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CHARACTER CLUSTERS FOR LIE ALGEBRA MODULES OVER A FIELD OF NONZERO CHARACTERISTIC

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Abstract

For a Lie algebra *L* over an algebraically closed field *F* of nonzero characteristic, every finite dimensional *L*-module can be decomposed into a direct sum of submodules such that all composition factors of a summand have the same character. Using the concept of a character cluster, this result is generalised to fields which are not algebraically closed. Also, it is shown that if the soluble Lie algebra *L* is in the saturated formation \mathfrak{F} and if *V*, *W* are irreducible *L*-modules with the same cluster and the *p*-operation vanishes on the centre of the *p*-envelope used, then *V*, *W* are either both \mathfrak{F} -central or both \mathfrak{F} -eccentric. Clusters are used to generalise the construction of induced modules.

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1. Introduction

Lie algebras are very important and have been actively investigated by many authors. See [3–6] for examples of recent results.

Throughout this paper, *L* is a finite dimensional Lie algebra over the field *F* of characteristic $p \neq 0$. Let *V* be a finite dimensional *L*-module. To define a character for *V*, we must embed *L* in a *p*-envelope $(L^p, [p])$. The action ρ of *L* on *V* can be extended to L^p . (See Strade and Farnsteiner [7, Theorem 5.1.1].)

DEFINITION 1.1. A character for V is a linear map $c: L^p \to F$ such that for all $x \in L^p$,

$$\rho(x)^p - \rho(x^{\lfloor p \rfloor}) = c(x)^p \mathbf{1}.$$

Not every module has a character, but if F is algebraically closed and V is irreducible, then V has a character. (See Strade and Farnsteiner [7, Theorem 5.2.5].) The following is Strade and Farnsteiner [7, Theorem 5.2.6].

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THEOREM 1.2. Suppose that F is algebraically closed and let (L, [p]) be a restricted Lie algebra over F. Let V be a finite dimensional L-module. Then there exist $c_i : L \to F$ and submodules V_i such that $V = \bigoplus_i V_i$ and every composition factor of V_i has character c_i .

This decomposition in terms of characters is functorial and is clearly useful. In this note, the concept of a character cluster is used to obtain a similar result which does not require the field to be algebraically closed. As a further application, it is shown that, if the soluble Lie algebra L is in the saturated formation \mathfrak{F} and V, W are irreducible L-modules with the same cluster and the p-operation vanishes on the centre of the p-envelope used, then either both V, W are \mathfrak{F} -central or both are \mathfrak{F} -eccentric. Over a perfect field, clusters are used to generalise the construction of induced modules.

To simplify the exposition, we work with a restricted Lie algebra (L, [p]). To apply the results to a general Lie algebra, as is the case for characters, we have to embed the algebra in a *p*-envelope, and the clusters obtained depend on that embedding.

2. Preliminaries

In the following, (L, [p]) is a restricted Lie algebra over the field F, \overline{F} is the algebraic closure of F and $\overline{L} = \overline{F} \otimes_F L$ is the algebra obtained by extension of the field. A character of L is an F-linear map $c: L \to \overline{F}$. If $\{e_1, \ldots, e_n\}$ is a basis of L, then c can be expressed as a linear form $c(x) = \sum a_i x_i$ for $x = \sum x_i e_i$, where $a_i \in \overline{F}$. If α is an automorphism of \overline{F}/F , that is, an automorphism of \overline{F} which fixes all elements of F, then c^{α} is the character $c^{\alpha}(x) = \sum a_i^{\alpha} x_i$ and is called a conjugate of c. We do not distinguish in notation between $c: L \to \overline{F}$ and its linear extension $\overline{L} \to \overline{F}$. We denote by F[c] the field $F[a_1, \ldots, a_n]$ generated by the coefficients a_i . It is the field generated by the c(x) for all $x \in L$ and is independent of the choice of basis.

If *V* is an *L*-module, then \overline{V} is the \overline{L} -module $\overline{F} \otimes_F V$. The action of $x \in L$ on *V* is denoted by $\rho(x)$. The module *V* has character *c* if $(\rho(x)^p - \rho(x^{[p]}))v = c(x)^p v$ for all $x \in L$ and all $v \in V$.

In the universal enveloping algebra U(L), the element $x^p - x^{[p]}$ is central. (See Strade and Farnsteiner [7, page 203].) For the module V giving the representation ρ , we put $\phi_x = \rho(x)^p - \rho(x^{[p]})$. We then have $[\phi_x, \rho(y)] = 0$ for all $x, y \in L$.

LEMMA 2.1. The map $\phi: L \to \text{End}(V)$ defined by $\phi_x(v) = (\rho(x^p) - \rho(x^{[p]}))v$ is p-semilinear.

PROOF. In the universal enveloping algebra U(L),

$$(a+b)^p = a^p + b^p + \sum_{i=1}^{p-1} s_i(a,b)$$

(see Strade and Farnsteiner [7, page 62, Equation (3)]) and

$$(a+b)^{[p]} = a^{[p]} + b^{[p]} + \sum_{i=1}^{p-1} s_i(a,b)$$

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(see Strade and Farnsteiner [7, page 64, Property (3)]). Putting these together,

$$(a+b)^{p} - (a+b)^{[p]} = a^{p} + b^{p} - a^{[p]} - b^{[p]}$$

It follows that $\phi_{a+b} = \phi_a + \phi_b$. Clearly, $\phi_{\lambda a} = \lambda^p \phi_a$.

REMARK 2.2. In the decomposition of \overline{V} given by Theorem 1.2, the summand corresponding to the character *c* is

$$\{v \in \overline{V} \mid (\phi_x - c(x)^p 1)^r v = 0 \text{ for some } r \text{ and all } x \in \overline{L}\}.$$

By Lemma 2.1, we need only consider those $x \in L$, or indeed, in some chosen basis of *L*.

3. Clusters

DEFINITION 3.1. The cluster Cl(*V*) of an *L*-module *V* is the set of characters of the composition factors of the \overline{L} -module $\overline{V} = \overline{F} \otimes_F V$.

LEMMA 3.2. Suppose $c \in Cl(V)$. Then the conjugates c^{α} of c are in Cl(V).

PROOF. Let A/B be a composition factor of \overline{V} and let $\{v_1, \ldots, v_k\}$ be a basis of V. The action $\rho(x)$ of $x \in L$ on V and so also on \overline{V} is given in respect to this basis by a matrix X with coefficients in F. An automorphism α maps $v = \lambda_1 v_1 + \cdots + \lambda_k v_k$ to $v^{\alpha} = \lambda_1^{\alpha} v_1 + \cdots + \lambda_k^{\alpha} v_k$. Since $X^{\alpha} = X$, we have that $(xv)^{\alpha} = xv^{\alpha}$. Thus A^{α}, B^{α} are submodules of \overline{V} and A^{α}/B^{α} is a composition factor. The linear map $\phi_x = \rho(x)^p - \rho(x^{[p]})$ also commutes with α . Thus from $\phi_x(a + B) = c(x)^p a + B$, it follows that $\phi_x(a^{\alpha}) + B^{\alpha} = c^{\alpha}(x)^p a^{\alpha} + B^{\alpha}$. Thus $c^{\alpha} \in Cl(V)$.

The statement $(xv)^{\alpha} = xv^{\alpha}$ may suggest that A/B and A^{α}/B^{α} are isomorphic. They are not. The map $v \mapsto v^{\alpha}$ is not linear, as $(\lambda v)^{\alpha} = \lambda^{\alpha} v^{\alpha}$.

By Lemma 3.2, a cluster Cl(V) is a union of conjugacy classes of characters.

DEFINITION 3.3. A cluster Cl(V) is called simple if it consists of a single conjugacy class of characters.

THEOREM 3.4. Let V be an irreducible L-module. Then Cl(V) is simple.

PROOF. Notice that $\bar{V} = \bar{F} \otimes_F V$ has a direct decomposition $\bar{V} = \sum_c \bar{V}_c$, where the component \bar{V}_c is, by Remark 2.2, the space

 $\{v \in \overline{V} \mid (\phi_x - c(x)^p 1)^r v = 0 \text{ for all } x \in L \text{ and some } r\}.$

Here, we may take for *r* the length of a composition series of \bar{V}_c , which is independent of *x*. Let $c \in Cl(V)$. Let $\bar{V}_0 = \sum_{\alpha} \bar{V}_{c^{\alpha}}$, where the sum is over the distinct conjugates c^{α} . Let $f_x(t) = \prod_{\alpha} (t - c^{\alpha}(x)^p)$. The coefficients of $f_x(t)$ are invariant under the automorphisms of \bar{F}/F . Therefore for some *k*, we have that $f_x(t)^{p^k}$ is a polynomial over *F*. As the field is not assumed to be perfect, this may require k > 0. Let $m_x(t)$

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be the least power of $f_x(t)$ which is a polynomial over F. Then, with r the length of a composition series of \overline{V}_c ,

$$\bar{V}_0 = \{ v \in \bar{V} \mid m_x(\phi_x)^r v = 0 \text{ for all } x \in L \}.$$

The condition $m_x(\phi_x)^r v = 0$ for all $x \in L$ may be regarded as a set of linear equations over F in the coordinates of v. These equations have a nonzero solution over \overline{F} since $\overline{V}_c \neq 0$. Therefore, they have a nonzero solution over F, that is,

$$V_0 = \{v \in V \mid m_x(\phi_x)^r v = 0 \text{ for all } x \in L\} \neq 0.$$

Since the ρ_y commute with the ϕ_x , V_0 is a submodule of V. Therefore, $V_0 = V$ and it follows that the set of conjugates of c is the whole of Cl(V).

4. The cluster decomposition

We have seen that if $c \in Cl(V)$, then every conjugate c^{α} of c is in Cl(V). It is convenient to expand our terminology and call any finite set C of linear maps $c : L \to \overline{F}$ a cluster if, for each $c \in C$, all conjugates of c are in C. With this expansion of our terminology, every cluster is a union of simple clusters.

THEOREM 4.1. Let (L, [p]) be a restricted Lie algebra and let V be an L-module. Suppose that Cl(V) is the union $C_1 \cup \cdots \cup C_k$ of the distinct simple clusters C_i . Then $V = V_1 \oplus \cdots \oplus V_k$ with submodules V_i such that $Cl(V_i) = C_i$.

PROOF. By Theorem 1.2, \overline{V} is the direct sum over the set of characters *c* of submodules \overline{V}_c whose composition factors all have character *c*. By Remark 2.2, \overline{V}_c is the space annihilated by some sufficiently high power of $(\phi_x - c(x)^p 1)$ for all $x \in L$.

Suppose $c \in C_i$. Put $\bar{V}_i = \sum_{\alpha} \bar{V}_{c^{\alpha}}$. Some power $m_x(t)$ of $\prod_{\alpha} (t - c^{\alpha}(x)^p)$ is a polynomial over *F*, and \bar{V}_i is the space annihilated by $m_x(\phi_x)^r$ for all $x \in L$ and some sufficiently large *r*. Put

$$V_i = \{v \in V \mid m_x(\phi_x)^r v = 0 \text{ for all } x \in L\}.$$

The set of conditions $m_x(\phi_x)^r v = 0$ for all $x \in L$ may be regarded as a set of linear equations over F in the coordinates of v, so the F-dimension of its solution space V_i in V is equal to the \overline{F} -dimension of its solution space \overline{V}_i in \overline{V} . It follows that $V = \bigoplus_i V_i$. Clearly, V_i is a submodule of V and $Cl(V_i) = C_i$.

THEOREM 4.2. Suppose that $S \nleftrightarrow L$ and let V be an L-module. Then the components of the cluster decomposition $V = \bigoplus_{C} V_{C}$ with respect to S are L-submodules.

PROOF. Although *S* need not be a restricted algebra, it is embedded in the restricted algebra (L, [p]) and the components are defined using the operation [p]. There exists a series $S = S_0 \triangleleft S_1 \triangleleft \cdots \triangleleft S_n = L$. We use induction over *i* to prove that V_C is an S_i -module. Take $x \in S_i$ and consider $(xV_C + V_C)/V_C$. For $s \in S$ and $v \in V_C$, we have s(xv) = x(sv) + [s, x]v. But $[s, x] \in S_{i-1}$, so $[s, x]v \in V_C$. Thus the

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map $v \mapsto xv + V_C \in (xV_C + V_C)/V_C$ is an *S*-module homomorphism. Thus the character of every composition factor of $\overline{F} \otimes_F ((xV_C + V_C)/V_C)$ is in *C*, which implies that $xV_C \subseteq V_C$.

REMARK 4.3. The decomposition given by Theorem 4.2 depends on the *p*-operation, not merely on the algebra S. Changing the *p*-operation may change the decomposition, as is shown by the following example. This opens the possibility that, where the minimal *p*-envelope of S has nontrivial centre, judicious variation of the *p*-operation may give useful different direct decompositions.

EXAMPLE 4.4. Let $L = \langle a_1, a_2 | [a_1, a_2] = 0 \rangle$ and let $V = \langle v_1, v_2 \rangle$ with $a_i v_i = v_i$ and $a_i v_j = 0$ for $i \neq j$. With $a_1^{[p]} = 0$ and $a_2^{[p]} = -a_1$, *V* has the character *c* with $c(a_1) = 0$ and $c(a_2) = 1$. The cluster decomposition with respect to (L, [p]) is simply $V = V_c$. However, with the *p*-operation [p]' with $a_i^{[p]'} = 0$, the submodule $\langle v_1 \rangle$ has character c_1 with $c_1(a_1) = 1$ and $c_1(a_2) = 0$, while $\langle v_2 \rangle$ has character c_2 with $c_2(a_1) = 0$ and $c_2(a_2) = 1$. This gives the cluster decomposition $V = V_{c_1} \oplus V_{c_2}$.

5. F-central and F-eccentric modules

Let \mathfrak{F} be a saturated formation of soluble Lie algebras over *F*. Comparing Theorem 4.2 with [2, Lemma 1.1] suggests a further relationship between clusters and saturated formations beyond that of [2, Theorem 6.4].

THEOREM 5.1. Let \mathfrak{F} be a saturated formation and suppose $S \in \mathfrak{F}$. Let (L, [p]) be a p-envelope of S and suppose that $z^{[p]} = 0$ for all z in the centre of L. Let V, W be irreducible S-modules. Suppose that Cl(V) = Cl(W). Then V, W are either both \mathfrak{F} -central or both \mathfrak{F} -eccentric.

PROOF. Suppose to the contrary, that *V* is \mathfrak{F} -central and that *W* is \mathfrak{F} -eccentric. By [1, Theorem 2.3], Hom(*V*, *W*) is \mathfrak{F} -hypereccentric. But from [7, Theorem 5.2.7] it follows that the characters of the composition factors of Hom(\overline{V} , \overline{W}) are all of the functions $c_2 - c_1$ where $c_1 \in Cl(V)$ and $c_2 \in Cl(W)$. Since Cl(V) = Cl(W), we have that $0 \in Cl(Hom(V, W))$.

By assumption, we have that $z^{[p]} = 0$ for all z in the centre of L. As (L, [p]) is a p-envelope of S, we have $S \leq L$. By [2, Theorem 6.4], a composition factor X of Hom(V, W) with $Cl(X) = \{0\}$ is \mathfrak{F} -central, contrary to Hom(V, W) being \mathfrak{F} -hypereccentric.

6. C-induced modules

Let (L, [p]) be a restricted Lie algebra over the perfect field F and let S be a [p]-subalgebra of L. Let W be an S-module and let C be a cluster of characters of L whose restriction to S is Cl(W). We require that distinct members of C have distinct restrictions to S, in which case we say that C restricts simply to S.

Note that, given a simple cluster C_S of S, we can easily construct a cluster C of L which restricts simply to C_S . We take a cobasis $\{e_1, \ldots, e_n\}$ of S in L, that is,

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a basis of some subspace complementary to S. A character $c: S \to \overline{F}$ can be extended to L by assigning arbitrarily the values $c(e_i) \in \overline{F}$. If these are chosen in F[c], then any automorphism which fixes the given c also fixes its extension.

We want to apply the construction of *c*-induced modules (see Strade and Farnsteiner [7, Section 5.6]) to the *c*-components \overline{W}_c of \overline{W} . This construction only works for modules with character *c*. Every composition factor of \overline{W}_c has character *c*, but \overline{W}_c itself need not. This leads to the following definition.

DEFINITION 6.1. We say that the *S*-module *W* is amenable (for induction) if, for all $c \in Cl(W)$, \overline{W}_c has character *c*.

Note that if \overline{W}_c has character c, then for each conjugate c^{α} of c, $\overline{W}_{c^{\alpha}}$ has character c^{α} . It would be nice to have a way of determining if a module W is amenable which does not require analysis of \overline{W} . The following lemmas achieve that.

LEMMA 6.2. Let $\{s_1, \ldots, s_n\}$ be a basis of *S* and let *W* be an *S*-module. Let $m_i(t)$ be the minimum polynomial of ϕ_{s_i} . Then *W* is amenable if and only if for all *i*, $gcd(m_i(t), m'_i(t)) = 1$.

PROOF. The module *W* is amenable if and only if, for all $c \in Cl(W)$ and all *i*, we have $(\phi_{s_i} - c(s_i)^p 1)\overline{W}_c = 0$. So *W* is amenable if and only if for all *i*, in $\overline{F}[t]$, $m_i(t)$ has no repeated factors, that is, if and only if $gcd(m_i(t), m'_i(t)) = 1$. As the calculation of $gcd(m_i(t), m'_i(t))$ in F[t] is the same as in $\overline{F}[t]$, the result follows.

LEMMA 6.3. Let W be an irreducible S-module. Then W is amenable.

PROOF. For any $s \in S$, $s^p - s^{[p]}$ is in the centre of the universal enveloping algebra of S and so, for any representation ρ of S, we have $[\rho(s_1)^p - \rho(s_1^{[p]}), \rho(s_2)] = 0$ for all $s_1, s_2 \in S$. For $c \in Cl(W)$, put $f_s(t) = \Pi(t - c^{\alpha}(s)^p)$ where the product is taken over the distinct conjugates of c(s). Then $f_s(t)$ is a polynomial over F, and $\rho(s_2)$ commutes with $f_{s_1}(\phi_{s_1})$ for all $s_1, s_2 \in S$. Thus $W_0 = \{w \in W \mid f_s(\phi_s)w = 0 \text{ for all } s \in S\}$ is a submodule of W. The conditions $f_s(\phi_s)w = 0$ are linear equations over F with nonzero solutions over \overline{F} and so have nonzero solutions over F. Thus $W_0 \neq 0$, which implies $W_0 = W$. \Box

As the construction being developed can be applied separately to each direct summand of W, we suppose that C is simple. Take a basis $\{b^1, \ldots, b^k\}$ of W. Corresponding to each $c \in C$, we have a component \overline{W}_c of $\overline{W} = \bigoplus_{\alpha} \overline{W}_{c^{\alpha}}$. For each $w \in \overline{W}$, we have $w = \sum_c w_c$ with $w_c \in \overline{W}_c$.

LEMMA 6.4. Let $w = \sum \lambda_i b^i \in \overline{W}$. Then w is invariant under the automorphisms of \overline{F}/F if and only if the $\lambda_i \in F$, in which case, $(w_c)^{\alpha} = w_{c^{\alpha}}$. Further, sw is also invariant for all $s \in S$.

PROOF. If $w = \sum \lambda_i b^i$ is invariant, then λ_i is invariant. Since *F* is perfect, this implies $\lambda_i \in F$. If $\lambda_i \in F$ for all *i*, then clearly *w* is invariant. As *W* is an *S*-module, also *sw* is invariant. The action of α permutes the \overline{W}_c and does not change the direct decomposition. It follows that $(w_c)^{\alpha} = w_{c^{\alpha}}$.

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Suppose that *C* is a simple cluster of characters of *L* which restricts simply to *S* and that *W* is an amenable *S*-module with Cl(W) = C|S. For each $c \in C$, we form the *c*-reduced enveloping algebras u(L, c) and u(S, c). (See Strade and Farnsteiner [7, page 226].) Since *W* is amenable, we can construct the *c*-induced \overline{L} -modules

$$\bar{V}_c = \operatorname{Ind}_{\bar{S}}^L(\bar{W}_c, c) = u(\bar{L}, c) \otimes_{u(\bar{S}, c)} \bar{W}_c$$

and put $\bar{V} = \bigoplus_c \bar{V}_c$. From \bar{V} , we shall select an *F*-subspace *V* with $\bar{F} \otimes_F V = \bar{V}$, which we shall show to be an *L*-module with Cl(V) = C.

For $x, y \in L$, in the following, we need to distinguish their product in the associative algebra u(L, c) from their product in the Lie algebra. We denote the Lie algebra product by [x, y]. Take a cobasis $\{e_1, \ldots, e_n\}$ for S in L. Then the elements $e_1^{r_1} e_2^{r_2} \cdots e_n^{r_n} \otimes w_c$ with $r_i \leq p - 1$ and $w_c \in \overline{W}_c$ span \overline{V}_c . To simplify the notation, we write e(r) for $e_1^{r_1} e_2^{r_2} \cdots e_n^{r_n}$. For an element $w = \sum_c w_c \in \overline{W}$, it is convenient to abuse notation and write $e(r) \otimes w$ for the element $\sum_c e(r) \otimes w_c$. It should be remembered that in this sum, the e(r) come from different algebras $u(\overline{L}, c)$ with different multiplication, and that the tensor products are over different algebras $u(\overline{S}, c)$.

Any element $w_c \in \overline{W}_c$ is an \overline{F} -linear combination of the b^i , so an element of \overline{V}_c is expressible as an \overline{F} -linear combination of the $e(r) \otimes b^i$. It follows that the $e(r) \otimes b^i$ form a basis of \overline{V} . An automorphism α maps $e(r) \otimes w$ to $e(r) \otimes w^{\alpha}$. Thus the invariant elements of \overline{V} are the *F*-linear combinations of the basis.

LEMMA 6.5. Let $v \in \overline{V}$ be invariant. Then xv is invariant for all $x \in L$.

PROOF. We use induction over k to show that $x_1 \cdots x_k \otimes b^i$ is invariant for all $x_1, \ldots, x_k \in L$. The result then follows trivially.

For $s \in S$, we have $s(1 \otimes b^i) = 1 \otimes sb^i$, which is invariant by Lemma 6.4. For e_j , we have $e_j(1 \otimes b^i) = e_j \otimes b^i$, which is invariant. Note that in this case, the multiplication is the same in all the $u(\bar{L}, c)$. Thus the result holds for k = 1.

Suppose that k > 1. We express each of the x_t as a linear combination of the e_j and an element of S. We then use the commutation rules xy - yx = [x, y] to move each factor to its correct position, giving a sum of terms of the form $e_1^{r_1}e_2^{r_2}\cdots e_n^{r_n}s_1\cdots$ $s_m \otimes b^i$, but with the r_j not restricted to be less than p. The terms coming from a commutator [x, y] all have fewer than k factors and so are invariant. Any elements of S at the end move past the tensor product, giving $e_1^{r_1}e_2^{r_2}\ldots e_n^{r_n}\otimes s_1\cdots s_mb^i$. By Lemma 6.4, $1 \otimes s_1 \cdots s_m b^i$ is invariant and since, in this case, $e_1^{r_1} \cdots e_n^{r_n}$ has fewer than k factors, the term is invariant. Thus we are left to consider terms of the form $e_1^{r_1} \cdots e_n^{r_n} \otimes b^i$. If $r_j < p$ for all j, then the term is one of our basis elements and so is invariant.

Suppose that for some *j*, we have $r_j \ge p$. Then we must separate the summands. The term can be written in the form $ee_j^p e' \otimes b^i$, where *e*, *e'* are strings of cobasis elements. In the algebra $u(\bar{L}, c^{\alpha})$, we have $e_j^p = e_j^{[p]} + c^{\alpha}(e_j)^p 1$. Thus

$$ee_j^p e' \otimes b^i = ee_j^{[p]} e' \otimes b^i + \sum_{\alpha} ee' \otimes c^{\alpha}(e_j)^p b_{c^{\alpha}}^i.$$

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But $ee_j^{[p]}e' \otimes b^i$ has fewer than k factors and so is invariant. As $\sum_{\alpha} 1 \otimes c^{\alpha}(e_j)^p b_{c^{\alpha}}^i$ is invariant and ee' has fewer than k factors, it follows that $ee_j^pe' \otimes b^i$ also is invariant. \Box

We define the *C*-induced module $\operatorname{Ind}_{S}^{L}(W, C)$ to be the *F*-subspace of invariant elements of \overline{V} . By Lemma 6.5, it is an *L*-module. Clearly $\operatorname{Cl}(\operatorname{Ind}_{S}^{L}(W, C)) = C$.

To illustrate this, we calculate a simple example.

EXAMPLE 6.6. Let *F* be the field of three elements and let $L = \langle x, y | [x, y] = y \rangle$. Putting $x^{[p]} = x$ and $y^{[p]} = 0$ makes this a restricted Lie algebra. We take $S = \langle x \rangle$ and $W = \langle b^1, b^2 \rangle$ with $xb^1 = b^2$ and $xb^2 = -b^1$. Over \overline{F} , we have $\overline{W} = \overline{W}_1 \oplus \overline{W}_2$ with $\overline{W}_1 = \langle -b^1 - ib^2 \rangle$ and $\overline{W}_2 = \langle -b^1 + ib^2 \rangle$, where $i \in \overline{F}$, $i^2 = -1$. Denote the action of *S* on *W* by ρ . Then $\rho(x)(-b^1 - ib^2) = -i(-b^1 - ib^2)$ and $\rho(x)(-b^1 + ib^2) = i(-b^1 + ib^2)$.

We have $(\rho(x)^p - \rho(x^{[p]}))(-b^1 - ib^2) = ((-i)^3 - (-i))(-b^1 - ib^2) = -i(-b^1 - ib^2)$. Thus the character c_1 of \overline{W}_1 must have $c_1(x)^3 = -i$, so $c_1(x) = i$. Similarly, we have $c_2(x) = -i$. As distinct conjugates of a character on L in C must have distinct restrictions to S, $c_1(y) \in F[i]$. Put $c_1(y) = \lambda = \alpha + i\beta$, where $\alpha, \beta \in F$. Then $c_2(y) = \overline{\lambda}$. Note that in $u(\overline{L}, c_1)$, $y^3 = \lambda^3 = \overline{\lambda}$. In both the algebras, xy = y + yx and $xy^2 = (y + yx)y = y^2 + y(xy) = -y^2 + y^2x$.

In the notation used above, we have $b_{c_1}^1 = -b^1 - ib^2$ and $b_{c_2}^1 = -b^1 + ib^2$, while for b^2 , we have $b_{c_1}^2 = ib^1 - b^2$ and $b_{c_2}^2 = -ib^1 - b^2$. The induced module V =Ind_S^L(W, C) has basis the six elements $v_j^r = y^r \otimes b^j$ for r = 0, 1, 2 and j = 1, 2. We calculate the actions of x, y on these elements:

$$\begin{aligned} xv_1^0 &= x(1 \otimes b^1) = v_2^0, & xv_2^0 &= x(1 \otimes b^2) = -v_1^0, \\ xv_1^1 &= (y + yx) \otimes b^1 = v_1^1 + v_2^1, & xv_2^1 &= (y + yx) \otimes b^2 = v_2^1 - v_1^1, \\ xv_1^2 &= (-y^2 + y^2x) \otimes b^1 = -v_1^2 + v_2^2, & xv_2^2 &= (-y^2 + y^2x) \otimes b^2 = -v_2^2 - v_1^2, \\ yv_1^0 &= v_1^1, & yv_2^0 &= v_2^1, \\ yv_1^1 &= v_1^2, & yv_2^1 &= v_2^2. \end{aligned}$$

The calculations of yv_i^2 are more complicated:

$$yv_1^2 = y^3 \otimes (b_{c_1}^1 + b_{c_2}^1) = 1 \otimes (\bar{\lambda}b_{c_1}^1 + \lambda b_{c_2}^1)$$

= $1 \otimes ((\alpha - i\beta)(-b^1 - ib^2) + (\alpha + i\beta)(-b^1 + ib^2))$
= $1 \otimes (\alpha b^1 + \beta b^2) = \alpha v_1^0 + \beta v_2^0,$
 $yv_2^2 = y^3 \otimes (b_{c_1}^2 + b_{c_2}^2) = 1 \otimes (\bar{\lambda}b_{c_2}^1 + \lambda b_{c_2}^2)$
= $1 \otimes ((\alpha - i\beta)(ib^1 - b^2) + (\alpha + i\beta)(-ib^1 - b^2))$
= $1 \otimes (-\beta b^1 + \alpha b^2) = -\beta v_1^0 + \alpha v_2^0.$

REMARK 6.7. As noted earlier, in the notation used above, if we are given an amenable *S*-module *W* with simple cluster C_S , we can construct a simple cluster *C* on *L* which restricts simply to C_S by choosing arbitrarily the $c_1(e_i)$ in $F[c_1]$. If we choose the $c_1(e_i)$ in *F*, then we have $c_i(e_i) = c_1(e_i)$ for all *j*. This simplifies the calculation of the

action on the induced module as we then have $e_i^p = e_i^{[p]} + c(e_i)^p 1$ in all the algebras $u(\bar{L}, c_i)$ and it follows that $e_i^p b^j = (e_i^{[p]} + c(e_i)^p 1)b^j$ can be calculated without using the character decomposition of \bar{W} . That the action of $x \in L$ on a basis element $e(r) \otimes b^i$ can be calculated without using the decomposition follows by an induction as in the proof of Lemma 6.5. It thus becomes possible to calculate the action on $\text{Ind}_S^L(W, C)$ without having to determine the eigenvalues of the ϕ_{s_i} . In the above example, if we take $\beta = 0$, then the calculations of yv_1^2 and yv_2^2 simplify to $yv_i^2 = y^3b^i = \alpha^3b^i = \alpha v_i^0$.

REMARK 6.8. Denote the category of amenable *L*-modules with the cluster *C* by AmMod(*L*, *C*). The restriction functor $\operatorname{Res}_{L}^{S}$: AmMod(*L*, *C*) \rightarrow AmMod(*S*, *C*|*S*) sends an *L*-module *V* to *V* regarded as an *S*-module. Suppose that *C* restricts simply to *S*. Then $\operatorname{Ind}_{S}^{L}(, C)$ is a functor AmMod(*S*, *C*|*S*) \rightarrow AmMod(*L*, *C*). In the special case where *C* = {*c*}, the functor $\operatorname{Ind}_{S}^{L}(, c)$ is a left adjoint to $\operatorname{Res}_{L}^{S}$ by Strade and Farnsteiner [7, Theorem 5.6.3]. Applying this to the \overline{W}_{c} in the general case gives that $\operatorname{Ind}_{S}^{L}(, C)$ is a left adjoint to $\operatorname{Res}_{L}^{S}$.

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