The Commensal Real-Time ASKAP Fast-Transients (CRAFT) Survey


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Abstract: We are developing a purely commensal survey experiment for fast (\(<5\)s) transient radio sources. Short-timescale transients are associated with the most energetic and brightest single events in the Universe. Our objective is to cover the enormous volume of transients parameter space made available by ASKAP, with an unprecedented combination of sensitivity and field of view. Fast timescale transients open new vistas on the physics of high brightness temperature emission, extreme states of matter and the physics of strong gravitational fields. In addition, the detection of extragalactic objects affords us an entirely new and extremely sensitive probe on the huge reservoir of baryons present in the IGM. We outline here our approach to the considerable challenge involved in detecting fast transients, particularly the development of hardware fast enough to dedisperse and search the ASKAP data stream at or near real-time rates. Through CRAFT, ASKAP will provide the testbed of many of the key technologies and survey modes proposed for high time resolution science with the SKA.

Keywords: gravitational waves — ISM: structure — scattering — surveys — techniques: radar astronomy

1 Scientific Motivation

Short-timescale transients are associated with the highest energy density events in the Universe. They provide Nature’s ultimate laboratory; their emission is usually generated by matter under extreme conditions whose properties probe physical regimes that far transcend the range achievable in terrestrial experiments. Even the mere existence of such impulsive emission in some instances can transform our understanding of the behaviour of matter and spacetime under the most extreme conditions. Historically, this is exemplified by the discovery of radio pulsars, and their subsequent use to test general relativity (Hulse &
Taylor 1974; Taylor & Weisberg 1982) and the neutron star equation of state (e.g. Weber et al. 2009).

No recent event better epitomizes the problems and enormous potential scientific rewards of fast transient detection than the ∼30-Jy, 5-ms duration, one-off pulse recently reported by Lorimer et al. (2007). Its frequency–time-of-arrival sweep, if due to propagation through a dispersive medium, indicates a dispersion measure of 375 pc cm$^{-3}$, which most likely places this putative object at cosmological distances. Its extraordinary luminosity and short duration have generated a flurry of speculation as to its origin (e.g. Kavic et al. 2008; Vachaspati 2008). If more were detected, their visibility to cosmological distances would make them exquisite probes of the highly elusive ionized inter-galactic medium, which is the reservoir of more than half of the baryons in the present-day Universe (Nicastro, Mathur & Elvis 2008).

One of the most astonishing implications of this interpretation of the Lorimer burst is that such events may be no rarer than GRBs but that they have only remained hitherto undetectable in the radio regime due to the lack of field of view (FoV) on telescopes with backends operating in modes capable of detecting such short events. This leads us to question what other events could so easily have been missed. However, while only one poorly localised event remains published, it is possible that the Lorimer event may not even be extraterrestrial, but may instead represent an entirely new form of atmospheric emission. The verifiable detection of more events is thus vital, as is precise enough localization to permit optical follow-up capable of confirming their proposed extragalactic origin.

The key challenge hitherto impeding progress in fast transient science is the ability to detect transients with low event rates yet simultaneously verify and localize them to high precision. ASKAP’s marriage of phased array feed (PAF) technology with moderate-baseline interferometry overcomes this hurdle. Viewed purely as a systematic survey instrument, there is now growing interest in the potential of more events to be verifiable and localized within the auspices of widefield transient detection; and

2. Targets

There are number of new phenomena and classes of targets potentially detectable within the auspices of a widefield radio survey for fast-timescale emission. We discuss a selection of these, commencing with some obvious known targets, and concluding with some more-speculative but high-return possibilities.

2.1 Giant Pulses

All pulsars exhibit intrinsic pulse-to-pulse intensity variations, but some also emit so-called ‘giant’ pulses whose strengths exceed the mean pulse intensity by several orders of magnitude. The Crab pulsar was the first found to exhibit this phenomenon. Giant pulses with flux densities of order $10^3$ Jy at 5 GHz and durations of <2 ns, corresponding to brightness temperatures of $>10^{18}$ K, are detected from this object (Hankins et al. 2003). Giant pulses have since been detected from numerous other pulsars (Cognard et al. 1996; Romani & Johnston 2001;
Figure 1 Phase space probed by various current or planned radio facilities operating at 1.4 GHz, as depicted by the minimum detectable flux density ($S_{\min}$) at the 5-$\sigma$ level versus instantaneous accessible FoV. For simplicity in presentation, all telescopes are plotted with the same operating bandwidth (0.3 GHz) and integration time (1 ms). The solid diagonal line refers to single-pixel, single-reflector telescopes having — again for simplicity — the same system temperature (25 K) and aperture efficiency (60%). The SKA–SPF line indicates the sensitivity for 2000 single-pixel feed 15-m antennas, extended to phased array feeds (SKA–PAF) with a 20-deg$^2$ FoV. The vertical dashed lines indicate the observation time required to detect an event at the rate of 225 events sky$^{-1}$ day$^{-1}$. The horizontal dashed lines show some nominal flux densities of transient sources at 1.4 GHz. The shading represents the parameter space covered by a correlated ASKAP (green), incoherently summed ASKAP (blue) and the Parkes Multibeam (grey). Adapted from Cordes (2009).

Johnston & Romani 2003). While their aggregate pulse intensities do not vary as wildly, some other pulsars exhibit a related phenomenon of ‘giant micro-pulses’, where an individual component of the pulse sometimes exceeds its mean flux density by factors of ∼100; the Vela pulsar is a well-studied example of this (Johnston et al. 2001). Giant pulses may serve as probes of the local intergalactic medium (McLaughlin & Cordes 2003 and see Section 2.4). ASKAP’s contribution lies in its ability to detect giant pulses from hitherto unknown sources. Its instantaneous FoV renders a fast transients search on ASKAP more susceptible to rare bright events than any other cm-wavelength telescope on Earth.

2.2 Rotating Radio Transients (RRATs)
The Parkes Multibeam Survey revealed a new population of sources only detectable through their individual, dispersed radio bursts (McLaughlin et al. 2006). Pulses from these sources are highly intermittent. Although the average time between pulses ranges from 3 min to 3 h depending on the object, fundamental pulse periods between 0.7 and 7 s are deduced from the differences between pulse arrival times. The resemblance of these periods to those of conventional radio pulsars strongly suggests that they are similarly associated with the rotation of neutron stars. There are a number of outstanding questions related to RRATs. Perhaps the most fundamental is what distinguishes RRAT from pulsar emission; this may be related to the neutron star age or magnetic field, or it may even be caused by external influences, such as the presence of a debris disk (Cordes & Shannon 2008). Roughly only 30 RRATs are currently known. The intermittent nature of RRAT emission renders them difficult to detect, and it is likely that the RRAT population actually rivals the number of normal pulsars. Their discovery effectively doubles the number of neutron stars now believed to reside in the
Milky Way, suggesting that the neutron star population is inconsistent with the Galactic supernova rate (Keane & Kramer 2008). ASKAP’s large FoV makes it the ideal instrument for addressing the fundamental issue of the total number of these sources.

2.3 Magnetars

Magnetars are neutron stars whose emission is believed to be powered by decay of the object’s superstrong magnetic field, rather than by the conventional mechanism of spin down. Recently, two magnetars have been detected at radio wavelengths with remarkably flat spectra extending to at least 100 GHz. Radio emission is episodic and bright, raising the possibility that the Galactic magnetar population may be better sampled through radio rather than X-ray surveys.

The magnetar J1810-197 was detected with peak single-pulse amplitudes ~10 Jy and pulse widths ~0.15 s. Given its distance of 3.3 kpc (Camilo et al. 2006), single pulses from magnetars like J1810-197 are detectable to $D_{\text{max}} \approx \frac{\text{D} \times \text{V}}{\text{S}_{\text{pk}}}$, where $D_{\text{max}}$ is the maximum detectable distance, $D$ is the distance to the source, $V$ is the velocity of the source, and $S_{\text{pk}}$ is the peak signal-to-noise ratio.

2.4 Impulsive Extragalactic Transients and IGM Physics

Intrinsically short-duration events are susceptible to a number of effects that can be used to probe the ionized plasma through which the radiation propagates. The two principal measurable effects are dispersion smearing and temporal broadening, due to multipath propagation. The former measures the total column density of the plasma, while the latter is an exquisitely sensitive probe of density fluctuations within the plasma on small scales. For instance, pulsar radiation has been used to investigate structure in the ionized interstellar medium of our Galaxy on scales from $10^{17}$ m down to $10^{3}$ m, and has provided rich insights into the physics of interstellar turbulence and the dynamics of small-scale magnetic fields pervading interstellar space.

The detection of bright transients at extragalactic distances would open the possibility of probing the ionized Intergalactic Medium (IGM) in much the same way that pulsars have done for the ISM. Both dispersion smearing and multipath propagation were observed in the Lorimer event, and it has been argued convincingly that neither effect is attributable to our own Galaxy’s ISM. The signal delay, due to dispersion smearing, indicates that the event most likely occurred at $z \geq 0.2$.

The ability of extragalactic transients to probe the IGM would strongly impact on cosmological simulations of the matter content of the universe. Cosmological simulations indicate that the majority of baryons in the present day universe reside not in galaxies or stars, but in an extremely tenuous, ~$10^{-4}$ cm$^{-3}$ IGM (Cen & Ostriker 1999, Davé et al. 2001), and that at least 45% of these baryons have not been detected (Nicastro et al. 2008). The missing baryons are thought to reside as highly ionized gas in a temperature range $10^{5}$ K $< T < 10^{7}$ K, where they are extremely difficult to detect. Only a fraction of this ionized gas has been detected, via X-ray spectroscopy of OVII absorption lines.

Measurements of intergalactic dispersion versus redshift would enable us to investigate how the density of the IGM evolves with redshift, and the physical conditions under which most of the IGM material exists. Do the missing baryons pervade the tenuous, warm IGM as predicted, or do they reside in otherwise undetectable partially condensed structures within it? Does the density scale as $n_e \propto (1 + z)^3$, as one expects if the IGM is still connected to the Hubble flow, or does it already exist in structures which are partially gravitationally bound and thus disconnected from the Hubble flow? Measurements of the scattering in the IGM can be used to infer the properties of intergalactic turbulence. Indeed, the existence of the temporal smearing in the Lorimer event may have already placed interesting limits on the amplitude of the magnetic fields pervading intergalactic space; the magnetic field needs to exceed a certain threshold value to be able to support turbulence on the small, ~$10^{3}$ m scales implied by the properties of the scattered radiation (e.g. Macquart 2007).

How many Lorimer-style bursts might ASKAP detect? The nominal estimated rate is 225 events per day over the entire sky, equivalent to one event every 6.1 days per 30 deg$^2$ field of view. However, this rate is based on only a single event, and is uncertain by at least half an order of magnitude. Detection of more such events is vital not only to confirm that these events are genuine, but also to determine their astrophysical origin. In this regard, the log $N$-$\log S$ distribution based on detections of a number of these events would enable us to determine the evolution of the progenitor population throughout cosmic history. Are their progenitors related to GRB progenitors, or do they originate from an entirely different class of phenomenon? Precise localization of these events, made possible with ASKAP, will also permit multiband electromagnetic followup and may provide clues as to the emission mechanism, when compared to detections (or non-detections) at higher energies.

Finally, we note that high time resolution studies of objects within our own Galaxy provide a means of studying the Milky Way’s own Interstellar Medium. However, at ~1-ms time resolution, essentially all but the Galactic plane is accessible with little or no impact on detectability on Galactic transients from interstellar scattering at frequencies about 1 GHz.

2.5 Other Exotica

A variety of more speculative possibilities, discussed below, demonstrate the broad range of phenomena for which the survey will either detect, or place interesting limits on event rates.

2.5.1 Annihilating Black Holes

An annihilating black hole may produce radio bursts (Rees 1977; see also O’Sullivan, Ekers & Shaver 1978), $\gamma$-ray telescopes such as Fermi have renewed interest in...
the identification of high-energy signatures from primordial black holes (Dingus, Lazio & Sinnis 2002; Linton et al. 2006). Observations at γ-ray and radio wavelengths are complementary as radio observations may detect the pulse from an individual primordial black hole, while high-energy observations are sensitive to the integrated emission.

2.5.2  Gravitational-Wave Events

Gravitational wave events may generate associated electromagnetic emission when matter is present in the vicinity of the system. For example, the in-spiral of a binary neutron star system may produce electromagnetic pulses at radio wavelengths due to the interaction of the magnetospheres of the neutron stars (e.g. Hansen & Lyutikov 2001). The detection of the electromagnetic signatures of events that generate gravitational waves may be necessary to localize the sources of the emission sufficiently well to uniquely identify them. Inspiring binary black holes act as ‘standard sirens’, so that if a position and redshift can be obtained for the object, it offers a one-step measurement of the cosmic distance scale (Kocsis et al. 2008). It thus affords the possibility of measuring several key cosmological parameters to high accuracy, including the dark energy equation of state parameter, \( \omega \).

2.5.3  SETI and Extraterrestrial Emitters

Searches for extraterrestrial intelligence (SETI), though hitherto unsuccessful, have found non-repeating signals that are otherwise consistent with the expected signal from an ET transmitter. ET signals could appear transient, even if intrinsically steady (Cordes, Lazio & Sagan 1997). The hardware configurations and processing techniques necessary for the detection of fast transients also satisfy most of the requirements for the detection of a SETI signal.

2.6  Why ASKAP?

ASKAP is poised to revolutionize the detection of fast transients for three fundamental reasons:

1. It has a large instantaneous field of view (FoV, Section 2.6.1);
2. It can localize an event to within a few arcseconds, even if intrinsically steady (Cordes, Lazio & Sagan 1997).
3. The longer baselines in the array provide the possibility of discriminating between terrestrial and extraterrestrial signals (Section 2.6.3).

2.6.1  Large Instantaneous FoV

A figure of merit for the detection of a population of transient objects is (Cordes 2009)

\[
\text{FoM} = \Omega \left( \frac{A_{\text{eff}}}{T_{\text{sys}}} \right)^2 K(\eta W, \tau/W),
\]

with \( \Omega \) the instantaneous solid angle field of view, \( \tau \) the dwell time per pointing, and \( K \) a function that embodies the relative detectability of transients based on their event rate, \( \eta \), and event duration, \( W \). ASKAP’s advantage lies in its instantaneous FoV of \( 30 \text{deg}^2 \), a factor of 49-times larger than the half power solid angle covered by the Parkes 21-cm multibeam receiver. The ratio of \( A_{\text{eff}}/T_{\text{sys}} \) between Parkes and ASKAP is 1.26 (assuming a 50-K ASKAP system temperature), giving an overall ASKAP FoM advantage of 30.

2.6.2  Event-Localisation Ability

ASKAP has the ability to localize events to within a fraction of its \(~7\) ’synthesized beam, a much smaller diameter than that offered by Parkes, whose full width at half power is \( 14\text{'} \). Event localization is particularly important for extragalactic events, where a positional accuracy \(~2\text{–}5\text{'}\) is necessary to associate any putative event with a potential host galaxy and thus enable targeted optical spectroscopic followup. For instance, positional information is crucial in confirming the proposed extragalactic origin of Lorimer-like events.

2.6.3  Discriminating Between Genuine and Spurious Events

The ability to verify an event is crucial to any transient detection effort. The history of transient detection has been dogged by the difficulty of verifying one-off events (e.g. the Drake/Ehman WOW signal, the Lorimer burst). ASKAP’s interferometric abilities, particularly the longest baselines, offer the possibility of discriminating between terrestrial and extraterrestrial events, imparting credibility to any potential transient signal detection.

2.7  Survey Strategy

The CRAFT survey has adopted a purely commensal observing mode based on the fact that one patch of sky is as good as any other when surveying the sky for new phenomena whose distribution is unknown. This is particularly true of the most extreme events which would be visible across cosmological distances, and whose flux distribution would therefore be nearly isotropic, and whose flux density, spectral indices, intrinsic-width distributions are all unknown.

3  Technologies and Pilot Surveys

Given that the nature of many of the objects we seek to discover is unknown, the implementation of effective hardware and survey strategies to detect them is necessarily an iterative process. In this respect, pilot surveys are essential to evolve the project. The evolution of the CRAFT survey will be driven by the classes of objects our pilot surveys detect (or exclude from the possibility of plausible detection), by their event rates, the sensitivities required to investigate them, and by capitalization on advances in processing technology.

Ideally, an ASKAP fast transients detector would monitor the baseband voltage stream from all the PAFs from
all the antennas. However, the computational challenge of processing ASKAP’s entire >12-Tbps, high-resolution data stream in real time makes this task prohibitive. Our design strategy is therefore aimed at exploiting the telescope’s capabilities by trading FoV, temporal and spectral resolution and sensitivity against one another while maintaining a manageable data rate. As shown in Figure 2, we anticipate building at least two generations of ASKAP backends, with the second generation hardware able to exploit a large fraction of ASKAP’s full capabilities.

3.1 Precursor Surveys

Our collaboration is presently establishing a number of pilot and precursor surveys on a variety of instruments. These are projected to all be operational within the next ∼6 months. These surveys are being used to optimize the ASKAP survey strategy, both by establishing a number of critical estimates (i.e. restricting the range of parameter space to be searched) and proving key technologies. In particular, they are being used to:

- Establish or place upper limits on event rates as a function of flux sensitivity. This addresses basic questions relating to tradeoffs between instantaneous processed FoV and sensitivity. For instance, do we need to concentrate on searching the entire ASKAP 30 sq. deg. FoV at a lower sensitivity, or is a search more effective if we restrict the searchable FoV to values <30 deg² with higher sensitivity?
- Serve as test beds for automated transient detection algorithms. This is essential to any effort with ASKAP where near real-time processing and decision making is necessary (e.g. in deciding when to dump a high time-resolution, high sensitivity buffer for subsequent reprocessing).
- Identify a means of verifying candidate events. This form of quality control is particularly essential in the field of transient detection, where it is typically impossible to verify a one-off event after the fact.
- Develop intelligent event characterization algorithms. The ability for real-time distinction of genuinely interesting events from common or uninteresting events (such as mildly bright pulses from known pulsars) is vital when processing time and/or bandwidth resources are limited.

Various subsets of our collaboration are conducting commensal surveys as shown in Table 3.1, which gives the parameters of the Parkes (Swinburne), VLBA (Curtin/ICRAR), GMRT (Swinburne, Curtin/ICRAR et al.) and LOFAR (Fender, Stappers et al.) transient programs providing input to our design study. We describe in detail below both the VLBA and GMRT pilot surveys. Both experiments demonstrate how existing telescopes help us to define and design fast transient experiments for ASKAP and other next generation radio telescopes.

The first stage of fast transient searches with ASKAP will likely be based on these two experiments, using the autocorrelation data from ASKAP over the much larger fields of view, in a fully commensal mode of operation.

3.1.1 VLBA Pilot Survey

A novel pilot survey for fast transients has been devised and is being implemented at the Very Long Baseline Array (VLBA). The VLBA will transition to production correlator using the DiFX software correlator (Deller et al. 2007) before the end of 2010 for production processing of data recorded at the 10 × 25-m diameter VLBA stations. Given the flexibility of the DiFX software, the auto-correlation products for all ten telescopes can be used for a commensal search for fast transient radio sources. DiFX streams the auto-correlation data (averaged over short timescales

1 See http://voeventnet.caltech.edu/.
2 Accepted VLBA proposal authored by Tingay, Deller & Brisken.
of ~1 ms) to a transient detection pipeline, after the data streams from all ten telescopes have been time-aligned to high accuracy. The transient detection pipeline can process all 10 sets of auto-correlation data separately or incoherently combine the auto-correlation data for higher sensitivity. The pipeline will run commensally with regular VLBA correlation and whenever a candidate transient event is detected, a segment of baseband data encompassing the candidate event is extracted and written to disk for later, more detailed analysis. This experiment has natural advantages:

- The experiment is fully commensal and therefore runs almost 24/7, essentially for free, using an existing facility;
- It will be a blind survey, in that the experiment will use pointing centres chosen for various different projects;
- It will cover a wide range in frequency, from ~1 to ~40 GHz, although field of view will be less at higher frequencies;
- The use of ten telescopes simultaneously, spanning thousands of kilometres, gives a highly robust filter against signals of a non-astronomical origin;
- Once a candidate transient signal is detected, highly detailed follow-up processing is possible, including full coherent de-dispersion of the voltage time series, as well as potential cross-correlation of the signals (before and/or after coherent de-dispersion) in order to localise the transient with perhaps 10-mas accuracy, thereby allowing a possible identification with objects for which distances can be obtained.

### 3.1.2 GMRT Fast-Transient Survey

An Australian-Indian collaboration (Swinburne, NCRA, Curtin/ICRAR) will conduct a survey over a small area of sky (~10° ≤ l ≤ 50° and |b| ≤ 3°) for short-duration transients using the GMRT. The survey aims to overlap with the Parkes Multibeam survey (PMB), with the region of sky deliberately chosen to have a high density of known rotating radio transient objects (RRATs). The survey will use short (5-min) dwell times, and therefore be sensitive to objects with relatively high event rates (10 h⁻¹ or more). There are several classes of objects that the survey will be sensitive to: giant pulse emitters, RRATs, radio magnetars and Lortimer-type bursts.

The pilot survey has both technical and scientific goals. On the technical front, the long-term aim is to develop and deliver a robust transient detection system (capable of working in commensal mode) that would become an integral part of the observatory. The expertise gained in developing such a system will contribute directly to the ASKAP fast transient system design. The survey will also generate real data capable of testing and optimising detection algorithms and observing strategies.

As with the VLBA pilot survey, the use of an interferometer for transient detection has several advantages compared to single dishes, including the ability to localise events to high accuracy, increased collecting area and an improved ability to filter RFI by comparing signals from different antennas. In addition, the GMRT survey will be the first of its kind at 610 and 327 MHz, the low frequencies also having the advantage that the beam sees a relatively large patch of sky, and that at low frequencies dispersion effects are maximised.

### 4 Hardware and Algorithm Development

#### 4.1 Development of the Detection Algorithm

There is no consensus on the optimal means of either identifying transients whose properties are, a priori, unknown, or of classifying the known transient types in an automated manner so as to identify those events which are particularly worthy of real-time follow up. The latter is particularly important, as limited computing and network resources throttle the rate at which events can be processed, particularly if a large buffer must be dumped and processed following each event.

We list below the key problems in this area and the means by which they are being addressed:

##### 4.1.1 Problem 1

Identification of genuine transients and the preliminary screening of likely events.

**Strategy:** We are investigating the classification of bursts in a number of dimensions of parameter space, including event duration, amplitude, sky location, dispersion measure, polarization, and recurrence rate. Dispersion measure provides a means of distinguishing between terrestrial, interstellar and intergalactic signals. Coincidence/anti-coincidence of the signal between well-separated elements of the array are being investigated as a means of

<table>
<thead>
<tr>
<th>Frequency (GHz)</th>
<th>FoV (deg²)</th>
<th>1σ sensitivity (mJy in 1 s)</th>
<th>Time resolution</th>
<th>Localization radius</th>
<th>Operating date</th>
</tr>
</thead>
<tbody>
<tr>
<td>Parkes</td>
<td>1.4</td>
<td>0.6</td>
<td>1.5</td>
<td>64 µs</td>
<td>14.7'</td>
</tr>
<tr>
<td>VLBA</td>
<td>0.6–90</td>
<td>0.71(±0.21 m)²</td>
<td>5.6 (at 0.21 m)</td>
<td>2–10 ms</td>
<td>14 mas (±0.21 m)/SNR</td>
</tr>
<tr>
<td>GMRT</td>
<td>0.3–0.6</td>
<td>1.2 (±0.50 m)²</td>
<td>22</td>
<td>32 µs</td>
<td>4.7 (±0.50 m)/SNR</td>
</tr>
<tr>
<td>LOFAR†</td>
<td>0.12</td>
<td>170</td>
<td>40</td>
<td>50 µs/s</td>
<td>(3–10)°/SNR</td>
</tr>
</tbody>
</table>

†Time resolution is mode-dependent, localisation radius depends on whether short-only or long baselines are employed.

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Table 1. Parameters of transient programs

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event verification and RFI rejection. These technologies are being tested in our preliminary surveys; the effectiveness of coincidence verifications is particularly testable during the VLBA survey. A team at Jet Propulsion Laboratory (JPL) specialising in machine learning algorithms is also investigating the deployment of their code to the preliminary transients surveys. A number of potential algorithms/approaches, such as machine learning, have never been taken into the high time resolution astronomy domain before.

### 4.1.2 Problem 2

**Sorting the wheat from the chaff:** the rejection of uninteresting transients or objects likely to otherwise trigger a transient alert, such as bright pulses from known pulsars, or outbursts from known or recurring objects.

**Strategy:** This involves the development of a cataloguing system capable of accumulating information about known transients by position and temporal characteristics as a means of rejecting triggers on unwanted events. The catalogue development will be guided by the precursor transients surveys, which will give us a clear indication of suitable trigger criteria. The Swinburne, Curtin/ICRAR and NRAO team members involved in these surveys are playing a principal role in this development.

### 4.1.3 Problem 3

Implementation of the necessary algorithms on hardware.

**Strategy:** One group is investigating software solutions (Hotan, van Straten & the Swinburne team), while another at JPL and Curtin is investigating the partial implementation of the most compute-intensive tasks onto FPGA boards. A team at UWA/ICRAR is developing a GPU-based search pipeline, which will be expanded to a more generalised Hough Transform-based search which makes fewer assumptions as to the signature of the dispersion signal.

### 4.1.4 Problem 4

Quantifying the relative effectiveness of various transient selection/detection approaches.

**Strategy:** At the conclusion of the pilot surveys there will exist a range of solutions to the detection and classification problems. After testing on the VLBA, GMRT and Parkes surveys, will we use the BETA system to quantify the most effective set of algorithms suited for deployment on ASKAP.

### 4.2 Hardware Development: System Overview

We have devised an approach centred around several technologically plausible strategies aimed at exploiting various aspects of ASKAP’s capabilities. These systems are scalable so as to take increasing advantage of ASKAP’s full capabilities as hardware capabilities increase with time. Highlights of the aspects we are investigating are an incoherent processor, buffer implementation, advanced next-generation backends and tied-array and pipeline processing. The strategies can be broken down into subsystems, as presented in Figure 3 and outlined as follows:

i) an incoherent system fed from the channelised PAF beam auto-correlations;

ii) a buffer for each of the PAF beam voltage datastreams.

The buffer will dump the data following a trigger off another system — nominally the incoherent search (Subsystem i) — for subsequent off-line processing;

iii) a system which will coherently search high time resolution correlator output;

iv) an external processor connecting to each of the PAF beam voltage datastreams.

4.3 Subsystem Details

We list in this section further specifics of the various subsystems and how they are envisaged sitting within the ASKAP architecture.

### 4.3.1 Data Accessed at the Beam Formers

**First-Generation: Total Power, Incoherently Summed Beams (Subsystem i)**

Our primary initial goal is to implement a system which monitors the auto-correlations, or total powers, of the

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![Diagram of the modular layout of the proposed detection system and its anticipated evolution with time.](https://doi.org/10.1071/AS09082)
incoherently summed PAF beams. This solution exploits ASKAP’s entire 30 deg$^2$ FoV from all (or a subset) of its 36 antennas with the advantage of maintaining a tractable data rate, at the expense of a loss of a factor of $\sim 6$ in instantaneous sensitivity relative to a full correlation approach. In the initial implementation of this subsystem, we will tap off the auto-correlation datastreams at the post-beamformer stage, with a $\approx 1$ ms time resolution and $\approx 1$ MHz spectral resolution. Given that the initial bandwidth offset will be $\sim 40$ Gbps or greater, the 6 Gbps data rate from this is sufficiently low that it is feasible to transmit this data stream off-site for real-time dedispersion and transient searching. As part of the design study we are exploring various options for the hardware required to perform this processing. This includes GPU- and cluster-based solutions, whose compute power can be scaled with time as the data rate, complexity and scope of the transients search increases.

Later-Generation: External Processor (Subsystem v)

The Curtin/ICRAR and JPL teams are forming a collaboration to investigate the construction of a next-generation fast transient detection backend. This will connect directly to the PAF beam voltage datastreams via the 10-Gbps sockets at the beamformers. The backend is intended to provide the ultimate level of flexibility in transient searches by directly accessing the baseband (voltage) datastreams of all the PAF beams from all antennas. This will render it capable of searching the datastream at a higher temporal and spectral resolution, and take advantage of a greater array sensitivity (i.e. by searching a subset of the antenna cross-powers in addition to the auto-correlations). The specifications of the searches possible with this machine would ideally be configurable, depending on the parameters of the transients we wish to search for (e.g. high DM, temporal/spectral resolution). The collaboration, including an exchange of personnel, will investigate the possibility of building the backend using next-generation FPGA hardware to connect directly to the datastreams, as well as implementing dedispersion and transient search algorithms.

4.3.2 Data Buffer (Subsystem ii)

A rolling buffer is envisaged as a means to access the ASKAP data stream at full sensitivity and full field of view upon demand. Although it will initially be impossible to search the entire ASKAP data stream at full sensitivity over the entire FoV, a transient detected by another subsystem (e.g. the incoherent detection subsystem) could be used to trigger a buffer readout, which would recover a small portion of the datastream and enable us to image the event at the full array sensitivity and angular resolution, at high temporal and spectral resolution.

An initial buffer implementation would involve accessing the datastream from the DRAMs onboard the beamformer FPGA boards. This would provide 8 GB buffers for all the data products (all telescopes, all PAFs, all polarizations). This is sufficient to retain $\sim 12$ seconds at the higher frequencies and $\sim 45$ seconds for the lower (where fewer beams are formed), allowing the full recovery of signals with $\text{DM} \leq 8000 \text{pc} \cdot \text{cm}^{-3}$. This buffer will then be exported off-site for follow-up processing. A later implementation, as part of the external processor, involves the connection of FPGA hardware to the 10 Gbps ports of the beamformer FPGAs. This system will hold a substantial memory buffer in its own right, relying on Moore’s law to make the cost of memory cheaper in this later-generation machine.

The size of the buffer will be a crucial parameter in determining the scope of any search. The time to generate the trigger may be several seconds depending on the number of trial dedispersions required and the maximum dispersion measure, and this will impact on the amount of buffer required and the maximum feasible search dispersion measure. Even if the processing time is small, the actual event duration also affects detection time. For instance, an event at a DM of 4000 pc cm$^{-3}$ would be smeared to over 3.7s across the ASKAP bandwidth at a centre frequency 1.4 GHz.

4.3.3 Tied-Array Beams (Subsystem iii)

The tied-array beams solution represents a compromise between the characteristics of the incoherent search (with full field of view but non-optimal sensitivity) and searches using the PAF beam voltages (with full sensitivity but a prohibitive data rate for real-time continuous streaming and searching). In effect, tied array beams allow us to access and process, at full sensitivity, at least some of the wide field of view of the PAF. Unless we employ tied-array beams we may never be able to access the wide field of view of the PAF at full sensitivity.

The ASKAP antenna configuration renders it computationally prohibitive to search the entire FoV tiled with tied-array beams. The diameter of a tied-array beam formed from the entire array is only $\sim 7'$ at 1.4 GHz. Tied array beams are thus most gainfully employed when either 1) a number of small angular-size targets exists within the entire 30-deg$^2$ FoV or 2) tied-array beams are constructed from a small number of closely-space elements within the array, so as to increase the beam size. Draft specifications of the ASKAP tied-array box suggest that it will be possible to form a maximum of 30 independent beams.

We will work towards the implementation of a tied-array system in two stages:

- **First-Generation: Total Power, Incoherent Beams**
  
  The first generation approach involves using the Tied Array Post-Processor to provide (summed) tied-array beams$^4$, which are subsequently squared to form total

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$^4$ In general, descriptions of beam forming in PAFs can be confusing because there can be two stages; one for forming primary beams in the PAFs, and one for forming tied-array beams further down the signal path. In the ‘incoherently summed approach’ of Subsystem i (see Section 4.3.1) the total powers of each PAF beam are summed incoherently over all 36 antennas. In Subsystem ii, which searches for detections using tied-array beams, two stages of beam forming are employed. The first stage occurs at the PAF beam formers, and the second occurs in the tied-array hardware.
An ASKAP utilised for fast transient surveys will be a pathfinder currently under construction at the MRO, alongside ASKAP (Lonsdale et al. 2009). The MWA operates in the range 80–300 MHz, which is complementary to ASKAP’s 700–1800 MHz frequency range. The extremely large MWA FoV will often encompass the FoV currently being observed by ASKAP, and thus there is the potential to detect impulsive events observed with ASKAP with the MWA also.

4.4.3 Fermi and Other High-Energy Widefield Surveys

The γ-ray telescope Fermi was launched on 2008 June 11, with a designed mission lifetime of 5 years but a goal of 10 years of operations. ASKAP will thus overlap with Fermi’s mission, even for the most pessimistic projected Fermi mission lifetime. Fermi’s two scientific instruments, the 30 MeV–300 GeV Large Area Telescope (LAT) and the 150 keV–30 MeV Gamma-Ray Burst Monitor (GBM), are both excellent transient detectors. The LAT views ∼20% of the sky at any one time, while the GBM is sensitive to events over all of the sky not occulted by Earth. There is thus considerable potential to search for the γ-ray counterpart of events detected by ASKAP. In addition, ASKAP will be able to provide instantaneous followup of all γ-ray sources whose positions lie within the relatively large ASKAP FoV.

5 Conclusions

The discovery of the fast, bright transient reported by Lorimer et al. (2007) has revitalized interest in short-timescale phenomena in the Universe. It has precipitated the realization that many similar classes of objects may remain to be detected. However, little thought has been devoted to the best means of systematically exploring the largest possible volume of this parameter space. Over which regions of parameter space should a survey concentrate its efforts? Should a fast transients survey concentrate on rare, bright events, or search for faint but frequent events? Even the sorts of objects one may potentially detect is poorly constrained.

In this paper, we have outlined a systematic approach to the exploration of this parameter space. We emphasise the importance of a tiered approach to the development of transients detection algorithms and hardware. Before investing substantially in a major survey, we are employing a number of precursor surveys to either detect transients or derive significant limits on their event rates and luminosity functions. They will foster radio transient detection algorithm development — a field very much still in its infancy — as well as indicate which regions of parameter space are likely to be most worth concentrating on. They will also guide the specifications of the necessarily purpose-built survey backend hardware required. A survey for fast transients on ASKAP will therefore represent the culmination of a long chain of efforts in searching for the optimal means of exploring this largely uncharted volume of parameter space.

Although the present paper focuses on our efforts related to ASKAP, it is obvious that the strategy applies...
much more widely. A fast transients survey on ASKAP may be viewed as merely a precursor to yet more ambitious transients surveys on the SKA.

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