

AN IDENTITY PROPERTY FOR 2-COMPLEX PAIRS

MICHAEL P. HITCHMAN

Department of Mathematical Sciences, Lewis & Clark College, Portland, OR 97219, USA

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Abstract. An identity property defined for a pair of 2-complexes (Y, X) first arose in 1993 within a strategy for constructing a counterexample of infinite type to Whitehead's Asphericity Conjecture. In this note we make use of the theory of pictures to characterize a more general right N -identity property, where $N < \pi_1 Y$. We also define combinatorial asphericity (CA) for the pair (Y, X) and determine a test for (CA) in the case that Y is obtained from X by the addition of a single 2-cell. This test can be used to determine an explicit generating set for $\pi_2 Y$.

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1. Introduction. In this note we study an identity property for a pair of 2-complexes, (Y, X) , where Y is obtained from X by the addition of 2-cells. This property is a natural generalization of the (absolute) identity property for a 2-complex and first arose in the context of a question of J.H.C. Whitehead [15]: is every subcomplex of a connected, aspherical 2-complex itself aspherical? Much research has been conducted regarding this still unanswered question (see [4] for a good survey of this research). One strategy for building a counterexample to the Whitehead conjecture (which asserts the answer to his question is “yes”) is to construct an infinite chain of 2-complexes $X_1 \subset X_2 \subset \cdots X_i \subset X_{i+1} \subset \cdots$ in which $\pi_2 X_1$ is not trivial, but $\pi_2(X_i) \rightarrow \pi_2(X_{i+1})$ is trivial for each $i \geq 1$ (see [12]). In [8], Dyer introduces the identity property within a strategy for constructing such a chain of spaces. In this strategy, the identity property is used to replace the homotopy requirement ($\pi_2 X_i \rightarrow \pi_2 X_{i+1}$) with a homological one that is perhaps more accessible. For the reader's convenience we describe this strategy in some detail below, in Section 3.

We may recover the (absolute) identity property for a 2-complex Y by considering the pair $(Y, Y^{(1)})$, where $Y^{(1)}$ is the 1-skeleton of Y . This absolute identity property is the traditional way to detect asphericity of a 2-complex. More generally, if N is a subgroup of the fundamental group of Y , the right N -identity property approximates asphericity. That is, Y has the right N -identity property if and only if $\pi_2 Y \rightarrow H_2 Y_N$ is trivial, where Y_N is the cover of Y corresponding to N . The right N -identity property has been the focus of much attention (see [7] for a good survey article), and it arises naturally in the context of the Whitehead conjecture. A deep result of J.F. Adams [1] says that a subcomplex X of an aspherical 2-complex has the right P -identity property, for some perfect subgroup P of the fundamental group of X .

In this paper we use pictures to define a right N -identity property for the pair (Y, X) , where N is a subgroup of $\pi_1 Y$. We will always view our 2-complexes as arising from group presentations in the standard way, and it is often convenient to discuss the identity property in terms of a pair of group presentations $(\mathcal{Q}, \mathcal{P})$ where \mathcal{Q} is obtained from \mathcal{P} by the addition of relators. In Section 3 we present various characterizations of the N -identity property that extend known characterizations of

the absolute identity property. In Section 4 we make use of a Cockcroft property on certain disk pictures to determine a combinatorial group-theoretic characterization of the identity property.

In the last two sections we generalize to 2-complex pairs (Y, X) the notion of weakening the asphericity of a 2-complex to combinatorial asphericity. Simple mixed pictures called *relative dipoles* play an important role here. We define (Y, X) to be combinatorially aspherical (CA) if $\pi_2 Y$ is generated (over $\pi_2 X$) by a set of relative dipoles. In the case Y is obtained from X by adding a single 2-cell, we prove a simple test for determining an explicit set of generators for $\pi_2 Y$ (over $\pi_2 X$).

2. Pictures. If Y is the model of the presentation $\mathcal{Q} = \langle \mathbf{x} : \mathbf{r}, \mathbf{s} \rangle$, then elements of $\pi_2 Y$ are represented by spherical pictures over \mathcal{Q} . We refer the reader to [5] and [13] for two thorough treatments of pictures, but we outline some key features here.

Any oriented transverse path γ in a picture \mathbf{B} defined over \mathcal{Q} determines a word, $\omega(\gamma) \in F(\mathbf{x})$, from the labels of the arcs it traverses. If γ_i and γ'_i are distinct transverse paths from the global basepoint of \mathbf{B} to the basepoint of a disk Δ_i in \mathbf{B} , then they may determine distinct words in F . However, an important feature of pictures is that these words determine the same element of the group presented. This fact is essentially due to a pictorial version of van Kampen’s Lemma.

LEMMA 2.1. (van Kampen) *Suppose $\mathcal{P} = \langle \mathbf{x} : \mathbf{r} \rangle$ presents the group G . For any word $w \in F(\mathbf{x})$, there exists a picture \mathbf{B} over \mathcal{P} with $\partial\mathbf{B} = w$ if and only if w has trivial image in G .*

If \mathbf{B} is a picture over \mathcal{Q} , $\partial\mathbf{B}$ denotes the word in F spelled by the arcs traversed along the topological boundary of \mathbf{B} . If no arc meets the topological boundary, then \mathbf{B} is called a *spherical picture*, and its boundary label is $1 \in F$. Two pictures are *equivalent* if one can be transformed to the other by a sequence of allowable moves. These moves are of three types: insertion/deletion of a floating arc, bridge move, and insertion/deletion of a folding pair. (See [5], [13], for details.) We multiply two pictures by forming their disjoint union, and we invert a picture by taking its mirror image while changing the sign of each disk label. With these operations the equivalence classes of pictures form a group. The normal subgroup generated by spherical pictures is abelian. We write $\mathbf{P} + \mathbf{Q}$ for the product of two spherical pictures, and $\mathbf{B} \cdot \mathbf{D}$ for the product of two arbitrary pictures. Similarly, $-\mathbf{P}$ denotes the inverse of a spherical picture \mathbf{P} , while \mathbf{B}^{-1} denotes the inverse of a disk picture \mathbf{B} .

If \mathbf{P} is a spherical picture over \mathcal{Q} we let $[\mathbf{P}]$ denote the element of $\pi_2 Y$ it represents. The left ZH -module structure of $\pi_2 Y$ is induced by the following F -action on spherical pictures. For $w \in F$, $w \cdot \mathbf{P}$ is the spherical picture obtained by encircling \mathbf{P} with arcs whose labels spell w . Then we have the well-defined H -action $\bar{w} \cdot [\mathbf{P}] = [w \cdot \mathbf{P}]$, where \bar{w} is the image of w in H .

Suppose $C_*(\tilde{Y})$ is the chain complex of the universal cover \tilde{Y} of Y . The standard ZH -module injection $\mu : \pi_2 Y \rightarrow C_2(\tilde{Y})$ can be described in terms of spherical pictures as follows. Suppose \mathbf{P} over \mathcal{Q} represents $[\mathbf{P}] \in \pi_2 Y$, and that \mathbf{P} has k disks, $\Delta_1, \Delta_2, \dots, \Delta_k$, with disk Δ_i getting the label $\omega(\Delta_i)^{\epsilon_i}$ ($\epsilon_i = \pm 1$, and $\omega(\Delta_i)$ is a relator of \mathcal{Q}) for $i = 1, 2, \dots, k$. A transverse path γ_i from the global basepoint of \mathbf{P} to the basepoint of Δ_i determines a word $\omega(\gamma_i)$ from the arcs it traverses. Let h_i be the image of this word in the fundamental group H . Then

$$\mu([\mathbf{P}]) = \sum_{i=1}^k \epsilon_i h_i c_{\omega(\Delta_i)}^2.$$

3. The N-identity property for (Y, X). A spherical picture over $\mathcal{Q} = \langle \mathbf{x} : \mathbf{r}, \mathbf{s} \rangle$ can have some disks labeled by relators in \mathbf{r} , and some with labels from \mathbf{s} . We will call the former disks \mathbf{r} -disks, and the latter disks \mathbf{s} -disks. Let $N < H$ be any subgroup of H , and suppose N_F is the pre-image of N in the free group $F(\mathbf{x})$.

DEFINITION 3.1. The pair (Y, X) (or $(\mathcal{Q}, \mathcal{P})$) has the *right (resp. left) N-identity property* if every spherical picture over \mathcal{Q} has a pairing of its \mathbf{s} -disks $(i \leftrightarrow j)$ such that

$$\begin{aligned} \omega(\Delta_i) &= \omega(\Delta_j); \\ \epsilon_i &\neq \epsilon_j; \\ N_F \omega(\gamma_i) &= N_F \omega(\gamma_j) \text{ (resp. } \omega(\gamma_i) N_F = \omega(\gamma_j) N_F \text{)}. \end{aligned}$$

Implicit in the definition is a set of transverse paths $\{\gamma_i\}$ to the disk basepoints of disks with labels in \mathbf{s} . If the definition is satisfied for a particular set of transverse paths then it is satisfied for any such set. This is a consequence of van Kampen’s lemma.

If \mathbf{r} is empty (i.e., if X is the 1-skeleton of Y), then this definition matches the definition given in [7] of the right N -identity property for the two-complex Y .

If $N < H$ is normal, then the left and right identity properties coincide. In this case, we will refer to the *N-identity property*. If (Y, X) has the $\{1\}$ -identity property, then we say that (Y, X) has the *identity property*. It is this identity property that appeared in [8]. If $X = Y^{(1)}$, it is well known that the pair $(Y, Y^{(1)})$ has the identity property if and only if the 2-complex Y is aspherical.

Note that if the inclusion induced map $i_{\#} : \pi_2 X \rightarrow \pi_2 Y$ is surjective, then (Y, X) has the identity property, for if this map is surjective, then any spherical picture over \mathcal{Q} is equivalent to one without \mathbf{s} -disks. By virtue of the allowable moves on pictures, it follows that any picture over \mathcal{Q} has the requisite pairing of its \mathbf{s} -disks. Thus, for instance, if Y is aspherical and X is any subcomplex containing $Y^{(1)}$, then (Y, X) has the identity property.

For a specific example, consider $\mathcal{P} = \langle a, b : [a, b] \rangle$ and $\mathbf{s} = \{a^3\}$, so that $\mathcal{Q} = \langle a, b : [a, b], a^3 \rangle$. Then (Y, X) has the H -identity property. Indeed, in the case $N = H$, the third condition in the definition is superfluous. Thus, $(\mathcal{Q}, \mathcal{P})$ has the H -identity property if and only if the \mathbf{s} -disks of any spherical picture \mathbf{P} over \mathcal{Q} can be paired so that disks in each pair have the same label but opposite orientation. We will say such a picture has *parity in s*. In view of the well-known \mathbf{ZH} -module generators of $\pi_2 Y$ depicted in Figure 1, it follows that every spherical picture over \mathcal{Q} has parity in \mathbf{s} .

We observe from the definition that if $N_1 \subset N_2$ and $(\mathcal{Q}, \mathcal{P})$ has the right N_1 -identity property, then $(\mathcal{Q}, \mathcal{P})$ has the right N_2 -identity property as well.

Let $p : \tilde{Y} \rightarrow Y$ denote the universal covering of Y , and consider $p^{-1}(X) = X_L$, the covering of X associated to the normal subgroup $L = \langle\langle \mathbf{s} \rangle\rangle_G$. The homology sequence of the pair (\tilde{Y}, X_L) yields the following exact sequence of left \mathbf{ZH} -modules:

$$\begin{array}{ccccccc} H_2(\tilde{Y}) & & H_2(\tilde{Y}, X_L) & & H_1(X_L) & & \\ \parallel & & \parallel & & \parallel & & \\ 1 & \rightarrow & H_2 X_L & \xrightarrow{i} & \pi_2 Y & \xrightarrow{j} & \bigoplus_{s \in \mathbf{s}} \mathbf{Z} H c_s^2 \xrightarrow{\psi} H_1(L) \rightarrow 1. \end{array} \tag{1}$$

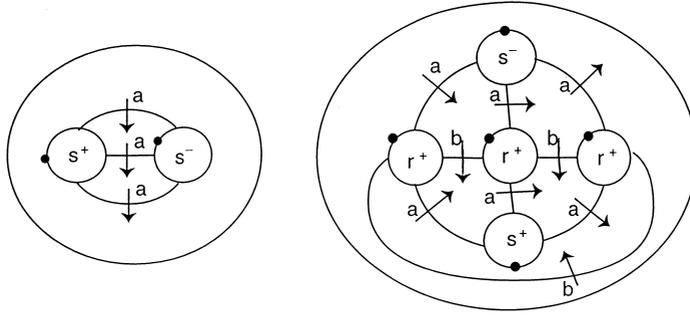


Figure 1

From now on, we will let \mathbf{ZH}^s denote $\bigoplus_{s \in \mathfrak{s}} \mathbf{ZH}c_s^2$. We have a left H -action on $H_1(L)$, induced by the conjugation action of G on L . That is, for $h \in H$, $h \cdot \bar{s}[L, L] = g\bar{s}g^{-1}[L, L]$, where $g \in G$ has image $h \in H$, and \bar{s} is the image of s in G . This action makes $H_1(L)$ into a left \mathbf{ZH} -module, called the *relative relation module* associated to $(\mathcal{Q}, \mathcal{P})$.

The maps in (1) are as follows. For $s \in \mathfrak{s}$, $\psi(c_s^2) = \bar{s}[L, L]$. The map $j : \pi_2 Y \rightarrow \mathbf{ZH}^s$ is the composition

$$j = \rho \circ \mu : \pi_2 Y \rightarrow C_2(\tilde{Y}) = \mathbf{ZH}^r \oplus \mathbf{ZH}^s \rightarrow \mathbf{ZH}^s$$

where ρ is projection onto the \mathfrak{s} -coordinates, and μ is the map defined in the previous section.

For the third map in (1) note that if $\alpha \in \mathbf{ZH}^r$ has image in $H_2 X_L$, then $(\alpha, 0) \in \mathbf{ZH}^r \oplus \mathbf{ZH}^s$ has image in $H_2(\tilde{Y})$. Let $i(\alpha) = [\mathbf{P}_\alpha]$, where the spherical picture \mathbf{P}_α is a representative of the unique class $[\mathbf{P}_\alpha]$ in $\pi_2 Y$ with $\mu([\mathbf{P}_\alpha]) = [(\alpha, 0)]$.

Given the subgroup $N < H = \pi_1 Y$, let Y_N denote the cover of Y associated to N . Let $N_G = \iota_{\#}^{-1}(N)$ be the pre-image of N in G , and build X_{N_G} , the cover of X with respect to N_G . Then X_{N_G} is a subcomplex of Y_N , and the pair (Y_N, X_{N_G}) covers (Y, X) .

DEFINITION 3.2. Let $N < \pi_1 Y$. The pair (Y, X) is *N-Cockcroft* if the composite map

$$\pi_2(Y) \xrightarrow{j} \mathbf{ZH}^s = H_2(\tilde{Y}, X_L) \xrightarrow{\rho_N} H_2(Y_N, X_{N_G})$$

is trivial, where ρ_N is the induced map from the projection $(\tilde{Y}, X_L) \rightarrow (Y_N, X_{N_G})$ of covers.

As with the identity property, we recover the N -Cockcroft property for a 2-complex Y from the N -Cockcroft property for the pair $(Y, Y^{(1)})$.

For any group N , the *augmentation ideal* $IN = \ker(\epsilon : \mathbf{ZN} \rightarrow \mathbf{Z})$, where $\epsilon(\sum n_i h_i) = \sum n_i$, $n_i \in \mathbf{Z}$, $h_i \in N$. Then $IN \cdot \mathbf{ZH}$ is the right ideal of \mathbf{ZH} consisting of all finite sums $\sum a_i b_i$, ($a_i \in IN$, $b_i \in \mathbf{ZH}$).

We may identify the quotient module $\mathbf{ZH}/IN \cdot \mathbf{ZH}$ with $\mathbf{Z} \otimes_N \mathbf{ZH}$ by the natural isomorphism $\bar{b} \mapsto 1 \otimes b$ where \bar{b} denotes the image in $\mathbf{ZH}/IN \cdot \mathbf{ZH}$ of an element b in \mathbf{ZH} (see [6, p. 34]). Also, one can check that $\mathbf{ZH}/IN \cdot \mathbf{ZH} \cong \mathbf{Z}[N \setminus H]$ where $N \setminus H$ denotes the set of right cosets of N , and that $\mathbf{Z}[N \setminus H] \cong \mathbf{Z}[N_F \setminus F]$ are naturally identified.

PROPOSITION 3.3. *The following statements are equivalent for the pair (Y, X) .*

1. (Y, X) has the right N -identity property.
2. The s -coefficients of any spherical picture \mathbf{P} over \mathcal{Q} lie in the right ideal $IN \cdot \mathbf{ZH}$.
3. (Y, X) is N -Cockcroft.

We remark that this proposition is an immediate generalization of parts of Theorem 4.2 in [7], and the proof of Theorem 4.2 may be adapted to this more general setting.

Proof. (1) \Rightarrow (2) Let \mathbf{P} over \mathcal{Q} be an arbitrary spherical picture. For $s \in \mathfrak{s}$, let b_s denote the coefficient of c_s^2 in $j([\mathbf{P}]) \in \mathbf{ZH}^s$. We must show that $b_s \in IN \cdot \mathbf{ZH}$. Suppose γ is a set of transverse paths to the disk basepoints of \mathbf{P} . As usual, let $\omega(\gamma_i)$ be the word in F determined by the path γ_i to the basepoint of Δ_i , and h_i this word's image in H . Then $b_s = \sum \epsilon_k h_k$, where the sum runs over all disks Δ_k labelled by s^{ϵ_k} ($\epsilon_k = \pm 1$). If $(\mathcal{Q}, \mathcal{P})$ has the right N -identity property then there exists a pairing of the s -disks ($i \leftrightarrow j$) such that $\epsilon_i = -\epsilon_j$ and $h_i = n_j h_j$ for some $n_j \in N$. We may then rewrite b_s as $\sum \epsilon_j (1 - n_j) h_j$ where the sum includes one term for each pair of disks labelled by s . Thus, $b_s \in IN \cdot \mathbf{ZH}$.

(2) \Rightarrow (1) We can tensor (1) by $\mathbf{Z} \otimes_{\mathbf{Z}N} -$ to obtain the next exact sequence

$$\mathbf{Z} \otimes_N H_2 X_L \xrightarrow{1 \otimes i} \mathbf{Z} \otimes_N \pi_2 Y \xrightarrow{1 \otimes j} \mathbf{Z} \otimes_N \mathbf{ZH}^s \xrightarrow{1 \otimes \psi} \mathbf{Z} \otimes_N H_1(L) \rightarrow 1.$$

Since $\mathbf{Z} \otimes_N \mathbf{ZH}^s \cong (\mathbf{ZH}/IN \cdot \mathbf{ZH})^s$, condition (2) implies the map $1 \otimes j$ is trivial, and hence $1 \otimes \psi$ is an isomorphism. But $\mathbf{Z} \otimes_N \mathbf{ZH}^s \cong \oplus_{s \in \mathfrak{s}} (\mathbf{Z}[N_F \setminus F])c_s^2$, and $\mathbf{Z} \otimes_N H_1(L) \cong \mathbf{Z} \otimes_N L/[L, L] \cong L/[N_G, L]$, so that

$$\oplus_{s \in \mathfrak{s}} (\mathbf{Z}[N_F \setminus F])c_s^2 \cong L/[N_G, L].$$

This map is given on basis elements by $c_s^2 \mapsto \bar{s}[N_G, L]$.

Now consider \mathbf{P} over \mathcal{Q} , and suppose $j([\mathbf{P}]) = \sum \epsilon_i \bar{b}_i c_{s_i}^2$ where $\epsilon_i = \pm 1$, $b_i \in G$, and \bar{b}_i is its image in H . From sequence (1), $\psi(j[\mathbf{P}]) = 0$ implies that $\sum \epsilon_i b_i \bar{s}_i b_i^{-1} [L, L] = 0$ in $H_1(L)$, so that $\sum 1 \otimes \epsilon_i b_i \bar{s}_i b_i^{-1} [L, L] = 0$ in $\mathbf{Z} \otimes_N H_1(L)$. Condition (2) implies that this element's pre-image in $\oplus_{s \in \mathfrak{s}} (\mathbf{Z}[N_F \setminus F])c_s^2$ is trivial. In particular,

$$\sum_i \epsilon_i (N_F f_i) c_{s_i}^2 = 0,$$

where f_i is the pre-image of b_i in F . So, for each s , the partial sum $\sum_{s_i=s} \epsilon_i (N_F f_i) c_{s_i}^2$ is trivial and the pairing sought necessarily exists.

(2) \iff (3) This equivalence follows from an analysis of the chain complex of the pair (Y_N, X_{N_G}) . We may identify $C_2(Y_N)$ with $\mathbf{Z} \otimes_N C_2(\bar{Y}) \cong \mathbf{Z} \otimes_N \mathbf{ZH}^{rUs}$. Similarly, $C_2(X_{N_G}) \cong \mathbf{Z} \otimes_{N_G} \mathbf{Z}G^r$, from which we may identify $H_2(Y_N, X_{N_G}) \cong C_2(Y_N, X_{N_G})$ with $\mathbf{Z} \otimes_N \mathbf{ZH}^s$. Thus, $(\mathcal{Q}, \mathcal{P})$ is N -Cockcroft if and only if $\pi_2(Y) \xrightarrow{j} \mathbf{ZH}^s \rightarrow (\mathbf{ZH}/IN \cdot \mathbf{ZH})^s$ is trivial; that is, if and only if $j([\mathbf{P}])$ has coefficients living in $IN \cdot \mathbf{ZH}$ for each \mathbf{P} over \mathcal{Q} .

One checks that the pair $(\mathcal{Q}, \mathcal{P})$ has the left N -identity property if and only if the s -coefficients of any spherical picture over \mathcal{Q} live in the left ideal $\mathbf{ZH} \cdot IN$ of \mathbf{ZH} . In

fact, $(\mathcal{Q}, \mathcal{P})$ has the left N -identity property if and only if the \mathbf{s} -coefficients of each spherical picture \mathbf{P} in a *module generating set* of $\pi_2 Y$ live in $\mathbf{ZH} \cdot IN$. We may restrict our attention to a π_2 generating set in this case precisely because $\pi_2 Y$ is a left \mathbf{ZH} -module. See [14] for a discussion of this left/right distinction in the absolute case.

For example, suppose $\mathcal{P} = \langle \mathbf{x}, t : [t, u] \rangle$ where $u \in F(\mathbf{x})$ is non-trivial, and t is a letter not in \mathbf{x} . Let $\mathbf{s} = \{tu\}$, so that $\mathcal{Q} = \langle \mathbf{x}, t : [t, u], tu \rangle$. We remark that \mathcal{Q} is Tietze equivalent to $\langle \mathbf{x} : 1 \rangle$. Thus, \mathcal{Q} is not Cockcroft, and H is isomorphic to the free group on \mathbf{x} .

If Y is the model on \mathcal{Q} , then one can check that the spherical picture over \mathcal{Q} in Figure 2 generates $\pi_2 Y$ as left \mathbf{ZH} -module.

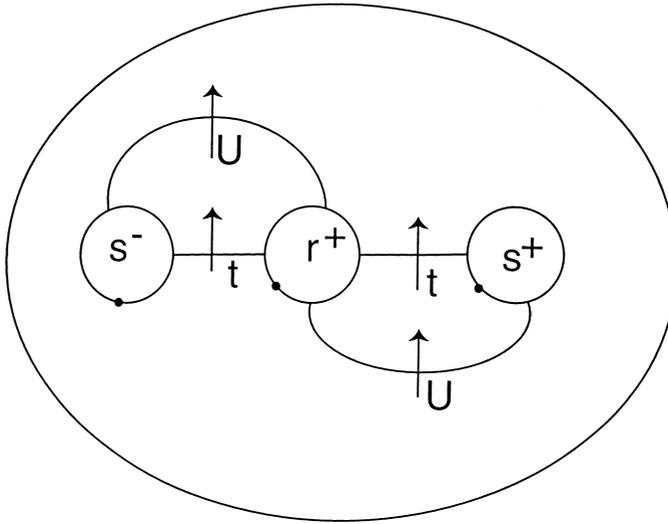


Figure 2

Note that $j([\mathbf{P}]) = (\bar{u} - 1)c_s^2$, where \bar{u} is the image of u in H , from which we see that (Y, X) has the left N -identity property for a subgroup $N < H$ if and only if $\bar{u} \in N$. On the other hand, (Y, X) has the right N -identity property if and only if $h(\bar{u} - 1) \in IN \cdot \mathbf{ZH}$ for each $h \in H$. Furthermore, (Y, X) is $\langle \langle \bar{u} \rangle \rangle_H$ -Cockcroft here, though Y itself is not Cockcroft.

In general, if $N = \{1\}$ then $IN \cdot \mathbf{ZH}$ is trivial. Thus, Proposition 3.3 ensures that $(\mathcal{Q}, \mathcal{P})$ has the identity property if and only if the map j in (1) is trivial. Consider the following diagram with commutative square, obtained from sequence (1) by adding the vertical Hopf sequence:

$$\begin{array}{ccccccc}
 & & \pi_2 X & & & & \\
 & & \downarrow & & & & \\
 1 & \rightarrow & H_2 X_L & \xrightarrow{i} & \pi_2 Y & \xrightarrow{j} & \mathbf{ZH}^s \xrightarrow{\psi} H_1(L) \rightarrow 1. \\
 & & \downarrow & & \downarrow & & \\
 & & H_2(L) & \xrightarrow{\phi} & \pi_2 Y / \text{im}(\pi_2 X) & & \\
 & & \downarrow & & & & \\
 & & 1 & & & &
 \end{array} \tag{2}$$

One checks that the map ϕ is injective, and that ϕ is surjective if and only if j is trivial. Then, the following proposition holds.

PROPOSITION 3.4. *The following statements are equivalent.*

1. (Y, X) has the identity property.
2. The map $\phi : H_2(L) \rightarrow \pi_2 Y / \text{im}(\pi_2 X)$ is a \mathbf{ZH} -module isomorphism.
3. The map $\psi : \mathbf{ZH}^s \rightarrow H_1(L)$ is a \mathbf{ZH} -module isomorphism.

REMARK 3.5. For the reader’s convenience, we now consider the strategy for constructing a counterexample to the Whitehead conjecture, as given in [8]. Suppose X is a non-aspherical 2-complex modelled on $\mathcal{P} = \langle \mathbf{x} : \mathbf{r} \rangle$ that is L_1 -Cockcroft, for some non-trivial normal subgroup $L_1 \triangleleft G = \pi_1 X$.

Consider a strictly increasing sequence of normal subgroups of G

$$\{1\} = L_0 < L_1 < \dots < L_n < L_{n+1} < \dots < G$$

and nested sets of elements of $F(\mathbf{x})$

$$\emptyset = \mathbf{s}_0 \subset \mathbf{s}_1 \subset \dots \subset \mathbf{s}_n \subset \mathbf{s}_{n+1} \dots$$

such that $L_n = \langle\langle \mathbf{s}_n \rangle\rangle_G$. Consider the family of group presentations $\mathcal{P}_n = \langle \mathbf{x} : \mathbf{r}, \mathbf{s}_n \rangle$ for each integer $n \geq 0$. ($\mathcal{P}_0 = \mathcal{P}$.) Suppose further that for each $n \geq 1$

- (i) the pair $(\mathcal{P}_n, \mathcal{P})$ has the identity property; and
- (ii) the map $H_2 X_{L_n} \rightarrow H_2 X_{L_{n+1}}$ induced by the injection $L_n \rightarrow L_{n+1}$ is trivial.

Having such a sequence of presentations, we can construct an infinite counterexample to the Whitehead conjecture as follows.

Let $\tilde{X}_n = X \cup \{c_s^2 : s \in \mathbf{s}_n\}$. If $p : \tilde{X}_n \rightarrow X_n$ is the universal cover, then $p^{-1}(X) = X_{L_n}$.

Since $(\mathcal{P}_n, \mathcal{P})$ has the identity property, $H_2 X_{L_n} \cong \pi_2 X_n$ for each n . This fact, condition (ii), and the commutative diagram

$$\begin{array}{ccc} H_2 X_{L_n} & \rightarrow & \pi_2 X_n \\ \downarrow & \circ & \downarrow \\ H_2 X_{L_{n+1}} & \rightarrow & \pi_2 X_{n+1} \end{array}$$

ensure that $\pi_2 X_n \rightarrow \pi_2 X_{n+1}$ is trivial at each stage. In this way, we build $X_\infty = \bigcup X_n$, an aspherical 2-complex having the non-aspherical subcomplex, X .

4. A group-theoretic characterization. In this section we require our subgroup $N \triangleleft H$ to be normal. Suppose \mathbf{P} is a spherical picture over \mathcal{Q} . We may focus directly upon the \mathbf{s} -disks of \mathbf{P} as follows: consider a set of paths $\{\gamma_i\}$ to the \mathbf{r} -disks of \mathbf{P} (see Figure 3). We assume that no two paths intersect except at the global basepoint. In [13] such a set of paths is called a *spray*, except that here we’re restricting a spray to the \mathbf{r} -disks. Next, cut along the boundary of this spray to obtain a disk picture having all the \mathbf{s} -disks of \mathbf{P} (if any), and boundary label reading

$$\prod \omega(\gamma_i) \omega(\Delta_i)^{\epsilon_i} \omega(\gamma_i)^{-1},$$

where the product runs over all \mathbf{r} -disks Δ_i , and $\omega(\Delta_i)^{\epsilon_i} \in \mathbf{r} \cup \mathbf{r}^{-1}$ is the label of Δ_i . In other words, we obtain a picture over the presentation $\mathcal{Z} = \langle \mathbf{x} : \mathbf{s} \rangle$ whose boundary is in R . This leads to the following notion.

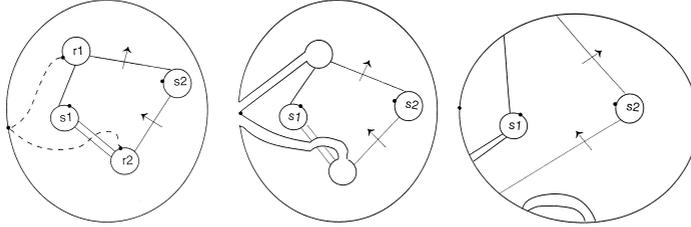


Figure 3

DEFINITION 4.1. Suppose $\mathcal{P} = \langle \mathbf{x} : \mathbf{r} \rangle$ presents the group G , $N \triangleleft G$ is a normal subgroup, and \mathbf{w} is a set of words in $F = F(\mathbf{x})$. Let N_F be the pre-image of N in F , and $R = \langle \langle \mathbf{r} \rangle \rangle_F$. Then \mathcal{P} is *N-Cockcroft (rel \mathbf{w})* if and only if any disk picture over \mathcal{P} with boundary label in $W = \langle \langle \mathbf{w} \rangle \rangle_F$ has a pairing $(i \leftrightarrow j)$ of its disks such that

$$\omega(\Delta_i) = \omega(\Delta_j), \quad \epsilon_i \neq \epsilon_j, \quad \text{and} \quad \omega(\gamma_i)\omega(\gamma_j)^{-1} \in N_F.$$

We say that \mathcal{P} is *Cockcroft (rel \mathbf{w})* if \mathcal{P} is *G-Cockcroft (rel \mathbf{w})*. We remark that a notion very similar to this (in the case $N = G$) was introduced in [2] to study Cockcroft properties of pictures arising from group constructions. The following key lemma is a generalization of a fact stated in [2] for the case $N = G$.

LEMMA 4.2. *With the notation as in 4.1, \mathcal{P} is N-Cockcroft (rel \mathbf{w}) if and only if \mathcal{P} is N-Cockcroft and $W \cap R \subset [R, N_F]$.*

Proof. First, suppose \mathcal{P} is *N-Cockcroft (rel \mathbf{w})*. Since $1 \in W$ it follows that \mathcal{P} has the *N-identity property*; that is, all *spherical* pictures over \mathcal{P} have the appropriate pairing of its disks. Thus, \mathcal{P} is *N-Cockcroft*. We must show that $W \cap R \subset [R, N_F]$.

Consider $v \in W \cap R$. Since $v \in R$, van Kampen’s Lemma guarantees a picture \mathbf{B} over \mathcal{P} having v as its boundary label. Since $v \in W$, the disks of \mathbf{B} have the prescribed pairing of Definition 4.1. We must show this forces $\partial \mathbf{B} = v \in [R, N_F]$.

Consider any spray of paths $\gamma = \{\gamma_1, \dots, \gamma_k\}$ to the disk basepoints in \mathbf{B} , where γ_i attaches to disk Δ_i . It is well known (see [13]) that the word determined by this spray, $\prod \omega(\gamma_i)\omega(\Delta_i)^{\epsilon_i}\omega(\gamma_i)^{-1}$, is freely equal to the boundary label of the picture \mathbf{B} . That is,

$$\partial \mathbf{B} = \prod \omega(\gamma_i)\omega(\Delta_i)^{\epsilon_i}\omega(\gamma_i)^{-1}.$$

But the words in this product are paired according to Definition 4.1. Armed with this pairing, one checks that the product is trivial in $F/[R, N_F]$. (An easy way to see this is to choose a spray of paths in \mathbf{B} so that paired disks are adjacent in the (clockwise) sequence of paths comprising the spray.)

Conversely, consider a picture \mathbf{B} over \mathcal{P} having boundary label $\partial\mathbf{B} \in W$. We show that \mathbf{B} has the prescribed pairing. By van Kampen's Lemma, $\partial\mathbf{B} \in R$, and so $\partial\mathbf{B} \in W \cap R \subset [R, N_F]$. It follows that $\partial\mathbf{B}$ is freely equal to a word v of the form

$$v = \prod_{i=1}^k f_i[w_i, u_i]f_i^{-1}$$

where each $f_i \in F$, each $u_i \in N_F$ and each $w_i \in R$.

Now, consider the picture \mathbf{D} in Figure 4 having boundary label equal to v .

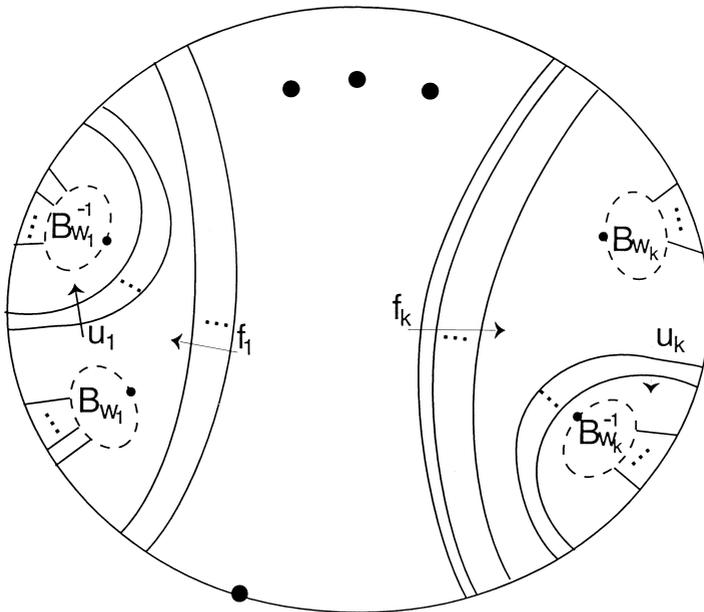


Figure 4

Each subpicture \mathbf{B}_{w_i} of \mathbf{D} is a picture over \mathcal{P} associated to $w_i \in R$ (this picture has boundary label identically equal to w_i). Notice that by pairing each disk of \mathbf{B}_{w_i} with its mirror image in $\mathbf{B}_{w_i}^{-1}$, the disks of \mathbf{D} can be paired to satisfy the conditions of Definition 4.1. Indeed, we may always choose a path from a disk of \mathbf{B}_{w_i} to its mirror image in $\mathbf{B}_{w_i}^{-1}$ whose associated word is a conjugate of $u_i \in N_F$, and hence in N_F , since N is normal.

Let \mathbf{Q} be the spherical picture associated to $\mathbf{B} \cdot \mathbf{D}^{-1}$. Since \mathcal{P} is N -Cockcroft, \mathbf{Q} has an appropriate pairing of its disks. Now the disks of \mathbf{D} may be paired appropriately as indicated above, so the subpicture \mathbf{B} has a pairing of its disks as well. •

Recall, Z is the 2-complex modelled on \mathcal{Z} , $A = \pi_1 Z$, and set $M = \langle\langle r \rangle\rangle_A$. Let $\pi_A : A \rightarrow H$ and $\pi_F : F \rightarrow H$ denote the inclusion induced maps on the fundamental groups $\pi_1 Z \rightarrow \pi_1 Y$ and $\pi_1 Y^{(1)} \rightarrow \pi_1 Y$, respectively.

THEOREM 4.3. *Suppose N is normal subgroup of H , and let $N_A = \pi_A^{-1}(N)$ and $N_F = \pi_F^{-1}(N)$. The following statements are equivalent.*

1. $(\mathcal{Q}, \mathcal{P})$ has the N -identity property;
2. \mathcal{Z} is N_A -Cockcroft (rel \mathbf{r}); and
3. \mathcal{Z} is N_A -Cockcroft and $R \cap S \subset [S, N_F]$.

Proof. (1) \Rightarrow (2) Suppose \mathbf{B} is a picture over \mathcal{Z} with boundary label in R . Then there is a disk picture \mathbf{D} over \mathcal{P} with boundary label identically equal to $\partial\mathbf{B}$ by van Kampen’s lemma. Then $\mathbf{B}\cdot\mathbf{D}^{-1}$ is equivalent to a spherical picture over \mathcal{Q} , and since $(\mathcal{Q}, \mathcal{P})$ has the N -identity property, this spherical picture has the appropriate pairing of its \mathbf{s} -disks. Thus, the subpicture \mathbf{B} , which contains all the \mathbf{s} -disks of $\mathbf{B}\cdot\mathbf{D}^{-1}$ must have a pairing of its \mathbf{s} -disks satisfying the conditions of Definition 4.1. Indeed the pairing that works for the spherical picture $\mathbf{B}\cdot\mathbf{D}^{-1}$ restricts to a suitable pairing for \mathbf{B} .

(2) \Rightarrow (1): Suppose \mathbf{P} is a spherical picture over \mathcal{Q} . We may cut the \mathbf{r} -disks from \mathbf{P} as in Figure 3 to form a disk picture over \mathcal{Z} with boundary in R . (If \mathbf{P} has no \mathbf{r} -disks, then the original picture is unchanged.) This new picture has a prescribed pairing by assumption. Moreover, this pairing can be used in \mathbf{P} to see that the original picture \mathbf{P} has an appropriate pairing.

(2) \iff (3): This follows as a corollary to the above lemma, since the pre-image of N_A in the free group F is N_F .

COROLLARY 4.4. *The following statements are equivalent.*

1. $(\mathcal{Q}, \mathcal{P})$ has the identity property;
2. \mathcal{Z} is M -Cockcroft (rel \mathbf{r}); and
3. \mathcal{Z} is M -Cockcroft and $R \cap S \subset [S, RS]$.

Proof. The pre-image of the trivial normal subgroup $\{1\}$ in A is M , and the pre-image of M in F is RS . The corollary is a restatement of Theorem 4.3 in this special case.

EXAMPLE 4.5. Consider any 2-relator presentation $\mathcal{Z} = \langle \mathbf{x} : u, v \rangle$ where $u, v \in F(\mathbf{x}) = F$. Let $\mathcal{P} = \langle \mathbf{x} : [u, v]^n \rangle$ and $\mathcal{Q} = \langle \mathbf{x} : [u, v]^n, u, v \rangle$, for $n \geq 2$. Let $r = [u, v]^n$, $R = \langle \langle r \rangle \rangle_F$, $s_1 = u, s_2 = v$ and $S = \langle \langle \{s_1, s_2\} \rangle \rangle_F$. Since $r \in S \cap [S, S]$, it follows that $R \subset S \cap [S, RS]$, and the group-theoretic condition of the corollary holds. So long as \mathcal{Z} is M -Cockcroft (e.g., let \mathcal{Z} be any two relator, aspherical presentation), then $(\mathcal{Q}, \mathcal{P})$ has the identity property.

We observe further that the inclusion induced map $\pi_2 X \rightarrow \pi_2 Y$ is trivial, while both homotopy groups are non-zero. As the model of a one relator presentation, $\pi_2 X$ is generated as a $\mathbf{Z}G$ -module by a complete dipole. However, this dipole dissolves in the presence of the new \mathbf{s} -disks. To see this, note that the root $[u, v]$ of the relator r is contained in S . It follows that X is L -Cockcroft. Thus $\pi_2 X \rightarrow \pi_2 Y$ is trivial. Finally, $\pi_2 Y$ is not trivial. In the case $n = 2$, a non trivial spherical picture over \mathcal{Q} is given in Figure 5. We remark that this picture also demonstrates that Y itself is not Cockcroft.

In [8], Dyer’s strategy for constructing a counterexample of infinite type to Whitehead’s Conjecture is to begin with a 2-complex pair (Y, X) for which (Y, X) has the identity property and $0 \neq \pi_2 X \rightarrow \pi_2 Y$ is trivial. To be able to extend this pair to a longer chain of suitable 2-complexes, it is necessary for Y to be Cockcroft. That is, if Y is not Cockcroft then there exists no 2-complex Y' containing Y for which the inclusion induced map $\pi_2(i) : \pi_2 Y \rightarrow \pi_2 Y'$ is trivial. We have seen that the

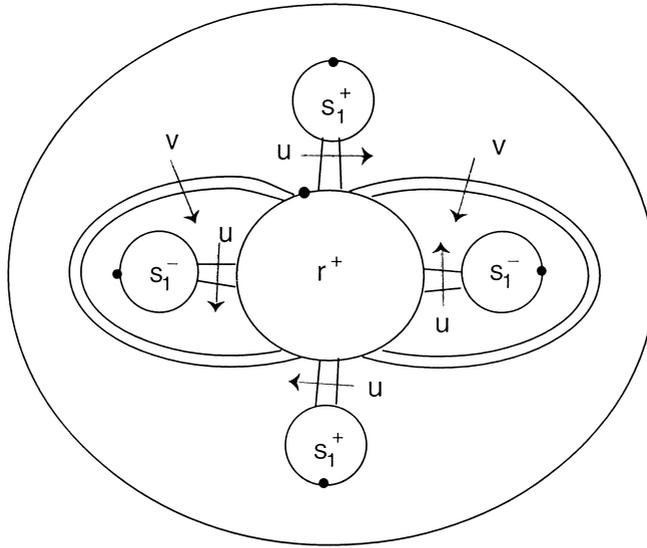


Figure 5

above example satisfies the first two conditions, but is not delicate enough to satisfy the third. It would be of considerable interest to find non trivial examples (where $\pi_2 X \neq 0$) of presentations that satisfy the three conditions of the following corollary.

COROLLARY 4.6. *The following sets of conditions are equivalent.*

$$\left\{ \begin{array}{l} \pi_2 X \xrightarrow{0} \pi_2 Y \\ (Y, X) \text{ has the identity property} \\ Y \text{ is Cockcroft} \end{array} \right\} \Leftrightarrow \left\{ \begin{array}{l} X \text{ is } L\text{-Cockcroft} \\ Z \text{ is } M\text{-Cockcroft} \\ R \cap S \subset [S, RS] \cap [R, F] \end{array} \right\}.$$

Proof. For $(\mathcal{Q}, \mathcal{P})$ to have the identity property and \mathcal{Q} to be Cockcroft, we must have $j([\mathbf{P}]) = 0$ for all spherical pictures over \mathcal{Q} and all these pictures must have parity in r , for all $r \in \mathbf{r}$. That is, \mathcal{Z} must be M -Cockcroft (rel \mathbf{r}) and \mathcal{P} must be G -Cockcroft (rel \mathbf{s}). The result now follows from Corollary 4.4 and Lemma 4.2.

5. Combinatorial asphericity. Certain relations always hold in the relative relation module $H_1(L)$. Let $C_G(s)$ denote the centralizer of the image of the word s in G . (For each $s \in \mathbf{s}$ we let s also denote this word's image in the group G ; context will make clear whether we're viewing $s \in F$ or $s \in G$.)

For any $g \in C_G(s)$, let \bar{g} be its image in H . Then

$$(\bar{g} - 1) \cdot s[L, L] = gsg^{-1}[L, L] - s[L, L] = s[L, L] - s[L, L] = 0.$$

We call the set $\{(\bar{g} - 1) \cdot s[L, L] : s \in \mathbf{s}, g \in C_G(s)\}$ the set of *trivial identities* in the relative relation module $H_1(L)$, and we say $(\mathcal{Q}, \mathcal{P})$ (or (Y, X)) has the *generalized identity property* if $H_1(L)$ with generators $\{s[L, L] : s \in \mathbf{s}\}$ is defined by the trivial identities.

The generalized identity property reduces to the identity property in the case that the image of $C_G(s)$ in H is trivial for each s . We have observed that if (Y, X) has the identity property then $\pi_2 Y \cong H_2 X_L$. We turn to relative dipoles to study $\pi_2 Y$ in the presence of the generalized identity property.

Suppose \mathbf{P} is a spherical picture over \mathcal{Q} containing exactly 2 \mathbf{s} -disks and possibly some \mathbf{r} -disks. Then \mathbf{P} is called a *relative s-dipole*, or simply an *s-dipole*, if the two \mathbf{s} -disks are labelled by the same element of \mathbf{s} but with opposite signs. Notice the π_2 generators in Figure 1 are both \mathbf{s} -dipoles.

If \mathcal{B}_P is a set of spherical pictures over \mathcal{P} that generates $\pi_2 X$ as left $\mathbf{Z}G$ -module, then a set \mathcal{B} of spherical pictures over \mathcal{Q} generates $\pi_2 Y$ over \mathcal{P} if $\mathcal{B} \cup \mathcal{B}_P$ generates $\pi_2 Y$ as left $\mathbf{Z}H$ -module. Two spherical pictures \mathbf{P} and \mathbf{Q} over \mathcal{Q} are called *equivalent (rel \mathcal{B}_P)* if $[\mathbf{P}] - [\mathbf{Q}] \in \text{im}(t_\# : \pi_2 X \rightarrow \pi_2 Y)$.

We will call the pair $(\mathcal{Q}, \mathcal{P})$ (or (Y, X)) *combinatorially aspherical*, denoted (CA), if $\pi_2 Y$ is generated over \mathcal{P} by a set of \mathbf{s} -dipoles.

EXAMPLE 5.1. Suppose $\langle \mathbf{x} : \mathbf{s} \rangle$ is a (CA) presentation of an infinite group. We construct a relative (CA) pair as follows. Let t be a letter not in \mathbf{x} , and let $u \in F(\mathbf{x})$ be a word having infinite order in $\langle \mathbf{x} : \mathbf{s} \rangle$. Let $w = tut$ and $r_x = [x, w]$ for each $x \in \mathbf{x}$. Finally, set $\mathbf{r} = \{r_x : x \in \mathbf{x}\}$, and consider the pair $\mathcal{P} = \langle \mathbf{x}, t : \mathbf{r} \rangle$ and $\mathcal{Q} = \langle \mathbf{x}, t : \mathbf{r}, \mathbf{s} \rangle$. It follows from work on generalized graphs of groups in [2] (see also [5]) that $\pi_2 Y$ is generated over \mathcal{P} by π_2 generators of $\langle \mathbf{x} : \mathbf{s} \rangle$ (which are dipoles since this presentation is (CA)) and one additional spherical pictures for each $s \in \mathbf{s}$. In particular, if $s = x_1^{\epsilon_1} x_2^{\epsilon_2} \cdots x_n^{\epsilon_n}$, with each $x_i \in \mathbf{x}$, each $\epsilon_i = \pm 1$, then the relator s contributes the π_2 generator pictured in Figure 6. Thus, $(\mathcal{Q}, \mathcal{P})$ is (CA).

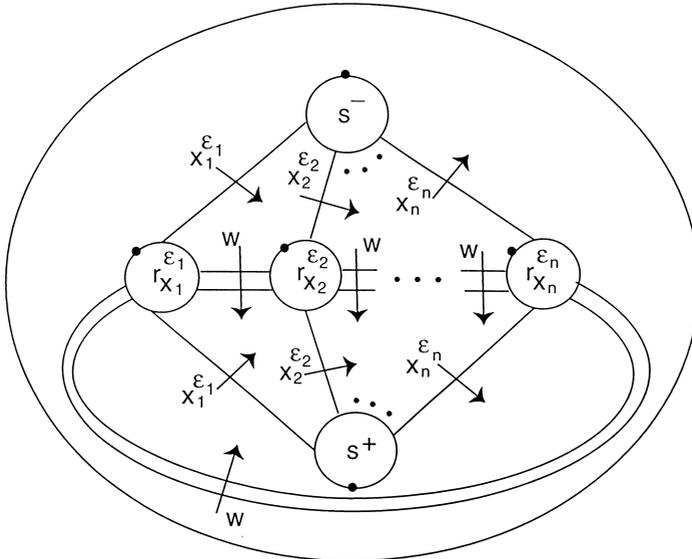


Figure 6

In [2] it is proved that w has infinite order in H , and it follows that these examples $(\mathcal{Q}, \mathcal{P})$ do not have the identity property. Thus, in the relative setting (as in the absolute setting), combinatorial asphericity is a weaker notion than the identity property.

We summarize the above discussion with the following proposition.

PROPOSITION 5.2. *Suppose $\langle \mathbf{x} : \mathbf{s} \rangle$ is (CA), and $u \in F(\mathbf{x})$ has infinite order in the group presented. If $\mathcal{P} = \langle \mathbf{x}, t : [x, tut] (x \in \mathbf{x}) \rangle$, and $\mathcal{Q} = \langle \mathbf{x}, t : [x, tut] (x \in \mathbf{x}), \mathbf{s} \rangle$, then $(\mathcal{Q}, \mathcal{P})$ is (CA).*

For each $1 \neq w \in C_G(\mathbf{s})$, for each $s \in \mathbf{s}$, we may construct a *basic s-dipole* \mathbf{P}_w as depicted in Figure 7.

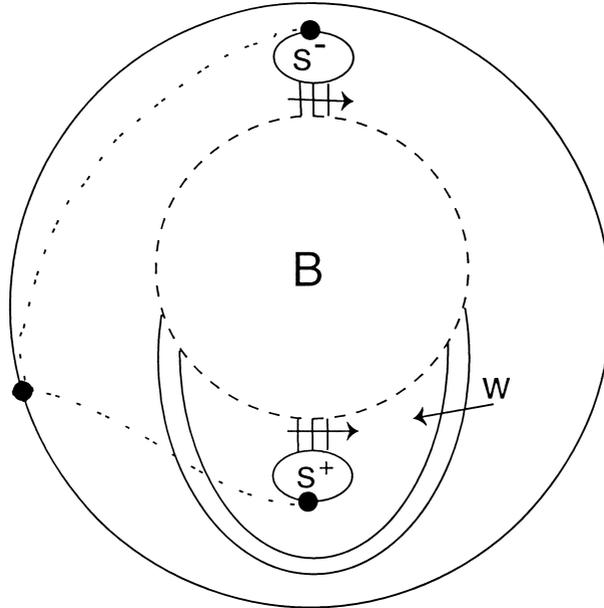


Figure 7

In this construction one may choose the word $W \in F$ representing w , and the subpicture \mathbf{B} of \mathbf{P}_w having boundary label $WsW^{-1}s^{-1} \in R$ (such a picture \mathbf{B} must exist by van Kampen's Lemma). In general, such choices will lead to inequivalent basic \mathbf{s} -dipoles. However \mathbf{P}_w is unique modulo $\pi_2 X$ in the sense described in the following lemma.

LEMMA 5.3. *Let $\mathcal{B}_{\mathcal{P}}$ be a set of spherical pictures over \mathcal{P} that generates $\pi_2 X$. Suppose $w \in C_G(\mathbf{s})$, for $s \in \mathbf{s}$, and \mathbf{P}_w is a basic \mathbf{s} -dipole formed from the word $W \in F$ representing w and the picture \mathbf{B} over \mathcal{P} with $\partial \mathbf{B} = [W, s]$. If \mathbf{P}'_w is a second basic \mathbf{s} -dipole formed from $W' \in F$ representing w and \mathbf{B}' with $\partial \mathbf{B}' = [W', s]$, then \mathbf{P}_w and \mathbf{P}'_w are equivalent (rel $\mathcal{B}_{\mathcal{P}}$).*

Proof. Since W and W' both represent the element w of G , van Kampen's Lemma ensures the existence of a picture \mathbf{D} over \mathcal{P} with $\partial \mathbf{D} = W^{-1}W'$. Now consider $\mathbf{P}_w - \mathbf{P}'_w$ (Figure 8 (a)). Into this picture we may insert the trivial picture $\mathbf{D} \cdot \mathbf{D}^{-1}$ as depicted in Figure 8 (b). Now we make a series of bridge moves to split off the \mathbf{s} -disks (Figure 8 (c)) and then fold them from the picture (Figure 8 (d)). That is, $\mathbf{P}_w - \mathbf{P}'_w$ is equivalent to a spherical picture over \mathcal{P} . Thus, any two basic \mathbf{s} -dipoles associated to $w \in C_G(\mathbf{s})$ are equivalent (rel $\mathcal{B}_{\mathcal{P}}$).

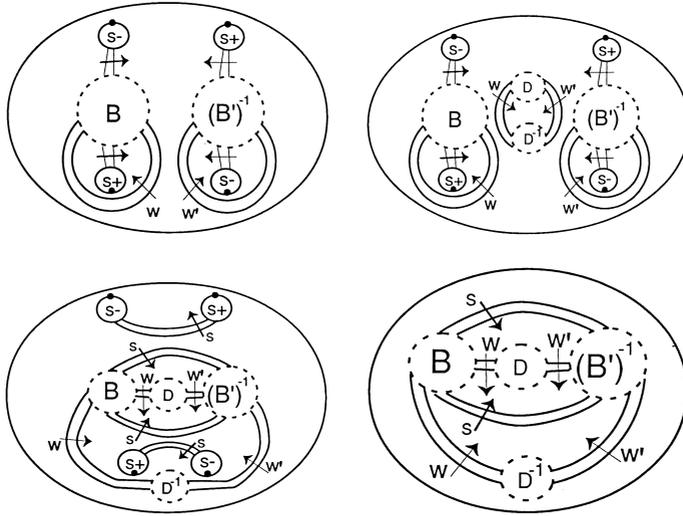


Figure 8

For each $s \in \mathfrak{s}$, construct one basic \mathfrak{s} -dipole \mathbf{P}_w for each $w \in C_G(s)$. Let \mathcal{D}_s^* denote this set of basic \mathfrak{s} -dipoles, and let $\mathcal{D}^* = \bigcup_{s \in \mathfrak{s}} \mathcal{D}_s^*$. Finally, let $J(\mathcal{D}^*)$ denote the submodule of $\pi_2 Y$ generated by \mathcal{D}^* .

LEMMA 5.4. *If \mathbf{P} is any \mathfrak{s} -dipole over Q , then $[\mathbf{P}] \in J(\mathcal{D}^*)$.*

Proof. An arbitrary \mathfrak{s} -dipole \mathbf{P} contains the local configuration of Figure 9 (a), where we assume a path to the negatively oriented s -disk determines the word $V \in F$. Consider the picture $V^{-1} \cdot \mathbf{P}$ depicted in Figure 9 (b).

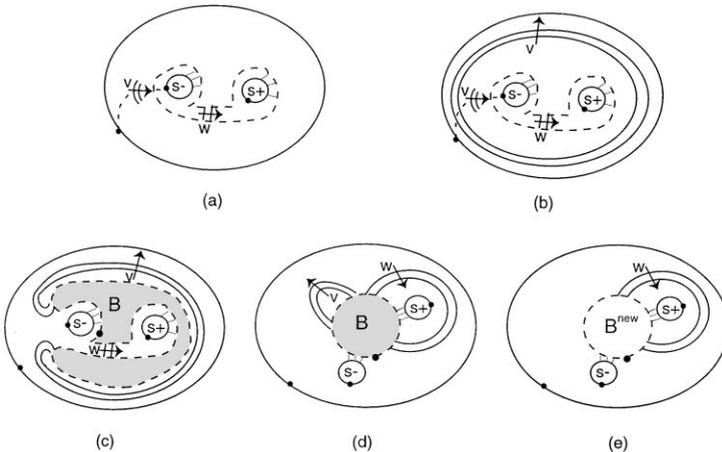


Figure 9

By bridge moves we may open a path connecting the global basepoint to the basepoint of the negatively oriented disk so that the two basepoints are in the same region. See Figure 9 (c). We may contain all the r -disks of this new picture within the

shaded subpicture having boundary label from the designated “basepoint” identically equal to $sV^{-1}VW_s^{-1}W^{-1}$.

After planar isotopy, we may view our picture as in Figure 9 (d), and upon including the arcs labelled by V into the shaded subpicture we obtain a basic s -dipole. By Lemma 5.3, this dipole is equivalent (rel \mathcal{B}_P) to the s -dipole \mathbf{P}_w in \mathcal{D}^* where w is the image of W in G . It follows that the original s -dipole \mathbf{P} is equivalent (rel \mathcal{B}_P) to $V \cdot \mathbf{P}_w$, so $[\mathbf{P}] \in J(\mathcal{D}^*)$.

Now suppose that $\mathcal{A}_s \subset G$ is a generating set for $C_G(s)$, and $\mathcal{D}_s = \{\mathbf{P}_w : w \in \mathcal{A}_s\}$ for each $s \in \mathbf{s}$. Set $\mathcal{D} = \bigcup_{s \in \mathbf{s}} \mathcal{D}_s$ and $J(\mathcal{D})$ to be the submodule of $\pi_2 Y$ generated by \mathcal{D} .

LEMMA 5.5. $J(\mathcal{D}) = J(\mathcal{D}^*)$.

Proof. Clearly $J(\mathcal{D}) \subset J(\mathcal{D}^*)$. The reverse inclusion follows from two facts. First, for $w \in \mathcal{A}_s$, $\mathbf{P}_{w^{-1}}$ is equivalent to $(w^{-1} \cdot \mathbf{P}_w)^{-1}$. Second, if $w_1, w_2 \in C_G(s)$ and $w = w_1^{\epsilon_1} w_2^{\epsilon_2}$, then \mathbf{P}_w is equivalent to $(\mathbf{P}_{w_1^{\epsilon_1}}) + w_1^{\epsilon_1} \cdot (\mathbf{P}_{w_2^{\epsilon_2}})$ (rel \mathcal{B}_P). Both equivalences can be checked directly by performing moves on pictures, and the second is demonstrated schematically in Figure 10.

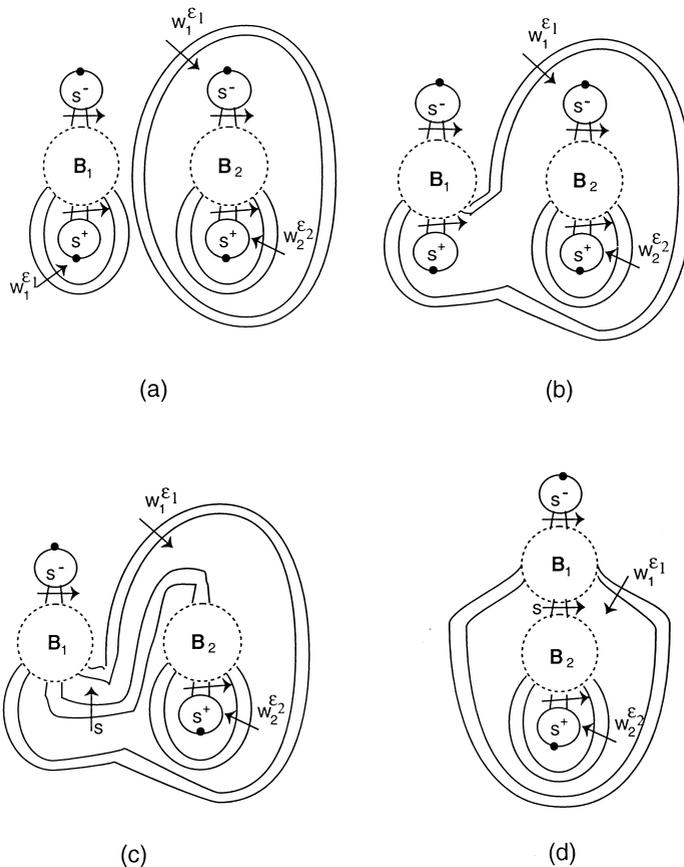


Figure 10

PROPOSITION 5.6. $(\mathcal{Q}, \mathcal{P})$ is (CA) if and only if $\pi_2 Y$ is generated over \mathcal{P} by \mathcal{D} .

Proof. If $\pi_2 Y$ is generated by the set $\mathcal{B}_{\mathcal{P}} \cup \mathcal{D}$, then $(\mathcal{Q}, \mathcal{P})$ is (CA) by definition. Conversely, if $(\mathcal{Q}, \mathcal{P})$ is (CA) then $\pi_2 Y$ is generated by the set $\mathcal{B}_{\mathcal{P}}$ together with some set of \mathbf{s} -dipoles. But the two previous lemmas ensure that any \mathbf{s} -dipole in this set is equivalent (rel $\mathcal{B}_{\mathcal{P}}$) to an element of the submodule of $\pi_2 Y$ generated by \mathcal{D} . The result follows.

We remark that different pictures in \mathcal{D} may be equivalent. For instance, if $\mathcal{P} = \langle a, b : [a, b] \rangle$ and $s = ab^{-1}$, then $C_G(s) = G$ is generated by a and b . One may check that the basic s -dipoles \mathbf{P}_a and \mathbf{P}_b determined by these words are equivalent. Nonetheless, we can find minimal generating sets in some interesting cases. For the remainder of this paper, we assume the set \mathcal{D} has been chosen, and consists of one basic \mathbf{s} -dipole \mathbf{P}_w for each $w \in \mathcal{A}_s$ in a generating set for $C_G(s)$, for each $s \in \mathbf{s}$.

PROPOSITION 5.7. If $(\mathcal{Q}, \mathcal{P})$ is (CA) and $N < H$, then $(\mathcal{Q}, \mathcal{P})$ has the right N -identity property if and only if N contains the normal subgroup $K = \langle\langle \mathcal{A}_s : s \in \mathbf{s} \rangle\rangle_H$ of H .

Proof. If $(\mathcal{Q}, \mathcal{P})$ is (CA) then $\pi_2 Y$ is generated by the set $\mathcal{B}_{\mathcal{P}} \cup \mathcal{D}$. The image in j of any picture in this set is either trivial or of the form $(\bar{w}_s - 1)c_s^2$ for some $s \in \mathbf{s}, w_s \in \mathcal{A}_s$. Thus, any picture \mathbf{P} over \mathcal{Q} has image in j of the form

$$j(\mathbf{P}) = \sum h_i(\bar{w}_{s_i} - 1)c_{s_i}^2,$$

where $h_i \in H, w_{s_i} \in \mathcal{A}_{s_i}, s_i \in \mathbf{s}$. With respect to a subgroup $N < H$, \mathbf{P} has the prescribed pairing of Definition 3.1 if and only if

$$N_{Fu_i}w_{s_i} = N_{Fu_i}$$

where $u_i \in F$ represents $h_i \in H$. That is, \mathbf{P} has the prescribed pairing if and only if $u_i w_{s_i} u_i^{-1} \in N_F$. It follows that all pictures over \mathcal{Q} have the prescribed pairing if and only if $K \subset N$.

For instance, let $\mathcal{P} = \langle a, b : [a, b] \rangle$ and $s = a^3$. Then $(\mathcal{Q}, \mathcal{P})$ is (CA) since $\pi_2 Y$ is generated by \mathbf{s} -dipoles (as shown in Figure 1). Since G is abelian in this case, the centralizer $C_G(s) = G$ is generated by a and b . The normal subgroup of H generated by a and b is H itself, so the above result ensures that $(\mathcal{Q}, \mathcal{P})$ has the right N -identity property if and only if $N = H$.

PROPOSITION 5.8. If $(\mathcal{Q}, \mathcal{P})$ is (CA) then $(\mathcal{Q}, \mathcal{P})$ has the generalized identity property.

Proof. According to the exactness of sequence (1), $H_1(L)$ is isomorphic to the ZH -module generated by $\{c_s^2 : s \in \mathbf{s}\}$ and defined by the relations $j([\mathbf{P}]) = 0$ for all pictures in a generating set of $\pi_2 Y$. In the present situation, we may assume $\pi_2 Y$ is generated by the pictures in $\mathcal{B}_{\mathcal{P}} \cup \mathcal{D}$. Since any picture \mathbf{P} over \mathcal{P} has no \mathbf{s} -disks, $j([\mathbf{P}])$ is 0 for elements of $\mathcal{B}_{\mathcal{P}}$. Moreover, if $\mathbf{P} \in \mathcal{D}$ then $j([\mathbf{P}]) = (\bar{w} - 1) \cdot c_s^2$, where w is in a generating set of $C_G(s), s \in \mathbf{s}$. That is, each $\mathbf{P} \in \mathcal{D}$ determines a trivial identity $j([\mathbf{P}]) = 0$. Thus, $H_1(L)$ is defined by trivial identities, and $(\mathcal{Q}, \mathcal{P})$ has the generalized identity property.

EXAMPLE 5.9. Consider the pair $\mathcal{P} = \langle \mathbf{x} : [u, v]^2 \rangle$, $\mathcal{Q} = \langle \mathbf{x} : [u, v]^2, u, v \rangle$. We know from Example 4.5 that $(\mathcal{Q}, \mathcal{P})$ has the identity property, so it necessarily has the generalized identity property. However, the spherical picture of Figure 5 is a generator of $\pi_2 Y$ which is not equivalent to a sum of **s**-dipoles and pictures over \mathcal{P} . It follows that $(\mathcal{Q}, \mathcal{P})$ is not (CA), and the converse to the above theorem is false.

We have the following partial converse to Proposition 5.8.

PROPOSITION 5.10. *If $H_2(L) = 0$ and if $(\mathcal{Q}, \mathcal{P})$ has the generalized identity property then $(\mathcal{Q}, \mathcal{P})$ is (CA).*

Proof. We show that any spherical picture \mathbf{P} over \mathcal{Q} is in $J(\mathcal{B}_{\mathcal{P}} \cup \mathcal{D}^*)$. Since $(\mathcal{Q}, \mathcal{P})$ has the generalized identity property, $j([\mathbf{P}]) = \sum h_i(\bar{w}_i - 1)c_{s_i}^2$ where $h_i \in H$, $w_i \in C_G(s_i)$, and \bar{w}_i is the image of w_i in H . trivial identities in $H_1(L)$. We may associate to this sum a natural picture \mathbf{P}' over \mathcal{Q} that is a sum of **s**-dipoles. In particular, the term $h_i(\bar{w}_i - 1)c_{s_i}^2$ gives rise to the **s**-dipole $v_i \cdot \mathbf{P}_{w_i}$ where v_i represents h_i in the free group, and \mathbf{P}_{w_i} is the **s**-dipole in \mathcal{D}^* associated to w_i . Let $\mathbf{P}' = \sum v_i \cdot \mathbf{P}_i$. Then $j([\mathbf{P}]) = j([\mathbf{P}'])$ and $[\mathbf{P} - (\mathbf{P}')] \in \ker j = \text{im } i$, where $i : H_2(X_L) \rightarrow \pi_2 Y$ is from the fundamental sequence (1). Now, the Hopf sequence $\pi_2 X \rightarrow H_2 X_L \rightarrow H_2 L \rightarrow 0$ ensures that $H_2 L$ is isomorphic to $H_2 X_L / \text{im}(\pi_2 X)$. If $H_2 L = 0$ then every spherical picture over \mathcal{Q} in the image of $H_2 X_L$ actually comes from $\pi_2 X$. Thus, $[\mathbf{P} - \mathbf{P}'] \in \text{im}(\pi_2 X \rightarrow \pi_2 Y)$, and \mathbf{P} is equivalent (rel \mathcal{P}) to a spherical picture whose image is in $J(\mathcal{D}^*)$. It follows that $[\mathbf{P}] \in J(\mathcal{B}_{\mathcal{P}} \cup \mathcal{D})$.

6. A test for (CA). Consider $\mathcal{Q} = \langle \mathbf{x} : \mathbf{r}, \mathbf{s} \rangle$. For each s in \mathbf{s} , let $\text{exp}_s(\mathbf{P}) =$ the number of s^+ disks in \mathbf{P} - the number of s^- disks in \mathbf{P} . Then \mathcal{Q} has parity in \mathbf{s} if and only if $\text{exp}_s(\mathbf{P}) = 0$ for each $s \in \mathbf{s}$ and each \mathbf{P} over \mathcal{Q} . Recall, \mathcal{Q} has parity in \mathbf{s} if and only if $(\mathcal{Q}, \mathcal{P})$ has the H -identity property. This is true if and only if $(\mathcal{Q}, \mathcal{P})$ is Cockcroft.

A subset \mathbf{c} of a (multiplicative) abelian group C is called *linearly independent* if

$$\prod_{i=1}^k c_i^{n_i} = 1 \text{ implies } n_i = 0 \text{ for each } i$$

where $n_i \in \mathbf{Z}$, and c_1, c_2, \dots, c_k are distinct elements of \mathbf{c} .

LEMMA 6.1. *If \mathbf{s} determines a linearly independent set of elements in $H_1(G)$, then $(\mathcal{Q}, \mathcal{P})$ is Cockcroft.*

Proof. Take any spherical picture \mathbf{P} over \mathcal{Q} . Assume \mathbf{P} has **s**-disks. Then any spray to the **s**-disks determines an equation

$$\prod_{\mathbf{s}\text{-disks in } \mathbf{P}} w_i s_i^{\epsilon_i} w_i^{-1} \stackrel{F}{=} w$$

where $w \in R$, and $w_i \in F$ for each i . Viewing this equation in G , we obtain

$$\prod_{\mathbf{s}\text{-disks in } \mathbf{P}} w_i s_i^{\epsilon_i} w_i^{-1} \stackrel{G}{=} 1$$

and modulo $[G, G]$ we have

$$\prod_{s\text{-disks in } \mathbf{P}} s_i^{\epsilon_i} \stackrel{H_1(G)}{=} 1.$$

Grouping common bases,

$$\prod_{s \in \mathbf{s}} s^{\text{exp}_s(\mathbf{P})} \stackrel{H_1(G)}{=} 1.$$

The linear independence condition implies that $\text{exp}_s(\mathbf{P}) = 0$ for each $s \in \mathbf{s}$.

In the case \mathbf{s} consists of a single word, we have the following simple sufficient condition for $(\mathcal{Q}, \mathcal{P})$ to be (CA).

THEOREM 6.2. *Suppose $\mathcal{P} = \langle \mathbf{x} : \mathbf{r} \rangle$ presents the group G and the word $s \in F(\mathbf{x})$ lives in the center of G and has infinite order in $H_1(G)$. If we let $\mathcal{Q} = \langle \mathbf{x} : \mathbf{r}, s \rangle$ then $(\mathcal{Q}, \mathcal{P})$ is (CA), and $\pi_2 Y$ is generated (over \mathcal{P}) by the set \mathcal{D} of basic \mathbf{s} -dipoles.*

Proof. Since s has infinite order in $H_1(G)$, $\mathcal{Q} = \langle \mathbf{x} : \mathbf{r}, s \rangle$ has parity in $\mathbf{s} = \{s\}$ by Lemma 6.1. Thus, the image $j([\mathbf{P}])$ of any spherical picture \mathbf{P} over \mathcal{Q} has the form

$$\sum h_i (\bar{g}_i - 1) c_s^2$$

where $h_i \in H$, $g_i \in G$, and \bar{g}_i is its image in H . Since the image of s is in the center of G , $C_G(s) = G$, and $j([\mathbf{P}])$ is mapped by ψ to a consequence of trivial identities. Since this holds for all \mathbf{P} over \mathcal{Q} , $(\mathcal{Q}, \mathcal{P})$ has the generalized identity property. Furthermore, the two conditions on s ensure that L , the normal closure of s in G , is infinite cyclic. Thus, $H_2(L)$ is trivial and $(\mathcal{Q}, \mathcal{P})$ is (CA) by Proposition 5.10.

EXAMPLE 6.3. Suppose $\mathcal{P} = \langle a, b : [a, b] \rangle$ and $\mathcal{Q} = \langle a, b : [a, b], s \rangle$ where s is any non-trivial word that does not set $a \stackrel{H}{=} b$. Since G is abelian, $C_G(s) = G$ is generated by a and b , and by our choice of s these words determine distinct elements of H . Also, any such s has infinite order in $G = H_1(G)$ so $(\mathcal{Q}, \mathcal{P})$ is (CA) by Theorem 6.2. Finally, \mathcal{P} is aspherical, so $\pi_2 Y$ is generated by two distinct basic \mathbf{s} -dipoles \mathbf{P}_a and \mathbf{P}_b .

For instance, consider the presentation $\mathcal{Z} = \langle a, b : s = a^2 b^{-3} \rangle$, of the $(2, 3)$ torus knot group. Let $\mathcal{P} = \langle a, b : r = [a, b] \rangle$, and $\mathcal{Q} = \langle a, b : r, s \rangle$. Then $(\mathcal{Q}, \mathcal{P})$ is (CA) and $\pi_2 Y$ is generated by two s -dipoles \mathbf{P}_a and \mathbf{P}_b , as seen in Figure 11. The pictures are formed from simple choice for the disk pictures \mathbf{B}_a and \mathbf{B}_b .

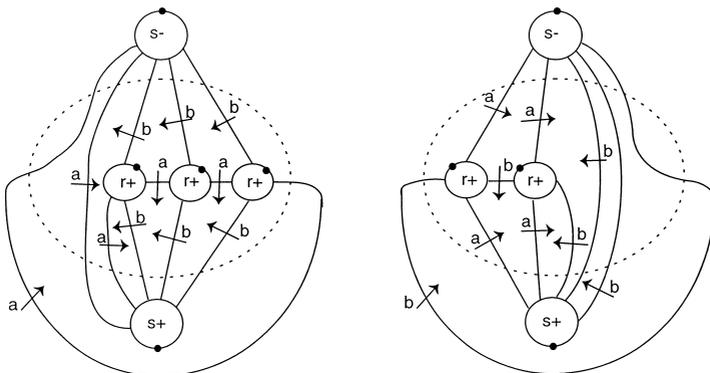


Figure 11

EXAMPLE 6.4. Consider the presentation $\mathcal{P} = \langle a, b : a^6 = b^3, b^3 = (ab)^2 \rangle$ of the group G , and let $s = \{a^6\}$. Then $\mathcal{Q} = \langle a, b : a^6 = b^3, b^3 = (ab)^2, a^6 \rangle$ presents H .

In G , s can be expressed as a product of a 's and as a product of b 's, so s is in the center of G . Furthermore, the abelianization of G is the infinite cyclic group generated by a , so a^6 has infinite order in $H_1(G)$. Then by Theorem 6.2, $(\mathcal{Q}, \mathcal{P})$ is (CA).

To find generators for $\pi_2 Y$ (over \mathcal{P}) first note that $C_G(s) = G$ since s is in the center of G . This centralizer is generated by a and b , so $\pi_2 Y$ is generated (over \mathcal{P}) by two s -dipoles \mathbf{P}_a and \mathbf{P}_b , as shown in Figure 12.

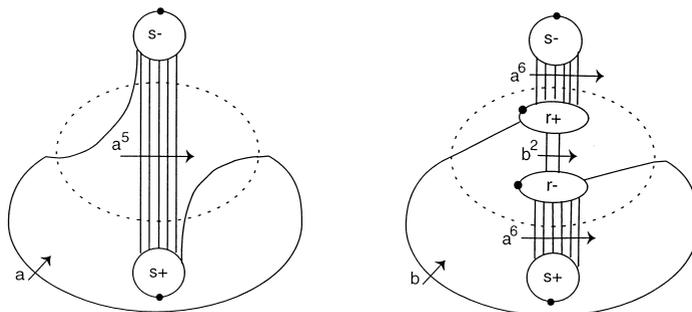


Figure 12

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