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# Do Creoles conform to typological patterns? Habitual marking in Palenquero

HIRAM L. SMITH

Bucknell University hiram.smith@bucknell.edu

ABSTRACT

It is widely debated whether creole languages form a typological class; however, crosslinguistic generalizations from functional typology are seldom tested in creoles. Typological studies report a strong crosslinguistic tendency for asymmetries in habitual grammatical expressions across the present and past temporal reference domains (Bybee, 1994:245–8; Bybee, Perkins, & Pagliuca, 1994:151–60). This study analyzes two linguistic variants, preverbal *asé* and zero, which compete for habitual marking in Palenquero Creole (Colombia). I ask here: To what degree does the linguistic patterning of these forms conform to the crosslinguistic tendency? Results show that, despite Palenquero having widely cited creole features (e.g., preverbal markers and bare verb stems), the asymmetrical expression, distribution, and relative ordering of forms in the variable contexts closely align with crosslinguistic predictions for habituals, thus giving convincing evidence of typological markedness and not a Creole Prototype.

The Creole Debate is, essentially, the question of whether or not creole languages constitute a typological class based on structural properties. One area that has figured prominently in this debate is their tense-mood-aspect systems, and, in particular, preverbal markers, which, according to the traditional view, have specific syntactic and functional roles that distinguish creole from noncreole varieties (Bickerton, 1975, 1981, 1984; see Holm, 1988:148–51 for discussion). This view holds that zero-coded, or stem forms, of creole verbs have "several different and quite distinct functions" than overtly-coded forms (Bickerton, 1975:26). Variationist studies, on the other hand, have shown that "a number of grammatical markers typically alternate with zero in a number of the subsystems of [creole grammars]" (Poplack & Tagliamonte, 1999:193; cf., Meyerhoff, Walker, & Daeszynska, 2009; Patrick, 1999: Chapters 6 and 7; Rickford, 1987:390; Sankoff, 1990; Walker, 2010; Weldon, 1996; Winford, 1992).

Although the variable interplay between overt and zero marking has been the focus of several studies, it is still noteworthy that "the debate about the typological status of creole languages has severely suffered from a lack of systematic empirical study" (Bakker, Daval-Markussen, Parkvall, & Plag, 2011:6). What happens, though, when we combine typological insights with the

empirical rigor of the variationist method and then apply our findings to the Creole Debate? For example, typological studies report that there is a strong crosslinguistic tendency for habituals, whether they are overtly or zero coded, to develop through grammaticalization and in accord with specific markedness constraints (see the next section for a definition of markedness) (Bybee, 1985:52, 53; Bybee, 1994:245–8; Bybee et al., 1994:151–60). Do these broad findings apply to habitual marking in creoles? If so, how can they be tested using language specific data?

The current study brings quantitative methods to bear in order to test the applicability of crosslinguistic generalizations found in functional-typological literature. Specifically, I investigate whether Palenquero Creole, which has an emerging complex of preverbal forms and rampant zero-coded (bare) verb stems, conforms to presumed universals of typological markedness. Under the microscope are two linguistic variants—*asé* and zero—which are competing for dominance as habitual markers. In example (1), we observe that preverbal *asé* and a zero-coded verb stem may covary in the same (present) habitual context.

Ma jende asé komblá kottía y ma jende Ø asé un poko kumina.
 PL people HAB buy ribs and PL people make a little food
 'The people buy ribs and the people make a little food.' (Male 54, Recording 6, 5:32)

This situation is "habitual" because the interviewee was describing a particular annual festival wherein the locals customarily buy and prepare certain types of food as part of their tradition for that event. This paper addresses the following research questions:

- How is habitual meaning asymmetrically expressed across present and past tense by the preverbal morpheme *asé*, or by a zero-coded (bare) verb stem?
- To what degree does the linguistic patterning of these forms conform to wellattested universals of typological markedness? How is habitual marking situated within the overall architecture of present and past temporal reference?

To answer the first question, quantitative analyses were conducted on more than two thousand tokens (total n = 2,543) of past and present temporal reference forms in order to implement a methodology to test previously established diachronic research for habituals (Bybee, 1994; Bybee et al., 1994:151–60). I considered two measures: to what extent does a given form actually express a given function, and to what extent is each function associated with any of the forms expressing it (Poplack & Tagliamonte, 1996). The first measure would be the probability of speakers using *asé* or a zero-coded form in a habitual (relative to another) aspectual context, that is, the propensity of *asé* or zero to express habitual (as opposed to another) function. The second measure would be the absolute probability of speakers using *asé*, that is, the proportion of tokens of habitual meaning that appear with *asé* (as opposed to zero). As stressed by Poplack (2011:213), these measures, or *formfunction asymmetry*, need not be coterminous. To answer the second set of questions, the distributions of *asé* and zero are explained in light of strong crosslinguistic tendencies observed in the development of habituals, that is, asymmetries found in the expression of habitual grammatical expressions, or grams, across the present and past temporal reference domains. It is claimed that these asymmetries are manifestations of typological universals (Bybee et al., 1994:151; Croft, 2003:87–8). By using the comparison of patterns in the past and present tense as a proxy for these diachronic tendencies, I test hypotheses regarding the trajectory of habitual development. Crucially, the way form and function are distributed and encoded across the temporal domains will also speak to Palenquero's typological status.

The results show that both *asé* and zero closely align with crosslinguistic predictions for habituals and give convincing evidence of typological markedness. Importantly, it was found that, despite Palenquero having widely cited creole features (e.g., preverbal markers and bare verb stems), this has no bearing whatsoever on the expression, distribution, or relative ordering of forms in the variable contexts as viewed from a typological markedness perspective.

The paper is organized as follows: the subsequent section discusses habitual and zero marking from a typological markedness perspective; next, I provide a sketch of the preverbal morphemes and postverbal suffixes in Palenquero; then I present the community, corpus data, and methods employed for this study; the following section presents the quantitative analysis of *asé* and zero as competing habitual markers in present and past tense; the last section takes a panoramic view in order to compare habitual markedness patterns with those found for progressive and state contexts; and finally, I state my conclusions.

# THEORETICAL CONSIDERATIONS: HABITUALS, ZEROS, AND TYPOLOGICAL MARKEDNESS

### Habitual marking

Broadly, habitual refers to any situation that is characteristic of an entire period of time or that is repeated on several occasions over a period of time (Comrie, 1976:27–8; Dahl, 1985:95). Studies in language typology and grammaticalization describe habitual meaning as being expressed crosslinguistically by an overt morpheme, or by no morpheme at all (Bybee, 1994; Bybee et al., 1994:151; Comrie, 1976:30; Dahl, 1985:100). Habituals often derive from erstwhile lexical verbs meaning 'live' and 'know' (Bybee et al., 1994:154). In some languages, such as English, present habituals do not have a lexical source, but are derived through inference after the development of a progressive morpheme (Bybee, 2010:178-180) (see section on Zero marking, below). In Palenquero, habitual meaning is expressed by overt morphemes, the most frequent of which are *asé* and *sabé*, and which have the lexical sources (Spanish) *hacer* 'do' (Smith, 2018:382) and *saber* 'know,' respectively, and by a zero morpheme (Schwegler & Green, 2007:275, 280, ftn.).

# Typological markedness and habituals

"The essential notion behind typological markedness is the fact of asymmetrical or unequal grammatical properties of otherwise equal linguistic elements" and the apparent causal relationship between these elements and "how function is encoded into grammatical form" (Croft, 2003:87–8). Markedness values are determined by comparing two paradigmatic alternatives, such as present and past tense, singular and plural number, or male and female gender, where one of the values is unmarked relative to the other one. In formal terms, markedness is measured by structural coding and distributional potential; thus, the unmarked value of a conceptual category will have no more morphological coding than the marked value and it will also be more frequent (Croft, 2003:89–90).

For verbal categories, markedness asymmetries correlate with the distinct default meanings of present versus past tense. A default meaning is one that "is felt to be more usual, more normal, less specific than the other" (Comrie, 1976:111; cf., Dahl, 1985:19; Schwenter & Torres Cacoullos, 2008:1-2). For example, the default meaning of present tense is not deictic, nor is it to signal an action ongoing at speech time (i.e., progressive), but, rather, to tell "how things are" (Bybee, 1994:244; Bybee et al., 1994:151–3); therefore, present states and habituals, which perdure over time, are consistent with this meaning, and are unmarked members of this conceptual domain. To signal this relationship, they often have less morphological coding, more zero expression, and are more frequent than present progressives, which are anchored to the moment of speech, and, therefore, are the unexpected, or marked, members of the category. By contrast, the default meaning of past tense is to narrate completed events, or to tell "what happened" (Bybee, 1994:244; Bybee et al., 1994:158); thus, the presence of a habitual morpheme in this domain is marked, or unexpected, and therefore will contain at least as much, if not more, phonetic substance than perfectives or present habituals. These asymmetrical properties of habitual morphemes are not limited to synchronic states but are also related to processes of grammaticalization (Bybee et al., 1994:151).

Crosslinguistic studies suggest a clear relationship between developing habitual grams and typological markedness. As suggested above, one important discovery has been that "habitual is not a homogeneous piece of imperfective, but is highly affected by tense" (Bybee et al., 1994:151). One reason for this asymmetry is that habitual grams begin their development in the past tense first before gradually extending to the present tense. Further, as they "pass through" temporal domains, forms are affected by markedness constraints imposed on them by their environments. Thus, we would expect Palenquero habitual morphemes to show markedness patterns consistent with the domains—present versus past—in which they occur. By contrast, any anomalous behavior must be explained outside of typological markedness theory.

# Zero marking

Zero morphemes express meaning, though they are not overt markers nor do they derive from one (Bybee, 2010:177, 180). In this paper, I distinguish

between *zero-coded* morphemes that express open meanings, that is, meanings that overlap with overt morphemes, and *zero-marked* forms, whereby zero is the opposition of some overly coded form (Bybee, 1994). In contrast with open zeros, obligatory zero marking happens late in the grammaticalization process of an overt morpheme, which, after taking over one of the meanings once encoded by an older, zero-coded form, leaves zero as its opposition (Bybee, 1985:27; Bybee et al., 1994:239; Poplack & Tagliamonte, 1996:90).<sup>1</sup> A clear example of this taking place is the development of the present progressive in English (*be* + verb + -*ing*), which left bare verb stems that previously expressed both progressive and habitual functions to only mark habitual.

# Typological markedness and zeros

Typological studies reveal that zero morphemes, like overt morphemes, are not randomly distributed over semantic space; rather, there exist systematic irregularities, which are manifestations of typological universals (Bybee, 1985:53–5; Bybee, 1994; Croft, 2003:92; Greenberg, 1966). For example, it is predicted that certain meanings may never have zero expression, such as past habituals and progressives, while others can, such as general presents, present habituals, and past perfectives (Bybee et al., 1994:52, 91–2, 131, 144, 151), given the default interpretation of the temporal conceptual domain in which they appear. Crosslinguistic studies variably correlate zero expression with present, but not past, habitual meaning (e.g., Bybee, 1985:53–4; Bybee, 1994; Bybee et al., 1994:151). For Palenquero, it is expected that there would also exist an asymmetrical, but predictable, coding of zero morphemes, as for overt morphemes, according to these typological universals (Smith, 2018:374).

# Zero marking and creoles

During their nascency, creole languages do not develop zero morphemes in the ways mentioned in the preceding section (Sankoff, 1990:295, 310). In these languages, the inflectional systems of the donor languages are often lost during creole genesis/formation, thus creating a lot of zeros in the process (Arends & Bruyn, 1995:116). Thereafter, overt morphemes are said to develop out of communicative necessity (Bryun, 1996:30). Additionally, zero-coded forms may transfer from a creole's super- or substrate languages. The plethora of zeros present during the earliest stages of creolization would be, in fact, zeros with open meanings, since their opposing tense-aspect forms would not have had time to develop (Sankoff, 1990). There is no way of knowing how robust zero morphemes were during Palenquero's earliest stages of development. We do have, however, the current distributions of zero and overt morphemes across several contexts (Smith, 2013, 2014). The next section presents a sketch of the overt preverbal and postverbal forms.

# PREVERBAL MORPHEMES AND SUFFIXES IN PALENQUERO

Palenquero has several preverbal morphemes with tense-aspect functions: *asé* (habitual), *sabé* (habitual), *ta* (progressive), *a* (past perfective/completive; in

present unknown function); and two suffixes: *-ndo* (progressive), and *-ba* (past imperfect).

Traditional descriptions label *asé* as the primary marker of habitual aspect (Bickerton & Escalante, 1970:258; Holm, 1988:149; Moñino, 2000; Schwegler, 2013; Schwegler & Morton, 2003:151). *Asé* is a general habitual morpheme, that is, one that is not limited by tense but occurs in both present and past tense (Bybee et al., 1994:151). Scholars have observed that other forms, such as preverbal *sabé* and zero-coded stems, may also express habitual meaning. For example, Schwegler and Green (2007:279) stated that, while habitual is "often not overtly expressed" in Palenquero, "the most common means of expressing habitual aspect is *asé*" (Schwegler & Green, 2007:280).

Variationist studies on Palenquero *asé* report that, in the present tense, *asé* is not an obligatory or exclusive marker of habitual meaning but is in fierce competition with zero (Smith, 2013). *Sabé*, on the other hand, occurs very infrequently as a habitual morpheme (Smith, 2013:105). Additionally, it has been found that zero, while it does not represent the opposition of any overt morpheme, is such that "there are discourse-pragmatic preferences for zeros such as with copula verbs, statives, and in habitual contexts with non-stative verbs" (Smith, 2013:107). Several other factors have also been found to constrain *asé* variation, such as stativity, aspectual meaning, and polarity (Smith, 2013, 2018).

In the past tense, preverbal *asé* may variably combine with the past suffix *-ba* across the verb phrase to express habitual meaning (Schwegler, 1992:224; Schwegler & Green, 2007:280). As it does with preverbal auxiliaries (e.g., *kele-ba* 'wanted', *pole-ba* 'was able to') and other aspectual morphemes (e.g., *ta-ba* [PROG], *sabe-ba* [HAB]), suffixed *-ba* may attach either to preverbal *asé* (e.g., *I ase-ba kumé* 'I used to eat'), the main verb (e.g., *I asé kume-ba* 'I used to eat') (Davis, 2000:567; Lipski, 2012:109–11). Early on, *-ba* was thought to be an anterior (i.e., remote past) marker (Lewis, 1970:115); however, more current analyses have shown that it is a past imperfective marker (Davis, 1997, 2000; Lipski, 2012; Schwegler & Green, 2007:276; Smith, 2014:106).

According to Schwegler and Green (2007:279), *asé* may be omitted when habitual meaning is already clear from the context, such as when there is an explicit *asé* present. Example (2) shows preverbal *asé* with past temporal reference covarying with a bare verb stem combining with the imperfective suffix *-ba*. This example raises the issue of whether the verb *entraba* is zero coded because the first *asé* has scope over the entire utterance, or if *-ba* alone has habitual functions, as it can in Spanish. In example (3), we see a past tense bare verb stem with no coding at all, preverbal or otherwise (*meté*). In this example, the bare stem is preceded by a coordinating verb (*pasá*) that has an explicit *asé*; this, along with the *-ba* case in (2), raises empirical questions about whether marker absence is restricted to cases of the presence of an explicit *asé*-marked habitual. This paper will be a first look at past tense zero marking on Palenquero habituals. We now turn to our main focus which is typological

markedness as it relates to habitual expression. I begin with a brief outline of the community, the corpus, and data coding methods.

- (2) Sino jende **ase-ba** salí ri a pie, y Ø entra-ba ri instead people HAB-PAST IMP leave from on foot and enter-PAST IMP from а pie. on foot 'Instead, people used to go out (of the village) on foot and (used to) enter on foot.' (Female, 50+, Recording 25, 14:58) (3) I ase-ba pasá po debajo angolito y kala Ø meté.
- I HAB-PAST IMP pass by underneath wasp's nest and face put in Ase-ba piká ane. HAB-IMP sting them

'I used to get underneath the wasps' nest. [And they would] put their faces inside. [The wasps] used to sting them.' (Male, 60, Recording 5, 16:43)

# THE COMMUNITY, CORPUS, AND DATA CODING

# The community

The Afro-Hispanic village of San Basilio de Palenque, Colombia (or, Palenque) is located some 70 km (43 miles) southeast of Cartagena de Indias. It was once a maroon community formed by enslaved people who escaped from bondage between 1655-1674 (Navarrete, 2008:70). Currently, some 4,000 residents speak Palenquero Creole, or Lengua, a language with origins in Spanish and Kikongo. Its status as a creole is without question, though it was only identified as such around 1970 (Bickerton & Escalante, 1970; Granda, 1968). The attraction of the world of scholars to Palenque is in direct relation to the "discovery" that the Palenqueros speak a bona fide creole language (Lipski, 2005:287, ftn.). However, due to widespread prejudice and discrimination from Colombians in the surrounding cities and towns, Palenqueros stopped speaking the language in public settings and at home, resulting in a break in intergenerational transmission among its speakers. In a fortuitous turn of fate, in 2005 San Basilio de Palenque, Colombia was declared a Masterpiece of the Oral and Intangible Heritage of Humanity by UNESCO. This recent global attention has spawned language revitalization programs, activism, and education at the community level, and, as a result, the language has experienced a remarkable resurgence.

# The corpus and participants

The data for this study were taken from *The Bilingual Corpus of Palenquero Creole: San Basilio de Palenque, Cartagena, and Barranquilla, Colombia* (Smith, 2011-2014), a collection of sociolinguistic interviews conducted by the author throughout extended visits to the community from 2011 to 2014.<sup>2</sup> The *sociolinguistic interview* is a set of loosely structured dialogues that are designed

to tap into the vernacular, which is now known to be the most structured of an individual's total speech repertoire (Labov, 1984:29). The consultants were thirty fluent adult speakers of Palenquero, males (n = 15) and females (n = 15), whose ages ranged from twenty-one to eighty-eight. Participants were selected with the aid of community members, who often participated in, and conducted, many of the interviews. At this time, I would like to express my gratitude for the hard work of my guides and participant observers, Rosalio Salgado, Florentino "Niño" Estrada, Ángel Valdez Herazos, Luís Hender Martínez, and Walberto Torres Pérez.

The recordings were transcribed using the transcription software program *Elan* (Lausberg & Sloetjes, 2009). For the current study, a total of ten hours (twenty minutes per participant) of audio recordings were transcribed by the author and reviewed by native and heritage Palenquero speakers who received training in sociolinguistics and transcription methods over the course of many months. A valuable feature of the present corpus is that long, uninterrupted, twenty-minute blocks of free-flowing speech were transcribed in their entirety, not selected paragraphs or small snippets (Poplack & Dion, 2012:280). The corpus, as it was not designed around specific linguistic features or research questions, has widespread usability and can serve as a language archive (cf., Torres Cacoullos & Travis, 2018:39–49). I would like to thank Basilia Pérez Márquez, Juana Paula "Pavi" Tejedor, Estilita María Cassiani Obeso, and Cristina de la Hoz Márquez for their invaluable assistance with the transcription work.

### Data coding: aspectual meaning

In addition to habitual, the data were coded for the following aspectual meanings<sup>3</sup> that were found in the present and past temporal reference domains: nonstative predicates were coded as progressive, frequentative, perfective, perfect, or remote past; stative predicates were also included as a separate category.

*Progressive.* A progressive action takes place simultaneously with the moment of reference (Bybee et al., 1994:317). Examples (4) and (5) illustrate present and past progressive, respectively.

(1) Bo ta kuchá? you PROG listen
'Are you listening?' (Male, 56, Recording 6, 4:16)

(2) Sí. I ta-ba miní ya ri monte.
yes I PROG-PAST IMP come now from mountain
'Yes. I was just now coming down from the mountain.' (Male, 60, Recording 5, 6:32)

*Frequentative*. A frequentative action occurs frequently, but not necessarily habitually (Bybee et al., 1994:317). Frequentative can be viewed as a subset of habitual but whose meaning is more specific and from which more general habituals develop through grammaticalization (170). Example (6) was coded as frequentative due to the presence of the temporal adverbial *a bese* 'sometimes.'

(1) A bese, suto asé kumé planda ku keso. at times we HAB eat plant with cheese
'Sometimes, we eat planda [leafy greens] with cheese.' (Male 56, Recording 3, 0:41)

*Perfective.* Perfective aspect signals that a situation is construed as being bounded temporally or views a situation as a whole. Perfective aspect is used for narrating sequences of discrete events, and therefore, typically refers to situations in the past. (Bybee et al., 1994:317; Comrie, 1976:16).

(1) En la maana, I a salí otra be pa ayá a matá ngombe.
in the morning, I PRET went another time over there to kill cow
'In the morning, I went over there again to kill a cow.' (Male, 60, Recording 5, 11:28)

*Perfect.* Perfects are relational and their meaning "signals that the situation occurs prior to reference time and is relevant to the situation at reference time" (Bybee et al., 1994:54).

(1) Bo a kumé ma mango ri aki?
you PAST eat PL mango from here
'Have you eaten the mangoes from here?' (Male 70+, Recording 9, 18:29)

*Remote past.* Remote past indicates "a situation occurring temporally distant from the moment of speech" (Bybee et al., 1994:317).

(1) En ndo ria, yo a yená-lo to en bolso.
in two day I PAST fill-DO everything in bag
'In two days, I had filled up everything in my bag.' (Male 60, Recording 66, 8:40)

*State.* "States characterize situations for a period of time that includes, but is not necessarily restricted to, the present moment." It is claimed that the principal difference between habituals and states is minimal and that the difference between the two meanings "lies entirely in the lexical meaning of the predicate" (Bybee et al., 1994:152). Example (10) illustrates a present state and example (11) illustrates a past state.

- (1) Pero, awe ndía, jende kelé jende nu. but nowadays, people love people NEG
  'But, nowadays, people don't love [other] people.' (Male 40+, Recording 17, 2:37)
- (2) Pogke tene-ba recurso nu pa etudiá ma to ría.
   because have-PAST IMP resources NEG to study PL every day
   'Because we didn't have the resources to go to school every day.' (Female 28, Recording 41, 1:37)

# The variationist method

The variationist method was used to uncover distributional patterns (Labov, 1966). A key facet of this approach is the *principle of accountability*, which requires that we count every place where the variant under investigation occurs but also where it could have occurred but did not (Labov, 1972:72). The *variable context* is defined as the largest domain within which variation of a particular set of variants can occur. Appropriately circumscribing the envelope of variation is always the goal; however, the theoretical rationale behind coding decisions is not always spelled out, as has sometimes been the case, for example, with past temporal reference contexts in African American English and Caribbean English Creoles (Hackert, 2008). For the current study, the variable contexts were chosen because they specifically test theories of grammaticalization and markedness that implicate developing habitual morphemes (e.g., Bybee et al., 1994; Croft, 2003; cf., Poplack & Tagliamonte, 1996; Walker, 2001).

To that end, I appropriated all preverbal and zero-coded forms that appeared in the broad domains of present and past tense ( $\emptyset$ , *a*, *asé*, *sabé*, *ta*). The tokens (n = 2,543) were exhaustively extracted from the transcriptions and analyzed using Goldvarb X (Sankoff, Tagliamonte, & Smith, 2005). For present tense, a second variable context was defined: alternating *asé versus zero* with states and habituals. For past tense, the preliminary results revealed that no further regression analyses could be conducted. I discuss both results in turn now.

# ANALYSIS: HABITUAL MARKING IN PRESENT TENSE

# **Overall** distributions

Figure 1 presents the overall distributions of aspectual forms in present temporal reference. We observe that zero-coded verb stems are more frequent than any one preverbal morpheme, as they take up nearly half of the data (47%, n = 569/1,206); preverbal *a* is the second-most frequent (25%, n = 302/1,206); *asé* ranks third (17%, n = 206/1,206); followed by *ta* (7.4%, n = 89/1,206) and *sabé* (3.3%, n = 40/1,206). Although the prominence of zeros is attention grabbing, it is actually form-function asymmetry that is most elucidating in terms of habitual expression. In what follows, the relationship between habitual meaning and its formal expression is explored.

# Form-function asymmetry in present tense with a focus on asé and zero

The accountability principle requires that we correlate habitual meaning and all available present tense forms. For that reason, the first variable context was exploratory, being circumscribed as the broad domain of present temporal reference. Figure 2 displays the distributions of preverbal and zero-coded forms across aspectual contexts: state, and for dynamic verbs, habitual and progressive.

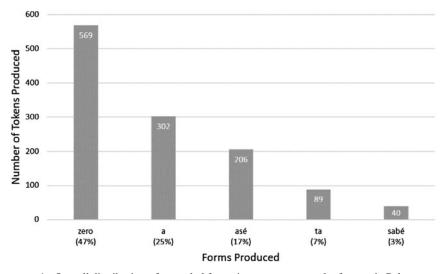
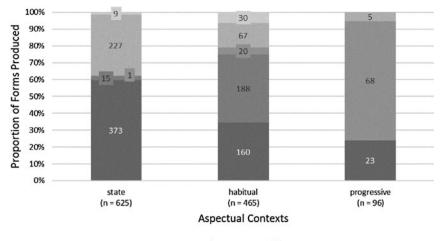


FIGURE 1. Overall distribution of preverbal forms in present temporal reference in Palenquero Creole (n = 1,206).



■ zero ■ asé ■ ta = a = sabé

FIGURE 2. Distribution of aspectual meanings in present tense across preverbal forms (n = 1, 186).

As seen in the graphic, the data do not reveal one-to-one form-meaning pairings; instead, form-function asymmetry is confirmed for all forms and meanings.

We observe that zero morphemes have open meaning; that is, state, habitual, and progressive meanings may all be expressed by a bare verb stem. Note that all five preverbal morphemes (*asé*, zero, *a*, *sabé*, and *ta*, respectively) may express present

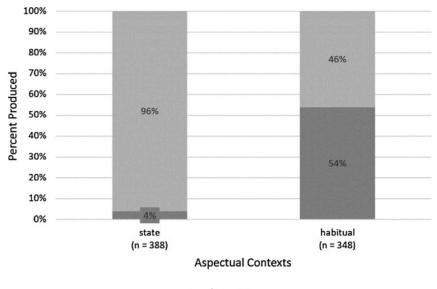
habitual meaning to varying degrees; however, it is primarily expressed by *asé* and zero compared to any of the other choices at a speaker's disposal. Habitual meaning is coded with *asé* 40% of the time (n = 188/465) and is zero coded one-third of the time (34%, n = 160/465), thus, establishing them as the two main competitors vying for habitual space (75%, n = 348/465) in the present reference temporal domain. It is noteworthy, however, that 60% of the time habitual meaning is *not* expressed by *asé*, but by some other means. This is interesting in light of the fact that the form *asé* itself is far more likely to express habitual over some other meaning (92%, n = 188/203). These last two facts, when taken together, highlight an important point regarding form-meaning pairings—they are not coextensive in scope (Poplack, 2011:213).

The overall patterning of the data is consistent with crosslinguistic observations made about the architecture and function of present tense. For example, states (at 53%, n = 625) and habituals (at 38%, n = 456) together make up over 90% of all aspectual possibilities, which confirms the crosslinguistic observation that the default meaning of present tense is to simply express "how things are" (Bybee et al., 1994:153). Also consistent with crosslinguistic trends is that present progressive morphemes are quite infrequent (Bybee, 2010:180), making up only 8% of the data (n = 96/1,186).

The distributions of zero morphemes (the bottom bar in the stacked column graph of Figure 2) also accord with typological predictions for present tense, such that progressive aspect has higher proportions of overt coding (all nonbottom bars) than states and habituals, which show higher proportions of zero-coded verb stems. Hierarchically, zero makes up a greater proportion of states (60%, 373/625) than of habituals (34%, 160/465) and even less of progressives (24%, 23/96). We also observe that the smallest morpheme a is the second-most frequent way to express state meaning (36%, 227/625), after zero. Not to be overlooked is the fact that present progressives, in addition to being overtly coded more often, have more phonetic material than overtly-coded states and habituals, as they can be expressed by the preverbal particle *ta* and/or the suffix *-ndo*. This is because progressives are typologically marked in this environment.

#### Analysis: narrowing the envelope to asé versus zero

The second variable context was restricted to *asé* versus zero in state and habitual aspectual contexts, the two most frequent present-tense domains (n = 736). The overall rate of *asé* is 28% (n = 203/736) compared to zero. As seen in Figure 3, *asé* is favored in habitual contexts, where it occurs 54% of the time (n = 188/348), whereas state contexts are the stronghold of zero (94%, n = 373/388). A zero morpheme being a major contender with an overt morpheme in terms of frequency is consistent with predictions for habituals in present tense. However, one might also expect to see a zero morpheme favored in this environment, since habitual is one of the default readings of present tense and since zero expression is often associated with basic members of conceptual categories (Bybee, 1985:52). Do these results present a challenge to typological markedness? No.



■asé ≡zero

FIGURE 3. Percentage of *asé* versus zero in state versus habitual contexts (present tense) (n = 736).

First, it is still unclear how widespread zero-marked habituals are crosslinguistically given the typological data available; nevertheless, it appears that overtly coded habituals are more frequent crosslinguistically than zero-marked ones, though they are consistently less marked in present than past (Bybee, 1985:52; Bybee et al., 1994:151; Dahl, 1985:95–6). For this reason, there is no *a priori* reason why creoles should not have overt habitual expression in the present tense as do other languages. Second, in these data, zero predominates in state contexts more than it does in habitual ones; so, although existing states and habituals are both part of the default meaning of present tense, it appears that, given the distribution of zeros, present state may be the more basic of the two meanings. We find, then, that although there are similarities between stative and habitual meanings, there are clear differences in how they are coded even with respect to the same morphemes (cf., Bybee et al., 1994:152). This patterning relates to the overall tendency for statives to be zero coded more than other present tense aspectual categories.

Considering verbs according to their lexical aspect, when *asé* versus zero were compared in the subcontext of nonstative (dynamic) verbs, the overall likelihood of *asé* to occur as opposed to zero was higher, at 49% (n = 376/735) (Smith, 2013:106). In this subcontext, there is a clear tendency for *asé* to be favored with frequentatives (93%, 13/14), whereas with habituals *asé* shares the space with zero (52%, n = 91/175).

These data show many patterns consistent with typological markedness in present tense. However, as discussed earlier, an unmarked value is established only in relation to its paradigmatic alternative (Croft, 2003:90). We now turn to the distributions in past temporal reference.

### ANALYSIS: HABITUAL MARKING IN PAST TENSE

# **Overall** distributions

Figure 4 presents the overall distribution of aspectual forms with past temporal reference. In this context, the preverbal form *a*, the marker of perfective (and related meanings), is the most frequent of all the aspectual forms (43%, n = 569/1,337). Next are zero-coded verb stems, which make up 31% (n = 408/1,337) of the data. The remaining 27% (n = 360/1,337) is distributed among imperfective morphemes, such as *asé*, which accounts for 14% (n = 185/1,337) of the overall data, and *sabé* and *ta*, which make up 6.6% (n = 88/1,337) and 6.5% (n = 87/1,337), respectively. When we compare *asé* and zero only (*total* n = 593), we find that the relative frequency of preverbal *asé* compared to zero is 31% (n = 185/593). In what follows, the relationship between habitual meaning, which is a marked aspectual category in this domain, and the consequences for its formal expression, are explored.

# Form-function asymmetry in past tense with a focus on asé and zero

As was the case for present temporal reference, the first measure was to determine correlations between the preverbal and zero-coded forms and their respective functions. The variable context was circumscribed as the broad domain of past

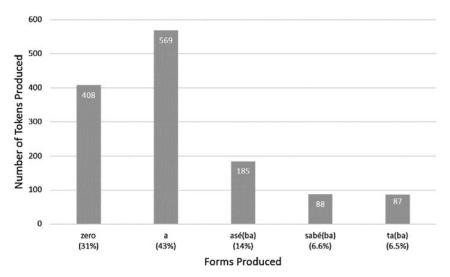
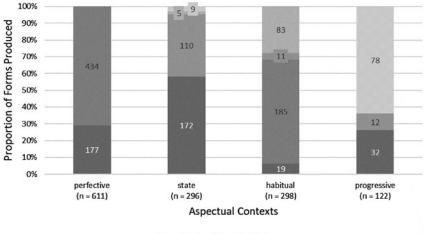


FIGURE 4. Overall distribution of tense-aspect forms in past temporal reference (n = 1,337).



■zero ■asé ■ta =a =sabé

FIGURE 5. Distribution of aspectual meanings in past tense across preverbal forms  $(n = 1,327)^6$ .

temporal reference, which consisted of perfective and related meanings (perfect and remote past), states, progressives, and habituals.

Figure 5 shows the distributions of aspectual distinctions by preverbal and zerocoded forms.<sup>4</sup> First, we observe that the preverbal morpheme *asé* is confined to habitual meaning, expressing that meaning 100% of the time (n = 185). By contrast, habitual meaning itself is expressed by *asé* tokens only 62% of the time (n = 185/298), thus confirming form-function asymmetry. The rest of the habitual domain is made up of *sabé* (28%, n = 83/298), and less frequently by zero (6%, n = 19/298), and *a* (4%, n = 11/298), but never by the progressive morpheme *ta*, as in present tense. These distributions reveal an important fact about habitual expression: for present tense, *asé* and zero were the main candidates vying for habitual space; whereas, in the past tense, *asé* and *sabé* not zero—are doing most of the habitual work.

Past reference zero morphemes are doing different work, and a different amount of work, compared to zeros in the present tense. In some cases, a past zero morpheme represents one of only two options available to express a conceptual category, such as perfective, perfect, and remote past meanings (which are collapsed in Figure 5). In other cases, zero competes with several preverbal morphemes, as with habitual, state, and progressive meanings. One marked similarity between past and present tense bare verbs, though, is that in both cases a zero preverbal morpheme is most closely associated with existing states, though no such symmetry exists between zero coding and habituals across tense (cf., Bybee et al., 1994:152).

In fact, there were very few cases of verb stems expressing habitual meaning that contained only the *-ba* suffix (n = 6) (see section above on Palenquero verb forms) or no coding at all<sup>5</sup> (n = 13). Striking was that all examples in the sample had an explicit *asé* present in the immediate discursive context, as examples (2), (3), and (12)-(14)

illustrate. So, in these instances, preverbal  $as\acute{e}$  is either "deleted," or simply not needed, when there is another  $as\acute{e}$  present. When -ba co-occurs with  $as\acute{e}$  in the verb phrase, its primary function seems to be to mark past tense, since habituality already entails duration. In some cases, it is unclear whether the verb stem suffixed with -ba is simply borrowed from Spanish (as with *entraba* in example [2]). In any case, given the small number of tokens, further analysis is needed.

- (12) To suto ke Ø pari-ba aki ese tiembo asé pari-ba
  all us that give birth-PAST IMP here that time HAB give birth-PAST IMP asina.
  like that
  'All of us that gave birth here at that time, used to give birth like that.' (Female, 88, Recording 8, 8:32)
- (13) Y ante, uno Ø ngana-ba meno y plata ase-ba kansá. and before, one earn-PAST IMP less and silver HAB-PAST IMP get tired 'And before, you used to earn a lot less and the money would get spent up.' (Female 51, Recording 22, 2:57)
- (14) Y ane ase-ba pensá ke suto a mantené ombretaba and they HAB-PAST IMP think that we PAST PROG-PAST IMP support man ke mailo suto **ase-ba** kelá aí kasa atendendo ma monasito that husband POSS HAB-PAST IMP stay there house taking care of PL children v uno Ø salí a trabajo. and one leaves to work 'And they used to think that we were supporting the men-that our husbands used to stay at home taking care of the kids while we went to work.' (Female 52, Recording 35, 18:10)

The overall patterning of the data is consistent with crosslinguistic observations made about the structure and function of past tense. For example, the overwhelming majority of past tense predicates are nonstative verbs (75%, n = 1,007), the vast majority of which express perfective meaning (n = 611). We observe that all perfective-related meanings (perfective, perfect, and remote past) are expressed only by the smaller morphemes a and zero; whereas, imperfective meanings such as habitual and progressive are primarily expressed by a bulkier morpheme (e.g., asé(ba), sabé(ba), and ta(ba)), which are, in turn, bulkier than their present tense counterparts, asé, sabé, and ta. This result coincides with the crosslinguistic tendency for perfectives to be zero coded, or at least to be less coded with respect to past habituals (Bybee et al., 1994:245).

Past imperfectives were expected to always be overtly expressed (Bybee et al.,1994:154); therefore, the fact that there is zero expression at all may seem to contradict the logic of typological markedness. Yet, as these data show, there is a very strong tendency for past habituals to be overtly coded (94%, n = 279/298), and the few bare stems that do appear in these data are always found in the company of an overtly coded form. Given markedness expectations, to the extent that past habituals were ever truly zero marked at an earlier stage in Palenquero's history, I theorize that overt forms would have begun developing right away.

State	asé	ta	а	zero	sabé
Habitual	asé		а	zero	sabé
Progressive		ta	а	zero	
Perfective			а	zero	
Perfect			а	zero	
Remote past			а	zero	

TABLE 1. Aspectual morphemes showing conformity to typological predictions

As schematized in Table 1, the size and number of morphemes expressed by aspectual categories in past tense suggest this markedness hierarchy (in ascending order): perfective-related meanings < progressives < habituals < states. In addition to being the smallest of the overt morphemes, we observe that a also has more distributional potential than all of the other forms, with the exception of zero, as it is distributed orthogonally across all aspectual categories. The data so neatly conform to markedness patterns for past tense, a regression analysis of *asé* versus zero could not be conducted. In configuring the data, it was not possible to exclude or combine groups for the Varbrul analysis in a linguistically sound way, because there were many \*knockouts\* or \*singletons\* in the factor group Aspectual Meaning. Though this situation presented methodological problems, it proved to be a boon for the hypothesis.

While these findings for habituals are impressive, it is important that they are put into a broader context. When the baseline habitual data are compared with progressives and states, we see a creole language that is in lock step with crosslinguistic markedness universals.

# COMPARISON OF TYPOLOGICAL MARKEDNESS PATTERNS OF HABITUALS VERSUS PROGRESSIVES AND STATES

### Baseline: expression of habitual in present versus past

Figure 6 summarizes the discussion and establishes a baseline for comparison; it illustrates the typological markedness asymmetries in habitual expression across present and past tense. Habitual meaning is expressed by all five of the variants in present tense but by only four of the five in past tense. As we have discussed, zero coding is dramatically more frequent in the present than in the past. Smaller morphemes, such as *a*, play a greater role as habituals in the present, while larger morphemes, such as *sabé*, are ranked higher in the past. Though not seen in the graph, all habitual morphemes have more phonetic bulk in the past than they do in the present.

# Comparison 1: expression of progressive in present versus past

Progressives (Figure 7) are equally marked across present and past tense. They are expressed by three morphemes (if you include zero), which occur in the same order

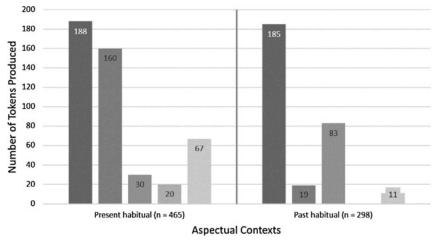




FIGURE 6. Side-by-side comparison of habitual morphemes in present and past tense (n = 763).

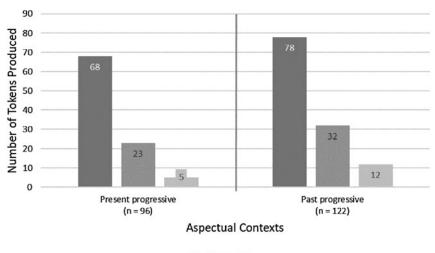
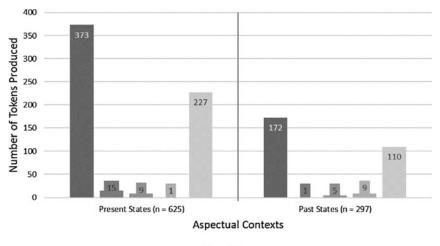




FIGURE 7. Side-by-side comparison of progressive forms in present and past tense (n = 218).

and with similar relative (and absolute) frequencies. Given the greater phonetic bulk of past temporal reference progressives (compare examples 4 and 5), we can say that progressives are only slightly more marked in the past, since imperfectivity is less consistent with the default meaning of past tense than present tense. Also striking is the overall paucity of progressive morphemes (n = 218) compared to states (n = 922) and habituals (n = 763). Yet, present and past progressives are neck-and-neck in all other respects, which also makes sense,



■ zero ■ asé ■ sabé ■ ta ■ a

FIGURE 8. Comparison of state exists forms in past and present tense (n = 922).

given that progressive meaning is not part of the default meaning of either past or present tense.

# Comparison 2: expression of state exists in present versus past

States show very similar markedness patterns in present and past tense (Figure 8). For example, zero occurs at similar rates in both present (60%, n = 373/625) and past tense (58%, n = 172/297), followed by a, which also shows near equal rates of expression in present (36%, n = 227/625) and past (37%, n = 110/297), and the other three variants are negligible. States are expressed by the same amount of (and the same) morphemes across temporal domains; they are also expressed by the same morphemes as present habituals. This seems to be consonant with the similar meanings of states and habituals, but it also highlights clear differences between the two domains, because past habituals differ in distributional potential from present and past states and from present habituals (Bybee et al., 1994:152). Additionally, the similarity in markedness patterning across present and past tense supports the claim that the default meaning of creole statives is "state concurrent with reference time" (Bybee, 1994:251). As with progressives, we do find that past states have more morphological coding than present states (compare examples 10 and 11), and are thus slightly more marked in the past over present. In line with markedness predictions, the two habitual morphemes, asé and sabé, are more frequent than progressive ta in present state contexts, but the patterning of these three morphemes is reversed in the past. Also, and not surprisingly, states are more frequent in the present.

#### CONCLUSIONS

This study has demonstrated that Palenquero exhibits features that conform to broad typological patterns. In the present tense, *asé* and zero were the main contenders for habitual space. An analysis of state and habitual contexts revealed that *asé* was strongly favored over zero with habituals. However, in the subcontext of nonstative (dynamic) verbs, considering frequentatives versus habituals, the correlation between *asé* and habitual meaning was notably weak. The fact that zero was robust is because habitual is "one of the basic or default aspectual readings of present tense" (Bybee et al., 1994:191). However, given the closer association of zero with states than habituals, it may be that state meaning is the more congruous of the two with the default meaning. The distributions of present tense aspectual morphemes revealed this markedness hierarchy: states and habituals were more frequent, contained less overt coding, and more zero expression, than progressives (Figure 2). This finding upholds the insight from typological studies that the default meaning of present tense is to state "how things are."

An examination of past tense revealed that *asé* and *sabé* were doing most of the habitual work. Zero, on the other hand, was more closely associated with perfectives and states, and only appeared a handful of times in past habitual contexts. There were only a few instances of verb stems that were coded only with the *-ba* suffix or that were truly bare. In each of those cases, habituality was made explicit in the immediate context. Overall, past habitual forms were typologically marked: they had more overt coding, hardly any zero expression, and were less frequent than perfectives and present tense habituals (Figure 5). This confirms that the entire structure of past tense is consistent with typological markedness predictions. In fact, the forms and functions were so well behaved that a binomial regression could not be conducted. The few anomalies that were found, such as zero-coded past habituals, do not contradict typological markedness, but can be explained by discourse pragmatics.

Finally, a comparison of habitual markedness patterns with progressives and states revealed that, while habituals showed asymmetries, progressives and states showed near equal marking across tense, being only slightly more marked in the past (Figures 6, 7, and 8). This finding confirms the crosslinguistic generalization that habituals are indeed "*highly affected* by tense" (Bybee et al., 1994:151, emphasis mine) and that *tense asymmetries are truly a hallmark of this conceptual domain*.

In sum, these data overwhelmingly suggest that, in the domain of verbal categories, Palenquero Creole strictly adheres to typological markedness universals. These findings have implications for the Creole Debate. In this case, since creoles are often typologized based on their structural properties, it was important to look not only at structure (i.e., +, - preverbal markers), but at the close relationship between form, distributions, and external function. In fact, it was form-function asymmetry that allowed us to discern markedness patterns in the expression of habitual meaning.

However, Palenquero's compliance to typological universals is not limited to the verbal domain. A recent study of number marking found that the prenominal plural marker *ma* was favored with plural count nouns that were both specific and in subject position, while bare nouns were favored with nonspecific objects. These results are consistent with a discourse-typological framework, as there is a well-attested correlation between valency, discourse/information flow parameters, and morphological coding (Cassiani Obeso & Smith, 2020). These findings, when considered together with the results from the current study, suggest that assertions made about a creole's typological status, instead of encompassing the entire grammar, may be better stated in terms of one feature over another.

Of course, some creole grammatical features may pattern differently from crosslinguistic trends along some qualitative or quantitative dimension, in which case explanations for these anomalies need to be sought. That said, observed deviations need not be judged immediately to be indications of a creole prototype, as there may be discourse-pragmatic factors at work that do not contradict the logic of typological markedness (as was the case with zero-coded past habituals), as well as social or other considerations to be factored into the analysis.

Crucially, the methods employed in addressing longstanding questions regarding the typological status of creole languages constrain the outcome of any analysis. For example, some researchers have used statistical modeling and computational tools of quantitative typology to compare clusters of creoles to other languages (Bakker, Daval-Markussen, Parkvall, & Plag, 2011). However, in this approach creoleness (as measured by simplicity, similarity, and degree of language mixing) is defined by modeling the clustering of broad structural features already associated with creoles. The result was that these authors came to an entirely different conclusion than the one stated in this paper. In contrast, the current study took a different approach: using both formal and functional criteria, fine-grained analyses of just one grammatical feature from a single creole language were used to measure, not creoleness, but conformity to patterns observed in other world languages.

To conclude, then, I submit that an important contribution of this project was that it enabled systematic quantitative analysis of natural speech community data from an exhaustively transcribed corpus in order to test a methodology for typological research. In answer to repeated calls for interdisciplinary research, an innovative component of the study was its theoretically eclectic, empirically rigorous approach, which, it is hoped, will contribute to—and, indeed, marry— such seemingly disparate areas as variationist, typological, and creole studies (Bybee et al., 1994:32; Sankoff, 1990:296, 310). Furthermore, this research aims to counter the stigmatization of Afro-Hispanic varieties by showing the systematicity of this creole language's preverbal particles, whose distributions adhere to crosslinguistic typological markedness patterns. Since the belief in language universals is not a necessary fact or a universally held opinion, the overarching goal of this experiment was to explore the viability of statements affirming the existence of a conceptual space that transcends cultural, linguistic,

and ethnic boundaries, such as this one: "The categories defined by constructions in human languages may vary from one language to the next, but they are mapped onto a common conceptual space, which represents a common heritage, indeed the geography of the human mind" (Croft, 2003:139). Indeed, then, given human cognition filtered through usage (what people talk about and how often), which is what actually propels grammaticalization, there is no reason for any language (or set of languages, such as creoles) to exhibit overall patterns that differ from one another.

ACKNOWLEDGMENTS

I gratefully acknowledge the insightful comments from Joan Bybee and anonymous reviewers that greatly improved this paper.

#### NOTES

**1.** To be clear, the development of zero marking in this way is not to be confused with the gradual loss of a grammatical morpheme through phonetic erosion and loss.

**2.** This research has been supported by a grant from the National Science Foundation, award number 1226655.

**3.** The labels used in the glosses are used for convenience and do not always characterize the functional range of the forms.

**4.** As noted earlier, many of these preverbal forms variably co-occur with a verb stem and the past imperfect suffix *-ba*. For convenience, I only refer to the preverbal forms, though it should be noted that the *sabé* is expressed as *sabe-ba* (HAB) categorically, whereas the *asé* forms occur as *ase-ba* + verb, *asé* + verb-*ba*, *ase-ba* + verb-*ba*. Bare stems that only occur alone or only with *-ba* are discussed below.

5. The audio recordings and the spectrograms of suffixed and zero-coded forms were reviewed to see if there was evidence of an intervocalic consonant.

6. Subjunctive tokens were excluded (n = 10).

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