

BIPLANAR SURFACES OF ORDER THREE II

TIBOR BISZTRICZKY

A surface of order three F in the real projective three-space P^3 is met by every line, not in F , in at most three points. F is biplanar if it contains exactly one non-differentiable point v and the set of tangents of F at v is the union of two distinct planes, say τ_1 and τ_2 .

In [2], we examined the biplanar surfaces containing the line $\tau_1 \cap \tau_2$. In the present paper, we classify and describe the biplanar F with the property that $\tau_1 \cap \tau_2 \cap F = \{v\}$.

We denote the planes, lines and points of P^3 by the letters $\alpha, \beta, \dots, L, M, \dots$ and p, q, \dots respectively. For a collection of flats α, L, p, \dots , $\langle \alpha, L, p, \dots \rangle$ denotes the flat of P^3 spanned by them. For a set M in P^3 , $\langle \mathcal{M} \rangle$ denotes the flat of P^3 spanned by the points of \mathcal{M} .

1. Surfaces of order three. In this section we formally define a surface of order three, introduce some notation and list some required results.

1.1 A *surface of order three* F in P^3 is a compact and connected set such that every intersection of F with a plane is a curve of order ≤ 3 and there is a plane section of order three not containing any lines of F .

1.2 Let Γ be a (plane) curve of order k , $k \leq 3$ (see [1], 1.3). If $k = 1$, then Γ is a (straight) line. If $k = 2$, then Γ is an isolated point or a pair of lines or the image S^1 of a differentiable parameter curve of order two. If $k = 3$, then Γ is (i) the union of a line and a Γ' of order two or (ii) the image F_*^{-1} of a differentiable parameter curve of order three plus possibly an S^1 or an isolated point either disjoint from F_*^{-1} . We denote a Γ of order three satisfying (ii) by F^1 .

1.3 Let F be a surface of order three, $p \in F$. Let α be a plane through p . Then p is *regular* in $F[\alpha \cap F]$ if there is a line N in $P^3[\alpha]$ such that $p \in N$ and $|N \cap F| = 3$. Otherwise, p is *irregular* in $F[\alpha \cap F]$. An F^1 has at most one irregular point v and such a v is a cusp, double point or isolated point ([1], 1.4).

A line T is a *tangent* of F at p if T is a tangent of some $\Gamma \subset F$ at p ([1], 1.5). Let $\tau(p)$ be the set of tangents of F at p . Then p is *differentiable* if p is regular (in F) and $\tau(p)$ is a plane $\pi(p)$; otherwise, p is *singular*.

Received September 14, 1978 and in revised form July 16, 1979.

We assume that every regular p is differentiable and $\pi(p)$ depends continuously on p .

We denote by $l(p)[l(p, \alpha)]$, the number of lines of $F[\alpha \cap F]$ passing through p and by $l(\alpha)$ the number of lines of $\alpha \cap F$. From 1.2, $l(\alpha) \leq 3$.

Let p be regular and $l(p) = 0$. Then p is an isolated point, cusp or double point of $\pi(p) \cap F$ ([1], 2.3) and we call p *elliptic*, *parabolic* or *hyperbolic* respectively. Let E, I and H denote the set of elliptic, parabolic and hyperbolic points of F respectively.

Let v be irregular in F . If F is non-ruled, that is,

$$l(F) = |\{L \subset P^3 \mid L \subset F\}| < \infty,$$

then $v \in T \subset \tau(v)$ if and only if either $v \in T \subset F$ or $T \cap F = \{v\}$. Moreover, $\tau(v)$ is a plane or a union of two distinct planes or a cone of order two with vertex v ; cf. [5].

1.4 Let \mathcal{F} be a closed, connected subset of an S^1 or an F_*^1 . We call F a *subarc* [*subcurve*] if the end points of \mathcal{F} are distinct [equal].

Let p be regular. Let $\mathcal{F}(p)$ be the set of all subarcs \mathcal{F} of order two in F such that $p \in \mathcal{F} \not\subset \pi(p)$; $\{\mathcal{F}_1, \mathcal{F}_2\} \subset \mathcal{F}(p)$. Then \mathcal{F}_1 and \mathcal{F}_2 are p -*compatible* if there is a $\beta \subset P^3 \setminus \{p\}$ and an open neighbourhood $U(p)$ of p in P^3 such that $U(p) \cap (\mathcal{F}_1 \cup \mathcal{F}_2)$ is contained in a closed half-space of P^3 determined by β and $\pi(p)$. Otherwise, \mathcal{F}_1 and \mathcal{F}_2 are p -*incompatible*.

A pair of subarcs \mathcal{F} and \mathcal{F}' are *compatible* [*incompatible*] if there is a $p \in \mathcal{F} \cap \mathcal{F}'$ such that $\{\mathcal{F}, \mathcal{F}'\} \subset \mathcal{F}(p)$ and $\mathcal{F}, \mathcal{F}'$ are p -compatible [p -incompatible].

We consider a subcurve of order two as an element of $\mathcal{F}(p)$ if it contains a subarc \mathcal{F} such that $p \in \mathcal{F} \subset \mathcal{F}(p)$.

1.5 We describe a surface F by determining the existence and the distribution of elliptic, parabolic and hyperbolic points in F . By way of preparation, we list the following results.

1. If p is regular in F and isolated in $\alpha \cap F$, then $p \in E$ and $\alpha = \pi(p)$ ([1], 2.3.7).

2. Let p be regular, $l(p) = 0$. Then $p \in H$ if and only if there exist incompatible \mathcal{F} and \mathcal{F}' in $\mathcal{F}(p)$ with $p \in (\text{int } \mathcal{F}) \cap (\text{int } \mathcal{F}')$ ([1], 2.5.7).

3. Let $p_\lambda[\alpha_\lambda]$ be a sequence of points [planes] converging to $p[\alpha]$; $p_\lambda \in \alpha_\lambda$ for each λ .

(a) If $\alpha \cap F$ is not of order two or $\alpha \cap F$ does not contain an isolated point, then $\lim (\alpha_\lambda \cap F) = \alpha \cap F$ ([1], 2.4.3).

(b) If p_λ is a cusp [isolated point] of $\alpha_\lambda \cap F$ for each λ , then $l(p) = 0$ implies that p is a cusp [cusp or isolated point] and $\alpha \cap F = L \cup S^1$ implies that $L \cap S^1 = \{p\}$ ([1] 2.4.6 and 2.4.9).

4. Let $\gamma \cap F$ be of order two. Then $\gamma \cap F$ consists of a pair of line $L \neq L'$ and either $L' \subset \pi(L)$ ($L' \subset \pi(p)$ for each regular $p \in L$) or $L \subset \pi(L')$ ([1], 2.2.3).

5. Let G be an open region in F such that $\alpha_0 \cap \bar{G} = \emptyset$ for some α_0 , $\text{bd}(F \setminus G) = \text{bd}(G)$, $\langle \text{bd}(G) \rangle$ is a plane and each $r \in G$ is regular. Then $G \cap E \neq \emptyset$ ([3], 3.7).

6. Let F be non-ruled, $l(F) > 0$. Then $H \neq \emptyset$, E is open and $I = \{p \in \bar{H} \cap \bar{E} \mid l(p) = 0 \text{ and } p \text{ is regular}\}$ is nowhere dense in F ([3], 3.8 and 3.9).

2. Biplanar surfaces.

2.0 Let F be a surface of order three. A point $v \in F$ is a *binode* if v is irregular in F and $\tau(v)$ is the union of two distinct planes. F is *biplanar* if F is non-ruled and contains a binode v as its only irregular point.

Henceforth, F is biplanar with the binode v , $\tau(v)$ is the union of distinct planes τ_1 and τ_2 , $N_0 = \tau_1 \cap \tau_2$ and $N_0 \cap F = \{v\}$. As $v \in T \subset \tau(v)$ if and only if either $v \in T \subset F$ or $T \cap F = \{v\}$, $N_0 \not\subset F$ implies that $l(v) = l(v, \tau_1) + l(v, \tau_2)$. Since each τ_i contains at least one point of F distinct from v , we obtain that $2 \leq l(v) \leq 6$.

2.1 LEMMA. *Let $v \in \beta$ such that $\beta \cap \tau_i$ is a line N_i , $i = 1, 2$.*

1. *If $N_0 = N_1 = N_2$, then v is the cusp of $\beta \cap F$.*
2. *If $N_1 \neq N_0 \neq N_2$ and $l(\beta) = 0$, then v is the double point of $\beta \cap F$.*
3. *If $N_j \subset F$ and $N_k \cap F = \{v\}$, then $\beta \cap F$ consists of N_j and an S^1 such that $|N_j \cap S^1| = 2$ and $v \in N_j \cap S^1$; $\{j, k\} = \{1, 2\}$.*
4. *If $N_1 \cup N_2 \subset F$, then $\beta \cap F$ consists of three non-concurrent lines.*

Proof. This is immediate since v is irregular in $\beta \cap F$ and $v \in L \not\subset \tau_1 \cup \tau_2$ implies that $|L \cap F| = 2$.

2.2 LEMMA. *$l(\tau_i) = 1$ or 3 for $i = 1, 2$.*

Proof. Since $l(\tau_i) > 0$, there is a line $M_i \subset \tau_i \cap F$ through v ; $i = 1, 2$. Suppose that $\tau_1 \cap F = M_1 \cup M_1'$, $M_1 \cap M_1' = \{v\}$. Then either $M_1 \subset \pi(M_1')$ or $M_1' \subset \pi(M_1)$ by 1.5.4.

Since $M_2 \not\subset \tau_1$, 2.1.4 implies that

$$\langle M_1, M_2 \rangle \cap F = M_1 \cup M_2 \cup L_{12} \text{ and}$$

$$\langle M_1', M_2 \rangle \cap F = M_1' \cup M_2 \cup L_{12}', \text{ say,}$$

where $v \notin L_{12} \cup L_{12}'$. Then $M_2 \subset \pi(M_1 \cap L_{12}) \cap \pi(M_1' \cap L_{12}')$ yields that $M_1 \not\subset \pi(M_1')$ and $M_1' \not\subset \pi(M_1)$, a contradiction.

The preceding argument is symmetric in τ_1 and τ_2 .

2.3 THEOREM. *Let F be biplanar with the binode v , $\tau_1 \cap \tau_2 \cap F = \{v\}$. Then F is one of the following types: (1) $l(F) = 3$ and $l(v) = 2$, (2) $l(F) = 7$ and $l(v) = 4$ and (3) $l(F) = 15$ and $l(v) = 6$.*

Proof. By 2.2, $l(v)$ is 2, 4 or 6. Now apply 2.1.4.

We note that 2.3 and [2], 2.3 provide a classification of biplanar surfaces. In particular, a biplanar F with the binode v is identified by the ordered pair $(l(F), l(v))$ equal to (1, 1), (2, 1), (2, 2), (3, 2), (3, 3), (4, 3), (6, 4), (7, 4), (10, 5) or (15, 6).

2.4 Before examining the surfaces listed in 2.3, we introduce the following definitions and notations.

a) Let $v \in \beta$, $l(\beta) = 0$. By 2.1, v is a cusp or a double point of $\beta \cap F$. In either case, there is a unique inflection point $p_\beta \in \beta \cap F$.

If v is a cusp of $\beta \cap F$, then

$$\beta \cap F = \mathcal{F} \cup \mathcal{F}'$$

where $\mathcal{F} \cap \mathcal{F}' = \{v, p_\beta\}$ and $\{\mathcal{F}, \mathcal{F}'\} \subset \mathcal{F}(p_\beta)$.

If v is a double point of $\beta \cap F$, then

$$\beta \cap F = \mathcal{L} \cup \mathcal{F}_1 \cup \mathcal{F}_2$$

where $\mathcal{L} \cap (\mathcal{F}_1 \cup \mathcal{F}_2) = \{v\}$, $\mathcal{F}_1 \cap \mathcal{F}_2 = \{v, p_\beta\}$, $\{\mathcal{F}_1, \mathcal{F}_2\} \subset \mathcal{F}(p_\beta)$ and \mathcal{L} is the loop (the subcurve of order two) of $\beta \cap F$. We note that $\beta \cap \tau_1$ and $\beta \cap \tau_2$ are the tangents of $\beta \cap F$ at v . We will always assume that $\lim \langle v, r \rangle = \beta \cap \tau_i$ as $r \neq v$ tends to v in \mathcal{F}_i ; $i \neq 1, 2$.

b) Let p, q, r and s be four mutually distinct collinear points. We say that p, q separates r, s if no segment of $\langle p, q \rangle$ bounded by p and q contains both r and s . Otherwise, p, q does not separate r, s .

In an obvious manner, we extend the preceding definition to coplanar lines.

c) Let $L \subset F$ and $r \in F \setminus L$ such that $\langle L, r \rangle \cap F$ consists of L and an S^1 . We denote this S^1 by $S^1(L, r)$.

d) Let \mathcal{F} be a subarc or a subcurve, either of order two; $\alpha = \langle \mathcal{F} \rangle$. We define

$$e(\mathcal{F}) = \{p \in \alpha \setminus \mathcal{F} \mid p \text{ lies on a tangent of } \mathcal{F} \text{ at } r \text{ for some } r \in \mathcal{F}\}$$

$$\text{and } i(F) = \alpha \setminus \{e(\mathcal{F}) \cup \mathcal{F}\}.$$

We note that $\alpha = i(\mathcal{F}) \cup \mathcal{F} \cup e(\mathcal{F})$ and $\mathcal{F} = S^1$ implies that $i(S^1)$ is the open disk of $\langle S^1 \rangle$ bounded by S^1 .

Let \mathcal{F} be a subarc of order two, $r \in \text{int}(\mathcal{F})$. Then the tangent T of \mathcal{F} at r supports \mathcal{F} at r and $T \subset e(\mathcal{F}) \cup \{r\}$. Let $r \in N \subset \alpha$,

$N \neq T$. Then N cuts \mathcal{F} at r and $N \cap i(\mathcal{F}) \neq \emptyset \neq N \cap e(F)$. Thus

$$r \in \overline{(N \cap i(F))} \cap \overline{(N \cap e(F))}.$$

2.5 THEOREM. *Let r be regular such that $l(r) = 0$ and $\{\mathcal{F}, \mathcal{F}'\} \subset \mathcal{F}(r)$ with*

$$r \in (\text{int } \mathcal{F}) \cap (\text{int } \mathcal{F}') \text{ and } r \notin \overline{e(\mathcal{F})} \cap \overline{e(\mathcal{F}')}$$

Then r is hyperbolic.

Proof. Let $T[T']$ be the tangent of $\mathcal{F}[\mathcal{F}']$ at r . Since

$$r \notin \overline{e(\mathcal{F})} \cap \overline{e(\mathcal{F}')},$$

2.4 (d) yields that $\langle \mathcal{F} \rangle \cap \langle \mathcal{F}' \rangle$ is a line N distinct from T and T' . Hence $\langle T, N \rangle = \langle F \rangle$, $\langle T', N \rangle = \langle F' \rangle$, $T \neq T'$, $\pi(r) = \langle T, T' \rangle$, N cuts both \mathcal{F} and \mathcal{F}' at r and

$$r \in \overline{(N \cap e(\mathcal{F}))} \cap \overline{(N \cap e(\mathcal{F}'))}$$

Then

$$r \notin \overline{e(\mathcal{F})} \cap \overline{e(\mathcal{F}')} = N \cap \overline{e(\mathcal{F})} \cap \overline{e(\mathcal{F}')}$$

and the preceding imply that $\overline{N \cap e(\mathcal{F})}$ and $\overline{N \cap e(\mathcal{F}')}$ are one-sided neighbourhoods of r in N . Thus there is an open neighbourhood $U(r)$ of r in P^3 such that

$$U(r) \cap e(\mathcal{F}) \neq \emptyset \neq U(r) \cap e(\mathcal{F}')$$

and

$$U(r) \cap e(\mathcal{F}) \cap e(\mathcal{F}') = \emptyset.$$

Then $e(\mathcal{F}) \cap e(\mathcal{F}') \subset N$ and $N \not\subset \pi(r)$ imply that for any $\beta \subset P^3 \setminus \{r\}$, $U(r) \cap (N \cap e(\mathcal{F}))$ and $U(r) \cap (N \cap e(\mathcal{F}'))$ are not contained in the same half-space of P^3 bounded by β and $\pi(r)$. This is possible only if $U(r) \cap \mathcal{F}$ and $U(r) \cap \mathcal{F}'$ are also not contained in the same half-space of P^3 bounded by β and $\pi(r)$. Thus $r \in H$ by 1.4 and 1.5.2.

COROLLARY. *If the line $\langle \mathcal{F} \rangle \cap \langle \mathcal{F}' \rangle$ is not the tangent of \mathcal{F} or \mathcal{F}' at r , then $r \notin \overline{e(\mathcal{F})} \cap \overline{e(\mathcal{F}')}$ if and only if $r \notin \overline{i(\mathcal{F})} \cap \overline{i(\mathcal{F}')}$.*

3. F with three lines.

3.0 Let F be biplanar with the binode v , $l(F) = l(v) + 1 = 3$. Let M_i denote the line of F through v in τ_i , $i = 1, 2$. By 2.1.4, $\langle M_1, M_2 \rangle \cap F$ contains a line L such that $v \notin L$. Let $L \cap M_i$ be the point m_i .

Let $r \in F$, $l(r) = 0$. By 2.1, v is the cusp of $\langle N_0, r \rangle \cap F$ and

$$\langle M_i, r \rangle \cap F = M_i \cup S^1(M_i, r)$$

where

$$v \in M_i \cap S^1(M_i, r) \text{ and } |M_i \cap S^1(M_i, r)| = 2.$$

We note that $S^1(M_i, r) \in \mathcal{F}(r)$ and if $M_i \cap S^1(M_i, r) = \{v, p_i\}$, then

$$\pi(p_i) = \langle M_i, r \rangle; i = 1, 2.$$

If $L \not\subset \pi(r)$, then $\langle L, r \rangle \cap F = L \cup S^1(L, r)$ and $S^1(L, r) \in F(r)$.

3.1 Let \mathcal{P}_1 and \mathcal{P}_2 be the closed half-spaces of P^3 determined by τ_1 and τ_2 . Put $\{i, j\} = \{1, 2\}$ and let $\beta \subset \mathcal{P}_i, l(\beta) = 0$. Then $N_0 \subset \beta$ and v is the cusp of $\beta \cap F = \mathcal{F}_1 \cup \mathcal{F}_2$.

Let B_λ be a sequence of planes tending to β such that $v \in \beta_\lambda \neq \beta$ and $l(\beta_\lambda) = 0$ for each λ . Then v is the double point of

$$\beta_\lambda \cap F = \mathcal{L}_\lambda \cup \mathcal{F}_{1,\lambda} \cup \mathcal{F}_{2,\lambda}$$

(\mathcal{L}_λ , being the loop of $\beta_\lambda \cap F$) by 2.1.2. Since $\lim \beta_\lambda = \beta$, 1.5.3 implies that

$$\lim(\mathcal{L}_\lambda \cup \mathcal{F}_{1,\lambda} \cup \mathcal{F}_{2,\lambda}) = \mathcal{F}_1 \cup \mathcal{F}_2.$$

We note that (for each λ) \mathcal{L}_λ and $\mathcal{F}_{1,\lambda} \cup \mathcal{F}_{2,\lambda}$ are not contained in the same \mathcal{P}_1 or \mathcal{P}_2 and $\lim \mathcal{L}_\lambda$ is a curve of order ≤ 2 . Then $\mathcal{F}_1 \cup \mathcal{F}_2 \subset \mathcal{P}_i$ and $(\mathcal{F}_1 \cup \mathcal{F}_2) \cap \mathcal{P}_j = \{v\}$ imply that

$$\mathcal{F}_{1,\lambda} \cup \mathcal{F}_{2,\lambda} \subset \mathcal{P}_i \text{ and } \mathcal{L}_\lambda \subset \mathcal{P}_j$$

for β_λ sufficiently close to β . Thus

$$\lim(\mathcal{F}_{1,\lambda} \cup \mathcal{F}_{2,\lambda}) = \mathcal{F}_1 \cup \mathcal{F}_2 \text{ and } \lim \mathcal{L}_\lambda = \{v\}.$$

Finally, $v \notin L$ implies that $\mathcal{L}_\lambda \cap L = \emptyset$ and therefore

$$(\mathcal{L}_\lambda \setminus \{v\}) \cap (M_1 \cup M_2 \cup L) = \emptyset$$

for \mathcal{L}_λ sufficiently close to v .

3.2 For $i = 1, 2$, let \mathcal{P}_{i1} and \mathcal{P}_{i2} be the open quarter-spaces of \mathcal{P}_i determined by $\langle M_1, M_2 \rangle$. Put $F_{ik} = \mathcal{P}_{ik} \cap F, k = 1, 2$. Then

$$F_{11} \cup F_{12} \cup F_{21} \cup F_{22} = \{r \in F \mid l(r) = 0\}$$

and

$$F = F_{11} \cup F_{12} \cup F_{21} \cup F_{22} \cup M_1 \cup M_2 \cup L.$$

From 3.1, there is a sequence of loops \mathcal{L}_λ in each $F_{i1} \cup F_{i2} \cup \{v\}$ such that $\lim \mathcal{L}_\lambda = \{v\}$. It is easy to check that there is such a sequence of loops in each $F_{ik} \cup \{v\}$. Then the continuity of the plane sections of F through v yields that (for each $i, k = 1, 2$) there exists a sequence β_γ such that v is the double point of

$$\beta_\gamma \cap F = \mathcal{L}_\gamma \cap \mathcal{F}_{1,\gamma} \cup \mathcal{F}_{2,\gamma},$$

$$\beta_\lambda \cap F_{ik} = \mathcal{L}_\gamma \setminus \{v\} \text{ and } \lim \beta_\gamma = \langle M_1, M_2 \rangle.$$

Since $\lim(\mathcal{L}_\gamma \cup \mathcal{F}_{1,\gamma} \cup \mathcal{F}_{2,\gamma}) = M_1 \cup M_2 \cup L$ and $\lim \mathcal{L}_\gamma$ is a curve of order ≤ 2 , we readily obtain that $\lim \mathcal{L}_\gamma$ is a triangle in $M_1 \cup M_2 \cup L$ with vertices m_1, m_2 and v . Thus F_{ik} is a bounded triangular region with

$$\text{bd}(F_{ik}) \subset M_1 \cup M_2 \cup L; i, k = 1, 2.$$

3.3 THEOREM. $E \cap F_{ik}$ is a non-empty proper subset of F_{ik} with v in its boundary; $i, k = 1, 2$.

Proof. From 3.2, there is a sequence of loops $\mathcal{L}_\gamma \subset F_{ik} \cup \{v\}$ converging to v . Since F_{ik} is a bounded region with $\text{bd}(F_{ik}) \subset M_1 \cup M_2 \cup L$, we obtain that (for each γ) \mathcal{L}_γ is the boundary of an open region $F_{ik}(\mathcal{L}_\gamma) \subset F_{ik}$ such that

$$\lim \text{Cl}(F_{ik}(\mathcal{L}_\gamma)) = \{v\}$$

as \mathcal{L}_γ tends to v . Clearly, $F_{ik}(\mathcal{L}_\gamma)$ satisfies 1.5.5 for each γ and thus

$$E \cap F_{ik}(\mathcal{L}_\gamma) \neq \emptyset \text{ and}$$

$$v \in \overline{E \cap F_{ik}}.$$

Let $r \in F_{ik}$. Then

$$\langle M_1, r \rangle \cap F = M_1 \cup S^1(M_1, r)$$

where

$$M_1 \cap S^1(M_1, r) = \{v, p\}, p \neq v \text{ and } \pi(p) = \langle M_1, r \rangle.$$

We note that $p \neq m_1$ and thus

$$L \cap S^1(M_1, r) = \emptyset.$$

Then $r \in F_{ik}$ implies that

$$p \in M_1 \cap \bar{F}_{ik}.$$

Since $|M_1 \cap S^1(M_1, r)| = 2$, 1.5.3 yields that $p \notin \bar{E}$ and $F_{ik} \not\subset E$.

3.4 We have shown that F is the union of the regions \bar{F}_{ik} , each of which contains elliptic, parabolic and hyperbolic points. We still need to determine the “positions” of the F_{ik} to obtain a representation of F ; cf. Figure 1.

Let $r \in \mathcal{P}_i \cap F$ such that $l(r) = 0$ and $\langle L, r \rangle \cap F = L \cup S^1(L, r)$, $i \in \{1, 2\}$. Then $\langle L, r \rangle \cap \tau_i \cap F = \{m_i\}$ implies that

$$\{m_1, m_2\} \subset e(S^1(L, r)) \text{ and } S^1(L, r) \subset \text{int}(\mathcal{P}_i).$$

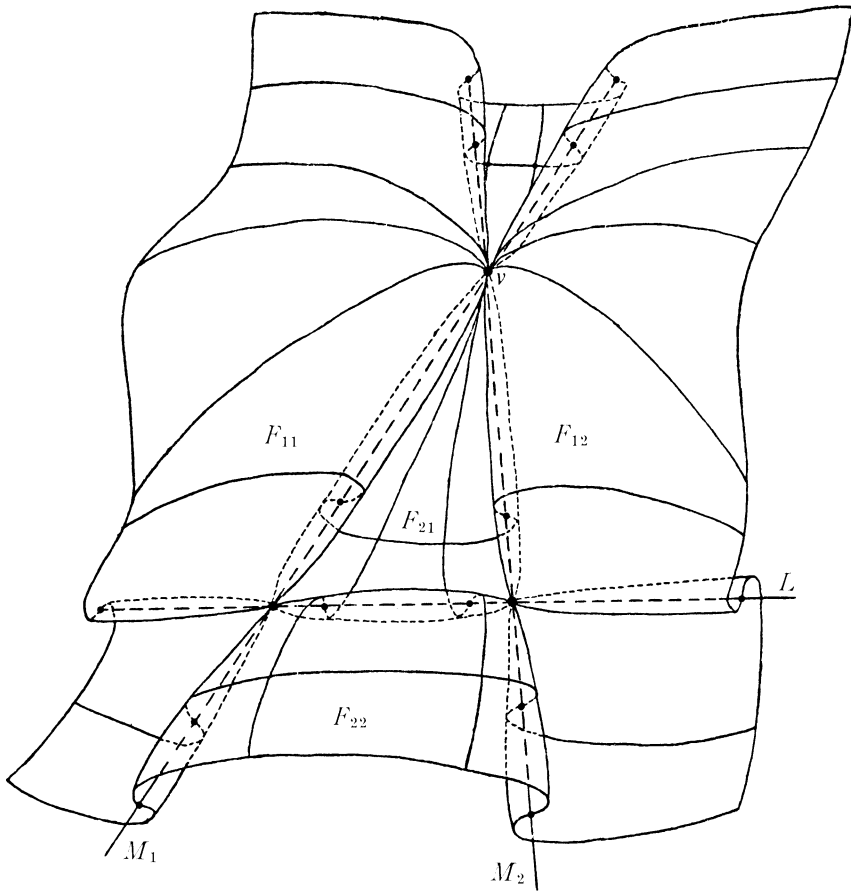


FIGURE 1

Let \mathcal{S} be the space of all planes of P^3 through L and put

$$\mathcal{S}_i = \{\alpha \in \mathcal{S} \mid \alpha \cap F = L \cup S^1 \text{ and } S^1 \subset \mathcal{P}_i\}, i = 1, 2.$$

If $\alpha_i \in \text{bd}(\mathcal{S}_i)$, then clearly $\alpha_i = \langle M_1, M_2 \rangle$ or $\alpha_i \cap F = L$ or $\alpha_i \cap F = L \cup \{r_i\}$ where r_i is some point in $\mathcal{P}_i \cap F$ with $l(r_i) = 0$. Thus $\alpha \in \overline{\mathcal{S}}_1 \cap \overline{\mathcal{S}}_2$ implies that

$$\alpha = \langle M_1, M_2 \rangle \text{ or } \alpha \cap F = L.$$

Since $\mathcal{S}^* = \mathcal{S} \setminus \langle M_1, M_2 \rangle$ is connected and $\mathcal{S}^* \cap \overline{\mathcal{P}}_1$ and $\mathcal{S}^* \cap \overline{\mathcal{P}}_2$ are non-empty and closed in \mathcal{S}^* , we obtain that

$$\mathcal{S}^* \cap \overline{\mathcal{P}}_1 \cap \overline{\mathcal{P}}_2 \neq \emptyset \text{ or } \mathcal{S} \setminus (\overline{\mathcal{P}}_1 \cup \overline{\mathcal{P}}_2) \neq \emptyset.$$

In either case, there is an $\alpha_0 \in \mathcal{S}$ such that $\alpha_0 \cap F = L$.

Let \mathcal{Q} and \mathcal{Q}' be the closed half-spaces of P^3 determined by $\langle M_1, M_2 \rangle$ and α_0 and let v be the cusp of $\beta \cap F = \mathcal{F}_1 \cup \mathcal{F}_2 \subset \mathcal{P}_i, i \in \{1, 2\}$. Then $\mathcal{F}_1 \cup \mathcal{F}_2$ meets both F_{i1} and F_{i2} . Since $\beta \cap \langle M_1, M_2 \rangle$ supports $\beta \cap F$ at $v, \mathcal{F}_1 \cup \mathcal{F}_2$ is contained in either \mathcal{Q} or \mathcal{Q}' , say \mathcal{Q} . As $l(r) = 0$ for $r \in F_{i1} \cup F_{i2}$, this implies that

$$F_{i1} \subset \mathcal{P}_{i1} \cap \mathcal{Q} \text{ and } F_{i2} \subset \mathcal{P}_{i2} \cap \mathcal{Q}.$$

Since each F_{ik} is connected, we obtain that

$$\begin{aligned} \bar{F}_{i1} \cap \bar{F}_{i2} &= (\mathcal{P}_i \cap L) \cup \{v\}, \\ \{v, m_1, m_2\} &\subset \bar{F}_{1k} \cap \bar{F}_{2k} \subset M_1 \cup M_2 \end{aligned}$$

and

$$\bar{F}_{11} \cap \bar{F}_{12} \cap \bar{F}_{21} \cap \bar{F}_{22} = \{v, m_1, m_2\}; i, k = 1, 2.$$

We determine completely the positions of the F_{ik} if the following result ([4], p. 10) about algebraic biplanar surfaces is valid for F : "A plane turning about its edge (N_0) cuts the surface in a curve with a cusp which changes direction to the opposite one whenever the turning plane has passed through one of the two real nodal planes (τ_i)."

Since $\beta \cap F \subset \mathcal{P}_i \cap \mathcal{Q}$, the quote is true if there is a β' such that v is the cusp of $\beta' \cap F$ and $\beta' \cap F \subset \mathcal{P}_j \cap \mathcal{Q}'$; $\{i, j\} = \{1, 2\}$. Since $l(r) = 0$ implies that v is the cusp of $\langle N_0, r \rangle \cap F$, it is sufficient to prove that

$$\text{int}(\mathcal{P}_j \cap \mathcal{Q}') \cap F \neq \emptyset.$$

As in 3.1 let β_λ tend to β, v the double point of $\beta_\lambda \cap F = \mathcal{L}_\lambda \cup \mathcal{F}_{1,\lambda} \cup \mathcal{F}_{2,\lambda}$ for each λ . Then $\mathcal{F}_1 \cup \mathcal{F}_2 \subset \mathcal{P}_i$ implies that

$$\mathcal{L}_\lambda \subset \mathcal{P}_j \text{ and } \mathcal{L}_\lambda \cap (M_1 \cup M_2 \cup L) = \{v\}$$

for β_λ sufficiently close to β . Clearly, $\mathcal{F}_1 \cup \mathcal{F}_2 \subset \mathcal{Q}$ implies that $\mathcal{F}_{1,\lambda} \cup \mathcal{F}_{2,\lambda}$ is also contained in \mathcal{Q} for β_λ sufficiently close to β . Then

$$v \in \mathcal{Q} \cap \mathcal{Q}', \{v\} = \mathcal{L}_\lambda \cap (\mathcal{F}_{1,\lambda} \cup \mathcal{F}_{2,\lambda})$$

and the preceding imply that $\mathcal{L}_\lambda \subset \mathcal{Q}'$ for \mathcal{L}_λ sufficiently close to v . Thus

$$\text{int}(\mathcal{P}_j \cap \mathcal{Q}') \neq \emptyset.$$

We observe that the surface in P^3 (suitably coordinatized) defined by

$$x_0^3 + x_0(x_1^2 + x_2^2) + x_1x_2x_3 = 0$$

satisfies 3.0 with

$$M_1 \equiv x_0 = x_1 = 0, M_2 \equiv x_0 = x_2 = 0 \text{ and } L \equiv x_0 = x_3 = 0.$$

3.5 THEOREM. *Let F be a biplanar surface satisfying 3.0. Then*

$$F = F_{11} \cup F_{12} \cup F_{21} \cup F_{22} \cup M_1 \cup M_2 \cup L$$

where F_{ik} is an open region described in 3.2 and 3.4 with $v \in \overline{E \cap F_{ik}}$, $i, k = 1, 2$.

4. F with seven lines.

4.0 Let F be biplanar with the binode v , $l(F) = l(v) + 3 = 7$. Let M_i ($i \in \mathcal{N}_4 = \{1, 2, 3, 4\}$) denote the lines of F through v such that

$$\tau_1 \cap F = M_1 \cup M_2 \cup M_3 \text{ and } \tau_2 \cap F = M_4.$$

Then $\langle M_4, M_j \rangle \cap F$ contains a line L_j with $v \notin L_j$, $j \in \mathcal{N}_3 = \{1, 2, 3\}$. Clearly L_1, L_2 and L_3 are mutually disjoint.

Let $r \in F$, $l(r) = 0$. Then v is the cusp of $\langle N_0, r \rangle \cap F$ and

$$\begin{aligned} \langle M_i, r \rangle \cap F &= M_i \cup S^1(M_i, r) \text{ where} \\ v &\in M_i \cap S^1(M_i, r) \text{ and } |M_i \cap S^1(M_i, r)| = 2, i \in \mathcal{N}_4. \end{aligned}$$

Clearly, r is not an isolated point of $\langle L_j, r \rangle \cap F$ and thus

$$\langle L_j, r \rangle \cap F = L_j \cup S^1(L_j, r); j \in \mathcal{N}_3.$$

We note that

$$\{S^1(M_i, r), S^1(L_j, r)\} \subset \mathcal{F}(r).$$

For $j \in \mathcal{N}_3$, let $m_j[l_j]$ be the point of intersection of L_j and $M_4[M_j]$. Finally, we assume that M_1, M_3 separate N_0, M_2 .

4.1 Let \mathcal{P}_1 and $\mathcal{P}_2[\mathcal{Q}_0$ and $\mathcal{Q}_2]$ be the closed half-spaces of P^3 determined by τ_1 and τ_2 [$\langle M_4, M_1 \rangle$ and $\langle M_4, M_3 \rangle$]. We assume that $N_0 \subset \mathcal{Q}_0$, thus

$$M_2 \cup L_2 \cup \tau_2 \subset \mathcal{Q}_2.$$

Finally, let \mathcal{Q}_{01} and \mathcal{Q}_{03} be the closed quarter-spaces of \mathcal{Q}_0 determined by τ_2 with $\langle M_4, M_k \rangle \subset \mathcal{Q}_{0k}$, $k = 1, 3$. Then

$$\begin{aligned} P^3 &= \mathcal{Q}_2 \cup \mathcal{Q}_0 \\ &= \mathcal{Q}_2 \cup \mathcal{Q}_{01} \cup \mathcal{Q}_{03} \\ &= \mathcal{Q}_2 \cup (\mathcal{P}_1 \cap \mathcal{Q}_{01}) \cup (\mathcal{P}_2 \cap \mathcal{Q}_{01}) \cup (\mathcal{P}_1 \cap \mathcal{Q}_{03}) \\ &\quad \cup (\mathcal{P}_2 \cap \mathcal{Q}_{03}). \end{aligned}$$

Let $F_2 = \mathcal{Q}_2 \cap F$ and $F_{ik} = \text{int}(\mathcal{P}_i \cap \mathcal{Q}_{0k}) \cap F$, $i \in \{1, 2\}$ and $k \in \{1, 3\}$. We observe that all the lines of F are contained in F_2 and

$$F_{11} \cup F_{13} \cup F_{21} \cup F_{23} = \{r \in \mathcal{Q}_0 \cap F \mid l(r) = 0\}.$$

4.2 Let $\alpha \subset \mathcal{Q}_{0k}$, $\alpha \neq \tau_2$ and $l(\alpha) = 1$, $k \in \{1, 3\}$. By 2.1, there is an $r \in F$ such that

$$\alpha \cap F = M_4 \cap S^1(M_4, r) \text{ and } M_4 \cap S^1(M_4, r) = \{v, q_r\}, v \neq q_r.$$

Thus

$$\alpha = \pi(q_r), q_r \notin \{m_1, m_2, m_3\}, S^1(M_4, r) \cap \mathcal{P}_i \neq \emptyset \text{ and } F_{ik} \neq \emptyset, i = 1, 2.$$

Since $l(r') = 0$ for $r' \in S^1(M_4, r) \setminus M_4$, we obtain that

$$S^1(M_4, r) = (F_{1k} \cap S^1(M_4, r)) \cup \{v, q_r\} \cup (F_{2k} \cap S^1(M_4, r)).$$

Thus $q_r \in \bar{F}_{1k} \cap \bar{F}_{2k}$.

If $\alpha = \langle S^1(M_4, r) \rangle$ tends to $\langle M_4, M_k \rangle$ in \mathcal{Q}_{0k} , then $\lim(M_4 \cup S^1(M_4, r)) = M_4 \cup M_k \cup L_k$ and $\lim S^1(M_4, r)$, a curve of order two, imply that

$$\begin{aligned} \lim S^1(M_4, r) &= M_k \cup L_k, \\ \lim (\bar{F}_{ik} \cap S^1(M_4, r)) &\subset M_k \cup (\mathcal{P}_i \cap L_k) \end{aligned}$$

and

$$\lim q_r = m_k, i = 1, 2.$$

If $\alpha = \langle S^1(M_4, r) \rangle$ tends to τ_2 , then $v \in \lim S^1(M_4, r) \subseteq M_4$. If $q \neq v$ in $\lim S^1(M_4, r)$, then it is easy to check that $\pi(q) = \tau_2$ and thus $q \notin \{m_1, m_2, m_3\}$. This implies that $M_4 \cap \bar{F}_{ik}$ is the closed segment (of M_4) bounded by v and m_k such that

$$\{m_2, m_l\} \cap (M_4 \cap \bar{F}_{ik}) = \emptyset; \{k, l\} = \{1, 3\} \text{ and } i \in \{1, 2\}.$$

Therefore m_1, m_3 separates v, m_2 .

By a similar consideration of $\langle M_k, r \rangle \cap F$ for $r \in F_{ik}$, we obtain that $M_k \cap \bar{F}_{ik}$ is a closed segment bounded by v and l_k . Thus $\text{bd}(F_{ik})$ is a triangle in $M_4 \cup M_k \cup L_k$ with vertices v, m_k and $l_k, i \in \{1, 2\}$ and $k \in \{1, 3\}$.

4.3 THEOREM. $E \cap F_{ik} \neq \emptyset$ with $v \in \overline{E \cap F_{ik}}, i = 1, 2$ and $k = 1, 3$.

Proof. Let v be the cusp of $\beta \cap F = \mathcal{F}_1 \cup \mathcal{F}_2$ and let β_λ converge to β such that v is the double point of $\beta_\lambda \cap F = \mathcal{L}_\lambda \cup \mathcal{F}_{1,\lambda} \cup \mathcal{F}_{2,\lambda}$ for each λ . From 2.1, we may assume that $\beta \subset \mathcal{P}_j, \{i, j\} = \{1, 2\}$. Then (cf. 3.1)

$$\lim(\mathcal{F}_{1,\lambda} \cup \mathcal{F}_{2,\lambda}) = \mathcal{F}_1 \cup \mathcal{F}_2, \lim \mathcal{L}_\lambda = \{v\}$$

and for β_λ sufficiently close to β ,

$$\mathcal{F}_{1,\lambda} \cup \mathcal{F}_{2,\lambda} \subset \mathcal{P}_j, \mathcal{L}_\lambda \subset \mathcal{P}_i, l(r) = 0$$

for $r \in \mathcal{L}_\lambda \setminus \{v\}$ and thus \mathcal{L}_λ is contained in either \mathcal{Q}_0 or \mathcal{Q}_2 .

Let β_λ be arbitrarily close to β . As $\beta \cap (\tau_1 \cup \tau_2) = N_0 \subset \mathcal{Q}_0$, this implies that $\beta_\lambda \cap (\tau_1 \cup \tau_2) \subset \mathcal{Q}_0$ and hence $\mathcal{L}_\lambda \subset \mathcal{P}_i \cap \mathcal{Q}_0$. Clearly, \mathcal{L}_λ is contained in $\mathcal{P}_i \cap \mathcal{Q}_{01}$ or $\mathcal{P}_i \cap \mathcal{Q}_{03}$ and there exist β_λ such that \mathcal{L}_λ converges to v in $F_{ik} \cup \{v\}$.

We may apply 3.3.

4.4 From 4.2, $M_4 \cap \bar{F}_{1k} = M_4 \cap \bar{F}_{2k}$ is the segment bounded by v and m_k not containing m_2 or m_l ; moreover, $\pi(q) \subset \mathcal{Q}_{0k}$ for each $q \in M_4 \cap \bar{F}_{1k}$, $\{k, l\} = \{1, 3\}$. Thus $M_4 \cap F_2$ is the segment of M_4 , bounded by m_1 and m_3 , containing m_2 .

Let $r \in F, l(r) = 0$. Then

$$\langle L_2, r \rangle \cap F = L_2 \cup S^1(L_2, r),$$

$$\langle L_2, r \rangle \cap \tau_2 \cap F = \{m_2\} \text{ and}$$

$$|\langle L_2, r \rangle \cap \tau_1 \cap F| = 3.$$

Hence

$$\langle m_2, \langle L_2, r \rangle \cap N_0 \rangle \subset e(S^1(L_2, r)) \text{ (cf. 2.4),}$$

$$l_2 \in i(S^1(L_2, r)) \text{ and}$$

$$|L_2 \cap S^1(L_2, r)| = 2.$$

4.5 LEMMA. *Let $r \in F_2, l(r) = 0$. Then $|\langle m_2, r \rangle \cap F| = 3$.*

Proof. Since L_1, L_2 and L_3 are mutually disjoint, $S^1(L_2, r)$ meets $L_1[L_3]$ at a point $l_1^*[l_3^*]$ say. Since $l_2 \in M_2 \cap i(S^1(L_2, r))$, $S^1(L_2, r)$ meets $M_1[M_3]$ at $m_1^*[m_3^*]$ say. Clearly, m_2, m_k^* and l_k^* are collinear, $k = 1, 3$.

Let \mathcal{H}_0 and \mathcal{H}_2 be the closed half-planes of $\langle S^1(L_2, r) \rangle$ determined by $\langle m_2, m_1^* \rangle$ and $\langle m_2, m_3^* \rangle$, $L_2 \subset \mathcal{H}_2$. Then

$$\mathcal{H}_0 = \mathcal{Q}_0 \cap \langle S^1(L_2, r) \rangle, \mathcal{H}_2 = \mathcal{Q}_2 \cap \langle S^1(L_2, r) \rangle$$

and $r \in \mathcal{H}_2$. Thus $\langle m_2, \langle L_2, r \rangle \cap N_0 \rangle \subset \mathcal{H}_0 \cap e(S^1(L_2, r))$ implies that $|L \cap F| = 3$ for any $L \subset \mathcal{H}_2 \setminus H_0$, and in particular, $|\langle m_2, r \rangle \cap F| = 3$.

4.6 THEOREM. *Every $r \in F_2$ such that $l(r) = 0$ is hyperbolic.*

Proof. Let $r \in F_2, l(r) = 0$. Then

$$\langle M_4, r \rangle \subset \mathcal{Q}_2, \langle M_4, r \rangle \cap F_2 = M_4 \cup S^1(M_4, r) \text{ and } l(r') = 0$$

for $r' \in S^1(M_4, r) \setminus M_4$. From 4.5, $|\langle m_2, r' \rangle \cap F| = 3$ for each such r' and this implies that $m_2 \in i(S^1(M_4, r))$. Hence

$$|S^1(M_4, r) \cap S^1(L_2, r)| = 2.$$

This and $m_2 \in e(S^1(L_2, r))$ imply

$$e(S^1(L_2, r)) \cap e(S^1(M_4, r)) = \emptyset.$$

Since $\{S^1(L_2, r), S^1(M_4, r)\} \subset \mathcal{F}(r), r \in H$ by 2.5.

4.7 We observe that the shape of the surface (Figure 2) is simple about M_4 and complex near τ_1 . The latter reflects the degeneration of the curves $\beta \cap F = \mathcal{F}_1 \cup \mathcal{F}_2$ with v is a cusp into $M_1 \cup M_2 \cup M_3$ as β tends to τ_1 .

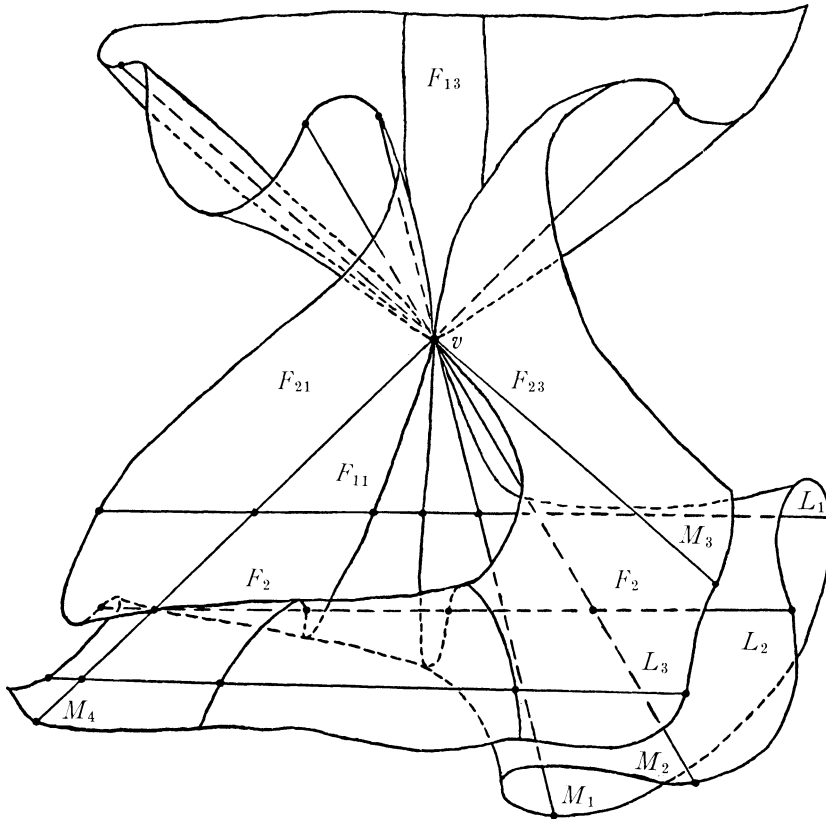


FIGURE 2

We also note that $\beta \cap F \subset \mathcal{F}_1 \cup \mathcal{F}_2$ through v changes “direction” as β passes through τ_1 and τ_2 . (Consider \mathcal{Q}_2 as a plane; that is, identify M_2 and M_3 , and argue as in 3.4.)

Finally, the surface in P^3 defined by

$$x_0^3 + x_0x_1^2 - x_0x_2^2 + x_1x_2x_3 = 0$$

satisfies 4.0 with

$$M_1 \equiv x_1 = x_0 + x_2 = 0, M_2 \equiv x_1 = x_0 = 0, M_3 \equiv x_1 = x_0 - x_2 = 0,$$

$$M_4 \equiv x_2 = x_0 = 0, L_1 \equiv x_0 + x_2 = x_1 - x_3 = 0, L_2 \equiv x_0 = x_3 = 0$$

and

$$L_3 \equiv x_0 - x_2 = x_1 + x_3 = 0.$$

4.8 THEOREM. *Let F be a biplanar surface satisfying 4.0. Then*

$$F = F_2 \cup F_{11} \cup F_{13} \cup F_{21} \cup F_{23}$$

where 1) every $r \in F_2$ such that $l(r) = 0$ is hyperbolic and 2) F_{ik} is an open region described in 4.1 to 4.3; $i = 1, 2$ and $k = 1, 3$.

5. F with fifteen lines.

5.0 Let F be biplanar with the binode v , $l(F) = 15$ and $l(v) = 6$. Let $M_1, M_2, M_3[M_4, M_5, M_6]$ denote the lines of F through v in $\tau_1[\tau_2]$. We assume that M_1, M_3 separates N_0, M_2 and M_4, M_6 separates N_0, M_5 . Let

$$\mathcal{N} = \{1, 2, 3\} = \{i, j, k\} \text{ and } \mathcal{N}^* = \{4, 5, 6\} = \{\lambda, \mu, \nu\}.$$

For $(i, \lambda) \in \mathcal{N} \times \mathcal{N}^*$, $\langle M_i, M_\lambda \rangle \cap F$ contains a line $L_{i\lambda}$ with $v \notin L_{i\lambda}$.

Let $r \in F$, $l(r) = 0$. For $t \in \mathcal{N} \cup \mathcal{N}^*$,

$$\langle M_t, r \rangle \cap F = M_t \cup S^1(M_t, r)$$

where

$$M_t \cap S^1(M_t, r) = \{v, q_r^t\}, v \neq q_r^t, \pi(q_r^t) = \langle M_t, r \rangle \text{ and } S^1(M_t, r) \in \mathcal{F}(r).$$

For $(i, \lambda) \in \mathcal{N} \times \mathcal{N}^*$,

$$\langle L_{i\lambda}, r \rangle \cap F = L_{i\lambda} \cup S^1(L_{i\lambda}, r) \text{ with } S^1(L_{i\lambda}, r) \in \mathcal{F}(r).$$

5.1 Let $(i, \lambda) \in \mathcal{N} \times \mathcal{N}^*$. Since $L_{i\lambda}$ meets M_i and M_λ , we obtain that

$$L_{i\lambda} \cap (M_j \cup M_k \cup M_\mu \cup M_\nu) = \emptyset.$$

It is now immediate that $L_{i\lambda}$ meets each of $L_{j\mu}, L_{j\nu}, L_{k\mu}$ and $L_{k\nu}$ and none of $L_{i\mu}, L_{i\nu}, L_{j\lambda}$ and $L_{k\lambda}$. Thus each of the following flats is a plane:

$$\langle L_{14}, L_{25}, L_{36} \rangle, \langle L_{14}, L_{26}, L_{35} \rangle, \langle L_{15}, L_{24}, L_{36} \rangle,$$

$$\langle L_{15}, L_{26}, L_{34} \rangle, \langle L_{16}, L_{24}, L_{35} \rangle, \langle L_{16}, L_{25}, L_{34} \rangle.$$

Let $L_{i\lambda} \subset \alpha$ such that $l(\alpha) = 1$, that is, α is distinct from $\langle M_i, M_\lambda \rangle, \langle L_{j\mu}, L_{k\nu} \rangle$ and $\langle L_{j\nu}, L_{k\mu} \rangle$. Then α meets $M_j, M_k, M_\mu, M_\nu, L_{i\mu}, L_{i\nu}, L_{j\lambda}$ and $L_{k\lambda}$ outside of $L_{i\lambda}$. Thus

$$|\alpha \cap \tau_1 \cap F| = |\alpha \cap \tau_2 \cap F| = 3,$$

and

$$\alpha \cap F = L_{i\lambda} \cup S^1(L_{i\lambda}, r) \text{ for some } r \in F, l(r) = 0.$$

Let $\mathcal{P}_{i\lambda}$ and $\mathcal{P}_{i\lambda}^*$ be the closed half-spaces of P^3 determined by $\langle L_{j\mu}, L_{k\nu} \rangle$ and $\langle L_{j\nu}, L_{k\mu} \rangle$. We assume that $\langle M_i, M_\lambda \rangle \subset \mathcal{P}_{i\lambda}$ and thus $v \notin \mathcal{P}_{i\lambda}^*$. Finally, let $\mathcal{P}_{i\lambda}'$ and $\mathcal{P}_{i\lambda}''$ be the closed quarter-spaces of $\mathcal{P}_{i\lambda}$ determined by $\langle M_i, M_\lambda \rangle$.

5.2 LEMMA. *Let $\alpha = \langle L_{i\lambda}, r \rangle \subset \mathcal{P}_{i\lambda}, l(r) = 0$ and $(i, \lambda) \in \mathcal{N} \times \mathcal{N}^*$. Then*

$$1) \alpha \cap N_0 \in e(S^1(L_{i\lambda}, r))$$

and

$$2) M_i \cap L_{i\lambda}[M_\lambda \cap L_{i\lambda}] \in i(S^1(L_{i\lambda}, r)) \text{ if and only if } i = 2[\lambda = 5].$$

Proof. 1) Since $l(r) = 0, \alpha \subset \mathcal{P}_{i\lambda}'$ say. Suppose that $\alpha \cap N_0 \in i(S^1(L_{i\lambda}, r))$. Then the continuity of the plane sections of F through $L_{i\lambda}$ implies that

$$\alpha' \cap N_0 \in i(S^1(L_{i\lambda}, r'))$$

for each $\alpha' = \langle S^1(L_{i\lambda}, r') \rangle \subset \mathcal{P}_{i\lambda}$.

Let $\alpha' = \langle S^1(L_{i\lambda}, r') \rangle$ tend to $\langle M_i, M_\lambda \rangle$. Then

$$\lim \alpha' \cap F = M_i \cup M_\lambda \cup L_{i\lambda} \text{ and}$$

$$\lim S^1(L_{i\lambda}, r') = M_i \cup M_\lambda.$$

As $M_i \cap L_{i\lambda} \neq M_\lambda \cap L_{i\lambda}$, this implies that $|L_{i\lambda} \cap S^1(L_{i\lambda}, r')| = 2$ for α' sufficiently close to $\langle M_i, M_\lambda \rangle$.

Let $p \in L_{i\lambda} \setminus (M_i \cup M_\lambda)$. Since $\lim \alpha' = \langle M_i, M_\lambda \rangle$, we obtain that

$$\alpha' \cap \langle N_0, p \rangle = \langle \alpha' \cap N_0, p \rangle \text{ tends to } \langle v, p \rangle.$$

By 2.1, v is the cusp of $\langle N_0, p \rangle \cap F$ and this implies that

$$|\alpha' \cap \langle N_0, p \rangle \cap F| = k \text{ where } k \text{ is either } 1 \text{ or } 3$$

for each α' sufficiently close to $\langle M_i, M_\lambda \rangle$.

If $k = 1$, then $\alpha' \cap \langle N_0, p \rangle \cap F = \{p\}$ and $p \in e(S^1(L_{i\lambda}, r'))$. If $k = 3$, then $\alpha' \cap \langle N_0, p \rangle \cap F = \{p, r_1, r_2\}$ (say) where $\{r_1, r_2\} \subset S^1(L_{i\lambda}, r')$ and r_1, r_2 separates $\alpha' \cap N_0, p$. Thus $\alpha' \cap N_0 \in i(S^1(L_{i\lambda}, r'))$ implies that $p \in e(S^1(L_{i\lambda}, r'))$.

Since p is any point of $L_{i\lambda} \setminus (M_i \cup M_\lambda)$, it is immediate that

$$L_{i\lambda} \cap S^1(L_{i\lambda}, r') = \emptyset$$

for $\langle S^1(L_{i\lambda}, r') \rangle$ sufficiently close to $\langle M_i, M_\lambda \rangle$; a contradiction by the preceding. Thus $\alpha \cap N_0 \in e(S^1(L_{i\lambda}, r))$.

2) This is immediate since $\alpha \cap N_0 \in e(S^1(L_{i\lambda}, r))$, $|\alpha \cap \tau_j \cap F| = 3$ and $M_1, M_3[M_4, M_6]$ separates $N_0, M_2[N_0, M_5]; j = 1, 2$.

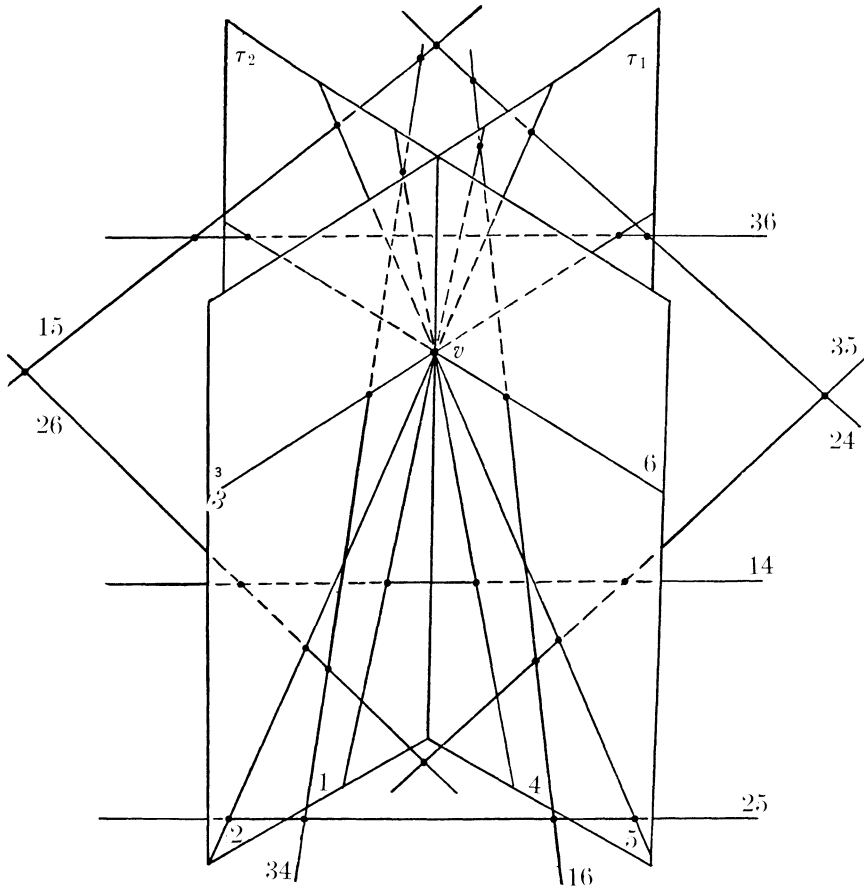


FIGURE 3

We now determine the configuration (cf. Figure 3) of the lines of F , or more precisely, the configuration of the lines of F not containing v . Since $L_{i\lambda}$ intersects $M_i, M_\lambda, L_{j\mu}, L_{j\nu}, L_{k\mu}$ and $L_{k\nu}$ (cf. 5.1), it is sufficient to determine the distribution of these points of intersection in $L_{i\lambda}$, $(i, \lambda) \in \mathcal{N} \times \mathcal{N}^*$.

5.3 Let $\alpha' = \langle S^1(L_{i\lambda}, r') \rangle$ be a sequence of planes tending to α ; $l(\alpha) = 3$ and $|L_{i\lambda} \cap S^1(L_{i\lambda}, r')| = 2$ for α' sufficiently close to α . Put

$$M_{i\lambda}(r') = L_{i\lambda} \cap S^1(L_{i\lambda}, r').$$

Then $\lim M_{i\lambda}(r')$ is the set consisting of the point(s) of intersection of $L_{i\lambda}$ with the other lines of $\alpha \cap \bar{F}$.

Let \mathcal{P}_1 and \mathcal{P}_2 be the closed half-spaces of P^3 determined by τ_1 and τ_2 .

i) Let $\langle S^1(L_{25}, r) \rangle \subset \mathcal{P}_{25}$. From 5.2,

$$L_{25} \cap (M_2 \cup M_5) \subset i(S^1(L_{25}, r))$$

and therefore, $|M_{25}(r)| = 2$ and either

$$\mathcal{M}_{25}(r) \subset \mathcal{P}_1 \text{ or } \mathcal{M}_{25}(r) \subset \mathcal{P}_2.$$

We assume that $\langle L_{14}, L_{36} \rangle \subset \mathcal{P}_{25}'$, $\langle L_{16}, L_{34} \rangle \subset \mathcal{P}_{25}''$ and $\mathcal{M}_{25}(r') \subset \mathcal{P}_1$ for some $\langle S^1(L_{25}, r') \rangle \subset \mathcal{P}_{25}'$. Then clearly $\mathcal{M}_{25}(r) \subset \mathcal{P}_1$ and $M_{25}(r) \cap \mathcal{P}_2 = \emptyset$ for each $\langle S^1(L_{25}, r) \rangle \subset \mathcal{P}_{25}'$. As \mathcal{P}_{25}' is bounded by $\langle M_2, M_5 \rangle$ and $\langle L_{14}, L_{36} \rangle$, this implies that

$$L_{25} \cap (L_{14} \cup L_{36}) \subset \mathcal{P}_1.$$

The continuity of the plane sections of $\mathcal{P}_{25} \cap F$ through L_{25} and the preceding imply that $M_{25}(r) \subset \mathcal{P}_2$ and $\mathcal{M}_{25}(r) \cap \mathcal{P}_1 = \emptyset$ for each $\langle S^1(L_{25}, r) \rangle \subset \mathcal{P}_{25}''$. Thus $\langle L_{16}, L_{34} \rangle \subset \mathcal{P}_{25}''$ yields that

$$L_{25} \cap (L_{16} \cap L_{34}) \subset \mathcal{P}_2.$$

Hence $M_2 \cap L_{25}, M_5 \cap L_{25}$ separates $L_{14} \cap L_{25}, L_{16} \cap L_{25}$ but neither $L_{14} \cap L_{25}, L_{36} \cap L_{25}$ nor $L_{16} \cap L_{25}, L_{34} \cap L_{25}$.

ii) Let $\langle S^1(L_{2\lambda}, r) \rangle \subset \mathcal{P}_{2\lambda}$, $\lambda \in \mathcal{N}^* \setminus \{5\}$. From 5.2,

$$M_2 \cap L_{2\lambda} \in i(S^1(L_{2\lambda}, r)) \text{ and}$$

$$M_\lambda \cap S^1(L_{2\lambda}, r) \in e(S^1(L_{2\lambda}, r)).$$

Thus $|\mathcal{M}_{2\lambda}(r)| = 2$ and

$$|\mathcal{M}_{2\lambda}(r) \cap \mathcal{P}_1| = |\mathcal{M}_{2\lambda}(r) \cap \mathcal{P}_2| = 1.$$

As $\langle S^1(L_{2\lambda}, r) \rangle$ varies between $\langle L_{1\mu}, L_{3\nu} \rangle$ and $\langle L_{1\nu}, L_{3\mu} \rangle$ in $\mathcal{P}_{2\lambda}$, we obtain that

$$M_2 \cap L_{2\lambda}, M_\lambda \cap L_{2\lambda} \text{ separates both } L_{1\mu} \cap L_{2\lambda}, L_{3\nu} \cap L_{2\lambda} \text{ and } L_{1\nu} \cap L_{2\lambda}, L_{3\mu} \cap L_{2\lambda}.$$

By a similar argument, we obtain that

$$M_i \cap L_{i5}, M_5 \cap L_{i5} \text{ separates both } L_{j4} \cap L_{i5}, L_{k6} \cap L_{i5} \text{ and } L_{j6} \cap L_{i5}, L_{k4} \cap L_{i5}$$

for $i \in \mathcal{N} \setminus \{2\}$.

iii) Let $\langle S^1(L_{i\lambda}, r) \rangle \subset \mathcal{P}_{i\lambda}$, $i \in \mathcal{N} \setminus \{2\}$ and $\lambda \in \mathcal{N}^* \setminus \{5\}$. Then

$$L_{i\lambda} \cap (M_i \cup M_\lambda) \subset e(S^1(L_{i\lambda}, r))$$

by 5.2. By arguing as in the preceding cases, we obtain that

$$M_i \cap L_{i\lambda}, M_\lambda \cap L_{i\lambda} \text{ does not separate } L_{j\mu} \cup L_{i\lambda}, L_{k\nu} \cap L_{i\lambda} \text{ and } L_{j\nu} \cap L_{i\lambda}, L_{k\mu} \cap L_{i\lambda}.$$

iv) We note that it must still be determined whether $M_i \cap L_{i\lambda}, M_\lambda \cap L_{i\lambda}$ separates $L_{j\mu} \cap L_{i\lambda}, L_{j\nu} \cap L_{i\lambda}$ for $(i, \lambda) \in \mathcal{N} \times \mathcal{N}^* \setminus \{(2, 5)\}$.

Consider $\gamma = \langle L_{14}, L_{26}, L_{35} \rangle$. Let $\gamma \cap M_i[\gamma \cap L_{i\lambda}]$ be the point $p_i[l_{i\lambda}]$, $i \in \mathcal{N} \cup \mathcal{N}^*$ and $(i, \lambda) \in \mathcal{N} \times \mathcal{N}^* \setminus \{(1, 4), (2, 6), (3, 5)\}$. Then

$$\{p_1, p_4, l_{25}, l_{36}\} \subset L_{14}, \{p_2, p_6, l_{15}, l_{34}\} \subset L_{26}, \{p_3, p_5, l_{16}, l_{24}\} \subset L_{35}$$

and $\gamma \cap \mathcal{P}_1$ and $\gamma \cap \mathcal{P}_2$ are the closed half-planes of γ determined by $\langle p_1, p_2, p_3 \rangle$ and $\langle p_4, p_5, p_6 \rangle$. Finally, $\gamma \cap N_0 = \langle p_1, p_2 \rangle \cap \langle p_4, p_5 \rangle$ and $p_1, p_3[p_4, p_6]$ separates $\gamma \cap N_0$, $p_2[\gamma \cap N_0, p_4]$.

Since p_1, p_4 does not separate $L_{26} \cap L_{14}, L_{35} \cap L_{14}$ from iii), we assume that $L_{14} \cap (L_{26} \cup L_{35}) \subset \mathcal{P}_1$ say. From ii), p_3, p_5 separates $L_{14} \cap L_{35}, L_{26} \cap L_{35}$ and therefore $L_{26} \cap L_{35} \in \mathcal{P}_2$. Since $\{l_{25}\} = \langle p_2, p_5 \rangle \cap L_{14}$ and $\{l_{36}\} = \langle p_3, p_6 \rangle \cap L_{14}$, we obtain (cf. Figure 4) that $\{l_{25}, l_{36}\} \subset \mathcal{P}_1$ and thus p_1, p_4 does not separate $l_{25}, L_{26} \cap L_{14}$ or $l_{36}, L_{35} \cap L_{14}$.

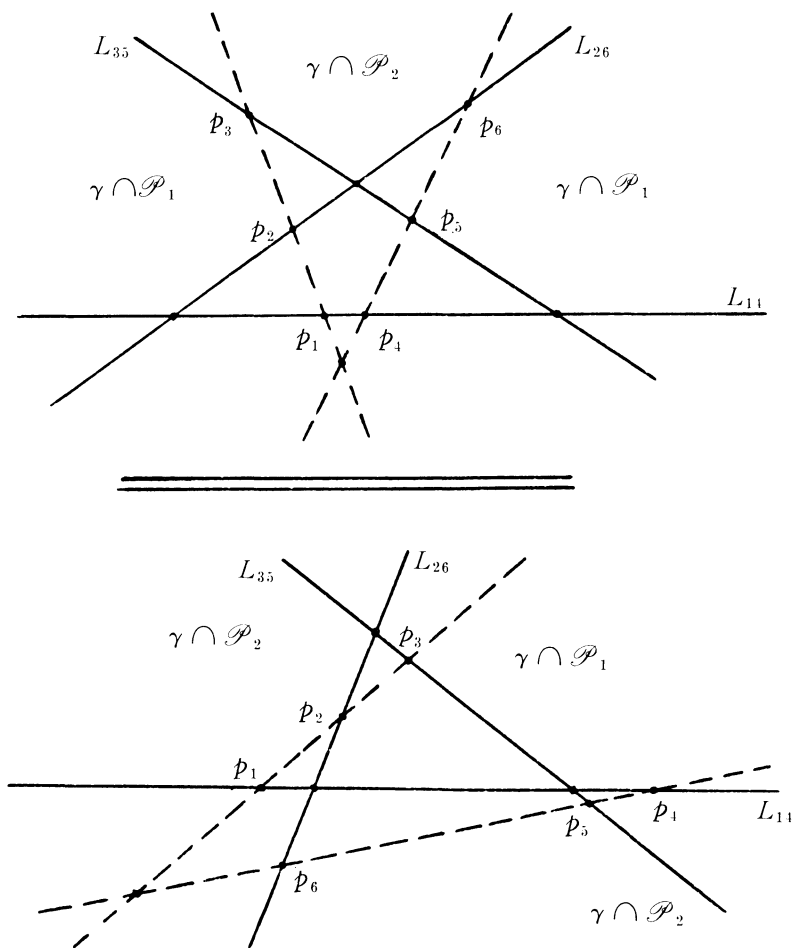


FIGURE 4

Similarly, p_2, p_6 does not separate $l_{15}, L_{14} \cap L_{26}$ or $l_{34}, L_{35} \cap L_{26}$ and p_3, p_5 does not separate $l_{24}, L_{14} \cap L_{35}$ or $l_{16}, L_{26} \cap L_{35}$. Then ii) yields that (p_2, p_6 separates $l_{15}, L_{35} \cap L_{26}$ and $l_{34}, L_{14} \cap L_{26}$ and) p_3, p_5 separates $l_{16}, L_{14} \cap L_{35}$ and $l_{24}, L_{26} \cap L_{35}$.

By arguing as in the preceding for suitable i and λ , we obtain that for $(i, \lambda) \in \mathcal{N} \times \mathcal{N}^* \setminus \{(2, 5)\}$,

$$M_t \cap L_{i\lambda}, M_\lambda \cap L_{i\lambda} \text{ separates [does not separate] } L_{j\mu} \cap L_{i\lambda}, \\ L_{j\nu} \cap L_{i\lambda}$$

if $\lambda = 5[\lambda \neq 5]$.

5.4 LEMMA. *Let $r \in F, l(r) = 0$. Then $\langle S^1(L_{25}, r) \rangle \cap N_0 \in e(S^1(L_{25}, r))$ if and only if $r \in \mathcal{P}_{25}$.*

Proof. We recall that \mathcal{P}_{25}^* is the closed half-space of P^3 bounded by $\langle L_{14}, L_{36} \rangle$ and $\langle L_{16}, L_{34} \rangle$ and not containing $\langle M_2, M_5 \rangle$. Hence we can choose $\langle S^1(L_{25}, r) \rangle \subset \mathcal{P}_{25}^*$ to vary continuously between $\langle L_{14}, L_{36} \rangle$ and $\langle L_{16}, L_{34} \rangle$. Then $\mathcal{M}_{25}(r)$ varies between $L_{25} \cap (L_{14} \cup L_{36}) \subset \mathcal{P}_1$ and $L_{25} \cap (L_{16} \cup L_{34}) \subset \mathcal{P}_2$ from 5.3 (i). As $\mathcal{M}_{25}(r) \cap (M_2 \cup M_5) = \emptyset$, this implies that there is an $\alpha^* = \langle S^1(L_{25}, r^*) \rangle \subset \mathcal{P}_{25}^*$ such that

$$\mathcal{M}_{25}(r^*) = L_{25} \cap S^1(L_{25}, r^*) = \emptyset.$$

Hence $L_{25} \cap (M_2 \cup M_5) \subset e(S^1(L_{25}, r^*))$ and $\alpha^* \cap N_0 \in i(S^1(L_{25}, r^*))$. It is now immediate that $\langle S^1(L_{25}, r) \rangle \cap N_0 \in i(S^1(L_{25}, r))$ for each $\langle S^1(L_{25}, r) \rangle \subset \mathcal{P}_{25}^*$.

The converse follows from 5.2.

5.5 From 5.3(i) and (iii), we obtain that

$$\{L_{14} \cap L_{25}, L_{36} \cap L_{25}, L_{14} \cap L_{36}\} \subset \mathcal{P}_1$$

and

$$\{L_{16} \cap L_{25}, L_{34} \cap L_{25}, L_{16} \cap L_{34}\} \subset \mathcal{P}_2.$$

By an argument similar to the one in 5.3(iv) with $\gamma = \langle L_{14}, L_{25}, L_{36} \rangle$, we obtain that either L_{14}, L_{25} and L_{36} are concurrent or they determine a triangle Δ_1 in $\langle L_{14}, L_{36} \rangle \cap \mathcal{P}_1$ such that

$$\text{a) } \Delta_1 \cap (M_t \cup L_{i\lambda}) = \emptyset \text{ for } t \in \mathcal{N} \cup \mathcal{N}^* \text{ and} \\ (i, \lambda) \in \mathcal{N} \times \mathcal{N}^* \setminus \{(1, 4), (2, 5), (3, 6)\}.$$

Similarly, either L_{16}, L_{25} and L_{34} are concurrent or they determine a triangle Δ_2 in $\langle L_{16}, L_{34} \rangle \cap \mathcal{P}_2$ such that

$$\text{b) } \Delta_2 \cap (M_t \cup L_{i\lambda}) = \emptyset \text{ for } t \in \mathcal{N} \cup \mathcal{N}^* \text{ and} \\ (i, \lambda) \in \mathcal{N} \times \mathcal{N}^* \setminus \{(1, 6), (2, 5), (3, 4)\}.$$

Let \mathcal{C}_i denote the interior of the cone with vertex v and base Δ_i ,

$i = 1, 2$. It is immediate that no line of F meets \mathcal{C}_i and $M_t \cap \Delta_i = \emptyset$ for $t \in \mathcal{N} \cup \mathcal{N}^*$ implies that $(\tau_1 \cup \tau_2) \cap \overline{\mathcal{C}}_i = \{v\}$. Let L_i be a line through v in $\overline{\mathcal{C}}_i$ such that $L_i \cap \Delta_i = \emptyset$. Then $L_i \not\subset \tau_1 \cup \tau_2$ implies (cf. 1.3) that $L_i \cap F = \{v, r_i\}$ where $r_i \neq v$ and $r_i \in G'_i = \mathcal{C}_i \cap F$. It follows that G'_i is an open region such that $\Delta_i \subseteq \text{bd}(G'_i) \subseteq \Delta_i \cup \{v\}$ and $l(r) = 0$ for each $r \in G'_i, i = 1, 2$.

Let r_λ be a sequence of points in $G'_1 \cup G'_2$ converging to v . Let T be a line of accumulation of $\langle v, r_\lambda \rangle$. Clearly $T \subset \tau_1 \cup \tau_2$. But $r_\lambda \in G'_1 \cup G'_2 \subset \overline{\mathcal{C}}_1 \cup \overline{\mathcal{C}}_2$ implies that $\langle v, r_\lambda \rangle \subset \overline{\mathcal{C}}_1 \cup \overline{\mathcal{C}}_2$ and hence $T \subset \overline{\mathcal{C}}_1 \cup \overline{\mathcal{C}}_2$. Since $(\tau_1 \cup \tau_2) \cap (\overline{\mathcal{C}}_1 \cup \overline{\mathcal{C}}_2) = \{v\}$, this is a contradiction.

Thus $\text{bd}(G'_i) = \Delta_i$ and G'_i satisfies the hypotheses of 1.5.5, $i = 1, 2$.

It is easy to check that a), b) and the continuity of the plane sections of F through L_{25} yield that $\overline{G}'_1 \cup \overline{G}'_2 \subset \mathcal{P}_{25}^*$. Finally, this and 5.4 readily imply that $\langle L_{14}, L_{36} \rangle \cap N_0$ and $\Delta_1[\langle L_{16}, L_{34} \rangle \cap N_0$ and $\Delta_2]$ are contained in the same closed half-plane of $\langle L_{14}, L_{36} \rangle[\langle L_{16}, L_{34} \rangle]$ determined by L_{14} and L_{36} [L_{16} and L_{34}].

If L_{14}, L_{25} and $L_{36}[L_{16}, L_{25}$ and $L_{34}]$ are concurrent, let $G_1[G_2]$ denote their point of intersection. Otherwise, let $G_1 = \overline{G}'_1[G_2 = \overline{G}'_2]$. We summarize our results.

5.6 THEOREM. *If G_i is not a point, then G_i is a closed triangular region in \mathcal{P}_{25}^* such that $l(r) = 0$ for each $r \in \text{int}(G_i)$ and $G_i \cap E \neq \emptyset, i = 1, 2$.*

5.7 Put $\{i, j\} = \{1, 2\}$ and let $\beta \subset \mathcal{P}_i, l(\beta) = 0$. Then v is the cusp of $\beta \cap F = \mathcal{F}_1 \cup \mathcal{F}_2$ by 2.1. Let β_γ tend to β such that v is the double point of $\beta_\gamma \cap F = \mathcal{L}_\gamma \cup \mathcal{F}_{1,\gamma} \cup \mathcal{F}_{2,\gamma}$ for each γ . Then (cf. 3.1) $\mathcal{F}_{1,\gamma} \cup \mathcal{F}_{2,\gamma}$ tends to $\mathcal{F}_1 \cup \mathcal{F}_2$ in $\mathcal{P}_i, \mathcal{L}_\gamma$ tends to v in \mathcal{P}_j and $\mathcal{L}_\gamma \cap L_{k\lambda} = \emptyset$ for \mathcal{L}_γ sufficiently close to v and $(k, \lambda) \in \mathcal{N} \times \mathcal{N}^*$.

Let \mathcal{Q}_0 and \mathcal{Q}_0^* be the open half-spaces of P^3 determined by $\langle M_1, M_4 \rangle$ and $\langle M_3, M_6 \rangle$. We assume that $N_0 \subset \overline{\mathcal{Q}}_0$ and $M_2 \cup M_5 \subset \overline{\mathcal{Q}}_0^*$. It is immediate that $\lim \beta_\gamma = \beta$ implies that

$$\beta_\gamma \cap (\tau_1 \cup \tau_2) \subset \overline{\mathcal{Q}}_0 \text{ and } \mathcal{L}_\gamma \subset \overline{\mathcal{Q}}_0$$

for β_γ sufficiently close to β .

We observe that $\mathcal{Q}_0 \cap \mathcal{P}_i$ is the union of two disjoint connected sets. Clearly, there is a sequence of loops \mathcal{L}_γ converging to v not only in $\overline{\mathcal{Q}}_0 \cap \mathcal{P}_i$ but also in the closure (in P^3) of each component of $\mathcal{Q}_0 \cap \mathcal{P}_i$.

From 5.5, $L_{14} \cap L_{36} \in \mathcal{P}_1$ and thus one component of $\mathcal{Q}_0 \cap \mathcal{P}_2$ is bounded by τ_1, τ_2 and $\langle M_1, M_4 \rangle$ and the other by τ_1, τ_2 and $\langle M_3, M_6 \rangle$. We denote these components by \mathcal{Q}_{14} and \mathcal{Q}_{36} respectively.

Let $F_{k\mu} = \mathcal{Q}_{k\mu} \cup F; (k, \mu) = (1, 4), (3, 6)$. It is clear that

$$\overline{F}_{k\mu} \cap (\tau_1 \cup \tau_2) \subset M_k \cup M_\mu$$

and hence

$$\text{bd}(\overline{F}_{k\mu}) \subset M_k \cup M_\mu \cup L_{k\mu}.$$

Thus a line of F passing through some point of $F_{k\mu}$ is M_k, M_μ or $L_{k\mu}$. Since $F_{k\mu} \subset \mathcal{Q}_{k\mu}$ and $\mathcal{Q}_{k\mu} \cap (M_k \cup M_\mu \cup L_{k\mu}) = \emptyset$ by definition, we obtain that $l(r) = 0$ for $r \in F_{k\mu}$.

As there is a sequence of loops in $F_{k\mu} \cup \{v\}$ converging to v , this implies that $\text{bd}(F_{k\mu})$ is a triangle, determined by M_k, M_μ and $L_{k\mu}$, and $F_{k\mu}$ contains a sequence of elliptic points converging to v (cf. 3.2 and 3.3), $(k, \mu) = (1, 4), (3, 6)$.

We wish to determine a region similar to F_{14} and F_{36} in each of the components of $\mathcal{Q}_0 \cap \mathcal{P}_1$. We know that there is a suitable sequence of loops in the closure of each component converging to v but $L_{16} \cap L_{34} \in \mathcal{P}_2$ implies that

$$(\mathcal{Q}_0 \cap \mathcal{P}_1) \cap (L_{16} \cup L_{34}) \neq \emptyset.$$

It is easy to check that one component of $\mathcal{Q}_0 \cap \mathcal{P}_1$ meets L_{16} and the other meets L_{34} . Hence, we consider the subsets of $\mathcal{Q}_0 \cap \mathcal{P}_1$ bounded by τ_1, τ_2 and either $\langle M_1, M_6 \rangle$ or $\langle M_3, M_4 \rangle$.

Let \mathcal{Q}_{lv} be the maximal open connected subset of $\mathcal{Q}_0 \cap \mathcal{P}_1$ bounded by τ_1, τ_2 and $\langle M_l, M_v \rangle$; $(l, v) = (1, 6), (3, 4)$. Let $F_{lv} = \mathcal{Q}_{lv} \cap F$. By arguing as in the preceding, we obtain that $\text{bd}(F_{lv})$ is a triangle determined by M_l, M_v and L_{lv} , $l(r) = 0$ for $r \in F_{lv}$ and F_{lv} contains a sequence of elliptic points converging to v .

Finally, we note that F_{14}, F_{16}, F_{34} and F_{36} are the regions F_{11}, F_{12}, F_{21} and F_{22} in 3.5 when we identify M_1, M_2, M_3 in τ_1 and M_4, M_5, M_6 in τ_1 . Thus the curves $\beta \cap F = \mathcal{F}_1 \cup \mathcal{F}_2$ with the cusp v change "direction" as β passes through τ_1 and τ_2 .

5.8 THEOREM. *There exist four open triangular regions $F_{i\lambda}$ in F such that*

- 1) $l(r) = 0$ for $r \in F_{i\lambda}$,
- 2) $E \cap F_{i\lambda} \neq \emptyset$ with $v \in \overline{E \cap F_{i\lambda}}$

and

$$3) \text{bd}(F_{i\lambda}) \subset M_i \cup M_\lambda \cup L_{i\lambda}, (i, \lambda) \in \{1, 3\} \times \{4, 6\}.$$

5.9 Let \mathcal{Q}_2 and $\mathcal{Q}_2^*[\mathcal{Q}_5$ and $\mathcal{Q}_5^*]$ be the closed half-spaces of P^3 determined by $\langle M_2, M_4 \rangle$ and $\langle M_2, M_6 \rangle[\langle M_5, M_1 \rangle$ and $\langle M_5, M_3 \rangle]$. We assume that $\tau_1 \subset \mathcal{Q}_2$ and $\tau_2 \subset \mathcal{Q}_5$. Then $N_0 \subset \mathcal{Q}_2 \cap \mathcal{Q}_5, M_5 \cup L_{25} \subset \mathcal{Q}_2^*$ and $M_2 \cup L_{25} \subset \mathcal{Q}_5^*$.

Let $M_t \subset \alpha_t, l(\alpha_t) = 1; t = 2, 5$. Then

$$\alpha_t \cap F = M_t \cup S^1(M_t, r_t)$$

for some $r_t \in F$ and

$$M_t \cap S^1(M_t, r_t) = \{v, p_t\}$$

where $v \neq p_t$ and $\pi(p_t) = \alpha_t = \langle S^1(M_t, r_t) \rangle$.

5.10 LEMMA. $M_t \cap L_{25} \in i(S^1(M_t, r))$ if and only if $\langle S^1(M_t, r) \rangle \subset \mathcal{Q}_t, t = 2, 5$.

Proof. Let $\alpha = \langle S^1(M_2, r) \rangle$ converge to τ_1 in \mathcal{Q}_2 . Then

$$\lim \alpha \cap \tau_2 = N_0 \text{ and } \lim S^1(M_2, r) = M_1 \cup M_3.$$

Since $\alpha \cap \tau_2$ is a tangent of $S^1(M_2, r), \alpha \cap \tau_2 \subset \overline{e(S^1(M_2, r))}$ and thus

$$N_0 \subset \lim \overline{e(S^1(M_2, r))}.$$

As $\lim S^1(M_2, r) = M_1 \cup M_3$, this implies that $\lim \overline{e(S^1(M_2, r))}$ and $\lim i(S^1(M_2, r))$ are the closed half-planes of τ_1 determined by M_1 and M_3 . Since M_1, M_3 separates N_0, M_3 , we obtain that

$$M_2 \subset \lim i(S^1(M_2, r)).$$

Hence $M_2 \cap (L_{24} \cup I_{25} \cup I_{26}) \subset i(S^1(M_2, r))$ for $S^1(M_2, r)$ sufficiently close to τ_1 . The ‘‘if’’ condition now follows from the continuity of the plane sections of $\mathcal{Q}_2 \cap F$ through M_2 .

We note that $\langle M_2, M_5 \rangle$ is the common boundary of two quarter-spaces of \mathcal{Q}_2^* . If there is in each quarter-space a point r such that $M_2 \cap I_{25} \in e(S^1(M_2, r))$, then the ‘‘only if’’ condition follows as in the preceding.

From the proof of 5.4, there are planes γ such that $\gamma \cup F = I_{25} \cup S^1$ and $L_{25} \cap S^1 = \emptyset$. Clearly, there is a $\tilde{\gamma}$ such that

$$\tilde{\gamma} \cap F = L_{25} \cup S^1, L_{25} \cap S^1 = \emptyset$$

and $\tilde{\gamma}$ does not contain the points $M_4 \cap L_{24}$ and $M_6 \cap L_{26}$.

Since $L_{25} \cap S^1 = \emptyset, M_2 \cap L_{25} \in e(S^1)$ and there are points $\tilde{r}_1 \neq \tilde{r}_2$ in S^1 such that

$$M_2 \cap L_{25} \in \pi(\tilde{r}_1) \cap \pi(\tilde{r}_2).$$

As $\{M_4 \cap L_{24}, M_6 \cap L_{26}\} \cap \tilde{\gamma} = \emptyset$, this implies that

$$|\tilde{\gamma} \cap \langle M_2, M_4 \rangle \cap F| = |\tilde{\gamma} \cap \langle M_2, M_6 \rangle \cap F| = 3$$

and

$$\tilde{\gamma} \cap (L_{24} \cup L_{26} \cup M_2 \cup M_4) \subset S^1.$$

Then $M_2 \cap L_{25} \in \pi(\tilde{r}_1) \cap \pi(\tilde{r}_2)$ implies that the lines $\tilde{\gamma} \cap \langle M_2, M_3 \rangle, \tilde{\gamma} \cap \langle M_2, M_6 \rangle$ do not separate $L_{25}, \langle M_2 \cap L_{25}, \tilde{r}_1 \rangle$ and $\langle M_2 \cap L_{25}, \tilde{r}_2 \rangle$. Thus $\langle M_2, M_5, L_{25} \rangle \subset \mathcal{Q}_2^*$ yields that $\{\tilde{r}_1, \tilde{r}_2\} \subset \mathcal{Q}_2^*$. It is clear that \tilde{r}_1 and \tilde{r}_2 are not contained in the same quarter-space of \mathcal{Q}_2^* determined by $\langle M_2, M_5 \rangle$ and $M_2 \cap L_{25} \in e(S^1(M_2, \tilde{r}_i)), i = 1, 2$.

By a similar argument, we prove the result for $t = 5$.

5.11 Let $F^* = F \setminus (G_1 \cup G_2 \cup F_{14} \cup F_{16} \cup F_{34} \cup F_{36})$. We claim that r is hyperbolic for $r \in F^*, l(r) = 0$. By the symmetry between \mathcal{P}_1 and

\mathcal{P}_2 , it is sufficient to prove the claim for $r \in \mathcal{P}_1 \cap F^*$. From 5.5 and 5.7,

$$\begin{aligned} \mathcal{P}_1 \cap (G_2 \cup F_{14} \cup F_{36}) &= \emptyset \text{ and} \\ \mathcal{P}_1 \cap F &= G_1 \cup F_{16} \cup F_{34} \cup (\mathcal{P}_1 \cap F^*). \end{aligned}$$

Let $\bar{r} \in \mathcal{P}_1 \cap F^*$, $l(\bar{r}) = 0$. Then v is the cusp of

$$\beta \cap F = \mathcal{F}_1 \cup \mathcal{F}_2, \beta = \langle N_0, \bar{r} \rangle.$$

Since N_0 is the tangent of both \mathcal{F}_1 and \mathcal{F}_2 at v , we obtain that

$$i) N_0 \setminus \{v\} \subset e(\mathcal{F}_1) \cup e(\mathcal{F}_2).$$

Let $\beta \cap L_{i\lambda}$ be the point $l_{i\lambda}$, $(i, \lambda) \in \mathcal{N} \times \mathcal{N}^*$. Then $G_2 \subset \mathcal{P}_2$ implies that l_{16}, l_{25} and l_{34} are mutually distinct; cf. 5.5. Since

$$v \in \text{bd}(F_{16}) \cap \text{bd}(F_{34}) \text{ and } F_{16} \cap F_{34} = \emptyset,$$

it is clear that $\beta \cap \bar{F}_{16}$ and $\beta \cap \bar{F}_{34}$ are connected one-sided neighbourhoods of v in $\beta \cap F$ bounded by v and l_{16} and l_{34} respectively. As $l(r) = 0$ for $r \in F_{16} \cup F_{34}$, this implies that $l_{i\lambda} \notin \beta \cap (F_{16} \cup F_{34})$ and l_{16}, l_{34} separates $l_{25}, \langle l_{16}, l_{34} \rangle \cap N_0$.

Since \mathcal{F}_1 and \mathcal{F}_2 are subarcs of order two and $\langle l_{16}, l_{25}, l_{34} \rangle$ is a line, we assume that

$$ii) l_{16} \in \text{int}(\mathcal{F}_1), l_{34} \in \text{int}(\mathcal{F}_2) \text{ and } l_{25} \in \mathcal{F}_2.$$

We put

$$iii) U(v) = \beta \cap (\bar{F}_{16} \cup \bar{F}_{34}).$$

Then $U(v)$ is a closed neighbourhood of v in $\beta \cap F$ bounded by l_{16} and l_{34} such that $l(r) = 0$ for $r \in U(v) \setminus \{l_{16}, l_{34}, v\}$.

We note that with the possible exception that some or all of l_{14}, l_{36} and l_{25} may be coincident, all the other $l_{i\lambda}$'s are mutually distinct. Since $\beta \cap M_t = \{v\}$ for $t \in \mathcal{N} \cup \mathcal{N}^*$ and $M_1, M_3[M_4, M_6]$ separates $M_2, N_0[M_5, N_0]$, it is easy to check that a subarc of $\mathcal{F}_1 \cup \mathcal{F}_2$ bounded by

$$iv) l_{i4} \text{ and } l_{i6} \text{ contains either } v \text{ or } l_{i5}, i \in \mathcal{N},$$

and

$$v) l_{1\lambda} \text{ and } l_{3\lambda} \text{ contains either } v \text{ or } l_{2\lambda}, \lambda \in \mathcal{N}^*.$$

We recall that G_1 is either a triangular region bounded by L_{14}, L_{25} and L_{36} or the point $l^* = l_{14} = l_{25} = l_{36}$; moreover, $G_1 \subset \mathcal{P}_{25}^*$ and Δ_1 (cf. 5.5) and $\langle L_{14}, L_{36} \rangle \cap N_0$ are contained in the same half-plane bounded by L_{14} and L_{36} .

Since $\beta \cap \mathcal{P}_{25}^*$ is the half-plane bounded by

$$\langle l_{16}, l_{25}, l_{34} \rangle \text{ and } \beta \cap \langle L_{14}, L_{25}, L_{36} \rangle \text{ with } v \notin \beta \cap \mathcal{P}_{25}^*,$$

iii) implies that

$$\text{vi) } U(v) \subseteq \beta \cap \mathcal{P}_{25} \cap F \text{ and } \beta \cap P_{25}^* \cap F \subseteq \beta \cap (F^* \cup G_1).$$

We claim that either $\{l_{15}, l_{35}\}$ or $\{l_{24}, l_{26}\}$ is contained in $\beta \cap \mathcal{P}_{25}^*$. Clearly, $l^* = l_{14} = l_{36}$ or $l_{14} = l_{36} \neq l_{25}$ ($l_{25} \in \pi(l_{14}) = \pi(l_{36})$) imply that

$$\beta \cap F = U(v) \cup (\beta \cap \mathcal{P}_{25}^* \cap F).$$

Hence, we may assume that $l_{14} \neq l_{36}$. Then $l_{25} \in \mathcal{F}_2$ implies $l_{14} \in \mathcal{F}_1$ and $l_{36} \in \mathcal{F}_2$ or $l_{14} \in \mathcal{F}_2$ and $l_{36} \in \mathcal{F}_1$ or $\{l_{14}, l_{36}\} \subset \mathcal{F}_1$. If $l_{14} \in \mathcal{F}_1$ and $l_{36} \in \mathcal{F}_2$, then ii) and $v \notin \mathcal{P}_{25}^*$ imply that $\mathcal{P}_{25}^* \cap \mathcal{F}_1[\mathcal{P}_{25}^* \cap \mathcal{F}_2]$ is the subarc of $\mathcal{F}_1 \cup F_2$ bounded by l_{14} and $l_{16}[l_{34} \text{ and } l_{36}]$ not containing v . Hence $\{l_{15}, l_{35}\} \subset \mathcal{P}_{25}^*$ by iv). By similar arguments, we prove the claim in the other two cases.

From 5.9, $\mathcal{Q}_2^* \cap (\mathcal{F}_1 \cup \mathcal{F}_2)[\mathcal{Q}_5^* \cap (\mathcal{F}_1 \cup \mathcal{F}_2)]$ is the subarc bounded by l_{24} and $l_{26}[l_{15} \text{ and } l_{35}]$ not meeting N_0 and, hence, not containing v . Thus

$$\begin{aligned} & \{l_{24}, l_{26}\} \text{ or } \{l_{15}, l_{35}\} \text{ contained in } \beta \cap \mathcal{P}_{25}^* \text{ and} \\ & \beta \cap \mathcal{P}_{25} \cap F = U(v) \cup (\beta \cap \mathcal{P}_{25} \cap F^*) \end{aligned}$$

imply that

$$\text{vii) } \beta \cap \mathcal{P}_{25} \cap F^* \subset \mathcal{Q}_2^* \cup \mathcal{Q}_5^*.$$

Finally, we observe that $\beta \cap G_1$ determines the distribution of the $l_{i\lambda}$'s in $\beta \cap F$. Since Δ_1 and $\langle L_{14}, L_{36} \rangle \cap N_0$ are contained in the same half-plane bounded by L_{14} and L_{36} , it is easy to check the following:

a) If $\beta \cap G_1 = \emptyset$, then $|\{l_{14}, l_{25}, l_{36}\}| = 3$, $\beta \cap \mathcal{P}_{25} \cap F^*$ is the subarc of $\mathcal{F}_1 \cup \mathcal{F}_2$, bounded by l_{14} and l_{34} , containing l_{25} but not v , and l_{14}, l_{36} separates l_{25} , $\langle l_{14}, l_{36} \rangle \cap N_0$.

b) If $\beta \cap G_1$ is a point, then $\beta \cap G_1 = \{l_{25}\}$ and $l_{25} = l^*$ or $l_{25} = l_{14} \neq l_{36}$ or $l_{25} = l_{36} \neq l_{14}$. If $l_{25} = l^*$, then $\beta \cap F^* \subset \mathcal{P}_{25}^*$. If $l_{25} \neq l^*$, then $\beta \cap \mathcal{P}_{25} \cap F^*$ is the subarc of $\mathcal{F}_1 \cup \mathcal{F}_2$, bounded by l_{25} and the l_{14} or l_{36} distinct from l_{25} , not containing v .

c) If $\beta \cap G_1$ is neither empty nor a point, then $l_{25} \notin \{l_{14}, l_{36}\}$ and $\beta \cap G_1$ is the subarc of $\mathcal{F}_1 \cup \mathcal{F}_2$ bounded by l_{25} and l_{14} or l_{36} and not containing v . If $l_{14} = l_{36}$, then $\beta \cap F^* \subset \mathcal{P}_{25}^*$. If $l_{14} \neq l_{36}$, then $\beta \cap \mathcal{P}_{25} \cap F^*$ is the subarc of $\mathcal{F}_1 \cup \mathcal{F}_2$ bounded by l_{14} and l_{36} and not containing v and l_{14}, l_{36} does not separate l_{25} , $\langle l_{14}, l_{36} \rangle \cap N_0$.

From a), b) and c), we readily obtain that

$$\text{viii) the inflection point of } \beta \cup F \text{ is contained in } \mathcal{P}_{25} \cup G_1$$

and

$$\begin{aligned} \text{ix) } r, \langle l_{25}, r \rangle \cap \mathcal{F}_1 \text{ separates } l_{25}, \langle l_{25}, r \rangle \cap N_0 \text{ for} \\ r \in \text{int}(\mathcal{P}_{25}^*) \cap \mathcal{F}_2. \end{aligned}$$

5.12 THEOREM. *Every $r \in F^*$ such that $l(r) = 0$ is hyperbolic.*

Proof. As in 5.11, let $\bar{r} \in \mathcal{P}_1 \cap \mathcal{F}^*$ with $l(\bar{r}) = 0$ and $\beta = \langle N_0, \bar{r} \rangle$. Then v is the cusp of

$$\begin{aligned} \beta \cap F &= \mathcal{F}_1 \cup \mathcal{F}_2 \\ &= (\beta \cap \mathcal{P}_{25} \cap F) \cup (\beta \cap \mathcal{P}_{25}^* \cap F) \\ &= U(v) \cup (\beta \cap G_1) \cup (\beta \cap \mathcal{P}_{25} \cap F^*) \cup (\beta \cap \mathcal{P}_{25}^* \cap F^*). \end{aligned}$$

If $\bar{r} \in \beta \cap \mathcal{P}_{25} \cap F^*$, then $\bar{r} \in \mathcal{P}_{25} \cap \mathcal{Q}_2^*$, say, from 5.11 vii). Hence

$$M_2 \cap L_{25} \in i(S^1(L_{25}, \bar{r})) \cap e(S^1(M_2, \bar{r}))$$

by 5.2 and 5.10 respectively. Clearly,

$$\begin{aligned} |\langle M_2 \cap L_{25}, \bar{r} \rangle \cap F| &= 3, |S^1(L_{25}, \bar{r}) \cap S^1(M_2, \bar{r})| = 2 \text{ and} \\ e(S^1(L_{25}, \bar{r})) \cap e(S^1(M_2, \bar{r})) &= \emptyset. \end{aligned}$$

Thus $\bar{r} \in H$ by 5.0 and 2.5.

If $\bar{r} \in \beta \cap \mathcal{P}_{25}^* \cap F^*$, then

$$\{n_0\} = \langle S^1(L_{25}, \bar{r}) \rangle \cap N_0 = \langle l_{25}, \bar{r} \rangle \cap N_0 \subset i(S^1(L_{25}, \bar{r}))$$

by 5.4. We note that

$$n_0 \in e(\mathcal{F}_1) \cup e(\mathcal{F}_2)$$

from 5.11 i) and

$$\bar{r} \in \text{int}(\mathcal{F}_1) \cup \text{int}(\mathcal{F}_2)$$

from 5.11 viii).

Let $i \in \{1, 2\}$. If $\bar{r} \in \text{int}(\mathcal{F}_i)$ and $e(\mathcal{F}_i) \cap e(S^1(L_{25}, \bar{r})) = \emptyset$, then $\bar{r} \in H$ by 2.5 and the theorem is proved. Suppose that

$$\bar{r} \in \text{int}(\mathcal{F}_i) \text{ and } e(\mathcal{F}_i) \cap e(S^1(L_{25}, \bar{r})) \neq \emptyset.$$

Since $\beta \neq \langle S^1(L_{25}, \bar{r}) \rangle$, this implies that

$$i(\mathcal{F}_i) \cap i(S^1(L_{25}, \bar{r})) \neq \emptyset.$$

Then $n_0 \in e(\mathcal{F}_i) \cap i(S^1(L_{25}, \bar{r}))$ yields that

$$\mathcal{F}_i \cap i(S^1(L_{25}, \bar{r})) \neq \emptyset.$$

Since

$$\begin{aligned} \mathcal{F}_i \cap i(S^1(L_{25}, \bar{r})) \subset \beta \cap \langle S^1(L_{25}, \bar{r}) \rangle \cap F &= l_{25} \\ &\cup (\beta \cap S^1(L_{25}, \bar{r})), \end{aligned}$$

we obtain that

$$\mathcal{F}_i \cap i(S^1(L_{25}, \bar{r})) = \{l_{25}\}.$$

Thus $\beta \cap S^1(L_{25}, \bar{r}) = \{\bar{r}, r'\}$ where $\bar{r} \neq r'$ and \bar{r}, r' does not separate l_{25}, n_0 .

We note that $l_{25} \in \mathcal{F}_2 \setminus \mathcal{F}_1$ implies that $i = 2$ and $\bar{r} \in \text{int}(\mathcal{P}_{25}^*) \cap \mathcal{F}_2$ and $l_{25} \in \mathcal{F}_2 \cap \mathcal{F}_1$ implies that either \bar{r} or r' is contained in $\text{int}(\mathcal{P}_{25}^*)$

$\cap \mathcal{F}_2$. In either case, the preceding is a contradiction by 5.11 ix). Thus

$$e(\mathcal{F}_i) \cap (S^1(L_{25}, \bar{r})) = \emptyset$$

and $\bar{r} \in H$.

5.13 THEOREM. *Let F be a biplanar surface satisfying 5.0. Then*

$$F = G_1 \cup G_2 \cup F_{14} \cup F_{16} \cup F_{34} \cup F_{36} \cup F^*$$

where 1) G_j is a point or a bounded triangular region with $l(r) = 0$ for $r \in G_j$ and $E \cap G_j \neq \emptyset, j = 1, 2$,

2) $E \cap F_{i\lambda} \neq \emptyset$ with $v \in E \cap F_{i\lambda}$ and $l(r) = 0$ for $r \in F_{i\lambda}, (i, \lambda) \in \{1, 3\} \times \{4, 6\}$, and

3) every $r \in F^*$ such that $l(r) = 0$ is hyperbolic.

We refer to Figure 5 for a representation of F with all fifteen lines

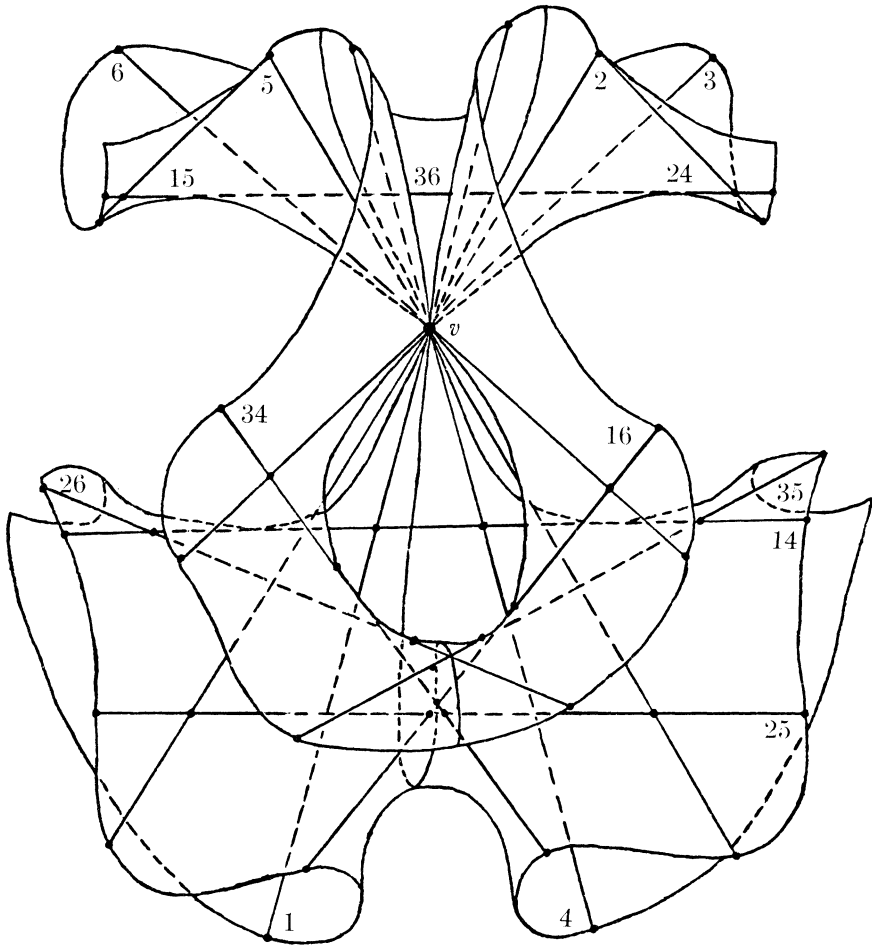


FIGURE 5

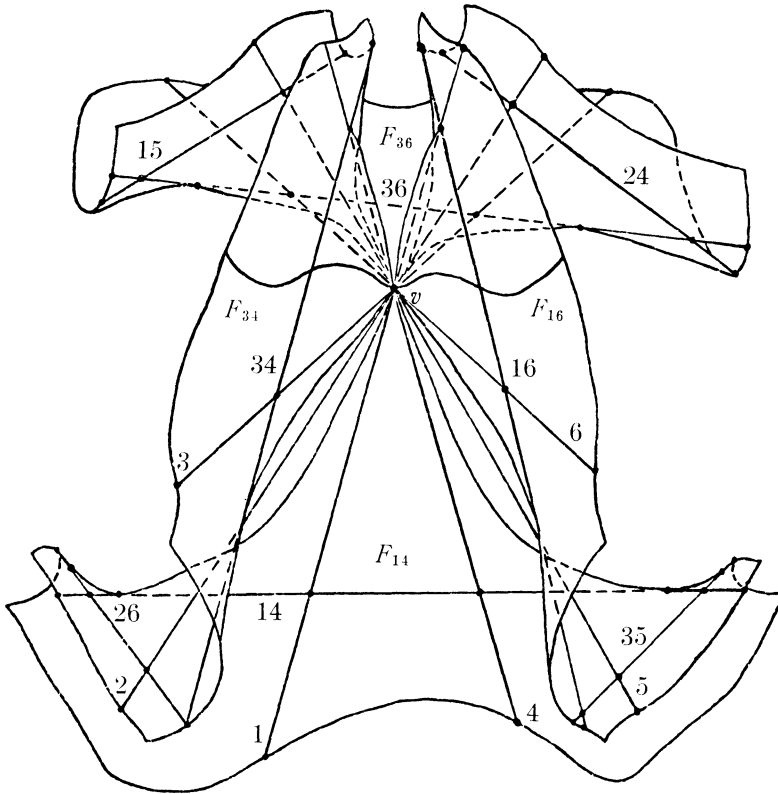


FIGURE 6

depicted. In order to indicate all lines, the collinearities in 5.1 were not accurately represented. In Figure 6, we have a truer representation of F but the line L_{25} is not depicted. We note that for simplicity, the lines of F are labelled by their subscripts.

The surface in P^3 defined by $x_0^3 - x_0(x_1^2 + x_2^2) + x_1x_2x_3 = 0$ satisfies 5.0 with

$$\begin{aligned}
 M_1 &\equiv x_2 = x_0 + x_1 = 0, M_2 \equiv x_2 = x_0 = 0, M_3 \equiv x_2 = x_0 - x_1 = 0, \\
 M_4 &\equiv x_1 = x_0 + x_2 = 0, M_5 \equiv x_1 = x_0 = 0, M_6 \equiv x_1 = x_0 - x_2 = 0, \\
 L_{14} &\equiv x_0 + x_1 + x_2 = 2x_0 + x_3 = 0, L_{15} \equiv x_0 + x_1 = x_2 + x_3 = 0, \\
 L_{16} &\equiv x_0 + x_1 - x_2 = x_3 - 2x_0 = 0, \\
 L_{24} &\equiv x_0 + x_2 = x_1 + x_3 = 0, L_{25} \equiv x_0 = x_3 = 0, \\
 L_{26} &\equiv x_0 - x_2 = x_3 - x_1 = 0, \\
 L_{34} &\equiv x_0 - x_1 + x_2 = 2x_0 - x_3 = 0, L_{35} \equiv x_0 + x_1 = x_2 + x_3 = 0, \\
 L_{36} &\equiv x_0 - x_1 - x_2 = 2x_0 + x_3 = 0.
 \end{aligned}$$

REFERENCES

1. T. Bisztriczky, *Surfaces of order three with a peak. I*, *J. of Geometry*, *11* (1978), 55–83.
2. ——— *Biplanar surfaces of order three*, *Can. J. Math.* *31* (1979), 396–418.
3. ——— *On surfaces of order three*, *Can. Math. Bull.* *22* (1979), 351–355.
4. W. H. Blythe, *On modules of cubic surfaces* (Cambridge University Press, London, 1905).
5. A. Marchaud, *Sur les propriétés différentielles du premier ordre des surfaces simples de Jordan et quelques applications*, *Ann. Ec. Norm. Sup.* *6:3* (1947), 81–108.

*University of Calgary,
Calgary, Alberta*