Understanding the structure of the Psychopathy Checklist – Revised

An exploration of methodological confusion

DAVID J. COOKE, CHRISTINE MICHIE and JENNIFER SKEEM

Summary  Psychopathy is the key construct in the Dangerous and Severe Personality Disorder (DSPD) Programme. The Psychopathy Checklist – Revised is used as a primary means of selection for the programme. The Checklist confounds two distinct constructs – personality disorder and criminal behaviour. This confound is important both practically and theoretically. For example, under the criteria for DSPD it is necessary to demonstrate that personality disorder has a functional link with future risk of criminal behaviour. The confound has been exacerbated recently by claims that criminal behaviour is a core feature of psychopathic disorder. This contention is based on inappropriate analytical methods. In this paper we examine the source of this confound and illustrate how inappropriate methods can mislead.

Declaration of interest  None.

Funding detailed in Acknowledgements.

Psychopathy is the key construct in the Dangerous and Severe Personality Disorder (DSPD) Programme, yet considerable debate surrounds the core features of this construct (e.g., Cooke, et al, 2004; Cooke et al, 2003; Hare & Neumann, 2005). Within DSPD assessment, a measure of psychopathy, the Psychopathy Checklist – Revised (PCL–R; 1 Hare, 2003), is central for selection. A fundamental criticism of the PCL–R as a measure of psychopathy is that it confounds two distinct constructs – personality disorder and criminal behaviour (Lilienfeld, 1994). Failure to disaggregate the measurement of these two constructs renders it impossible to argue persuasively that psychopathic personality disorder produces criminal behaviour (Blackburn, 1988; Cooke et al, 2004). The demonstration of such a link is necessary to obtain an individual under Article 5 of the European Convention on Human Rights. Definitions of psychopathy that entail criminal behaviour have long been recognised as essentially tautological (Ellard, 1988). In this paper we argue that measures and constructs of psychopathy are confused and that the introduction of inappropriate statistical methods has led to criminal behaviour being placed at the centre of the definition of the disorder. The tautology is thereby perpetuated.

MEASURES AND CONSTRUCTS

There are significant dangers when measures and constructs are confused; this is particularly the case under operationalism, where the measure is conflated with the construct (Campbell, 1960). Scores on the PCL–R are now being confused with the construct of psychopathy (see Skeem & Cooke, 2007). This forecloses on the possibility of examining the mapping of the theoretical construct (psychopathy) onto the empirical observation (PCL–R scores). Factor analysis is a tool that can inform our understanding, given its explicit recognition that all measures are fallible indicators of constructs; manifest variables (measures) are the product both of latent variables (constructs) and error. Hence, factor analytical approaches assume that latent variables produce the thoughts, feelings and modes of behaviour that are measured or recorded by item scores plus error (Edwards & Bagozzi, 2000). Factor analysis can partition the variance associated with each item into two parts: common variance, or variance associated with latent variables, and unique variance, or variance specific to that item and random error. Factor analysis thus explicates the multivariate relationships among the latent variables (constructs) that together influence the item ratings (empirical observations).

The structure of the PCL–R and its antecedents has been the subject of some debate. The original PCL–R manual (Hare, 1991) lacked clarity about the structure of the test (Cooke & Michie, 2001). For a number of years a two-factor model dominated the literature (Harpur et al, 1988). Unfortunately, the support for this model was over-reliant on congruence coefficients; these provide inadequate tests of the similarity of factor solutions across samples. Cooke & Michie (2001), using item response theory, confirmatory factor analysis and cluster analytical methods, argued that 13 of the 20 PCL–R items are conceptually distinct and psychometrically non-redundant indicators of psychopathy. Since they were found to be relatively poor indicators of psychopathy, items that tapped antisocial behaviour largely were excluded. Cooke & Michie (2001) developed a well-fitting hierarchical structure in which the superordinate trait, psychopathy, overarched three highly correlated symptom factors: arrogant and deceitful interpersonal style, deficient affective experience and impulsive and irresponsible behavioural style (see Fig. 1). The first factor was specified by glibness/superficial charm, grandiose sense of self-worth, pathological lying, and conning/manipulative, the second factor by lack of remorse or guilt, shallow affect, callous/lack of empathy and failure to accept responsibility for own actions, and the third factor by need for stimulation/proneness to boredom, irresponsibility, impulsivity, parasitic lifestyle and lack of realistic, long-term goals. This model, despite being described by some as ‘controversial’ (Salekin et al, 2006), is conceptually coherent (Skeem & Cooke, 2007) and consistent with clinical tradition (Cooke & Michie, 2001). Moreover, it has been replicated in a number of independent samples and by independent researchers using both the PCL (Jackson et al, 2002; Skeem et al, 2003) and other measures of psychopathic traits (Andershed et al, 2002). The three-factor model also has been shown to relate to external...
There can be little doubt that the three-factor model has stimulated a number of researchers to reconsider the structure of the PCL–R and its implications for our understanding of the construct of psychopathic personality disorder. This must be regarded as positive: the definition and validity of constructs must be revisited as knowledge advances (Smith et al., 2003).

There are good reasons to reject the contention that criminal behaviour should play a central role in diagnosing psychopathy; instead, such behaviour is best viewed as a secondary feature, or sequela, of the disorder (Cooke et al., 2004, 2006). In a companion paper (Skeem & Cooke, 2007), we present conceptual (logical, theoretical) directions for resolving the debate about whether antisocial behaviour is an essential component or ‘downstream correlate’ of psychopathy. In the current paper, we focus on empirical (analytical) directions, demonstrating how the application of appropriate statistical methods is necessary to help advance understanding of psychopathy.

To inform the debate, we consider the appropriateness of various analytical strategies and demonstrate their impact on the model selected to describe the PCL–R. In the interests of transparency, we append as data supplements to the online version of this paper the code for all models tested (data supplement 2). This will allow other researchers to replicate or reject our conclusions. Our goal is to address three of the difficulties that confront the field in this debate about the structure of psychopathy. First, never are the competing models compared directly using the same dataset and the same approach to modelling. Second, the verbal descriptions of the models considered are often imprecise and thus it is hard for independent researchers to parameterise these models accurately. Third, contentious analytical approaches such as parcelling are adopted. We begin by describing the competing models, then consider key issues of method and conclude

**CRIMINAL BEHAVIOUR IN THE DIAGNOSIS OF PSYCHOPATHIC PERSONALITY DISORDER**

Hare (2003) and his colleagues (Hare & Neumann, 2005; Neumann et al., 2007) have argued against the three-factor model and proposed a number of four-factor models. Essentially, within these models the three factors of Cooke & Michie (2001) are supplemented with a fourth ‘factor’ comprising five items related to criminal behaviour, i.e. poor behavioural controls, early behavior problems, juvenile delinquency, revocation of conditional release and criminal versatility. Previously, Hare and his colleagues had argued that psychopathy and criminality are distinct but related constructs (Hart et al., 1995; emphasis in original) and that psychopathy should not be confused with antisocial and criminal behaviour (Hare, 1999).

More recently, Hare & Neumann (2005) have argued that PCL–R items that capture antisocial tendencies, including criminality, are indicators of important psychopathic traits, asserting that the ‘real core of psychopathy has yet to be uncovered’ (p. 62). They observe that the exclusion of antisocial behaviour in the three-factor model decreases the utility of the PCL–R for predicting violence and aggression (see Skeem et al., 2003). Furthermore, they assert that ‘current findings suggest that the four-factor model has incremental validity over the three-factor in predicting important external correlates of psychopathy’ (Neumann et al., 2007: p. 22). This logic is confused. Adding variables, for example, gender, age or a history of substance misuse, would also improve prediction. However, such an improvement would not imply that these characteristics are core to psychopathic personality disorder. A measure’s validity in representing the construct of psychopathy should not be confused with its utility in predicting deviant behaviour (Skeem et al., 2003).

We have argued elsewhere that there are good reasons to reject the contention that criminal behaviour should play a central role in diagnosing psychopathy; instead, such behaviour is best viewed as a secondary feature, or sequela, of the disorder (Cooke et al., 2004, 2006). In a companion paper (Skeem & Cooke, 2007), we present conceptual (logical, theoretical) directions for resolving the debate about whether antisocial behaviour is an essential component or ‘downstream correlate’ of psychopathy. In the current paper, we focus on empirical (analytical) directions, demonstrating how the application of appropriate statistical methods is necessary to help advance understanding of psychopathy.

To inform the debate, we consider the appropriateness of various analytical strategies and demonstrate their impact on the model selected to describe the PCL–R. In the interests of transparency, we append as data supplements to the online version of this paper the code for all models tested (data supplement 1) together with the correlation matrix for the dataset we used (data supplement 2). This will allow other researchers to replicate or reject our conclusions. Our goal is to address three of the difficulties that confront the field in this debate about the structure of psychopathy. First, never are the competing models compared directly using the same dataset and the same approach to modelling. Second, the verbal descriptions of the models considered are often imprecise and thus it is hard for independent researchers to parameterise these models accurately. Third, contentious analytical approaches such as parcelling are adopted. We begin by describing the competing models, then consider key issues of method and conclude...
by presenting analyses to illustrate these issues of method.

THE COMPETING PCL–R MODELS

The two-factor model

The two-factor model proposed by Harpur et al (1988) suggests that the interpersonal and affective items of the PCL–R coalesce to form a factor described as ‘the selfish and remorseless use of others’ (Hare, 1991, p. 76) and the items relating to behavioural instability, lack of planfulness and criminal behaviour coalesce to form a factor described as ‘the chronically unstable and antisocial lifestyle; social deviance’ (Hare, 1991: p. 76). The use of modern techniques of confirmatory factor analysis has demonstrated that this model is untenable (Cooke & Michie, 2001; Cooke et al, 2005a, b). Hare (2003) amended the two factors by adding an extra item, criminal versatility to the second factor (in the original two-factor model, this was one of three items included in PCL–R total scores, but omitted from factor scores). Below we refer to the original and amended 2-factor models. The three-factor model

The three-factor model is illustrated in Fig. 1. There are perhaps four points of emphasis regarding this three-factor model. First, the structure is hierarchical, with a superordinate construct ‘psychopathy’ that is sufficiently unidimensional to be regarded as a coherent psychopathological construct or syndrome (Cooke & Michie, 2001; Cooke et al, 2005a, b). This hierarchical structure reflects a common model of personality and personality disorder in which traits of different levels of generality, from general to more specific, are structured in a hierarchical manner (McCrae & Costa, 1995). Second, the three factors can be regarded as having reliable general variance as a consequence of the influence of the broad psychopathy construct shared with the other factors. In addition, however, there is reliable specific variance unique to each particular factor. The value of refining the broad construct into specific factors has advantages in that the specificity between aspects of the disorder and external variables may be clearer (Raine et al, 2000; Soderstrom et al, 2002; Dolan & Anderson, 2003; Hall et al, 2004). Thus, this hierarchical model highlights ‘differential relations between the psychopathy factors and a variety of important criteria’ (Neumann et al, 2007: p. 24), but requires that the factors investigated are actually components of the general disorder of psychopathy.

Third, although some variants of the original three-factor model exclude testlets for the sake of parsimony (Skew et al, 2003; Odgers, 2003; see Fig. 2), below the level of specific factors, and between the items, are testlets. Testlets occur when items are more highly associated than can explained by their relationship with the underlying latent trait; thus, a pair of items that form a testlet can be construed as being somewhere between one and two items (Chen & Thissen, 1997). Indeed, the use of item response theory demonstrated that all PCL–R items other than poor behavioural controls form testlets (Cooke & Michie, 2001). Testlets do not merely capture shared error variance, instead testlets are conceptually meaningful. Testlets combine specific indicators to form higher-order facets within the hierarchy of personality features.

Fourth, the model entails only 13 of the 20 PCL–R items. The 7 excluded items primarily reflect antisocial behaviour rather than core traits; it is possible to achieve maximum scores on some of these items without any evidence that the behaviour is trait-like, i.e. persistent and pervasive. These items failed to coalesce into a coherent syndrome and form a clear structure with the three factors, and generally demonstrated poor discrimination (Cooke & Michie, 1997, 2001).

The four-factor models

The current debate is frequently described as a choice between a three- and a four-factor model. This is misleading as there are two three-factor models and many four-factor models (Hare, 2003; Hare & Neumann, 2005). Frequently, authors fail to distinguish between these models and this creates conceptual confusion. We can identify at least ten four-factor – or equivalent – models in the literature. We describe these as: (a) a four-factor hierarchical model; (b) a two-factor, four-facet hierarchical model; (c) a four-factor correlated model. Since each of these models can be ‘parcelled’, we also describe a four-factor parcelled model.

A four-factor hierarchical model

Hare (2003) implied that four factors (i.e. the three factors from the Cooke & Michie (2001) model together with a criminality ‘factor’ specified by five items that tap criminal behaviours) are in a hierarchical relationship with the superordinate psychopathy factor (Fig. 3). Although Hare (2003) argued that the model ‘envelopes’ the three-factor model, to date no results have been provided to support this position.

A two-factor, four-facet hierarchical model

Hare (2003) asserted that ‘a superior test structure’ (p. 85) is one in which two superordinate factors (i.e. minimal modifications of the original two factors) are interposed between the four factors and the superordinate psychopathy construct (Fig. 4). Although Hare (2003) asserts that this is an improvement over ‘a model that goes directly from factors to the overall superordinate factor’ (p. 85), no results are provided to support this contention. Support is particularly important because the model specified by Hare (2003: Fig. 7.1) has several equivalents (models that yield the same covariances or correlations but have different paths among the latent variables). Indeed, the two-factor, four-facet hierarchical model has six equivalent models. For example, a correlation between factor 3 and factor 4 – or indeed, any other pair of factors – is mathematically equivalent to the one that Hare (2003) selected. When a model has mathematically equivalent versions, the models cannot be distinguished statistically. No model can be shown to be statistically superior. Instead, model selection must be based on sound theory: it is an established principle that researchers must justify their preference for a particular model over mathematically identical ones (Kline, 1998; Martens, 2005).
A four-factor correlated model

A number of researchers (e.g., Hare, 2003; Hill et al., 2004; Hare & Neumann, 2005) have presented correlated factor models in which the three factors from the three-factor model, together with the fourth criminality "factor", are all inter-correlated (Fig. 5). Hence, each factor (e.g. factor 1) is correlated with all of the other factors (e.g. factors 2, 3 and 4). Neumann et al. (2007) contend that correlated factor models are superior to the hierarchical models previously offered.

Parcelled variant of the four-factor correlated model

All of these four factor models can be subjected to parcelling, a procedure where items are summed to form composites prior to factor analysis (Hare, 2003). Parcelling creates even more conceptually and mathematically distinct models. Although these are described as four-factor or four-facet models, parcelling essentially yields one-factor models (i.e. one latent factor specified by four manifest composites). For illustrative purposes, we present the parcelled two-factor four-facet hierarchical model (Fig. 6).

ISSUES OF METHOD IN TESTING MODEL FIT

The debate raises methodological issues about how best to model the structure of a test such as the PCL–R.

Correlated v. hierarchical models

The work of Hill et al. (2004) highlights the emerging difficulty in distinguishing between hierarchical and non-hierarchical models (Hare & Neumann, 2005; Neumann et al., 2007). A key feature of a hierarchical model is the demonstration that the higher order construct of interest is sufficiently unidimensional to be regarded as a coherent psychopathological syndrome. For two- or three-factor models, all correlated models are inherently hierarchical in that they are mathematically equivalent to models with a superordinate factor overarching subordinate factors. For models with four or more factors, this is no longer the case. In terms of statistical modelling, a PCL–R three-factor correlated model has three correlations among the factors, and the hierarchical model also has three loadings on the superordinate psychopathy factor. In contrast, the PCL–R four-factor correlated model has six correlations among the factors, whereas the hierarchical model has four loadings on the superordinate psychopathy factor. The hierarchical model is more parsimonious, more consistent with an overarching psychopathy factor.

Nevertheless, proponents of the four-factor model strongly favour nonhierarchical models: "we recommend using first-order models with correlated factors in future research" (Neumann et al., 2007). The assumption is that "the strong correlations between the factors . . . reveal that they are indicators for a second-order latent variable" (Neumann et al., 2007). This assumption that correlated and hierarchical models are the same is misleading. It is necessary to explicitly compare a four-factor hierarchical model with a four-factor correlated model.

This issue has fundamental conceptual importance. The three-factor hierarchical model implies that psychopathic personality disorder (the superordinate factor) is underpinned by distinct constellations of interpersonal, affective and lifestyle traits (the first-order factors): the expression of these trait constellations is caused both by the overarching disorder and specific variance associated with the factor. The four-factor correlated model does not imply the presence of an overarching disorder that produces particular symptoms. Instead, these symptoms could be merely a hodgepodge of domains that co-occur. Essentially, the correlated model implies a compound trait composed of distinct constructs without a common cause (Smith et al., 2003). For example, measures of psychopathy are often associated with indices of alcohol and drug misuse and/or addiction.
et al et al

If the authorities are right about the lack of a correlated factor model will whereas the correlated factor model will.

This is equally true for the inclusion of items that essentially are counts of antisocial behaviours in the model. If a hierarchical model fits, one could argue that antisocial behaviours are a core part of the disorder. If the correlated model fits, one can only assume that antisocial behaviours are correlated with psychopathy; perhaps a self-evident, if not trivial, observation. The distinction between correlated and hierarchical models is thus core to this debate.

There are no reasonable arguments for neglecting this distinction. Proponents of the four-factor model argue that if the four factors have differential associations with external correlates, then it would be unwise to employ a superordinate model to seek out such differential associations. This argument conflates the scoring and application of a measure (PCL-R total scores or PCL-R factor scores) with the understanding of a construct (psychopathy, which specific trait constellations). Hierarchical models represent specific factors that underpin superordinate constructs. Unlike correlated models, hierarchical models require that the specific factors included in the model be part of a coherent construct. Thus, hierarchical models have great potential for understanding both psychopathic personality disorder and its specific components.

**The use of testlets vs. correlated errors**

Local dependency occurs when there is consistency among item responses that cannot be explained by individual differences on the latent trait being measured. Testlets are groups of items that show local dependence (a testlet formed of two items may be viewed as somewhere between one and two items). Although local dependence can emerge for a variety of reasons, with a rating scale the most common reason is the overlap of item definitions. PCL-R definitions are often overlapping. For example, ‘lack of remorse or guilt’ and ‘failure to accept responsibility for actions’ both require consideration of whether the individual externalises blame. In creating the screening version of the PCL-R (the PCL-SV, Hare and colleagues recognised this issue and grouped conceptually related PCL-R items to produce distinct PCL-SV items (Hart *et al*, 1995; Cooke *et al*, 1998).

If there is consistent evidence that testlets exist within a scale this indicates local dependence. Local dependence is an undesirable property of a scale for three reasons. First, local dependence complicates the structure underpinning the data and can incorrectly challenge the assumption that a unidimensional trait underpins the test. This is crucial if data are to be subjected to parcelling. Second, local dependence leads to an overestimation of the true amount of information provided by the test. That is, the test appears to be more accurate than it actually is because

---

**Fig 3** Structure of the Psychopathy Checklist Revised. Hierarchical four-factor model. PCL, Psychopathy Checklist – Revised.

(Rutherford *et al*, 2000). Most authorities, however, would not construe substance misuse and addiction as central to psychopathy, but rather view them as associated features of the disorder (Cleckley, 1988; World Health Organization, 1992; American Psychiatric Association, 1994). This can be tested empirically by comparing the relative fit of a hierarchical vs a correlated model, each with four factors: the interpersonal, lifestyle and affective factors of the PCL-R and a fourth ‘addiction’ factor. If the authorities are right about the lack of centrality of addiction to psychopathy, the hierarchical model will not fit whereas the correlated factor model will.
information is double-counted. Third, the ratings do not allow clinicians to adequately distinguish between conceptually distinct symptoms. Although testlets can be confused with correlated errors, the two concepts are conceptually and mathematically distinct. Conceptually, unlike correlated errors, testlets explicitly describe the measurement model, specifying theoretically meaningful sub-facets within the hierarchical description of the disorder. For example, 'pathological lying' and 'conning/manipulative' combine to describe a deceptive interpersonal style. This was implicitly acknowledged when these two PCL–R items were combined to create the one PCL–SV item called ‘deceitful’ (Hart et al., 1995). Correlated errors are more opaque – they do not provide this additional level of description. Mathematically, testlets are more elegant than correlated errors. A model with a three-item testlet is more parsimonious than a model with three items with correlated errors that load on the same factor: the former requires two parameters, the latter three parameters.

We are criticised for including testlets in our three-factor model (Neumann et al., 2007). In our view, any attempt to provide an accurate model of the structure of the PCL–R should consider testlets, even if only to reject the need for their inclusion in any model.

The use of parcelling
In structural equation modelling a parcel is an aggregate-level indicator derived by combining individual items (e.g. adding individual PCL–R items to derive a new manifest variable; Little et al., 2002). This is a controversial technique (Bandalos, 2002; Little et al., 2002). Proponents of the technique argue that parcelling has two broad advantages. First, combining
Opponents of parcelling point out five problems. First, parcelling can obscure the multidimensional nature of the items. Bandalos (2002) noted that when the assumption of unidimensionality is not met (an assumption that is rarely tested) ‘the use of parcels can obscure rather than clarify the factor structure of the data’ (p. 80). This is clearly a problem when there is evidence of local dependency, as there is with the PCL–R items (Cooke & Michie, 2001). Second, the improvement in fit is more apparent than real; models that do not fit at an item level can be made to appear to fit with parcelling. Bandalos & Finney (2001) noted that parcelling improves model fit, irrespective of whether the model is specified correctly or not; this also reduces our ability to detect mis-specified models. Kim & Hagtvet (2003) demonstrated empirically that when parcellled and item models were compared, the parcellled models yielded better fit statistics. Unlike the item models, the parcellled models pointed to the acceptance of mis-specified models. Third, Bandalos (2002) reported that parcelling can bias estimates of structural parameters (e.g. path coefficients; ‘factor loadings’). Fourth, comparisons of factor structure across groups for parcelled variables vary considerably from those based on individual items (Baggozi & Edwards, 1998). This will affect our understanding of important issues, including cross-cultural variation in psychopathy and variation across gender, age and race. Fifth, even if the use of parcelling is defensible statistically, from a clinical perspective it can result in the loss of important information about the condition being considered (Little et al, 2002). When one sums across several items and then examines the relation between that sum (e.g. parcellled interpersonal facet) and an external variable (e.g. dominant behaviour), it is impossible to know that one aspect of the sum (e.g. grandiosity/charm) strongly predicts the external variable, whereas the other (e.g. deception) is unrelated. Data aggregation results in a loss of information.

When is it legitimate to use parcelling to analyse PCL–R data? Justification of the approach depends on (a) the purpose of the analysis and (b) the analytical strategy adopted before parcelling is undertaken. Little et al (2002) noted that ‘careful delineation of the goals of the study is clearly the paramount issue’ (p. 6). If the purpose of the analysis is to explicate the interrelations among items on a test for construct validation purposes, then parcelling is inappropriate (Rogers & Schmitt, 2004). However, if the goal is to examine the interrelations among well-established measures of latent traits then parcelling may be appropriate. In the latter case it is assumed that the structure of the latent traits is well established (i.e. is not the subject of debate) and the primary interest is in putative causal relations among the latent traits rather than the measurement model (Little et al, 2002; Rogers & Schmitt, 2004; Martens, 2005). Rogers & Schmitt (2004) warned, however, that even when a measure has been validated at the item level in terms of a measurement model, parcelling of these items can still result in ‘undesirable or unpredictable effects on estimates and fit when testing the theoretical model’ (p. 380).

If parcelling is to be attempted, an essential prerequisite is an analysis of the dimensionality of the parcel (Bandalos & Finney, 2001; Bandalos, 2002; Little et al, 2002; Rogers & Schmitt, 2004). Unfortunately, this is more honoured in the breach than the observance. Kim & Hagtvet
(2003) demonstrated that the unidimensionality of a parcel must be established before it is entered into a more complex model. In the absence of unidimensionality, the structural relations among latent traits cannot be interpreted (Little et al., 2002). Kim & Hagtvet (2003) propose a method that explicitly models the single item and parcel indicators simultaneously, allowing a comprehensive evaluation of the unidimensionality of the parcel. Interestingly, this approach is formally equivalent to the testlet approach adopted by Cooke & Michie (2001). The three-factor approach with testlets provides greater understanding of the PCL–R items than a four-factor parcelled approach.

In summary, our understanding of the structure of the PCL–R, and to some degree our understanding of psychopathy, may be adversely influenced by the application of inappropriate methods for specifying the basic framework of the measurement model (correlated rather than hierarchical factors) and specific components (parcels rather than items and/or testlets).

**DEMONSTRATION OF METHOD ISSUES**

We now report a series of analyses of PCL–R data on a large sample of male offenders to illustrate the impact of using correlated υ, hierarchical models, of parcelling variables prior to model fitting and of modeling local dependency with testlets. Overall these analyses demonstrate that appropriate methods are necessary for appropriate conclusions.

**Participants**

The sample comprised a total of 1212 adult male offenders. The largest subsample comprised 608 adult male offenders from seven prisons in Her Majesty’s Prison Service (HMPS) in England and Wales, selected to be representative of the HMPS population. Additional subsamples included a representative sample of 246 offenders from the Scottish Prison Service (Cooke & Michie, 1999), a stratified random sample of 253 offenders from Scotland’s largest prison (Michie & Cooke, 2006) and a sample of 105 incarcerated Scottish offenders who volunteered to participate in a study of early childhood experiences (Marshall & Cooke, 1998). Only complete cases were used (n=827) to ensure that the same data were used irrespective of the model being tested.

**The procedure**

The PCL–R ratings were made according to instructions in the test manual (Hare, 1991). All PCL–R evaluations were conducted by trained raters.

**Confirmatory factor analysis**

Confirmatory factor analysis permits quantification of a particular factor structure’s fit within a particular sample. We assessed quality of fit using multiple indices, as each index has limitations (Kline, 1998; MacCallum & Austin, 2000). Different aspects of fit were evaluated, including absolute fit (χ²), fit adjusted for model parsimony (non-normed fit index, NNFI) and fit relative to a null model (comparative fit index, CFI), and root mean square error of approximation (RMSEA). Following convention, the criterion for adequate

4. Another problem in the literature is the proliferation of underpowered studies. Confirmatory factor analysis requires moderate-to-large samples (Kline, 1998). Many of the attempts to explore the structure of the PCL measures have been seriously underpowered in terms of sample size, with samples at, or even well below, 150 individuals (e.g. Jackson et al., 2002; Hallet et al., 2004; Salekin et al., 2006; Vitacco et al., 2006). Kline (1998) provides guidance on the issue and indicates that 20 cases per free parameter is desirable; 10 is just acceptable and the statistical stability with a 5:1 must be regarded as suspect. The three-factor hierarchical model with testlets has 36 free parameters, suggesting a minimum sample size of between 360 and 720. The four-factor hierarchical model has 40 free parameters (minimum n=400–800); the four-factor correlated model has 42 free parameters (minimum n=420–840) and the two-factor, four-facet hierarchical model has 41 free parameters (minimum n=410–820). Underpowered studies will mislead (Floyd & Widaman, 1995). In addition to the problem of lack of stability is the problem of Heywood cases. Small samples are prone to improper solutions in which estimated correlations are greater than 1 or estimated error variances are less than 0. Solutions may also fail to converge.
Models without testlets

Hierarchical three-factor with testlets

- Models without testlets
- One-factor
- Two-factor traditional
- Two-factor amended
- Hierarchical three-factor
- Hierarchical four-factor
- Hierarchical two-factor, four-facet
- Correlated four-factor
- Two-factor, four-facet parcelled
- Two-factor, four-facet ‘wrong’ factor
- Two-factor, four-facet parcelled ‘wrong’ factor

Comparison of models

We started our analysis by estimating a one-factor model with all 20 items loading on a single latent trait. Fit statistics (Table 1) indicate that this is not a plausible model. We then tested the traditional two-factor model, which contains 8 items that load on the factor described as ‘the selfish, callous and remorseless use of others’ and 9 items that load on a factor termed ‘the chronically unstable and antisocial lifestyle; social deviance’ factor (Harpur et al., 1998). Fit statistics (Table 1) indicate that this too is not a plausible model. We then tested the amended two-factor model (Hare, 2003), i.e. we added the item ‘criminal versatility’ to the second factor. Fit statistics (Table 1) again indicate that this is not a plausible model.

We then fitted the full three-factor hierarchical model with testlets (Cooke & Michie, 2001). This model achieves a close fit with a CFI of 0.96 and an RMSEA of 0.05 (Table 1).

Examining the fit of the fourth criminality ‘factor’

We then tested the three unparcellled four-factor models described in the literature; the four-factor hierarchical model, the two-factor, four-facet hierarchical model and the four-factor correlated model. None of these models achieve conventionally acceptable levels of fit (Table 1). Their level of fit is poorer than the level of fit achieved by even the degraded three-factor model.

Exploring the impact of parcelling

Parcelling, or adding individual items together prior to model fitting, was used to achieve the fit indices presented for the four-factor models in the PCL–R manual (Hare, 2003: Figs 7.1, 7.3, 7.4). A prerequisite to parcelling is to demonstrate unidimensionality for the items being parcellled (Bandalos, 2002; Kim & Hagvret, 2003; Rogers & Schmitt, 2004). The presence of testlets in PCL–R data (Cooke & Michie, 2001) means that this assumption is not met; that is, multiple latent constructs are tapped by items that are parcellled within individual factors of the four-factor models.

---

**Table 1** EQS categorical variables

<table>
<thead>
<tr>
<th>Model</th>
<th>Sartora–Bentler $\chi^2$</th>
<th>d.f.</th>
<th>AIC</th>
<th>NFI</th>
<th>NNFI</th>
<th>CFI</th>
<th>RMSEA</th>
</tr>
</thead>
<tbody>
<tr>
<td>Hierarchical three-factor with testlets</td>
<td>180</td>
<td>56</td>
<td>68</td>
<td>0.94</td>
<td>0.94</td>
<td>0.96</td>
<td>0.05</td>
</tr>
<tr>
<td>Models without testlets</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>One-factor</td>
<td>1497</td>
<td>170</td>
<td>1157</td>
<td>0.69</td>
<td>0.68</td>
<td>0.71</td>
<td>0.10</td>
</tr>
<tr>
<td>Two-factor traditional</td>
<td>743</td>
<td>118</td>
<td>507</td>
<td>0.82</td>
<td>0.82</td>
<td>0.84</td>
<td>0.08</td>
</tr>
<tr>
<td>Two-factor amended</td>
<td>948</td>
<td>134</td>
<td>680</td>
<td>0.79</td>
<td>0.79</td>
<td>0.82</td>
<td>0.09</td>
</tr>
<tr>
<td>Hierarchical three-factor</td>
<td>277</td>
<td>62</td>
<td>153</td>
<td>0.91</td>
<td>0.91</td>
<td>0.93</td>
<td>0.06</td>
</tr>
<tr>
<td>Hierarchical four-factor</td>
<td>669</td>
<td>131</td>
<td>407</td>
<td>0.85</td>
<td>0.86</td>
<td>0.88</td>
<td>0.07</td>
</tr>
<tr>
<td>Hierarchical two-factor, four-facet</td>
<td>629</td>
<td>130</td>
<td>369</td>
<td>0.86</td>
<td>0.87</td>
<td>0.89</td>
<td>0.07</td>
</tr>
<tr>
<td>Correlated four-factor</td>
<td>623</td>
<td>129</td>
<td>365</td>
<td>0.86</td>
<td>0.87</td>
<td>0.89</td>
<td>0.07</td>
</tr>
<tr>
<td>Two-factor, four-facet parcelled</td>
<td>1</td>
<td>I</td>
<td>I</td>
<td>1.00</td>
<td>1.00</td>
<td>1.00</td>
<td>0.02</td>
</tr>
<tr>
<td>Two-factor, four-facet ‘wrong’ factor</td>
<td>981</td>
<td>130</td>
<td>721</td>
<td>0.79</td>
<td>0.77</td>
<td>0.81</td>
<td>0.09</td>
</tr>
<tr>
<td>Two-factor, four-facet parcelled ‘wrong’ factor</td>
<td>1</td>
<td>I</td>
<td>I</td>
<td>1.00</td>
<td>1.00</td>
<td>1.00</td>
<td>0.00</td>
</tr>
</tbody>
</table>

AIC, Akaike information criterion; NFI, normed fit index; NNFI, non-normed fit index; CFI, comparative fit index; RMSEA, root mean square error of approximation.

5. The level of fit achieved on the development sample using this method is excellent. S-B $\chi^2 = 167$, d.f. = 56; AIC = 55; NFI = 0.98; NNFI = 0.98; CFI = 0.99; RMSEA = 0.04.

6. Some commentators have advocated the use of MPlus; the rationale for their preference is unclear. The same pattern of results was achieved using MPlus. The level of fit achieved with the three-factor model with testlets was good; $\chi^2 = 181$, d.f. = 49; NFI = 0.95; RMSEA = 0.06; for the three-factor model without testlets it was fair: $\chi^2 = 261$, d.f. = 43; CFI = 0.92; RMSEA = 0.08; and for the four-factor hierarchical model the fit was poor: $\chi^2 = 692$, d.f. = 73; CFI = 0.82; RMSEA = 0.10. Results for all models are available from the authors.

---

5. The level of fit achieved on the development sample using this method is excellent. S-B $\chi^2 = 167$, d.f. = 56; AIC = 55; NFI = 0.98; NNFI = 0.98; CFI = 0.99; RMSEA = 0.04.

6. Some commentators have advocated the use of MPlus; the rationale for their preference is unclear. The same pattern of results was achieved using MPlus. The level of fit achieved with the three-factor model with testlets was good; $\chi^2 = 181$, d.f. = 49; CFI = 0.95; RMSEA = 0.06; for the three-factor model without testlets it was fair: $\chi^2 = 261$, d.f. = 43; CFI = 0.92; RMSEA = 0.08; and for the four-factor hierarchical model the fit was poor: $\chi^2 = 692$, d.f. = 73; CFI = 0.82; RMSEA = 0.10. Results for all models are available from the authors.
To examine the effects of parcelling on our dataset, we first estimated the two-factor, four-facet hierarchical model. Fit statistics (Table 1) indicate that this does not provide an adequate fit. We then parcellled the items by adding them together within their respective factor. We tested the fit of this model and fit statistics (Table 1) reveal a very good fit, with a non-significant $x^2$ value, and a CFI of 1.0.

We next tested the potential of parcelling to mislead. To do so, we compared the fit of an incorrect model that did, and did not, involve parcelling. The incorrect model involved swapping two item pairs within the two-factor, four-facet model: ‘pathological lying’ with ‘poor behavioural controls’ and ‘irresponsibility’ with ‘failure to accept responsibility for own actions’. Therefore, in this incorrect model, 4 of the 18 items loaded on the wrong factors. We then parcellled these 4 items into the same wrong factors.

Not surprisingly, the fit statistics for the unparcellled model indicate that swapping items substantially degraded the model’s fit (Table 1). Indeed, the fit statistics suggest that this is an incorrect model. In contrast, the fit statistics for the parcellled model indicate an extremely good fit with a non-significant $x^2$ value, a CFI of 1.00 and a RMSEA of 0.00. We concluded that parcelling is an inappropriate technique when the intent is to understand the interrelations among PCL–R items.

**DISCUSSION**

These analyses yield three broad conclusions. First, of the unparcellled models, the original three-factor model with testlets achieves the best fit. Second, in keeping with the methodological literature, parcelling can achieve excellent fit even when items are placed on the wrong factor: it is thus an inappropriate analytical approach for understanding the structure of the PCL–R. Nonsensical models of PCL–R psychopathy can masquerade as ‘excellent fits’ when items are parcellled. Third, the analyses provide no evidence that models that add a criminal behaviour factor to the three-factor model achieve satisfactory fit.

**Is criminal behaviour central to the construct of psychopathy?**

Proponents of the four-factor model(s) embrace the three Cooke & Michie factors within their models. The point at dispute is the role of additional items that essentially enumerate antisocial acts. None of the non-parcellled PCL–R models that add these antisocial behaviour items achieve acceptable fit. This indicates that these models do not provide adequate measurement models for the PCL–R. This is true whether the models involve hierarchical factors or (the less demanding) correlated factors. In our view there is no compelling empirical evidence to support the conclusion that antisocial behaviour is a central feature of psychopathy.

In addition, there are good conceptual (logical, theoretical) reasons for considering antisocial behaviour to be causally downstream from psychopathic personality disorder (Cooke et al, 2004; Skeem & Cooke, 2007). First, classical clinical descriptions of psychopathy do not give a central role to antisocial behaviour (Schneider, 1950; Karpman, 1961; Arieti, 1963; Cleckley, 1988). As Blackburn (2005) noted ‘criminal behavior was not intrinsic to Cleckley’s concept’ (p. 279). Indeed, Cleckley (1988), referring to the propensity to be antisocial in general, and seriously criminal in particular, indicated that ‘such tendencies should be regarded as the exception rather than as the rule, perhaps, as a pathologic trait independent, to a considerable degree, of the other manifestations which we regard as fundamental’ (p. 262). The critical feature for Cleckley was not the occurrence of criminal behaviour itself but rather the occurrence of criminal behaviour for which the motivation is obscure. Simple counts of criminal acts cannot address this subtlety.

Second, it is plausible that the characteristic traits of psychopathy have a functional link with antisocial behaviour. Individuals who are grandiose frequently have a strong sense of entitlement that permits them to steal from, rape and exploit others. Those who lack empathy and anxiety may fail to inhibit violent thoughts and urges. Impulsivity increases the likelihood that these individuals will carry out criminal acts without considering the consequences (Cooke et al, 2004; Skeem & Cooke, 2007).

Third, specific socially deviant acts are qualitatively different from the pervasive and persistent personality traits that underpin the three factors within the PCL–R (Blackburn, 1988). As Lilienfeld (1994) noted it is important not to conflate ‘basic tendencies’ (traits) and ‘characteristic adaptations’ (specific acts).

Fourth, antisocial behaviour has been linked to a number of mental disorders (e.g. psychotic disorders, learning disability, substance misuse and other personality disorders). It is thus a non-specific indicator (Blackburn, 1988; Skeem & Mulvey, 2001). Theories of crime have implicated a multitude of factors in relation to antisocial behaviour (Gottfredson & Hirschi, 1990).

We would argue that there are thus strong theoretical and empirical reasons for excluding measures of criminal and antisocial acts from attempts to measure the construct of psychopathy; not least because it represents significant construct drift (Blackburn, 2005).

**The importance of understanding the structure of a measure**

Some commentators have argued that the three-factor model differs very little from the two-factor model and it is of little importance whether two-, three-, or four-factor models are used. For example, Jones, et al (2007) expect the three- and four-factor models to ‘perform alike’ because the ‘models are quite similar’. They opine
that the decision to use either model will hinge on personal preference or ‘researchers’ underlying conceptualisation of psychopathy’. We disagree. Given that the set of symptoms being modelled is the same, the content of any derived structure will inevitably be similar. This does not mean that the underlying structures are the same. Obtaining greater understanding of the structural properties of a disorder can yield many advantages (Watson et al., 1994).

First, it can serve as a starting point for the identification of fundamental psychological structures or processes. Structural research on IQ tests revealed distinct verbal and spatial factors; subsequent neuropsychological research indicated that these factors measured separate neural sub-systems (Watson et al., 1994).

Second, understanding structure can inform theories of causation: are the distinct facets products of some common underlying tendency towards psychopathy or are they not true facets but merely a number of distinct constructs without a common cause?

Third, explication of the structure can improve investigations of construct validity. If items are not grouped into unidimensional constructs, their relation to other variables in the nomological net may not be readily apparent. Associations with cognitive variables based on a broad measure of a construct effectively average the associations underpinned by distinct factors; it is not clear which part of the measure drives the association, with the average association frequently being weaker than that of the strongest factor.

Fourth, an appreciation of structure can improve scales; and can provide direction on where new variables should be added to improve construct representation or removed to reduce construct-irrelevant variance (Lilienfeld, 1994; Floyd & Widaman, 1995; Little et al., 2002). Construct under-representation occurs when a measure fails to capture key aspects of the latent construct: it has been argued elsewhere, for example, that the PCL–R fails to adequately assess problems of self, attachment and interpersonal style which are central to the construct of psychopathy (Cooke et al., 2006). Construct-irrelevant variance occurs when the measure captures aspects of latent constructs other than the target latent construct. It is our contention (Cooke et al., 2004; Skeem & Cooke, 2007) that the inclusion of counts of criminal and other antisocial behaviour in the PCL–R represents construct-irrelevant variance.

Conclusion

The validation of a construct is never complete. Validation is important for reasons of theory and for reasons of practice. The field is in danger of falling into the trap of operationalism: conflating a fallible measure of psychopathy (PCL–R) with the construct of psychopathy. Psychopathy and criminal behaviour are distinct constructs. If we are to understand their relationships and, critically, whether they have a functional relationship, it is essential that these constructs are measured separately. This is particularly critical within the context of the DPSD project, where individuals are retained because of the assumption of a functional link between their personality disorder and the risk that they pose. Recently, we have endeavoured to develop a more comprehensive model of the construct of psychopathy. Using clinical informants and a trait-descriptive adjectival approach we have identified – after numerous iterations – a list of 33 symptoms that are grouped rationally into six domains of functioning (interpersonal attachment; behavioural; cognitive; interpersonal dominance; emotional; and self). This model is currently being subjected to empirical evaluation.

This study is not without limitations. First, we were not able to test the various models on the data from the PCL–R manual (Hare, 2003). Second, we were unable to demonstrate the chief problem inherent in correlated (rather than hierarchical) PCL–R model; that any correlate, whether essential to psychopathy or not, will fit. In future research, we will determine whether adding a non-psychopathic factor (e.g. addiction) to core PCL–R factors yields adequate fit indices in correlated factor models and (appropriately) poor fit indices in hierarchical models. Third, the results focus only on adult males prisoners; the generalisability of the results to other groups, including female offenders, remains unclear (Forouzan & Cooke, 2005).

Acknowledgements

D.C. received support from the Research and Development Directorate of the Greater Glasgow Primary Care NHS Trust. We thank Brian Rae for his continued support and Stephen Hart for his comments and suggestions for particular analytical strategies.

References


