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# **REFLEXIVE INDEX OF A FAMILY OF SUBSPACES**

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#### Abstract

A definition of the reflexive index of a family of (closed) subspaces of a complex, separable Hilbert space *H* is given, analogous to one given by D. Zhao for a family of subsets of a set. Following some observations, some examples are given, including: (a) a subspace lattice on *H* with precisely five nontrivial elements with infinite reflexive index; (b) a reflexive subspace lattice on *H* with infinite reflexive index; (c) for each positive integer *n* satisfying dim  $H \ge n + 1$ , a reflexive subspace lattice on *H* with reflexive index *n*. If *H* is infinite-dimensional and  $\mathcal{B}$  is an atomic Boolean algebra subspace lattice on *H* with *n* equidimensional atoms and with the property that the vector sum K + L is closed, for every  $K, L \in \mathcal{B}$ , then  $\mathcal{B}$  has reflexive index at most *n*.

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### 1. Introduction and preliminaries

Throughout this paper *H* will denote a complex, separable Hilbert space. By 'subspace' we will mean 'closed linear manifold', and by 'operator', 'bounded linear transformation'. All scalars will be considered complex. The set of operators on *H* is denoted by  $\mathcal{B}(H)$ . If  $\mathcal{V}$  is a set of vectors, we use  $\langle \mathcal{V} \rangle$  to denote their linear span. If  $e, f \in H$  we let  $e \otimes f$  denote the operator of  $\mathcal{B}(H)$  of rank at most one, acting according to  $e \otimes f(x) = (x|e)f$ , for all  $x \in H$ , where  $(\cdot|\cdot)$  denotes the inner product on *H*. We let C(H) denote the set of subspaces of *H*. For simplicity, the weak operator topology on  $\mathcal{B}(H)$  will be referred to as the *weak topology*. If  $\{M_{\lambda}\}_{\lambda \in \Lambda}$  is a family of subspaces,  $\bigcap_{\lambda \in \Lambda} M_{\lambda}$  denotes their intersection and  $\bigvee_{\lambda \in \Lambda} M_{\lambda}$  denotes their closed linear span. A family  $\mathcal{L}$  of subspaces of *H* is called a *subspace lattice on H* if it contains (0) and *H* and it is closed under the formation of arbitrary intersections and arbitrary closed linear spans (of sets of elements of any cardinality). A subspace lattice  $\mathcal{L}$  on a Hilbert space is called *commutative* if  $P_M P_N = P_N P_M$  for all  $M, N \in \mathcal{L}$ , where  $P_K$  denotes the orthogonal projection with range  $K \in C(H)$ .

If  $\mathcal{F}$  is a family of subspaces of H, then Alg  $\mathcal{F} = \{T \in \mathcal{B}(H) : T(M) \subseteq M, \forall M \in \mathcal{F}\}$ , that is, Alg  $\mathcal{F}$  denotes the set of operators on H having every element of  $\mathcal{F}$  as an

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invariant subspace. Also, if  $\mathcal{A}$  is a family of operators on H, Lat  $\mathcal{A} = \{M \in C(H) :$ 

Invariant subspace. Also, if  $\mathcal{A}$  is a family of operators on H, Lat  $\mathcal{A} = \{M \in C(H) : T(M) \subseteq M, \forall T \in \mathcal{A}\}$ , that is, Lat  $\mathcal{A}$  is the set of common invariant subspaces of the elements of  $\mathcal{A}$ . Then Lat  $\mathcal{A}$  is a subspace lattice on H and Alg  $\mathcal{F}$  is a unital subalgebra of  $\mathcal{B}(H)$ , for every  $\mathcal{A} \subseteq \mathcal{B}(H), \mathcal{F} \subseteq C(H)$ .

For any family of subspaces  $\mathcal{F}$  of H we have  $\mathcal{F} \subseteq \text{LatAlg}\mathcal{F}$ , and for any family  $\mathcal{A}$  of operators on H we have  $\mathcal{A} \subseteq \text{AlgLat}\mathcal{A}$ . A subspace lattice  $\mathcal{L}$  on H is called *reflexive* if  $\mathcal{L} = \text{LatAlg}\mathcal{L}$ . The notion, and notation, are due to Halmos [3, 4]. The reader interested in reflexive subspace lattices is referred to [5, 6, 8, 10–12, 14–18] for further reading. If  $\mathcal{G} \subseteq C(H)$  and  $\mathcal{B} \subseteq \mathcal{B}(H)$  then  $\mathcal{F} \subseteq \mathcal{G}$  implies that  $\text{Alg}\mathcal{G} \subseteq \text{Alg}\mathcal{F}$ , and  $\mathcal{A} \subseteq \mathcal{B}$  implies that  $\text{Lat}\mathcal{B} \subseteq \text{Lat}\mathcal{A}$ . It follows that  $\text{LatAlgLat}\mathcal{A} = \text{Lat}\mathcal{A}$  and  $\text{AlgLatAlg}\mathcal{F} = \text{Alg}\mathcal{F}$ . So,  $\text{Lat}\mathcal{A}$  is a reflexive subspace lattice for any subset  $\mathcal{A} \subseteq \mathcal{B}(H)$ . In fact, a family  $\mathcal{L} \subseteq C(H)$  is a reflexive subspace lattice on H if and only if  $\mathcal{L} = \text{Lat}\mathcal{A}$  for some family of operators  $\mathcal{A} \subseteq \mathcal{B}(H)$ .

The following definition is analogous to one given in [21] for a family of subsets of a set.

**DEFINITION** 1.1. Let  $\mathcal{F}$  be a family of subspaces of H. The reflexive index  $\kappa_H(\mathcal{F})$  of  $\mathcal{F}$  is

 $\kappa_H(\mathcal{F}) = \inf\{|\mathcal{A}| : \mathcal{A} \subseteq \mathcal{B}(H) \text{ and } \operatorname{LatAlg} \mathcal{F} = \operatorname{Lat} \mathcal{A}\},\$ 

where  $|\mathcal{F}|$  denotes the cardinality of  $\mathcal{F}$ .

Note that  $\mathcal{F}$  and LatAlg $\mathcal{F}$  always have the same reflexive index and, if  $\mathcal{L}$  is a reflexive subspace lattice on H, then  $\kappa_H(\mathcal{L}) = \inf\{|\mathcal{A}| : \mathcal{A} \subseteq \mathcal{B}(H) \text{ and } \mathcal{L} = \operatorname{Lat} \mathcal{A}\}.$ 

In the remainder of this paper we frequently use 'index' to mean 'reflexive index'.

An algebra  $\mathcal{A} \subseteq \mathcal{B}(H)$  of operators is called *reflexive* if  $\mathcal{A} = \text{AlgLat} \mathcal{A}$ , or equivalently, if  $\mathcal{A} = \text{Alg} \mathcal{F}$ , for some family of subspaces  $\mathcal{F} \subseteq C(H)$  (see [19, Section 9.2]).

If X is a Banach space and if C(X) (respectively,  $\mathcal{B}(X)$ ) denotes the set of closed linear manifolds of (respectively, the set of bounded linear transformations on) X, the operation 'Alg' (respectively, 'Lat') can be performed on subsets of C(X) (respectively,  $\mathcal{B}(X)$ ) and these give rise to a notion of reflexivity for subsets of C(X) (respectively,  $\mathcal{B}(X)$ ). From this follows a definition of 'reflexive index' for subsets of C(X). We shall not consider this index here. Also, we will be primarily concerned here with the notion of reflexive index in the context of infinite-dimensional spaces.

### 2. Some observations

The author hopes that the more obvious of the following observations will not be without some interest to the reader.

**2.1.** O1. Let  $\mathcal{A} \subseteq \mathcal{B}(H)$  be a family of operators. If  $\overline{[\mathcal{A}]}$  denotes the closure in the weak (operator) topology of the algebra generated by  $\mathcal{A}$ , then  $\overline{[\mathcal{A}]}$  is the weakly closed algebra generated by  $\mathcal{A}$  and Lat  $\mathcal{A} = \text{Lat} \overline{[\mathcal{A}]}$ . For this, note that  $\mathcal{A} \subseteq \overline{[\mathcal{A}]}$  implies that Lat  $\overline{[\mathcal{A}]} \subseteq \text{Lat } \mathcal{A}$ . On the other hand, if  $M \in \text{Lat } \mathcal{A}$ , then  $\mathcal{A} \subseteq \text{Alg} \{M\}$ .

But Alg {*M*} is a weakly closed algebra, so  $\overline{[\mathcal{A}]} \subseteq \text{Alg} \{M\}$ . Thus  $M \in \text{Lat} \overline{[\mathcal{A}]}$ , and so Lat  $\mathcal{A} \subseteq \text{Lat} \overline{[\mathcal{A}]}$ .

The algebra AlgLat  $\mathcal{A}$  is also a weakly closed algebra containing  $\mathcal{A}$ , so  $\overline{[\mathcal{A}]} \subseteq$  AlgLat  $\mathcal{A}$ . We need not have equality. Whereas AlgLat  $\mathcal{A}$  always contains the identity operator,  $\overline{[\mathcal{A}]}$  need not.

**2.2.** O2. For any  $T \in \mathcal{B}(H)$ , Lat  $\{T\}$  is, of course, a reflexive subspace lattice of index one. An abstract lattice *L* is called *attainable* if there is an operator on a separable, infinite-dimensional Hilbert space with Lat  $\{T\}$  order isomorphic to *L*. This notion is due to Halmos. Every example of an attainable lattice leads to an example of a reflexive subspace lattice of index one (see [19, Section 4.1] for some examples).

If  $\mathcal{A}$  is a family of operators on H, the index of Lat  $\mathcal{A}$  is at most  $|\mathcal{A}|$ , the cardinality of  $\mathcal{A}$ . In the latter situation, where we are given  $\mathcal{A}$ , we are interested in knowing if there is a (strictly) smaller set of operators with the same Lat as  $\mathcal{A}$ . On the other hand, we are also interested in deducing facts about the index of a reflexive subspace lattice for which only a description of the lattice structure and subspaces are provided.

A family  $\mathcal{F}$  of subspaces of H is called *transitive* if Alg  $\mathcal{F} = \mathbb{C}I$ , that is, if only scalar multiples of the identity operator leave all of the elements of  $\mathcal{F}$  invariant. Of course, C(H) is transitive. Halmos initiated the study of these in [3], where he gives an example of a transitive subspace lattice with five nontrivial elements; an example with four nontrivial elements, on separable infinite-dimensional Hilbert space, is given in [9] (see also [2, 19, Section 4.7]). Of course, every transitive family of subspaces has index one.

For an introduction to the dual notion of 'transitive algebra' the interested reader is referred to [19, Ch. 8].

**2.3. O3.** The invariant subspace problem (see [19, Section 0.2]) for a separable, infinite-dimensional Hilbert space *H* can be reformulated as: Does the reflexive subspace lattice  $\{(0), H\}$  have reflexive index one? The index of the latter is at most two. Indeed, a well-known example of a pair of operators on *H* having no nontrivial common invariant subspaces is  $\{A, B\}$ , where the matrix of *A* is diagonal with respect to some orthonormal basis  $\{e_k : k \ge 1\}$  of *H*, say  $Ae_k = \alpha_k e_k$ , where  $\{\alpha_k\}_1^{\infty}$  is a decreasing sequence of positive real numbers converging to zero, and with  $B = e \otimes f$ , with *e*, *f* vectors of *H* having all Fourier coefficients nonzero with respect to the orthonormal basis  $\{e_k : k \ge 1\}$ . (See [19, Section 8.3].)

**2.4.** O4. The subspace lattice  $\{(0), H\}$  is the simplest nest. A subspace lattice is called a *nest* if it is totally ordered by inclusion. Every nest is a reflexive subspace lattice. (This was first proved in [20].) A *nest algebra* is a subalgebra of  $\mathcal{B}(H)$  of the form Alg N, for some nest N. It is shown in [13] that every nest algebra on a separable Hilbert space is the weakly closed algebra generated by two operators. Thus, every nest on a separable Hilbert space has index at most two. (If  $\{A_1, A_2\}$  generate Alg N as a weakly closed algebra then LatAlg  $N = N = \text{Lat}\{A_1, A_2\}$ .) Many nests have index one. An operator whose lattice of invariant subspaces is a nest is called *unicellular*. There are several well-known examples of unicellular operators,

for example, Donoghue operators and the Volterra operator (see [19, Section 4.4]). Of course, every abstract attainable totally ordered lattice gives rise to a nest with index one; for example, see [7].

**2.5.** O5. If dim  $H < \infty$ , every family  $\mathcal{F}$  of subspaces has finite index since Alg  $\mathcal{F}$  is finite-dimensional, so is finitely generated as a weakly closed algebra. It is still interesting to study the notion of reflexive index in the context of finite-dimensional spaces.

**2.6.** O6. The reflexive index is not invariant under lattice isomorphism. Every atomic Boolean algebra subspace lattice on *H* is reflexive [4]. Let  $\mathcal{B}_2$  be the two-atom Boolean algebra subspace lattice on  $\mathbb{C}^2$  with atoms  $\langle (1,0) \rangle$ ,  $\langle (0,1) \rangle$ . Then  $\mathcal{B}_2 = \text{Lat} \{ \begin{bmatrix} 1 & 0 \\ 0 & 2 \end{bmatrix} \}$ , so  $\mathcal{B}_2$  has index one. No two-atom Boolean algebra subspace lattice on a space  $\mathbb{C}^n$ ,  $n \ge 3$ , has index one since every operator on a nonzero finite-dimensional space has an eigenvector.

However, reflexive index is preserved under similarity. That is, if  $S \in \mathcal{B}(H)$  is invertible and  $\mathcal{F}$  is a family of subspaces of H, then  $\mathcal{F}$  and  $S\mathcal{F} = \{SM : M \in \mathcal{F}\}$ have the same reflexive index. This follows from the fact that LatAlg  $\mathcal{F} = \text{Lat } \mathcal{A}$  if and only if LatAlg  $(S\mathcal{F}) = \text{Lat } S\mathcal{A}S^{-1}$ , for any family of operators  $\mathcal{A} \subseteq \mathcal{B}(H)$ .

Reflexive index is also invariant under orthogonal complements, that is, if  $\mathcal{F} \subseteq C(H)$ and  $\mathcal{F}^{\perp} = \{M^{\perp} : M \in \mathcal{F}\}$ , then  $\mathcal{F}$  and  $\mathcal{F}^{\perp}$  have the same reflexive index. Indeed, if  $\mathcal{A} \subseteq \mathcal{B}(H)$ , then LatAlg  $\mathcal{F} = \text{Lat} \mathcal{A}$  if and only if LatAlg  $(\mathcal{F}^{\perp}) = \text{Lat} \mathcal{A}^*$ , where  $\mathcal{A}^* = \{A^* : A \in \mathcal{A}\}.$ 

#### 3. Some examples

**3.1.** E1. Let *H* be an infinite-dimensional separable Hilbert space. Let  $\mathcal{B}$  be the atomic Boolean algebra subspace lattice on  $H \oplus H$  with atoms  $H \oplus (0)$  and  $(0) \oplus H$ . So  $\mathcal{B} = \{(0) \oplus (0), H \oplus (0), (0) \oplus H, H \oplus H\}$ . Then Alg  $\mathcal{B} = \{\begin{bmatrix} x & y \\ Z & T \end{bmatrix} : X, Y, Z, T \in \mathcal{B}(H)\}$ . We show that  $\mathcal{B}$  has index at most two.

Let  $\{e_k : k \ge 1\}$  be an orthonormal basis for H and let A and B be operators of the type described in observation O3, with  $Ae_k = (1/2^{k-1})e_k$ , for all  $k \ge 1$ , and  $B = e \otimes f$ , where  $e \perp f$  and with both e, f having no zero Fourier coefficients with respect to the orthonormal basis  $\{e_k : k \ge 1\}$ . (For example, take  $e = \frac{1}{5}e_1 + \sum_{n=2}^{\infty}((-1)^{n-1}/2^{n-1})e_n$  and  $f = \sum_{n=1}^{\infty}(1/2^{n-1})e_n$ .) Then  $B^2 = 0$  since  $B^2 = e \otimes Bf = (f|e)B = 0$ . It is easy to verify that A, B have no common nontrivial invariant subspaces, once it has been observed that Lat  $\{A\}$  is the atomic Boolean algebra subspace lattice with atoms  $\{\langle e_k \rangle : k \ge 1\}$ , so that Lat  $\{A\} = \{\bigvee_{k \in \mathcal{E}} \langle e_k \rangle : \mathcal{E} \subseteq \mathbb{Z}+\}$ . (Let  $\mathcal{D}$  be the weakly closed algebra generated by A. Since  $A^k \to P_1$ , in norm, where  $P_1$  denotes the orthogonal projection onto  $\langle e_1 \rangle$ ,  $P_1 \in \mathcal{D}$ . Then, since  $(2(A - P_1))^k \to P_2$ , where  $P_2$  denotes the orthogonal projection onto  $\langle e_1 \rangle$ , for all  $k \ge 1$ , where  $P_k$  is the orthogonal projection onto  $\langle e_k \rangle$ .)

Let  $X = \begin{bmatrix} A & 0 \\ 0 & B \end{bmatrix}$  and  $Y = \begin{bmatrix} B & 0 \\ 0 & A \end{bmatrix}$ . Clearly  $\mathcal{B} \subseteq \text{Lat} \{X, Y\}$ . We claim that  $\mathcal{B} = \text{Lat} \{X, Y\}$ .

We have  $X^2 = \begin{bmatrix} A^2 & 0 \\ 0 & 0 \end{bmatrix}$  and  $Y^2 = \begin{bmatrix} 0 & 0 \\ 0 & A^2 \end{bmatrix}$ . Now the matrix of  $A^2$  relative to the orthonormal basis  $\{e_k : k \ge 1\}$  is diagonal with  $A^2 e_k = (1/4^{k-1})e_k$ , for all  $k \ge 1$ . The weakly closed

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algebra generated by  $A^2$  contains  $P_k$ , for all  $k \ge 1$ , where  $P_k$  denotes the orthogonal projection onto  $\langle e_k \rangle$ , so contains  $Q_n = \sum_{k=1}^n P_k$ . Since  $Q_n \to I$ , strongly, it follows that the weakly closed algebra generated by *X* contains  $P = \begin{bmatrix} I & 0 \\ 0 & 0 \end{bmatrix}$  (the orthogonal projection onto  $H \oplus (0)$ ). Symmetrically, the weakly closed algebra generated by *Y* contains  $Q = \begin{bmatrix} 0 & 0 \\ 0 & 1 \end{bmatrix}$  (the orthogonal projection onto  $(0) \oplus H$ ). Let  $M \in \text{Lat} \{X, Y\}$ . Then *M* is invariant under *P* and *Q*, so  $(x, y) \in M$  implies  $(x, 0) \in M$  and  $(0, y) \in M$ . It follows that  $M = K \oplus L$  for some subspaces K, L of H. Since each of these subspaces is invariant under *A* and *B*, each is either (0) or *H*, so  $M \in \mathcal{B}$ .

The following theorem gives a more general result.

**THEOREM** 3.1. Let  $\mathcal{B}$  be an atomic Boolean algebra subspace lattice on a complex, separable, infinite-dimensional Hilbert space with the properties:

- (i) for every  $K, L \in \mathcal{B}$ , the vector sum K + L is closed;
- (ii)  $\mathcal{B}$  has *n* atoms, where  $n \in \mathbb{Z}^+, n \ge 2$ ;
- (iii) the atoms of  $\mathcal{B}$  are equidimensional.

Then the reflexive index of  $\mathcal{B}$  is at most n.

**PROOF.** By [8, Theorem 3] there exists an invertible operator *S* acting on the given Hilbert space such that  $\mathcal{B} = S \mathcal{L}$ , where  $\mathcal{L}$  is a commutative subspace lattice. By observation O6 above, we may as well suppose that  $\mathcal{B}$  is commutative. We can then suppose that  $\mathcal{B}$  is a subspace lattice on  $H^{(n)} = H \oplus H \oplus \cdots \oplus H \oplus H$  with atoms  $H_k = (0) \oplus (0) \oplus \cdots \oplus (0) \oplus H \oplus (0) \cdots \oplus (0) \oplus (0)$ , where *H* occurs only in the *k*th position,  $1 \le k \le n$ , and where *H* is a complex, separable, infinite-dimensional Hilbert space.

Let  $\{e_k : k \ge 1\}$  be an orthonormal basis for H and let  $A, B \in \mathcal{B}(H)$  be the operators as in the example immediately above. (So  $Ae_k = (1/2^{k-1})e_k$ , for all  $k \ge 1$ , and  $B = e \otimes f$ , where  $e \perp f$  and with both e, f having no zero Fourier coefficients with respect to the orthonormal basis  $\{e_k : k \ge 1\}$ .) For each  $1 \le k \le n$  let  $X_k$  be the operator on  $H^{(n)}$  whose matrix is diagonal,  $X_k = \text{diag}(B, B, \ldots, B, A, B, \ldots, B, B)$ , where the Aoccurs in the *k*th position. We show that  $\mathcal{B} = \text{Lat}\{X_k : 1 \le k \le n\}$ . Clearly,  $\mathcal{B} \subseteq \text{Lat}\{X_k : 1 \le k \le n\}$ . Now  $X^2 = \text{diag}(0, 0, \ldots, 0, A^2, 0, \ldots, 0, 0)$  and arguing as in E1 we get that  $P_{H_k} = \text{diag}(0, 0, \ldots, 0, I, 0, \ldots, 0, 0)$  belongs to the weakly closed algebra generated by  $X_k$ . Let  $M \in \text{Lat}\{X_k : 1 \le k \le n\}$ . Then M is invariant under  $P_{H_k}$ , for every  $1 \le k \le n$ , so  $M = K_1 \oplus K_2 \oplus \cdots \oplus K_{n-1} \oplus K_n$ , for some subspaces  $K_k$ ,  $1 \le k \le n$ , of H. Since each of the subspaces  $K_k$  is invariant under A and B, it is either (0) or H. Thus M is a span of atoms  $H_k$  of  $\mathcal{B}$  and  $M \in \mathcal{B}$ .

**3.2. E2.** We next give examples of: (a) a subspace lattice on a separable Hilbert space with infinite reflexive index but with only five nontrivial elements; (b) a reflexive subspace lattice on a separable Hilbert space with infinite reflexive index.

Let  $\mathcal{L}_1$  and  $\mathcal{L}_2$  be subspace lattices on the nonzero, complex, separable Hilbert spaces  $H_1$  and  $H_2$ , respectively. Let  $\mathcal{L}$  be the subspace lattice on  $H_1 \oplus H_2$  defined by

$$\mathcal{L} = \{ K \oplus (0) : K \in \mathcal{L}_1 \} \cup \{ H_1 \oplus L : L \in \mathcal{L}_2 \}.$$

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Then

Alg 
$$\mathcal{L} = \left\{ \begin{bmatrix} A & B \\ 0 & C \end{bmatrix} : A \in \text{Alg } \mathcal{L}_1, C \in \text{Alg } \mathcal{L}_2, B \in \mathcal{B}(H_2, H_1) \right\}$$

and

$$LatAlg \mathcal{L} = \{ K \oplus (0) : K \in LatAlg \mathcal{L}_1 \} \cup \{ H_1 \oplus L : L \in LatAlg \mathcal{L}_2 \}$$

Now let  $H_1$  be infinite-dimensional and let  $\mathcal{L}_1$  and  $\mathcal{L}_2$  be transitive subspace lattices. Then

$$LatAlg \mathcal{L} = \{ K \oplus (0) : K \in C(H_1) \} \cup \{ H_1 \oplus L : L \in C(H_2) \}$$

and Alg  $\mathcal{L} = \{ \begin{bmatrix} \alpha & B \\ 0 & \beta \end{bmatrix} : \alpha, \beta \in \mathbb{C} \text{ and } B \in \mathcal{B}(H_2, H_1) \}$ . We show that  $\mathcal{L}$  has infinite reflexive index.

Suppose that there exist operators  $X_k$ ,  $1 \le k \le n$ , on  $H_1 \oplus H_2$  with LatAlg  $\mathcal{L} =$  Lat  $\{X_k : 1 \le k \le n\}$ , where  $n \in \mathbb{Z}^+$ . Then  $X_k = \begin{bmatrix} \alpha_k & B_k \\ 0 & \beta_k \end{bmatrix}$ , for some scalars  $\alpha_k$ ,  $\beta_k$  and some operators  $B_k$ ,  $1 \le k \le n$ . Let  $y \in H_2$ ,  $y \ne 0$ . It is readily checked that the subspace  $M = \langle B_1 y, B_2 y, \dots, B_n y \rangle \oplus \langle y \rangle$  belongs to Lat  $\{X_k : 1 \le k \le n\}$ . It does not belong to Lat Alg  $\mathcal{L}$ , however. This contradiction shows that  $\mathcal{L}$  has infinite reflexive index.

(a) If we take  $\mathcal{L}_1$  to be the transitive lattice with four nontrivial elements given in [9], and take  $H_2 = \mathbb{C}$  in what is immediately above, we get a subspace lattice  $\mathcal{L}$  with precisely five nontrivial elements, which has infinite reflexive index.

(b) With  $\mathcal{L}$  the subspace lattice with seven elements described in (a), the reflexive subspace lattice LatAlg  $\mathcal{L}$  has infinite reflexive index.

**3.3.** E3. Finally, for every  $n \in \mathbb{Z}^+$  we show that, on every Hilbert space *H* of dimension at least n + 1 (possibly infinity) there is a reflexive subspace lattice of reflexive index *n*.

Let  $n \in \mathbb{Z}^+$  and suppose that dim  $H \ge n + 1$ . We can suppose that  $H = H_1 \oplus H_2$ , where  $H_1$  and  $H_2$  are nonzero, complex, separable Hilbert spaces with dim  $H_1 \ge n$ (possibly infinity). Let  $B_1, B_2, \ldots, B_n$  be operators in  $\mathcal{B}(H_2, H_1)$  for which there exists a vector  $e \in H_1$  such that  $\{B_1e, B_2e, \ldots, B_ne\}$  is linearly independent. On  $H_1 \oplus H_2$ let  $X_k$ ,  $1 \le k \le n$ , be the operators defined by  $X_k = \begin{bmatrix} 0 & B_k \\ 0 & 0 \end{bmatrix}$  and let  $\mathcal{F}_n$  be the reflexive subspace lattice on  $H_1 \oplus H_2$  given by  $\mathcal{F}_n = \text{Lat}\{X_k : 1 \le k \le n\}$ .

We show that  $\mathcal{F}_n$  has index *n*. Suppose that there were n - 1 operators  $\{Y_k : 1 \le k \le n - 1\}$  such that  $\mathcal{F}_n = \text{Lat}\{Y_k : 1 \le k \le n - 1\}$ . Then, since  $\mathcal{L} = \{K \oplus (0) : K \in C(H_1)\} \cup \{H_1 \oplus L : L \in C(H_2)\} \subseteq \mathcal{F}_n$ , each  $Y_k$  has the form  $Y_k = \begin{bmatrix} \lambda_k & A_k \\ 0 & \mu_k \end{bmatrix}$ , for some scalars  $\lambda_k, \mu_k$  and some operators  $A_k, 1 \le k \le n - 1$ . The subspace  $\langle A_1e, A_2e, \ldots, A_{n-1}e \rangle \oplus \langle e \rangle$  belongs to Lat  $\{Y_k : 1 \le k \le n - 1\}$ , so it belongs to Lat  $\{X_k : 1 \le k \le n\}$ . Now  $X_k(0, e) = (B_k e, 0)$ , so  $B_k e \in \langle A_1e, A_2e, \ldots, A_{n-1}e \rangle, 1 \le k \le n$ . This contradicts the fact that  $\{B_1e, B_2e, \ldots, B_ne\}$  is linearly independent. Hence  $\mathcal{F}_n$  has reflexive index *n*.

#### 4. Some questions

Let H be a separable, infinite-dimensional Hilbert space. Every nest on H is reflexive, and so is every finite, distributive subspace lattice [5]. More generally, every completely distributive subspace lattice is reflexive [14]. A subspace lattice

[6]

 $\mathcal{L}$  is completely distributive if  $M = \bigcap \{K_- : K \in \mathcal{L} \text{ and } K \not\subseteq M\}$ , for every  $M \in \mathcal{L}$ , where  $K_- = \lor \{L \in \mathcal{L} : K \not\subseteq L\}$ . Every atomic Boolean subspace lattice is completely distributive. Also, all commutative subspace lattices are reflexive [1, 8].

**Q1.** Which completely distributive subspace lattices on *H* have finite reflexive index? **Q2.** Does every finite distributive subspace lattice on *H* have finite reflexive index?

**Q3.** Does every commutative, atomic Boolean algebra subspace lattice on H with n equidimensional atoms have reflexive index n?

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