

ASYMPTOTIC THEORY OF SINGULAR SEMILINEAR ELLIPTIC EQUATIONS

BY

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ABSTRACT. Necessary and sufficient conditions are found for the existence of two positive solutions of the semilinear elliptic equation $\Delta u + q(|x|)u = f(x, u)$ in an exterior domain $\Omega \subset \mathbb{R}^n$, $n \geq 1$, where q, f are real-valued and locally Hölder continuous, and $f(x, u)$ is nonincreasing in u for each fixed $x \in \Omega$. An example is the singular stationary Klein–Gordon equation $\Delta u - k^2 u = p(x)u^{-\lambda}$ where k and λ are positive constants. In this case NASC are given for the existence of two positive solutions $u_i(x)$ in some exterior subdomain of Ω such that both $|x|^m \exp[(-1)^{i-1}k|x|]u_i(x)$ are bounded and bounded away from zero in this subdomain, $m = (n-1)/2$, $i = 1, 2$.

1. Introduction. The semilinear elliptic equation

$$(1.1) \quad \Delta u + q(|x|)u = f(x, u), \quad x \in \Omega$$

is under consideration in an exterior domain $\Omega \subset \mathbb{R}^n$, $n \geq 2$, where $q: I \rightarrow \mathbb{R}$, $I = (0, \infty)$ and $f: \Omega \times I \rightarrow I$ are locally Hölder continuous, and $\min_{|x|=t} f(x, u)$, $\max_{|x|=t} f(x, u)$ are both nonincreasing in $u \in I$ for each $t \in I$. The main theorems in §3 are necessary and sufficient conditions for the existence of two positive solutions of (1.1) in some exterior subdomain of Ω , with specific asymptotic behavior as $|x| \rightarrow \infty$. A prototype of (1.1) is the stationary Klein–Gordon equation

$$(1.2) \quad \Delta u + q(|x|)u = p(x)u^{-\lambda}, \quad x \in \Omega,$$

where λ is a positive constant and $p: \Omega \rightarrow I$ is locally Hölder continuous.

The case that $f(x, u)$ is nonpositive and nondecreasing in u , e.g. $p(x) < 0$ and $\lambda < 0$ in (1.2), was solved earlier by Kreith and Swanson [5]. An essential difference in the case $\lambda > 0$ is that (1.2) (or (1.1)) can have a *singular solution*, i.e. a positive solution $u(x)$ such that $\lim_{x \rightarrow x_0} u(x) = 0$ for $x_0 \in \Omega$. In addition we prove the existence of two positive solutions u_1, u_2 of (1.1) such that $u_1(x)/u_2(x) \rightarrow 0$ as $|x| \rightarrow \infty$ uniformly in Ω .

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Applications of (1.2) in the case $\lambda > 0$, $q = 0$ arise in particular from boundary layer theory of viscous fluids [1, 2].

The preliminary results for nonlinear ordinary differential equations in §2 also are new, extending theorems of Taliaferro [9, 10]. These are applied in §3 to the ordinary differential equations (3.5) and (3.6), arising when the linear part of (1.1) is restricted to the radial component (3.2) and $f(x, u)$ is replaced by the radial majorants (3.1). Solutions of (3.5), (3.6) obtained in this way then satisfy the partial differential inequalities (3.12), (3.13), implying [8, p. 125] the existence of positive solutions of (1.1) with appropriate asymptotic properties.

2. Ordinary differential equations. The existence of positive solutions $y(t)$ of the ordinary differential equation

$$(2.1) \quad (r(t)y')' = h(t, y), \quad t \geq a$$

will be proved under the following assumptions:

(i) $r: [a, \infty) \rightarrow I = (0, \infty)$ is continuous and satisfies $\lim_{t \rightarrow \infty} R(t) = \infty$, where

$$(2.2) \quad R(t) = \int_a^t \frac{ds}{r(s)}; \quad \text{and}$$

(ii) $h: [a, \infty) \times I \rightarrow I$ is continuous and nonincreasing in the second variable.

A positive solution $y(t)$ of (2.1) defined in some half-line $[T, \infty)$ is called a *proper* positive solution. If $y(t)$ is a local solution of (2.1) near $t = a$ with positive initial values $y(a)$ and $y'(a)$, then $y'(t) > 0$ throughout $[a, \infty)$ since $r(t)y'(t)$ is increasing, and it is easily seen from assumption (ii) that $y(t)$ can be continued to ∞ . Furthermore, integration of $(ry')' > 0$ twice yields $y(t) \geq r(a)y'(a)R(t)$, $t \geq a$. Hence equation (2.1) always has proper positive solutions which are unbounded as $t \rightarrow \infty$.

If $y(t)$ is a proper positive solution of (2.1) defined in an interval $[t_0, \infty)$, then there are only two possibilities: Either $y'(t) < 0$ throughout $[t_0, \infty)$, or $y'(t) > 0$ throughout $[t_1, \infty)$ for some $t_1 \geq t_0$. If $y'(t) < 0$ for all $t \geq t_0$, then $\lim_{t \rightarrow \infty} y(t) = k \geq 0$ exists and is finite. Moreover, $\lim_{t \rightarrow \infty} r(t)y'(t) = 0$, for if $\lim_{t \rightarrow \infty} r(t)y'(t) = -m < 0$, then $r(t)y'(t) \leq -m$ throughout $[t_0, \infty)$, and integration implies a contradiction of the positivity of $y(t)$. If $y'(t) > 0$ for all $t \geq t_1$, integration of $(ry')' > 0$ twice gives

$$y(t) - y(t_1) \geq r(t_1)y'(t_1) \int_{t_1}^t \frac{ds}{r(s)}, \quad t \geq t_1,$$

and hence $y(t)$ is unbounded and $y(t)/R(t)$ is bounded from below by a positive constant for $t \geq t_1$.

These observations are summarized in the lemma below.

LEMMA 2.1. *Every proper positive solution $y(t)$ of (2.1) defined in an interval*

$[t_0, \infty)$ has exactly one of the following properties:

- I. $y(t)$ is strictly decreasing in $[t_0, \infty)$ with nonnegative finite limit at ∞ , and $\lim_{t \rightarrow \infty} r(t)y'(t) = 0$;
- II. $y(t)$ is strictly increasing in $[t_1, \infty)$ for some $t_1 \geq t_0$ and there exists a positive constant c such that $y(t) \geq cR(t)$ for all $t \geq t_1$.

THEOREM 2.2. Equation (2.1) has a proper positive solution which is eventually decreasing if and only if

$$(2.3) \quad \int^{\infty} R(t)h(t, c) dt < \infty$$

for some positive constant c .

Proof. If (2.1) has a positive decreasing solution $y(t)$ in $[t_0, \infty)$, there exists a constant $k > 0$ such that $y(t) \leq k$ in $[t_0, \infty)$. Integration of (2.1) twice gives

$$y(t_0) - y(t) = \int_{t_0}^t \frac{1}{r(s)} \int_s^{\infty} h(\sigma, y(\sigma)) d\sigma ds,$$

which implies that

$$\int_{t_0}^{\infty} \left[\int_{t_0}^{\sigma} \frac{ds}{r(s)} \right] h(\sigma, y(\sigma)) d\sigma = \int_{t_0}^{\infty} \frac{1}{r(s)} \int_s^{\infty} h(\sigma, y(\sigma)) d\sigma ds < \infty$$

or

$$(2.4) \quad \int_{t_0}^{\infty} R(t)h(t, y(t)) dt < \infty.$$

Since $y(t) \leq k$ and $h(t, y)$ is nonincreasing in y , (2.4) implies that $\int^{\infty} R(t)h(t, k) dt < \infty$.

Conversely, if (2.3) holds for some $c > 0$, choose $T > a$ such that $\int_T^{\infty} R(t)h(t, c) dt < c$ and consider the set of continuous functions

$$(2.5) \quad \mathcal{Y} = \{y \in C[T, \infty) : c \leq y(t) \leq 2c, \quad t \geq T\}.$$

Clearly \mathcal{Y} is a closed convex subset of the space of continuous functions $C[T, \infty)$ with the compact open topology. Let $M: \mathcal{Y} \rightarrow C[T, \infty)$ be the integral operator defined by

$$(2.6) \quad (My)(t) = c + \int_t^{\infty} \left[\int_t^s \frac{d\sigma}{r(\sigma)} \right] h(s, y(s)) ds, \quad t \geq T.$$

It is easily verified that (i) M maps \mathcal{Y} into \mathcal{Y} ; (ii) M is a continuous mapping; and (iii) $M\mathcal{Y}$ is relatively compact. Therefore M has a fixed point $y \in \mathcal{Y}$ by the Schauder-Tychonoff fixed point theorem. A standard proof shows that $y(t)$ is a solution of (2.1), which by (2.6) is necessarily positive and decreases to c as $t \uparrow \infty$.

REMARK 1. An open question is to characterize the existence of a proper positive solution $y(t)$ of (2.1) with the property $\lim_{t \rightarrow \infty} y(t) = 0$.

THEOREM 2.3. Equation (2.1) has an eventually positive solution $y(t)$ such that $y(t)/R(t)$ has a finite positive limit at ∞ if and only if there exists a positive constant c such that

$$(2.7) \quad \int^{\infty} h(t, cR(t)) dt < \infty.$$

Proof. If $y(t)$ is a positive solution of (2.1) in $[t_0, \infty)$ such that $y(t)/R(t)$ has a finite positive limit at ∞ , there exist positive constants k_1 and k_2 such that

$$(2.8) \quad k_1R(t) \leq y(t) \leq k_2R(t) \quad \text{for } t \geq t_1,$$

where $t_1 \geq t_0$ is sufficiently large. Since $r(t)y'(t)$ is increasing, it is not difficult to see that $\lim_{t \rightarrow \infty} r(t)y'(t) = \lim_{t \rightarrow \infty} y(t)/R(t)$, and hence integration of (2.1) yields

$$(2.9) \quad \int_{t_1}^{\infty} h(t, y(t)) dt < \infty.$$

It follows from (2.8) and (2.9) that $\int_{t_1}^{\infty} h(t, k_2R(t)) dt < \infty$, proving the necessity of (2.7).

The sufficiency proof is similar to that of Theorem 2.2. We can choose $T > a$ large enough so that $\int_T^{\infty} h(t, cR(t)) dt < c$, and replace (2.5) and (2.6), respectively, by

$$(2.5') \quad \mathcal{Y} = \{y \in C[T, \infty) : cR(t) \leq y(t) \leq 2cR(t), \quad t \geq T\},$$

$$(2.6') \quad (My)(t) = 2cR(t) - \int_T^t \frac{1}{r(s)} \int_s^{\infty} h(\sigma, y(\sigma)) d\sigma ds, \quad t \geq T.$$

THEOREM 2.4. Every proper positive solution $y(t)$ of (2.1) satisfies $\lim_{t \rightarrow \infty} [y(t)/R(t)] = +\infty$ if and only if

$$(2.10) \quad \int^{\infty} h(t, cR(t)) dt = +\infty \quad \text{for all } c > 0.$$

The necessity of (2.10) is proved as in Theorem 2.3. Conversely, if (2.10) holds, then $\int^{\infty} R(t)h(t, c) dt = +\infty$ for all $c > 0$ since $h(t, y)$ is nonincreasing in y . By Theorem 2.2, equation (2.1) cannot have a proper positive solution which is eventually decreasing, and hence every proper positive solution $y(t)$ must be unbounded with $\lim_{t \rightarrow \infty} [y(t)/R(t)] > 0$. This limit cannot be finite because of Theorem 2.3.

REMARK 2. Since (2.3) implies (2.7), condition (2.3) is sufficient for equation (2.1) to have two proper positive solutions $y_1(t)$ and $y_2(t)$ such that both $y_1(t)$ and $y_2(t)/R(t)$ have finite positive limits at ∞ ; and so in particular

$\lim_{t \rightarrow \infty} y_1(t)/y_2(t) = 0$. On the other hand, if (2.7) is satisfied but *not* (2.3), then every proper positive solution $y(t)$ is unbounded with $\lim_{t \rightarrow \infty} [y(t)/R(t)]$ finite and positive.

In the case of the specialization

$$(2.11) \quad (r(t)y')' = p(t)y^{-\lambda}, \quad \lambda > 0$$

of (2.1), where r is as before and $p: [a, \infty) \rightarrow I$ is continuous, conditions (2.3), (2.7) and (2.10) reduce to, respectively

$$(2.12) \quad \int^{\infty} R(t)p(t) dt < \infty,$$

$$(2.13) \quad \int^{\infty} [R(t)]^{-\lambda} p(t) dt < \infty,$$

$$(2.14) \quad \int^{\infty} [R(t)]^{-\lambda} p(t) dt = +\infty.$$

COROLLARY 2.5. *Condition (2.12) is sufficient for (2.11) to have two eventually positive proper solutions $y_1(t)$ and $y_2(t)$ such that both $y_1(t)$ and $y_2(t)/R(t)$ have finite limits at ∞ .*

COROLLARY 2.6. *If (2.13) holds but $\int^{\infty} R(t)p(t) dt = +\infty$, then $y(t)/R(t)$ has a finite positive limit at ∞ for every proper positive solution $y(t)$ of (2.11).*

COROLLARY 2.7. *Condition (2.13) is necessary and sufficient for (2.11) to have an eventually positive solution $y(t)$ such that $y(t)/R(t)$ has a finite positive limit at ∞ .*

COROLLARY 2.8. *Condition (2.14) is sufficient for every proper positive solution $y(t)$ of (2.11) to have the property that $\lim_{t \rightarrow \infty} [y(t)/R(t)] = +\infty$.*

It is possible for a solution $y(t)$ of (2.11) to be *singular* at $t_1 > a$, i.e. $y(t) > 0$ in $[t_0, t_1)$, $t_0 \geq a$, but $\lim_{t \rightarrow \infty} t_1 - y(t) = 0$. It is not difficult to prove

THEOREM 2.9. *If $0 < \lambda < 1$, then for any $t_1 > a$ there exists a singular solution of (2.11) at t_1 . If $\lambda > 1$, no singular solution of (2.11) exists, i.e. every positive solution of (2.11) is continuable to ∞ .*

The results of this section can be proved similarly in the case that $h(t, y)$ is *nondecreasing* in y . (In particular, the first inequality in (2.8) is used instead of the second inequality).

3. Elliptic equations. The following notation will be used:

$$\Omega_t = \{x \in \mathbb{R}^n : |x| > t\}, \quad t > 0.$$

Since Ω is an exterior domain, there exists $a > 0$ such that $\Omega_t \subset \Omega$ for all $t \geq a$.

Let

$$(3.1) \quad \phi(t, u) = \min_{|x|=t} f(x, u), \quad \Phi(t, u) = \max_{|x|=t} f(x, u).$$

We consider equation (1.1) in Ω under the following standing hypotheses.

HYPOTHESES FOR (1.1).

(H₁) The functions $q: I \rightarrow \mathbb{R}$ and $f: \Omega \times I \rightarrow I$ are locally Hölder continuous, where $I = (0, \infty)$;

(H₂) Both $\phi(t, u)$ and $\Phi(t, u)$ are nonincreasing in $u \in I$ for each $t \in I$;

(H₃) The linear differential equation (3.2) below is nonoscillatory in $[a, \infty)$:

$$(3.2) \quad Lz = t^{1-n} \frac{d}{dt} \left(t^{n-1} \frac{dz}{dt} \right) + q(t)z = 0.$$

Equation (3.2) is the radial component of the linear part of (1.1), i.e. $f(x, u)$ in (1.1) is replaced by 0, and Δ is replaced by the radial component in spherical polar coordinates.

By hypothesis (H₃), it is well-known that (3.2) has two eventually positive solutions $z_1(t)$ and $z_2(t)$ with $\lim[z_1(t)/z_2(t)] = 0$ as $t \rightarrow \infty$, and furthermore that L has the factorized form [4, 11]

$$(3.3) \quad Lz = \frac{1}{p_2(t)} \frac{d}{dt} \left[\frac{1}{p_1(t)} \frac{d}{dt} \left(\frac{z}{p_0(t)} \right) \right],$$

where

$$(3.4) \quad p_0(t) = z_1(t), \quad p_1(t) = [z_2(t)/z_1(t)]', \quad p_2(t) = [z_1(t)p_1(t)]^{-1}.$$

In view of (3.2) and (3.3), the ordinary differential equations

$$(3.5) \quad Ly = \phi(t, y)$$

$$(3.6) \quad Lz = \Phi(t, z)$$

have the equivalent forms

$$(3.7) \quad (p_1^{-1}(t)Y)' = p_2(t)\phi(t, p_0(t)Y), \quad Y = y/p_0(t)$$

$$(3.8) \quad (p_1^{-1}(t)Z)' = p_2(t)\Phi(t, p_0(t)Z), \quad Z = z/p_0(t)$$

of the form (2.1) in the case $r(t) = p_1^{-1}(t)$, $R(t) = z_2(t)/z_1(t)$. (An additive constant can be ignored.) Conditions (2.3) and (2.7) applied to (3.8) are, respectively

$$(3.9) \quad \int_0^\infty p_2(t) \frac{z_2(t)}{z_1(t)} \Phi(t, cz_1(t)) dt < \infty$$

$$(3.10) \quad \int_0^\infty p_2(t) \Phi(t, cz_2(t)) dt < \infty$$

for some positive constants c .

THEOREM 3.1. *Condition (3.9) is sufficient for equation (1.1) to have a positive solution $u \in C_{loc}^{2+\alpha}(\Omega_T)$ for some $T \geq a$, $0 < \alpha < 1$, such that $u(x)/z_1(|x|)$ is bounded and bounded away from zero in Ω_T .*

Proof. The sufficiency proof of Theorem 2.2 shows that equation (3.8) has an eventually decreasing positive solution $Z(t)$ such that $\lim_{t \rightarrow \infty} Z(t) = c$, c as in (3.9). Since $\phi(t, u)$ is nonincreasing in u , (3.1) and (3.9) imply that

$$(3.11) \quad \int_0^\infty p_2(t) \frac{z_2(t)}{z_1(t)} \phi(t, c^* z_1(t)) dt < \infty$$

for arbitrary $c^* > c$. By Theorem 2.2 again, equation (3.7) has an eventually decreasing positive solution $Y(t)$ such that $\lim_{t \rightarrow \infty} Y(t) = c^*$. Then there exists $T \geq a$ such that $Y(t) > Z(t)$ for all $t \geq T$. Define $v(x) = z_1(|x|)Y(|x|)$ and $w(x) = z_1(|x|)Z(|x|)$ for $x \in \Omega_T$. Since (3.5), (3.6) are equivalent to (3.7), (3.8), respectively, it follows from (3.1) that $v(x)$ and $w(x)$ satisfy the differential inequalities

$$(3.12) \quad \Delta v + q(|x|)v \leq f(x, v), \quad x \in \Omega_T$$

$$(3.13) \quad \Delta w + q(|x|)w \geq f(x, w), \quad x \in \Omega_T$$

respectively. Furthermore $w(x) \leq v(x)$ throughout Ω_T and $v, w \in C_{loc}^{2+\alpha}(\Omega_T)$ for some α in $0 < \alpha < 1$ by standard regularity theory [6; §4.8] for equations (3.7), (3.8), in view of the assumed local Hölder continuity of the coefficients in (3.2), (3.7), (3.8). A theorem of Noussair and Swanson [8, p. 125] applied to (3.12), (3.13) shows that equation (1.1) has a positive solution $u(x) \in C_{loc}^{2+\alpha}(\Omega_T)$ satisfying $w(x) \leq u(x) \leq v(x)$, $x \in \Omega_T$. This solution $u(x)$ evidently has the stated boundedness properties in Theorem 3.1.

The following theorem is proved by virtually the same procedure, applying Theorem 2.3 instead of Theorem 2.2.

THEOREM 3.2. *Condition (3.10) is sufficient for equation (1.1) to have a positive solution $u \in C_{loc}^{2+\alpha}(\Omega_T)$ for some $T \geq a$, $0 < \alpha < 1$, such that $u(x)/z_2(|x|)$ is bounded and bounded away from zero in Ω_T .*

COROLLARY 3.3. *Condition (3.9) is sufficient for the existence of two positive solutions $u_i \in C_{loc}^{2+\alpha}(\Omega_T)$ for some $T \geq a$, $0 < \alpha < 1$ such that each of $u_i(x)/z_i(|x|)$ is bounded and bounded away from zero in Ω_T , $i = 1, 2$.*

As an example of (1.1), consider the semilinear equation

$$(3.14) \quad \Delta u - k^2 u = p(x)u^{-\lambda}, \quad x \in \Omega,$$

where $k \geq 0$ and $\lambda > 0$ are constants and $p \in C_{loc}^\alpha(\Omega)$. In this case (3.1) reduces to

$$\phi(t, u) = p_*(t)u^{-\lambda}, \quad \Phi(t, u) = p^*(t)u^{-\lambda},$$

where

$$p_*(t) = \min_{|x|=t} p(x), \quad p^*(t) = \max_{|x|=t} p(x).$$

Case I: $k = 0$. The solutions $z_1(t), z_2(t)$ of (3.2) can then be taken to be

$$\begin{aligned} z_1(t) &= 1, & z_2(t) &= \log t & \text{if } n = 2; \\ z_1(t) &= t^{2-n}, & z_2(t) &= 1 & \text{if } n \geq 3. \end{aligned}$$

Condition (3.9) reduces to

$$(3.15) \quad \int^\infty t \log t p^*(t) dt < \infty \quad \text{if } n = 2;$$

$$(3.16) \quad \int^\infty t^\sigma p^*(t) dt < \infty \quad \text{if } n \geq 3,$$

where $\sigma = (n - 1) + \lambda(n - 2)$, and condition (3.10) reduces to

$$(3.17) \quad \int^\infty t(\log t)^{-\lambda} p^*(t) dt < \infty \quad \text{if } n = 2;$$

$$(3.18) \quad \int^\infty t p^*(t) dt < \infty \quad \text{if } n \geq 3.$$

Theorems 3.1 and 3.2 imply the following results.

COROLLARY 3.4 ($k = 0, n = 2$). *Condition (3.15) is sufficient for equation (3.14) to have a positive solution which is bounded and bounded away from zero in some domain $\Omega_T \subset \Omega$. Condition (3.17) is sufficient for (3.14) to have a positive solution $u(x)$ in some domain $\Omega_T \subset \Omega$ such that $u(x)/\log |x|$ is bounded and bounded away from zero in Ω_T .*

COROLLARY 3.5. ($k = 0, n \geq 3$). *Condition (3.18) is sufficient for (3.14) to have a positive solution which is bounded and bounded away from zero in some domain $\Omega_T \subset \Omega$. Condition (3.16) implies that (3.14) has a positive solution $u(x)$ in some domain Ω_T such that $|x|^{n-2} u(x)$ is bounded and bounded away from zero in Ω_T .*

Case II: $k > 0$. The solutions $z_1(t), z_2(t)$ of (3.2) can be taken as

$$z_1(t) = t^{-\nu} K_\nu(kt), \quad z_2(t) = t^{-\nu} I_\nu(kt), \quad \nu = \frac{n}{2} - 1,$$

where I_ν and K_ν denote the modified Bessel functions of order ν . In view of the well-known asymptotic behavior of these Bessel functions [3, p. 86], it is easily verified that (3.9) and (3.10) reduce to, respectively.

$$(3.19) \quad \int^\infty t^\rho e^{\sigma t} p^*(t) dt < \infty$$

$$(3.20) \quad \int_0^\infty t^\rho e^{-\sigma t} p^*(t) dt < \infty,$$

where $\rho = (n-1)(\lambda+1)/2$, $\sigma = k(\lambda+1)$.

COROLLARY 3.6. ($k > 0$). Condition (3.20) is sufficient for (3.14) to have a positive solution which is bounded by a constant multiple of $|x|^{(1-n)/2} e^{k|x|}$ in some domain Ω_T . Condition (3.19) implies that (3.14) has two positive solutions $u_1(x)$ and $u_2(x)$ in Ω_T for which both

$$|x|^m e^{k|x|} u_1(x) \quad \text{and} \quad |x|^m e^{-k|x|} u_2(x), \quad m = \frac{n-1}{2}$$

are bounded and bounded away from zero in Ω_T .

REMARK 3. A question naturally arises: If the integral in (3.18) diverges to ∞ , does there always exist an unbounded positive solution of equation (3.14) ($k=0$, $n \geq 3$)? There are analogous questions in the cases $k > 0$ and $n=2$. We note that the answer is affirmative in the radially symmetric case $p(x) = \bar{p}(|x|)$ because of Theorem 2.4. Consider the example

$$(3.21) \quad \Delta u = 2|x|^{\lambda-1} u^{-\lambda}, \quad \lambda > 0$$

in $\Omega = \{x \in \mathbb{R}^3 : |x| > 1\}$. Since the integral in (3.18) diverges, Theorem 2.4 shows that every positive radially symmetric solution of (3.21) is unbounded. One such solution is $u(x) = |x|$.

If the hypothesis that $\phi(t, u)$ in (3.1) is *convex* in u for each fixed $t > 0$ is added, conditions (3.9) and (3.10) become *necessary* conditions for the conclusions of Theorems 3.1 and 3.2, respectively, provided Φ is replaced by ϕ . The proof based on spherical means, Jensen's inequality for convex functions, and our results in §2, is essentially the same as in [7, 8]. If we make the additional mild assumption that

$$\sup_{t \geq a, u > 0} \frac{\Phi(t, u)}{\phi(t, u)} < \infty,$$

it follows that (3.9) and (3.10) *characterize* equations (1.1) for which solutions exist satisfying the conclusions of Theorems 3.1 and 3.2, respectively. In particular, since $p(x)u^{-\lambda}$ is convex in u , (3.15)–(3.18) are necessary and sufficient conditions for the existence of positive solutions of (3.14) with the properties stated in Corollaries 3.4 and 3.5.

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