J. Aust. Math. Soc. **84** (2008), 301–**313** doi:10.1017/S144678870800058X

ON $\eta^3(a\tau)\eta^3(b\tau)$ WITH a + b = 8

HENG HUAT CHAN[™], SHAUN COOPER and WEN-CHIN LIAW

(Received 4 November 2005; accepted 22 February 2007)

Communicated by William Chen

Abstract

We prove an observation associated with $\eta^3(\tau)\eta^3(7\tau)$ which is found on page 54 of Ramanujan's Lost Notebook (S. Ramanujan, *The Lost Notebook and Other Unpublished Papers* (Narosa, New Delhi, 1988)). We then study functions of the type $\eta^3(a\tau)\eta^3(b\tau)$ with a + b = 8.

2000 *Mathematics subject classification*: 11F03, 11F11, 11F20. *Keywords and phrases*: spherical theta function, Dirichlet series, Euler product, Hecke operator, modular form, eigenform, Lost Notebook, Ramanujan.

1. Introduction

Let $q = e^{2\pi i \tau}$ with Im $\tau > 0$ and set

$$\eta(\tau) = q^{1/24} \prod_{k=1}^{\infty} (1 - q^k)$$

On [11, p. 54], Ramanujan stated that if

$$F(\tau) := \sum_{n=1}^{\infty} a_n q^n = \eta^3(\tau) \eta^3(7\tau),$$
(1.1)

then

$$\sum_{n=1}^{\infty} \frac{a_n}{n^s} = \frac{1}{1+7^{1-s}} \prod_{p\equiv 3,5,6 \mod 7} \frac{1}{1-p^{2(1-s)}} \prod_{p\equiv 1,2,4 \mod 7} \frac{1}{1+2c_p p^{-s}+p^{2(1-s)}},$$
(1.2)

The first author is partially supported by Academic Research Fund, National University of Singapore, R-146-000-103-112.

^{© 2008} Australian Mathematical Society 1446-7887/08 \$A2.00 + 0.00

where *p* are primes. Ramanujan also asserted that

$$c_p = 7v^2 - u^2 \tag{1.3}$$

[2]

with

$$p = u^2 + 7v^2. (1.4)$$

Equation (1.3) is, in fact, false for the prime p = 2, and the correct formula is

$$c_p = \begin{cases} 3/2 & \text{if } p = 2, \\ 7v^2 - u^2 & \text{if } p = u^2 + 7v^2. \end{cases}$$
(1.5)

The above assertion of Ramanujan was first studied by Rangachari [12]. Rangachari explained the existence of the Euler product expansion for the Dirichlet series corresponding to $F(\tau)$ but did not determine (1.5) explicitly.

On [11, p. 146], Ramanujan revisited $F(\tau)$ and recorded the Euler product for its corresponding Dirichlet series as

$$\sum_{n=1}^{\infty} \frac{a_n}{n^s} = \frac{1}{1+7^{1-s}} \prod_{p\equiv 3,5,6 \text{ mod } 7} \frac{1}{1-p^{2(1-s)}} \prod_{p\equiv 1,2,4 \text{ mod } 7} \frac{1}{1+C_p p^{-s} + p^{2(1-s)}},$$
(1.6)

where

$$C_p = 2p - a^2 \tag{1.7}$$

with

$$4p = a^2 + 7b^2. (1.8)$$

Note that if p is odd, then $p = u^2 + 7v^2$ implies that $4p = (2u)^2 + 7(2v)^2$. Conversely, if $4p = a^2 + 7b^2$ and p is odd then a and b are even and $p = (a/2)^2 + 7(b/2)^2$. Hence (1.2) is equivalent to (1.6) when p is odd, namely,

$$C_p = 2p - a^2 = 2(p - 2u^2) = 2(u^2 + 7v^2 - 2u^2) = 2(7v^2 - u^2) = 2c_p.$$

When p is even, it is easy to check that C_2 is equal to $2c_2$. This implies that Ramanujan's observations for $F(\tau)$ on pages 54 and 146 of his Lost Notebook are equivalent.

Equations (1.6) and (1.7) were first discussed in a recent paper by Berndt and Ono [2, (8.4)]. They remarked that C_p can be obtained by applying Jacobi's identity [1, p. 500] twice and gave a brief sketch of the proof (see the comments in [2, (8.4)]). As a result, complete proofs of (1.5) and (1.7) are still missing.

In Section 2, we derive (1.2) and (1.5) using an approach similar to that suggested in [2].

In Section 3, we give proofs of (1.2) and (1.5) using Schoeneberg's theta functions (more commonly known as spherical theta functions).

In Section 4, we study functions of the type $\eta^3(a\tau)\eta^3(b\tau)$ with a + b = 8 and obtain analogues of (1.2) and (1.5).

302

2. Proofs of (1.2) and (1.5) using Jacobi's identity

PROOFS OF (1.2) AND (1.5). As indicated in [12] and [2], the function $F(\tau)$ is in $S := S_3(\Gamma_0(7), (\cdot/7))$, the space of weight 3 cusp forms on $\Gamma_0(7)$ with character ($\cdot/7$). The space S is one dimensional [3, Théorème 1] and, hence, $F(\tau)$ is an eigenform. As a result, the corresponding Dirichlet series for $F(\tau)$ has an Euler product expansion [7, p. 163]

$$\sum_{n=1}^{\infty} \frac{a_n}{n^s} = \prod_p \frac{1}{(1 - a_p p^{-s} + (p/7) p^{2(1-s)})}.$$
(2.1)

It remains to determine a_p for all primes p.

When p = 7, it follows from the expansion of $F(\tau)$ that $a_7 = -1$ and we obtain the first factor in (1.2). When p = 2, the value of a_2 can also obtained directly from the expansion of $F(\tau)$, namely, $a_2 = -3$. This gives the value of c_2 in (1.5).

It remains to determine a_p for other odd primes p. This will complete the proofs of (1.2) and (1.5).

Recall that by Jacobi's identity,

$$\eta^{3}(\tau) = \sum_{\substack{\alpha \in \mathbf{Z} \\ \alpha \equiv 1 \text{ mod } 4}} \alpha q^{\alpha^{2}/8}.$$
(2.2)

Therefore,

$$\eta^{3}(\tau)\eta^{3}(7\tau) = \sum_{\substack{\alpha \equiv 1 \mod 4 \\ \beta \equiv 1 \mod 4}} \alpha \beta q^{(\alpha^{2} + 7\beta^{2})/8}.$$

Note that this means that for all primes *p*,

$$a_p = \sum_{\substack{(\alpha,\beta) \equiv (1,1) \bmod 4\\ 8p = \alpha^2 + 7\beta^2}} \alpha\beta$$

If

$$8p = C^2 + 7D^2 (2.3)$$

with

$$(C, D) \equiv (1, 1) \mod 4$$
 (2.4)

then

$$C = A - 7B \quad \text{and} \quad D = A + B \tag{2.5}$$

for some A, B satisfying $p = A^2 + 7B^2$. Suppose that A and B satisfy (2.5), then

$$A = \frac{C+7D}{8}$$
 and $B = \frac{D-C}{8}$,

and we conclude that A and B are integers since by (2.3) and (2.4),

$$(C, D) \equiv (1, 1) \text{ or } (5, 5) \mod 8$$

Note that $p = A^2 + 7B^2$ since

$$8p = C^{2} + 7D^{2} = (A - 7B)^{2} + 7(A + B)^{2} = 8(A^{2} + 7B^{2}).$$

This shows that every solution of (2.3) with C and D satisfying (2.4) can be obtained from a solution of $p = A^2 + 7B^2$. In other words, a_p is zero when p is not of the form $A^2 + 7B^2$. This happens when

$$\left(\frac{-7}{p}\right) = \left(\frac{p}{7}\right) = -1.$$

Consequently,

$$a_p = 0$$
 when $p \equiv 3, 5, 6 \mod 7$.

This yields the second product on the right-hand side of (1.2).

We now show that $p = A^2 + 7B^2$ if and only if $p \equiv 1, 2, 4 \mod 7$. Let

$$\omega = ((1 + \sqrt{-7})/2), \quad \overline{\omega} = ((1 - \sqrt{-7})/2) \text{ and } \mathfrak{O} := \mathbb{Z}[(1 + \sqrt{-7})/2].$$

Then the ideal $p\mathfrak{O}$ splits in \mathfrak{O} if and only if $p \equiv 1, 2$ or 4 mod 7. This follows from Kummer's theorem [5, p. 129, Theorem 23 and p. 132, (2.29)], which allows us to say that p splits if and only if

$$x^2 + x + 2 \equiv 0 \bmod p$$

is solvable. The latter condition is equivalent to the condition that

$$\left(\frac{-7}{p}\right) = 1$$

and this happens if and only if $p \equiv 1, 2 \text{ or } 4 \mod 7$.

Suppose that *p* is an odd prime congruent to 1, 2 or 4 mod 7. Since \mathfrak{O} is a principal ideal domain, every ideal may be written as $(a) = a\mathfrak{O}$ for some $a \in \mathfrak{O}$. Hence, for any prime $p \equiv 1, 2$ or 4 mod 7, we deduce that

$$(p) = (\alpha + \beta \omega) \ (\alpha + \beta \overline{\omega}),$$

for some α , $\beta \in \mathbb{Z}$. Since ± 1 are the only units in \mathfrak{O} , we conclude that

$$p = (\alpha + \beta \omega)(\alpha + \beta \overline{\omega}) = \alpha^2 + \alpha \beta + 2\beta^2.$$

The above representation shows that α cannot be even, otherwise p would be even. Hence, α is odd. However, this forces β to be even since p is odd. Therefore, we may write

$$p = \left(\alpha + \frac{\beta}{2}\right)^2 + 7\left(\frac{\beta}{2}\right)^2.$$

[4]

Hence, there are integers γ and δ such that

$$p = \gamma^2 + 7\delta^2$$
.

This shows that if p is an odd prime, then $p \equiv 1, 2, 4 \mod 7$ if and only if $p = A^2 + 7B^2$.

We now return to the computation of a_p for $p \equiv 1, 2, 4 \mod 7$. If $p = A^2 + 7B^2$, then (A, B), (A, -B), (-A, B) and (-A, -B) are all solutions of $p = \gamma^2 + 7\delta^2$ (this follows from the splitting of (p) in \mathfrak{O}). Each of these gives rise to a solution (C, D) of (2.3) (see our earlier computations), namely

$$(A - 7B, A + B),$$
 $(A + 7B, A - B),$ $(-A - 7B, -A + B)$ and $(-A + 7B, -A - B).$

Only two, depending on $(A, B) \mod 4$, out of the four give solutions satisfying (2.4). For example, (A - 7B, A + B) and (A + 7B, A - B) could be the desired solutions and in this case

$$(A - 7B)(A + B) + (A + 7B)(A - B) = 2(A2 - 7B2).$$

By considering all possible cases for $(A, B) \mod 4$ we conclude that if $p = A^2 + 7B^2$, then

$$a_p = \sum_{\substack{(\alpha,\beta) \equiv (1,1) \text{ mod } 4\\ 8p = \alpha^2 + 7\beta^2}} \alpha\beta = 2(A^2 - 7B^2).$$

This completes the proof of (1.5) and the derivation of the third factor in (1.2) for primes $p \neq 2$.

3. Proofs of (1.2) and (1.5) using Schoeneberg's theta functions

We first show that the following holds.

THEOREM 3.1. We have

$$\eta^{3}(\tau)\eta^{3}(7\tau) = \frac{1}{2} \sum_{m,n=-\infty}^{\infty} \left(m + n \left\{ \frac{\sqrt{-7} + 1}{2} \right\} \right)^{2} q^{m^{2} + mn + 2n^{2}}.$$
 (3.1)

We recall a class of theta functions studied by Schoeneberg [13].

Let f be an even positive integer and $M = (m_{\mu,\nu})$ be a symmetric $f \times f$ matrix such that:

(1) $m_{\mu,\nu} \in \mathbf{Z};$

- (2) $m_{\mu,\mu}$ is even; and
- (3) $\mathbf{x}^{t} M \mathbf{x} > 0$ for all $\mathbf{x} \in \mathbf{R}^{f}$ such that $\mathbf{x} \neq \mathbf{0}$.

Let N be the smallest positive integer such that NM^{-1} also satisfies conditions 1–3. Let

$$P_k^M(\mathbf{x}) := \sum_{\mathbf{y}} c_{\mathbf{y}} (\mathbf{y}^t M \mathbf{x})^k,$$

where the sum is over finitely many $\mathbf{y} \in \mathbf{C}^{f}$ with the property $\mathbf{y}^{t} M \mathbf{y} = 0$, and $c_{\mathbf{y}}$ are arbitrary complex numbers.

When $M\mathbf{h} \equiv \mathbf{0} \mod N$ and $\operatorname{Im} \tau > 0$, we define

$$\vartheta_{M,\mathbf{h},P_k^M}(\tau) = \sum_{\substack{\mathbf{n}\in\mathbf{Z}^f\\\mathbf{n}\equiv\mathbf{h}\bmod N}} P_k^M(\mathbf{n}) \exp(((2\pi i\tau)/N)(1/2)((\mathbf{n}^t M\mathbf{n})/N)).$$
(3.2)

PROOF OF THEOREM 3.1. Substitute

$$\mathbf{y} = \begin{pmatrix} -1 - \sqrt{-7} \\ 2 \end{pmatrix}, \quad A = \begin{pmatrix} 2 & 1 \\ 1 & 4 \end{pmatrix}, \quad \mathbf{h} = (0, 0) \quad \text{and} \quad N = 7$$

in (3.2). Then we conclude that the function

$$A(\tau) = \frac{1}{2} \sum_{m,n=-\infty}^{\infty} \left(m + n \left\{ \frac{\sqrt{-7} + 1}{2} \right\} \right)^2 q^{m^2 + mn + 2n^2}$$

is a weight 3 cusp form on $\Gamma_0(7)$ with multiplier system ($\cdot/7$) (see [13, p. 217, Theorem 4 and p. 218, Theorem 5]), namely,

$$A\left(\frac{a\tau+b}{c\tau+d}\right) = (c\tau+d)^3 \left(\frac{d}{7}\right) A(\tau),$$

with

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma_0(7).$$

It can be verified directly that $B(q) = \eta^3(\tau)\eta^3(7\tau)$ is also a form on $\Gamma_0(7)$ with multiplier system ($\cdot/7$) and that any cusp form of weight 3 on $\Gamma_0(7)$ with multiplier system ($\cdot/7$) is a constant multiple of B(q) since B(q) is an eigenform (see [7, p. 145, Exercises 12 and 13]). By looking at the expansion of A(q) and B(q), we conclude that the constant is 1 and this completes the proof of the theorem. \Box

PROOFS OF (1.2) AND (1.5). We first give a formula for a_p . As observed earlier, if p is an odd prime and $p = m^2 + mn + 2n^2$, then n = 2n'. Also, if $p = A^2 + 7B^2$, then m = A - B and n' = B. Hence, the coefficient of q^p in the expansion of $G(\tau)$ is given by

$$a_p = \frac{1}{2} \{ (A - B + \sqrt{-7B})^2 + (A + B + \sqrt{-7(-B)})^2 + (-A - B + \sqrt{-7B})^2 + (-A + B + \sqrt{-7(-B)})^2 \} = 2(A^2 - 7B^2).$$
(3.3)

306

The value of a_2 can be obtained directly from the expansion of $\eta^3(\tau)\eta^3(7\tau)$. Alternatively, it follows from the right-hand side of (3.1) that

$$a_2 = -3.$$

In Section 2, we concluded that the Euler product for the corresponding Dirichlet series for $F(\tau)$ exists because $F(\tau)$ is an eigenform. Alternatively, we may establish this fact using the right-hand side of (3.1) as follows.

Since $\mathfrak{O} := \mathbb{Z}[(1 + \sqrt{-7})/2]$ is a principal ideal domain and there are only two units, namely ± 1 , and every integral ideal has only two generators. With this observation, we find that the series representation of $\eta^3(\tau)\eta^3(7\tau)$ can be expressed in the form

$$\frac{1}{2}\sum_{\alpha\in\mathfrak{O}}\alpha^2 q^{\mathbf{N}(\alpha)} = \sum_{\mathfrak{a}=(\alpha)\subset\mathfrak{O}}\alpha^2 q^{\mathbf{N}(\mathfrak{a})}.$$

Let \mathcal{P} denote the set of nonzero prime ideals of \mathfrak{O} . The corresponding Dirichlet series for $G(\tau)$ is

$$\sum_{\substack{0\neq(\alpha)\subset\mathfrak{O}\\\alpha^{2}\text{ (N}(\alpha))^{s}}} \frac{\alpha^{2}}{(\mathbf{N}(\alpha))^{s}} = \prod_{\substack{\mathfrak{p}=(\alpha)\in\mathcal{P}\\\alpha^{2}\text{ is prime in } \mathbf{Z}}} \left(1 + \frac{\alpha^{2}}{\mathbf{N}(\mathfrak{p})^{s}} + \frac{\alpha^{4}}{\mathbf{N}(\mathfrak{p}^{2})^{s}} + \cdots\right)$$
$$\times \prod_{\substack{\mathfrak{p}=(\alpha)\in\mathcal{P},\\\alpha\text{ is prime in } \mathbf{Z}}} \left(1 + \frac{\alpha^{2}}{\mathbf{N}(\mathfrak{p})^{s}} + \frac{\alpha^{4}}{\mathbf{N}(\mathfrak{p}^{2})^{s}} + \cdots\right)$$
$$\times \prod_{\substack{\mathfrak{p}=(\alpha),\mathfrak{p}'=(\alpha'),\mathfrak{p}\neq\mathfrak{p}'\\\alpha\alpha'=p,\mathfrak{a} \text{ prime in } \mathbf{Z}}} \left(1 + \frac{\alpha^{2}}{\mathbf{N}(\mathfrak{p})^{s}} + \frac{\alpha^{4}}{\mathbf{N}(\mathfrak{p}^{2})^{s}} + \cdots\right).$$

There is only one term in the first product and the prime ideal involved is $(\sqrt{-7})$. The first product is then given by

$$1 - \frac{7}{7^s} + \frac{7^2}{7^{2s}} - \dots = \frac{1}{1 + 7^{1-s}}.$$

The second product is over all integral primes p such that (p) is a prime ideal in \mathfrak{O} (these are primes that are quadratic nonresidues modulo 7). A typical term is given by

$$1 + \frac{p^2}{p^{2s}} + \frac{p^4}{p^{4s}} + \dots = \frac{1}{1 - p^{2-2s}}.$$

Finally we can pair up the terms in the third product for each prime p that splits in \mathfrak{O} , namely,

$$p = \alpha \alpha'$$
 with $\alpha, \alpha' \in \mathfrak{O}$.

A typical term is given by

$$\left(1 + \frac{\alpha^2}{p^s} + \frac{\alpha^2}{p^{2s}} + \cdots\right) \left(1 + \frac{{\alpha'}^2}{p^s} + \frac{{\alpha'}^2}{p^{2s}} + \cdots\right) = \frac{1}{1 - \alpha^2 p^{-s}} \cdot \frac{1}{1 - {\alpha'}^2 p^{-s}}$$
$$= \frac{1}{1 - (\alpha^2 + {\alpha'}^2)p^{-s} + p^{2-2s}}$$
$$= \frac{1}{1 - a_p p^{-s} + p^{2-2s}}.$$

Hence,

$$\sum_{n\geq 1} \frac{a_n}{n^s} = \frac{1}{1+7^{1-s}} \prod_{p\equiv 3,5,6 \bmod 7} \frac{1}{1-p^{2-2s}} \prod_{p\equiv 1,2,4 \bmod 7} \frac{1}{1-a_p p^{-s} + p^{2-2s}}.$$

Comparing this with (1.2), we conclude that

$$a_p = -2c_p. \tag{3.4}$$

Using (3.3), we complete the proof of (1.5).

We end this section with a proof of a congruence satisfied by Ramanujan's $\boldsymbol{\tau}$ function.

COROLLARY 3.2. Let

$$\Delta(\tau) := \eta^{24}(\tau) = \sum_{k \ge 1} \tau(k) q^k.$$

Then

$$\tau(p) \equiv \begin{cases} 0 \mod 7 & \text{if } p \equiv 3, 5, 6 \mod 7 \\ 2u^2 \mod 7 & \text{if } p \equiv 1, 2, 4 \mod 7 \text{ and } p = u^2 + 7v^2. \end{cases}$$

PROOF. Write

$$\Delta \equiv \eta^3(\tau)\eta^3(7\tau) \bmod 7.$$

We then conclude that

$$a_p \equiv \tau(p) \bmod 7. \tag{3.5}$$

We know that a_p is zero when p is a quadratic nonresidue modulo 7 and, hence, the first part follows.

When p is a quadratic residue, we have $p = u^2 + 7v^2$ and by (1.3) and (3.4),

$$a_p \equiv -2c_p \equiv 2u^2 - 14v^2 \equiv 2u^2 \mod 7.$$

Using (3.5), we conclude that if p is a quadratic residue modulo 7, then

$$\tau(p) \equiv 2u^2 \mod 7. \tag{3.6}$$

Note that we may also rewrite (3.6) as

$$\tau(p) \equiv p^4 + p \mod 7, \tag{3.7}$$

when p is a quadratic residue modulo 7. Congruence (3.7) is due to Ramanathan [10]. For more congruences such as (3.7) satisfied by $\tau(n)$ and the reasons why such congruences exist, see [14].

4. Identities associated with $\eta^3(a\tau)\eta^3(b\tau)$, with a + b = 8

In our attempt to derive a_p for primes p of the form $u^2 + 7v^2$ where a_n is defined as in (1.1), we also discovered similar results for the η -products

$$\eta^{3}(2\tau)\eta^{3}(6\tau), \quad \eta^{3}(3\tau)\eta^{3}(5\tau) \text{ and } \eta^{6}(4\tau).$$

The proofs of the following identities are similar to the proof of Theorem 3.1.

THEOREM 4.1. We have

$$\eta^{3}(2\tau)\eta^{3}(6\tau) = \frac{1}{2} \sum_{m,n=-\infty}^{\infty} (m+n\sqrt{-3})^{2} q^{m^{2}+3n^{2}}, \qquad (4.1)$$

$$\eta^{3}(3\tau)\eta^{3}(5\tau) = \frac{1}{2} \sum_{m,n=-\infty}^{\infty} \left(m + n \left(\frac{1 + \sqrt{-15}}{2} \right) \right)^{2} q^{m^{2} + mn + 4n^{2}}.$$
 (4.2)

$$\eta^{6}(4\tau) = \frac{1}{2} \sum_{m,n=-\infty}^{\infty} (m + 2n\sqrt{-1})^{2} q^{m^{2} + 4n^{2}}.$$
(4.3)

PROOF. Let $S_3(\Gamma_0(N), (\delta/\cdot))$ be the space of cusp forms of weight 3 with multiplier (δ/\cdot) under the action of $\Gamma_0(N)$.

Let the right-hand side of (4.1) be denoted as $R_1(\tau)$. By [13, p. 217, Theorem 4 and p. 218, Theorem 5],

$$R_1(\tau) \in S_3(\Gamma_0(12), (-6/\cdot)) =: C_1.$$

The space C_1 is one dimensional [3, Théorème 1] over **C** and generated by $L_1(\tau) = \eta^3(2\tau)\eta^3(6\tau)$ (see [6, p. 174]). By comparing the leading coefficients of $R_1(\tau)$ and $L_1(\tau)$, we complete the proof of (4.1).

To prove (4.2), let the right-hand side of (4.2) be $R_2(\tau)$. Then

$$R_2(\tau) \in S_3(\Gamma_0(15), (-15/\cdot)) =: C_2.$$

The dimension of C_2 over C is two [3, Théorème 1] and a basis can be taken as

$$\{\eta^3(\tau)\eta^3(15\tau), \eta^3(3\tau)\eta^3(5\tau)\}.$$

Comparing the coefficients of $R_2(\tau)$ and the elements in the basis, we conclude the proof of (4.2).

The proof of (4.3) is similar and follows from the fact that $S_3(\Gamma_0(16), (-4/\cdot))$ is one dimensional [3, Théorème 1] and spanned by $\eta^6(4\tau)$.

REMARKS. The Euler products exist for the Dirichlet series corresponding to the forms in (4.1) and (4.3) since these are eigenforms [7, p. 163].

By comparing the coefficients of both sides in (4.1) and (4.3), we obtain the following analogues of (1.5).

COROLLARY 4.2. (i) Let

$$\eta^3(2\tau)\eta^3(6\tau) = \sum_{n=1}^\infty b_n q^n.$$

Then

$$b_p = 2(u^2 - 3v^2)$$
 when $p = u^2 + 3v^2$ and $p > 3$.

(ii) Let

$$\eta^6(4\tau) = \sum_{n=1}^{\infty} d_n q^n.$$

Then

$$d_p = 2(u^2 - 4v^2)$$
 when $p = u^2 + 4v^2$.

PROOF. It is known that [4, p. 61] if p can be written as $am^2 + bn^2$ with gcd(a, b) = 1 and ab > 1, then there are exactly four ways of writing p in this form. Therefore, the only four solutions to the equation $p = u^2 + 3v^2$ are (u, v), (u, -v), (-u, -v) and (-u, v). Hence,

$$b_p = \frac{1}{2}((u+v\sqrt{-3})^2 + (u-v\sqrt{-3})^2 + (-u+v\sqrt{-3})^2 + (-u-v\sqrt{-3})^2)$$

= 2(u^2 - 3v^2).

The expression for d_p can also be proved in the same way.

REMARKS. Using Schoeneberg's theta series as we did in the proof of Theorem 3.1, one can also show the following identity:

$$\eta^{3}(\tau)\eta^{3}(15\tau) = \frac{-3}{2} \sum_{m,n=-\infty}^{\infty} \left(m + n \left(\frac{3 + \sqrt{-15}}{6} \right) \right)^{2} q^{3m^{2} + 3mn + 2n^{2}}.$$
 (4.4)

The analogue of (1.5) in this case is given by the following result.

COROLLARY 4.3. Let

$$E^{\pm}(\tau) = \eta^{3}(3\tau)\eta^{3}(5\tau) \pm \eta^{3}(\tau)\eta^{3}(15\tau) := \sum_{n=1}^{\infty} e_{n}^{\pm}q^{n}.$$

On $\eta^3(a\tau)\eta^3(b\tau)$ with a + b = 8311

Then $E^{\pm}(\tau)$ are eigenforms. When $p \neq 2, 3, 5$, then

$$e_p^{\pm} = \begin{cases} \mp 2(3u^2 - 5v^2) & \text{if } p = 3u^2 + 5v^2, \\ 2(u^2 - 15v^2) & \text{if } p = u^2 + 15v^2. \end{cases}$$
(4.5)

Furthermore,

$$e_2^{\pm} = \pm 1$$
, $e_3^{\pm} = \mp 3$ and $e_5^{\pm} = \pm 5$.

PROOF. Let

$$E_1(\tau) = \eta^3(3\tau)\eta^3(5\tau) = \sum_{n=1}^{\infty} \alpha(n)q^n$$

and

$$E_2(\tau) = \eta^3(\tau)\eta^3(15\tau) = \sum_{n=2}^{\infty} \beta(n)q^n$$

Let

$$E(\tau) = \sum_{n=1}^{\infty} \epsilon(n) q^n$$

be an eigenform in $S_3(\Gamma_0(15), (-15/\cdot))$ with $\epsilon(1) = 1$. Suppose

$$E(\tau) = E_1(\tau) + v E_2(\tau).$$

Applying the Hecke operator T_2 (see [7, p. 161]) to both sides of the above, we find that

$$E(\tau)|_{T_2} = \epsilon(2)E(\tau) = E_1(\tau)|_{T_2} + vE_2(\tau)|_{T_2}.$$
(4.6)

Comparing the coefficients of q and q^2 of (4.6), we find that

$$\epsilon(2) = \alpha(2) + v\beta(2) = v\beta(2) = v$$

and

$$\epsilon(2)^2 = \alpha(4) + 4\alpha(1) + \nu\beta(4) + 4\nu\beta(1) = 1.$$

Hence, $v = \pm 1$ and $E^{\pm}(\tau)$ are indeed the eigenforms for $S_3(\Gamma_0(15), (-15/\cdot))$. In order to determine the eigenvalues e_p^{\pm} corresponding to T_p for E^{\pm} , we note that if $p = 3m^2 + 3mn + 2n^2$, then $p = 3u^2 + 5v^2$ where

$$u = m + \frac{n}{2}$$
 and $v = \frac{n}{2}$.

[11]

[12]

$$S := \{(u, v), (-u, -v), (u, -v), (-u, v)\}.$$

Using the right-hand side of (4.4), together with the substitutions

$$m = U - V$$
, $n = 2V$ with $(U, V) \in S$,

we deduce immediately the first part of (4.5). The second part of (4.5) follows similarly using the right-hand side of (4.2). The values of e_p^{\pm} for p = 2, 3 and 5 follow from the expansion of $E^{\pm}(\tau)$.

REMARKS. The functions $\eta^3(\tau)\eta^3(7\tau)$, $\eta^3(2\tau)\eta^3(6\tau)$ and $\eta^6(4\tau)$ were studied by Ono in connection with Gaussian hypergeometric series over finite fields. For more details, see [9, pp. 194–195]. Murata also connected the coefficients b_p and d_p with the number of \mathbf{F}_p -rational points on the K3-surfaces

$$xy(x + y + 1)(x + y + xy) = z^{2}$$
 and $xy(x - y)(xy - 1) = z^{2}$

respectively. Readers who are interested in this connection are encouraged to read [8].

Acknowledgement

It is our pleasure to thank the referee for uncovering some misprints in an earlier version of this work.

References

- G. E. Andrews, R. Askey and R. Roy, *Special Functions*, Encyclopedia of Mathematics and its Applications, 71 (Cambridge University Press, Cambridge, 1999).
- [2] B. C. Berndt and K. Ono, Ramanujan's Unpublished Manuscript on the Partition and Tau Functions with Proofs and Commentary, The Andrews Festschrift (Maratea, 1998). Sém. Lothar. Combin. 42 (1999), Art. B42c, p. 63 (electronic).
- [3] H. Cohen and J. Oesterlé, Dimension des Espaces de Formes Modulaires, Lecture Notes in Mathematics, 627 (Springer, Berlin, 1976), pp. 69–78.
- [4] D. A. Cox, *Primes of the Form* $x^2 + ny^2$. *Fermat, Class Field Theory and Complex Multiplication*, A Wiley-Interscience Publication (John Wiley & Sons, Inc., New York, 1989).
- [5] A. Fröhlich and M. J. Taylor, *Algebraic Number Theory*, Cambridge Studies in Advanced Mathematics, 27 (Cambridge University Press, Cambridge, 1993).
- [6] B. Gordon and D. Sinor, 'Multiplicative properties of η-products', in: *Number Theory, Madras* 1987, Lecture Notes in Mathematics, 1395 (Springer, Berlin, 1989), pp. 173–200.
- [7] N. Koblitz, *Introduction to Elliptic Curves and Modular Forms*, Graduate Texts in Mathematics, 97 (Springer, New York, 1984).
- [8] M. Murata, 'Jacobi's identity and two K3-surfaces', in: Symbolic Computation, Number Theory, Special Functions, Physics and Combinatorics (Gainesville, FL, 1999), Developments in Mathematics, 4 (Kluwer Acad. Publ., Dordrecht, 2001), pp. 189–198.

313

- [9] K. Ono, *The Web of Modularity: Arithmetic of the Coefficients of Modular Forms and q-Series*, CBMS Regional Conference Series in Mathematics, 102 (American Mathematical Society, Providence, RI, 2004).
- [10] K. G. Ramanathan, 'Congruence properties of Ramanujan's τ function', J. Indian Math. Soc. 9 (1945), 55–59.
- S. Ramanujan, *The Lost Notebook and Other Unpublished Papers*. With an Introduction by George E. Andrews (Narosa Publishing House, New Delhi, 1988).
- [12] S. S. Rangachari, 'Ramanujan and Dirichlet series with Euler products', Proc. Indian Acad. Sci. Math. Sci. 91(1) (1982), 1–15.
- [13] B. Schoeneberg, *Elliptic Modular Functions: An Introduction*, Die Grundlehren der Mathematischen Wissenschaften, Band 203 (Springer-Verlag, New York, Heidelberg, 1974), Translated from the German by J. R. Smart and E. A. Schwandt.
- [14] J.-P. Serre, 'Une interprétation des congruences relatives à la fonction τ de Ramanujan', in: Séminaire Delange–Pisot–Poitou: Théorie des Nombres (1967/68). Fasc. 1, Exposé 14 (Secrétariat Mathématique, Paris, 1969).

HENG HUAT CHAN, Department of Mathematics, National University of Singapore, 2 Science Drive 2, 117543, Singapore e-mail: matchh@nus.edu.sg

SHAUN COOPER, Albany Campus, Massey University, Private Bag 102 904, North Shore Mail Centre, Auckland, New Zealand e-mail: s.cooper@massey.ac.nz

WEN-CHIN LIAW, Department of Mathematics, National Chung Cheng University, Min-Hsiung, Chia-Yi, 62101, Taiwan, Republic of China e-mail: wcliaw@math.ccu.edu.tw