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Approaches to setting dietary reference values for micronutrients, and translation into recommendations

Hilary J. Powers

Department of Oncology and Metabolism, The Medical School, The University of Sheffield, Sheffield, UK

Dietary reference values (DRV) are estimates of the daily amounts of nutrients or food energy that meet the needs of healthy people. In the UK, three terms are used to express these estimates, assuming a normal distribution of requirements in a population. These are the estimated average requirement, the lower reference nutrient intake and the reference nutrient intake. DRV are for use in a variety of settings, including the assessment of adequacy and safety of nutrient or energy intake in a population group, in the design of meal provision in care settings, in food labelling and in considering food fortification strategies. DRV, and other expressions of nutrient requirements, assume a relationship between the intake of a nutrient and some criterion of adequacy, the outcome. Estimates of requirements are based on a diverse range of measures of adequacy, according to available evidence. The Scientific Advisory Committee on Nutrition (SACN) is the body responsible for reviewing and setting DRV for the UK population. The work of SACN is guided by a framework of evidence that relates food and nutrients to health. There have been calls for the harmonisation of approaches used in the setting of nutrient requirements, globally, and an increased transparency in the decision-making process. Some progress has been made in this regard, but there is a great deal of work to be done.

**Abbreviations:** 25(OH)D, 25-hydroxyvitamin D; COMA, Committee on Medical Aspects of Food Policy; DRV, dietary reference value; EAR, estimated average requirement; EFSA, European food safety authority; RNI, reference nutrient intake; SACN, Scientific Advisory Committee on Nutrition.

**Corresponding author:** Hilary J. Powers, email h.j.powers@sheffield.ac.uk

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needs as well as those with lower needs. However, it became apparent that the reference values were being used inappropriately to scrutinise the diets of individuals, and were indeed being used as targets for individuals, and to make judgements about the dietary adequacy of individuals. In 1987, the chief medical officer instructed COMA to update values for dietary requirements and to consider how best to express them to reduce the likelihood of misuse. COMA established panels of experts to undertake this work, according to the specific expertise of panel members, and the updated reference values were published in 1991 as ‘Dietary Reference Values for Food Energy and Nutrients for the United Kingdom’, a seminal publication(5). The DRV set for micronutrients in 1991 are still in use today, with the exception of vitamin D, but important changes were made to how they were expressed, relative to the earlier values. There was a move away from a single value, to cover the needs of most of the population, to a number of values; this will be considered later. The term ‘reference’ was introduced and the term ‘recommended’ dropped, as this was considered to be a source of misunderstanding and misuse. It was also emphasised that the DRV were set for a healthy population and that requirements were normally distributed. This has a bearing on the type of literature that is admissible when considering evidence during a review of DRV. Importantly, it was also emphasised that the DRV are not meant to be used as an indication of an intake to treat disease.

Requirements for some nutrients differ between age groups and sex, and for pregnant and breastfeeding women, as a result of such factors as growth and nutrient absorption. DRV are therefore set according to age group, sex and physiological state; where data are not available for a particular age group, it may be necessary to either interpolate or extrapolate from data available in other age groups.

**Normal distribution of requirements**

In setting the 1991 DRV, a number of assumptions were made. First that nutrient requirements are normally distributed in a healthy population of a given age and sex. In fact, this is not always the case. A clear example is that for iron requirements in women of child-bearing age; women with high menstrual losses skew the distribution of requirements towards higher requirements(6,7). In order to take account of this, the COMA panel based the estimated average requirement (EAR) for women of reproductive age on the 75th percentile of menstrual blood losses(5). Other factors may skew the distribution of micronutrient requirements, including common polymorphisms in genes relevant to nutrient absorption or metabolism(8). The assumption of normality is relevant to how available evidence can be used in the setting of DRV. Fig. 1 shows a normal distribution of requirements for a nutrient. EAR denotes the intake at the mid-point of the distribution curve; 50% of the population have a higher requirement than the EAR, 50% have a lower requirement. At the two extremes of the distribution are lower reference nutrient intake and reference nutrient intake (RNI), denoting intakes that will satisfy the needs of only 2.5% of the population, or the needs of 97.5%, almost all of the population, respectively. RNI is similar in concept to the earlier recommended intake and

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*Fig. 1. Normal distribution of nutrient requirements, with definitions of dietary reference values(5). Figure reproduced from ‘Dietary Reference Values for Food Energy and Nutrients for the United Kingdom’, page 4. Reproduced under Open Government Licence v 3.0.*
recommended dietary amount. The lower reference nutrient intake and RNI can be estimated as being EAR as so 2 from the EAR, respectively. It is further assumed that there is a relationship between the intake and risk of deficiency or excess; the risk of deficiency diminishes as intake increases from lower reference nutrient intake to RNI. Additionally, consideration needs to be given to adverse effects associated with excessive intakes, which can be expressed as a safe upper level, reflecting an intake below which there is no significant risk of an adverse effect.

Other bodies responsible for setting DRV use a similar approach, including an assumption about the normality of requirement distribution, although terminology differs. For instance, in place of RNI adopted by COMA in 1991, the European Food Safety Authority (EFSA) uses population reference intake; the Institute of Medicine, in the USA, uses RDA. In setting DRV for one micronutrient, it is also assumed that the requirements of all other micronutrients are satisfied. Again this may have a bearing on what evidence is admissible when updating and reviewing DRV if the metabolism or absorption of one nutrient is influenced by the availability of another. For example, calcium absorption is dependent on the availability of vitamin D; homeostatic feedback loops maintain circulating calcium at the expense of bone calcium, operating via the effects of vitamin D metabolism. This interaction has implication for the estimation of calcium requirements.

Criteria of adequacy

DRV, and other expressions of dietary requirements, are set on the understanding of a causal relationship between the exposure (intake of the nutrient in question) and some criterion or criteria of adequacy, the outcome. Estimates of requirements for micronutrients are based on a diverse range of measures of adequacy, according to available evidence. Historically, estimates of nutrient requirements were generally based on preventing symptoms of deficiency, or of correcting symptoms of deficiency, sometimes from data arising from depletion/repletion studies. These studies were often carried out on a very small number of people, sometimes employing radioisotopes to observe nutrient metabolism. Biochemical and metabolic criteria were also used. A consideration of metabolic and biochemical responses to a decrease in the body pool of a micronutrient may give some insight into the criteria of adequacy that can be used. As the body pool of a nutrient starts to decrease, usually when intake decreases, an early response that can be readily monitored is a fall in the blood concentration of that nutrient or a metabolite of the nutrient. Further depletion may impact the activity of a nutrient-dependent enzyme or a biochemical pathway, sometimes leading to the accumulation of an intermediate. As depletion progresses, other changes may become evident including a measurable alteration in physiological function, such as grip strength or nerve conduction velocity. Late-stage depletion will generally be evident as the appearance of clinical symptoms.

There are many other examples of biochemical and physiological responses to micronutrient depletion; some changes have proved useful as pre-clinical intermediate disease biomarkers, which have value in the process of identifying adequate micronutrient intake. Over the past few decades, findings from epidemiological studies linking the intake of micronutrients with the risk of chronic disease have contributed to discussions about nutrient adequacy. There has been increasing interest in the use of chronic disease endpoints in approaches used to set DRV. However, it has often proved difficult to fit sufficient good quality data from studies with chronic disease endpoints to existing EAR-based approaches to setting DRV. Risk of bias from various sources has been a particular problem. Additionally, the relevance to the setting of DRV for the healthy population, of studies conducted in populations with chronic disease, is problematic. The availability of validated biomarkers for chronic disease can support the incorporation of chronic disease into the exposure endpoint model to set DRV.

The basis of the 1991 dietary reference values

The criteria on which the 1991 DRV were established are wide-ranging, depending on the nutrient in question. Some of the depletion/repletion experiments carried out in human subjects provided valuable information, but depletion experiments with clinical endpoints could be difficult to justify today. Monitoring of biochemical changes during graded depletion of subjects with subclinical deficiency is more readily justified however, and experiments of this type have provided insight into potential biomarkers for micronutrient adequacy. For some micronutrients for which no specific clinical symptoms of deficiency are evident, an understanding of the biochemical functions has offered opportunities for identifying biomarkers of adequacy. Selenium is known to act as a cofactor for the antioxidant enzyme glutathione peroxidase and the whole blood activity of this enzyme is responsive to changes in selenium intake. Evidence of a plateau in activity at a blood concentration of 100 μg/l formed the rationale behind the 1991 DRV for this nutrient. The mean concentration of selenium in whole blood in the UK population at that time was above this threshold, and the RNI was set at an intake to achieve this. Vitamin B2, riboflavin, is a water-soluble vitamin. As early as 1950, Horwitt et al. showed that as intake increases, urinary excretion increases. This unpublished result was corroborated subsequently, and an inflection in the intake/urinary concentration curve contributed to the 1991 setting of DRV for this vitamin. As for some other nutrients, a factorial approach was used to estimate iron requirements, based on the estimates of obligatory losses, menstrual losses and tissue accretion and a consideration of percentage iron absorption. COMA used an estimated 15% absorption of dietary iron. The accuracy of the
Considerations when setting dietary reference values

Fig. 2 is an idealised scheme to illustrate the considerations that might be made when setting dietary reference values (DRV).

An estimate of dietary absorption is central to the validity of the DRV for iron and given the factors that influence iron absorption\(^{28,29}\), there remains uncertainty in the accuracy of the estimate. A useful discussion of the limitations in the setting of DRV for iron is included in the Scientific Advisory Committee on Nutrition (SACN) iron and health report\(^{30}\). The DRV set in 1991 are still in use today, with the exception of vitamin D.

The only micronutrient for which there has been a full risk assessment, with a view to reviewing DRV, is vitamin D. In the late 1980s, COMA reviewed nutrient requirements DRV for food energy and nutrients. Vitamin D is obtained from UVB exposure as well as diet; COMA did not set a reference value for the UK population aged between 4 and 64 years as it assumed vitamin D was available from cutaneous exposure. In 2007, SACN conducted a review of DRV for vitamin D. In the summer months. SACN concluded that there was insufficient new evidence to justify this. In 2010, SACN agreed that sufficient new evidence...
had accumulated to justify reconsidering the DRV for vitamin D. A working group was established with a remit to review the DRV for vitamin D and make recommendations.

A summary of the steps involved in the review of DRV for vitamin D will give some insight into the approach used by SACN(44). Vitamin D is unique among the vitamins in that it is the only vitamin for which the main source is not dietary; vitamin D is synthesised in the skin in the presence of UVB radiation (wavelength 280–315 nm)(45). This complicates the exercise for interpreting evidence and the setting of DRV for vitamin D but the general approach is not dissimilar from other micronutrients. The first step in the review process was to identify health outcomes that were considered potentially useful in the setting of DRV. A comprehensive list of health outcomes was considered; evidence for non-musculoskeletal health outcomes was deemed inadequate for the setting of DRV and these health outcomes were not considered further, whilst the evidence for musculoskeletal health outcomes was considered worthy of further consideration. The next step was to agree on the biomarker to be used as a marker of vitamin D exposure. The serum/plasma concentration of 25-hydroxyvitamin D (25(OH)D) was adopted, which is widely used as a biomarker of vitamin D status. The concentration of this compound in serum or plasma reflects exposure to vitamin D from diet and cutaneous synthesis. It has a long half-life in the circulation, relative to the parent compound, vitamin D or its functional metabolite 1,25(OH)2D, and is not subject to tight homeostatic control(46,47). The next step was to examine the literature to characterise the relationship between this biomarker and the musculoskeletal health outcomes of interest.

Most of the studies considered provided only mean or median serum 25(OH)D concentrations of participants so it was not possible to establish a range of serum 25(OH)D concentrations associated with the selected musculoskeletal health outcomes. If sufficient data had been available to define a distribution of serum 25(OH)D concentrations that related to identified musculoskeletal health outcomes, it might have been possible to identify an EAR and then, according to the 2 SD rule, an RNI and lower reference nutrient intake. A serum concentration of 25 nmol/l was identified as the concentration of 25(OH)D below which the risk of poor musculoskeletal health increased, at a population level. This was considered to represent a ‘population-protective’ concentration, in that it equates to the RNI, being a concentration considered adequate to cover the needs of the majority of the population, i.e. the concentration that 97.5% of people should be above, throughout the year, in terms of protecting musculoskeletal health.

The next question to address was how the population could achieve this. Given the fact that vitamin D is made available through cutaneous synthesis and through diet, there were two modelling options available for attaining and maintaining the threshold serum concentration of 25(OH)D, that of summer sunshine exposure and that of vitamin D intake. It was not possible to quantify summer sunshine exposure required to attain and maintain the threshold serum 25(OH)D concentration in the general population because of the many factors that influence cutaneous synthesis(48–50). The RNI was therefore derived by estimating the average intake of vitamin D to achieve a serum concentration of 25(OH)D of 25 nmol/l or more when cutaneous synthesis of vitamin D is minimal. Modelling relied on vitamin D dose–response data from randomised controlled trials conducted during winter at northern latitudes, when cutaneous synthesis can be assumed to be minimal(51). Regression and mathematical modelling at different levels of intake were carried out in studies that had been conducted in three population subgroups; adolescent girls and younger and older adults(52–54). Findings showed that the average daily intake of vitamin D required to maintain the concentration of serum 25(OH)D at or above 25 nmol/l in winter by the majority of the population was in the region of 10 μg/d. In the absence of data from other dose–response randomised controlled trials to show an age-dependent effect of vitamin D, data were extrapolated to younger age groups(55). In total, 10 μg/d was set as the RNI for people aged 4 years and over. Safe intakes were set for infants and children aged under 4 years, for whom insufficient data were available to set an RNI. Safe intakes are based on a precautionary approach; they are ‘judged to be a level or range of intake at which there is no risk of deficiency, and below a level where there is a risk of undesirable effects’(5).

Although data from the National Diet and Nutrition Survey indicate that most people in the UK achieve a serum 25(OH)D concentration of 25 nmol/l or above during summer, a proportion of some population groups in the UK do not. For this reason, SACN proposed that the RNI should apply throughout the year. SACN made the following recommendation(44): Since it is difficult to achieve the RNI or safe intake, of 10 μg daily, from food, it is recommended that consideration is given to strategies for the UK population to achieve the proposed intakes.

Public Health England responded to the SACN recommendation with advice to the UK population that everyone should consider taking a daily supplement containing 10 μg vitamin D in autumn and winter. Public Health England gave further more specific advice to individuals and groups in the UK who may have little sunshine exposure, to consider taking 10 μg vitamin D daily all year round(56). During the COVID-19 pandemic of 2020, the UK Government responded to concerns that fewer people in the UK were able to spend time outdoors and that this may have a detrimental impact on the cutaneous synthesis of vitamin D. The NHS UK website(57) carried the following recommendation: You should take 10μg (400IU) vitamin D a day between October and early March, to keep your bones and muscles healthy.

Thus, in the context of vitamin D, we see an example of the three elements of risk analysis: risk assessment, risk management and risk communication(58).

**European Food Safety Authority**

Of course, there are many other bodies worldwide responsible for conducting reviews of DRV. EFSA is
European and international bodies have collaborated to explore the value and feasibility of harmonisation of approaches to setting nutrient-based dietary guidelines (63). Consideration is being given to the need for a common framework to guide extraction, evaluation and judgement of evidence underpinning reference values. The European micronutrient recommendations aligned network of excellence (http://www.eurreca.org) was set up to consider a process for the re-evaluation and harmonisation of nutrient reference standards across Europe. Early work focused on developing standardised methodologies for reviewing nutrient reference values looks promising (64,65). More recently, interest has revived not only in the mechanism whereby harmonisation of approaches might be reached, but to include the harmonisation of values themselves (66). There is debate about the desirability or feasibility of this. Although physiological determinants of nutrient requirements may not differ greatly across nations, cross-country differences in food availability, food practices and population lifestyle can influence decisions made within countries in the setting of nutrient reference values. There certainly seems to be a rationale for developing a common framework of evidence, and there is a need for greater transparency in approaches used for setting nutrient reference values.

In conclusion, it should now be evident that setting DRV can be complex and time-consuming, and the resulting DRV may have a high degree of uncertainty. There are quite large differences in approaches used by national and international bodies and significant variation in DRV between nations. There is a case for harmonising approaches to setting DRV globally.

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