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ON THE VALUE DISTRIBUTION OF $f^2 f^{(k)}$

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Abstract

In this paper, we prove that for a transcendental meromorphic function f(z) on the complex plane, the inequality $T(r, f) < 6N(r, 1/(f^2 f^{(k)} - 1)) + S(r, f)$ holds, where k is a positive integer. Moreover, we prove the following normality criterion: Let \mathscr{F} be a family of meromorphic functions on a domain D and let k be a positive integer. If for each $f \in \mathscr{F}$, all zeros of f are of multiplicity at least k, and $f^2 f^{(k)} \neq 1$ for $z \in D$, then \mathscr{F} is normal in the domain D. At the same time we also show that the condition on multiple zeros of f in the normality criterion is necessary.

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1. Introduction

In 1979 Mues [1] proved that for a transcendental meromorphic function f(z) in the open plane, $f^2f' - 1$ has infinitely many zeros. This is a qualitative result. Later, Zhang [2] obtained a quantitative result, proving that the inequality $T(r, f) < 6N(r, 1/(f^2f' - 1)) + S(r, f)$ holds. Naturally, we ask whether the above inequality is still true when $N(r, 1/(f^2f' - 1))$ is replaced by $N(r, 1/(f^2f^{(k)} - 1))$. In this paper, we solve this problem and obtain

THEOREM 1. Let f(z) be a transcendental function in the complex plane and let k be a positive integer. Then

$$T(r, f) < 6N\left(r, \frac{1}{f^2 f^{(k)} - 1}\right) + S(r, f).$$

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From Theorem 1, we have at once:

COROLLARY. Let f(z) be a transcendental meromorphic function and let k be a positive integer. Then $f^2 f^{(k)} - 1$ assumes every non-zero finite value infinitely often.

Using Mues' result, Pang [2] proved:

THEOREM A ([2]). Let \mathscr{F} be a family of meromorphic function on a domain D. If each $f \in \mathscr{F}$ satisfies $f^2 f' \neq 1$, then \mathscr{F} is normal on domain D.

Now, utilizing Theorem 1 we also can obtain the following theorem:

THEOREM 2. Let \mathscr{F} be a family of meromorphic functions on a domain D and let k be a positive integer. If for each $f \in \mathscr{F}$, f has only zeros of multiplicity at least k and $f^2 f^{(k)} \neq 1$, then \mathscr{F} is normal on domain D.

The following example shows that the condition on multiple zeros of f in Theorem 2 is necessary.

EXAMPLE. Let $k \ge 2$ be a positive integer and $\mathscr{F} = \{nz^{k-1} : n = 1, 2, ...\}$. So, each $f \in \mathscr{F}$ satisfies $f^2 f^{(k)} \ne 1$. But \mathscr{F} is not normal at the origin.

2. Some lemmas

LEMMA 1. Let f(z) be a transcendental function. Then $f^2 f^{(k)}$ is not identically constant.

PROOF. Suppose that $f^2 f^{(k)} \equiv C$. Obviously, $C \neq 0$. So $f \neq 0$ and $1/f^3 = C^{-1}f^{(k)}/f$. Hence we obtain

$$3T(r,f) = m\left(r,\frac{1}{f^3}\right) + O(1) = O(1)\left\{m\left(r,\frac{f^{(k)}}{f}\right) + 1\right\} = S(r,f).$$

This contradicts the assumption that f(z) is a transcendental function.

LEMMA 2. Let f(z) be a transcendental meromorphic function, $g(z) = f^2 f^{(k)} - 1$ and $h(z) = g'/f = f f^{(k+1)} + 2f' f^{(k)}$. Then

$$(2.1) \quad 3T(r,f) < \overline{N}(r,f) + 2N\left(r,\frac{1}{f}\right) + N\left(r,\frac{1}{g}\right) - N\left(r,\frac{1}{h}\right) + S(r,f)$$

(2.2)
$$[N(r,f) - \overline{N}(r,f)] + m(r,f) + 2m\left(r,\frac{1}{f}\right) + N\left(r,\frac{1}{h}\right)$$

 $< N\left(r, \frac{1}{\rho}\right) + S(r, f).$

[2]

PROOF. By Lemma 1, we know $g \neq C$ and $h \neq 0$. Set

$$\frac{1}{f^3} = \frac{f^2 f^{(k)}}{f^3} - \frac{g'}{f^3} \frac{g}{g'},$$

so

[3]

$$3m(r,f) < m\left(r,\frac{g}{g'}\right) + S(r,f) < N\left(r,\frac{g'}{g}\right) - N\left(r,\frac{g}{g'}\right) + S(r,f)$$

$$= \overline{N}(r,g) + N\left(r,\frac{1}{g}\right) - N\left(r,\frac{1}{g'}\right) + S(r,f)$$

$$= \overline{N}(r,g) + N\left(r,\frac{1}{g}\right) - N\left(r,\frac{1}{fh}\right) + S(r,f)$$

$$= \overline{N}(r,g) + N\left(r,\frac{1}{g}\right) - N\left(r,\frac{1}{fh}\right) + S(r,f).$$

Hence

$$3T(r,f) = 3m\left(r,\frac{1}{f}\right) + 3N\left(r,\frac{1}{f}\right) + O(1)$$

$$< \overline{N}(r,f) + 2N\left(r,\frac{1}{f}\right) + N\left(r,\frac{1}{g}\right) - N\left(r,\frac{1}{h}\right) + S(r,f).$$

Thus the inequality (2.1) is proved. Since

$$3T(r,f) = m(r,f) + N(r,f) + 2m\left(r,\frac{1}{f}\right) + 2N\left(r,\frac{1}{f}\right) + O(1),$$

the inequality (2.2) can be obtained.

LEMMA 3. Let f(z), g(z), h(z) ($k \ge 2$) be as stated above and let

$$a_{1} = 2(k+1)^{2} - \frac{(3k+7)(k^{2}-4k-29)}{(k+3)}, \qquad a_{3} = 2(k+2)(k+3)(k+5), \\ a_{4} = -4(k+3)(k+1), \\ a_{5} = 4(k^{2}-4k-29), \qquad a_{5} = 4(k^{2}-4k-29),$$

and

(2.3)
$$F(z) = a_1 \left(\frac{g'(z)}{g(z)}\right)^2 + a_2 \left(\frac{g'(z)}{g(z)}\right)' + a_3 \left(\frac{h'(z)}{h(z)}\right) + a_4 \left(\frac{h'(z)}{h(z)}\right)^2 + a_5 \left(\frac{g'(z)}{g(z)}\frac{h'(z)}{h(z)}\right).$$

Then $F \neq 0$.

PROOF. Suppose that $F(z) \equiv 0$, we claim that (i) $g(z) \neq 0$; (ii) $h(z) \neq 0$;

(iii) all zeros of f(z) are simple.

Suppose first that z_1 is a zero of g(z) of multiplicity l $(l \ge 1)$. From $g(z_1) = 0$ and $g = f^2 f^{(k)} - 1$ we can get $f(z_1) \ne 0, \infty$. Since z_1 is a zero of order (l - 1) of g' = f h we have that z_1 be a zero of h(z) of multiplicity l - 1. Using the Laurent series of F(z) at the point z_1 , we can get the coefficient of $(z - z_1)^{-2}$:

$$A(l) = (a_1 + a_4 + z_5)l^2 - (a_2 + a_3 + 2a_4 + a_5)l + (a_3 + a_4)l^2$$

From the definition of a_i , i = 1, ..., 5, we have

$$A(l) = -\frac{(k+5)^2(k+7)}{k+3}l^2 - (k+1)(k+5)(k+7)l + 2(k+1)^2(k+3).$$

Obviously, $A(l) \neq 0$ for all positive integers *l*. So the point z_1 is a pole of F(z) which contradicts $F(z) \equiv 0$. Hence conclusion (i) $g(z) \neq 0$ holds.

Suppose next that z_2 is a zero of h(z) of order $l (l \ge 1)$. By (i) we have $g(z_2) \ne 0, \infty$. Using the Laurent series of F(z) at the point z_2 , we can get the coefficient of $(z - z_2)^{-2}$ as $B(l) = -a_3 l + a_4 l^2$. From the definition of a_i , i = 1, ..., 5, we have

$$B(l) = -2(k+1)(k+3)(k+5)l - 4(k+1)(k+3)l^2 < 0,$$

so that the point z_2 is a pole of F(z) which contradicts $F(z) \equiv 0$. Hence conclusion (ii) $h(z) \neq 0$ holds.

Using $h(z) = f f^{(k+1)} + 2f' f^{(k)}$ and (ii) $(h(z) \neq 0)$, we can get (iii).

Set $\phi(z) = h(z)/g(z)$, we can deduce that $\phi(z)$ is an entire function, all zeros of $\phi(z)$ can occur only at multiple poles of f(z) and the following expressions hold:

$$\frac{g'}{g} = \frac{fh}{g} = f\phi, \quad \frac{h'}{h} = \frac{g'}{g} + \frac{\phi'}{\phi} = f\phi + \frac{\phi'}{\phi}$$

Substituting the above two equalities in the expression (2.3) for F(z), we get

(2.4)
$$(a_1 + a_4 + a_5)f^2\phi^2 + (a_2 + a_3 + 2a_4 + a_5)f\phi' + \left[a_3\left(\frac{\phi'}{\phi}\right)' + a_4\left(\frac{\phi'}{\phi}\right)^2\right] + (a_2 + a_3)f'\phi \equiv 0.$$

Obviously, $a_2 + a_3 = (k+5)^2(k+7) \neq 0$ and $\phi \neq 0$, otherwise $g'/g = f \phi \equiv 0$, that is, $g \equiv C$ which contradicts the result of Lemma 1.

Thus, by the equality (2.4), we have

(2.5)
$$f' \equiv \frac{1}{\phi} l_{11}(z) + f l_{12}(z) + f^2 \phi l_{13}(z),$$

where $l_{1i}(z)$ (i = 1, 2, 3) are differential monomials of (ϕ'/ϕ) . Differentiating both sides of (2.5), we have

$$f'' = -\frac{1}{\phi} \frac{\phi'}{\phi} l_{11}(z) + \frac{1}{\phi} l'_{11}(z) + f' l_{12}(z) + f l'_{12}(z) + 2f f' \phi l_{13}(z) + f^2 \phi \left[\frac{\phi'}{\phi} l_{13}(z) + l'_{13}(z) \right].$$

Using the above equality and (2.5), we get

$$f'' = \frac{1}{\phi} l_{21}(z) + f l_{22}(z) + f^2 \phi l_{23}(z) + f^3 \phi^2 l_{24}(z),$$

where $l_{2i}(z)$ (i = 1, ..., 4) are differential monomials of (ϕ'/ϕ) . Continuing the above process we obtain

(2.6)
$$f^{(k)} = \frac{1}{\phi} l_{k1}(z) + f l_{k2}(z) + f^2 \phi l_{k3}(z) + \dots + f^{k+1} \phi^k l_{kk+2}(z),$$

where $l_{ki}(z)$ (i = 1, ..., k = 2) are differential monomials of (ϕ'/ϕ) .

Now, suppose z_3 is a zero of f. Combining (2.5), (2.6) and $\phi(z_3) \neq 0, \infty$, we have

$$f'(z_3) = \frac{1}{\phi(z_3)} l_{11}(z_3), \quad f^{(k)}(z_3) = \frac{1}{\phi(z_3)} l_{k1}(z_3).$$

Further, by the above two equalities and the expression for g(z) and h(z) in Lemma 2, we have

$$g(z_3) = -1, \quad h(z_3) = 2f'(z_3)f^{(k)}(z_3) = \frac{2}{\phi^2(z_3)}l_{11}(z_3)l_{k1}(z_3).$$

Substituting the above equality in the expression for $\phi(z) = h(z)/g(z)$ we have

(2.7)
$$\phi^3(z_3) = -2l_{11}(z_3)l_{k1}(z_3).$$

Set $G(z) = \phi^3(z) + 2l_{11}(z)l_{k1}(z)$. We distinguish two cases. Case 1. $G(z) \neq 0$. By (2.7) and (iii) we have

$$(2.8) \quad N\left(r,\frac{1}{f}\right) = \overline{N}\left(r,\frac{1}{f}\right) \le N\left(r,\frac{1}{G}\right) < T(r,G) + O(1)$$
$$< O\{T(r,\phi)\} + O(1),$$
$$(2.9) \quad T(r,\phi) = m(r,\phi) = m\left(r,\frac{h}{g}\right) = m\left(r,\frac{g'}{g}\frac{1}{f}\right) \le m\left(r,\frac{1}{f}\right) + S(r,f).$$

Applying (2.2) of Lemma 2, and combining with N(r, 1/G) = 0 we have

(2.10)
$$m(r, 1/f) = S(r, f).$$

By (2.10), (2.9) and (2.8), we have

(2.11)
$$N(r, 1/f) = S(r, f).$$

Combining (2.10) and (2.11) we get T(r, f) = T(r, 1/f) + O(1) = S(r, f). This gives a contradiction, since f is a transcendental meromorphic function.

Case 2. $G(z) \equiv 0$. Using the expression for G(z), and noting that $l_{11}(z)$, $l_{k1}(z)$ are differential monomials of (ϕ'/ϕ) we deduce that

(2.12)
$$T(r,\phi) = m(r,\phi) = S(r,\phi).$$

Again, using the expression for G(z) and the fact that $G(z) \equiv 0$ we have

(2.13)
$$\phi^3 \equiv -2l_{11}(z)l_{k1}(z).$$

From (2.12), we deduce that $\phi(z)$ is a polynomial or a constant. If ϕ is a polynomial, then the right-hand side of (2.13) is a constant or rational function and the left-hand side of (2.13) is a polynomial, and this gives a contradiction. So ϕ is a constant. If $\phi \equiv 0$, using $g'/g = f \phi \equiv 0$, we deduce that g is a constant, which contradicts Lemma 1.

Hence, $\phi(z) \equiv C$, where $C \neq 0$. Substituting this equality in (2.4), we have

$$(a_1 + a_4 + a_5)C^2 f^2 + (a_2 + a_3)Cf' \equiv 0,$$

so $f' = C_1 f^2$, that is, $(1/f)' \equiv -C_1$, where $C_1 \neq 0$ is a constant. Then we deduce that f(z) is a rational function, but this is impossible. This completes the proof. \Box

LEMMA 4. Let f(z), g(z), h(z), $k \ge 2$, F(z) be stated as above. Then all simple poles of f(z) are zeros of F(z).

PROOF. Suppose z_0 is a simple pole of f(z), then

$$f(z) = \frac{a}{(z-z_0)} \{ 1 + b_0(z-z_0) + b_1(z-z_0)^2 + O((z-z_0)^3) \},\$$

where $a \neq 0, b_0, b_1$ are constants. Since $k \geq 2$, we have

$$g(z) = f^{2} f^{(k)} - 1$$

= $\frac{(-1)^{k} k! a^{3}}{(z - z_{0})^{k+3}} \{ 1 + 2b_{0}(z - z_{0}) + (b_{0}^{2} + 2b_{1})(z - z_{0})^{2} + O((z - z_{0})^{3}) \},\$

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$$h(z) = \frac{g'}{f} = \frac{(-1)^{k+1}k!a^2}{(z-z_0)^{k+3}} \{ (k+3) + (k+1)b_0(z-z_0) + (k-1)b_1(z-z_0)^2 + O((z-z_0)^3) \}.$$

Hence, we have

[7]

$$\frac{g'}{g} = \frac{(-1)}{(z-z_0)} \{ (k+3) - 2b_0(z-z_0) + (2b_0^2 - 4b_1)(z-z_0)^2 + O((z-z_0)^3) \}, \\ \frac{h'}{h} = \frac{(-1)}{(z-z_0)} \frac{1}{k+3} \{ (k+3)^2 - (k+1)b_0(z-z_0) \\ + \left[\frac{(k+1)^2}{k+3} b_0^2 - 2(k-1)b_1 \right] (z-z_0)^2 + O((z-z_0)^3) \}, \\ \left(\frac{g'}{g} \right)^2 = \frac{1}{(z-z_0)^2} \{ (k+3)^2 - 4(k+3)b_0(z-z_0) \\ + \left[4(k+4)b_0^2 - 8(k+3)b_1 \right] (z-z_0)^2 + O((z-z_0)^3) \}, \\ \left(\frac{g'}{g} \right)' = \frac{1}{(z-z_0)^2} \{ (k+3) - (2b_0^2 - 4b_1)(z-z_0)^2 + O((z-z_0)^3) \}, \\ \left(\frac{h'}{h} \right)' = \frac{1}{(z-z_0)^2} \frac{1}{k+3} \left\{ (k+3)^2 - \left[\frac{(k+1)^2}{k+3} b_0^2 - 2(k-1)b_1 \right] (z-z_0)^2 \\ + O((z-z_0)^3) \right\}, \\ \left(\frac{h'}{h} \right)' = \frac{1}{(z-z_0)^2} \frac{1}{(k+3)^2} \{ (k+3)^4 - 2(k+1)(k+3)^2 b_0(z-z_0) \end{cases}$$

$$+ \left[(k+1)^2 (2k+7) b_0^2 - 4(k-1)(k+3)^2 b_1 \right] (z-z_0)^2 + O((z-z_0)^3) \Big\},$$

$$\frac{g'}{g} \frac{h'}{h} = \frac{1}{(z-z_0)^2} \Big\{ (k+3)^2 - (3k+7) b_0 (z-z_0) + [(3k+7) b_0^2 - 2(3k+5) b_1] (z-z_0)^2 + O((z-z_0)^3) \Big\}.$$

By substituting all of the above equalities in the expression (2.3) of F(z) and performing some easy calculations we obtain that $F(z) = O((z - z_0))$. So, z_0 is the zero of F(z). This completes the proof.

LEMMA 5 ([3]). Let \mathscr{F} be a family of meromorphic functions on the unit disc Δ such that all zeros of functions in \mathscr{F} have multiplicity at least k. Let α be a real number satisfying $0 \leq \alpha < k$. Then \mathscr{F} is not normal in any neighbourhood of $z_0 \in \Delta$ if and only if there exist

- (i) points $z_k \in \Delta$, $z_k \rightarrow z_0$;
- (ii) positive numbers ρ_k , $\rho_k \rightarrow 0$; and

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(iii) functions $f_k \in \mathscr{F}$

such that $\rho_k^{-\alpha} f_k(z_k + \rho_k \xi) \to g(\xi)$ spherically uniformly on compact subsets of \mathbb{C} , where g is a nonconstant meromorphic function.

3. Proof of theorems

PROOF OF THEOREM 1. When k = 1, this is the result of Zhang [4]. So we assume that $k \ge 2$. By Lemma 3, $F(z) \ne 0$. Thus by Lemma 4 we have

(3.1)
$$N_1(r, f) \le N(r, 1/F) \le T(r, F) + O(1),$$

where in $N_1(r, f)$ only simple poles of f(z) are to be considered. By (2.3), we know that the poles of F(z) can occur only at multiple poles of f(z) or zeros of g(z), or zeros of h(z), and all poles of F(z) are of multiplicity at most 2. So

(3.2)
$$N(r, F) \leq 2N_{(2}(r, f) + 2N(r, 1/g) + 2N(r, 1/h) + S(r, f),$$

where in $\overline{N}_{(2)}(r, f)$ only multiple poles of f(z) are to be considered, and each pole is counted only once. Obviously, we have

(3.3)
$$m(r, F) = S(r, f).$$

By (3.1), (3.2) and (3.3), we have

(3.4)
$$N_1(r, f) \le 2\overline{N}_{(2}(r, f) + 2N(r, 1/g) + 2N(r, 1/h) + S(r, f).$$

Combining Lemma 2, (2.1) and (3.4) gives

$$(3.5) \quad 3T(r,f) < 3\overline{N}_{(2}(r,f) + 2N(r,1/f) + 3N(r,1/g) + N(r,1/h) + S(r,f).$$

On the other hand, using Lemma 2 and (2.2), we have

(3.6)
$$3\overline{N}_{(2}(r, f) + N(r, 1/h) \le 3[N(r, f) - \overline{N}(r, f)] + N(r, 1/h) < 3N(r, 1/g) + S(r, f).$$

Thus, by (3.5) and (3.6), we obtain

$$3T(r, f) < 6N(r, 1/g) + 2N(r, 1/f) + S(r, f) < 6N(r, 1/g) + 2T(r, f) + S(r, f),$$

that is, T(r, f) < 6N(r, 1/g) + S(r, f). This completes the proof of Theorem 1. \Box

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PROOF OF THEOREM 2. We may assume that $D = \Delta$. Suppose that \mathscr{F} is not normal on Δ . Then, taking $\alpha = k/3$ and applying Lemma 5, we can find $f_n \in \mathscr{F}$, $z_n \in \Delta$ and $\rho_n \to 0+$ such that

$$\frac{f_n(z_n+\rho_n\xi)}{\rho_n^{\alpha}}=g_n(\xi)\to g(\xi)$$

locally uniformly with respect to the spherical metric, where g is a nonconstant meromorphic function on \mathbb{C} . By the assumption,

$$g_n^2(\xi)(g_n(\xi))^{(k)} - 1 = \rho_n^{k-3\alpha} f_n^2(z_n + \rho_n \xi) f_n^{(k)}(z_n + \rho_n \xi) - 1$$

= $f_n^2(z_n + \rho_n \xi) f_n^{(k)}(z_n + \rho_n \xi) - 1$
 $\neq 0.$

So

(3.7) $g^{2}(\xi)g^{(k)}(\xi) - 1 \neq 0 \text{ or } g^{2}(\xi)g^{(k)}(\xi) - 1 \equiv 0.$

By Hurwitz's theorem, all zeros of $g(\xi)$ are of multiplicity at least k and it is easy to see that $g^2(\xi)g^{(k)}(\xi) \neq 0$. Hence, $g^2(\xi)g^{(k)}(\xi) - 1 \neq 0$. According to Mues's result (k = 1) and Theorem 1 $(k \ge 2)$ we find that $g(\xi)$ is not a transcendental meromorphic function. If $g(\xi)$ is a polynomial, then its degree is at most k - 1 which contradicts the fact that the zeros of $g(\xi)$ are of multiplicity at least k. If $g(\xi)$ is a nonconstant rational function, we set $g(\xi) = Q(\xi)/P(\xi)$, where $Q(\xi)$ and $P(\xi)$ are two prime polynomials and set $p = \deg(P)$ and $q = \deg(Q)$. From (3.7) we deduce that there exists a polynomial $h(\xi)$ such that

(3.8)
$$g^{2}(\xi)g^{(k)}(\xi) = \frac{h(\xi)+1}{h(\xi)}$$

It is easy to verify that the difference between the degree of the numerator of $g^2(\xi)g^{(k)}(\xi)$ and the degree of the denominator of $g^2(\xi)g^{(k)}(\xi)$ is 3(q-p) - k. It follows from (3.8) that k = 3(q-p) and $(q-p) \ge 1$.

We set n = (q - p) and $g(\xi) = a_0\xi^n + \dots + a_n + R(\xi)/P(\xi)$, where $R(\xi)$ and $P(\xi)$ are two prime polynomials and $\deg(P) - \deg(R) > 0$. Noting that $g^{(k)}(\xi) = (R(\xi)/P(\xi))^{(k)}$, it follows from (3.8) that $\deg(P) - \deg(R) = -n$, which contradicts $\deg(P) - \deg(R) > 0$. Thus, we obtain our result.

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