

# ON FINITE GROUPS OF THE FORM $ABA$

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**Introduction.** The class of finite groups  $G$  of the form  $ABA$ , where  $A$  and  $B$  are subgroups of  $G$ , is of interest since it includes the finite doubly transitive groups, which admit such a representation with  $A$  the subgroup fixing a letter and  $B$  of order 2. It is natural to ask for conditions on  $A$  and  $B$  which will imply the solvability of  $G$ . It is known that a group of the form  $AB$  is solvable if  $A$  and  $B$  are nilpotent. However, no such general result can be expected for  $ABA$ -groups, since the simple groups  $PSL(2, 2^n)$  admit such a representation with  $A$  cyclic of order  $2^n + 1$  and  $B$  elementary abelian of order  $2^n$ . Thus  $G$  need not be solvable even if  $A$  and  $B$  are abelian.

In (3) Herstein and the author have shown that  $G$  is solvable if  $A$  and  $B$  are cyclic of relatively prime orders; and in (2) we have shown that  $G$  is solvable if  $A$  and  $B$  are cyclic and  $A$  possesses a normal complement in  $G$ . The present paper is devoted to a proof of the following result:

**THEOREM A.** *If  $G = ABA$ , where  $A$  and  $B$  are cyclic subgroups of  $G$ , and if  $A$  is its own normalizer in  $G$ , then  $G$  is solvable.*

If  $G_0$  is a subgroup of  $G$  containing  $A$ , then it is easy to see that  $G = AB_0A$  with  $B_0 \subseteq B$ . Furthermore a homomorphic image  $\bar{G}$  of  $G$  is of the form  $\bar{A}\bar{B}\bar{A}$ , and it can be shown that  $N(\bar{A}) = \bar{A}$  if  $N(A) = A$ . Thus it is natural to attempt to prove Theorem A by induction on the order of  $G$ . In order to carry out the inductive argument, one must first determine the structure of all solvable groups which satisfy the hypotheses of Theorem A; and the bulk of the paper (Part I) is taken up with this problem. Our main result is the following:

**THEOREM B.** *Let  $G = ABA$ , where  $A$  and  $B$  are cyclic subgroups of  $G$  and  $N(A) = A$ , and assume that  $G$  is solvable. Then  $G = AT$ , where  $T = [G, G]$ , and  $T$  is the direct product of three  $A$ -invariant subgroups  $T_1, T_2, T_3$ , which satisfy the following conditions:*

- (I)  $T_1$  is a 2-group; if  $T_1 \neq 1$ , then  $A \cap T_1 \neq 1$ ;
- (II)  $T_2 = MQ$  where  $M \triangleleft T_2$  and  $Q$  is a  $q$ -group,  $q$  a prime, either  $M$  is a 2-group and  $q = 7$  or  $M$  is abelian of type  $(m, m)$ ,  $(m, 6) = 1$ , and  $q = 3$ ; if  $T_2 \neq 1$ , then  $A \cap T_2 \neq 1$ ;
- (III)  $T_3$  is nilpotent of class 1 or 2 and  $A \cap T_3 = 1$ .

The proof of Theorem B relies heavily upon the properties of regular  $\phi$ -groups which were developed in (2) and especially upon the structure of

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regular  $\phi$ -groups of prime power order. These properties are listed in § 1. In addition to this, we need bounds for the order of automorphisms of certain non-abelian  $p$ -groups, which include the extra-special  $p$ -groups as defined by Hall and Higman in (7). These bounds will be determined in §§ 1 and 2. In the course of the proof of Theorem B we shall also obtain much more precise information concerning the structure of the “exceptional” groups  $T_1, T_2$ .

The proof of Theorem A from Theorem B utilizes the transfer of  $G$  into certain  $A$ -invariant  $p$ -Sylow subgroups  $P$  of  $G$ , where  $p \mid o(A)$ . Using Theorem B and our induction assumption, we are able to show by means of the Hall-Wielandt theorem that the  $p$ -Sylow subgroup of  $A$  is mapped isomorphically in the transfer of  $G$  into  $P$ . This argument works very smoothly if  $G$  possesses no subgroups of the form  $T_1$  or  $T_2$ , but requires considerable modification if such subgroups are present.

Throughout the paper we shall write simply  $G = ABA$ , provided  $G$  is an  $ABA$ -group in which  $A, B$  are cyclic and  $A$  is its own normalizer.

In a subsequent paper we hope to treat the class of groups of the form  $ABA$ , where  $A$  and  $B$  are cyclic, but  $A$  is not necessarily its own normalizer.

PART I

THE STRUCTURE OF SOLVABLE  $ABA$ -GROUPS

**1.  $\phi$ -groups of prime power order.** We recall from (2) that a group  $T$  is called a  $\phi$ -group if  $T$  possesses an automorphism  $\phi$  such that every element of  $T$  can be expressed in the form  $\phi^i(g\phi^r(g)\phi^{2r}(g) \dots \phi^{(j-1)r}(g))$  (we denote this expression by  $\phi^i([g]_r^j)$ ) for some fixed element  $g$  in  $T$  and some fixed integer  $r$ , for suitable choice of integers  $i$  and  $j$ .  $g$  is called a  $\phi$ -generator of  $T$ , and  $r$  the  $\phi$ -index of  $T$ .\* If  $\phi$  leaves only the identity element of  $T$  fixed,  $T$  is called a regular  $\phi$ -group. In particular, if  $\phi^r = 1$ , every element of  $T$  is of the form  $\phi^i(g^j)$ . In this case we say that  $T$  is of  $\phi$ -index 0.

In Theorem 10 of (2), we showed that  $T$  is a  $\phi$ -group if and only if the holomorph  $G$  of  $T$  and  $\phi$  is of the form  $ABA$ , where a generator  $a$  of  $A$  induces by conjugation the automorphism  $\phi$  of  $T$  and where  $B$  is generated by the element  $ga^{-r}$ . It is clear that  $T$  will be a regular  $\phi$ -group if and only if  $N(A) = A$ . Throughout the paper if  $G = ABA$ , we shall denote by  $\phi$  the automorphism of  $G$  induced by conjugation by a generator  $a$  of  $A$ . Thus if an  $ABA$ -group  $G$  possesses a normal  $A$ -complement  $T$ , then  $T$  is a regular  $\phi$ -group. The principal result of (2, Theorem 9) asserts that a regular  $\phi$ -group  $T$  is nilpotent of class 1 or 2.

In Theorems 6 and 8 of (2), we have determined the structure of a regular  $\phi$ -group of prime power order rather precisely. As we shall make repeated use of this structure, we shall restate these results here. The following properties

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\*In (2) we have used the terms index and generator of  $P$  under  $\phi$ ;  $\phi$ -index and  $\phi$ -generator seem preferable, since they avoid possible confusion with the customary use of these terms in the theory of groups.

of a regular  $\phi$ -group of prime power order are either explicitly contained in Theorems 6 and 8 of **(2)** or are easily derived from them.

If  $P$  is a regular  $\phi$ -group of order  $p^n$  and  $\phi$ -index  $r$ ,  $P$  contains a normal\* subgroup  $F$  invariant under  $\phi$  such that

(1a)  $F$  is either elementary abelian, cyclic of order  $p^e$ , or of type  $(p^e, p^e)$ .  $F = 1$  if and only if  $P$  is elementary abelian,  $\phi$  has order relatively prime to  $p$  and  $\phi^r$  leaves only the identity element of  $P$  fixed.

(1b)  $\phi$  acts irreducibly on  $F_1 = \Omega_1(F)$ .

(1c)  $\bar{P} = P/F$  is elementary abelian, the image  $\bar{\phi}$  of  $\phi$  on  $\bar{P}$  has order relatively prime to  $p$  and  $\bar{\phi}^r$  is without non-trivial fixed elements.

(1d) if  $k =$  order of  $\phi$  on  $F_1$  and  $rs = \phi$ -index of  $F_1$ , then  $k|rs$ . Thus  $F_1$  is of  $\phi$ -index 0.

(1e) If  $P$  is abelian,  $P = H \times K$ , where  $H, K$  are invariant under  $\phi$ ,  $H \supseteq F$ ,  $\phi$  has order  $kp^c$  on  $H$  for some  $c$  and order relatively prime to  $p$  on  $K$ .

We shall call  $F$  the  $\phi$ -nucleus of  $P$ .

The preceding results depend crucially upon the following inequalities:

(1f) If  $\phi$  has order  $h$  and  $\phi^r$  is without non-trivial fixed elements on  $P$ , then  $h^2/r > o(P)$ ; if  $P$  is of  $\phi$ -index 0, and  $g$  is a  $\phi$ -generator of  $P$  of order  $s$ , then  $hs > o(P)$ .

In § 1 we shall establish several further properties of regular  $\phi$ -groups of prime power order, which we shall need for our subsequent work. In **(2)** we conjectured that if  $P$  has  $\phi$ -index  $r$  and  $\phi^r$  leaves only the identity element of  $P$  fixed, then  $P$  is in fact abelian. We shall include a proof of this conjecture when  $P$  has odd prime power order. The proof depends upon the following lemma, which is due to John Thompson.

LEMMA 1.1. *Let  $P$  be a  $p$ -group whose centre  $C$  and factor group  $\bar{P} = P/C$  are both elementary abelian of the same order  $p^n$ . Suppose  $G$  has an automorphism  $\phi$  which acts irreducibly on  $C$  and whose image  $\bar{\phi}$  on  $\bar{P}$  acts irreducibly on  $\bar{P}$ . Assume further that  $\phi$  and  $\bar{\phi}$ , regarded as linear transformations, have the same characteristic polynomials on  $C$  and  $\bar{P}$ . Then the order of  $\bar{\phi}$  is less than  $p^{n-1}$ .*

*Proof.* The associated Lie ring  $L$  of  $P$  is the Cartesian sum of two additive groups  $L_1$  and  $L_2$ , with  $L_1 \cong \bar{P}$  and  $L_2 \cong C$ . Regarding  $L$  as a vector space over the prime field  $k_p$  with  $p$  elements,  $\bar{\phi}$  and  $\phi$  induce linear transformations of  $L_1$  and  $L_2$  respectively, which we denote by the same letters. If  $[x, y]$  denotes the Lie product in  $L$ , it follows from the definition of  $L$  that for any two elements  $x, y$  in  $L_1$

$$(1) \quad [x\bar{\phi}, y\bar{\phi}] = [x, y]\phi.$$

\*Theorem 8 of **(2)** asserts actually that  $F$  is in the centre of  $P$ . There is, however, an error in the proof. A correct proof, when  $p$  is an odd prime, will be given below in Lemma 1.5. It will also be shown that  $P$  is of class  $\leq 2$  even when  $p = 2$ , although in this case  $F$  need not be in the centre of  $P$ . This will complete the proof of Theorem 9 of **(2)**.

It follows from (1) that the elements of the form  $[x, y]$ ,  $x, y$  in  $L_1$  generate a subspace of  $L_2$  invariant under  $\phi$ . Since  $\phi$  acts irreducibly on  $L_2$  and  $P$  is non-abelian, the elements  $[x, y]$  span  $L_2$ .

Let  $K_p^*$  be the algebraic closure of  $K_p$  and let  $L^* = L^*_1 \oplus L^*_2$  be the corresponding Lie ring over  $K_p^*$ . Since the characteristic polynomial of  $\bar{\phi}$  on  $L_1$  is irreducible, its characteristic roots are of the form  $\alpha, \alpha^p, \alpha^{p^2}, \dots, \alpha^{p^{n-1}}$ , for some element  $\alpha$  of  $K_p^*$  of order  $k$ , where  $k = \text{order of } \bar{\phi}$ . Since  $\bar{\phi}$  is completely reducible over  $K_p^*$ ,  $L^*_1$  has a basis  $x_0, x_1, \dots, x_{n-1}$  such that

$$(2) \quad x_i \bar{\phi} = \alpha^{p^i} x_i, \quad i = 0, 1, 2, \dots, n-1.$$

Using (1) and (2) we see that

$$[x_0, x_i] \phi = [x_0 \bar{\phi}, x_i \bar{\phi}] = [\alpha x_0, \alpha^{p^i} x_i] = \alpha^{1+p^i} [x_0, x_i].$$

If  $[x_0, x_i] = 0$  for all  $i$ ,  $\dim Z(L^*) > n$ , where  $Z(L^*)$  denotes the centre of  $L^*$ . But then  $\dim Z(L) > n$ , contrary to the fact that  $\text{o}(C) = p^n$ . Hence  $[x_0, x_i] \neq 0$  for some  $i$ . Since  $\phi$  has the same characteristic roots as  $\bar{\phi}$ , we conclude that  $\alpha^{1+p^i} = \alpha^{p^j}$  for some  $j$  and hence

$$(3) \quad 1 + p^i \equiv p^j \pmod{k} \text{ with } 0 < i \leq n-1, 0 \leq j \leq n-1$$

which is clearly impossible if  $k > p^{n-1}$ . Since  $\bar{\phi}$  acts irreducibly on  $\bar{P}$ ,  $(k, p) = 1$ , so that in fact  $k < p^{n-1}$ .

We also require some additional properties of  $\phi$ -groups which we shall need later in the paper as well as in the present section.

**LEMMA 1.2.** *Let  $P$  be an elementary abelian regular  $\phi$ -group of order  $p^n$  and of  $\phi$ -index  $r$ , and assume  $P = P_1 \times P_2$ , where  $P_i \neq 1$  and  $P_i$  is invariant under  $\phi$ ,  $i = 1, 2$ . If  $\phi$  has order  $k_i$  on  $P_i$ , then  $k_1 \neq k_2$ . Furthermore, if  $\phi^r$  leaves only the identity element of  $P_1$  fixed, then  $k_1 \nmid k_2$ .*

*Proof.* Assume  $k_1 \mid k_2$  and  $\phi^r$  leaves only the identity element of  $P_1$  fixed. Thus  $\phi$  has order  $k_2$  on  $P$ , and we may assume  $r \mid k_2$ . Let  $x = x_1 x_2$  with  $x_i \in P_i$ ,  $i = 1, 2$  be a  $\phi$ -generator of  $P$  of  $\phi$ -index  $r$ . Now  $[x_2]_r^j = 1$  if and only if  $k_2/r$  divides  $j$ . Since  $[x]_r^j = [x_1]_r^j [x_2]_r^j$ ,  $z = [x_1]_r^{k_2/r}$  must be a  $\phi$ -generator of  $P_1$ . Since  $\phi^r$  leaves only the identity element of  $P_1$  fixed and  $k_1 \mid k_2$ ,  $z = 1$  and hence  $P_1 = 1$ , a contradiction.

If  $k_1 = k_2$ , we need only show that  $\phi^r$  has no non-trivial fixed elements on  $P_1$ . In the contrary case,  $\phi^r$  leaves some subgroup  $F_1 \neq 1$  of  $P_1$  fixed. If  $F_1 = P_1$ ,  $r = k_1$  and  $\phi^r$  is the identity on  $P$  whence every element of  $P$  is of the form  $\phi^i(x^j)$ . But this implies that  $\phi$  acts irreducibly on  $P$ , which is not the case. On the other hand, if  $F_1 \subset P_1$ , set  $\bar{P} = P/F_1 = \bar{P}_1 \times \bar{P}_2$ . Since  $P$  is elementary abelian,  $F_1$  is the  $\phi$ -nucleus of  $P$ , so that by (1c)  $\bar{\phi}^r$  leaves only the identity element of  $\bar{P}$  fixed, and we reach a contradiction as in the preceding paragraph.

**LEMMA 1.3.** *Let  $P$  be a regular  $\phi$ -group of order  $p^m$ ,  $p$  a prime, and let  $F$  be the*

$\phi$ -nucleus of  $P$ . Then  $P = HK$ , where  $H, K$  are  $\phi$ -invariant subgroups of  $P$  satisfying the following conditions:

- (a)  $H$  and  $K$  commute elementwise;
- (b)  $H \supseteq F$  and  $H \cap K = \Omega_1(F)$ ;
- (c) if  $\phi$  has order  $k_1$  on  $\Omega_1(F)$ , then  $\phi$  has order  $k_1 p^e$  on  $H$  for some  $e$ ;
- (d) the image of  $\phi^{k_1}$  on  $K/\Omega_1(F)$  leaves only the identity fixed;
- (e) either  $H = F$  or  $K$  is elementary abelian.

*Proof.* We first show that (e) is a consequence of the remaining conditions. Set  $F_1 = \Omega_1(F)$ . Since  $\tilde{K} = K/F_1$  is elementary abelian, it follows from (d), if  $K$  is abelian, that  $K$  is elementary abelian. Suppose  $K$  is non-abelian, and let  $k$  be the order of  $\tilde{\phi}$  on  $\tilde{K}$ . Let  $x, y \in K$  be such that  $[x, y] = z \neq 1$ . Applying  $\phi^k$ , it follows at once that  $\phi^k(z) = z$ . Since  $z \in F_1$  and  $\phi$  acts irreducibly on  $F_1$ , we conclude that  $k_1 \mid k$ .

Assume now that (e) is false, in which case  $H \supset F$  and  $K$  is non-abelian. Then  $\tilde{P} = P/F = \tilde{H} \times \tilde{K}$ , where  $\tilde{\phi}$  leaves each factor invariant, has order  $k_1$  on  $\tilde{H}$ , and  $k$  on  $\tilde{K}$ . If  $P$  is of  $\phi$ -index  $r$ ,  $\tilde{\phi}^r$  leaves only the identity element of  $\tilde{P}$  fixed. But then by Lemma 1.2,  $k_1 \nmid k$ , a contradiction.

Now let  $\tilde{P} = P/F_1$ . If  $\tilde{F} = 1$ , then  $\tilde{P}$  is elementary abelian,  $\tilde{\phi}$  has order prime to  $p$  on  $\tilde{P}$ , and  $\tilde{\phi}^r$  leaves only the identity element of  $\tilde{P}$  fixed. It follows therefore from Lemma 1.2 that  $\tilde{P} = \tilde{H} \times \tilde{K}$ , where each factor is  $\tilde{\phi}$ -invariant, either  $\tilde{H} = 1$  or  $\tilde{\phi}$  has order  $k_1$  on  $\tilde{H}$ , and  $\tilde{\phi}^{k_1}$  leaves only the identity element of  $\tilde{K}$  fixed. If  $H, K$  are the inverse images of  $\tilde{H}, \tilde{K}$  respectively, then  $\phi$  has order  $h = k_1 p^e$  on  $H$  and  $H \cap K = F_1$ . But then  $\phi^h$  leaves only the identity element of  $\tilde{K}$  fixed, and it follows that the elements  $y^{-1}\phi^h(y)$ ,  $y \in K$ , include a set of coset representatives of  $F_1$  in  $K$ . If  $y \in K, x \in H$ , then  $yx y^{-1} = x' \in H$ . Applying  $\phi^h$  to this relation, we readily conclude that  $y^{-1}\phi^h(y)$  centralizes  $H$  for all  $y$  in  $K$ . Since  $F_1 \subseteq Z(P)$ , it follows at once that  $H, K$  commute elementwise. Thus the lemma holds if  $F = 1$ .

If  $\tilde{F} \neq 1$ , then by induction  $\tilde{P} = \tilde{H}\tilde{K}$ , where  $\tilde{H}, \tilde{K}$  satisfy the conditions of the lemma. Hence, if  $H$  denotes the inverse image of  $\tilde{H}$  in  $P$ , then  $\phi$  has order  $k_1 p^e$  on  $H$ . Let  $K_1$  be the inverse image of  $K$  in  $P$ . Then  $K_1 \cap F = \Omega_2(F)$ . If  $K_1 \subset P$ , it follows again by induction that  $K_1 = \Omega_2(F)K$ , where  $\Omega_2(F) \cap K = F_1$  and  $K$  is  $\phi$ -invariant. Thus  $P = HK$ , and  $H \cap K = F_1$ . Since  $\phi^{k_1}$  leaves only the identity element of  $K/F_1$  fixed, it follows as in the preceding case that  $H$  and  $K$  commute elementwise.

Suppose finally that  $K_1 = P$ . Then again as in the case  $\tilde{F} = 1$ , it follows that  $F \subseteq Z(P)$ . But then  $\text{cl}(P) \leq 2$  and  $[P, P] \subseteq F_1$ . Thus  $\tilde{P} = P/F_1 = \tilde{F} \times \tilde{K}$ , where each factor is  $\tilde{\phi}$ -invariant. The lemma now follows with  $H = F$  and  $K$  the inverse image of  $\tilde{K}$ .

**LEMMA 1.4.** *Under the assumptions of the preceding lemma, if  $p$  is odd and  $F$  is abelian on at most two generators, then  $H$  is abelian.*

*Proof.* By induction  $\tilde{H} = H/F_1$  is abelian. If  $\tilde{H}$  is cyclic,  $H$  is clearly abelian.

If  $\tilde{H}$  is of type  $(p^m, p^m)$  then  $[H, H]$  is cyclic and contained in  $F_1$ . But in this case  $o(F_1) = p^2$ , and since  $\phi$  acts irreducibly on  $H$ , it follows that  $[H, H] = 1$ . Hence  $H$  is abelian. Thus  $\tilde{H} = \tilde{F} \times \tilde{H}_1, \tilde{H}_1 \neq 1$ . If  $\tilde{F}_1 = \Omega_1(\tilde{F})$ , then  $\tilde{\phi}$  either has order  $k_1$  or  $k_1p$  on  $\tilde{F}_1\tilde{H}_1$ . Since  $\tilde{H}_1 \neq 1$ ,  $\tilde{\phi}$  cannot have order  $k_1$  on  $\tilde{F}_1\tilde{H}_1$  by Lemma 1.2. For the same reason  $o(\tilde{H}_1) = o(\tilde{F}_1)$ . In particular, it follows that  $\tilde{\phi}$  has the same characteristic polynomial on  $\tilde{H} = H/F$  as  $\phi$  has on  $F_1$ .

If  $H$  is non-abelian, we consider the Lie ring  $L$  associated with  $H$ ;  $L$  is represented as the direct sum of two additive groups  $L_1, L_2$  with  $L_1 \cong F_1$  and  $L_2 \cong \tilde{H}$ . It follows now as in Lemma 1.1 that

$$(4) \quad 1 + p^i \equiv p^j \pmod{k_1}$$

with  $0 \leq i, j \leq n - 1$ , where  $o(F_1) = p^n$ . We note that in this case  $i = 0$  is possible. The only solution of this congruence is  $n = 2, i = 0, j = 1$ , whence  $k_1 = p - 2$ . But  $k_1 | p^2 - 1$  and hence  $k_1 = 3$ . On the other hand,  $F_1$  has  $\phi$ -index 0 and hence  $k_1p = 3p > o(F_1) = p^2$ , which is impossible unless  $p = 2$ .

LEMMA 1.5. *If  $P$  is a regular  $\phi$ -group of order  $p^m$ , then  $cl(P) \leq 2$ . Furthermore if  $p$  is odd, the  $\phi$ -nucleus  $F$  of  $P$  is contained in  $Z(P)$ .*

*Proof.* If  $F$  is elementary abelian,  $cl(P) \leq 2$  since then  $F \subseteq Z(P)$  and  $P/F$  is elementary abelian. Hence we may assume that  $F$  is abelian on at most two generators. If  $p$  is odd, it follows at once from the preceding two lemmas that  $F \subseteq Z(P)$ . Since  $P/F$  is elementary abelian,  $cl(P) \leq 2$ . On the other hand, if  $p = 2$ , write  $P = HK$ , where  $H, K$  satisfy the conditions of Lemma 1.3. Since  $H, K$  commute elementwise, it suffices to prove  $cl(H) \leq 2$ . Now  $\phi$  has order  $3 \cdot 2^e$  on  $H$  for some  $e$ , and hence  $\phi_1 = \phi^{2^e}$  is an automorphism of  $H$  of order 3 leaving only the identity element fixed. But then a result of Neumann (8) implies that  $cl(H) \leq 2$ .

LEMMA 1.6. *Let  $P$  be a regular  $\phi$ -group of order  $p^m$  with  $\phi$ -nucleus  $F$ . If  $P$  contains a  $\phi$ -invariant abelian subgroup  $P_1$  such that  $P_1 \cap F = 1$ , then  $P_1 \subseteq Z(P)$ .*

*Proof.* Write  $P = HK$ , where  $H, K$  satisfy the conditions of Lemma 1.3. It follows as in the proof of Lemma 1.4 that  $H$  contains no  $\phi$ -invariant subgroups disjoint from  $F$  and hence  $P_1 \subseteq K$ . Without loss we may assume  $K = P$ . In particular,  $F = \Omega_1(F)$ . We can decompose  $\tilde{P} = P/F$  into the direct product of minimal  $\tilde{\phi}$ -invariant subgroups  $\tilde{P}_i, i = 1, 2, \dots, t$ . The lemma follows at once by induction if  $t > 2$ . If  $t = 1$ , then  $P = FP_1$  is abelian; so we may assume that  $t = 2$  and that the inverse image of  $\tilde{P}_1 = F \times P_1$ . Let  $h_i$  be the order of  $\tilde{\phi}$  on  $\tilde{P}_i$  and  $k_1$  the order of  $\phi$  on  $F$ . By Lemma 1.2  $h_1 \nmid h_2$ ; and by the same lemma  $h_1 \nmid k_1$ . Hence there exists an integer  $w$  not divisible by  $k_1$  such that  $\phi_1 = \phi^w$  acts trivially on the inverse image  $P_2$  of  $\tilde{P}_2$  in  $P$ . Now if  $x_i \in P_i, i = 1, 2$ , then  $[x_1, x_2] = z \in F$ . Applying  $\phi_1$  to this relation, we conclude that  $P_2$  centralizes all elements of  $P_1$  of the form  $x_1^{-1}\phi_1(x_1)$ . Since  $\phi$  acts irreducibly on  $P_1$  and  $\phi_1$  is not trivial on  $P_1$ ,  $P_1$  centralizes  $P_2$  and hence  $P_1 \subseteq Z(P)$ .

**THEOREM 1.** *Let  $P$  be a regular  $\phi$ -group of order  $p^n$ ,  $p$  odd, and of  $\phi$ -index  $r$ , and assume that  $\phi^r$  leaves only the identity element of  $P$  fixed. Then  $P$  is abelian.*

*Proof.* Let  $F$  be the  $\phi$ -nucleus of  $P$ , and assume first that  $F$  is elementary abelian, in which case  $F \subseteq Z(P)$ . By (1b),  $\phi$  acts irreducibly on  $F$  and by (1d)  $k \mid rs$ , where  $k$  is the order of  $\phi$  on  $F$  and  $rs$  is the  $\phi$ -index of  $F$ . Thus every element of  $F$  is of the form  $\phi^i(x^j)$ . If the elements  $x^j$ ,  $0 < j \leq p-1$  lie in  $d$  distinct orbits of  $\phi$ , then clearly  $d \mid p-1$ . Since each of these orbits contains  $k$  elements, it follows, if  $o(F) = p^m$ , that

$$(5) \quad k = (p^m - 1)/d, \text{ and } d \mid p - 1.$$

Let  $\bar{P}_i$  be the minimal  $\bar{\phi}$ -invariant subgroups of  $\bar{P} = P/F$ ,  $i = 1, 2, \dots, t$ , and let  $P_i$  be the inverse image of  $\bar{P}_i$  in  $P$ . Denote by  $k_i$  the order of  $\bar{\phi}$  on  $\bar{P}_i$ . Suppose first that some  $P_i$  is elementary abelian and that the order  $h_i$  of  $\phi$  on  $P_i$  is relatively prime to  $p$ . Then  $P_i = F \times K_i$ , where  $K_i$  is  $\phi$ -invariant. By Lemma 1.6  $K_i \subseteq Z(P)$ . By induction  $P/K_i$  is abelian and hence  $[P, P] \subseteq F \cap K_i = 1$ . Thus  $P$  is abelian. On the other hand, if  $P_i$  is elementary abelian and  $p \mid h_i$  or if  $P_i$  is abelian, but not elementary abelian, it is easy to see that  $k_i = k$ . Hence we may suppose that for each  $i$  either  $P_i$  is non-abelian or  $k_i = k$ .

If some  $P_i$ , say  $P_1$ , were non-abelian, then for suitable  $x_1, x_2$  in  $P_1$ ,  $[x_1, x_2] = z \neq 1$  in  $F$ . Applying  $\phi^{k_1}$  to this relation we conclude readily that  $\phi^{k_1}(z) = z$  and hence that  $k \mid k_1$ . It follows that for any abelian  $P_i$   $k_i \mid k_1$ , and this is impossible by Lemma 1.2. Thus either all  $P_i$  are non-abelian or all  $P_i$  are abelian. In the latter case we must have  $t = 1$ , since otherwise  $k_1 = k_2 = k$ , contrary to Lemma 1.2. Thus we may suppose that all  $P_i$  are non-abelian. Furthermore, it follows as in Lemma 1.2 that  $\phi$  must have order  $k_i p$  on  $P_i$  for some  $i$ , say  $i = 1$ .

Let  $o(\bar{P}_1) = p^n$  and let  $\bar{y}_1, \bar{y}_2, \dots, \bar{y}_n$  be a basis for  $\bar{P}_1$  such that

$$\bar{\phi}(\bar{y}_i) = \bar{y}_{i+1}, \quad i = 1, 2, \dots, n-1$$

and

$$\bar{\phi}(\bar{y}_n) = \bar{y}_1^{c_1} \bar{y}_2^{c_2} \dots \bar{y}_n^{c_n}.$$

Regarding  $\bar{\phi}$  as a linear transformation, its characteristic polynomial  $\bar{f}(X)$  is given by

$$(6) \quad \bar{f}(X) = X^n - c_n X^{n-1} - \dots - c_2 X - c_1.$$

Choose representative  $y_i$  of  $\bar{y}_i$  such that  $\phi(y_i) = y_{i+1}$ ,  $i = 1, 2, \dots, n-1$  and  $\phi(y_n) = z_0 y_1^{c_1} y_2^{c_2} \dots y_n^{c_n}$ ,  $z_0 \in F$ .

Now  $\phi^{k_1}(y_1) = zy_1$ , where  $z \neq 1$  in  $F$  since  $\phi$  has order  $k_1 p$  on  $P_1$ . Applying  $\phi^i$  to this equation we find that

$$(7) \quad \phi^{k_1}(y_1) = \phi^{i-1}(z)y_i \quad i = 1, 2, \dots, n.$$

In particular, for  $i = n$ , and using (7), we obtain

$$\begin{aligned} \phi^n(z)\phi^n(y_1) &= \phi^n(\phi^{k_1}(y_1)) = \phi^{k_1}(\phi^n(y_1)) \\ &= \phi^{k_1}(z_0y_1^{c_1}y_2^{c_2} \dots y_n^{c_n}) = z^{c_1}\phi(z^{c_2}) \dots \phi^{n-1}(z^{c_n})\phi^n(y_1), \end{aligned}$$

whence

$$(8) \quad \phi^n(z) = z^{c_1}\phi(z^{c_2}) \dots \phi^{n-1}(z^{c_n}).$$

If  $f(X)$  denotes the characteristic polynomial of  $\phi$  on  $F$ , it follows from (6) and (8) and the irreducibility of  $\phi$  on  $F$  that  $f(X) \mid \bar{f}(X)$ . But  $\bar{\phi}$  acts irreducibly on  $\bar{P}_1$  and so  $\bar{f}(X)$  is irreducible over the integers mod  $p$ . It follows at once that  $f(X) = \bar{f}(X)$  and that  $m = n$  and  $k = k_1$ . Lemma 1.1. now implies that  $k < p^{n-1}$  in contradiction to (5).

Suppose finally that  $F$  is not elementary abelian. Then  $P = HK$ , where  $H, K$  satisfy the conditions of Lemma 1.3. If  $P$  is non-abelian, then  $K$  must be non-abelian by Lemma 1.4 since  $p$  is odd, and it follows as in the first part of the proof that the order  $k$  of  $\phi$  on  $F_1$  divides the order of  $\bar{\phi}$  on  $\bar{K} = K/F_1$ . But if  $\bar{P} = P/F_1$ ,  $\bar{\phi}$  leaves only the identity element of  $\Omega_1(\bar{P})$  fixed,  $\bar{\phi}$  has order  $k$  on  $\Omega_1(\bar{P})$ , and  $\Omega_1(\bar{P})$  centralizes  $\bar{K}$ . This contradicts Lemma 1.2.

We remark that the assumption  $p \neq 2$  was used only in the case  $F$  abelian of type  $(p^e, p^e)$ ,  $e > 1$ . Thus Theorem 1 holds without restriction on  $p$  if  $F$  is elementary abelian.

We conclude this section with one further result on  $\phi$ -groups which we shall need.

LEMMA 1.7. *Let  $P$  be an elementary abelian  $\phi$ -group of order  $p^{2n}$ , and assume  $\phi$  has order  $p^n + 1$ . Then  $p = 2$  and  $n = 1$ .*

*Proof.* Our conditions imply that  $\phi$  acts irreducibly on  $P$ . Let  $g$  be a  $\phi$ -generator of  $P$  of  $\phi$ -index  $r$ , and suppose first that  $h \nmid r$ , where  $h = p^n + 1$ . We may assume  $r \mid h$ . Since  $\phi$  is irreducible on  $P$ ,  $\phi^r$  leaves only the identity element of  $P$  fixed, and hence  $[g]_1^{h/r} = 1$ . Since  $P$  is a  $\phi$ -group, this implies  $h^2/r > o(P)$ , whence

$$(9) \quad (p^n + 1)^2 > rp^{2n}.$$

(9) implies that  $r = 1$  if  $p$  is odd and that  $r \leq 2$  if  $p = 2$ . But  $h$  is odd if  $p = 2$  and since  $r \mid h$ , we conclude that  $r = 1$  for all  $p$ . Suppose first that  $p$  is odd. Then for  $s > h/2$  we have  $[g]_1^s = [g]_1^h[\phi^s(g)\phi^{s+1}(g) \dots \phi^{h-1}(g)]^{-1}$  whence

$$(10) \quad [g]_1^s = \phi^s([g^{-1}]_1^{h-s}).$$

$\phi^{\frac{1}{2}h}$  is an automorphism of  $P$  of order 2 without non-trivial fixed elements, and hence  $\phi^{\frac{1}{2}h}(x) = x^{-1}$  for all  $x$  in  $P$ . It follows at once from (10) that  $[g]_1^s = \phi^{s+h/2}([g]_1^{h-s})$ , and consequently the elements  $[g]_1^j$  lie in at most  $\frac{1}{2}h$  distinct orbits. Thus  $\frac{1}{2}h \cdot h > o(P)$ , and consequently  $(p^n + 1)^2 > 2p^{2n}$ , which is impossible.

If  $p = 2$ , it follows as in (10), since  $g^{-1} = g$ , that  $[g]_1^s = \phi^s([g]_1^{h-s})$ . The non-identity elements of  $P$  thus lie in at most  $\frac{1}{2}(h - 1) = 2^{n-1}$  orbits, and consequently  $(2^n + 1)2^{n-1} \geq 2^{2n} - 1$ , which implies  $n = 1$ .

On the other hand, if  $h \mid r$ ,  $P$  is of  $\phi$ -index 0, whence  $(p^n + 1)p > p^{2n}$ , which implies  $n = 1$ . However, if  $p$  is odd,  $\phi^{h/2}(g) = g^{-1}$  and hence the elements  $\phi^i(g^j)$  lie in at most  $1 + \frac{1}{2}(p - 1)$  orbits, and we obtain the stronger inequality  $\frac{1}{2}(p^n + 1)(p + 1) > p^{2n}$ , which is impossible.

**2. Some preliminary lemmas.** We begin with several lemmas.

LEMMA 2.1. *If a group  $G$  admits an automorphism  $\phi$  which leaves a normal abelian subgroup  $H$  of  $G$  elementwise fixed and is such that the image of  $\phi$  on  $G/H$  is without non-trivial fixed elements, then  $H \subseteq Z(G)$ .*

*Proof.* If  $x \in G, z \in H$ , we have

$$(11) \quad xzx^{-1} = z', z' \in H.$$

Applying  $\phi$  yields  $\phi(x)z\phi(x^{-1}) = z'$ , which together with (11) implies  $x^{-1}\phi(x) \in C(H)$  for all  $x$  in  $G$ . Since  $H$  is abelian,  $x^{-1}\phi(x)y \in C(H)$  for all  $x$  in  $G$ , all  $y$  in  $H$ .

If  $g \in G$ , its image  $\bar{g}$  in  $\bar{G} = G/H$  is of the form  $\bar{x}^{-1}\bar{\phi}(\bar{x}), \bar{x} \in \bar{G}$ , since  $\bar{\phi}$  leaves only the identity element of  $\bar{G}$  fixed. Thus  $g = x^{-1}\phi(x)y$  for suitable elements  $x$  in  $G, y$  in  $H$ . Thus  $H \subseteq Z(G)$ , as asserted.

LEMMA 2.2. *If  $G$  is assumed to be abelian in Lemma 2.1, then  $G$  contains a subgroup  $K$  invariant under  $\phi$  such that  $G = H \times K$ .*

*Proof.* Let  $\theta(x) = x^{-1}\phi(x)$ . Since  $G$  is abelian,  $\theta$  is an endomorphism of  $G$ , whence by Fitting's lemma,  $G = H_1 \times K$  where  $\theta$  is nilpotent on  $H_1$  and an automorphism on  $K$ . Since  $\theta(x) = 1$  if  $x \in H, H \subseteq H_1$ . If  $\bar{\theta}$  denotes the image of  $\theta$  on  $\bar{G} = G/H = \bar{H}_1 \times \bar{K}$ , our hypotheses imply that  $\bar{\theta}$  is an automorphism on  $\bar{G}$ . Since  $\bar{\theta}$  is nilpotent on  $\bar{H}_1$ , necessarily  $\bar{H}_1 = 1$  and hence  $H_1 = H$ . Since  $\phi(x) = x\theta(x), x \in K$  implies  $\phi(x) \in K$ , whence  $K$  is invariant under  $\phi$ .

LEMMA 2.3. *Let  $A$  be a cyclic subgroup of a group  $G$  such that  $N(A) = A$  and for any subgroup  $A_0$  of  $A, A_0 \subseteq Z(N(A_0))$ . Assume further than  $G$  contains a normal subgroup  $H$  such that  $A \cap H \subseteq Z(H)$ . Then if  $\bar{G} = G/H$  and  $\bar{A}$  denotes the image of  $A$  in  $\bar{G}$ , we have  $N(\bar{A}) = \bar{A}$ .*

*Proof.* Let  $A = \langle a \rangle$  be of order  $h$ , and let  $A \cap H = \langle a^r \rangle$  with  $r \mid h$ . If  $x$  is a representative in  $G$  of  $\bar{x}$  in  $N(\bar{A})$ , then we have

$$(12) \quad xax^{-1} = a^\lambda z \text{ for some integer } \lambda \text{ and some } z \text{ in } H.$$

Since  $H \triangleleft G, x^{-1}zx = y, y$  in  $H$ , and hence

$$(13) \quad a^{-1}xa = a^{\lambda-1}xy.$$

Let  $K = AH$ . Since  $A$  is Abelian,  $A \cap H$  is in the centre of  $K$ . Set  $K' = K/A \cap H$  and let  $A' = \langle a' \rangle, H', y'$  be the residues of  $A, H, y$  in  $K'$ . Clearly  $N_{K'}(A') = A', H' \triangleleft K', K' = A'H',$  and  $A' \cap H' = 1$ . If  $\phi'$  denotes the automorphism of  $H'$  induced by conjugation by  $a', \phi'$  leaves only the identity

element of  $H'$  fixed. Hence there exists an element  $t'$  in  $H'$  such that  $\phi'(t') = y'^{-1}t'$ . If  $t$  is a representative of  $t'$  in  $H$ , we conclude that

$$(14) \quad a^{-1}ta = y^{-1}ta^{rd} \text{ for some integer } d.$$

We now obtain from (13) and (14)

$$a^{-1}xta = (a^{-1}xa)(a^{-1}ta) = (a^{\lambda-1}xy)(y^{-1}ta^{rd}),$$

whence

$$(15) \quad (xt)^{-1}a^\lambda(xt) = a^{1-rd}.$$

By hypothesis  $(a^\lambda)$  lies in the centre of its normalizer, and consequently (15) implies  $\lambda \equiv 1 \pmod{r}$ . Thus  $a^{\lambda-1} \in A \cap H$ .

On the other hand, as in the derivation of (14) there is an element  $t_1$  in  $H$  such that  $a^{-1}t_1a = a^{rc}t_1z^{-1}$  for some integer  $c$ . Thus  $a^{-1}t_1xa = (a^{-1}t_1a)(a^{-1}xa) = (a^{rc}t_1z^{-1})(a^{\lambda-1}xz)$ . Since by hypothesis  $A \cap H \subseteq Z(H)$  and  $a^{\lambda-1} \in A \cap H$ , it follows that  $t_1xax^{-1}t_1^{-1} = a^{rc+\lambda}$ . Hence  $t_1x \in N(A) = A$ , whence  $x \in A$ . Thus  $N(A) = A$ , as asserted.

If  $A \cap H = 1$ ,  $r = 0$  and (15) implies that  $xt \in N(A)$ , giving  $\bar{x} \in \bar{A}$  and  $N(\bar{A}) = \bar{A}$  at once. We thus have the following corollary.

**COROLLARY.** *Let  $A$  be a cyclic subgroup of a group  $G$  such that  $N(A) = A$ . If  $G$  contains a normal subgroup  $H$  such that  $A \cap H = 1$  and  $\bar{G} = G/H$ , then  $N(\bar{A}) = \bar{A}$ , where  $\bar{A}$  denotes the image of  $A$  in  $\bar{G}$ .*

We shall also need some properties of automorphisms of an extra-special  $p$ -group  $P$ , as defined by Hall and Higman in (7). In their paper the only automorphisms  $\phi$  of  $P$  which are considered are of order a power of a prime  $q \neq p$  and the holomorph of  $P$  and  $\phi$  is represented on a vector space  $V$  over the field with  $q$  elements. Many of their results can be carried through if  $\phi$  has arbitrary order prime to  $p$  and if the representations of the holomorph of  $\phi$  and  $P$  are taken in the complex numbers. In particular, the following lemma holds:

**LEMMA 2.4.** *Let  $P$  be an extra-special  $p$ -group of order  $p^m$  and assume that  $P$  admits an automorphism  $\phi$  of order  $k$  prime to  $p$  which acts trivially on  $Z(P)$  and such that the image  $\bar{\phi}$  of  $\phi$  on  $\bar{P} = P/Z(P)$  acts irreducibly. Then  $k \leq p^{\frac{1}{2}(m-1)} + 1$ .*

We shall need one other similar result.

**LEMMA 2.5.** *Let  $P$  be an extra-special  $p$ -group of order  $p^m$  and assume that  $P$  admits an automorphism  $\phi$  of order  $k$  prime to  $p$  which acts trivially on  $Z(P)$  and assume that  $\bar{P} = \bar{P}_1 \times \bar{P}_2$ , that  $\bar{\phi}$  leaves  $\bar{P}_i$  invariant and acts irreducibly on  $\bar{P}_i$  and that  $\bar{\phi}$  has the same minimal polynomial on  $\bar{P}_i$ ,  $i = 1, 2$ . Then  $k \leq p^{\frac{1}{2}(m-3)} + 1$ .*

*Proof.* We proceed as in Lemma 1.1 and consider the Lie ring  $L = L_1 \oplus L_2$  associated with  $P$  over the field  $K_p$  with  $p$  elements and its extension  $L^* = L^*_1 \oplus L^*_2$  over the algebraic closure  $K^*_p$  of  $K_p$ . Now  $L_2 \cong \bar{P}$ , and since  $\bar{\phi}$

has the same characteristic polynomial on  $\bar{P}_1$  and  $\bar{P}_2$ , it follows as in Lemma 1.1 that we can find a basis  $x_1, \dots, x_2^n$  of  $L_2$  such that

$$x_i\bar{\phi} = \alpha^{p^i}x_i \text{ and } x_{n+i}\bar{\phi} = \alpha^{p^i}x_{n+i}, \quad i = 1, 2, \dots, n,$$

where  $n = \frac{1}{2}(m - 1)$  and  $\alpha$  is a primitive  $k$ th root of unity in  $K_p^*$ .

Now for some  $x_i, x_j, [x_i, x_j] = z \neq 0$  in  $L_1$ ; and it follows that

$$z\phi = \alpha^{p^a+p^b}z$$

where  $a, b$ , denote the residues of  $i, j \pmod n$ . Since  $\phi$  acts trivially on  $L_1$ ,

$$\alpha^{p^a+p^b} = 1.$$

Thus  $k \mid (1 + p^c)$ , where  $c \leq n - 1$ , and the lemma follows.

**3. Applications to ABA-groups.** We shall now apply the results of the preceding sections to obtain our first structure theorem for ABA-groups. We begin with the following lemma:

LEMMA 3.1. *Let  $G = ABA$  and assume  $G = AP$ , where  $P \triangleleft G, o(P) = p^m, p \geq 5, A \cap P = Z(P)$  and  $o(A \cap P) = p$ . Then  $G = A$ .*

*Proof.* Set  $\bar{G} = G/A \cap P = \bar{A}\bar{B}\bar{A} = \bar{A}\bar{P}$  so that  $\bar{P} \triangleleft \bar{G}$  and  $\bar{A} \cap \bar{P} = 1$ . Since clearly  $N(\bar{A}) = \bar{A}, \bar{P}$  is a regular  $\bar{\phi}$ -group where  $\bar{\phi}$  is the image of  $\phi$  on  $\bar{P}$  and we may assume  $\bar{P} \neq 1$ . Let  $\bar{F}$  be the  $\bar{\phi}$ -nucleus of  $\bar{P}$ .

Assume first that  $\bar{F} = 1$ , in which case  $\bar{P}$  is the direct product of minimal  $\bar{\phi}$ -invariant subgroups  $\bar{P}_i, i = 1, 2, \dots, t$ , on each of which  $\bar{\phi}$  has order  $k_i$  prime to  $p$ . By Lemma 1.2,  $k_1 \nmid k_i, i > 1$ . Let  $P_1$  be the inverse image of  $\bar{P}_1$  in  $P$ , and assume  $t > 1$ . It follows by induction that  $Z(P_i) \supseteq A \cap P$  and hence that each  $P_i$  is abelian. If  $x_1 \in P_1$  and  $x_i \in P_i, i > 1$ , then  $[x_1, x_i] = z \in A \cap P$ . Now  $\phi_1 = \phi^{pk_i}$  acts trivially on  $P_i$ , and hence if we apply  $\phi_1$  to this relation, we readily conclude that  $x_1^{-1}\phi_1(x_1) \in C(x_i)$ . Since  $x_1, x_i$  are arbitrary, and  $k_1 \nmid k_i$ , it follows that  $P_1$  centralizes  $P_i$  for all  $i$ . Thus  $P_1 \subseteq Z(P)$ , a contradiction. Hence  $t = 1$ .

Let  $A = A'A_p$ , where  $A'$  has order  $k$  prime to  $p$ . We may assume that no non-trivial subgroup of  $A'$  is normal in  $G$ , since otherwise the lemma follows by induction. Hence  $k = k_1$ . Now  $P$  is an extra-special  $p$ -group,  $A'$  centralizes  $Z(P)$ , and  $\bar{A}'$  acts irreducibly on  $\bar{P}$ . It follows therefore from Lemma 2.4 that

$$(16) \quad k \leq p^n + 1,$$

where  $n = \frac{1}{2}(m - 1)$ .

If  $r = \bar{\phi}$ -index of  $\bar{P}, \bar{\phi}^r$  leaves only the identity element of  $\bar{P}$  fixed, and hence  $k^2/r > p^{2n}$ . Since  $k \mid (p^{2n} - 1)$ , it follows therefore from (16) that  $k = p^n + 1$ . But then by Lemma 1.7,  $p = 2$ , contrary to hypothesis.

The same argument applies if  $\bar{P}$  is elementary and the order  $k$  of  $\bar{\phi}$  on  $\bar{P}$  is prime to  $p$ , but  $\bar{F} \neq 1$ . In this case we conclude that  $\bar{P} = \bar{F}$ . Since  $\bar{\phi}$  acts

irreducibly on  $\bar{F}$ , we again obtain (16). Since  $\bar{F}$  is of  $\bar{\phi}$ -index 0,  $k\bar{p} > p^{2n}$ , which together with (16) implies  $n = 2$  and  $k = p + 1$ . This yields a contradiction as above.

In the general case, let  $F$  be the inverse image of  $\bar{F}$  in  $P$ . Since  $\bar{F} \subseteq Z(\bar{P})$  and  $\mathfrak{o}(Z(P)) = p, \mathfrak{U}^1(F) \subseteq Z(P)$ , whence  $\mathfrak{U}^1(F) = A \cap P$ , and it follows that  $\bar{F}$  is elementary abelian. Furthermore, we may assume that the image  $\bar{\phi}$  of  $\phi$  on  $\bar{P} = \bar{P}/\bar{F}$  acts irreducibly on  $P$ ; otherwise the lemma follows readily by induction. Also  $F$  is abelian by induction.

The case  $\bar{P}$  elementary abelian and  $\bar{\phi}$  of order prime to  $p$  has already been considered; hence if  $k_1 = \text{order of } \bar{\phi} \text{ on } \bar{F}$  and  $k_2 = \text{order of } \bar{\phi} \text{ on } \bar{P}$ , we must have  $k_1 \mid k_2$ . Furthermore, the order  $h$  of  $\phi$  on  $F$  is either  $k_1$  or  $k_1p$ . If  $x \in F$  and  $y \in P$ , then  $xyx^{-1} \in F$  and consequently  $\phi^h(yxx^{-1}) = yxx^{-1}$ . But then  $y^{-1}\phi^h(y) \in C(x)$  for all  $y$  in  $P$ . If  $k_1 < k_2$ , the elements  $\bar{y}^{-1}\bar{\phi}^h(\bar{y})$  generate  $\bar{P}$  and hence  $x \in Z(P)$ , a contradiction. We conclude that  $k_1 = k_2$ .

Since  $\bar{\phi}^r$  leaves only the identity element of  $\bar{P}$  fixed,  $r \nmid k_2$  and therefore  $\bar{\phi}^r$  leaves only the identity element of  $\bar{P}$  fixed. Hence by Theorem 1  $\bar{P}$  is abelian. But then  $\mathfrak{U}^1(P) \subseteq Z(P)$ , whence  $\bar{P}$  is elementary abelian. Thus  $P$  is an extra-special group and  $\bar{\phi}$  has order  $k_1p$  on  $\bar{P}$ . But then  $A'P$  satisfies the conditions of Lemma 2.5, and hence

$$(17) \quad k_1 \leq p^{n-1} + 1, \text{ where } p^n = \mathfrak{o}(\bar{F}).$$

On the other hand, since  $\bar{F}$  is of  $\bar{\phi}$ -index 0, we must have  $(p^n - 1)/(p - 1) \mid k_1$ , which together with (17) implies that either  $n = 1$  and  $k_1 = 2$  or  $n = 2$  and  $k_1 = p + 1$ . If  $n = 2$ , Lemma 1.7 shows that  $p = 2$ , contrary to assumption. If  $n = 1$ ,  $\bar{\phi}$  has order 2 on  $\bar{P}$  and  $\mathfrak{o}(\bar{P}) = p$ . Since  $\bar{\phi}^r$  leaves only the identity element of  $\bar{P}$  fixed, we may assume  $r = 1$ . If  $\bar{y}$  is a  $\bar{\phi}$ -generator of  $\bar{P}$ , then every element of  $\bar{P}$  must be of the form  $\bar{\phi}^i([\bar{y}]_1^i)$ . But the only elements of this form are 1,  $\bar{y}, \bar{y}^{-1}$  since  $\bar{\phi}$  has order 2. Thus  $p = 3$ , contrary to assumption.

We shall now prove the following theorem.

**THEOREM 2.** *Let  $G = ABA$  and assume that  $G$  contains a normal subgroup  $P$  of order  $p^m, p \geq 5$ , such that  $G = AP$ . Then the commutator subgroup of  $G$  is a unique normal complement of  $A$  in  $G$ .*

*Proof.* The proof will be by induction on  $\mathfrak{o}(G)$ . Let  $P_1$  be a minimal subgroup of the centre of  $P$  normal in  $G$ . Thus either  $P_1 \subseteq A$  or  $P_1 \cap A = 1$ . If  $\bar{G} = G/P_1 = \bar{A}\bar{B}\bar{A} = \bar{A}\bar{P}$ ,  $N(\bar{A}) = \bar{A}$  by the corollary of Lemma 2.3 in case  $P_1 \cap A = 1$ . The same conclusion clearly holds if  $P_1 \subseteq A$ . Hence by induction  $\bar{G} = \bar{A}\bar{P}^*$ , where  $\bar{P}^* \triangleleft \bar{G}, \bar{P}^* \cap \bar{A} = 1$ , and  $\bar{P}^* = [\bar{G}, \bar{G}]$ . If  $P_1 \cap A = 1$ , the inverse image  $P^*$  of  $\bar{P}^*$  is a normal complement for  $A$  in  $G$ . Clearly  $P^* \supseteq [G, G]$ . On the other hand, if  $x \in P^*, axa^{-1}x^{-1} = \phi(x)x^{-1}$ . Since  $N(A) = A, \phi$  leaves only the identity element of  $P^*$  fixed, and hence the elements  $\phi(x)x^{-1}$  exhaust  $P^*$ . Thus  $P^* = [G, G]$ .

We may therefore suppose that  $P_1 \subseteq A$  and that  $P$  contains no subgroup  $\neq 1$  which is normal in  $G$  and disjoint from  $A$ . In this case we have  $G = AP^*$ ,

with  $P^* \triangleleft G$ ,  $A \cap P^* = P_1$  cyclic of order  $p$ , and  $P_1 \subseteq Z(P^*)$ . It follows from Lemma 2.2 that  $Z(P^*) = P_1 \times P_2$  where  $P_2 \cap A = 1$  and  $P_2$  is invariant under  $A$ , whence normal in  $G$ . Thus  $P_2 = 1$  and  $P_1 = Z(P^*)$ . The hypothesis of Lemma 3.1 is satisfied so that  $G = A$ , and the theorem is proved.

**4.  $ABA$ -groups associated with the primes  $p = 2$  and  $3$ .** To complete the description of  $ABA$ -groups  $G$  of the form  $AP$  with  $P \triangleleft G$ , and  $N(A) = A$ , we consider finally the case in which  $P$  is a 2-group or 3-group. We begin with the following lemma.

**LEMMA 4.1.** *Let  $G = ABA = AP$ , where  $P$  is a 2-group normal in  $G$ . Then  $P$  contains at most one  $A$ -invariant abelian subgroup of type  $(2, 2)$ . Furthermore any subgroup of  $A$  which is normal in  $G$  is in the centre of  $G$ .*

*Proof.* If  $K$  is an  $A$ -invariant abelian subgroup of type  $(2, 2)$ , no proper subgroup of  $K$  can be invariant under  $A$ , for otherwise we clearly have  $N(A) \supset A$ . Hence if  $P_1$  denotes a minimal  $A$ -invariant subgroup of  $Z(P)$ , either  $P_1 \cap K = 1$  or  $P_1 = K$ . Let  $\tilde{G} = G/P_1 = \tilde{A}\tilde{P}$ . If  $P_1 \subset A$ ,  $N(\tilde{A}) = \tilde{A}$ ; if  $P_1 \not\subset A$ , the minimality of  $P_1$  implies that  $P_1 \cap A = 1$  so that  $N(\tilde{A}) = \tilde{A}$  by the corollary of Lemma 2.3. Hence by induction  $\tilde{P}$  contains at most one  $\tilde{A}$ -invariant abelian subgroup of type  $(2, 2)$ . The lemma follows at once unless  $P_1$  itself is of type  $(2, 2)$ . But in this case  $P$  cannot contain another such subgroup  $K$  for then  $P_1K = P_1 \times K$  would be a regular  $\phi$ -group on which  $\phi$  has order 3, and this is impossible by Lemma 1.2.

Let  $A_0 \triangleleft G$ ,  $A_0 \subseteq A$ . Let  $L$  be a maximal  $A$ -invariant normal subgroup of  $P$ . We may assume that  $AL \subset AP$ , since otherwise  $A_0$  is in the centre of  $G$  by induction on  $o(P)$ . In any case  $A_0$  is in the centre of  $AL$  by induction. If  $\tilde{G} = G/L = \tilde{A}\tilde{P}$ , repeated application of Lemma 2.3 shows that  $N(\tilde{A}) = \tilde{A}$  and hence that the image  $\tilde{\phi}$  of  $\phi$  leaves only the identity element of  $\tilde{P}$  fixed. Since  $A_0 \subseteq Z(L)$ , it follows as in the proof of Lemma 2.1 that  $x^{-1}\phi(x)$  centralizes  $A_0$  for all  $x \in P$ . But there exist a set of coset representatives of  $L$  in  $P$  of the form  $x^{-1}\phi(x)$ . Thus  $A_0 \subseteq Z(G)$ .

Our main result for  $p = 2$  is the following:

**THEOREM 3.** *Let  $G = ABA = AP$ , where  $P$  is a 2-group normal in  $G$ . Then either  $A$  has a normal complement in  $G$  or  $P$  contains two subgroups  $T_1, T_2$  normal in  $G$  such that*

- (a)  $G = A(T_1 \times T_2)$ ;
- (b)  $A$  does not possess a normal complement in  $AT_1$ ;
- (c)  $A \cap T_2 = 1$ ,  $T_2$  contains no  $A$ -invariant abelian subgroup of type  $(2, 2)$ , and furthermore  $T_2$  contains every  $A$ -invariant subgroup of  $P$  which is disjoint from  $A$  and which contains no  $A$ -invariant abelian subgroup of type  $(2, 2)$ ;
- (d)  $6 \mid o(A)$ .

*Proof.* The proof will be made by induction on  $o(P)$ . We add to our induction hypotheses the following assertion:

(e)  $T_1 = QQ'$ , where  $Q, Q' \triangleleft G, \phi$  has order  $3 \cdot 2^s$  on  $Q, A \cap Q' = 1$ , and if  $Q' \neq 1$ , the order of  $\phi$  on  $Q'$  is divisible by 3, but is not of the form  $3 \cdot 2^s$ .

We note first of all that (b) and (e) imply (d). In fact  $A \cap T_1 \neq 1$  by (b), whence  $2 \mid o(A)$ , and it follows at once from (e) that  $3 \mid o(A)$ .

Let  $P_1$  be a minimal  $A$ -invariant subgroup of the centre of  $P$  and set  $\bar{G} = G/P_1 = \bar{A}\bar{P}$ . As in the preceding lemma,  $N(\bar{A}) = \bar{A}$ . We distinguish two cases.

*Case 1.*  $P$  contains no subgroup normal in  $G$  disjoint from  $A$ . Thus  $P_1 \subset A$ . Suppose first that  $\bar{A}$  has a normal complement  $\bar{P}^*$  in  $\bar{G}$ . We may suppose  $\bar{P}^* = \bar{P}$ , since otherwise the theorem follows by induction. Now  $\bar{P}$  is a regular  $\bar{\phi}$ -group. Let  $\bar{F}$  be its  $\bar{\phi}$ -nucleus and write  $\bar{P} = \bar{H}\bar{K}$ , where  $\bar{H}, \bar{K}$  satisfy the conditions of Lemma 1.3. Suppose first that  $\bar{F}$  is elementary abelian and  $o(\bar{F}) = 2^n > 4$ . Let  $F$  be the inverse image of  $\bar{F}$  in  $P$ . If  $F$  is non-abelian,  $F$  is an extra-special group. Since  $\bar{\phi}$  acts irreducibly on  $\bar{F}$ , it follows as in the proof of Lemma 3.1 that  $\bar{\phi}$  has order  $k = 2^{2^n} + 1$  on  $\bar{F}$ , whence  $n = 2$  by Lemma 1.7. Thus  $F$  is abelian. Let  $H, K$  be the inverse image of  $\bar{H}, \bar{K}$  in  $P$ . It follows now as in Lemma 1.3 that  $F$  is in the centre of  $K$ . Since  $P$  contains no  $A$ -invariant normal subgroups disjoint from  $A, K \subset P$  and hence  $\bar{F} \subset \bar{H}$ .

Now  $\bar{\phi}$  has order  $2k$  on  $\bar{H}$ , and hence  $\bar{\phi}$  has the same characteristic polynomial on  $\bar{F}$  as  $\bar{\phi}$  has on  $\bar{H} = \bar{H}/\bar{F}$ . By the remark following Theorem 1,  $\bar{H}$  must be elementary abelian. But  $H$  is non-abelian; otherwise  $F \subseteq Z(P)$ . Hence  $H$  is extra-special, and we may apply Lemma 2.5 as in the proof of Lemma 3.1 to conclude that  $\bar{\phi}$  has order  $k = 2^{2^n} + 1$  on  $F$ . Thus  $n = 2$  by Lemma 1.7, a contradiction.

On the other hand, if  $\bar{F} = 1$ , essentially the same argument shows that no minimal  $\bar{\phi}$ -invariant subgroup of  $\bar{P}$  has order greater than 4. It follows therefore from Lemma 1.2 that either  $\bar{P} = 1$  or  $o(\bar{P}) = 4$ . In the first case,  $G = A$  and the theorem is obvious. In the second case,  $P$  must be a quaternion group and the theorem follows with  $T_1 = Q = P$ , and  $T_2 = 1$ .

We may therefore assume that  $\bar{F} \neq 1$  is abelian of type  $(2^e, 2^e)$ . Let  $\bar{F}_1 = \Omega_1(\bar{F})$  and let  $F_1$  be the inverse image of  $\bar{F}_1$  in  $H$ . If  $F_1 \subseteq Z(H)$ , then again as in Lemma 1.3,  $F_1 \subseteq Z(P)$ , a contradiction. Thus  $A \cap H \subseteq [H, H]$  and  $A$  does not possess a normal complement in  $AH$ . If we set  $H = Q$ , then  $\phi$  has order  $3 \cdot 2^s$  on  $Q$  for some  $s$ .

Suppose  $\bar{K}$  contains a minimal  $\bar{\phi}$ -invariant abelian subgroup  $\bar{K}_1$  disjoint from  $\bar{F}_1$ . Since  $o(\bar{K}_1) > 4$ , it follows as above that the inverse image  $K_1$  of  $\bar{K}_1$  is abelian. But then  $K_1 \subseteq Z(P)$ , a contradiction. Thus  $\bar{F}_1 = \Omega_1(\bar{K})$ . If  $\bar{K} = \bar{F}_1$ , the theorem follows with  $T_1 = H, T_2 = 1$ ; so assume  $\bar{K} \supset \bar{F}_1$ . Then  $\bar{K}$  is non-abelian. If  $K \subset P$ , it follows by induction from (e) that  $Q' = [AK, AK]$  is disjoint from  $A$ . Hence the theorem holds with  $T_1 = P, T_2 = 1$ .

Assume finally that  $K = P$ , in which case  $\bar{F} = \bar{F}_1$  and  $F$  is a quaternion group. If  $x \in F, y \in P$ , then  $[x, y] = z \in A \cap F$ . Applying  $\phi^6$  to this relation,

we find that  $F$  centralizes all elements of  $P$  of the form  $y^{-1}\phi^6(y)$ ,  $y \in P$ . Since these form a set of coset representatives of  $F$  in  $P$ , we conclude that  $Q = FK_1$ , where  $K_1 = C(F) \cap P \triangleleft P$  and  $K_1 \cap F = A \cap F$ . But then  $K$  is abelian, a contradiction.

*Case 2.*  $P_1 \cap A = 1$ . If  $\bar{A}$  has a normal complement in  $\bar{G}$ ,  $A$  obviously has one in  $G$ . Hence we may assume by induction that  $\bar{G} = \bar{A}(\bar{T}_1 \times \bar{T}_2)$ , where  $\bar{T}_1, \bar{T}_2$  satisfy the conditions of the theorem. Let  $H_1, H_2$  be the inverse images of  $\bar{T}_1, \bar{T}_2$  in  $P$ .

Assume first that  $o(P_1) \neq 4$  and hence that  $H_2$  contains no  $A$ -invariant abelian subgroup of type  $(2, 2)$ . If  $\bar{T}_2 \neq 1$ , it follows by induction that  $H_1 = T_1 \times P_1$ , where  $T_1$  is invariant under  $A$  and again as in Lemma 1.3  $T_1$  and  $H_2$  commute elementwise. Thus  $G = A(T_1 \times H_2)$ . Clearly  $T_1$  satisfies (b) and (e) and  $H_2$  contains every  $A$ -invariant subgroup of  $P$  disjoint from  $A$  and contains no  $A$ -invariant subgroup of type  $(2, 2)$ . The theorem follows.

On the other hand, if  $\bar{T}_2 = 1$ , we may assume  $\bar{T}_1 = \bar{P}$ . Hence  $\bar{P} = \bar{Q}\bar{Q}'$ , where  $\bar{Q}, \bar{Q}'$  satisfy (e). Let  $Q_1, Q_1'$  be the inverse images of  $\bar{Q}, \bar{Q}'$  in  $P$ . Let  $\bar{K}$  be a minimal  $\bar{A}$ -invariant subgroup of  $\bar{Q}$  and  $K$  its inverse image in  $P$ . Either  $\bar{K} \subset \bar{A}$  or  $\bar{K}$  is abelian of type  $(2, 2)$ . In the first case it follows from the minimality of  $P_1$  that  $K = P_1 \times L$ , where  $L$  is  $A$ -invariant (in fact,  $L \subset A$ ). In the second case,  $K$  is abelian and the same conclusion follows since  $o(P_1) \neq 4$ . Now if  $y \in Q_1$  and  $z \in L$ , we have

$$(18) \quad yzy^{-1} = z'x, \text{ where } z' \in L, x \in P_1.$$

Applying  $\phi^m$  to (18), where  $m = 3 \cdot 2^s = \text{order of } \bar{\phi} \text{ on } \bar{Q}$ , we conclude readily that  $\phi^m(x) = x$  and hence that  $x = 1$ , since  $\phi$  does not have order 3 on  $P_1$  and no proper subgroup of  $P_1$  is  $A$ -invariant. Thus  $L \triangleleft AQ_1$ . If  $\bar{A}\bar{Q}_1 = AQ_1/L$  and  $\bar{P}_1$  denotes the image of  $P_1$  in  $\bar{A}\bar{Q}_1$ , we conclude by induction if  $\bar{A}$  does not have a normal complement in  $\bar{A}\bar{Q}_1$  and from Lemma 1.3 if  $\bar{A}$  has a normal complement in  $\bar{A}\bar{Q}_1$  that  $\bar{Q}_1 = \bar{P}_1 \times \bar{Q}$ , where  $\bar{Q}$  is invariant under  $\bar{A}$ . It follows at once that  $Q_1 = P_1 \times Q$ , where  $Q$  is  $A$ -invariant.

Now  $Q_1'$  is a regular  $\phi$ -group. If  $F$  is the  $\phi$ -nucleus of  $Q_1'$ , the minimality of  $P_1$  implies that either  $P_1 \subset F$  or  $P_1 \cap F = 1$ . In the first case we must have  $P_1 = F$  since  $o(P_1) \neq 4$ . But then  $Q_1'/P_1 = \bar{Q}'$  is elementary abelian and  $\bar{\phi}$  has odd order on  $\bar{Q}'$ . Since  $\bar{\phi}$  does not have order 3 on  $\bar{\phi}'$ , we conclude that  $\bar{Q}'$  contains a minimal  $\bar{A}$ -invariant subgroup  $\bar{K}$  such that  $o(\bar{K}) > 4$ . Since  $\bar{K} \not\subset \bar{T}_2$ , this contradicts (c), and hence  $P_1 \cap F = 1$ . But then Lemma 1.3 implies that  $Q_1' = P_1 \times Q'$ . Finally, if  $x \in Q$ ,  $x' \in Q'$ , we have

$$(19) \quad [x, x'] = z \in P_1.$$

By (e)  $\bar{\phi}$  has order  $m' \cdot 2^s$  on  $\bar{T}_1$ , where  $m' = \text{order of } \bar{\phi} \text{ on } \bar{Q}'$ . Applying  $\phi^{m' \cdot 2^s}$  to (19), we see that  $\phi^{m' \cdot 2^s}(z) = z$ . But it follows from Lemma 1.2 applied to  $Q_1'/F$  that the order of  $\phi$  on  $P_1$  does not divide  $m'$ , and hence  $z = 1$ . We conclude that  $G = A(T_1 \times P_1)$  where  $T_1 = QQ'$  and the theorem follows.

Suppose finally that  $o(P_1) = 4$ . Now  $H_2$  is a regular  $\phi$ -group. Let  $F_2$  be its  $\phi$ -nucleus. If  $P_1 \subseteq F_2$ , then  $F_2$  is abelian of type  $(2^c, 2^c)$ ; and since  $\bar{T}_2$  contains no  $\bar{A}$ -invariant abelian subgroup of type  $(2, 2)$ ,  $P_1 = F_2$ . In this case  $\bar{T}_2$  is elementary abelian and  $\bar{\phi}$  has odd order on  $\bar{T}_2$ . If  $K_2$  denotes the maximal elementary abelian  $A$ -invariant subgroup of  $H_2$ ,  $\phi$  has odd order on  $K_2$ , since otherwise  $\bar{T}_2$  would contain an  $\bar{A}$ -invariant abelian subgroup of type  $(2, 2)$ . Hence  $K_2 = P_1 \times T_2$  where  $T_2$  is  $A$ -invariant and lies in  $Z(H_2)$  by Lemma 1.6. It follows at once from the structure of  $H_2$  that  $H_2 = K_1 \times T_2$  where  $K_1$  is  $A$ -invariant and every  $A$ -invariant subgroup of  $K_1$  contains  $P_1$ . Furthermore,  $T_2$  contains no  $A$ -invariant abelian subgroup of type  $(2, 2)$ . On the other hand, if  $P_1 \cap F_2 = 1$ , this same conclusion holds with  $K_1 = P_1$ .

Set  $T_1 = H_1K_1$  so that  $G = A(T_1T_2)$  and  $T_1 \cap T_2 = 1$ . It is clear from the construction of  $T_2$  that  $T_2$  satisfies (c). Furthermore,  $T_1 = QQ'$ , where  $Q'/P_1 = \bar{Q}'\bar{K}_1$ . Clearly  $Q, Q'$  satisfy (e). Finally it follows as in Lemma 1.3 that  $T_1$  and  $T_2$  commute elementwise, and the theorem follows.

In Part II we shall need one additional property of  $T_1$ :

LEMMA 4.2. *Let  $G = ABA = AT$ , where  $T \triangleleft G$ ,  $o(T) = 2^n$  and  $\phi$  has order  $3 \cdot 2^s$  on  $T$ . Let  $H$  be an elementary abelian subgroup of  $T$  with  $o(H) > 2$  if  $Z(T) \subseteq A$  and  $o(H) = 2$  if  $Z(T) \subset A$ ; and assume that  $H$  centralizes  $B$ . Then either  $H \subseteq Z(T)$  or  $Z(T) \subseteq A$  and  $H \subseteq Z(T)B$ .*

*Proof.* The proof is by induction on  $o(G)$ . We may clearly assume that  $T$  is a 2-Sylow subgroup of  $G$  and that  $o(A) = 3 \cdot o(A \cap T)$ . Let  $P$  be a minimal  $A$ -invariant subgroup of  $Z(T)$  and suppose first that  $P \cap A = 1$ . We may assume  $T$  is non-abelian and  $H \not\subseteq P$ . In particular,  $T \neq (A \cap T)P$ . Let  $B = \langle b \rangle$ , where  $b = ya^r$ ,  $y \in T$ . In order to carry out the induction we shall also allow the possibility  $o(H) = 2$  when  $Z(T) \not\subseteq A$ , but  $B \subset T$ . Observe that if  $H \cap P \neq 1$ ,  $[H \cap P, B] = 1$  implies  $a^r$  acts trivially on  $P$ , whence  $3 \mid r$  and  $B \subseteq T$ .

Let  $\bar{G} = G/P = \overline{ABA} = \overline{AT}$ . Then by induction  $\bar{H} \subseteq \bar{Q}$ , where  $\bar{Q} \triangleleft \bar{G}$ ,  $\bar{A} \cap \bar{Q} \triangleleft \bar{Q}$ , and  $o(\bar{Q}/\bar{A} \cap \bar{Q}) = 4$ . Let  $Q$  be the inverse image of  $\bar{Q}$  in  $T$ . Suppose first that  $H \subseteq (A \cap Q)P$ . If  $o(H) > 2$ ,  $H \cap P \neq 1$ , whence  $3 \mid r$ ; if  $o(H) = 2$ , then  $3 \mid r$  by assumption. But then if  $a_1x \in H$ , where  $(a_1) = \Omega_1(A \cap Q)$  and  $x \in P$ , it follows that  $[a_1, b] = 1$ , whence  $a_1 \in Z(G)$  and  $H \subseteq Z(T)$ . Hence we may assume that  $H \not\subseteq (A \cap Q)P$ .

If  $\bar{Q} = (\bar{A} \cap \bar{Q}) \times \bar{F}$ , where  $\bar{F}$  is  $\bar{A}$ -invariant, it follows as above that  $\phi^r$  acts trivially on  $\bar{F}$ . Thus  $F$  is of  $\phi$ -index 0 and hence of type (4,4). This implies  $Q$  is non-abelian; otherwise  $H \subseteq (A \cap Q)P$ . Hence by induction  $Q = T$ . If  $\bar{Q}$  is non-abelian,  $\bar{Q}$  is the central product of  $\bar{A} \cap \bar{Q}$  and a quaternion group  $\bar{F}$ , and by induction  $Q = T$ . Now if  $B \subset Q$  and  $o(B) > 4$ , it follows in either case that  $C(B) \cap Q \subseteq (A \cap Q)PB$ . Since  $H$  is elementary, this yields  $H \subseteq (A \cap Q)P$ , which is not the case. On the other hand, if  $o(B) = 2$ ,  $P \subset A(b^2)A = A$ , a contradiction. Thus  $3 \mid o(B)$ . This forces  $C(B) \cap Q$  to lie in a conjugate of  $A \cap Q$  and hence in  $(A \cap Q)P$ , which is not the case.

Assume now that  $Z(T) \subset A$ . If  $3 \mid o(B)$ ,  $C(B) \cap T$  lies in a conjugate of  $A \cap T$ . Since  $A$  is cyclic, this implies  $H \subseteq Z(T)B$ . We may therefore assume  $B \subset T$ . The lemma follows at once by induction if  $Z(\bar{T}) \subset \bar{A}$ ; so suppose the contrary. Then by the first part of the proof,  $\bar{H} \subseteq \bar{Q} = \Omega_1(Z(\bar{T}))$  and  $\bar{Q} = (\bar{A} \bar{Q}) \times \bar{F}$ , where  $\bar{F}$  is  $\bar{A}$ -invariant. Let  $F, Q$  be the inverse images of  $\bar{F}, \bar{Q}$  in  $T$ . Suppose  $F$  is a quaternion group. Since  $AF = AB_1A$  with  $B_1 \subseteq B$ ,  $C(B) \cap Q = (A \cap Q)B_1$  and the lemma follows. On the other hand, if  $F$  is abelian, then  $H \subseteq F$ . If  $B$  centralizes  $F$ , then so does  $\phi^i(B)$  for all  $i$ . But then  $F \subseteq Z(T)$ , which is not the case. We conclude that  $C(B) \cap F = (A \cap F)B_1 \subseteq H$ , thus completing the proof.

For  $p = 3$ , we have the following result.

**THEOREM 4.** *Let  $G = ABA = AP$ , where  $P$  is a 3-group normal in  $G$ . Then either  $A$  has a normal complement in  $G$  or  $G$  contains two normal 3-subgroups  $T_1, T_2$  such that*

- (a)  $G = A(T_1 \times T_2)$ ;
- (b)  $A \cap T_1 \subseteq Z(T_1)$ ,  $T_1/Z(T_1)$  is elementary abelian of order 9,  $T_1$  contains a maximal subgroup  $T_0$  invariant under  $A$  which is the direct product of  $A \cap T_1$  and a cyclic group;
- (c)  $T_2$  is elementary abelian and contains no  $A$ -invariant subgroups of order 3;
- (d)  $T_1$  does not contain a 3-Sylow subgroup of  $A$ .

*Proof.* The proof is entirely analogous to that of Theorem 3. We shall use the same notation. If  $P_1 \subset A$  and  $\bar{G}$  possesses a normal  $\bar{A}$ -complement, it follows from the proof of Lemma 3.1 that  $G$  possesses a normal  $A$ -complement unless  $\bar{P}$  contains an elementary abelian subgroup  $\bar{H}_1$  of order 9 on which  $\bar{\phi}$  has order 6. If  $\bar{P} = \bar{H}\bar{K}$ , this can only occur if  $\bar{F}$  is cyclic,  $\bar{H} \supset \bar{F}$ , and  $\bar{H}_1 = \Omega_1(\bar{H})$ . But then by Lemma 1.3,  $\bar{K}$  is elementary abelian and contains no  $\bar{\phi}$ -invariant subgroups of order 3. Its inverse image in  $P$  possesses a normal  $P_1$  complement  $K$  which centralizes the inverse image  $H$  of  $\bar{H}$ . If  $H$  has a normal  $P_1$ -complement, then  $G$  has a normal  $A$ -complement. Otherwise the second possibility of the theorem holds with  $T_1 = H$ ,  $T_2 = K$ . The final condition of the theorem follows from the fact that  $\bar{\phi}$  has order 6 on  $\Omega_1(\bar{H})$ .

If  $\bar{P} = \bar{T}_1 \times \bar{T}_2$ , then  $P = T_1 \times T_2$ , where  $T_1$  is the inverse image of  $\bar{T}_1$  and  $T_2$  is the normal  $P_1$ -complement contained in the inverse image of  $\bar{T}_2$ . We have only to verify (b). Now  $A \cap T_1 \triangleleft T_1$  and  $T_1$  admits an automorphism  $\phi_1$  of order 2 which fixes  $A \cap T_1$  and is such that the image  $\bar{\phi}_1$  of  $\phi_1$  on  $\bar{T}_1 = T_1/A \cap T_1$  leaves only the identity element of  $\bar{T}_1$  fixed. This implies that  $\bar{T}_1$  is abelian. Furthermore by Lemma 2.1,  $A \cap T_1 \subseteq Z(T_1)$ . Thus  $cl(T_1) = 2$  and (b) follows at once.

Suppose next that  $P_1 \cap A = 1$ . If  $\bar{G}$  has a normal  $\bar{A}$ -complement, then so does  $G$ . Hence we may assume  $\bar{P}$  satisfies the second alternative of the theorem. If  $o(P_1) > 3$ , the theorem follows as in Case 2 of Theorem 3; while if  $o(P_1) = 3$ , it follows for the same reason that  $G = A(T_1 \times T_2)$ , where  $\phi$  has order  $2 \cdot 3^s$  on  $T_1$ ,  $\bar{T}_1$  satisfies (b), and  $T_2$  satisfies (c). Again it remains

to verify (b). If  $\Omega_1(A \cap T_1) \triangleleft T_1$ , it follows by induction and the argument of the preceding case that  $T_1$  satisfies (b).

In the contrary case we must have  $A \cap T_1 = \Omega_1(A \cap T_1)$ . Let  $Z$  be the inverse image of  $Z(\bar{T}_1)$  in  $T_1$ . If  $Z$  is abelian, then  $[T_1, T_1]$  is cyclic and  $A$ -invariant. Since  $A \cap T_1 \triangleleft T_1$ ,  $[T_1, T_1] \cap A = 1$ ; and it follows at once that  $A \cap T_1$  has a normal complement in  $T_1$ , which is not the case. Hence  $P_1 = [Z, Z]$ . Thus there exists  $x$  in  $Z, y$  in  $A \cap T_1$  such that  $[x, y] = z \neq 1$  in  $P_1$ . On the other hand, by the structure of  $\bar{T}_1$ , we can choose  $x$  so that  $\bar{x} = \bar{x}_1^3$  for some  $\bar{x}_1$  in  $\bar{T}_1$ . But then if  $x_1$  is a representative of  $\bar{x}_1$  in  $T_1, [x_1, y] = z_1 \in P_1$ ; and it follows that  $[x, y] = 1$ , a contradiction.

**5. Some special results on linear groups.** Lemma 3.1 of (2) was the principal tool in the proof that a solvable regular  $\phi$ -group is nilpotent (2, Theorem 1). In analysing the structure of  $ABA$ -groups, we shall need some slight extensions of this result. For our present purposes, it will be more convenient to rephrase this lemma in terms of groups of linear transformations:

**LEMMA 5.1.** *Let  $L = A Q$  be a linear group acting irreducibly on an  $m$ -dimensional vector space  $P$  over a field with  $p$  elements, where  $A = \langle \phi \rangle$  is cyclic,  $Q$  is an elementary abelian  $q$ -group for some prime  $q \neq p$ , and  $Q$  is a minimal normal subgroup of  $L$ . Assume further that  $Q$  does not have the unit representation as an absolutely irreducible constituent. Then if  $d$  denotes the order of  $\phi$  on  $Q$  and  $h$  its order on  $P$ , we have  $d \mid m$  and  $h \mid d(p^{m/d} - 1)$ .*

*Remark.* If  $G$  denotes the holomorph of  $L$  and  $P$ , the final condition of the lemma is simply the statement that no element  $\neq 1$  of  $P$  lies in  $Z(PQ)$ . The minimality of  $Q$  in turn implies that  $PQ$  has a trivial centre.

We shall need a special case of this result:

**LEMMA 5.2.** *Under the hypotheses of Lemma 5.1, if the subspace  $P_0$  of  $P$  left elementwise fixed by  $\phi$  is one-dimensional, then  $d = m = h$ .*

*Proof.* If we take  $P_0$  as the minimal subspace  $W$  of  $P$  in the proof of Lemma 3.1 of (2), we conclude at once that  $\phi^d$  is the identity on  $P$ . Furthermore, the same lemma shows that over the algebraic closure  $K_p^*$  of the ground field, the corresponding vector space  $P^*$  can be decomposed into the direct sum of subspace  $P_1^*, P_2^*, \dots, P_t^*$ , each of dimension  $d$ , each invariant under  $\phi$ , and such that the matrix  $\Phi_i$  of  $\phi$  on  $P_i^*$  with respect to a suitable basis assumes the form

$$(20) \quad \Phi_i = \begin{pmatrix} 0 & 1 & 0 & \dots & 0 \\ 0 & 0 & 1 & 0 & \dots & 0 \\ & & & & & \\ 0 & 0 & & \dots & 1 \\ b_i & 0 & & \dots & 0 \end{pmatrix}, \quad b_i \in K_p^*, \quad i = 1, 2, \dots, t.$$

Since  $\phi^d = 1$  on  $P, b_i = 1$  for all  $i$ , and hence we may assume that the  $P_i^*$  are actually subspaces of  $P$ . Now 1 is a characteristic root of each  $\Phi_i$ , and

hence  $\phi$  leaves fixed some non-zero vector of each  $P_i^*$ ,  $i = 1, 2, \dots, t$ . But by hypothesis the subspace left elementwise by  $\phi$  is 1-dimensional. Thus  $t = 1$ , and  $d = m = h$ .

From Lemma 5.1 we can derive a slight extension of Theorem 1 of (2).

LEMMA 5.3. *Let  $G = ABA = AT$ , where  $T \triangleleft G$ . Assume  $T = MQ$  where  $M \triangleleft G$ ,  $A \cap T \subset M$ ,  $Q$  is a  $q$ -group and if  $\bar{G} = G/M = \bar{A}\bar{Q}$ , that  $\bar{Q}$  is a minimal normal subgroup of  $\bar{G}$  and  $N(\bar{A}) = \bar{A}$ . Assume further that  $Z(M)$  contains a  $p$ -subgroup  $P$ ,  $p \neq q$ , such that  $A \cap P = 1$  and  $P$  is a minimal normal subgroup of  $G$ . Then  $PQ$  is nilpotent.*

*Proof.*  $C(Q) \cap P$  is invariant under  $A$  since  $P \subseteq Z(M)$ , and hence  $C(Q) \cap P \triangleleft G$ . In view of the minimality of  $P$ , we may assume  $C(Q) \cap P = 1$ . If  $PQ$  is  $A$ -invariant,  $PQ$  is a regular  $\phi$ -group and hence is nilpotent by Theorem 1 of (2). If  $PQ$  is not  $A$ -invariant, the proof of Theorem 1 of (2) goes through without essential change.

In fact,  $\bar{G}$  may be regarded as a group of linear transformations on  $P$  and as such satisfies the hypotheses of Lemma 5.1. Furthermore  $\bar{\phi}$  has order  $d > 1$  on  $\bar{Q}$  since  $\bar{A} \cap \bar{Q} = 1$  and  $N(\bar{A}) = \bar{A}$ . In view of Lemmas 4.1 and 4.2 of (2), it suffices to show that  $d$  divides the  $\phi$ -index  $r_1$  of  $P$ . By the proof of Theorem 10 of (2),  $T$  contains an element  $g$  such that the elements  $\phi^i([g]_r^t)$  include a set of coset representatives of  $A \cap T$  in  $T$ . If  $t$  is the least integer such that  $[g]_r^t \in (A \cap M)P$ ,  $r_1$  may clearly be taken as a multiple of  $rt$ . On the other hand,  $[\bar{g}]_r^t = 1$  and since  $\bar{Q}$  is abelian,  $\bar{\phi}^{rt}(\bar{g}) = \bar{g}$ , whence  $d \mid rt$ . Thus  $d \mid r_1$ .

We shall also need a slight variation of this result.

LEMMA 5.4. *Lemma 5.3 holds under the alternative assumption that  $\bar{Q}$  is a quaternion group and  $\bar{A}$  does not centralize  $\bar{Q}$ .*

*Proof.* Clearly  $C(Z(Q)) \cap P \triangleleft G$ . If  $C(Z(Q)) \cap P = P$ ,  $P$  is in the centre of  $M^* = Z(Q)M$ , and the conclusion follows at once from the preceding lemma with  $M^*$  playing the role of  $M$ . In the contrary case,  $Q$  and hence  $\bar{Q}$  is represented faithfully on  $P$ .

If  $\bar{A} = (\bar{a})$ ,  $\bar{a}^3$  is in the centre of  $\bar{G}$ . Hence if  $P_1$  denotes a minimal subgroup of  $P$  invariant under  $\phi^3$ ,  $P$  can be written as the direct product of subgroups  $P_i$ ,  $i = 1, 2, \dots, n$ , of the same order  $p^i$ , each invariant under  $\phi^3$ , and on each of which  $\phi^3$  has the same minimal polynomial. In particular, if  $h$  denotes the order of  $\phi$  on  $P$ , we have

$$(21) \quad h \mid 3(p^i - 1).$$

If  $n = 1$ ,  $P_1 = P$ , and  $\phi^3$  acts irreducibly on  $P$ . If  $P$  is extended to a vector space  $P^*$  over the algebraic closure of the field with  $p$  elements, it follows that  $\phi^3$  is represented in  $P^*$  by a diagonal matrix with distinct characteristic roots. On the other hand, since  $\bar{A} \cap \bar{Q}$  is not in the kernel of the representation of  $\bar{G}$  on  $P$ , at least one of the absolutely irreducible constituents, say,  $\chi$ , of  $\bar{G}$  in  $P^*$  has degree  $> 1$ . (In fact, it is easy to see that they are all of the same

degree.) Since  $\bar{a}^3$  is in the centre of  $\bar{G}$ ,  $\bar{a}^3$  is represented by a scalar matrix in the representation  $\chi$ . It follows at once that  $\phi^3$  is represented in  $P^*$  by a diagonal matrix whose characteristic roots are not all distinct. This is a contradiction, and hence  $n > 1$ .

Now the order  $d$  of  $\bar{\phi}$  on  $\bar{Q}$  is either 3 or 6, and it follows as in Lemma 5.3 that the  $\phi$ -index  $r_1$  of  $P$  is a multiple of 3. Since one of the inequalities  $h^2 > r_1 o(P)$  or  $hp > o(P)$  must hold and  $o(P) = p^n$ , it follows at once from (21) that  $n \leq 2$ , whence  $n = 2$ .

Let  $g = g_1 g_2$  be a  $\phi$ -generator  $P$ , where  $g_i \in P_i$ ,  $i = 1, 2$ . If  $g \in P_i$ , say  $g \in P_1$ , the elements  $\phi^{3i}([g]_{r_1}^j)$  are all in  $P_1$  since  $P_1$  is invariant under  $\phi^3$  and  $3 \mid r_1$ . Hence there are at most  $3(p^t - 1)$  elements different from 1 of the form  $\phi^i([g]_{r_1}^j)$  in  $P$ , and consequently

$$(22) \quad 3(p^t - 1) \geq p^{2t} - 1,$$

and this is impossible since  $p \neq 2$ .

We may therefore assume that  $g_1 \neq 1$ ,  $g_2 \neq 1$ . To reach a contradiction, we shall show that (22) holds. It clearly suffices to prove that there are at most  $p^t - 1$  distinct elements different from 1 of the form  $\phi^{3i}([g]_{r_1}^j) = \phi^{3i}([g_1]_{r_1}^j) \phi^{3i}([g_2]_{r_2}^j)$ . Suppose  $\phi^{3i}([g_1]_{r_1}^j) = \phi^{3k}([g_1]_{r_1}^m)$  for some  $i, j, k, m$ . Since  $\phi^3$  acts irreducibly on  $P_1, P_2$  with the same minimal polynomial, the corresponding relation with  $g_1$  replaced by  $g_2$  must hold, and we conclude at once that there are at most  $p^t - 1$  elements of the required form.

**6. Exceptional  $ABA$ -groups of types I, II, and III.** We have seen in §4 that there exist  $ABA$ -groups  $G$  with  $N(A) = A$  in which  $A$  does not have a normal complement. In this section we shall determine two further classes of  $ABA$ -groups which have this property. We begin with the following lemma.

LEMMA 6.1. *Let  $G = ABA = AT$ , where  $T \triangleleft G$ . Assume that  $T = MQ$ , where  $M$  is nilpotent and normal in  $G$ ,  $Q$  is a  $q$ -group for some prime  $q$ , and if  $\bar{G} = G/M = \bar{A}\bar{Q}$ , then  $N(\bar{A}) = \bar{A}$ . Then if  $L \subset M$  is normal in  $G$  and  $\bar{G} = G/L = \bar{A}\bar{B}\bar{A}$ , we have  $N(\bar{A}) = \bar{A}$ .*

*Proof.* The proof is by induction on  $o(G)$ . It clearly suffices to prove the lemma under the assumption that  $L$  is a minimal subgroup of  $M$  normal in  $G$ . Since this implies that  $L$  is abelian, the lemma will follow at once from Lemma 2.3 if we can show that every subgroup of  $A$  lies in the centre of its normalizer.

Let  $A_0 \subset A$  and  $G_0 = N(A_0)$ . If  $G_0 \subset G$ , we may assume by induction that  $A_0 \subseteq Z(G_0)$ . Thus we need only consider the case in which  $A_0 \triangleleft G$ . Let  $P$  be a  $p$ -Sylow subgroup of  $M$ . Since  $M$  is nilpotent,  $P \triangleleft G$ . If  $p \geq 5$ , it follows from Theorem 2 that  $G_p = AP = AP^*$ , where  $P^* \triangleleft G_p$  and  $A \cap P^* = 1$ . The hypotheses of Lemma 2.1 are satisfied if we take  $A_0$  for  $H$  and  $A_0 P^*$  for  $G$ . Thus  $A_0 \subseteq Z(A_0 P^*)$  and hence  $A_0 \subseteq Z(G_p)$ . On the other hand, if  $p = 2$  or  $3$ , Lemma 4.1 and Theorem 4 imply that  $A_0 \subseteq Z(G_p)$ . Thus  $A_0 \subseteq Z(AM)$ .

It follows that  $\bar{G} = \bar{A}\bar{Q}$  acts as a group of automorphisms of  $A_0$ . Since  $N(\bar{A}) = \bar{A}$ , we can again apply Lemma 2.1 to conclude that the elements of  $\bar{Q}$  induce the identity automorphism of  $A_0$ . Thus  $Q$  centralizes  $A_0$ , and  $A_0 \subseteq Z(G)$ .

The preceding argument can easily be adapted to give the following corollary:

**COROLLARY.** *Let  $G = ABA$ , and assume  $A$  contains a subgroup  $A_0$  which is normal in  $G$  such that  $\bar{G} = G/A_0$  satisfies the hypotheses of the preceding lemma. Then  $A_0$  is in the centre of  $G$ .*

*Remark.* The lemma also obviously holds if  $Q \subset A$ .

**THEOREM 5.** *Let  $G = ABA = AT$ , where  $T \triangleleft G$ . Assume that  $T = MQ$ , where  $M \neq 1$  is nilpotent and normal in  $G$ ,  $Q$  is a  $q$ -group for some prime  $q$ ,  $Z(T) = 1$ ,  $A \cap T \subset M$ , and no normal subgroup of  $T$  lies properly between  $M$  and  $T$ . Then either*

- (a)  $M$  is a 2-group of order  $2^{3s}$ ,  $o(A \cap M) = 2^s$  and  $o(Q) = 7$ ; or
- (b)  $M$  is an abelian group of type  $(t, t)$ , where  $2 \nmid t$ ,  $3 \nmid t$ ,  $o(A \cap M) = t$  and  $o(Q) = 3$ .

Furthermore, if  $\bar{\phi}$  denotes the image of  $\phi$  on  $\bar{G} = G/M = \bar{A}\bar{Q}$ , then

- (c)  $\phi$  has order  $3 \cdot 2^s$  on  $T$  and  $\bar{\phi}$  has order 3 on  $\bar{Q}$  in case (a); and  $\phi$  has order  $2t$  on  $T$  and  $\bar{\phi}$  has order 2 on  $\bar{Q}$  in case (b); in either case  $\bar{Q}$  does not have  $\bar{\phi}$ -index 0.
- (d) There exists a  $q$ -Sylow subgroup  $Q^*$  of  $T$  such that  $\phi(Q^*) = uQ^*u^{-1}$ ,  $u \in A \cap M$ , and no  $q$ -Sylow subgroup of  $T$  is  $A$ -invariant.
- (e) In case (a)  $\Omega_1(Z(M))$  has order 8.
- (f) For any proper subgroup  $L$  of  $M$  normal in  $G$ ,  $G/L$  satisfies the hypotheses of the theorem.

*Proof.* Since  $M$  is nilpotent and  $Z(T) = 1$ ,  $(o(M), q) = 1$ . If  $P$  is a minimal subgroup of  $Z(M)$  normal in  $G$ , then  $P$  is elementary abelian of order  $p^m$  for some prime  $p$ . Furthermore  $A \cap P \neq 1$ , for otherwise by Lemma 5.3  $PQ$  is nilpotent which is not the case. Also  $P \not\subseteq A$ , for by Lemma 6.1  $N(\bar{A}) = \bar{A}$  and then Lemma 2.1 forces  $Q$  to centralize  $P$ . Thus  $m > 1$ . Since  $P \subseteq Z(M)$ ,  $\bar{G}$  can be regarded as a group of linear transformations on  $P$ ; and since  $A \cap P$  is cyclic, the hypotheses of Lemma 5.2 are satisfied. Hence if  $\phi$  has order  $h$  on  $P$  and  $\bar{\phi}$  has order  $d$  on  $\bar{Q}$ ,  $d = m = h$ .

By Lemma 2.2,  $P = (A \cap P) \times P_0$  where  $P_0$  is a regular  $\phi$ -group of order  $p^{m-1}$ . Since  $\phi$  has order  $m$  on  $P_0$ ,  $mp > p^{m-1}$  if  $P_0$  is of  $\phi$ -index 0. The only solutions of this inequality are  $m = 2$  or  $m = 3$  and  $p \neq 2$ . On the other hand, if  $P_0$  is of  $\phi$ -index  $r_0 \neq 0$  and if  $\phi$  acts irreducibly on  $P_0$ , then

$$(23) \quad m^2 > r_0 p^{m-1},$$

which implies  $p = 2$ ,  $m \leq 6$  or  $p = 3$ ,  $m = 2$ . An even stronger inequality holds if  $\phi$  does not act irreducibly on  $P_0$ .

It follows readily from the proof of Lemma 5.2 that  $f(X) = X^{m-1} + X^{m-2} + \dots + X + 1$  is the characteristic polynomial of  $\phi$  on  $P_0$ . Hence if  $p = 2$  and  $m$  is even, 1 is a characteristic root of  $\phi$  on  $P_0$ . Since this leads at once to the contradiction  $N(A) \supset A$ , the cases  $p = 2, m = 2, 4, \text{ or } 6$  are excluded. Lemma 1.7 shows that  $p = 2, m = 5$  is also impossible. Thus  $p = 2, m = 3$  or  $m = 2$ , and hence  $o(P) = 8$  or  $p^2$ . If  $o(P) = p^2$ , then  $p \neq 2$ , for otherwise  $\phi$  leaves the generator of  $P_0$  fixed. In particular, it follows that  $(m, p) = 1$  in all cases.

Since  $N(\bar{A}) = \bar{A}$  by the preceding lemma,  $\bar{Q}$  is a regular  $\bar{\phi}$ -group. Our hypotheses imply that  $\bar{\phi}$  acts irreducibly on  $\bar{Q}$ , and hence one of the inequalities  $dq > o(\bar{Q})$  or  $d^2 > o(\bar{Q})$  necessarily holds. Since  $m = d = 2$  or  $3$ , we conclude that  $o(\bar{Q}) = q$  except possibly in the case  $d = 3, q = 2$ . But  $p = 2$  if  $m = 3$ , whence  $p = q$ , a contradiction. Thus  $o(Q) = q$ .

We next establish (f). We may assume  $P \subset M$ , since otherwise (f) holds trivially. If  $\tilde{G} = G/P = \tilde{A}\tilde{T} = \tilde{A}\tilde{M}\tilde{Q}$ , it clearly suffices to show that  $\tilde{G}$  satisfies the conditions of the theorem. Since  $N(\tilde{A}) = \tilde{A}$  by the preceding lemma, we need only show that  $Z(\tilde{T}) = 1$ ; so assume the contrary. Let  $\tilde{K}$  be a minimal  $\tilde{A}$ -invariant subgroup of  $Z(\tilde{T}) \cap \tilde{M}$  and let  $K$  be the inverse image of  $\tilde{K}$  in  $G$ . We may clearly assume  $K$  is a  $p$ -group, for otherwise  $Z(T) \neq 1$ .

Now if  $(y) = Q$  and  $x \in K$ , we have

$$(24) \quad [x, y] = z, z \in P.$$

Since  $z \in Z(M)$ ,  $[x^p, y] = 1$ . But  $x^p \in P \subseteq Z(M)$ , and hence  $x^p = 1$ .

Consider first the case  $p = 2$ . Then clearly  $K$  is elementary abelian. If the order of  $\phi$  on  $K$  is odd, then the holomorph of  $Q$  and  $\phi$  is completely reducible on  $K$ , so that  $K = P \times H$  where  $H$  is invariant under  $Q$  and  $\phi$ . Clearly  $Q$  centralizes  $H$ . To obtain a contradiction, we need only show that  $H$  is in the centre of  $M$ . Since  $M$  is nilpotent, it suffices to show that  $H$  is in the centre of the 2-Sylow subgroup  $S$  of  $M$ .

Since  $S$  contains at most one  $A$ -invariant abelian subgroup of type  $(2, 2)$ ,  $H$  is not of type  $(2, 2)$  and  $\phi$  does not have order 3 on  $H$ . Furthermore  $H \cap A = 1$ , since  $A \cap P \neq 1$ . Now by Theorem 3,  $AS = A(S_1 \times S_2)$ , where  $S_1, S_2$  satisfy the conditions of Theorem 3. Our conditions imply that  $H \subset S_2$ . Since  $S_2$  is a regular  $\phi$ -group and  $H$  is a  $\phi$ -invariant abelian subgroup of  $S_2$ ,  $H \subseteq Z(S_2)$  and hence  $H \subseteq Z(S_1 \times S_2)$ . Now  $S = (A \cap S)S_1S_2$  and it follows from the minimality of  $K$  that  $A \cap S$  centralizes  $H$ . Thus  $H \subseteq Z(S)$ , a contradiction.

If  $\phi$  has even order on  $K$ ,  $\tilde{K}$  must be of type  $(2, 2)$ . By the proof of Theorem 3,  $S$  contains such a normal subgroup  $K$  only if  $AS$  has a normal  $A$ -complement. But then if  $S$  were non-abelian,  $P_0 = [s, s] \cap P$  would be  $Q$ -invariant, which is not the case. Thus  $S$  is abelian, and we conclude that  $Z(T) \neq 1$ , a contradiction. Thus (f) holds if  $p = 2$ .

Suppose then that  $p \neq 2$ . If  $x_1, x_2 \in K$ , it follows readily from (24) that

$[[x_1, x_2], y] = 1$ . Since  $[x_1, x_2] \in P$ , we conclude that  $K$  is abelian, and, as above,  $K$  is elementary abelian. The balance of the proof now parallels the case  $p = 2$ . Thus (f) holds in all cases.

We next prove that  $T$  contains a  $q$ -Sylow subgroup  $Q^*$  satisfying (d). By induction  $\tilde{T}$  possesses such a  $q$ -Sylow subgroup and hence for some  $q$ -Sylow subgroup  $Q_1$  of  $T$  we have  $\phi(Q_1) = vQ_1v^{-1}$ , where  $v \in (A \cap M)P$ . Since  $\phi$  has order  $m$  on  $P$  and  $(m, p) = 1$ , it follows that  $(A \cap M)P = (A \cap M) \times P_0$ . If  $v = uv_0$ , where  $u \in A \cap M$  and  $v_0 \in P_0$ , there exists an element  $w$  in  $P_0$  such that  $w\phi(w^{-1}) = v_0$ , whence  $\phi(wQ_1w^{-1}) = \phi(w)uv_0Q_1v_0^{-1}u^{-1}\phi(w^{-1}) = uwQ_1w^{-1}u^{-1}$ . The subgroup  $Q^* = wQ_1w^{-1}$  thus has the required property. Without loss of generality we may assume  $Q^* = Q$ .

This result will now be used to establish (a) and (b). Consider first the case  $P = M$ . Since  $o(Q) = q$ ,  $Q$  acts regularly on  $P$ . If  $p = 2$ ,  $o(P) = 8$ , and we must have  $q = 7$ . Suppose then that  $o(P) = p^2$ . Now  $AT$  is an  $ABA$ -group so that there exists a fixed element  $g$  in  $T$  and an integer  $r$  such that the elements  $\phi^i([g]_r^j)$  include a set of coset representatives of  $A \cap P$  in  $T$ . Write  $g = xy$ , where  $x \in P$  and  $(y) = Q$ . Since  $d = 2$ ,  $\phi(y) = uy^{-1}u^{-1}$ , where  $u \in A \cap P$  by (d). If  $d \nmid r$ ,  $\phi^i([g]_r^j)$  is of the form  $w$  or  $wy^{\pm 1}$ ,  $w \in P$ , for all  $i, j$ ; and this gives immediately  $q = 3$ . On the other hand, if  $d \mid r$ ,  $[g]_r^j \in P$  if and only if  $q \mid j$ . But now since  $\phi$  has order 2 on the abelian group  $P$ ,

$$(25) \quad [g]_r^q = (xy)(xuyu^{-1})(xu^2yu^{-2}) \dots (xu^{q-1}yu^{-(q-1)}) \\ = u^{-1}(xuy)^qu^{-(q-1)}.$$

Since  $y$  acts regularly on  $P$ ,  $(xuy)^q = 1$ , and hence  $[g]_r^q = u^{-q}$ . Thus  $A \cap P$  itself is the only coset of  $A \cap P$  in  $P$  which is of the required form. Thus  $q = 3$ , as asserted. Since  $p \neq q$ ,  $q = 3$  implies  $p \neq 3$ . The remaining conditions of (a) and (b) have been established above in the case  $P = M$ . Furthermore, we have shown, when  $d = 2$ , that  $d \nmid r$  and hence that  $\tilde{Q}$  does not have  $\bar{\phi}$ -index 0. The argument applies equally well if  $d = 3$  and  $q = 7$ .

Assume next that  $P \subset M$ . By induction  $\tilde{M}$  is either a 2-group of order  $2^{3(s-1)}$ ,  $o(\tilde{A} \cap \tilde{M}) = 2^{s-1}$  and  $q = 7$  or  $\tilde{M}$  is abelian of type  $(t', t')$ ,  $2 \nmid t'$ ,  $3 \nmid t'$ ,  $o(\tilde{A} \cap \tilde{M}) = t'$  and  $q = 3$ . In the first case  $o(P) = 8$ ,  $M$  has order  $2^{3s}$ ,  $o(A \cap M) = 2^s$ . In the second case  $o(P) = p^2$  with  $p \neq 2, 3$ ,  $o(M) = t^2$ , where  $t = pt'$  and  $o(A \cap M) = t$ . Furthermore,  $[M, M]$  is cyclic, normal in  $G$ , and contained in  $P$ . But  $P$  is a minimal normal subgroup of  $G$  and is of type  $(p, p)$ . Thus  $[P, P] = 1$  and  $M$  is abelian. To prove  $M$  is in fact of type  $(t, t)$ , we need only show that the  $p$ -Sylow subgroup of  $M$  is of type  $(p^c, p^c)$ , and this follows at once from the fact that  $A$  is cyclic.

It follows for the same reason that  $\Omega_1(Z(M)) = P$  in case (a). Thus (e) holds.

To prove (c) let  $k$  be the order of  $\phi$  on  $T$  and set  $t = 2^s$  in case (a). Then in both cases (a) and (b), it follows from (d) that  $k \mid mt$ . On the other hand, we clearly have  $m \mid k$  and  $(m, t) = 1$ . Now  $o(A) = mte$ , for some integer  $e$ . If  $k < mt$ , it follows at once that  $y = \phi^{ke}(y) = a^{ke}ya^{-ke}$ , and hence that  $Q$

centralizes  $a^{k^e}$ . But clearly  $a^{k^e} \neq 1$  and lies in  $A \cap M$ , a contradiction. Thus  $k = mt$ . Since the final assertion of (c) has already been established, (c) holds.

The same argument shows that no  $q$ -Sylow subgroup of  $T$  is invariant under  $A$ , thus completing all parts of the theorem.

Theorems 3, 4, and 5 will serve to motivate the definitions of *exceptional ABA*-groups which we shall now make. In view of what is to follow, it will be necessary to include a slightly larger class of groups than those satisfying the conditions of these theorems.

DEFINITION. Let  $G = ABA = AT$ , where  $T \triangleleft G$ . Then  $G$  will be called an *exceptional ABA-group*

(a) of *type I* if  $T$  is a 2-group and  $G$  satisfies the hypotheses of Theorem 3 with  $T = T_1 \neq 1$ ;

(b) of *type II* if  $T = MQ$ ,  $M$  is a 2-group normal in  $G$ ,  $Q$  is a 7-group,  $A \cap T \subset M$ ,  $C(M) \cap Q = Q_0$  is cyclic,  $\bar{G} = G/Q_0 = \bar{A}\bar{T}$  satisfies the hypotheses of Theorem 5;

(c) of *type III* if  $T = MQ$ ,  $M$  is abelian of type  $(t, t)$ ,  $(t, 3) = 1$ ,  $Q$  is a 3-group; if  $Q_0 = C(M) \cap Q$ , then  $\bar{G} = G/Q_0 = \bar{A}\bar{T}$  satisfies the hypotheses of Theorem 5; either  $A \cap T \subset M$  and  $Q_0$  is cyclic or  $\bar{G} = G/M = \bar{A}\bar{Q}$ , satisfies the hypotheses of Theorem 4 with  $\bar{Q} = T_1$ .

Furthermore if  $Q_0$  is cyclic and disjoint from  $A$ , we require the following additional conditions in (b) and (c): if  $\bar{G} = G/M = \bar{A}\bar{Q}$ , then  $\bar{\phi}$  has order  $m \cdot q^s$  on  $\bar{Q}$ , where  $m = 3$  if  $q = 7$  and  $m = 2$  if  $q = 3$ , and  $\bar{Q}$  is not of  $\bar{\phi}$ -index 0.

Remarks. For exceptional groups of type III, we shall also allow the possibility that  $M = 1$  and  $G$  satisfies the conditions of Theorem 4 with  $Q = T_1$ . The complexity of the definition of exceptional groups of types II and III arises from the need for  $T$  to be  $A$ -invariant. The problem is that the image  $\bar{Q}_0$  of  $Q_0$  does not possess an  $\bar{A}$ -invariant complement in  $\bar{Q}$ . If  $\bar{Q} = \bar{H}\bar{K}$ , where  $\bar{H}, \bar{K}$  satisfy the conditions of Lemma 1.3, our requirements force  $\bar{Q} = \bar{H}$  and  $\bar{H} \supset \bar{F}$ , where  $\bar{F}$  is the  $\bar{\phi}$ -nucleus of  $\bar{Q}$ . Lemma 6.3 will give further clarification of this point.

We shall also call an  $A$ -invariant subgroup  $T$  of an  $ABA$ -group  $G$  an *exceptional subgroup* of  $G$  (of type I, II, or III) if  $G^* = AT = AB^*A$  is an exceptional  $AB^*A$ -group (of type I, II, or III).

We next prove

LEMMA 6.2. Let  $G = ABA = AT$ , where  $T \triangleleft G$ . Assume that  $T = MQ$ , where  $M$  is nilpotent and normal in  $G$ ,  $Q$  is a  $q$ -group for some prime  $q$ ,  $(o(M), q) = 1$ , and  $A \cap T \subset M$ . Let  $Q_1, Q_2$  be two disjoint subgroups of  $Q$  such that  $MQ_i \triangleleft G, i = 1, 2$ . Then either  $Q_1$  or  $Q_2$  centralizes  $M$ .

Proof. Let  $S_1$  be a minimal subgroup of  $Q_1$  such that  $MS_1 \triangleleft G$ . If  $S_1$  centralizes  $M$ ,  $MS_1 = M \times S_1$  and since  $o(M)$  is prime to  $q$ ,  $S_1 \triangleleft G$ . If  $G' = G/S_1 = A'T' = A'M'Q'$ ,  $N(A') = A'$  since  $A \cap S_1 = 1$ , and the lemma follows at once by induction. We may thus suppose that  $S_1$  does not centralize  $M$ .

Let  $L$  be a maximal subgroup of  $M$  normal in  $G$  such that  $LS_1 = L \times S_1$  and set  $\tilde{G} = G/L = \tilde{A}\tilde{T} = \tilde{A}\tilde{M}\tilde{Q}$ ,  $\tilde{S}_1$  denoting the image of  $S_1$  in  $\tilde{Q}$ . If  $\tilde{P}$  is a minimal subgroup of  $\tilde{M}$  normal in  $\tilde{G}$ , it follows from the maximality of  $L$  and the nilpotency of  $\tilde{M}$ , that  $\tilde{S}_1$  does not centralize  $\tilde{P}$ . But then by the first part of the proof of Theorem 5,  $o(\tilde{S}_1) = q$ , and if  $\tilde{G} = G/M = \tilde{A}\tilde{Q}$ ,  $\bar{\phi}$  has order  $m$  on the image  $\tilde{S}_1$  of  $S_1$  in  $\tilde{Q}$ , where  $m = 3$  if  $q = 7$  and  $m = 2$  if  $q = 3$ .

If  $S_2$  is a minimal subgroup of  $Q_2$  such that  $MS_2 \triangleleft G$  and  $\tilde{S}_2$  its image in  $\tilde{Q}$ , we may similarly assume that  $o(\tilde{S}_2) = q$  and that  $\bar{\phi}$  has order  $m$  on  $\tilde{S}_2$ . But  $\tilde{S}_1\tilde{S}_2 = \tilde{S}_1 \times \tilde{S}_2$  must be a regular  $\bar{\phi}$ -group, which is impossible by Lemma 1.2 since  $\bar{\phi}$  has the same order on each factor. This contradiction establishes the lemma.

LEMMA 6.3. *Let  $G = ABA = AT$ , where  $T \triangleleft G$ . Assume that  $T = MQ$  where  $M$  is nilpotent and normal in  $G$ ,  $Q$  is a  $q$ -group for some prime  $q$ ,  $A \cap T \subset M$ , and  $M \cap Z(T) = 1$ . Then  $T = T^* \times Q^*$ , where  $T^*$  is an exceptional subgroup of type II or III,  $Q^* \subset Q$ , and  $Q^* \triangleleft G$ .*

*Proof.* We may suppose  $M \neq 1$  since otherwise the lemma holds trivially with  $T^* = 1$ . Our conditions imply that  $M$  has order prime to  $q$ . Let now  $S$  be a minimal subgroup of  $Z(Q)$  such that  $MS \triangleleft G$ . We distinguish two cases.

*Case 1.* For any minimal subgroup  $P$  of  $M$ , normal in  $G$ ,  $P \cap C(S) = 1$ , and only the identity element of  $Q$  centralizes  $M$ .

It follows as in the proof of Theorem 5 that  $o(P) = p^m$ , where  $m = 3$ ,  $q = 7$  if  $p = 2$  and  $m = 2$ ,  $q = 3$  if  $p \neq 2$ , that  $o(A \cap P) = p$ , and that  $o(S) = q$ . Furthermore, as in the proof of (f) of Theorem 5,  $M \cap Z(MS) = 1$ . The minimality of  $S$  implies that  $Z(MS) = 1$ . Hence  $T^* = MS$  is an exceptional subgroup of type II or III.

If  $S_1 \subset Q$  is such that  $S \cap S_1 = 1$  and  $MS_1 \triangleleft G$ , then  $MS_1 = M \times S_1$  by Lemma 6.2. Our present assumption implies that the image  $\tilde{S}$  of  $S$  in  $\tilde{G} = G/M = \tilde{A}\tilde{Q}$  is the unique minimal subgroup of  $\tilde{Q}$ , normal in  $\tilde{G}$ .

Since  $\tilde{Q}$  is represented faithfully on  $P$  and  $o(P) = 8$  or  $p^2$ ,  $\tilde{Q}$  must be abelian and hence cyclic. If  $T^*$  is of type II,  $o(Q) = 7$ , or else  $\Omega_1(Q)$  centralizes  $M$ . If  $T^*$  is of type III, the argument in Theorem 5 which showed that  $q = 3$  can be repeated to show that  $o(Q) = 3$ . Thus  $S = Q$ , and the lemma follows with  $T = T^*$ .

*Case 2.* Either  $S$  centralizes some minimal subgroup of  $M$  normal in  $G$  or  $C(M) \cap Q \neq 1$ .

Now  $C(M) \cap T \triangleleft G$ . Since  $(o(M), q) = 1$ ,  $Q_0 = C(M) \cap Q$  is characteristic in  $C(M) \cap T$  and hence is also normal in  $G$ . Thus if  $Q_0 \neq 1$ ,  $Q$  contains a subgroup  $\neq 1$  which centralizes  $M$  and is normal in  $G$ . We shall show that the same assertion holds if  $S$  centralizes  $P$ . We may clearly assume  $C(M) \cap Q = 1$ .

Let  $L$  be a maximal subgroup of  $M$  normal in  $G$  which is centralized by  $S$  and assume  $L \subset M$ . Set  $G' = G/L = A'T' = A'M'Q'$ ,  $S'$  denoting the image of

$S$  in  $Q'$ . If  $P'$  is any minimal subgroup of  $M'$  normal in  $G'$ , then  $C(P') \cap S' = 1$ . If  $Q_0' = C(M') \cap Q'$  and  $Q_0$  denotes the inverse image of  $Q_0'$  in  $Q$ ,  $S \cap Q_0' = 1$  and it follows from Lemma 6.2 that  $Q_0$  centralizes  $M$ . Thus  $Q_0 = 1$  and consequently  $Q_0' = 1$ . It follows now by Case 1 applied to  $G'$  that  $Q' = S'$  and hence that  $L \cap Z(T) \neq 1$ , contrary to hypothesis. Thus  $L = M$  and  $S$  centralizes  $M$ .

It remains therefore to prove the lemma under the assumption that  $S$  centralizes  $M$ . Let  $\tilde{G} = G/S = \tilde{A}\tilde{T} = \tilde{A}\tilde{M}\tilde{Q}$ . Since  $A \cap S = 1$ ,  $N(\tilde{A}) = \tilde{A}$ . Since  $M$  has order prime to  $q$ ,  $\tilde{M} \cap Z(\tilde{T}) \neq 1$  implies  $M \cap Z(T) \neq 1$ . Thus  $\tilde{M} \cap Z(\tilde{T}) = 1$ , and it follows by induction that  $\tilde{T} = \tilde{T}^* \times \tilde{Q}''$ , where  $\tilde{T}^*$  is an exceptional subgroup of type II or III,  $\tilde{Q}'' \subset \tilde{Q}$  and  $\tilde{Q}'' \triangleleft \tilde{G}$ .

If  $\tilde{T}^* = \tilde{M}\tilde{Q}'$  with  $\tilde{Q}' \subset \tilde{Q}$ , and if  $Q', Q''$  are the inverse images of  $\tilde{Q}', \tilde{Q}''$  in  $T$ , then  $Q' \cap Q'' = S$  and  $Q''$  centralizes  $M$ . To complete the proof, we must show that one of the following two possibilities necessarily holds:

(a)  $Q' = Q_1 \times S$  and  $MQ_1 \triangleleft G$ ;

(b)  $Q'' = Q_2 \times S$ ,  $Q_2 \triangleleft G$ , and  $MQ'$  is an exceptional subgroup. In the first case the lemma will follow with  $T^* = MQ_1$  and  $Q^* = Q''$ ; and in the second case with  $T^* = MQ'$  and  $Q^* = Q_2$ .

Now  $\tilde{Q}$  is a regular  $\phi$ -group and hence has the form  $\tilde{Q} = \tilde{H}\tilde{K}$ , where  $\tilde{H}, \tilde{K}$  satisfy the conditions of Lemma 1.3. Suppose first that  $\tilde{Q}' \subseteq \tilde{H}$ . Since  $\tilde{Q}'$  does not have  $\phi$ -index 0,  $\tilde{Q}' \not\subseteq \tilde{F}$ , where  $\tilde{F}$  is the  $\phi$ -nucleus of  $\tilde{Q}$ . But then  $\tilde{Q}' = \tilde{H} \supset \tilde{F}$ ; and it follows from Lemma 1.3 that  $\tilde{K}$  is abelian and hence that  $\tilde{Q} = \tilde{Q}' \times \tilde{Q}_2$ , where  $\tilde{Q}_2$  is  $\phi$ -invariant. Thus (b) holds.

Suppose then that  $\tilde{Q}' \not\subseteq \tilde{H}$ . If  $\tilde{Q}' = \tilde{Q}'/\tilde{S}$  has order greater than  $q$ , it follows from the structure of  $\tilde{Q}'$  that  $\tilde{Q}' = \tilde{H} \times \tilde{S}$  and that  $\tilde{H} \supset \tilde{F}$ . But then  $\tilde{Q}'' \subset \tilde{K}$  and  $\tilde{Q} = \tilde{H} \times \tilde{Q}''$ ; and (a) holds. Finally if  $o(\tilde{Q}') = q$ , we must have  $\tilde{Q}' = \tilde{S} \times \tilde{Q}_1$ , where  $\tilde{Q}_1$  is  $\phi$ -invariant; otherwise  $o(\tilde{S}) = q$ ,  $\phi$  has order  $mq$  on  $\tilde{Q}'$ , where  $m = 3$  if  $q = 7$  and  $m = 2$  if  $q = 3$ , and  $\tilde{Q}' = \tilde{H}$ , contrary to assumption.

**7. Some properties of exceptional  $ABA$ -groups.** To help illuminate the discussion we shall give an example of an exceptional  $ABA$ -group  $G$  of type III and of order  $6p^2$ . Thus  $G = AT = AMQ$ , where  $M$  is abelian of type  $(p, p)$ ,  $o(Q) = 3$ , and  $o(A) = 2p$ . If  $(x_1, x_2)$  is a basis for  $M$ ,  $(y) = Q$ , and  $(a) = A$ , we may assume, in view of Theorem 5, that

$$(26) \quad x_1 = a^2, \phi(x_2) = ax_2a^{-1} = x_2^{-1} \text{ and } \phi(y) = x_1^k y^{-1} x_1^{-k}$$

for some integer  $k$ . First of all, we must have  $k = \frac{1}{2}(p + 1)$  since otherwise  $y$  centralizes  $A \cap M$ .

Furthermore

$$(27) \quad yx_1y^{-1} = x_1^\alpha x_2^\beta, \quad yx_2y^{-1} = x_1^\gamma x_2^\delta$$

for suitable integers  $\alpha, \beta, \gamma, \delta$ .

Applying  $\phi$  to (27) gives

$$(28) \quad y^{-1}x_1y = x_1^\alpha x_2^{-\beta}, \quad y^{-1}x_2^{-1}y = x_1^\gamma x_2^{-\delta}.$$

From (27) and (28) together we deduce that

$$(29) \quad \alpha = \delta \text{ and } \alpha^2 - \beta\gamma = 1.$$

The condition that  $y$  induce an automorphism of  $M$  of order 3 gives in addition

$$(30) \quad \alpha = \frac{1}{2}(p - 1).$$

Conversely conditions (26)—(30) with  $k = \frac{1}{2}(p + 1)$  are sufficient to define a group  $G$  of the form  $AT = AMQ$  such that  $T \triangleleft G$ ,  $Z(T) = 1$ , and  $N(A) = A$ . Furthermore, the elements  $[y]_1^{2^j}$  are in the coset  $(A \cap M)x_2^{\beta k^j}$  of  $A \cap M$ , while the elements  $[y]_1^{2^{j+1}}$  are in the coset  $(A \cap M)x_2^{-\beta k^j}y$ . It follows that the elements  $\phi^i([y]_1^j)$  include a set of coset representatives of  $A \cap M$  in  $T$ . Thus  $G$  is in fact an  $ABA$ -group with  $B = (ya)$ . Exceptional  $ABA$ -groups of type II can be similarly constructed.

We shall now determine a few of the properties of  $ABA$ -groups which contain exceptional subgroups of types II or III.

LEMMA 7.1. *Let  $G$  be an  $ABA$ -group containing an exceptional subgroup  $T \neq 1$  of type II or III. Then  $2 \mid o(A)$ ,  $2 \mid o(B)$ ,  $6 \mid o(G)$ , and if  $B_2$  denotes the 2-Sylow subgroup of  $B$ ,  $B_2 \not\subset A$ .*

*Proof.* By assumption  $G^* = AT = AB^*A$ ,  $B^* \subset B$ , is an exceptional  $AB^*A$ -group. It clearly suffices to prove the lemma for  $G^*$ , and hence without loss of generality we may assume  $G^* = G$ . If  $T = MQ$  with  $M \neq 1$ , we may also assume that  $Q_0 = 1$ , and that no proper subgroup of  $M$  is normal in  $G$ , for otherwise the lemma follows by induction on  $o(G)$ .

Thus  $M$  is elementary abelian of order  $p^m$ , where  $m = 3$  if  $p = 2$  and  $m = 2$  if  $p \neq 2$ . Furthermore  $o(Q) = q$ , where correspondingly  $q = 7$  or  $3$ . In either case  $2 \mid o(A)$ ,  $6 \mid o(G)$  by Theorem 5. If  $p = 2$ ,  $(a^6) \triangleleft G$  and by induction we may assume  $o(A) = 6$ . Thus  $o(G) = 168$ . Since  $G$  is an  $ABA$ -group, there must exist an element  $y$  in  $T$  and an integer  $r$  such that the elements  $\phi^i([y]_7^r)$  include a set of coset representatives of  $A \cap M$  in  $T$ . By Theorem 5, we may take  $r = 1$ , and hence the element  $b = ya$  will be a generator of  $B$ . Now  $b^3 = (ya)^3 = y\phi(y)\phi^2(y)a^3$ , so that by the structure of  $T$ ,  $b^3 \in M$ . If  $b^3 \in A \cap M$ , the set  $ABA$  will contain less than 168 distinct elements. Thus  $o(B) = 6$  and  $B_2 \not\subset A$ .

Similarly, if  $T$  is of type III and  $M \neq 1$ , we may assume  $o(G) = 6p^2$ ,  $o(A) = 2p$ . Again we have  $B = (b)$ , where  $b = (ya)$  for some element  $y$  in  $T$ , and  $b^2 = (ya)^2 = y\phi(y)a^2 \in M$ . Thus  $b^{2p} = 1$ . Since  $b^p = (b^{p-1})b$  and  $b^{p-1} \in M$  since  $p$  is odd,  $b^p \in A$  would imply that  $b \in AM$  and the set  $ABA$  would be contained in  $AM$ , which is not the case. Hence  $o(B) = 2p$  and  $B_2 = (b^p) \not\subset A$ .

Suppose finally that  $M = 1$  and  $T$  is an exceptional 3-group. Then by Theorem 4,  $\bar{G} = G/Z(T) = \bar{A}\bar{T} = \bar{A}\bar{B}\bar{A}$ , where  $\bar{T}$  is an elementary abelian  $\bar{\phi}$ -group of order 9 on which  $\bar{\phi}$  has order 6. Again we may assume  $o(\bar{A}) = 6$ . Now  $\bar{B} = (\bar{b})$ , where  $\bar{b} = \bar{y}\bar{a}$ ,  $y \in \bar{T}$ . Thus  $\bar{b}^6 = 1$ . If  $\bar{b}^3 \in \bar{A}$ , then  $[\bar{y}]_3^3 \in \bar{A} \cap \bar{T} = 1$ , which is not the case. Thus  $2 \mid o(B)$  and  $B_2 \not\subset A$ , completing the proof.

**THEOREM 6.** *An ABA-group  $G$  cannot contain exceptional subgroups of both type II and III.*

*Proof.* Suppose  $G$  contains an exceptional subgroup  $T_1$  of type II and an exceptional subgroup  $T_2$  of type III with  $T_i \neq 1$ ,  $i = 1, 2$ . Then  $G_i = AT_i = AB_iA$ ,  $B_i \subset B$ , is an exceptional  $AB_iA$ -group of type II if  $i = 1$  and of type III if  $i = 2$ . If  $B_1^*$  denotes the 2-Sylow subgroup of  $B_i$ , it follows from the preceding lemma that  $B_1^* \not\subset A$ ,  $i = 1, 2$ . Since  $B$  is cyclic, this implies  $B_1^* \cap B_2^* \not\subset A$  and hence that  $G_1 \cap G_2 \not\subset A$ . We shall derive a contradiction by showing that, in fact,  $G_1 \cap G_2 = A$ .

By Theorem 5 and the definition of exceptional subgroups,  $\phi$  has order  $3 \cdot 2^s 7^k$  on  $T_1$  for some  $s$  and  $k$ . Hence if  $p \neq 2, 3, 7$ , the  $p$ -Sylow subgroup  $A_p$  of  $A$  is normal in  $G_1$  and is the  $p$ -Sylow subgroup of  $G_1$ . Now  $M_2$  is abelian of type  $(t, t)$ , where  $2 \nmid t$ ,  $3 \nmid t$ . Let  $S_p$  be the  $p$ -Sylow subgroup of  $M_2$  for some prime  $p \mid t$ . If  $p \neq 7$ , it follows at once that  $S_p \cap G_1 \subset A_p$ .

Suppose that  $p = 7$  and  $S_p \cap G_1 \not\subset A_p$ .  $S_p = (A_p \cap M_2) \times L_p$ , where  $L_p$  is cyclic, invariant under  $A$ , and the order of  $\phi$  on  $L_p$  is 2. Our assumptions imply that  $L_p \cap G_1 \neq 1$ . On the other hand, if  $H_1 = C(M_1) \cap Q_1$ ,  $A_p H_1$  is the unique maximal  $A$ -invariant  $p$ -group in  $G_1$ . Since  $L_p \cap G_1$  is  $A$ -invariant we must have  $L_p \cap G_1 \subset A_p H_1$ . But by the structure of  $G_1$ ,  $\phi$  has order  $3 \cdot 7^c$  on  $H_1$  and hence on  $A_p H_1$ , contrary to the fact that  $\phi$  has order 2 on  $L_p \cap G_1$ . Thus  $S_p \cap G_1 \subset A_p$  if  $p = 7$ , and we conclude that  $M_2 \cap G_1 \subset A$ .

If  $M_2 \neq 1$ , set  $H_2 = C(M_2) \cap Q_2$ ; while if  $M_2 = 1$ , set  $H_2 = Z(Q_2)$ . In either case  $H_2$  is  $A$ -invariant and hence so is  $H_2 \cap G_1$ . But, by the structure of  $T_1$ ,  $A_3$  is the only  $A$ -invariant 3-Sylow subgroup of  $T_1$ ; and hence  $H_2 \cap G_1 \subset A_3$ . Thus  $M_2 H_2 \cap G_1 \subset A$ .

Suppose finally that  $x \in T_2 \cap G_1$ ,  $x \notin M_2 H_2$ . Now  $T_2 \cap G_1$  is  $A$ -invariant and contains  $A \cap M_2 H_2$ . But by the structure of  $T_2$ , any  $A$ -invariant subgroup of  $T_2$  which contains  $x$  and  $A \cap M_2 H_2$  necessarily contains a subgroup of  $M_2 H_2$  which properly contains  $A \cap M_2 H_2$ . In particular, this must be true of  $T_2 \cap G_1$ , contrary to the fact that  $M_2 H_2 \cap G_1 = A \cap M_2 H_2$ . Thus  $T_2 \cap G_1 \subset A$ . Since  $G_2 = AT_2$ ,  $G_2 \cap G_1 = A$ , and the theorem is proved.

We shall also need an analogous result for  $ABA$ -groups which contain exceptional subgroups of types I and III.

**LEMMA 7.2.** *If  $G = ABA$  contains exceptional subgroups  $T_1, T_2$  of types I and III respectively, then  $Z(T_1) \subset A$  and a 3-Sylow subgroup of  $T_2$  has order 3.*

*Proof.* If  $G_1 = AT_1 = AB_1A$  and  $G_2 = AT_2 = AB_2A$ , it follows as in Theorem 6 that  $G_1 \cap G_2 = A$ . Furthermore, by Lemma 7.1 the 2-Sylow subgroup  $B_2^*$  of  $B_2$  does not lie in  $A$ .

Now  $T_1 = QQ'$ , where  $Q, Q'$  satisfy condition (e) of Theorem 3. If  $G_0 = AQ = AB_0A$ ,  $B_0 = (b_0)$ , where  $b_0 = ya^r$ ,  $y \in Q$ . If  $k$  is the least integer such that  $[y]_r^k = 1$ , then  $b_0^k \in A$ . Furthermore, since  $\phi$  has order  $3 \cdot 2^s$  on  $Q$ ,  $k = 3 \cdot 2^m$  or  $2^m$  according as  $3 \nmid r$  or  $3 \mid r$ . Now the 2-Sylow subgroup  $B_0^*$  of  $B_0$  must lie in  $A$ ; otherwise  $B_0^* \cap B_2^* \not\subset A$  and  $G_1 \cap G_2 \supset A$ . It follows that  $b_0^3 \in A$ .

If  $K$  is a maximal  $A$ -invariant normal subgroup of  $Q$ , we may assume without loss that  $AK \subset AQ$ , for otherwise we can replace  $Q$  by  $K$  in Theorem 3. It follows that  $AK = A(b_0^3)A = A$ , and hence that  $K \subset A$ . Since  $Q/K$  is elementary of order 4,  $\Omega_1(K)$  is a quaternion group and  $Z(Q) \subset A$ . Now  $A \cap Q' = 1$  and if  $Q' \neq 1$ , the proof of Theorem 3 shows that  $Q \cap Q'$  contains an abelian subgroup of type  $(2, 2)$ . Since  $Q$  contains no such  $A$ -invariant subgroup,  $Q' = 1$  and  $Z(T_1) \subset A$  as asserted.

The preceding argument shows that the 3-Sylow subgroup of  $B_1 (= B_0)$  does not lie in  $A$ . This forces the 3-Sylow subgroup of  $B_2$  to lie in  $A$ , otherwise  $G_1 \cap G_2 \supset A$ . If  $T_2 = M_2Q_2$ , we consider  $\bar{G}_2 = G_2/M_2(A \cap Q_2) = \bar{A}\bar{B}_2\bar{A} = \bar{A}\bar{Q}_2$ .  $\bar{Q}_2$  is a regular  $\bar{\phi}$ -group and  $\bar{\phi}$  has order  $2 \cdot 3^s$  on  $\bar{Q}_2$ . If  $\bar{B}_2 = (\bar{b}_2)$ , where  $\bar{b}_2 = \bar{y}_2\bar{a}'^2$ ,  $\bar{y}_2 \in \bar{Q}_2$ , it follows as above that  $\bar{b}_2^2 \in \bar{A}$ . But then  $[\bar{y}]_{r_2}^2 = 1$ , and hence  $o(\bar{Q}_2) = 3$ , forcing  $A \cap Q_2 = 1$  and  $o(Q_2) = 3$ .

**8. Strongly factorizable ABA-groups.** We shall call an ABA-group  $G$  *strongly factorizable* if  $G = AT$ , where  $T = T_1 \times T_2 \times T_3$ , each  $T_i$  is normal in  $G$ ; and if  $T_1 \neq 1$ , then  $T_1$  is an exceptional subgroup of type I, if  $T_2 \neq 1$ , then  $T_2$  is an exceptional subgroup of type II or III, and  $A \cap T_3 = 1$ .

A number of consequences of this definition follow immediately from our previous results. First of all,  $T_3$  is a regular  $\phi$ -group and hence is nilpotent of class  $\leq 2$ . Furthermore since  $A$  is cyclic,  $T_1 \neq 1$  implies that either  $T_2$  is of type III or  $T_2 = 1$ .

The definition also implies that  $G$  is solvable and that  $T = [G, G]$ . Finally, if  $G = ABA = AM$ , where  $M$  is nilpotent and normal in  $G$ , it follows from Theorems 2, 3, and 4 that  $G$  is in fact strongly factorizable.

Theorem B is an immediate corollary of the following theorem, which has been our main objective in Part I.

**THEOREM B'.** *If  $G = ABA$  and  $G$  is solvable, then  $G$  is strongly factorizable*

The proof will be broken up into a sequence of lemmas.

**LEMMA 8.1.** *If  $G = ABA$  is strongly factorizable, then so is every subgroup of  $G$  containing  $A$  and every homomorphic image of  $G$ .*

*Proof.* If  $G'$  is a subgroup of  $G$  containing  $A$ ,  $G' = AT'$ , where  $T' = G' \cap T$ ,  $T' \triangleleft G'$ . Clearly  $T' = T_1' \times T_2' \times T_3'$ , where  $T_i' \subseteq T_i$ ,  $i = 1, 2, 3$ , and  $A \cap T_3' = 1$ . If  $T_2 = MQ$ ,  $Q$  contains a maximal subgroup  $Q_0$  which is  $A$ -invariant such that  $MQ_0$  is nilpotent and  $[T_2:MQ_0] = q$ . It follows from Theorem 5 and the definition of exceptional subgroups of type II and III that either  $T_2' = T_2$  or  $T_2' \subseteq MQ_0$ . In the latter case  $AT_2'$  possesses a normal 2-complement. Similarly either  $T_1'$  is an exceptional subgroup of type I or  $AT_1'$  possesses a normal 2-complement. It follows at once that  $G'$  is strongly factorizable.

If  $G' = A'B'A'$  is a homomorphic image of  $G$ , we need only show that  $N(A') = A'$ , for the remaining parts of the definition of strong factorizability follow as above. Now  $M^* = T_1 \times MQ_0 \times T_3$  is nilpotent and  $[T:M^*] = q$ .

If  $\tilde{G} = G/M^* = \tilde{A}\tilde{Q}$ ,  $N(\tilde{A}) = \tilde{A}$ , so that the hypotheses of Lemma 6.1 are satisfied. Hence if  $G' = G/L$  and  $L \subseteq M$ ,  $N(A') = A'$ . If  $L \subset T$ , but  $L \not\subseteq M^*$ , then necessarily  $T_2 \subseteq L$ .

Since  $G' = G/M/L/M$ , it follows readily that  $N(A') = A'$ . If  $L \subset A$ , this conclusion is obvious. Since  $L = (A \cap L)(T \cap L)$ ,  $N(A') = A'$  in all cases.

LEMMA 8.2. *Let  $G = ABA = AT$ ,  $T \triangleleft G$ ,  $T = PQ$ ,  $P$  a  $p$ -group normal in  $G$ ,  $Q$  a  $q$ -group with  $q \neq p$ , and assume that  $G$  contains no normal subgroups which lie properly between  $P$  and  $T$ . Then  $G$  is strongly factorizable.*

*Proof.* The proof is by induction on  $o(G)$ . If  $\tilde{G} = G/P = \tilde{A}$ ,  $G = AP$  and  $G$  is strongly factorizable. The lemma also holds, as remarked above, if  $T$  is nilpotent; and it follows from Theorem 5 if  $Z(T) = 1$ . Hence we may assume that none of these conditions prevail.

Let  $P_1$  be a minimal subgroup of  $Z(T)$ , normal in  $G$ . Since  $T$  is not nilpotent,  $P_1 \subset P$ . If  $\tilde{G} = G/P_1$ ,  $N(\tilde{A}) = \tilde{A}$  by Lemma 6.1, and hence by induction  $\tilde{G} = \tilde{A}\tilde{T}$ , where  $\tilde{T} = \tilde{T}_1 \times \tilde{T}_2 \times \tilde{T}_3$  satisfies the required conditions.

If  $\tilde{T}$  is nilpotent, then so is  $T$ . We must therefore have  $\tilde{T}_2 = \tilde{M}\tilde{Q}$ , with  $\tilde{M} \neq 1$ . In particular, this implies  $\tilde{T}_1 = 1$ ; otherwise  $p = 2$  and  $\tilde{T}_2$  of type II, which is not possible for strongly factorizable groups, as pointed out above.

If  $\tilde{T}_3 \neq 1$ , it follows by induction that the inverse image  $H_2$  of  $\tilde{T}_2$  is of the form  $P_1 \times T_2$ , where  $T_2$  is an exceptional subgroup of type II or III. Furthermore if  $H_3$  is the inverse image of  $\tilde{T}_3$  in  $G$ ,  $H_3 \triangleleft G$  and  $H_3Q = H_3 \times Q$ . If  $T_2 = MQ$ , we have, for any  $x$  in  $M$  and any  $z$  in  $H_3$ ,  $[x, z] = z'$ ,  $z' \in P_1$ . Conjugating this relation by  $y$  in  $Q$ , it follows that  $[x, y]$  commutes with  $z$ . But  $y$  acts regularly on  $M$  if  $y \neq 1$ , and hence  $MH_3 = M \times H_3$ . Thus  $G = A(T_2 \times H_3)$ . Since  $p \neq 3$ , the lemma now follows from Theorems 2 and 3. We may thus assume that  $\tilde{T}_3 = 1$  and hence that  $G = AH_2$ .

Now by the minimality of  $P_1$  either  $P_1 \subset A$  or  $P_1 \cap A = 1$ . Assume first that  $P_1 \subset A$ . Let  $\tilde{K} = \Omega_1(M)$  and let  $K$  be its inverse image in  $H_2$ . By Theorem 5  $\tilde{K}$  is elementary abelian of order 8 of  $p^2$  and  $\tilde{A} \cap \tilde{K} \neq 1$ . But this implies  $P_1 \subset L \subset K$ , where  $L$  is  $Q$ -invariant, contrary to the fact that  $\tilde{Q}$  leaves no proper subgroup  $\neq 1$  of  $\tilde{K}$  invariant. Thus  $P_1 \cap A = 1$ .

Suppose first that  $\tilde{M}$  is a 2-group. Since  $o(A \cap K) = 2$  we must have  $K = \Omega_1(K)$ , otherwise we reach a contradiction as above. A similar argument shows  $K$  is abelian, whence  $K = (A \cap K) \times K_1$ , where  $K_1$  is  $A$ -invariant. Suppose  $K_1$  were not of the form  $P_1 \times L$ , where  $L$  is  $A$ -invariant. Then  $o(P_1) = 4$ ,  $o(K_1) = 16$  and  $\phi$  has order 6 on  $K_1$ . But the image  $\tilde{K}_1$  of  $K_1$  in  $M$  is a regular  $\bar{\phi}$ -group, and by the structure of  $\tilde{T}_2$ , its  $\bar{\phi}$ -index is a multiple of 3. Therefore  $\tilde{K}_1$  is of  $\bar{\phi}$ -index 0 and  $\bar{\phi}$  acts irreducibly on  $\Omega_1(K_1) = K_1$ , a contradiction. Thus  $K_1 = P_1 \times L$ , where  $L$  is  $A$ -invariant. Furthermore, by Lemma 1.2  $P_1$  contains no  $A$ -invariant subgroups of type (2, 2). Hence if  $M'$  denotes the inverse image of  $\tilde{M}$  in  $G$ , Theorem 3 implies that  $M' = P_1 \times M$ ,

where  $M$  is  $A$ -invariant. Now  $\Omega_1(M) = (A \cap K)L$  and  $\Omega_1(M)$  is clearly  $Q$ -invariant. Thus  $\Omega_1(M) \triangleleft G$ , and it follows at once by induction applied to  $G/\Omega_1(M)$  that  $H_2 = P_1 \times MQ$ . Hence the lemma holds if  $\bar{T}_2$  is of type II.

Essentially the same argument applies if  $\bar{T}_2$  is of type III, provided we can prove that  $K$  is abelian. Since  $[K, K]$  is cyclic and  $\Omega_1(K) = K$ , this will necessarily be the case unless  $o(P_1) = p$  and  $K = (A \cap K)K_1$ , where  $A \cap K_1 = 1$ ,  $K_1$  is elementary abelian of order  $p^2$ , and  $\phi$  has order  $2p$  on  $K_1$ . But this leads to a contradiction since again  $K_1$  is of  $\phi$ -index 0.

LEMMA 8.3. *Let  $G = ABA = AT$ ,  $T \triangleleft G$ ,  $T = PQ$ ,  $P$  a  $p$ -group normal in  $G$ ,  $Q$  a  $q$ -group with  $q \neq p$  and  $A \cap T \subset P$ . Then  $G$  is strongly factorizable.*

*Proof.* Let  $\bar{G} = G/P = \bar{A}\bar{Q}$ , let  $\bar{Q}_1$  be a minimal subgroup of the centre of  $\bar{Q}$  invariant under  $\bar{A}$ , and let  $Q_1$  be its inverse image in  $Q$ . We may assume  $Q_1 \subset Q$  since otherwise the lemma follows from the preceding lemma.

If  $Q_1 \triangleleft G$ , we set  $\tilde{G} = G/Q_1 = \tilde{A}\tilde{T}$ . Since  $A \cap Q_1 = 1$ ,  $N(\tilde{A}) = \tilde{A}$  by the corollary of Lemma 2.3. Hence by induction  $\tilde{G}$  is strongly factorizable, whence  $\tilde{G} = A(\tilde{T}_1 \times \tilde{T}_2 \times \tilde{T}_3)$  where the subgroups  $\tilde{T}_i$  satisfy the required conditions. Let  $H_i$  be the inverse image of  $\tilde{T}_i$ ,  $i = 1, 2, 3$ , and let  $P_1$  be the  $p$ -Sylow subgroup of  $H_1H_3$ . Since  $Q_1$  is normal in  $PQ_1$ ,  $Q_1$  is in the centre of  $H_2H_3$ , and it follows at once that  $H_1H_3$  is nilpotent. Thus  $H_1H_3 = P_1 \times S$ , where  $S$  is the  $q$ -Sylow subgroup of  $H_1H_3$ .

We may assume  $\bar{T}_2 \neq 1$  since otherwise the lemma follows immediately. Now the group  $H_2S$  satisfies the hypotheses of Lemma 6.3 and consequently  $H_2S = T_2 \times Q'$ , where  $T_2$  is an exceptional subgroup of type II or III,  $Q' \subset S$ , and  $Q'$  is  $A$ -invariant. Our conditions also imply that  $P_1T_2 = P_1 \times T_2$ , and it follows at once that  $G$  is strongly factorizable.

We may therefore assume that  $Q_1 \not\triangleleft G$ . By induction  $G_1 = APQ_1$  is strongly factorizable, and hence  $G_1 = A(T_1 \times T_2 \times T_3)$  where the subgroups  $T_i$  have the appropriate properties. If  $T_2 = 1$ ,  $Q_1$  is in the centre of the nilpotent group  $T_1T_3$ . Since  $Q_1$  is a  $q$ -Sylow subgroup of  $T_1T_3$ , it is  $A$ -invariant and hence normal in  $G$ , contrary to assumption. Thus  $T_2 \neq 1$ .

Now  $T_1 \neq 1$  implies  $p = 2$ . But this is impossible since then  $T_2$  would be of type II. Thus  $T_1 = 1$ . Furthermore  $T_2 = MQ_1$ , and  $o(Q_1) = q$  by the minimality of  $\bar{Q}_1$ . Furthermore  $P = (A \cap P)MT_3$ . If  $x \in T_3$  and  $y \in Q$ , the normality of  $P$  implies  $yx y^{-1} = zx'$ ,  $z \in (A \cap P)M$ ,  $x' \in T_3$ . Conjugating this relation by  $y_1 \neq 1$  in  $Q_1$  we conclude immediately that  $y_1$  and  $z$  commute. But  $M$  is  $A$ -invariant and  $A \cap M \neq 1$ . Since  $A$  is cyclic, it follows if  $z \neq 1$  that  $z^i \in M$  for some integer  $i$ , with  $z^i \neq 1$ . But this is a contradiction since  $T_2$  has a trivial centre. Thus  $z = 1$  and hence  $T_3 \triangleleft G$ .

If  $T_3 = 1$ ,  $P = (A \cap P)M$ . If  $A \cap P \supset A \cap M$ , it follows readily from the structure of  $M$  that  $Q_1$  normalizes  $A \cap M$ , which is not the case. Thus  $A \cap P = A \cap M$ . If  $T_3 \neq 1$ , we can obtain the same conclusion by considering  $G/T_3$ , since  $A \cap T_3 = 1$ . Thus  $P = M \times T_3$ .

Finally, if  $z \in M$ , and  $y \in Q$ , we have  $zyz^{-1} = z'x$ ,  $z' \in M$ ,  $x \in T_3$ . Conjugating this relation by  $y_1 \neq 1$  in  $Q_1$ , we readily obtain  $y[z, y_1]y^{-1} = [z', y_1]$ . Since  $Q_1$  acts regularly on  $M$ , it follows that  $M \triangleleft Q$ , and hence by Lemma 6.3  $MQ = MQ_2 \times Q'$  where each factor is  $A$ -invariant. Thus  $G = A(MQ_2 \times Q'T_3)$ , and since  $A \cap Q'T_3 = 1$ ,  $G$  is strongly factorizable.

LEMMA 8.4. *Let  $G = ABA$  and assume that  $G$  contains a normal subgroup  $P$  of prime power order such that  $\bar{G} = G/P = \bar{A}\bar{B}\bar{A}$ , is an exceptional  $\bar{A}\bar{B}\bar{A}$ -group. Then  $G$  is strongly factorizable.*

*Proof.* The proof will be made by induction on  $o(G)$ . Let  $o(P) = p^m$  and  $\bar{G} = \bar{A}\bar{T}$ , where  $\bar{T}$  is an exceptional subgroup. We first consider the case in which no proper subgroup of  $P$  is normal in  $G$ .

Assume  $K \triangleleft G$ , where  $K$  is a  $q$ -group. If  $\bar{G} = G/K = \bar{A}\bar{B}\bar{A}$ , we first show that  $N(\bar{A}) = \bar{A}$ . By the minimality of  $P$ , either  $K \supset P$  or  $K \cap P = 1$ . If  $K \supset P$ ,  $\bar{G}$  is a homomorphic image of  $\bar{G}$ , whence  $N(\bar{A}) = \bar{A}$  by Lemma 8.1. If  $K \cap P = 1$  and  $\bar{P}$  denotes the image of  $P$  in  $\bar{G}$ ,  $\bar{G}/\bar{P}$  is a homomorphic image of  $\bar{G}$  and hence  $N(\bar{A}) \subset \bar{A}\bar{P}$ . But  $KP$  is nilpotent and hence  $G_0 = AKP$  is strongly factorizable. If  $\bar{G}_0 = G_0/K$ , it follows that  $N_{\bar{G}_0}(\bar{A}) = \bar{A}$ , whence  $N(\bar{A}) = \bar{A}$ , as asserted.

Let  $H$  be the inverse image of  $\bar{T}$  in  $G$ . We distinguish three cases.

*Case 1.*  $\bar{T}$  of type I. We may assume  $p \neq 2$  since otherwise the lemma follows immediately from Theorem 3. Thus  $H = PQ$ , where  $Q$  is a 2-Sylow subgroup of  $H$ . We may assume  $Q$  contains the inverse image of  $\bar{A} \cap \bar{T}$ . (Since  $A \cap H$  need not be contained in  $P$ , the lemma is not a consequence of the preceding lemma.) We may assume  $C(P) \cap Q = 1$ , otherwise the lemma follows by induction or from the preceding lemma by considering  $G/C(P) \cap Q$ . Let  $\bar{K}$  be a maximal subgroup of  $\bar{T}$  normal in  $\bar{G}$ . Then  $\bar{A}\bar{K} = \bar{A}\bar{K}_1$ , where  $\bar{K}_1$  is  $\bar{A}$ -invariant and either  $\bar{A} \cap \bar{K}_1 = 1$  or  $\bar{K}_1$  is an exceptional subgroup. If  $K_1$  denotes the inverse image of  $\bar{K}_1$  in  $Q$  it follows either from the preceding lemma or by induction that  $PK_1 = P \times K_1$ , whence  $K_1 = 1$  and  $\bar{K} \subset \bar{A}$ . Thus  $\bar{Q} = (\bar{A} \cap \bar{Q})\bar{Q}_1$ , where  $\bar{A} \cap \bar{Q} = Z(\bar{Q})$  and  $\bar{Q}_1$  is a quaternion group. Without loss we may assume  $\bar{Q} = \bar{Q}_1$ . Since  $A \cap Q$  centralizes  $A \cap P$  and  $A \cap Q \subseteq Z(Q)$ , the minimal nature of  $P$  implies that  $A \cap P = 1$ . But then the conditions of Lemma 5.4 are satisfied, and hence  $PQ$  is nilpotent.

*Case 2.*  $\bar{T} = \bar{M}\bar{Q}$  is of type II. We assume  $p \neq 2$ , otherwise the lemma follows from Lemma 6.3. If  $M$  denotes a 2-Sylow subgroup of the inverse image of  $\bar{M}$  in  $G$ ,  $G_0 = APM$  is strongly factorizable by induction. Hence  $G_0 = A(P \times M_0)$ , where  $M = (A \cap M)M_0$ . Since  $C(P) \cap M \triangleleft G$ , it follows from the structure of  $\bar{T}$  that  $M = M_0$ . If  $\bar{G} = G/M = \bar{A}\bar{P}\bar{Q}$ ,  $\bar{P}\bar{Q}$  is nilpotent by Lemma 6.3, and the lemma follows at once.

*Case 3.*  $\bar{T} = \bar{M}\bar{Q}$  is of type III.  $\bar{M}$  is abelian of type  $(t, t)$  with  $(t, 6) = 1$ ; and  $\bar{Q}$  is a 3-group. Assume first that  $\bar{M} \neq 1$ . If  $p \nmid t$ , it follows as in case 2

that the inverse image of  $\bar{A}\bar{M}$  in  $G$  has the form  $G_0 = A(P \times M)$ , where  $M \triangleleft G$ , except possibly if  $p = 2$  and  $7 \mid t$ . In this case, it may happen that  $G_0 = A(T_2 \times M)$ , where  $T_2$  is an exceptional subgroup of type II. But then the 7-Sylow subgroup  $S_0$  of  $C(P) \cap G_0$  has index 7 in a 7-Sylow subgroup  $S$  of  $G_0$  and is normal in  $G$ . It follows that  $[\bar{S} \cap \bar{M} : \bar{S}_0 \cap \bar{M}] = 7$  and  $\bar{S}_0 \cap \bar{M} \triangleleft \bar{T}$ , contrary to the structure of  $\bar{T}$ . Thus  $G_0 = A(P \times M)$ , where  $M \triangleleft G$ . By induction  $\tilde{G} = G/M = \tilde{A}\tilde{P}\tilde{Q}$  is strongly factorizable. By the minimal nature of  $P$ , either  $\tilde{P}\tilde{Q}$  is nilpotent or  $\tilde{P}\tilde{Q}$  is an exceptional subgroup of type III. In either case the lemma follows at once.

If  $p \mid t$ ,  $p \neq 2, 3$ . In this case  $G_0 = A(P_0 \times M_0)$ , where  $P_0, M_0 \triangleleft G$  and  $P_0$  is a  $p$ -group containing  $P$ . If  $M_0 \neq 1$ , the lemma follows easily by induction; hence we may assume  $M_0 = 1$  and hence that  $\bar{M}$  is a  $p$ -group. Furthermore we may assume that  $\bar{A} \cap \bar{Q} \neq 1$ ; otherwise the lemma follows from the preceding one. Thus  $G = AP_0Q$ , where  $Q$  is a 3-group of class 2 and  $A \cap Q \neq 1$ . We may also assume  $Z(Q)$  does not centralize  $P_0$ ; otherwise the lemma follows by induction. Now  $[P_0, P_0]$  is cyclic, and hence either  $P_0$  is abelian or  $[P_0, P_0] = P$  has order  $p$ . But in this case  $Z(Q)$  centralizes  $P$  and consequently  $P_0$ . Thus  $P_0$  is abelian. It follows now exactly as in the proof of Lemma 8.2 that  $P_0 = P \times P_1$ , where  $P_1$  is normal in  $G$  and  $A \cap P = 1$ . The lemma follows at once by induction by considering  $G/P_1$ .

There remains the case  $\bar{M} = 1$ . Thus  $G = APQ$ ,  $\text{cl}(Q) = 2$ , and  $A \cap Q \neq 1$ . As in case 1 we may assume  $C(P) \cap Q = 1$ . Since  $A \cap Q \subseteq Z(Q)$  and  $A \cap Q$  centralizes  $A \cap P$ , it follows from the minimality of  $P$  that  $A \cap P = 1$ . Hence if  $\bar{K}, K$ , and  $K_1$  are as in case 1, we must have  $K_1 = 1$  and  $\bar{K} \subseteq \bar{A}$ . But by the structure of  $\bar{Q}$ , a maximal  $\bar{A}$ -invariant subgroup of  $\bar{Q}$  does not lie in  $\bar{A}$ .

This completes the induction when no proper subgroup of  $P$  is normal in  $G$ .

*Case 4.*  $P$  is not a minimal normal subgroup of  $G$ . Let  $P_0$  be a minimal subgroup of  $Z(P)$  normal in  $G$ . If  $\tilde{G} = G/P_0 = \tilde{A}\tilde{B}\tilde{A}$ ,  $\tilde{G}$  is strongly factorizable by induction. Thus  $\tilde{G} = A(\tilde{T}_1 \times \tilde{T}_2 \times \tilde{T}_3)$ , the subgroups  $\tilde{T}_i$  having the required properties. Let  $H_i$  be the inverse image of  $\tilde{T}_i$  in  $G$ ,  $i = 1, 2, 3$ . Under the hypotheses of the lemma, if  $\tilde{T}_1 \neq 1$  and  $\tilde{T}_2 \neq 1$ , then  $p \neq 2$ .

Assume first that  $\tilde{T}_2 \neq 1$ . Then  $H_1H_3$  is a  $p$ -group and  $P_0$  is in its centre.  $P_0$  must therefore be a minimal normal subgroup of  $AH_2$ , and it follows from Case 2 or 3 that  $AH_2$  is strongly factorizable.

If  $AH_2 = A(P_0 \times T_2)$  where  $T_2$  is an exceptional subgroup, then  $G = A(T_2H_1H_3)$  and  $H_1H_3$  commutes elementwise with all elements of  $T_2$  of order prime to  $p$ . The lemma follows immediately if  $p \nmid o(T_2)$ . Let  $T_2 = MQ$ , and suppose next that  $Q$  is a  $p$ -group, in which case  $p = 3$  or  $7$  and  $H_1H_3 = H_3$ . If  $M \neq 1$ , the lemma follows by considering  $G/M$ ; while if  $M = 1$ , it follows from Theorem 4. Assume next that  $p \mid o(M)$ . If  $p = 2$ ,  $MH_1H_3$  is a 2-group,  $A \cap Q = 1$ , and Lemma 6.3 applies. If  $p \neq 2$ , we may assume  $M$  is a  $p$ -group, or else the lemma follows by induction. Since  $p \neq 2, 3$ ,  $A$  possesses a normal

complement  $P^*$  in  $AH_3$ , which is normal in  $G$ , and centralized by  $Q$ . Furthermore  $M = (A \cap M) \times M^*$ , where  $M^*$  is  $A$ -invariant. Thus  $P^*M^*$  is a regular  $\phi$ -group, whence by Lemma 1.6,  $P^*M^* = P^* \times M^*$ . Since  $C(P^*) \triangleleft G$ , we must have  $P^*M = P^* \times M$ , and the lemma follows.

On the other hand, if  $AH_2 \neq A(P_0 \times T_2)$ ,  $H_2$  is necessarily an exceptional subgroup and  $H_1H_3 = H_3$ . Thus  $G = AH_2H_3$ ,  $H_2 \cap H_3 = P_0$ , and  $H_3$  commutes with all elements of  $H_2$  of order prime to  $p$ .

If  $H_2 = MQ$ ,  $P_0 \subseteq M$ . As above, we may assume  $M$  is a  $p$ -group and  $p \neq 2$ . If  $A \cap Q = 1$ , the preceding lemma applies; so assume  $A \cap Q \neq 1$ . It follows now as in case 3 that  $C(MH_3) \cap Q \neq 1$ , and the lemma follows by induction.

Finally, if  $\tilde{T}_2 = 1$ ,  $G = AH_1H_3$ . If  $p = 2$ ,  $H_1H_3$  is a 2-group and  $G$  is strongly factorizable. If  $p \neq 2$ , it follows readily that  $AH_1 = A(P_0 \times T_1)$ , where  $T_1$  is an exceptional 2-group and  $T_1$  centralizes  $H_3$ . Again  $G$  is strongly factorizable, and the lemma is proved.

With the aid of the preceding lemmas we shall now establish Theorem  $B'$ . The proof will be by induction on  $o(G)$ . Let  $P$  be a minimal normal subgroup of  $G$ . If  $A_0 \subset A$  and  $G_0 = N(A_0)$ ,  $G_0/A_0$  is strongly factorizable by induction. It follows at once from the corollary of Lemma 6.1 that  $A_0 \subseteq Z(G_0)$ . Since  $P$  is an abelian  $p$ -group, Lemma 2.3 now yields  $N(A) = A$ , where  $G = G/P = \bar{A}\bar{B}\bar{A}$ . Thus by induction  $\bar{G}$  is strongly factorizable so that  $\bar{G} = \bar{A}(\bar{T}_1 \times \bar{T}_2 \times \bar{T}_3)$ , where the subgroups  $\bar{T}_i$  satisfy the required conditions. Let  $H_i$  be the inverse image of  $T_i$  in  $G$ ,  $i = 1, 2, 3$ .

We shall distinguish three cases.

*Case 1.*  $P \subset A$ . By Lemma 2.1,  $P \subseteq Z(H_3)$ , whence  $H_3$  is nilpotent. If  $p \neq 2$ , Lemma 8.4 implies  $H_1$  is nilpotent, while if  $p = 2$ ,  $P \subseteq Z(H_1)$  since  $o(P) = 2$ . Thus  $H_1H_3$  is nilpotent and it follows from Theorems 2 and 3 that  $AH_1H_3 = A(T_1 \times T_2 \times T_3)$  is strongly factorizable. If  $T_2 \neq 1$ , then  $p = 3$  and  $T_2$  is an exceptional 3-group of type III. Furthermore, by Lemma 8.4, either  $H_2 = P$ ,  $H_2 = P \times T_2^*$ , where  $T_2^*$  is an exceptional subgroup of type II or III, or  $p = 3$  and  $H_2 = T_2^*$  is an exceptional subgroup of type III.

If  $T_2 \neq 1$ , then by Theorem 6, either  $H_2 = P$  or  $T_2^*$  is of type III. But in the latter case, it follows that a homomorphic image  $\tilde{G}$  of  $\bar{G}$  contains two  $\tilde{\phi}$ -invariant subgroups of order 3, each disjoint from  $A$ ; and this is impossible by Lemma 1.2. Thus  $H_2 = P$  and  $G$  is strongly factorizable. We may therefore assume  $T_2 = 1$  and  $H_2 \neq P$ .

Suppose  $T_1T_3$  is not a  $p$ -group and let  $S$  be an  $r$ -Sylow subgroup of  $T_1T_3$ ,  $r \neq p$ . If  $x \in S$ ,  $y \in H_2$ , we have  $[x, y] = z \in P$ . Since  $P$  centralizes  $S$  and  $H_2$ ,  $[x^p, y] = 1$  and it follows that  $S$  centralizes  $H_2$ . But then we conclude that  $G$  is strongly factorizable by considering  $G/S$  and applying induction. Hence we may assume  $T_1T_3$  is a  $p$ -group, in which case the theorem follows from Lemma 8.4.

*Case 2.*  $A \cap P = 1$ . This time Lemma 8.4 gives  $H_2 = P$  or  $H_2 = P \times T_2$ , where  $T_2$  is an exceptional subgroup of types II or III. Furthermore  $H_1H_3$  is

nilpotent and  $AH_1H_3 = A(T_1 \times T_3)$  is strongly factorizable. It follows as in the preceding paragraph that  $G$  is strongly factorizable.

*Case 3.*  $P \not\subseteq A$ ,  $A \cap P \neq 1$ . We may suppose that no minimal normal subgroup of  $G$  lies in  $A$  or is disjoint from  $A$ .

Assume first that  $\bar{T}_2 \neq 1$ . Then  $G' = AH_1H_3$  is strongly factorizable by induction. Suppose  $G'$  contained a normal subgroup  $L$  of order prime to  $p$  such that  $A \cap L = 1$ . Then  $L$  centralizes  $P$  and the image  $\bar{L}$  of  $L$  in  $\bar{G}$  centralizes  $\bar{T}_2$ , whence  $L$  centralizes  $H_2$ . Thus  $L \triangleleft G$ , contrary to assumption. Suppose next that  $G'$  contains an exceptional subgroup  $T'$  of type II or III. By Lemma 8.4 and Theorem 6,  $H_2$  also contains an exceptional subgroup of the same type; and this leads to a contradiction as in case 1. We conclude that  $G'$  has the form  $A(T_1 \times T_3)$ , where  $T_3$  is a  $p$ -group. If  $p = 2$  or  $T_1 = 1$ ,  $PT_1T_3$  is a  $p$ -group and the theorem follows from Lemma 8.4. In the remaining case  $AH_2T_3$  is strongly factorizable by induction, and the theorem follows at once.

Assume finally that  $\bar{T}_2 = 1$ . If  $p \neq 2$ , then Lemma 8.4 implies that  $H_1 = P \times T_1$ , where either  $T_1 = 1$  or  $T_1$  is exceptional of type I. Furthermore, it follows as in case 1 that  $H_3$  centralizes  $T_1$ . This forces  $T_1 = 1$ , otherwise  $G$  contains a minimal normal subgroup which lies in  $A$  or is disjoint from  $A$ . Let  $\bar{Q}$  be a  $q$ -Sylow subgroup of  $\bar{T}_3$  with  $q \neq 3$  or  $p$  and suppose  $\bar{Q} \neq 1$ . By Lemma 8.4 the inverse image of  $\bar{Q}$  in  $G$  is nilpotent and again  $G$  contains a minimal normal subgroup which lies in  $A$  or is disjoint from  $A$ . Thus  $o(\bar{T}_3) = p^{c3^d}$  and the theorem follows from Lemma 8.3 if  $p \neq 3$  and from Theorem 4 if  $p = 3$ .

On the other hand, if  $p = 2$ , it follows as in the preceding paragraph that  $o(\bar{T}_3) = 2^{e7^d}$ . In this case Lemma 8.3 and Theorem 3 show that  $G$  is strongly factorizable. This completes the proof of Theorem  $B'$ .

Theorem  $B'$  has the following corollary.

**COROLLARY.** *Let  $G = ABA$  be a non-strongly factorizable  $ABA$ -group of lowest possible order. Then  $G$  does not possess a non-trivial normal subgroup of prime power order.*

## PART II

### THE SOLVABILITY OF $ABA$ -GROUPS

Having determined the structure of solvable  $ABA$ -groups, we turn now to the proof of Theorem  $A$ . In view of Theorem  $B'$ , this is equivalent to showing that every  $ABA$ -group is strongly factorizable. Throughout Part II  $G$  will denote an  $ABA$ -group of least order which is not strongly factorizable. Hence all proper subgroups and homomorphic images of  $G$  which are themselves  $ABA$ -groups will be strongly factorizable. Furthermore, by the corollary of Theorem  $B'$ ,  $G$  contains no non-trivial normal subgroups of prime power order.

**9.  $ABA$ -groups which possess a normal  $A$ -complement.** Let  $G = ABA$  and let  $p$  be a prime dividing  $o(A)$ . We shall call  $p$  *non-exceptional* if

- (a)  $G$  contains an  $A$ -invariant  $p$ -Sylow subgroup  $P^*$ ;
- (b) If  $A_p$  is a  $p$ -Sylow subgroup of  $A$ , then  $P^* = A_p P$ , where  $P \triangleleft P^*$  and  $A_p \cap P^* = 1$ ;
- (c)  $N(X)$  possesses a normal  $A_p$ -complement for every  $A$ -invariant normal subgroup  $X \neq 1$  of  $P^*$ .

Otherwise we call  $p$  *exceptional*.

**THEOREM 7.** *If  $p$  is non-exceptional, then  $G$  contains a normal subgroup  $K_p$  such that  $G = A_p K_p$ ,  $A_p \cap K_p = 1$ .*

*Proof.* Let  $P^*, P$  be as above. If  $p$  is odd, it will suffice by the Hall-Wielandt theorem (6, Theorem 14.4.2) to find a weakly closed subgroup  $P_0$  of  $P^*$  such that either  $P_0 \subseteq Z_{p-1}(P^*)$  or  $P_0$  is abelian, since  $N(P_0)$  possesses a normal  $A_p$ -complement.

Now  $P$  is a regular  $\phi$ -group. Let  $F$  be its  $\phi$ -nucleus and set  $\bar{A}\bar{P} = AP/F$ . We know that  $\bar{P}$  is elementary abelian and  $\bar{\phi}$  has order prime to  $p$  on  $\bar{P}$ . Hence  $\bar{A}_p$  centralizes  $\bar{P}$  and  $\bar{P}^* = P^*/F$  is abelian. In particular,  $P^*$  is abelian if  $F = 1$ , and we may take  $P_0 = P^*$ . If  $F$  is elementary abelian,  $\text{cl}(P^*) \leq 2$  and we again may take  $P_0 = P^*$ . If  $F$  is cyclic or abelian on two generators, we write  $P = HK$ , where  $H, K$  satisfy the conditions of Lemma 1.3. It follows readily that  $K$  and  $\Omega_1(H)$  lie in  $Z_2(P^*)$  and hence  $\Omega_1(P) \subseteq Z_2(P^*)$ . Furthermore by the structure of  $H$ ,  $\Omega_1(A_p) \subseteq Z_2(P^*)$ ; thus  $\Omega_1(P^*) \subseteq Z_2(P^*)$  and we may take  $P_0 = \Omega_1(P^*)$ .

This argument breaks down for  $p = 2$ . In this case we can apply the Hall-Wielandt theorem only if  $P_0$  is a weakly closed subgroup of  $Z(P^*)$ . We shall show in fact that either  $F_1$  is a weakly closed subgroup of  $P^*$  or  $\Omega_1(P^*) \subseteq Z(P^*)$ .

Suppose  $F_1^x \subset P^*$ . Since  $x = a^i b^s a^j$  for suitable  $i, s, j$  and  $P^*$  is  $A$ -invariant,  $F_1^{b^s} \subset P^*$ . Since  $F_1$  is  $A$ -invariant, it suffices to prove that  $F_1^{b^s} = F_1$ . Suppose first that for some  $z$  in  $F_1$ ,

$$(31) \quad b^s z b^{-s} = a_1 z',$$

where  $(a_1) = \Omega_1(A_p)$  and  $z' \in P$ .

Now  $AP = AB_p A$  with  $B_p = (b_p) \subseteq B$ . Thus  $b_p = ya^r$ , for some  $y$  in  $P$  and some integer  $r$ , so that  $P$  is of  $\phi$ -index  $r$  and  $y$  is a  $\phi$ -generator of  $P$ . Consider first the case that  $\phi^r$  leaves only the identity element of  $F_1$  fixed and let  $k$  be the order of  $\phi^r$  on  $F_1$ . Conjugating (31) by  $b_p^i$  for  $i = 0, 1, \dots, k - 1$ , we obtain

$$(32) \quad b^s \phi^{ri}(z) b^{-s} = a_1 z'_i,$$

where  $z'_i \in P$ ,  $i = 0, 1, \dots, k - 1$ .

Multiplying these relations together for  $i = 0, 1, \dots, k - 1$ , we obtain  $1 = a_1^k z^{*k}$ , where  $z^* \in P$ . But this is impossible since  $k$  is prime to  $p$  and  $A \cap P = 1$ .

On the other hand, if  $\phi^r$  is the identity on  $F_1$ ,  $b_p = ya^r$  centralizes  $z$  and consequently also  $a_1z'$ . Since  $\bar{\phi}^r$  leaves only the identity element of  $\bar{P} = P/F$  fixed,  $z' \in F$ , and hence  $a_1z' \in Z(A_2F)$  by Lemma 4.2. Thus  $b_p$  centralizes  $z'$  and consequently also  $a_1$ . We conclude that  $a_1$  centralizes the  $\phi$ -generator  $y$  of  $P$  and hence lies in  $Z(P^*)$ . Now  $P = HK$ . If  $H \supset F$ ,  $\bar{\phi}$  has order  $k$  on  $\bar{H} = H/F$  and  $\bar{\phi}^r$  leaves only the identity element of  $\bar{H}$  fixed. But since  $\phi^r$  acts trivially on  $F_1$ ,  $k \mid r$  and  $\bar{\phi}^r$  acts trivially on  $\bar{H}$ , a contradiction. Thus  $H = F$ . But then it follows that  $\Omega_1(P^*) = (a_1)\Omega_1(K) \subseteq Z(P^*)$  and we may take  $P_0 = \Omega_1(P^*)$ .

Therefore we may assume that  $F_1^{b^s} \subset P$ . Hence for any  $z$  in  $F_1$ , we have

$$(33) \quad b^s z b^{-s} = z',$$

where  $z' \in \Omega_1(P)$ .

If  $\phi^r$  leaves only the identity element of  $F_1$  fixed, then it follows as in the preceding case that  $[z']_r^k = 1$ , where  $k$  is the order of  $\phi$  on  $F_1$ . If  $\bar{P} = P/H = \bar{K}$ , it follows from (d) of Lemma 1.3 that  $\bar{\phi}^k$  leaves only the identity element of  $\bar{K}$  fixed and hence the same is true of  $\bar{\phi}^{kr}$ . But  $[z']_r^k = 1$ , and this implies that  $\bar{\phi}^{kr}(\bar{z}') = \bar{z}'$ . Thus  $\bar{z}' = 1$  and  $z' \in \Omega_1(H)$ .

We may assume that  $Z' \not\subseteq F_1$  since otherwise  $F_1^{b^s} = F_1$  and  $F_1$  is weakly closed in  $P^*$ . But then  $\Omega_1(H)$  is elementary abelian and  $\phi$  has order  $2k$  on  $\Omega_1(H)$ . Let  $k'$  be the order of  $\bar{\phi}^r$  on  $\bar{K} = K/F_1$  and set  $y' = [y]_r^{k'}$ . Then  $y'$  is a  $\phi$ -generator of  $H$  of  $\phi$ -index  $r' = rk'$ . Furthermore,  $k'$  is not a multiple of  $k$  and hence  $\phi^{r'}$  leaves only the identity element of  $F_1$  and consequently of  $F$  fixed. We first prove that  $r'$  is odd.

If we set  $k_1 = k/(r', k)$ , then  $y_1 = [y']_r^{k_1}$  is a  $\phi$ -generator of  $F$ . Suppose  $2 \mid r'$ , and assume first that  $F = F_1$ . Then  $H$  is abelian and  $\phi$  has order  $2k$  on  $H$ . Thus  $\phi^{r'}(y_1) = \phi^{r'}([y']_r^{k_1}) = \phi^{r'}(y' \phi^{r'}(y') \dots \phi^{r'(k_1-1)}(y')) = y_1$ , contrary to the fact that  $\phi^{r'}$  leaves only the identity element of  $F_1$  fixed. If  $F \subset F_1$ , we obtain the same contradiction by considering  $H/\mathcal{U}_1(F)$ . Thus  $r'$  is odd, as asserted.

Now  $b_p^{k'} = y'a^{r'}$ . Hence if we conjugate (33) by  $b_p' = b_p^{k'k_1}$ , we see that  $b_p'$  centralizes  $b^s z b^{-s}$  and hence centralizes  $z'$ . Suppose first that  $F = F_1$ . Since  $b_p' = y_1 a^{r'k_1}$ , we conclude that  $\phi_1(z') = z'$ , where  $\phi_1 = \phi^{r'k_1}$ . Since  $k \mid r'k_1$ ,  $\phi_1$  acts trivially on  $F_1$ . Since the subgroup of  $H$  left elementwise fixed by  $\phi_1$  is invariant under  $\phi$ , it follows, if  $z' \notin F_1$ , that  $\phi_1$  acts trivially on  $H$ . Since  $r'k_1$  is odd, we conclude that  $\phi$  has order  $k$  on  $H$ , contrary to the fact that  $\phi$  has order  $2k$  on  $H$ . On the other hand, if  $F \supset F_1$ , we obtain the same contradiction by considering  $H/\mathcal{U}^1(F)$ .

Suppose finally that  $\phi^r$  is the identity on  $F_1$ . Then as above  $H = F$  and  $\Omega_1(P) = \Omega_1(K) \subseteq Z(P)$ . But then conjugating (33) by  $b_p$ , we conclude that  $\phi^r(z') = z'$ . Since  $z' \in K$  and  $\bar{\phi}^r$  leaves only the identity element of  $\bar{K}$  fixed,  $z' \in F_1$ ; and it follows that  $F_1$  is weakly closed in  $P^*$ .

**LEMMA 9.1.** *If  $p \mid o(A)$ , but  $p \nmid o(T)$  for any exceptional subgroup  $T$  of  $G$ , then  $p$  is non-exceptional.*

*Proof.* Let  $P^*$  be a maximal  $A$ -invariant  $p$ -subgroup of  $G$  containing  $A_p$ . Since  $G$  contains no normal  $p$ -subgroups,  $N(P^*) \subset G$  and hence  $N(P^*)$  is strongly factorizable. Thus  $N(P^*) = AT^* = A(T_1^* \times T_2^* \times T_3^*)$ . By our hypothesis a  $p$ -Sylow subgroup  $P$  of  $T^*$  necessarily lies in the nilpotent group  $T_3^*$ , which is disjoint from  $A$ . By the maximality of  $P^*$ , we must have  $P^* = A_p P$ . Thus  $P^*$  is a  $p$ -Sylow subgroup of  $N(P^*)$  and hence of  $G$ . Furthermore,  $P$  is  $A$ -invariant, normal in  $P^*$ , and  $A_p \cap P = 1$ .

Finally if  $X \neq 1$  is any  $A$ -invariant normal subgroup of  $P^*$ , then  $N(X)$  is strongly factorizable and hence  $N(X) = AT'$ ,  $T' \triangleleft N(X)$  and  $A_p \cap T' = 1$ . Since  $A$  is abelian,  $N(X)$  possesses a normal  $A_p$ -complement. Thus  $P$  is non-exceptional, as asserted.

**THEOREM 8.** *Let  $G = ABA$ , and assume that every prime dividing  $o(A)$  is non-exceptional. Then  $[G, G]$  is a normal complement of  $A$  in  $G$  and is nilpotent of class 1 or 2. In particular,  $G$  is solvable. Furthermore the hypotheses are satisfied if  $2 \nmid o(A)$  or  $6 \nmid o(G)$ .*

*Proof.* It follows readily from the preceding lemma that the assumptions of the theorem are satisfied if and only if  $G$  contains no exceptional subgroups. In particular, Theorem 3 and Lemma 7.1 show that this is the case if  $2 \nmid o(A)$  or  $6 \nmid o(G)$ .

If  $G$  is solvable, Theorem  $B'$  implies that  $G = AT$ ,  $T \triangleleft G$ , and  $A \cap T = 1$ . Since  $N(A) = A$ , we must have  $T = [G, G]$ ; and since  $T$  is a regular  $\phi$ -group, it is nilpotent of class 1 or 2.

Let then  $G$  be a non-solvable  $ABA$ -group of least order satisfying the conditions of the theorem. By Theorem 7,  $G = A_p K_p$ , where  $K_p \triangleleft G$  and  $A_p \cap K_p = 1$ . If

$$T = \bigcap_{p \mid o(A)} K_p,$$

then  $T \triangleleft G$ ,  $G = AT$ , and  $A \cap T = 1$ . Thus  $T$  is a regular  $\phi$ -group, whence  $T$  and  $G$  are solvable, a contradiction.

**10. Proof of Theorem A.** In view of Theorem 8,  $G$  must contain an exceptional subgroup  $T$ . Suppose  $T = MQ$  if of type II or III with  $M \neq 1$ . Let  $M_1$  be a minimal normal subgroup of  $AT$  and set  $G^* = N(M_1)$ . Then we have

**LEMMA 10.1.**  *$G^*$  contains a  $q$ -Sylow subgroup  $Q^*$  such that  $N(Q^*) \subset G^*$ . In particular,  $Q^*$  is a  $q$ -Sylow subgroup of  $G$ .*

*Proof.* By Theorem 5 we may assume  $\phi(Q) = uQu^{-1}$ , where  $u \in A \cap M$ . Thus if  $o(A \cap M) = t$ ,  $\phi^t(Q) = Q$  and since  $(t, q) = 1$ ,  $Q$  is invariant under the  $q$ -Sylow subgroup  $A_q$  of  $A$ . Since  $G^*$  is strongly factorizable,  $G^* = AT^*$ , where  $T^* \triangleleft G^*$  and  $T^* = T_1^* \times T_2^* \times T_3^*$ . Clearly  $T \subseteq T_2^*$ , and without loss we may assume  $T = T_2^*$ . If  $Q'$  denotes a  $q$ -Sylow subgroup of  $T_3$ ,  $Q'$  is

$A$ -invariant and  $Q^* = A_q Q Q'$  is a  $q$ -Sylow subgroup of  $G^*$ . Let  $Q_0 = C(M) \cap Q$ . Then if  $y \in Q \setminus \tilde{M} Q_0$ , we have

$$(34) \quad \phi(y) = y^c z', z' \in M Q_0,$$

and  $c = 2$  if  $q = 7$ ,  $c = 1$  if  $q = 3$ .

If now  $x \in N(Q^*)$ , we can write  $x = a^i b^s a^j$ . Since  $\phi(Q^*) \subset M Q^*$   $b^s a^j Q^* a^{-j} b^{-s} \subset M Q^*$ . In particular,  $b^s \phi^j(y^d) b^{-s} \in M Q^*$  for all  $d$ . By (34) we can choose  $d$  so that  $\phi^j(y^d) = yz$ ,  $z \in M Q_0$ , and hence

$$(35) \quad b^s y z b^{-s} \in M Q^*.$$

Now  $A M_1 = A B_1 A$ , where  $B_1 = (b_1) \subset B$ ,  $b_1 = v a^r$  for some  $v$  in  $M_1$  and some integer  $r$ . By the structure of  $T$ ,  $m$  divides  $r$ , where  $m = 3$  if  $q = 7$  and  $m = 2$  if  $q = 3$ . Furthermore,  $\phi$  has order  $m$  on  $M_1$  and  $M_1 \subseteq Z(M)$ . By our minimal choice of  $M_1$ ,  $M_1$  is an elementary abelian  $p$ -group for some prime  $p$  and hence  $b_1^p = (v a^r)^p = v^p a^{rp} = a^{rp}$ . Since  $G$  contains no normal subgroups of prime power order,  $A \cap B = 1$  and consequently  $a^{rp} = 1$ . We conclude that  $a^r \in A \cap M_1$  and hence that  $b_1 \in M_1 \subseteq Z(M)$ .

It follows now from (35) that  $[b_1, b^s (yz)^i b^{-s}] = b^s [b_1, y^i] b^{-s} \in M Q^*$  for all  $i$ . But  $Q$  acts irreducibly on  $M_1$  and hence  $b^s M_1 b^{-s} \subseteq M Q^*$ . Thus  $x M_1 x^{-1} \subset M Q^*$ . But  $M_1$  contains all elements of order  $p$  in  $M Q^*$ ; therefore  $x M_1 x^{-1} = M_1$  and  $x \in G^*$ . Thus  $N(Q^*) \subset G^*$ , as asserted. Since  $Q^*$  is a  $q$ -Sylow subgroup of  $N(Q^*)$ ,  $Q^*$  is a  $q$ -Sylow subgroup of  $G$ .

From this lemma we can derive the following extension of Theorem 7.

**LEMMA 10.2.** *If  $G$  contains an exceptional subgroup  $T = MQ$  of types II or III such that  $A \cap T \subset M$ , then  $G$  contains a normal subgroup  $K_q$  such that  $G = A_q K_q$  and  $A_q \cap K_q = 1$ .*

*Proof.* Let  $Q^*$  be as in Lemma 10.1 and let  $\bar{A} \bar{Q}^* = A M Q^* / M$ . Then  $\bar{Q}^* = \bar{A}_q \bar{Q} \bar{Q}'$  and  $\bar{Q} \bar{Q}'$  is a regular  $\bar{\phi}$ -group. If  $\text{cl}(\bar{Q}^*) \leq 2$ , then  $\text{cl}(Q^*) \leq 2$ . Since  $N(Q^*) \subset G^*$  and  $q$  is prime to  $\text{o}(M)$ ,  $N(Q^*)$  contains a normal  $A_q$ -complement, and hence by the Hall-Wielandt theorem, so does  $G$ .

But now by the proof of Theorem 7, either  $\text{cl}(Q^*) \leq 2$  or  $\Omega_1(Q^*) \subseteq Z_2(Q^*)$ ; and hence we may assume that  $\Omega_1(Q^*) \subseteq Z_2(Q^*)$ . If  $\Omega_1(Q^*)$  centralizes  $M$ , then  $\Omega_1(Q^*)$  is  $A$ -invariant and it follows that  $G' = N(\Omega_1(Q^*))$  is strongly factorizable and contains  $T$ . If  $G' = A T'$ , where  $T' = T_1' \times T_2' \times T_3'$ , we must have  $T \subseteq T_2'$  and hence  $G'$  possesses a normal  $A_q$ -complement. Again the lemma follows from the Hall-Wielandt theorem.

On the other hand, the proof of Lemma 10.1 applies equally well to any subgroup of  $Q^*$  which does not centralize  $M$ . Hence in the remaining case,  $N(\Omega_1(Q^*)) \subset G^*$  and the lemma follows as above.

**LEMMA 10.3.**  *$G$  does not contain an exceptional subgroup of type II.*

*Proof.* Suppose  $G$  contains an exceptional subgroup  $T = MQ$  of type II.

Then by Theorem 6,  $G$  does not contain an exceptional subgroup of type III, and hence no exceptional subgroup of  $G$  has order divisible by 3. But  $3 \mid o(A)$  by Theorem 5 and hence 3 is non-exceptional by Lemma 9.1. Thus by Theorem 7, we have  $G = A_3K_3$ , where  $K_3 \triangleleft G$  and  $A_3 \cap K_3 = 1$ . Since  $A \cap T \subset M$ , the preceding lemma implies that  $G = A_7K_7$ , where  $K_7 \triangleleft G$  and  $A_7 \cap K_7 = 1$ . If  $L = K_3 \cap K_7$ , then  $L \triangleleft G$  and  $A_3A_7 \cap L = 1$ .

Let  $M_1, G^*$ , and  $Q^*$  be as in Lemma 10.1, and let  $A^*$  be the subgroup of  $A$  generated by the elements of order prime to 3 and 7. Then  $G^* = AT^*$ , where  $T^* = [G^*, G^*]$ , and  $Q^* = A_7(Q \times Q')$ . Now  $QQ'$  is a 7-Sylow subgroup of  $L$  and since  $N(QQ') \subset G^*$ ,  $N(QQ') \cap L \subset A^*T^*$ . But  $\bar{\phi}$  has order  $3 \cdot 7^s$  on  $\bar{Q} = MQ/M$ ; hence  $A^*$  centralizes  $Q$  and  $A^*T^*$  possesses a normal  $Q$ -complement. Since  $\text{cl}(QQ') \leq 2$ , we conclude that  $L = QH$ , where  $H \triangleleft L$  and  $Q \cap H = 1$ .

Now clearly  $\phi(x) \in H$  for any element  $x$  of  $H$  of order prime to 7. Since  $Q'$  is a 7-Sylow subgroup of  $H$ ,  $\phi(x) \in H$  if  $x \in Q'$ . If  $x$  is any 7-element of  $H$ , then  $x = ux'u^{-1}$ ,  $x' \in Q'$  and  $u \in H$ . But then  $\phi(x) = \phi(u)\phi(x')\phi(u^{-1})$ , where  $\phi(x') \in Q'$ . Since  $\phi(u) \in L$  and  $H \triangleleft L$ , it follows that  $\phi(x) \in H$ . We conclude that  $H$  is  $A$ -invariant. Since  $A_7Q'$  is a 7-Sylow subgroup of  $AH$  and  $A_7Q' \subset Q^*$ ,  $AH \subset G$ , and consequently  $H$  is solvable by induction. Thus  $L$  and consequently  $G$  is solvable, a contradiction.

LEMMA 10.4.  $G$  does not contain an exceptional subgroup of type III.

*Proof.* Suppose  $G$  contains an exceptional subgroup  $T = MQ$  of type III. Assume first that 2 is exceptional. Since  $G$  does not contain an exceptional subgroup of type II, it must then contain an exceptional subgroup  $T_1$  of type I. We may therefore apply Lemma 7.2. First of all, this yields  $A \cap T = A \cap M$ , and hence by Lemma 10.2,  $G = A_3K_3$ , where  $K_3 \triangleleft G$  and  $A_3 \cap K_3 = 1$ . Secondly we have  $\Omega_1(T_1) \subseteq A$ . Now it is easy to see that  $G$  possesses an  $A$ -invariant 2-Sylow subgroup  $R$  containing  $T_1$ , and hence by Theorem 3  $\Omega_1(A_2) \subseteq Z(R)$ . In the next lemma we shall show that this forces  $\Omega_1(A_2)$  to be weakly closed in  $R$ , so assume this. Now  $G' = N(\Omega_1(R))$  is strongly factorizable. It follows at once that  $G' \cap K_3$  possesses a normal  $A_2T_1$ -complement. But then by the Hall-Wielandt theorem applied to  $K_3$ , we have  $K_3 = (A_2T_1)H$ , where  $H \triangleleft K_3$  and  $A_2T_1 \cap H = 1$ . As in the preceding lemma,  $H$  is  $A$ -invariant and  $AH \subset G$ . Thus  $H$  and hence  $G$  is solvable, a contradiction.

Hence 2 is non-exceptional. Therefore by Theorem 7,  $G = A_2K_2$ , where  $K_2 \triangleleft G$  and  $A_2 \cap K_2 = 1$ . Suppose next that  $M \neq 1$ . If  $Q^* = A_3(Q \times Q')$  and  $G^*$  are as in Lemma 10.1,  $Q^*$  is a 3-Sylow subgroup of  $G$ . If  $A \cap T = A \cap M$ , Lemma 10.2 yields  $G = A_3K_3$ ,  $K_3 \triangleleft G$  and  $A_3 \cap K_3 = 1$ . Let  $L = K_2 \cap K_3$ . Since  $\bar{\phi}$  has order  $2 \cdot 3^s$  on  $\bar{Q} = MQ/Q$ , it follows as in the preceding lemma that  $L = QH$ , where  $H \triangleleft L$ ,  $H$  is  $A$ -invariant, and  $AH \subset G$ ; again we reach a contradiction.

On the other hand, if  $A \cap Q \neq 1$ , it follows from Theorem 4 that  $\Omega_1(Q^*) \subseteq Z_2(Q^*)$ . But then the Hall-Wielandt theorem gives  $K_2 = (A_3Q)H$ ,

where  $H \triangleleft K_2$ . Once again  $H$  is  $A$ -invariant and  $AH \subset G$ , which leads to a contradiction.

Finally, if  $M = 1$ ,  $G$  contains an  $A$ -invariant 3-Sylow subgroup  $Q^*$  containing  $Q$ , which by Theorem 4 has the form  $A_3(Q \times Q')$ , where  $Q'$  is abelian and  $A$ -invariant. Since  $N(\Omega_1(Q^*))$  is strongly factorizable, we reach a contradiction as in the preceding case.

Finally we prove

LEMMA 10.5. *G does not contain an exceptional subgroup of type I.*

*Proof.* Suppose  $G$  contains an exceptional subgroup  $T_1$  of type I. We may assume that a 2-Sylow subgroup  $R$  of  $G$  has the form  $A_2(T_1 \times T_2)$ , where  $T_1, T_2$  satisfy the conditions of Theorem 3. By the preceding lemma, 3 is non-exceptional and hence  $G = A_3K_3, K_3 \triangleleft G, A_3 \cap K_3 = 1$ . It will suffice to show that  $Z(R)$  contains a weakly closed subgroup, for then we shall reach a contradiction as in the first part of the proof of Lemma 10.4.

Now  $AR = AB_pA$  with  $B_p = (b_p) \subseteq B$ . Thus  $b_p = ya^r$  with  $y$  in  $R$ . Let  $T_1 = QQ'$ , where  $Q, Q'$  satisfy the conditions of Theorem 3 and let  $Z_1 = \Omega_1(Z(Q))$ . Then  $Z_1 \subseteq Z(R)$  and  $Z_1 = (A \cap Z_1) \times F_1$ , where  $F_1$  is  $A$ -invariant of order 1 or 4. Suppose first that  $F_1 \neq 1$  and  $\phi^r$  is the identity on  $F_1$ . If  $Z_1' = Z_1^{b^s} \subset R$  for some  $s$ , it follows as in Theorem 7 that  $Z_1' \subset Q$  and  $[Z_1', B_p] = 1$ . But then  $Z_1' = Z_1$  by Lemma 4.2, and this implies that  $Z_1$  is weakly closed in  $R$ .

Suppose next that  $F_1 \neq 1, \phi^r$  leaves only the identity element of  $F_1$  fixed, and  $F_1' = F_1^{b^s} \subset R$ . Again as in Theorem 7 we have  $F_1' \subseteq Q$  and

$$(36) \quad z' \phi^r(z') \phi^{2r}(z') = 1, z' \in F_1'.$$

We shall prove by induction on  $o(Q)$  that (36) forces  $F_1' = F_1$ , from which it will follow that  $F_1$  is weakly closed in  $R$ . By induction we may assume that  $F_1' \subseteq Q_1$ , where  $Q_1 \triangleleft AQ$ , and  $(A \cap Q_1)F_1$  is normal and of index 4 in  $Q_1$ . Set  $AQ_1/F_1 = \bar{A}\bar{Q}_1$ . If  $\bar{Q}_1$  is the central product of  $\bar{A} \cap \bar{Q}_1$  and a quaternion group, it is easy to see that (36) forces  $\bar{F}_1' = 1$ . Hence we may assume  $\bar{Q}_1 = (\bar{A} \cap \bar{Q}_1) \times \bar{F}$  is elementary, where  $\bar{F}$  is  $\bar{A}$ -invariant and  $o(\bar{F}) = 4$ . Let  $F$  be the inverse image of  $\bar{F}$  in  $Q_1$ . Since  $Q$  does not possess a normal  $A$ -complement,  $F$  is of  $\phi$ -index 0 and hence abelian of type  $(4, 4)$ . But clearly (36) implies  $F_1' \subseteq F$ , whence  $F_1' = F_1$ .

Suppose finally that  $Z_1 \subset A$  and  $Z$  is not weakly closed in  $R$ . Then for some  $s, Z_1' = Z_1^{b^s} \subset R$  and  $Z_1' \neq Z_1$ . As in the first case,  $Z_1' \subseteq Q$  and  $[Z_1', B_p] = 1$ . Lemma 4.2 now implies that  $Z_1' \subset Z_1B'$ , where  $B' \subseteq B \cap Q$  and  $o(B') = 2$ . Since  $B$  is abelian, it follows that  $b^s$  normalizes  $H = Z_1B'$  and that  $b^{2s}$  centralizes  $H$ . Thus  $b^s \in C^*(H)$ , where  $C^*(H)$  denotes the extended centralizer of  $H$  in  $G$ . But  $C^*(H) \subseteq C(Z_1)$  and hence  $Z_1' = Z_1$ , a contradiction. The lemma is proved.

Lemmas 10.3, 10.4, and 10.5 show that  $G$  contains no exceptional subgroups. But then every prime dividing  $o(A)$  is non-exceptional, and Theorem 8 shows that  $G$  must be solvable. This completes the proof of Theorem A.

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