

## WITTS THEOREM FOR QUADRATIC FORMS OVER NON-DYADIC DISCRETE VALUATION RINGS

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**Introduction.** Let  $R$  be a discrete valuation ring, with maximal ideal  $pR$ , such that  $\frac{1}{2} \in R$ . Let  $L$  be a finitely generated  $R$ -module and  $B: L \times L \rightarrow R$  a non-degenerate symmetric bilinear form. The module  $L$  is called a *quadratic module*. For notational convenience we shall write  $xy = B(x, y)$ . Let  $O(L)$  be the group of isometries, i.e. all  $R$ -linear isomorphisms  $\varphi: L \rightarrow L$  such that  $B(\varphi(x), \varphi(y)) = B(x, y)$ . Given two submodules  $M$  and  $N$  of  $L$  and an isometry  $\tau: M \rightarrow N$  defined on  $M$ , we shall find necessary and sufficient conditions for  $\tau$  to extend to  $L$ , i.e. there exists  $\varphi \in O(L)$  such that  $\varphi \upharpoonright M = \tau$ .

Our starting point is the observation that when  $L$  is unimodular (i.e. the form  $B: L \times L \rightarrow R$  induces an isomorphism  $L \simeq \text{Hom}_R(L, R)$ ), our theorem can be proved by imitating the proof of Witt's theorem for  $L/pL$  over the field  $R/pR$ . We are therefore led to define, for an arbitrary quadratic module  $L$ , a family of invariant submodules  $L_j$  such that  $L = \lim_{\leftarrow} L/L_j$ , and the induced bilinear forms  $\bar{B}: L/L_j \times L/L_j \rightarrow R/p^j$  are non-degenerate. Since the "forms"  $L/L_j$  are non-degenerate, the submodules  $L_j$  are in some sense more "natural" for the study of quadratic forms than the usual filtration,  $p^jL$ . Using the  $L_j$  we define a family of normal subgroups  $O_j(L)$  which form a neighborhood system of the identity of  $O(L)$  in the usual topology.

For  $L/L_j$  we show that if  $M + L_{j-1} = N + L_{j-1}$  then only a length and a primality condition are needed to give an isometry  $\varphi \in O(L)$  such that  $\varphi(M) + L_j = N + L_j$ . Since  $L = \lim L/L_j$  we use a limit argument to prove our theorem for  $L$ .

To illustrate the techniques involved we first prove the theorem for the case of two vectors  $x$  and  $y$  with  $x^2 = y^2$ . This case is originally due to James and Rosenzweig [1]. The main theorem generalizes a theorem of Band [2].

We will first assume that  $R$  is complete. The non complete case follows by an easy argument (cf. [1] and [5]).

**Section I.** In this section we discuss the topologies on  $L$  and  $O(L)$  which are induced by the valuation on  $R$ .

*Definition.* For  $x \in L$ , we define  $\text{ord}(x) = \min \{ \text{ord}_R(xy) \mid \text{for all } y \in L \}$ .

Clearly,

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- i)  $\text{ord}(ax) = \text{ord}(a) + \text{ord}(x)$  for any  $a \in R$
- ii)  $\text{ord}(x + y) \geq \min\{\text{ord}(x), \text{ord}(y)\}$
- iii)  $\text{ord}(x) \leq \text{ord}(x^2)$
- iv) If  $L = L_1 \perp L_2$  and  $x = x_1 + x_2, x_i \in L_i$ , then  $\text{ord}(x) = \min\{\text{ord}_{L_i}(x_i)\}$

By selecting a vector  $x_1$ , such that  $\text{ord}(x_1)$  is a minimum, we can decompose  $L = \langle x_1 \rangle \perp L_1$ . By induction, we can prove that  $L$  is an orthogonal sum of lines. Therefore by property iv) above it follows that for a large integer  $N$ , if  $\text{ord}(x) > N$ , then  $x \in pL$ .

We now define the submodules  $L_j$ .

*Definition.*  $L_j = \{x \in L \mid \text{ord}(x) \geq j\}$

Clearly then,

- (1.1)  $L_j \supset L_{j+1} \supset pL$  for all  $j$ ,
- (1.2)  $L_j \subset pL$  for all  $j > N$ ,
- (1.3)  $L_{j+1} = pL_j$   $j > N$ .

From properties (1.1) and (1.3) it follows that the topology on  $L$  induced by the  $L_j$  agrees with the  $p$ -adic topology. Therefore  $L = \lim_{\leftarrow} L/L_j$ . Since for any isometry  $\varphi \in O(L)$ ,  $\text{ord}(x) = \text{ord}(\varphi(x))$ , the submodule  $L_j$  is invariant, i.e.  $\varphi(L_j) = L_j$ . Clearly the induced forms  $B : L/L_j \times L/L_j \rightarrow R/p^j$  are non-degenerate.

The orthogonal group  $O(L)$  has a natural  $p$ -adic topology inherited from  $R$  in which it is complete.

*Definition.*  $O_j(L) = \{\varphi \in O(L) \mid \text{for all } x \in L, \varphi(x) \equiv x \pmod{L_j}\}$ .

Since the  $L_j$  are invariant under the action of  $O(L)$ , the  $O_j(L)$  are normal subgroups. Since  $L = \lim_{\leftarrow} L/L_j$ , the  $O_j(L)$  satisfy

- (1.4)  $O_j(L) \supset O_{j+1}(L)$
- (1.5)  $\bigcap O_j(L) = \{1\}$ .

The  $O_j(L)$  therefore form a neighborhood of the identity in  $O(L)$ .

We now define some special types of vectors.

*Definition.*  $v \in \mathcal{L}$  is *orthogonal* if  $\text{ord}(v) = \text{ord}(v^2)$ , or equivalently if  $L = \{v\} \perp K$ .

If  $v$  is orthogonal of order  $j$ , then for any  $x \in L$ ,  $\text{ord}(xv) \geq \text{ord}(v^2)$  and so  $(vx/v^2)v \in L_j$ . Therefore the reflection about  $v$ , defined as usual by setting  $\sigma_v(x) = x - 2(vx/v^2)v$  [4], is an isometry of  $L$ . Since  $\sigma_v^2 = 1$ , if  $v \equiv w \pmod{L_k}$  and  $w$  is also orthogonal then  $\sigma_w(x) \equiv \sigma_v(x) \pmod{L_k}$ ,  $\sigma_v\sigma_w(x) \equiv x \pmod{L_k}$  and  $\sigma_v\sigma_w \in O_k(L)$ .

*Definition.* A vector  $v \in L$  is called *simple* if there exists a vector  $w$ , necessarily also simple, such that  $\text{ord}(v) = \text{ord}(vw) = \text{ord}(w)$ .

Since  $\text{ord}(p w v) > \text{ord}(w v) \geq \text{ord}(w)$ , a simple vector  $v$  is primitive (i.e.  $v \notin pL$ ). If  $v$  is simple, and  $\text{ord}(z) > \text{ord}(v)$ , then  $\text{ord}((v + z)w) = \text{ord}(v w) = \text{ord}(w) = \text{ord}(v + z)$  and  $v + z$  is also simple. If  $v$  is orthogonal then  $\text{ord}(v) = \text{ord}(v^2)$  and  $v$  is simple. Therefore simple vectors are a generalization of orthogonal vectors. Our interest in them is further explained by the following lemma.

**LEMMA 1.** *Let  $x \in L$  be primitive. Then there exists  $v$  and  $z \in L$  such that  $x = pz + v$  and either  $v$  is orthogonal, or  $v$  is simple and isotropic (i.e.  $v^2 = 0$ ).*

*Proof.* Using an orthogonal basis of  $L$ , we can write  $x = pz_1 + u$  where  $u$  is the sum of mutually perpendicular orthogonal vectors. Such a vector is simple. If  $u$  is orthogonal then set  $v = u$ .

If  $\text{ord}(u^2) > \text{ord}(u)$  then choose  $w \in L$  such that  $\text{ord}(u) = \text{ord}(wu) = \text{ord}(w)$ . Using Hensel's lemma we can find an  $a \in R$  such that  $(apw + u)^2 = 0$ . Since  $\text{ord}(apw) > \text{ord}(w) = \text{ord}(u)$ ,  $apw + u$  is simple. Then set  $z = z_1 - apw$  and  $v = apw + u$ .

If  $v$  is a simple vector for which  $\text{ord}(v^2) > \text{ord}(v)$  then using Hensel's lemma we can find a simple isotropic vector  $v^*$  such that  $\text{ord}(v) = \text{ord}(vv^*) = \text{ord}(v^*)$ . Therefore we have an isotropic vector  $v^*$  such that  $L = \{v, v^*\} \perp K$ .

*Definition.* The *exponent* of a vector  $x$  modulo  $L_k$  will denote the greatest integer  $t$  for which there is a  $z \in L$  such that

$$x \equiv p^t z \pmod{L_k}.$$

If  $x \notin pL + L_k$  then  $x$  has exponent zero modulo  $L_k$  and is called *primitive* modulo  $L_k$ .

Note that if  $t_k$  is the exponent of  $x$  modulo  $L_k$  then  $t_k \geq t_{k+1}$ . Suppose that  $x \in p^t L$  and  $x \notin p^{t+1} L$ , i.e.  $x$  has exponent  $t$  in  $L$ . Suppose that  $N$  is sufficiently large so that for all  $j > N$ ,  $L_j \subset pL$ . Then  $L_{j+t} \subset p^{t+1} L$  and  $x \notin p^{t+1} L + L_{j+t}$ . Therefore for all  $k > N + t$  the exponent of  $x$  modulo  $L_k$  equals  $t$ . In particular if  $x$  is primitive in  $L$  and  $k$  is large, then  $x$  is primitive modulo  $L_k$ . Conversely if  $x$  is primitive modulo  $L_k$ , for any  $k$ , then  $x \notin pL + L_k$  and  $x$  is primitive in  $L$ . If  $v$  is simple and  $\text{ord}(v) < k$ , then  $v$  is primitive modulo  $L_k$ .

**LEMMA 2.** *If  $\text{ord}(x) = k$  and  $x$  is primitive modulo  $L_{k+1}$ , then  $x$  is simple.*

*Proof.* Write  $x = pz + v$  for some simple vector  $v$ . Since  $x$  is primitive modulo  $L_{k+1}$ ,  $\text{ord}(v) \leq k$ . Choose a simple vector  $w$  such that  $\text{ord}(v) = \text{ord}(vw) = \text{ord}(w)$ . Then

$$k \leq \text{ord}(xw) = \text{ord}(pzw + vw) = \text{ord}(vw) = \text{ord}(v) \leq k$$

Therefore  $\text{ord}(xw) = \text{ord}(w) = k$ , and  $x$  is simple.

**COROLLARY.** *If  $v$  is simple,  $\text{ord}(v) \leq k$ ,  $v \equiv w \pmod{L_k}$  and  $w$  is primitive modulo  $L_{k+1}$ , then  $w$  is simple and  $\text{ord}(v) = \text{ord}(w)$ .*

*Proof.* If  $\text{ord}(v) < k$ , then  $w = v + z$  where  $\text{ord}(z) \geq k > \text{ord}(v)$ . Then it is clear that  $w$  is simple and that  $\text{ord}(w) = \text{ord}(v)$ . If  $\text{ord}(v) = k$ , then  $\text{ord}(w) = k$  and the lemma applies.

If  $x \equiv p^t x_1 \equiv p^t x_2 \pmod{L_k}$  then  $p^t(x_1 - x_2) \in L_k$ ,  $x_1 \equiv x_2 \pmod{L_{k-t}}$  and  $x_1^2 \equiv x_2^2 \pmod{p^{k-t}}$ . Therefore even though the vector  $x_1$  is not unique its length modulo  $p^{k-t}$  is an invariant of  $x$ . Thus we make the following definition.

*Definition.* For  $x$  and  $y \in L$ , we shall write  $x \approx y \pmod{L_k}$  if

- 1)  $x$  and  $y$  have the same exponent  $t$  modulo  $L_k$ , and
- 2) given any  $x_1$  and  $y_1$  such that  $x \equiv p^t x_1$  and  $y \equiv p^t y_1 \pmod{L_k}$  then  $x_1^2 \equiv y_1^2 \pmod{p^{k-t}}$ .

*Remark 1.*  $x \approx y \pmod{L_k}$  does not necessarily imply that  $x \approx y \pmod{L_{k-1}}$ . However if the exponent of  $x$  and  $y$  modulo  $L_k$  equals the exponent of  $x$  and  $y$  modulo  $L_{k-1}$  then a fortiori  $x \approx y \pmod{L_k}$  does imply that  $x \approx y \pmod{L_{k-1}}$ . Since for large enough  $k$ , the exponent of  $x$  and  $y$  modulo  $L_k$  equals the exponent of  $x$  and  $y$  in  $L$ , if  $x^2 = y^2$  then for all sufficiently large  $k$ ,  $x \approx y \pmod{L_k}$ .

**LEMMA 3.** *The congruence relation  $x \approx y \pmod{L_k}$  satisfies the following properties.*

- 1) If  $\varphi \in O(L)$ , then for all  $k$ ,  $x \approx \varphi(x) \pmod{L_k}$ .
- 2) If  $x \equiv y \pmod{L_k}$ , then  $x \approx y \pmod{L_k}$
- 3) If  $px \approx py \pmod{L_k}$ , then  $x \approx y \pmod{L_{k-1}}$
- 4) If  $v$  is simple,  $\text{ord}(v) \leq k$ , and  $v \equiv w \pmod{L_k}$  then  $pv + v \approx pz + w \pmod{L_{k+1}}$  implies that  $w$  is simple and that  $v \approx w \pmod{L_{k+1}}$ .

*Proof.* Only (4) is not immediate. Since  $\text{ord}(v) \leq k$ ,  $pv + v$  and  $pz + w$ , and therefore  $w$  are all primitive modulo  $L_{k+1}$ . By the corollary to Lemma 2, since  $v \equiv w \pmod{L_k}$ ,  $w$  is simple. Finally

$$\begin{aligned} 0 &\equiv (pz + w)^2 - (pv + v)^2 \equiv 2pz(v - w) + (w^2 - v^2) \\ &\equiv w^2 - v^2 \pmod{p^{k+1}}. \end{aligned}$$

In Section 2 we shall prove that if  $x \approx y \pmod{L_k}$  for all  $k$ , then there is an isometry  $\varphi \in O(L)$  such that  $\varphi(x) = y$ . By Remark 1, if  $x^2 = y^2$  and  $x$  and  $y$  have the same exponent in  $L$ , then for all large  $k$ ,  $x \approx y \pmod{L_k}$ . Therefore the theorem will involve only a finite number of  $k$ . In particular if  $L$  is unimodular, then  $L_k = p^k L$  and for primitive vectors  $x$  and  $y$  we will get Witt's original theorem, i.e.  $x^2 = y^2$  if and only if there exists  $\varphi \in O(L)$ ,  $\varphi(x) = y$ .

**Section 2.** We now prove that if  $x \approx y \pmod{L_k}$  for all  $k$ , then there is an isometry  $\varphi \in O(L)$  such that  $\varphi(x) = y$ . We prove this first for simple vectors and then use the properties of Lemma 3 to extend it to all  $x$  and  $y$  in  $L$ .

**LEMMA 4.** *Let  $v$  be a simple vector such that  $\text{ord}(v) \leq k$  and either  $v$  is orthogonal or  $v$  is isotropic. Then for any  $w \in L$  such that*

- i)  $v \equiv w \pmod{L_k}$
- ii)  $v \approx w \pmod{L_{k+1}}$

there exists an isometry  $\varphi \in O(L)$  such that  $\varphi(v) \equiv w \pmod{L_{k+1}}$ .

*Proof.* By Lemma 3, we already know that  $w$  is simple. Let  $u = v - w$ . Then  $u \in L_k$ . If  $u$  were orthogonal, then  $\sigma_u \in O_k(L)$ .

Since  $u = v - w$ , and  $v^2 \equiv w^2 \pmod{p^{k+1}}$ ,

$$\sigma_u(v) = v - [2(v-w)v/(v-w)^2](v-w) \equiv v - (v-w) \equiv w \pmod{L_{k+1}}.$$

Then  $\varphi = \sigma_u$  is the desired isometry.

Therefore we may assume that  $\text{ord}(u^2) > \text{ord}(u) \geq k$ . Since  $v^2 = (w + u)^2 \equiv w^2 + 2wu \pmod{p^{k+1}}$ ,

$$(2.1) \quad wu \equiv vu \equiv u^2 \equiv 0 \pmod{p^{k+1}}.$$

If  $v$  is orthogonal, then  $\text{ord}(w) = \text{ord}(v) = \text{ord}(v^2) = \text{ord}(w^2)$  and  $w$  is orthogonal. Similarly it follows from (2.1) that  $v + w = 2w + u$  is also orthogonal. Following the proof of Witt's theorem for a field,  $\sigma_{v+w}(v) \equiv -w \pmod{L_{k+1}}$  and  $\sigma_w \sigma_{v+w}(v) \equiv w \pmod{L_{k+1}}$ . Since  $2w \equiv v + w \pmod{L_k}$ ,  $\sigma_{2w} \sigma_{v+w} \in O_k(L)$ . Then let  $\varphi = \sigma_w \sigma_{v+w}$ .

Therefore we may assume that  $v$  is isotropic. Then neither  $w$  nor  $v + w$  is orthogonal and there are no reflections  $\sigma_w$  or  $\sigma_{v+w}$ . Assume though that there is a simple isotropic vector  $v^*$  such that

$$(2.2) \quad L = \{v, v^*\} \perp K \quad \text{and} \quad L = \{w, v^*\} \perp K'.$$

Then  $v - v^*$ , and  $w - v^*$  are both orthogonal and  $\sigma_{v-v^*}(v) \equiv v^* \pmod{L_{k+1}}$  and  $\sigma_{w-v^*}(v^*) \equiv w \pmod{L_{k+1}}$ . Since  $v - v^* \equiv w - v^* \pmod{L_k}$ ,  $\varphi = \sigma_{v-v^*} \sigma_{w-v^*} \in O_k(L)$  and  $\varphi(v) \equiv w \pmod{L_{k+1}}$ .

We shall now show that such a  $v^*$  exists. Choose any simple isotropic vector  $\tilde{v}$  such that  $L = \{v, \tilde{v}\} \perp K$ . If  $\text{ord}(\tilde{v}w) \leq k$ , then  $\text{ord}(\tilde{v}w) = \text{ord}(\tilde{v}w + \tilde{v}u) = \text{ord}(\tilde{v}v) = \text{ord}(v) = \text{ord}(w)$  and  $v^* = \tilde{v}$  is the desired vector. If  $\text{ord}(\tilde{v}w) > k$ , then find  $a, b \in R$  and  $w_1 \in K$  so that  $w = av + b\tilde{v} + w_1$ . Since  $\text{ord}(vw) > k$ , and  $\text{ord}(\tilde{v}w) > k$ ,  $av + b\tilde{v} \in L_{k+1}$ . Therefore  $w \equiv w_1 \pmod{L_{k+1}}$  and  $w_1$  is thus a simple vector of order  $k$  in  $K$ . Choose a simple isotropic vector  $\tilde{w}$  in  $K$  such that  $K = \{w_1, \tilde{w}\} \perp K''$ . Then let  $v^* = \tilde{v} + \tilde{w}$ . Since  $\text{ord}(v^*w) = \text{ord}(\tilde{w}w_1) = k$ ,  $v^*$  is the desired vector.

**COROLLARY.** *Let  $x$  and  $y \in L$ . If*

- 1)  $x \equiv y \pmod{L_k}$ , and
- 2)  $x \approx y \pmod{L_{k+1}}$

*then there exists  $\varphi \in O(L)$ , such that  $\varphi(x) \equiv y \pmod{L_{k+1}}$ .*

*Moreover, if  $x$  is primitive modulo  $L_{k+1}$ , we can choose  $\varphi \in O_k(L)$ .*

*Proof.* By (3) of Lemma 3, we may assume that  $x$  is primitive modulo  $L_{k+1}$ . Write  $x = pz + v$  where  $v$  is simple,  $\text{ord}(v) \leq k$ , and  $v$  is either orthogonal or isotropic. If  $w = v + y - x$ , then  $y = pz + w$ . Since  $x \equiv y \pmod{L_k}$ ,  $v \equiv w$

(mod  $L_k$ ). By Lemma 3,  $v \approx w \pmod{L_{k+1}}$ . By Lemma 4, there is an isometry  $\varphi \in O_k(L)$ , such that  $\varphi(v) \equiv w \pmod{L_{k+1}}$ . Since  $\varphi \in O_k(L)$ ,  $\varphi(pz) \equiv pz \pmod{L_{k+1}}$  and is the desired isometry.

For  $x, y \in L$  we shall write  $x \approx y$  if there is  $\varphi \in O(L)$  such that  $\varphi(x) = y$ .

**THEOREM 1.** *Given  $x, y \in L$ . Then  $x \approx y$  if and only if*

$$(2.3) \quad x \approx y \pmod{L_k} \quad \text{for all } k.$$

*Proof.* By dividing  $x$  by  $p$ , we may assume that  $x$  and  $y$  are both primitive in  $L$ . We shall construct a convergent sequence of isometries  $\varphi_k$ , such that  $\varphi_k(x) \equiv y \pmod{L_k}$ . Then for  $\varphi = \lim_{\rightarrow} \varphi_k$ ,  $\varphi(x) = y$ .

The classical Witt's theorem for fields gives  $\varphi_1$  such that  $\varphi_1(x) = y \pmod{L_1}$ .

Assume that we have  $\varphi_k$ , such that  $\varphi_k(x) \equiv y \pmod{L_k}$ . Since  $\varphi_k$  is an isometry, by Lemma 3,  $\varphi_k(x) \approx y \pmod{L_{k+1}}$ . By the above corollary, there is a  $\chi \in O(L)$ , such that  $\chi\varphi_k(x) \equiv y \pmod{L_{k+1}}$ . Let  $\varphi_{k+1} = \chi\varphi_k$ . For large  $k$ ,  $x$  is primitive modulo  $L_k$ , and we can choose  $\chi \in O_k(L)$ , and, thus, the sequence converges.

*Remark 2.* If  $x^2 = y^2$  and  $x \approx y \pmod{L_k}$ , for large enough  $k$ , then automatically  $x \approx y \pmod{L_j}$  for all  $j > k$ . So if we add the to theorem the hypothesis that  $x^2 = y^2$ , we need (2.3) for only a finite number of  $k$ . Also, by Remark 1, we would then need (2.3) for only those  $k$  for which the primality of  $x$  or  $y$  changes in passing from  $L_{k-1}$  to  $L_k$ . This theorem gives Rosenzweig's Theorem.

**Section 3.** We now extend the results of Section 2 to arbitrary submodules. We first generalize Lemma 1.

*Definition.* A set of simple vectors  $v_1, \dots, v_n$  will be called *completely orthogonal* if

- 1) each  $v_i$  is either orthogonal or isotropic, and
- 2)  $L = \{v_1\} \perp \dots \perp \{v_r\} \perp \{v_{r+1}, v_{r+1}^*\} \perp \dots \perp \{v_n, v_n^*\} \perp K$  for some simple isotropic vectors  $v_i^*$ .

*Note.*  $\text{ord}(a_1v_1 + \dots + a_nv_n) = \min \{\text{ord}(a_iv_i)\}$ .

For convenience we shall extend the definition of simple vector to allow some of the  $v_i$  to be the zero vector.

**LEMMA 5.** *Every submodule  $M$  has a basis  $pz_1 + v_1, \dots, pz_n + v_n$  where the  $v_i$  are completely orthogonal simple vectors.*

*Proof.* If  $M$  contains any vector  $y = pz + v$  where  $v$  is orthogonal, then  $\text{ord}(yv) = \text{ord}(pzv + v^2) = \text{ord}(v^2) = \text{ord}(v)$ . Thus for any  $x \in M$ ,  $x - (xv/yv)y \in M$ . We can then write  $L = \{v\} \perp K$ , and  $M = \{pz + v\} \oplus M'$  where  $M' \subset K$ , and can then proceed by induction. Therefore assume that  $M$  contains no  $y = pz + v$  with  $v$  orthogonal.

Choose a simple vector  $w \in M + pL$  whose order is maximal, i.e. if  $v_j$  is simple and  $v_j \in M + pL$  then  $\text{ord}(w) \geq \text{ord}(v_j)$ . Then  $M = \{pz + w\} \oplus M'$  for some  $z \in L$ .

By induction on the dimension of  $M$  we have a basis  $pz + w, pz_2 + v_2, \dots, pz_n + v_n$  of  $M$  where the  $v_j$  are simple vectors completely orthogonal. Then  $\text{ord}(wv_j) > \text{ord}(v_j)$ . Otherwise,  $\text{ord}(w) \geq \text{ord}(v_j) = \text{ord}(wv_j) \geq \text{ord}(w)$ , and  $w + v_j$  would be orthogonal.

Since  $v_2, \dots, v_n$  are completely orthogonal we can write

$$L = \{v_2, v_2^*\} \perp \dots \perp \{v_n, v_n^*\} \perp K, \quad v_i^* \text{ isotropic}$$

and

$$w = a_2v_2 + \dots + a_nv_n + b_2v_2^* + \dots + b_nv_n^* + v', \quad v' \in K.$$

Since  $\text{ord}(wv_j) > \text{ord}(v_j)$ ,  $p$  divides  $b_j$ . Therefore

$$pz + w - a_2(pz_2 + v_2) - \dots - a_n(pz_n + v_n) = pz' + v'.$$

LEMMA 6. *If  $v_1, \dots, v_n$  are simple isotropic vectors, completely orthogonal,  $\text{ord}(v_i) \leq k$ , and  $\text{ord}(a_2v_2 + \dots + a_nv_n) \geq k$   $a_i \in R$ , then there exists  $\varphi \in O_k(L)$  such that*

$$\varphi(v_1) = v_1 + a_2v_2 + \dots + a_nv_n$$

$$\varphi(v_j) = v_j \text{ for } j \neq 1.$$

*Proof.* Choose simple isotropic vectors  $v_i^*$  such that  $L = \{v_1, v_1^*\} \perp \dots \perp \{v_n, v_n^*\} \perp K$ . Then define  $\varphi \in O(L)$  by  $\varphi(v_1^*) = v_1^*$  and  $\varphi(v_j^*) = v_j^* - (a_jv_j^*/v_1v_1^*)v_1^*$  and  $\varphi|_K = \text{identity}$ . Since  $(a_jv_j^*/v_1v_1^*)v_1^* \in L_k$ ,  $\varphi \in O_k(L)$ .

LEMMA 7. *Let  $v_1, \dots, v_n$  be simple vectors completely orthogonal,  $\text{ord}(v_i) \leq k$ . Let  $w_j$  be simple vectors such that*

$$1) \quad w_j \equiv v_j \pmod{L_k}.$$

$$2) \quad \text{For any } a_j \in R, \sum_1^n a_jv_j \approx \sum_j^n a_jw_j \pmod{L_{k+1}}.$$

*Then there is an isometry  $\varphi \in O_k(L)$  such that  $\varphi(v_j) \equiv w_j \pmod{L_{k+1}}$ .*

*Proof.* Suppose that  $v_1$  is orthogonal. By Lemma 4 there is an isometry  $\psi \in O_k(L)$  such that  $\psi(v_1) \equiv w_1 \pmod{L_{k+1}}$ . By properties (1) and (2) of Lemma 2,

$$\sum_1^n a_j\psi(v_j) \approx \sum_1^n a_jv_j \approx \sum_1^n a_jw_j \approx a_1\psi(v_1) + \sum_2^n a_jw_j \pmod{L_{k+1}}.$$

Since  $\psi \in O_k(L)$ , we may assume that  $v_1 = w_1$ .

Write  $L = \{v_1\} \perp K$ . Let  $w_j' = w_j - (w_jv_1/v_1^2)v_1$ . Then  $w_j' \in K$ , and  $w_j \equiv w_j' \pmod{L_{k+1}}$ . By property (2) of Lemma 3 we can complete the proof by induction.

Suppose that all the  $v_i$  are isotropic. By induction assume that  $v_2 = w_2, \dots, v_n = w_n$ . Choose simple isotropic  $v_i^*$  such that

$$L = \{v_2, v_2^*\} \perp \dots \perp \{v_n, v_n^*\} \perp K$$

and

$$w_1 = a_2v_2 + b_2v_2^* + \dots + a_nv_n + b_nv_n^* + w, w \in K.$$

By Condition 2 above  $w_1v_j \equiv v_1v_j \equiv 0 \pmod{p^{k+1}}$ . Thus  $b_jv_j^* \in L_{k+1}$ , and  $w_1 \equiv a_2v_2 + \dots + a_nv_n + w \pmod{L_{k+1}}$ . Since  $w_1 \equiv v_1 \pmod{L_k}$  and the  $v_i$  are completely orthogonal each  $a_jv_j \in L_k$ , and  $w \equiv v_1 \pmod{L_k}$ .

By Lemma 3, Condition 2, and Lemma 6,

$$w \approx w_1 - a_2v_2 - \dots - a_nv_n \approx v_1 - a_2v_2 - \dots - a_nv_n \approx v_1 \pmod{L_{k+1}}.$$

Therefore by Lemma 4 applied to  $w$  and  $v_1$  and  $K$ , there is an isometry  $\psi \in O_k(L)$  such that  $\psi(w) \equiv v_1 \pmod{L_{k+1}}$  and such that  $\psi(w_1) \equiv a_2v_2 + \dots + a_nv_n + v_1 \pmod{L_{k+1}}$ . Now Lemma 6 completes the proof.

**COROLLARY.** *Let  $M$  and  $N$  be submodules of  $L$ . Let  $\chi : M \rightarrow N$  be a linear transformation such that for all  $x \in M$ ,*

- 1)  $\chi(x) \equiv x \pmod{L_k}$
- 2)  $\chi(x) \approx x \pmod{L_{k+1}}$ .

*Suppose that  $M$  has a basis  $x_1, \dots, x_n$  such that each  $x_j$  is primitive modulo  $L_{k+1}$ . Then there is an isometry  $\varphi \in O_K(L)$  such that*

$$\varphi(x) \equiv \chi(x) \pmod{L_{k+1}}.$$

*Proof.* Let  $x_i = pz_i + v_i$  be a basis of  $M$  such that  $\text{ord}(v_i) \leq k$ . Let  $w_i = \chi(x_i) - pz_i$ . Then  $w_i \equiv v_i \pmod{L_k}$ .

For any  $x = pz + v \in M$ , if  $w = \chi(x) - pz$ , then  $v \equiv w \pmod{L_k}$ . Since  $pz + v \approx pz + w \pmod{L_{k+1}}$ , by Lemma 3,  $v \approx w \pmod{L_{k+1}}$ .

Therefore the  $v_i$  and  $w_i$  satisfy the conditions of the lemma, and there is an isometry  $\varphi \in O_k(L)$  such that  $\varphi(v_i) \equiv w_i \pmod{L_{k+1}}$ . Therefore  $\varphi(x_i) \equiv \varphi(pz_i + v_i) \equiv pz_i + w_i \equiv \chi(x_i) \pmod{L_{k+1}}$ .

**LEMMA 8.** *Let  $M$  and  $N$  be submodules of  $L$  and  $\chi : M \rightarrow N$  a linear transformation such that for all  $x \in M$ ,*

- 1)  $\chi(x) \equiv x \pmod{L_k}$
- 2)  $\chi(x) \approx x \pmod{L_{k+1}}$ .

*Then there is an isometry  $\varphi \in O(L)$  such that*

$$\varphi(x) \equiv \chi(x) \pmod{L_{k+1}}.$$

*Proof.* We shall show that there is an isometry  $\psi \in O(L)$  such that if  $x \in M$  is imprimitive modulo  $L_{k+1}$ , then

$$\psi(x) \equiv \chi(x) \pmod{L_{k+1}}.$$

We can then apply the corollary to Lemma 7 to find an isometry  $\psi' \in O_k(L)$  such that  $\psi'\psi(x) \equiv \chi(x) \pmod{L_{k+1}}$  for all  $x \in M$  primitive modulo  $L_{k+1}$ . Since  $\psi' \in O_k(L)$ , if  $x$  is imprimitive modulo  $L_{k+1}$ , then  $\psi'\psi(x) \equiv \chi(x) \pmod{L_{k+1}}$ , and the lemma would be proved.

We shall use induction to construct  $\psi$ . Assume that the lemma is true for  $k - 1$ .



Using Lemma 5, choose a basis  $x_1, \dots, x_n$  of  $M$ . Suppose that for  $i \leq s$ ,  $x_i = pz_i + v_i$  and  $\text{ord}(v_i) \leq k$ , and that for  $i > s$ ,  $x_i \equiv pz_i \pmod{L_{k+1}}$ .

Let  $M'$  be the submodule of  $L$  generated by  $x_1, \dots, x_s, z_{s+1}, \dots, z_n$ . Choose a basis  $x'_1, \dots, x'_r$  of  $M'$ . For each  $x'_i$  choose a vector  $u_i$  in  $M$  such that  $px'_i \equiv u_i \pmod{L_{k+1}}$ . Since  $\chi(u_i) \approx px'_i \pmod{L_{k+1}}$ , there is a  $y'_i$  in  $L$  such that  $\chi(u_i) \approx py'_i \pmod{L_{k+1}}$ . Now define a linear transformation  $\chi'$  on  $M'$  by setting, for each  $x'_i$ ,  $\chi'(x'_i) = y'_i$ . Then for any  $x' \in M'$  if  $px' \equiv x \pmod{L_{k+1}}$  then  $p\chi'(x') \equiv \chi(x) \pmod{L_{k+1}}$ . The key fact is that although  $\chi$  is not an isometry, if  $x \equiv u \pmod{L_{k+1}}$  where  $x$  and  $u$  are elements of  $M$ , then  $\chi(x) \equiv \chi(u) \pmod{L_{k+1}}$ . Therefore for any  $x' \in M'$ ,  $p\chi'(x') \approx \chi(x) \approx x \approx px' \pmod{L_{k+1}}$ , and so  $\chi'(x') \approx x' \pmod{L_k}$ .

Thus  $M'$  and  $\chi'$  satisfy the hypotheses of the lemma for  $k - 1$ . By induction there is an isometry  $\psi \in O(L)$  such that  $\psi(x') \equiv \chi'(x') \pmod{L_k}$ . If  $x \in M$  is imprimitive, choose  $x' \in M'$  such that  $px' \equiv x \pmod{L_{k+1}}$ . Then  $\psi(x) \equiv \psi(px') \equiv p\psi(x') \equiv p\chi'(x') \equiv \chi(x) \pmod{L_{k+1}}$ . Thus  $\psi$  is desired isometry and the lemma is proved.

**THEOREM.** *Let  $M$  and  $N$  be submodules of  $L$ , and  $\tau : M \rightarrow N$  an isometry defined on  $M$ . Then  $\tau$  extends to an isometry of  $L$  if and only if  $\tau(x) \approx x$  for all  $x \in M$ .*

*Proof.* By Theorem 1, that condition is equivalent to  $\tau(x) \approx x \pmod{L_k}$  for all  $k$ .

We shall construct a convergent sequence of isometries  $\varphi_k \in O(L)$  such that  $\varphi_k(x) \equiv \tau(x) \pmod{L_k}$ . Then for  $\varphi = \lim \varphi_k$ ,  $\varphi(x) = \tau(x)$ .

By the classical Witt's theorem for fields there is an isometry  $\varphi_1 \in O(L)$  such that  $\varphi_1(x) \equiv \tau(x) \pmod{L_1}$ .

Assume that we have an isometry  $\varphi_k$  such that  $\varphi_k(x) \equiv \tau(x) \pmod{L_k}$ . Then  $\varphi_k(x) \approx x \approx \tau(x) \pmod{L_{k+1}}$  by Lemma 3. Therefore by Lemma 8, we have  $\psi \in O(L)$  such that  $\psi\varphi_k(x) \equiv \chi(x) \pmod{L_{k+1}}$ . Let  $\varphi_{k+1} = \psi\varphi_k$ .

For large enough  $k$ ,  $M$  will satisfy the conditions of the corollary to Lemma 7. Thus for large enough  $k$ ,  $\varphi_{k+1} \in \varphi_k O_k(L)$ , and so the sequence  $\varphi_k$  converges.

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