

ON TOPOLOGICAL PROPERTIES OF SOME COVERINGS. AN ADDENDUM TO A PAPER OF LANTERI AND STRUPPA

JAROSŁAW A. WIŚNIEWSKI

ABSTRACT. Let  $\pi: X' \rightarrow X$  be a finite surjective morphism of complex projective manifolds which can be factored by an embedding of  $X'$  into the total space of an ample line bundle  $\mathcal{L}$  over  $X$ . A theorem of Lazarsfeld asserts that Betti numbers of  $X$  and  $X'$  are equal except, possibly, the middle ones. In the present paper it is proved that the middle numbers are actually non-equal if either  $\mathcal{L}$  is spanned and  $\deg \pi \geq \dim X$ , or if  $X$  is either a hyperquadric or a projective space and  $\pi$  is not a double cover of an odd-dimensional projective space by a hyperquadric.

Let  $\pi: X' \rightarrow X$  be a finite surjective morphism of connected complex projective manifolds. Throughout the present paper by  $k$  we denote  $\dim X = \dim X'$ , by  $n$  we denote the degree of  $\pi$  and we assume that  $n, k \geq 2$ . We say that  $\pi: X' \rightarrow X$  (or simply  $X'$ , or  $\pi$ ) is a (smooth)  $n$ -section of a line bundle (invertible sheaf)  $\mathcal{L}$  over  $X$  if  $X'$  embeds into  $L$  — where  $L = \text{Spec}(\text{Sym}_X \mathcal{L}^\vee)$  is the total space of  $\mathcal{L}$  — so that  $\pi$  is the restriction of the projection  $\mathbf{p}: L \rightarrow X$ .

If  $\mathcal{L}$  is an ample line bundle then a theorem of Lazarsfeld (2.1 of [La], see also (1.1) of the present paper) implies the equality of Betti numbers of  $X$  and  $X'$  except, possibly, the middle one for which we have the inequality  $b_k(X') \geq b_k(X)$ . In [LS] Lanteri and Struppa studied smooth  $n$ -sections of ample line bundles which satisfy

$$(0.1) \quad b_k(X') = b_k(X).$$

(Although they restricted their study to cyclic coverings, their methods cover all smooth  $n$ -sections—compare (1.2) and (1.4) of [LS] with (1.1)(b) and (c) of the present paper). Their results seem to indicate that this equality is a rather rare phenomenon among  $n$ -sections. Their observations were based on the study of the adjoint linear system  $|O(K_X) \otimes \mathcal{L}^{n-1}|$  which in the case of surfaces was done by Lanteri and Palleschi in [LP] and in the case of higher dimensions by Sommese [So].

In the present paper their methods are improved and the difference  $b_k(X') - b_k(X)$  is estimated in terms of dimensions of other cohomology groups  $H^p(\Omega^q X \otimes \mathcal{L}^s)$ , see (1.9). Using this estimate we complete the results of Section 4 of [LS] and prove that for  $n \geq k$  no smooth  $n$ -section of an ample and spanned line bundle satisfies (0.1), see (2.10). We prove also that the only non-trivial smooth  $n$ -section of a line bundle over a projective space which satisfies (0.1) occurs for  $k$  odd and it is a double cover by a

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smooth hyperquadric, (2.7), whilst non-trivial smooth  $n$ -sections of line bundles over the hyperquadric  $\mathbf{Q}^k, k \geq 3$ , never satisfy (0.1), see (2.9).

The notation used in the present paper is consistent with [LS].

**1. General properties of  $n$ -sections.** We summarize here general properties of smooth  $n$ -sections

**PROPOSITION 1.1.** *Let  $\pi: X' \rightarrow X$  be a smooth  $n$ -section of a line bundle  $\mathcal{L}$  with the total space  $\mathbf{p}: L \rightarrow X$ . Then*

- (a)  $O_L(X') \simeq O_L(nX_0) \simeq \mathbf{p}^*(\mathcal{L}^n)$ , where  $X_0$  denotes a divisor of zero section in  $L$ ;
- (b)  $\pi_* O_{X'} \simeq O_X \oplus \mathcal{L}^{-1} \oplus \dots \oplus \mathcal{L}^{-n+1}$ ;
- (c)  $O(K_{X'}) \simeq \pi^*(O(K_X) \otimes \mathcal{L}^{n-1})$ ;
- (d) If  $\mathcal{L}$  is ample then the induced map of complex cohomology

$$\pi^*: H^i(X, \mathbb{C}) \rightarrow H^i(X', \mathbb{C})$$

is isomorphism for  $i \neq k$  and injection for  $i = k$ .

**PROOF.** (d) is proved in a more general set-up in [La], Theorem 2.1; we sketch another proof of it in (1.7). The remaining properties seem to be known for specialists but I was not able to find any appropriate reference for them. To prove them we compactify  $L$  by adding a section at infinity. Namely, take a projectivization  $\bar{L} = \mathbb{P}(\mathcal{L} \oplus O_X)$  with the projection  $\bar{\mathbf{p}}: \bar{L} \rightarrow X$  (we understand  $\mathbb{P}$  as in [Ha], Section II.7), then  $\bar{L}$  has a zero section  $X_0 = \mathbb{P}(\mathcal{L})$  and a section at infinity  $X_\infty = \mathbb{P}(O_X)$  and  $L = \bar{L} - X_\infty$ . The relative very ample line bundle  $O_{\bar{L}}(1)$  is then isomorphic to  $O_{\bar{L}}(X_0)$  and therefore  $O_{\bar{L}}(X_0)|_{X_0} \simeq \mathcal{L}$ ,  $O_{\bar{L}}(X_0)|_{X_\infty} \simeq O$ . Now using the formula  $\text{Pic } \bar{L} = \bar{\mathbf{p}}^* \text{Pic } X + \mathbb{Z}[X_0]$  we obtain the following identities:

$$O_{\bar{L}}(X_0 - X_\infty) \simeq \bar{\mathbf{p}}^* \mathcal{L} \text{ and } O_{\bar{L}}(X') \simeq O_{\bar{L}}(nX_0).$$

Therefore  $O_{\bar{L}}(X' - nX_\infty) \simeq \bar{\mathbf{p}}^* \mathcal{L}^n$  which proves (a). If we use a formula for the canonical divisor on a projectivization and the adjunction formula we obtain (c) (it will be obtained below explicitly, see (1.4)). As for (b) let us note that  $O_{\bar{L}}(nX_0)|_{X'} \simeq \pi^* \mathcal{L}^n$  and thus we obtain the following (divisorial) sequence of sheaves on  $\bar{L}$

$$0 \rightarrow O_{\bar{L}} \rightarrow O_{\bar{L}}(nX_0) \rightarrow i_* \pi^* \mathcal{L}^n \rightarrow 0$$

where  $i: X' \rightarrow \bar{L}$  is the embedding. The direct image  $\mathbf{p}_*$  of this sequence is

$$0 \rightarrow O_X \xrightarrow{\alpha} S^n(O_X \oplus \mathcal{L}) = O_X \oplus \mathcal{L} \oplus \dots \oplus \mathcal{L}^n \rightarrow \mathcal{L}^n \otimes \pi_* O_{X'} \rightarrow 0.$$

We claim that this sequence splits. Indeed, note that we have a splitting  $\beta: O_X \oplus \mathcal{L} \oplus \dots \oplus \mathcal{L}^n \rightarrow O_X$  which comes from restricting sections of  $O_{\bar{L}}(nX_0)$  to  $X_\infty$ . Since  $X'$  does not meet  $X_\infty$  it follows that  $\beta \alpha \neq 0$  which implies the splitting. Now (b) follows easily.

**REMARK 1.2.** I do not know whether (b) is a sufficient condition for a finite morphism  $\pi: X' \rightarrow X$  to be a smooth  $n$ -section of a line bundle  $\mathcal{L}$ ; for  $n \leq 3$  it is, see [Fu] (2.1).

For a complex manifold  $Y$  by  $\Omega Y$  we denote the sheaf of holomorphic 1-forms on  $Y$  and for  $q = 0, 1, \dots, \dim Y$ , by  $\Omega^q Y$  we denote the sheaf of holomorphic  $q$ -forms  $\wedge^q(\Omega Y)$ . Let us recall that  $h^{pq}(Y)$  denotes  $\dim H^{pq}(Y) = \dim H^p(Y, \Omega^q Y)$ . The following observation is the key technical tool of the present note.

LEMMA 1.3. *Let  $\pi: X' \rightarrow X$  be a smooth  $n$ -section of a line bundle  $\mathcal{L}$ . Then for  $q = 1, \dots, k + 1$  we have the following two exact sequences of locally free  $\mathcal{O}_{X'}$ -modules, the middle term of each of them is the same:*

$$\begin{aligned} (I'_q) \quad & 0 \rightarrow \pi^*(\Omega^q X \otimes \mathcal{L}^n) \rightarrow V_q \otimes \pi^* \mathcal{L}^n \rightarrow \pi^*(\Omega^{q-1} X \otimes \mathcal{L}^{n-1}) \rightarrow 0 \\ (II'_q) \quad & 0 \rightarrow \Omega^{q-1} X' \rightarrow V_q \otimes \pi^* \mathcal{L}^n \rightarrow \Omega^q X' \otimes \pi^* \mathcal{L}^n \rightarrow 0 \end{aligned}$$

(where  $V_q = \wedge^q(\Omega L_{|X'})$ ).

PROOF. The cokernel of the differential of  $\mathbf{p}: \mathbf{p}^*(\Omega X) \rightarrow \Omega L$  can be identified with 1-forms cotangent to fibers of  $\mathbf{p}$  which constitute an invertible sheaf isomorphic to  $\mathbf{p}^* \mathcal{L}^{-1}$ . Thus we obtain an exact sequence of  $\mathcal{O}_L$ -sheaves

$$0 \rightarrow \mathbf{p}^* \Omega X \rightarrow \Omega L \rightarrow \mathbf{p}^* \mathcal{L}^{-1} \rightarrow 0.$$

By restricting this sequence to  $X'$ , then taking its  $q$ -th exterior power (see eg. [Hi], 4.1.3) and finally twisting by  $\pi^* \mathcal{L}^n$ , we obtain  $I'_q$  with  $V_q = \wedge^q(\Omega L_{|X'})$ . On the other hand since the normal bundle to  $X'$  is isomorphic to  $\pi^*(\mathcal{L}^n)$  (because of (1.1)(a)) we obtain the following exact sequence of  $\mathcal{O}_{X'}$ -sheaves

$$0 \rightarrow \pi^* \mathcal{L}^{-n} \rightarrow \Omega L_{|X'} \rightarrow \Omega X' \rightarrow 0$$

whose  $q$ -th exterior power twisted by  $\pi^* \mathcal{L}^n$  gives  $II'_q$ .

REMARK 1.4. Combining  $I'_{q+1}$  with  $II'_{q+1}$  we get (1.1)(c).

LEMMA 1.5. *Let  $\pi: X' \rightarrow X$  be a smooth  $n$ -section of a line bundle  $\mathcal{L}$ , then for any  $q = 1, \dots, k + 1$  we have the following two exact sequences of locally free  $\mathcal{O}_X$ -modules*

$$\begin{aligned} (I_q) \quad & 0 \rightarrow (\Omega^q X \otimes \mathcal{L}) \oplus \dots \oplus (\Omega^q X \otimes \mathcal{L}^n) \rightarrow W_q \\ & \rightarrow \Omega^{q-1} X \oplus \dots \oplus (\Omega^{q-1} X \otimes \mathcal{L}^{n-1}) \rightarrow 0 \\ (II_q) \quad & 0 \rightarrow \pi_*(\Omega^{q-1} X') \rightarrow W_q \rightarrow \pi_*(\Omega^q X' \otimes \pi^* \mathcal{L}^n) = \pi_*(\Omega^q X') \otimes \mathcal{L}^n \rightarrow 0 \end{aligned}$$

PROOF. Take the direct image  $\pi_*$  of  $(I'_q)$  and  $(II'_q)$ , and use (1.1)(b). Note that here (and in the subsequent proofs) we use the fact that  $\pi$  is finite and therefore the higher derived functors of  $\pi_*$  are trivial.

LEMMA 1.6. For  $X, X'$  and  $\mathcal{L}$  as above the following identity for the Euler-Poincaré characteristic  $\chi$  holds

$$\begin{aligned} \chi(\Omega^{q-1}X') &= \chi(\Omega^{q-1}X) + \sum_{i=1}^{n-1} \chi(\Omega^{q-1}X \otimes \mathcal{L}^i) \\ &\quad + \sum_{j=0}^{k-q} (-1)^j \sum_{i=1}^{n-1} \left( \chi(\Omega^{q+j}X \otimes \mathcal{L}^{nj+i}) - \chi(\Omega^{q+j}X \otimes \mathcal{L}^{n(j+1)+i}) \right) \end{aligned}$$

PROOF. Combining  $(I_q)$  and  $(II_q)$  we obtain for  $q \leq k + 1$  and arbitrary  $s$

$$\begin{aligned} \chi(\Omega^{q-1}X' \otimes \pi^* \mathcal{L}^s) &= \chi(\pi_* \Omega^{q-1}X' \otimes \mathcal{L}^s) \\ &= \chi(\Omega^{q-1}X \otimes \mathcal{L}^s) + \sum_{i=1}^{n-1} \chi(\Omega^{q-1}X \otimes \mathcal{L}^{i+s}) \\ &\quad + \sum_{i=1}^n \chi(\Omega^q X' \otimes \mathcal{L}^{i+s}) - \chi(\pi_* \Omega^q X \otimes \mathcal{L}^{n+s}) \end{aligned}$$

and the lemma follows by (descending) induction on  $q$ .

From now on we assume the line bundle  $\mathcal{L}$  to be ample.

SKETCH OF PROOF OF (1.1)(D) 1.7. Combining Lemma 1.5 together with Kodaira vanishing theorem as in a well known proof of Lefschetz hyperplane section theorem—see [GH] Section 1.2—we obtain a proof of (d). Namely, if  $\mathcal{L}$  is ample then  $H^p(X, \Omega^q X \otimes \mathcal{L}^i) = H^p(X', \Omega^q X' \otimes \pi^* \mathcal{L}^i) = 0$  if either  $p + q > k$  and  $i > 0$  or  $p + q < k$  and  $i < 0$ . Thus  $I_q$  and  $II_q$  imply equality  $h^{p,q-1}(X) = h^{p,q-1}(X')$  for  $p > k - q + 1$  whereas the same sequences twisted by  $\mathcal{L}^{-n}$  imply  $h^{p,q}(X) = h^{p,q}(X')$  for  $p < k - q$  and also the desired injectivity for  $p = k - q$ . Thus we have the following:

Let  $\pi: X' \rightarrow X$  is an  $n$ -section of an ample line bundle  $\mathcal{L}$  then  $h^{p,q}(X') = h^{p,q}(X)$  for  $p + q \neq k$  and  $h^{p,q}(X') \geq h^{p,q}(X)$  for  $p + q = k$ .

COROLLARY 1.8. Under the above assumptions, for  $p + q = k$

$$h^{p,q}(X') - h^{p,q}(X) = (-1)^p (\chi(\Omega^q X') - \chi(\Omega^q X)).$$

REMARK 1.9. Note that using Lemma 1.6 we can estimate the difference between  $h^{p,q}(X')$  and  $h^{p,q}(X)$  in terms of  $\chi(\Omega^q X \otimes \mathcal{L}^s)$ .

2.  **$n$ -sections satisfying (0.1).** We deal with the problem formulated in [LS]: find when a smooth  $n$ -section  $\pi: X' \rightarrow X$  of an ample line bundle  $\mathcal{L}$  satisfies (0.1). First let us note that the answer we are looking for should depend only on the manifold  $X$ , the line bundle  $\mathcal{L}$  and the number  $n$ ;  $X'$  is then obtained as divisor from the system  $|\mathbf{p}^* \mathcal{L}^n|$  on  $L$ , see (1.1)(a). Here are some necessary conditions for (0.1) to occur.

LEMMA 2.1. *Let  $\pi: X' \rightarrow X$  be a smooth  $n$ -section of a line bundle  $\mathcal{L}$ . If  $\mathcal{L}$  is ample and  $b_k(X) = b_k(X')$  then for  $q = 1, \dots, k + 1$  each of the following equations  $E_q$  is satisfied*

$$\sum_{j=-1}^{k-q} (-1)^j \sum_{i=1}^{n-1} \chi(\Omega^{q+j} X \otimes \mathcal{L}^{n(j+1)+i}) = 0$$

PROOF. In view of (1.8) Lemma 1.6 implies

$$\begin{aligned} &\sum_{j=-1}^{k-q} (-1)^j \sum_{i=1}^{n-1} \chi(\Omega^{q+j} X \otimes \mathcal{L}^{n(j+1)+i}) \\ &+ \sum_{j=-1}^{k-q-1} (-1)^j \sum_{i=1}^{n-1} \chi(\Omega^{q+1+j} X \otimes \mathcal{L}^{n(j+1)+i}) = 0 \end{aligned}$$

and the lemma follows by (descending) induction on  $q$ .

REMARK 2.2. The terms  $\chi(\Omega^r X \otimes \mathcal{L}^s)$  appear in the equation  $E_q$  only if  $r \geq q - 1$  and  $n(r - q + 1) \leq s \leq n(r - q + 2) - 1$ .

LEMMA 2.3. *Let  $\pi: X' \rightarrow X$  be a smooth  $n$ -section of a line bundle  $\mathcal{L}$ . If  $\mathcal{L}$  is ample and  $b_k(X) = b_k(X')$  then*

- (a)  $H^p(\Omega^q X \otimes \mathcal{L}^s) = 0$  for  $p + q = k$  and  $s = 1, \dots, n - 1$ .
- (b)  $h^0(\Omega^{k-1} X \otimes \mathcal{L}) + \dots + h^0(\Omega^{k-1} X \otimes \mathcal{L}^{n-1}) = h^0(\mathcal{O}(K_X) \otimes \mathcal{L}^{n+1}) + \dots + h^0(\mathcal{O}(K_X) \otimes \mathcal{L}^{2n-1})$ .

PROOF. Take the cohomology sequences for  $(I_{q+1})$  and  $(II_{q+1})$

$$\begin{aligned} (I_{q+1}^p) \quad &\dots \rightarrow \sum_{s=1}^n H^p(\Omega^{q+1} X \otimes \mathcal{L}^s) \rightarrow H^p(W_{q+1}) \\ &\rightarrow H^p(\Omega^q X) \oplus \sum_{s=1}^{n-1} H^p(\Omega^q X \otimes \mathcal{L}^s) \\ &\rightarrow \sum_{s=1}^n H^{p+1}(\Omega^{q+1} X \otimes \mathcal{L}^s) \rightarrow \dots \\ (II_{q+1}^p) \quad &\dots \rightarrow H^{p-1}(\pi_* \Omega^{q+1} X' \otimes \mathcal{L}^n) \rightarrow H^p(\pi_* \Omega^q X') \\ &\rightarrow H^p(W_{q+1}) \rightarrow H^p(\pi_* \Omega^{q+1} X' \otimes \mathcal{L}^n) \rightarrow \dots \end{aligned}$$

For  $p + q = k$  the last terms in each of these two sequences vanish (Kodaira vanishing) thus we have an epimorphism

$$H^p(\pi_* \Omega^q X') \rightarrow H^p(\Omega^q X) \oplus \sum_{s=1}^{n-1} H^p(\Omega^q X \otimes \mathcal{L}^s) \rightarrow 0$$

which proves (a). This also implies that the middle map of  $(II_{q+1}^p)$  is an injection, therefore for  $p + q = k - 1$  we have

$$\begin{aligned} &\dots \rightarrow H^{p-1}(\pi_* \Omega^{q+1} X' \otimes \mathcal{L}^n) \rightarrow H^p(\pi_* \Omega^q X') \\ &\rightarrow H^p(W_{q+1}) \rightarrow H^p(\pi_* \Omega^{q+1} X' \otimes \mathcal{L}^n) \rightarrow 0. \end{aligned}$$

Also for  $p + q = k - 1$  the last term of  $(P_{q+1}^p)$  vanishes, so

$$\dots \rightarrow \sum_{s=1}^n H^p(\Omega^{q+1} X \otimes L^s) \rightarrow H^p(W_{q+1}) \rightarrow H^p(\Omega^q X) \oplus \sum_{s=1}^{n-1} H^p(\Omega^q X \otimes L^s) \rightarrow 0.$$

For  $p = 0, q = k - 1$  the above two sequences imply (b).

COROLLARY 2.4 ((4.3) IN [LS]). *For  $X, \mathcal{L}$  and  $n$  as above*

$$H^0(O(K_X) \otimes \mathcal{L}^{n-1}) = 0.$$

2.5. Now assume that  $\mathcal{L}$  is spanned and  $n \geq k \geq 3$ . This situation was discussed in Section 4 of [LS]. In view of (2.2) the results of Sommese ([So], (4.1)) imply that the equality  $b_k(X') = b_k(X)$  is possible only in one of the following cases (cf. [LS], 4.4)

- (i)  $n = k, k + 1$  and  $X \cong \mathbf{P}^k, \mathcal{L} \simeq O(1)$ ;
- (ii)  $n = k, X$  is a smooth hyperquadric in  $\mathbf{P}^{k+1}$  and  $\mathcal{L} \simeq O(1)|_X$ ;
- (iii)  $n = k$  and  $(X, \mathcal{L})$  is a scroll over a smooth curve  $C$  i.e.  $X \cong \mathbb{P}(\mathcal{E})$  for some rank- $k$  vector bundle on  $C$  and  $\mathcal{L} \simeq O_X(1)$ .

In (2.7) and (2.9) below we examine smooth  $n$ -sections of all line bundles over projective space and hyperquadric but let us note that the above cases (i) and (ii) can be eliminated by using the following simple observation: the total space of the line bundle  $O(1)$  over  $\mathbf{P}^k$  is isomorphic to  $\mathbf{P}^{k+1}$  minus a point, the projection from the point is the projection on the base of the total space which is  $\mathbf{P}^k$ . Accordingly any  $n$ -section of  $O(1)$  over  $\mathbf{P}^k$  is a divisor in  $\mathbf{P}^{k+1}$  of degree  $n$ . Similarly, any  $n$ -section of  $O(1)$  over a hyperquadric in  $\mathbf{P}^{k+1}$  is an intersection of a cone over this hyperquadric in  $\mathbf{P}^{k+2}$  with a divisor in  $\mathbf{P}^{k+2}$  of degree  $n$ . In both cases the cohomology of the  $n$ -section can be computed easily.

LEMMA 2.6. *The pair  $(X, \mathcal{L})$  in (2.5)(iii) does not satisfy the conditions (2.3)(b).*

PROOF. We start with two sequences of sheaves on  $X$

$$\begin{aligned} 0 \rightarrow O_X \rightarrow \mathcal{L} \otimes a^* \mathcal{E}^\vee \rightarrow T\mathcal{E} \rightarrow 0 \\ 0 \rightarrow T\mathcal{E} \rightarrow TX \rightarrow a^* O(-K_C) \rightarrow 0 \end{aligned}$$

where  $a: X = \mathbb{P}(\mathcal{E}) \rightarrow C$  is the projection and  $T\mathcal{E}$  is a relative tangent sheaf and  $TX$  is the tangent sheaf. From these sequences we infer that  $O(K_X) \simeq \mathcal{L}^{-k} \otimes a^*(O(K_C) \otimes \det \mathcal{E})$ . Now using the identity  $\Omega^{k-1} X = TX \otimes O(K_X)$  we twist the above sequences to get

$$\begin{aligned} 0 \rightarrow O(K_X) \otimes \mathcal{L}^s \rightarrow \mathcal{L}^{s+1} \otimes a^* \mathcal{E}^\vee \otimes O(K_X) \rightarrow T\mathcal{E} \otimes O(K_X) \otimes \mathcal{L}^s \rightarrow 0 \\ 0 \rightarrow T\mathcal{E} \otimes O(K_X) \otimes \mathcal{L}^s \rightarrow \Omega^{k-1} X \otimes \mathcal{L}^s \rightarrow a^* O(-K_C) \otimes O(K_X) \otimes \mathcal{L}^s \rightarrow 0 \end{aligned}$$

If  $s = 1, \dots, k - 1$  then the line bundle term in each of these sequences has trivial cohomology (because it is a line bundle whose restriction to any fiber of  $a$  (which is isomorphic to  $\mathbf{P}^{k-1}$ ) is  $O(s - k)$ ) and therefore  $H^0(\Omega^{k-1} X \otimes \mathcal{L}^s) = H^0(\mathcal{L}^{s+1} \otimes a^* \mathcal{E}^\vee \otimes O(K_X))$  where the latter cohomology is clearly 0 for  $s < k - 1$  (by the same argument

on restricting to fibers of  $a$ ). On the other hand for  $s = k - 1$  using the formula on  $O(K_X)$  and the identity  $\mathcal{E}^\vee \otimes \det \mathcal{E} = \wedge^{k-1} \mathcal{E}$  we obtain

$$\begin{aligned} H^0(\Omega^{k-1} X \otimes \mathcal{L}^s) &= H^0(\mathcal{L}^k \otimes a^* \mathcal{E}^\vee \otimes O(K_X)) = H^0(\mathcal{E}^\vee \otimes \det \mathcal{E} \otimes O(K_C)) \\ &= H^0(\wedge^{k-1} \mathcal{E} \otimes O(K_C)). \end{aligned}$$

Let us note that  $\wedge^{k-1} \mathcal{E}$  is ample and spanned (because  $\mathcal{L}$  is) and from Riemann-Roch we get

$$\chi(\wedge^{k-1} \mathcal{E} \otimes O(K_C)) = k(g(C) - 1) + (k - 1) \deg \mathcal{E}$$

where  $g(C)$  is the genus of the curve  $C$ .

To the other end, similarly using the identity on  $O(K_X)$ , we find out that

$$H^0(O(K_X) \otimes \mathcal{L}^{k+1}) = H^0(\mathcal{L} \otimes a^*(\det \mathcal{E} \otimes O(K_C))) = H^0(\mathcal{E} \otimes \det \mathcal{E} \otimes O(K_C)).$$

As above we note that  $\mathcal{E} \otimes \det \mathcal{E}$  is ample and spanned, and

$$\dim H^0(\mathcal{E} \otimes \det \mathcal{E} \otimes O(K_C)) \geq \chi(\mathcal{E} \otimes \det \mathcal{E} \otimes O(K_C)) = k(g(C) - 1) + (k + 1) \deg \mathcal{E}.$$

Now we use the following two facts which will be proved below.

(2.6.1) If  $\mathcal{F}$  is a spanned vector bundle on  $C$  then

$$\dim H^0(\mathcal{F} \otimes O(K_C)) \leq \chi(\mathcal{F} \otimes O(K_C)) + \text{rank } \mathcal{F} + 1.$$

(2.6.2) If  $\mathcal{F}$  is an ample and spanned vector bundle on  $C$  then

$$\deg \mathcal{F} \geq \text{rank } \mathcal{F}.$$

To obtain the following estimate

$$H^0(O(K_X) \otimes \mathcal{L}^{k+1}) - H^0(\Omega^{k-1} X \otimes \mathcal{L}^s) \geq 2 \deg \mathcal{E} - \text{rank } \mathcal{E} - 1 > 0$$

which concludes the proof of the lemma.

PROOF OF (2.6.1). Let  $r$  be the rank of  $\mathcal{F}$ . We can choose  $r+1$  sections of  $\mathcal{F}$  spanning it and therefore we produce a sequence

$$0 \rightarrow \mathcal{K} \rightarrow O^{r+1} \rightarrow \mathcal{F} \rightarrow 0$$

where  $\mathcal{K}$  is a kernel of the evaluation map. Twisting the sequence by  $O(K_C)$  and considering cohomology of the twisted sequence we obtain  $\dim H^1(\mathcal{F} \otimes O(K_C)) \leq r + 1$ , which proves (2.6.1).

PROOF OF (2.6.2). A direct proof of it is quite easy but let us show that (2.6.2) follows as an easy consequence of a result on coverings: namely note that the line bundle  $\mathcal{O}_{\mathbb{P}(\mathcal{F})}(1)$  is ample and spanned and taking a linear subsystem of  $|\mathcal{O}_{\mathbb{P}(\mathcal{F})}(1)|$  we can produce a covering of  $\mathbb{P}^{\text{rank } \mathcal{F}}$  whose degree is equal to  $\deg \mathcal{F}$ . Since  $H^2(\mathbb{P}(\mathcal{F}), \mathbb{C}) \neq \mathbb{C}$ , (2.6.2) follows from the main theorem of [La].

The next result is on  $n$ -sections of line bundles over  $\mathbb{P}^k$ .

**THEOREM 2.7.** *The only smooth  $n$ -section ( $n \geq 2$ ) of a line bundle over  $\mathbf{P}^k$  which has the same complex cohomology as  $\mathbf{P}^k$  is a double cover of  $\mathbf{P}^k$  by a smooth hyperquadric  $\mathbf{Q}^k \subseteq \mathbf{P}^{k+1}$  for  $k$  odd.*

**PROOF.** Let  $\pi: Y \rightarrow \mathbf{P}^k$  be a smooth  $n$ -section of a line bundle  $O(d)$  which has the same complex cohomology as  $\mathbf{P}^k$ , clearly  $d > 0$ . In view of the digression following (2.5) we will be done if we prove that  $k$  is odd,  $d = 1$  and  $n = 2$ . Let  $\Omega^q(a)$  denote the sheaf of  $q$ -forms on  $\mathbf{P}^k$  twisted by  $O(a)$ . Then from Bott formulas it follows that

$$\dim H^0(\Omega^q(a)) = \begin{bmatrix} a+k-q \\ a \end{bmatrix} \begin{bmatrix} a-1 \\ q \end{bmatrix} \text{ for } a > q,$$

$H^q(\Omega^q) = \mathbb{C}$ , and the remaining cohomology  $H^p(\Omega^q(a))$  vanish for  $a \geq 0$ . Since  $b_k(Y) = b_k(\mathbf{P}^k)$ , in view of (2.1),  $k, n$  and  $d$  must satisfy each of the following  $k + 1$  equations  $E_q$ :

$$\sum_{j=-1}^{k-q} (-1)^j \sum_{i=1}^{n-1} \dim H^0(\Omega^{q+j}((nj+n+i)d)) = 0.$$

Note that if  $((k-q)n+2n-1) \cdot d < k+1$  then all terms of this equation vanish. Because of the equation  $E_{k+1}$  (cf. (2.4)) we may assume that  $(n-1)d < k+1$  and therefore, since  $n \geq 2$ , we may choose  $q$  such that

$$\begin{aligned} ((k-q)n+2n-1) \cdot d &\geq k+1 \\ ((k-q)n+n-1) \cdot d &< k+1. \end{aligned}$$

then from Bott formulas it follows that all terms of the equation  $E_q$  must vanish except the following terms (compare with (2.2)):

$$\sum_{i=1}^{n-1} \dim H^0(\Omega^k((n(k-q+1)+i)d))$$

and possibly

$$\dim H^0(\Omega^{k-1}(((k-q+1)n-1)d))$$

where the latter term is non-zero only if  $((k-q+1)n-1)d = k$  and then it is equal to  $k+1$ . Since these two terms must cancel each other in  $E_q$  it follows that  $d = 1, n = 2$  and subsequently  $k$  is odd.

**COROLLARY 2.8.** *Assume that  $Y$  is a projective manifold of dimension  $k, k \geq 4$ , which has the same Betti numbers as  $\mathbf{P}^k$ . If there exists a spanned line bundle  $\mathcal{L}$  over  $Y$  whose degree  $d$  (i.e. the self-intersection  $(c_1 \mathcal{L})^k$ ) satisfies inequalities  $1 \leq d \leq 3$  then either  $Y \cong \mathbf{P}^k$  or  $k$  is odd and  $Y$  is isomorphic to a smooth hyperquadric.*

**PROOF.**  $\mathcal{L}$  is ample and spanned and therefore a subsystem of  $|\mathcal{L}|$  defines a finite map onto  $\mathbf{P}^k$ . The degree of this map is equal to  $d \leq 3$  hence, in view of 3.2 from [La],  $Y$  is a  $d$ -section of a line bundle over  $\mathbf{P}^k$ , so (2.7) applies.

Similarly as (2.7) we prove

**THEOREM 2.9.** *For  $k \geq 3$  there is no non-trivial smooth  $n$ -section of a line bundle over a smooth hyperquadric  $\mathbf{Q}^k \subset \mathbf{P}^{k+1}$  which has the same Betti numbers as  $\mathbf{Q}^k$ .*

**PROOF.** We use the same notation as above in the proof of (2.7),  $\mathcal{L} \simeq \mathcal{O}(d)$ ,  $d \geq 1$ . The needed information on cohomology of twisted holomorphic forms on  $\mathbf{Q}^k$  is summarized in Table 3 of [Sn]. First note that since  $H^{k-q}(\mathbf{Q}^k, \Omega^q \mathbf{Q}^k(2q-k)) = \mathbb{C}$  for  $q > k/2$ , then the conditions (2.3)(a) imply that

- if  $k$  is odd then  $d$  is even,  $n$  is arbitrary,
- if  $k$  is even then  $d$  is odd and  $n = 2$ .

(In both cases  $d(n-1) < k$  because of (2.4).)

Therefore the terms  $\chi(\Omega^r \mathbf{Q}^k(m))$  which appear in any equation  $E_q$  from (2.1) have  $m$  of opposite parity than  $k$ , thus (use again the Table 3 in [ibid]) the positive cohomology of such  $\Omega^r \mathbf{Q}^k(m)$  vanish (the cohomology in boxes in the table can be ignored). Now we conclude the proof as (2.7): we choose the largest  $q$  such that  $d(n(k-q+2)-1) > k$  and comparing the Table in [ibid] with (2.2) conclude that  $E_q$  cannot be satisfied. Indeed, since  $k \geq d(n(k-q+1)-1)$ , it follows that the only (possibly) non-vanishing terms in  $E_q$  are

$$\sum_{i=1}^{n-1} H^0(\mathbf{Q}^k, \Omega^k \mathbf{Q}^k((n(k-q+1)+i)d))$$

and because  $k < d(n(k-q+2)-1)$  at least one of the components must be positive.

As a conclusion of the above discussion ((2.5), (2.6), (2.7), (2.9)) we obtain

**THEOREM 2.10.** *If  $X'$  is a smooth  $n$ -section of an ample and spanned line bundle over  $X$  and  $n \geq k = \dim X \geq 3$  then  $b_k(X') > b_k(X)$ .*

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Warsaw University  
 Institute of Mathematics  
 ul. Banacha 2  
 00-913 Warszawa, Poland