = o(1)$$

as $x \to \infty$. Using the previous estimate in (2.12), we deduce that

$$#\mathcal{B}_{7}(x) = \frac{x}{y} \exp\left((1+o(1))\frac{u^{2}\log u}{\log 2}\log_{2}x\right).$$
(2.14)

Combining the estimates (2.3), (2.4), (2.6), (2.9)-(2.11) and (2.14), we have

$$\#\mathcal{B}(x) \leqslant x \exp(-(1+o(1))u \log u) + \frac{x}{y} \exp\left((1+o(1))\frac{u^2 \log u}{\log 2} \log_2 x\right).$$

To optimize this estimate, we balance the two expressions by choosing the value of u for which

$$\frac{u^2 \log u}{\log 2} \log_2 x - \log y = -u \log u.$$

In view of (2.1), this is equivalent to

$$\frac{u^3 \log u}{\log 2} \log_2 x + u^2 \log u = \log x.$$

For such u, we have

$$u = (1 + o(1)) \left((\log 8) \frac{\log x}{\log_2 x} \right)^{1/3}$$

and

$$y = \exp(((\log 8)^{-1/3} + o(1))(\log x)^{2/3}(\log_2 x)^{1/3});$$

thus, y and u tend to infinity with x, and the required conditions (2.2) and (2.7) are clearly satisfied. With the above choice of u, we have

$$#\mathcal{B}(x) \leqslant x \exp(-(1+o(1))u \log u),$$

and the theorem follows.

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