

## ON DIFFERENTIAL POLYNOMIALS I

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**Abstract.** The content of Part I is nothing else than, the theory of binomial polynomial sequences in infinite variables  $(u^{(1)}, u^{(2)}, u^{(3)}, \dots)$  with weight  $u^{(l)} = l$ . However, sometimes we are concerned with specialization  $u^{(l)} \rightarrow (\frac{d}{dn})^l u$ , therefore, we call the elements in  $K[u^{(1)}, u^{(2)}, u^{(3)}, \dots]$  differential polynomials. As analogies of special polynomials with binomial property, we may construct special differential polynomials with binomial property.

### §1. Differential polynomial sequences

The theory on differential polynomial sequences, is formally nothing else than the theory on polynomial sequences in a system of infinite variables,

$$u = (u^{(1)}, u^{(2)}, u^{(3)}, \dots)$$

with weight

$$\text{weight } u^{(l)} = l \quad (l \geq 1).$$

However sometimes we are concerned with specializations,

$$u^{(l)} \longrightarrow \left(\frac{d}{ds}\right)^l f(s) \quad (l \geq 1),$$

therefore we call the elements in  $K[u^{(1)}, u^{(2)}, u^{(3)}, \dots]$  differential polynomials instead of polynomials in  $(u^{(l)})_{l \geq 1}$ . The main result in this paragraph is the expansion formula for binomial differential polynomials sequences.

#### 1.1. Binomial differential polynomial sequences

We shall first recollect the definition of binomial polynomial sequences, given by R. Mullin and G. C. Rota in [2], and shall generalize it slightly, so that the set of binomial polynomial sequences in wide sense has a module structure with respect to infinite triangular matrices.

DEFINITION 1.1. (R. Mullin-G. C. Rota)

A polynomial sequence  $(p_n(x))_{n \geq 0}$  in a polynomial algebra  $K[x]$ , is called to be binomial, if it satisfies

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i)  $p_0(x) \equiv 1$

ii)  $\deg p_n(x) = n$

iii)  $p_n(x + y) = \sum_{l=0}^n \binom{n}{k} p_{n-l}(x) p_l(y) \quad (n \geq 0)$

where  $K$  means a field of characteristic zero. The condition

iv)  $p_n(0) = 0 \quad (n \geq 1)$

is a consequence of i) and iii).

DEFINITION 1.2. Replacing ii) by a weaker condition

ii\*)  $\deg p_n(x) \leq n \quad (n \geq 1)$

we define binomial polynomial sequence in wide sense.

For each polynomial sequence  $(p_n(x))_{n \geq 0}$  we associate its generating functions

$$(1.1) \quad \Phi_p(x | t) = \sum_{n=0}^{\infty} p_n(x) \frac{t^n}{n!}$$

which is a formal power series in  $t$ . By means of generating functions, the condition iii) is equivalent to

iii\*)  $\Phi_p(x + y | t) = \Phi_p(x | t) \Phi_p(y | t)$

PROPOSITION 1.1. *The set  $P(K[x])$  of binomial polynomial sequences in wide sense in  $K[x]$ , coincides with the set of polynomial sequences*

$$\{(p_{\alpha,n}(x))_{n \geq 0} \mid \alpha = (\alpha_1, \alpha_2, \alpha_3, \dots), \alpha_j \in K\},$$

which are defined by means of generating functions,

$$(1.2) \quad \Phi_{p_{\alpha}}(x | t) = \exp \left[ x \sum_{j=1}^{\infty} \alpha_j \frac{t^j}{j!} \right] = \sum_{n=0}^{\infty} p_{\alpha,n}(x) \frac{t^n}{n!}$$

*Proof.* Since  $p_0(x) \equiv 1$ , we may put

$$\log \Phi_p(x | t) = \log \left( 1 + \sum_{j=1}^{\infty} p_j(x) \frac{t^j}{j!} \right) = \sum_{j=1}^{\infty} \varphi_j(x) \frac{t^j}{j!}$$

with polynomial  $\varphi_j(x)$  ( $j \geq 1$ ) in  $K[x]$ . Then condition  $\Phi_p(x + y | t) = \Phi_p(x | t)\Phi_p(y | t)$  is equivalent to  $\varphi_j(x + y) = \varphi_j(x) + \varphi_j(y)$  ( $j \geq 1$ ) and this is also equivalent to  $\varphi_j(x) = \alpha_j x$  with constants  $\alpha_j$  in  $K$ . This means

$$\Phi_p(x | t) = \exp \left[ x \sum_{j=1}^{\infty} \alpha_j \frac{t^j}{j!} \right]$$

PROPOSITION 1.2.

$$(1.3) \quad p_{\alpha,n}(x) = \sum_{\substack{\sum j l_j = n \\ \sum l_j = m}} n! \left( \prod_j \frac{1}{l_j!} \left( \frac{\alpha_j}{j!} \right)^{l_j} \right) x^m.$$

*Proof.* From the definition of  $(p_{\alpha,n})_{n \geq 0}$  it follows,

$$\begin{aligned} \sum_{n=0}^{\infty} p_{\alpha,n}(x) \frac{t^n}{n!} &= \exp \left[ x \sum_{j=1}^{\infty} \alpha_j \left( \frac{t^j}{j!} \right)^{l_j} \right] \\ &= \prod_j \exp \left[ x \alpha_j \frac{t^j}{j!} \right] \\ &= \prod_j \left( \sum_{l_j} \frac{1}{l_j!} x^{l_j} \left( \frac{\alpha_j}{j!} \right)^{l_j} t^{j l_j} \right) \\ &= \sum_{n=0}^{\infty} \frac{t^n}{n!} \left( \sum_{\substack{\sum j l_j = n \\ \sum l_j = m}} n! \left( \prod_j \frac{1}{l_j!} \left( \frac{\alpha_j}{j!} \right)^{l_j} \right) x^m \right) \end{aligned}$$

Similarly to polynomial sequences, we define binomial differential polynomial sequences and these in wide sense.

DEFINITION 1.3. A differential polynomial sequence  $(p_n(u))_{n \geq 0}$  in  $K[u] = K[u^{(1)}, u^{(2)}, u^{(3)}, \dots]$  is called to be binomial, if it satisfies

- i)  $p_0(u) \equiv 1$
- ii) weight  $p_n(u) = n$
- iii)  $p_n(u + v) = \sum_{l=0}^n \binom{n}{l} p_{n-l}(u) p_l(v) \quad (n \geq 0).$

The condition

$$\text{iv) } p_n(0) = 0 \quad (n \geq 1).$$

is a consequence of i) and iii).

DEFINITION 1.4. Replacing ii) by a weaker condition

$$\text{ii*) weight } p_n(u) \leq n \quad (n \geq 1),$$

we define binomial differential polynomial sequences in wide sense.

By means of generation functions, condition iii) is equivalent to

$$\text{iii*) } \Phi_p(u + v | t) = \Phi_p(u | t)\Phi_p(v | t).$$

PROPOSITION 1.3. *The set  $DP(K[u])$  of binomial polynomial sequences in wide sense in  $K[u]$  coincides with the set of differential polynomial sequences,*

$$\{(p_{\alpha,n}(u))_{n \geq 0} \mid \alpha = (\alpha_{ij})_{1 \leq i \leq j}; \alpha_{ij} \in K\}$$

which are given by means of generating functions,

$$(1.4) \quad \Phi_{p_\alpha}(u | t) = \exp \left[ \sum_{1 \leq i \leq j} \alpha_{ij} u^{(i)} \frac{t^j}{j!} \right] = \sum_{n=0}^{\infty} p_{\alpha,n}(u) \frac{t^n}{n!}.$$

*Proof.* Since  $p_0(u) \equiv 1$ , we may put

$$\log \Phi_p(u | t) = \log \left( 1 + \sum_{j=1}^{\infty} p_j(u) \frac{t^j}{j!} \right) = \sum_{j=1}^{\infty} \varphi_j(u) \frac{t^j}{j!}$$

with polynomials  $\varphi_j(u)$  of weight at most  $j$  ( $j \geq 1$ ) in  $K[u]$ . Then the condition  $\Phi_p(u + v | t) = \Phi_p(u | t)\Phi_p(v | t)$  is equivalent to  $\varphi_j(u + v) = \varphi_j(u) + \varphi_j(v)$  ( $j \geq 1$ ), and this is also equivalent to

$$\varphi_j(u) = \sum_{i=1}^j \alpha_{ij} u^{(i)} \quad (j \geq 1)$$

with constants  $\alpha_{ij}$  in  $K$ . This means

$$\Phi_j(u | t) = \exp \left[ \sum_{j=1}^{\infty} \sum_{i=1}^j \alpha_{ij} u^{(i)} \frac{t^j}{j!} \right].$$

From the expansion of  $\exp[\sum_{j=1}^{\infty} u^{(j)} t^j / j!]$ , we obtain the standard binomial differential polynomial sequence  $(p_{I,n}(u))_{n \geq 0}$ , which corresponds to the standard binomial polynomial sequence  $(x^n)_{n \geq 0}$ . The relation between  $(u^{(1)}, u^{(2)}, u^{(3)}, \dots)$  and  $(p_{I,1}, p_{I,2}, p_{I,3}, \dots)$  is very important in this article.

PROPOSITION 1.4.

$$(1.5) \quad \exp \left[ \sum_{j=1}^{\infty} u^{(j)} \frac{t^j}{j!} \right] = \sum_{n=0}^{\infty} p_{I,n}(u) \frac{t^n}{n!}$$

$$(1.6) \quad p_{I,n}(u) = \sum_{\sum j l_j = n} n! \prod_j \frac{1}{l_j!} \left( \frac{u^{(j)}}{j!} \right)^{l_j}$$

$$(1.7) \quad u^{(n)} = \sum_{m=1}^n (-1)^{m-1} (m-1)! p_{m,n}(p_{I,1}, \dots, p_{I,n})$$

$$(1.8) \quad p_{m,n}(p_{I,1}, \dots, p_{I,n}) = \sum_{\substack{\sum j l_j = n \\ \sum l_j = m}} n! \left( \prod_j \frac{1}{l_j!} \left( \frac{p_{I,j}}{j!} \right)^{l_j} \right) \quad (1 \leq m \leq n).$$

*Proof.* By calculation we have

$$\begin{aligned} \exp \left[ \sum_{j=1}^{\infty} u^{(j)} \frac{t^j}{j!} \right] &= \prod_j \exp \left[ u^{(j)} \frac{t^j}{j!} \right] = \prod_j \left( \sum_{l_j=0}^{\infty} \frac{1}{l_j!} \left( \frac{u^{(j)} t^j}{j!} \right)^{l_j} \right) \\ &= \sum_{n=1}^{\infty} \frac{t^n}{n!} \sum_{\sum j l_j = n} n! \prod_j \frac{1}{l_j!} \left( \frac{u^{(j)}}{j!} \right)^{l_j}. \end{aligned}$$

From Taylor expansion

$$\log(1+x) = \sum_{m=1}^{\infty} \frac{(-1)^{m-1}}{m} x^m$$

we have

$$\begin{aligned} \sum_{j=1}^{\infty} u^{(j)} \frac{t^j}{j!} &= \log \left( 1 + \sum_{j=1}^{\infty} p_{I,j}(u) \frac{t^j}{j!} \right) \\ &= \sum_{m=1}^{\infty} \frac{(-1)^{m-1}}{m} \left( \sum_{j=1}^{\infty} p_{I,j}(u) \frac{t^j}{j!} \right)^m \\ &= \sum_{n=0}^{\infty} \frac{t^n}{n!} \left( \sum_{m=1}^n \frac{(-1)^{m-1}}{m} \sum_{\substack{\sum j l_j = n \\ \sum l_j = m}} n! m! \prod_j \frac{1}{l_j!} \left( \frac{p_{I,j}(u)}{j!} \right)^{l_j} \right) \\ &= \sum_{n=0}^{\infty} \frac{t^n}{n!} \sum_{m=1}^n (-1)^{m-1} (m-1)! p_{m,n}(p_{I,1}(u), \dots, p_{I,n}(u)). \end{aligned}$$

PROPOSITION 1.5.

$$(1.9) \quad p_{I,n+1}(u) = \sum_{l=0}^n \binom{n}{l} u^{(l+1)} p_{I,n-l}(u) \quad (n \geq 1).$$

*Proof.* Applying  $d/dt$  on the both sides of

$$\sum_{n=0}^{\infty} p_{I,n}(u) \frac{t^n}{n!} = \exp \left[ \sum_{j=1}^{\infty} u^{(j)} \frac{t^j}{j!} \right],$$

we have

$$\begin{aligned} \sum_{n=0}^{\infty} p_{I,n+1}(u) \frac{t^n}{n!} &= \left( \sum_{j=0}^{\infty} \frac{u^{(j+1)}}{j!} t^j \right) \exp \left[ \sum_{j=1}^{\infty} u^{(j)} \frac{t^j}{j!} \right] \\ &= \left( \sum_{j=0}^{\infty} \frac{u^{(j+1)}}{j!} t^j \right) \left( \sum_{n=0}^{\infty} p_{I,n}(u) \frac{t^n}{n!} \right) \\ &= \sum_{n=0}^{\infty} \frac{t^n}{n!} \left( \sum_{l=0}^{\infty} \binom{n}{l} u^{(l+1)} p_{I,n-l}(u) \right). \end{aligned}$$

The next statement is one of the evidence of the standardness of the differential polynomial sequence  $(p_{I,n}(u))_{n \geq 0}$ .

PROPOSITION 1.6. *Putting  $Z(s) = \exp[u(s)]$ , we have relations between the derivates;*

$$(1.10) \quad \frac{Z^{(n)}}{Z(s)} = p_{I,n}(u(s)) = \sum_{\sum j l_j = n} n! \prod_j \frac{1}{l_j!} \left( \frac{u^{(j)}(s)}{j!} \right)^{l_j},$$

where  $Z^{(n)}(s) = \left( \frac{d}{ds} \right)^n Z(s)$  and  $u^{(j)}(s) = \left( \frac{d}{ds} \right)^j u(s)$ .

*Proof.* From Taylor expansion of  $u(s + t)$  it follows,

$$\begin{aligned} \frac{Z(s+t)}{Z(s)} &= \exp[u(s+t) - u(s)] = \exp \left[ \sum_{j=1}^{\infty} u^{(j)}(s) \frac{t^j}{j!} \right] \\ &= \sum_{n=0}^{\infty} p_{I,n}(u(s)) \frac{t^n}{n!}. \end{aligned}$$

**1.2. Homomorphisms of  $DP(K[u])$  onto  $P(K[x])$**

$R_\infty(K)$  means the  $K$ -algebra of triangular matrices  $(a_{ij})_{1 \leq i \leq j}$  with coefficients in  $K$ , and  $G_\infty(K)$  means the group of triangular matrices  $(\gamma_{i,j})_{i \leq j}$  with  $\gamma_{jj} \neq 0$  ( $j \geq 1$ ). By means of generating functions, the natural  $R_\infty(K)$  module structure on  $DP(K[U])$  and  $P(K[x])$  are defined as follows,

$$\begin{aligned} \Phi_{p_\alpha}(u | t)^\lambda \Phi_{p_\beta}(u | t)^\mu &= \Phi_{p_{\lambda\alpha+\mu\beta}}(u | t) \\ \Phi_{p_\alpha}(u | t)^\gamma &= \Phi_{p_{\alpha\gamma}}(u | t) \quad (\alpha, \beta, \gamma \in R_\infty(K); \lambda, \mu \in K), \\ \Phi_{p_\alpha}(x | t)^\lambda \Phi_{p_\beta}(x | t)^\mu &= \Phi_{p_{\lambda\alpha+\mu\beta}}(x | t) \\ \Phi_{p_\alpha}(x | t)^\gamma &= \Phi_{p_{\alpha\gamma}}(x | t) \quad (\alpha = (\alpha_n)_{n \geq 1}, \beta = (\beta_n)_{n \geq 1}; \\ &\quad \lambda, \mu \in K; \gamma \in R_\infty(K)). \end{aligned}$$

PROPOSITION 1.7. *To each formal power series  $f(s)$  without constant term, we associate a mapping  $\rho_f$  of  $DP(K[u])$  into  $P(K[x])$ ;*

$$(1.11) \quad \rho_f \left( \exp \left[ \sum_{j=1}^\infty \sum_{i=1}^j \alpha_{ij} u^{(i)} \frac{t^j}{j!} \right] \right) = \exp \left[ x \sum_{j=1}^\infty \left( \sum_{i=1}^j \alpha_{ij} f^{(i)}(0) \right) \frac{t^j}{j!} \right],$$

them  $\rho_f$  is an  $R_\infty(K)$ -module homomorphism.

This is a direct consequence of the definitions of  $R_\infty(K)$ -module structures on  $DP(K[u])$  and  $P(K[x])$ .

PROPOSITION 1.8. *The mapping  $\rho_\infty$  defined by*

$$(1.12) \quad \rho_\infty \left( \exp \left[ \sum_{j=1}^\infty \sum_{i=1}^j \alpha_{ij} u^{(i)} \frac{t^j}{j!} \right] \right) = \exp \left[ x \sum_{j=1}^\infty \sum_{i=1}^j \alpha_{ij} \frac{t^j}{j!} \right]$$

is a  $R_\infty(K)$ -module homomorphism of  $DP(K[u])$  onto  $P(K[x])$  such that  $\rho_\infty$  induces a vector space isomorphism from the vector subspace

$$W = \left\{ (p_{\alpha,n}(u))_{n \geq 0} \mid \Phi_{p_\alpha}(u | t) = \exp \left[ \sum_{j=1}^\infty \alpha_j u^{(j)} \frac{t^j}{j!} \right], \alpha_j \in K \right\}$$

onto the vector space  $P(K[x])$ .

*Proof.* Putting  $f(s) = \sum_{j=1}^\infty s^j/j!$  and  $\rho_\infty = \rho_f$ , we observe that  $\rho_\infty$  is an  $R_\infty(K)$ -module homomorphism of  $DP(K[u])$  onto  $P(K[x])$  satisfying the condition in the proposition.

There exists a very simple and concrete cross section of  $P(K[x])$  into  $DP(K[u])$  which is unfortunately not a vector space homomorphism.

PROPOSITION 1.9. *Let  $\nu_0$  be the mapping of  $P(K[x])$  defined by*

$$(1.13) \quad \nu_0(p_n(x)) = \frac{p_n(D) \exp[u]}{\exp[u]}$$

*then  $\nu_0$  is a cross section of  $P(K[x])$  into  $DP(K[u])$  such that*

- i)  $\nu_0(x^n) = p_{I,n}(u)$
- ii)  $\rho_0 \nu_0 = \text{id}_{P(K[x])}$

*where  $D^n u = u^{(n)}$  ( $n \geq 1$ ) and  $\rho_0 = \rho_f, f(s) = s$ .*

*Proof.* Let  $y$  be a variable independent over  $K[x]$  and let  $D'$  be the derivation acting on a variable  $v$  independent over  $K[x]$  such that

$$D'^n v = v^{(n)} \quad (n \geq 1)$$

and

$$(\nu_0(p_n(y))) = \frac{p_n(D') \exp(v)}{\exp(v)}.$$

Since  $DD' = D'D$  and  $Dv = D'u = 0$ , for each element  $(p_n(x))_{n \geq 0}$  in  $P(K[x])$  we have

$$\begin{aligned} \nu_0(p_n(x+y)) &= \frac{p_n(D + D') \exp[u + v]}{\exp[u + v]} \\ &= \sum_{l=0}^n \frac{\binom{n}{l} p_{n-l}(D) p_l(D') (\exp[u] \exp[v])}{\exp[u] \exp[v]} \end{aligned}$$

This means  $\nu_0$  maps  $P(K[x])$  into  $DP(K[u])$ . On the other hand, putting  $z(s) = \exp[u(s)]$  for a generic function  $z(s)$  and  $D = \frac{d}{ds}$ , by virtue of Proposition 1.6 we have

$$\frac{D^n \exp[u(s)]}{\exp[u(s)]} = \frac{Z^{(n)}(s)}{Z(s)} = p_{I,n}(u(s)) \quad (n \geq 1),$$

hence

$$\nu_0(x^n) = \frac{D^n \exp[u]}{\exp[u]} = p_{I,n}(u) \quad (n \geq 1)$$

Since  $\rho_0$  means the specialization

$$u^{(1)} \longrightarrow x, \quad u^{(j)} \longrightarrow 0 \quad (j \geq 2),$$

this means

$$\rho_0(\nu_0(x^n)) = \rho_0 \left( \sum_{\sum j l_j = n} n! \prod_j \frac{1}{l_j!} \left( \frac{u^{(j)}}{j!} \right)^{l_j} \right) = x^n.$$

**1.3. Expansion formulas**

For each  $(p_n(u))_{n \geq 0}$  in  $DP(K[u])$  the vector subspace spanned by  $p_n(u)$  ( $n \geq 1$ ) is very thin in  $K[u]$ , hence in order to treat expansion formulas, it is necessary to introduce a suitable equivalence relation in  $DP(K[u])$ .

DEFINITION 1.5. Two elements  $(p_n(u))_{n \geq 0}$  and  $(q_n(u))_{n \geq 0}$  in  $DP(K[u])$  are called to be similar each other, if there exist two systems of constant  $(\lambda_{m,n})_{1 \leq m \leq n}$  and  $(\mu_{m,n})_{1 \leq m \leq n}$  in  $K$  such that

$$q_n(u) = \sum_{m=1}^n p_m(u) \lambda_{m,n}, \quad p_n(u) = \sum_{m=1}^n q_m(u) \mu_{m,n} \quad (n \geq 1)$$

THEOREM 1.1. (Expansion theorem) *Let  $(p_n(u))_{n \geq 0}$  and  $(q_n(u))_{n \geq 0}$  be binomial differential polynomial sequences. Then  $(p_n(u))_{n \geq 0}$  and  $(q_n(u))_{n \geq 0}$  are similar each other, if and only if there exists a system of constants  $(\lambda_j)_{j \geq 1}$  such that  $\lambda_1 \neq 0$  and*

$$(1.14) \quad q_n(u) = \sum_{m=1}^n p_m(u) \left( \sum_{\substack{\sum j l_j = n \\ \sum l_j = m}} n! \prod_j \frac{1}{l_j!} \left( \frac{\lambda_j}{j!} \right)^{l_j} \right) \quad (n \geq 1)$$

Condition (1.14) is equivalent to

$$(1.15) \quad \Phi_q(u | t) = \Phi_p \left( u \mid \sum_{j=1}^{\infty} \lambda_j \frac{t^j}{j!} \right)$$

*Proof.* Let  $(p_n(u))_{n \geq 0}$  and  $(q_n(u))_{n \geq 0}$  be similar binomial differential polynomial sequences and put

$$q_n(u) = \sum_{m=0}^n p_m(u) \lambda_{m,n}$$

with  $\lambda_{m,n}$  in  $K$ . They  $\lambda_{0,0} = 1$ ,  $\lambda_{0,n} = 0$  ( $n \geq 1$ ) and

$$\begin{aligned} q_n(u+v) &= \sum_{m=0}^n p_m(u+v)\lambda_{m,n} \\ &= \sum_{m=0}^n \left( \sum_{h=0}^m \binom{m}{h} p_{m-h}(u)p_h(v) \right) \lambda_{m,n} \\ &= \sum_{l=0}^n \binom{n}{l} q_{n-l}(u)q_l(v) \\ &= \sum_{l=0}^n \binom{n}{l} \left( \sum_a p_a(u)\lambda_{a,n-l} \right) \left( \sum_b p_b(v)\lambda_{b,l} \right) \end{aligned}$$

Comparing the coefficients of  $p_{m-h}(u)p_h(v)$  in the both sides of

$$\begin{aligned} \sum_{m=0}^n \left( \sum_{h=0}^m \binom{m}{h} p_{m-h}(u)p_h(v) \right) \lambda_{m,n} \\ = \sum_{l=0}^n \binom{n}{l} \left( \sum_a p_a(u)\lambda_{a,n-l} \right) \left( \sum_b p_b(v)\lambda_{b,l} \right), \end{aligned}$$

we have

$$\begin{aligned} \binom{m}{h} \lambda_{m,n} &= \sum_{l=0}^n \binom{n}{l} \lambda_{m-h,n-l} \lambda_{h,l}, \\ \frac{m!}{n!} \lambda_{m,n} &= \sum_l \frac{(m-h)!}{(n-l)!} \lambda_{m-h,n-l} \frac{h!}{l!} \lambda_{h,l} \quad (0 \leq h \leq m \leq n). \end{aligned}$$

Using this relation, we obtain a nice relation on the power series

$$f_m(t) = \sum_{n=m}^{\infty} \frac{m!}{n!} \lambda_{m,n} t^n \quad (m \geq 1),$$

as follows

$$\begin{aligned} f_m(t) &= \sum_{n=m}^{\infty} \frac{m!}{n!} \lambda_{m,n} t^n \\ &= \left( \sum_{a=m-h}^{\infty} \frac{(m-h)!}{a!} \lambda_{m-h,a} t^a \right) \left( \sum_{b=h}^{\infty} \frac{h!}{b!} \lambda_{h,b} t^b \right) \\ &= f_{m-h}(t) f_h(t) \quad (1 \leq h \leq m). \end{aligned}$$

This means

$$f_m(t) = f_1(t)^m = \left( \sum_{j=1}^{\infty} \lambda_j \frac{t^j}{j!} \right)^m,$$

where  $\lambda_j = \lambda_{1,j}$  ( $j \geq 1$ ). Hence we have

$$\lambda_{m,n} = \sum_{\substack{\sum j l_j = n \\ \sum l_j = m}} n! \prod_j \frac{1}{l_j!} \left( \frac{\lambda_j}{j!} \right)^{l_j}.$$

Moreover

$$\begin{aligned} \Phi_q(u | t) &= \sum_{n=0}^{\infty} q_n(u) \frac{t^n}{n!} \\ &= \sum_{n=0}^{\infty} \frac{t^n}{n!} \left( \sum_{\substack{\sum j l_j = n \\ \sum l_j = m}} n! \prod_j \frac{1}{l_j!} \left( \frac{\lambda_j}{j!} \right)^{l_j} p_m(u) \right) \\ &= \sum_{m=0}^{\infty} \frac{p_m(u)}{m!} \left( \sum_{\sum l_j = m} m! \prod_j \frac{1}{l_j!} \left( \frac{\lambda_j t^j}{j!} \right)^{l_j} \right) \\ &= \sum_{m=0}^{\infty} \frac{p_m(u)}{m!} \left( \sum_{j=1}^{\infty} \frac{\lambda_j t^j}{j!} \right)^m = \Phi_p \left( u \mid \sum_{j=1}^{\infty} \lambda_j \frac{t^j}{j!} \right) \end{aligned}$$

For its sake of the invertibility, we observe  $\lambda_1 \neq 0$ .

*Remark.* A variable transformation  $t \rightarrow \sum_{j=1}^{\infty} \lambda_j \frac{t^j}{j!}$  ( $\lambda_1 \neq 0$ ) induces triangular matrix:

$$\sigma(\lambda) = (\sigma_{m,n}(\lambda))$$

$$\sigma_{m,n}(\lambda) = \begin{cases} 0 & (m > n) \\ p_{m,n}(\lambda) = \sum_{\substack{\sum j l_j = n \\ \sum l_j = m}} n! \prod_j \frac{1}{l_j!} \left( \frac{\lambda_j}{j!} \right)^{l_j} & (m \leq n) \end{cases}$$

such that

$$\sum_{j=1}^{\infty} u^{(j)} \frac{1}{j!} \left( \sum_{h=1}^{\infty} \lambda_h \frac{t^h}{h!} \right)^j = \sum_{J=1}^{\infty} \left( \sum_{i=1}^J u^{(i)} \sigma_{i,J}(\lambda) \right) \frac{t^J}{J!},$$

$$1 + \sum_{n=1}^{\infty} p_{I,n}(u) \frac{1}{n!} \left( \sum_{h=1}^{\infty} \lambda_h \frac{t^h}{h!} \right)^n = 1 + \sum_{n=1}^{\infty} \left( \sum_{m=1}^n p_{I,m}(u) \sigma_{m,n}(\lambda) \right) \frac{t^n}{n!}.$$

$\sigma(\lambda)$  is given concretely as follows,

$$\sigma(\lambda) = \begin{pmatrix} \lambda_1 & \lambda_2 & \lambda_3 & \lambda_4 & \lambda_5 & \dots \\ 0 & \lambda_1^2 & 3\lambda_1\lambda_2 & 4\lambda_1\lambda_3 + 3\lambda_2^2 & 5\lambda_1\lambda_2 + 10\lambda_2\lambda_3 & \dots \\ 0 & 0 & \lambda_1^3 & 6\lambda_1^2\lambda_2 & 10\lambda_1^2\lambda_3 + 15\lambda_1\lambda_2^2 & \dots \\ 0 & 0 & 0 & \lambda_1^4 & 10\lambda_1^3\lambda_2 & \dots \\ 0 & 0 & 0 & 0 & \lambda_1^5 & \dots \\ \vdots & \vdots & \vdots & \vdots & \vdots & \vdots \end{pmatrix}.$$

### 1.4. Multi-binomial differential polynomials sequences

We choose  $r$  infinite variable vectors

$$u = (u_1^{(1)}, u_1^{(2)}, u_1^{(3)}, \dots), \dots, u_r = (u_r^{(1)}, u_r^{(2)}, u_r^{(3)}, \dots)$$

with weight

$$\text{weight } u_1^{(l)} = \dots = \text{weight } u_r^{(l)} = l \quad (l \geq 1).$$

DEFINITION 1.6. A differential polynomial sequence  $(p_n(u_1, \dots, u_r))_{n \geq 0}$  in  $K[u_1, \dots, u_r]$ , is called to be multi-binomial, if it satisfies

- i)  $p_0(u_1, \dots, u_r) \equiv 1$
- ii)  $\text{weight } p_n(u_1, \dots, u_r) = n, \text{ weight } p_n(u_1, \dots, u_r) = n \quad (1 \leq k \leq r),$
- iii)  $p_n(u_1 + v_1, \dots, u_r + v_r) = \sum_{a_j=n} \binom{n}{a_1, a_2, \dots, a_{2r}} \prod_{j=1}^{2r} p_{a_j}(w_{j,1}, \dots, w_{j,r}),$

where  $(w_{j,1}, \dots, w_{j,r})$  runs over all the vectors such that

$$w_{j,k} = u_k \text{ or } v_k \quad (1 \leq k \leq r, 1 \leq j \leq 2^r).$$

The condition

- iv)  $p_n(0, \dots, 0) = 0 \quad (n \geq 1)$

is a consequence of i) and iii).

DEFINITION 1.7. Replacing ii) by a weaker condition

$$\text{ii}^*) \text{ weight } p_n(u_1, \dots, u_r) \leq n \quad (n \geq 1),$$

we define multi-binomial differential polynomial sequences in wide sense.

By means of generating functions, condition iii) is equivalent to

$$\text{iii}^*) \Phi_p(u_1 + v_1, \dots, u_r + v_r \mid t) = \prod_{j=1}^{2^r} \Phi_p(w_{j,1}, \dots, w_{j,k} \mid t),$$

where  $(w_{j,1}, \dots, w_{j,k})$  runs over all the vectors such that  $w_{j,k} = u_k$  or  $v_k$  ( $1 \leq k \leq r; 1 \leq j \leq 2^r$ ).

PROPOSITION 1.10. *The set  $DP(K[u_1, \dots, u_k])$  of multi-binomial differential polynomial sequences in  $K[u_1, \dots, u_r]$  in wide sense, coincides with the set of differential polynomial sequences*

$$\left\{ (p_{\alpha,n}(u_1, \dots, u_r))_{n \geq 0} \mid \alpha = (\alpha_{i_1, \dots, i_r}; j)_{i_1 + \dots + i_r \leq j, \alpha_{j_1, \dots, j_r}; j \in K} \right\},$$

which are defined by

$$\begin{aligned} (1.16) \quad \Phi_{p_\alpha}(u_1, \dots, u_r \mid t) &= \exp \left[ \sum_{j=1}^{\infty} \sum_{i_1 + \dots + i_r \leq j} \alpha_{i_1, \dots, i_r; j} u_1^{(i_1)}, \dots, u_r^{(i_r)} \frac{t^j}{j!} \right] \\ &= \sum_{n=0}^{\infty} p_{\alpha,n}(u_1, \dots, u_r) \frac{t^n}{n!}. \end{aligned}$$

*Proof.* Since  $p_0(u_1, \dots, u_r) \equiv 1$ , we may put

$$\begin{aligned} \log \Phi_p(u_1, \dots, u_r) &= \log \left( 1 + \sum_{j=1}^{\infty} p_j(u_1, \dots, u_r) \frac{t^j}{j!} \right) \\ &= \sum_{j=1}^{\infty} \varphi_j(u_1, \dots, u_r \mid t) \frac{t^j}{j!} \end{aligned}$$

with polynomials  $\varphi_j(u_1, \dots, u_r)$  of weight at most  $j$  ( $j \geq 1$ ) in  $K[u_1, \dots, u_r]$ . Then the condition

$$\Phi_p(u_1 + v_1, \dots, u_r + v_r \mid t) = \prod_{h=1}^{2^r} \Phi_P(w_{h,1}, \dots, w_{h,r} \mid t)$$

is equivalent to

$$\varphi_j(u_1 + v_1, \dots, u_r + v_r) = \sum_{h=1}^{2^r} \varphi_j(w_{j,1}, \dots, w_{j,r}).$$

This is also equivalent to  $\varphi_j(u_1, \dots, u_r)$  are liner homogeneous in  $u_1, \dots, u_r$ , i.e. there exists a system of constants  $\alpha_{i_1, \dots, i_r, j}$  in  $K$  such that

$$\varphi_j(u_1, \dots, u_r) = \sum_{i_1 + \dots + i_r = j} \alpha_{i_1, \dots, i_r, j} u_1^{(i_1)} \dots u_r^{(i_r)} \quad (j \geq 1),$$

i.e.

$$\Phi_p(u_1, \dots, u_r \mid t) = \exp \left[ \sum_{j=1}^{\infty} \left( \sum_{i_1 + \dots + i_r \leq n} \alpha_{i_1, \dots, i_r, j} u_1^{(i_1)} \dots u_r^{(i_r)} \right) \frac{t^j}{j!} \right].$$

Two multi-binomial differential polynomial sequences  $(p_n(u_1, \dots, u_r))_{n \geq 0}$  and  $(q_n(u_1, \dots, u_r))_{n \geq 0}$  are called to be similar each other, if there exist two system of constants in  $K$   $(\lambda_{m,n})_{1 \leq m \leq n}$  and  $(\mu_{m,n})_{1 \leq m \leq n}$  such that

$$\begin{aligned} q_n(u_1, \dots, u_r) &= \sum_{m=1}^n p_m(u_1, \dots, u_r) \lambda_{m,n}, \\ p_n(u_1, \dots, u_r) &= \sum_{m=1}^n q_m(u_1, \dots, u_r) \mu_{m,n} \quad (1 \leq m \leq n) \end{aligned}$$

**THEOREM 1.2.** (Expansion Theorem) *Multi-binomial differential polynomial sequences  $(p_n(u_1, \dots, u_r))_{n \geq 0}$  and  $(q_n(u_1, \dots, u_r))_{n \geq 0}$  in  $K[u_1, \dots, u_r]$  are similar each other, if and only if there exists a system of constants  $(\lambda_j)_{j \geq 1}$  in  $K$  such that  $\lambda_1 \neq 0$  and*

$$(1.17) \quad q_n(u_1, \dots, u_r) = \sum_{m=1}^n p_m(u_1, \dots, u_r) \left( \sum_{\substack{\sum j l_j = n \\ \sum l_j = m}} n! \prod_j \frac{1}{l_j!} \left( \frac{\lambda_j}{j!} \right)^{l_j} \right) \quad (n \geq 1),$$

condition (1.17) is equivalent to

$$(1.18) \quad \Phi_q(u_1, \dots, u_r \mid y) = \Phi_p \left( u_1, \dots, u_r \mid \sum_{j=1}^{\infty} \lambda_j \frac{t^j}{j!} \right).$$

*Proof.* Assume  $q_n(u_1, \dots, u_r) = \sum_{m=1}^n p_m(u_1, \dots, u_r) \lambda_{m,n}$  ( $n \geq 1$ ). We fix  $w_2, \dots, w_r$  and consider  $(p_n(u_1, w_2, \dots, w_r))_{n \geq 0}$  and  $(q_n(u_1, w_2, \dots, w_r))_{n \geq 0}$  as differential polynomial sequences in  $u_1$  with coefficients in  $K[w_2, \dots, w_r]$ , then they are binomial differential polynomial sequences similar each other. Hence by virtue of Theorem 1.1 there exists a system of elements in  $K[w_2, \dots, w_r]$   $(\lambda_j(w))_{j \geq 1}$  such that  $\lambda_1(w) \neq 0$  and

$$\Phi_q(u_1, w_2, \dots, w_r \mid y) = \Phi_p \left( u_1, w_2, \dots, w_r \mid \sum_{j=1}^{\infty} \lambda_j(w) \frac{t^j}{j!} \right).$$

It is enough to show  $\lambda_j(w)$  ( $j \geq 1$ ) belong to  $K$ . Since  $p_n(u_1, w_2, \dots, w_r)$  ( $n \geq 1$ ) are linearly independent over  $K[w_2, \dots, w_r]$ , this means

$$\lambda_{m,n} = \sum_{\substack{\sum j l_j = n \\ \sum l_j = m}} n! \prod_j \frac{1}{l_j!} \left( \frac{\lambda_j(w)}{j!} \right)^{l_j} \quad (1 \leq m \leq n)$$

On the other hand by virtue of Proposition 1.4, using  $\nu_n = \sum_{m=1}^n \lambda_{m,n}$  ( $n \geq 1$ ), we have

$$\nu_n = p_{1,m}(\lambda_1(w), \dots, \lambda_n(w)) \quad (n \geq 1)$$

$$\lambda_j(w) = \sum_{m=1}^n (-1)^{m-1} (m-1)! \left( \sum_{\substack{\sum j l_j = n \\ \sum l_j = m}} m! \prod_j \frac{1}{l_j!} \left( \frac{\nu_j}{j!} \right)^{l_j} \right).$$

This proves  $\lambda_j(w)$  ( $j \geq 1$ ) belong to  $K$ .

**1.5. Binomial partial differential polynomials sequences**

We shall use the following multi-indexed notations:

$$n = (n_1, \dots, n_r), \quad j! = j_1! \dots j_r!, \quad \binom{n}{j} = \binom{n_1}{j_1} \dots \binom{n_r}{j_r},$$

$$u^{(n)} = u^{(n_1, \dots, n_r)}, \quad t^j = t_1^{j_1}, \dots, t_r^{j_r}, \quad \frac{t^j}{j!} = \frac{t_1^{j_1}}{j_1!} \dots \frac{t_r^{j_r}}{j_r!},$$

$$\left( \frac{u^{(j)}}{j!} \right)^{l_j} = \left( \frac{u^{(j_1, \dots, j_r)}}{j_1! \dots j_r!} \right)^{l_j},$$

$$\gamma = (\gamma_{i,j})_{i \leq j} = (\gamma_{(i_1, \dots, i_r), (j_1, \dots, j_r)})_{(i_1, \dots, i_r) \leq (j_1, \dots, j_r)},$$

$$\alpha = (\alpha_n)_{n > 0} = (\alpha_{(n_1, \dots, n_r)})_{(n_1, \dots, n_r) > 0},$$

$$\sum j l_j = n = (n_1, \dots, n_r) = \sum (j_1, \dots, j_r) l_{(j_1, \dots, j_r)},$$

where  $(u^{(n)})_{n>0}$  means a system of variables with a vector valued weight:

$$\text{weight } u^{(n_1, \dots, n_r)} = (n_1, \dots, n_r).$$

Replacing the notations in 1.1, 1.2, 1.3, and 1.4 by the above multi-indexed notations, we observe that almost all statements and formulas hold by the same expressions.

DEFINITION 1.8. A partial differential polynomial sequence in  $K[u]$

$$(p_n(u))_{n=(n_1, \dots, n_r)>0}$$

is called to be binomial, if it satisfies

- i)  $p_0(u) \equiv 1$ ,
- ii)  $\text{weight } p_n(u) = n$ ,
- iii)  $p_n(u+v) = \sum_{0 \leq l \leq n} \binom{n}{l} p_{n-l}(u) p_l(v)$  ( $n \geq 0$ ).

By induction on  $n = (n_1, \dots, n_r)$ , i) and ii) implies

- iv)  $p_n(0) = 0$  ( $n = (n_1, \dots, n_r) \geq 0$ ).

Using the generating function

$$(1.19) \quad \Phi_p(u | t) = \sum_{n \geq 0} p_n(u) \frac{t^n}{n!},$$

we can express iii) by the equivalent condition,

$$\text{iii}^*) \quad \Phi_p(u+v | t) = \Phi_p(u | t) \Phi_p(v | t).$$

DEFINITION 1.9. Replacing ii) by a weaker condition

$$\text{weight } p_n(u) \leq n \quad (n = (n_1, \dots, n_r) \geq 0),$$

we define binomial partial differential polynomial sequences in wide sense.

PROPOSITION 1.11. *The set  $DP_r(K[u])$  of binomial partial differential polynomial sequences in wide sense in  $K[u]$ , coincides with the set of partial differential polynomial sequences*

$$\{(p_{\alpha, n}(u))_{n \geq 0} \mid \alpha = (\alpha_{i,j})_{0 < i \leq j; \alpha_{i\sigma, j\sigma} = \alpha_{i,j} (\sigma \in S_r), \alpha_{i,j} \in K\},$$

which are given by

$$(1.20) \quad \Phi_{\alpha}(u | t) = \exp \left[ \sum_{i \geq j} \alpha_{i,j} \frac{u^{(i)}}{i!} t^j \right] = \sum_{n \geq 0} p_{\alpha, n}(u) \frac{t^n}{n!}$$

where  $S_r$  means the symmetric group of degree  $r$ .

*Proof.* For each  $(p_n(u))_{n \geq 0}$  in  $DP_r(K[u])$  we may put,

$$\log(\Phi_p(u | t)) = \log \left( 1 + \sum_{j>0} p_j(u) \frac{t^j}{j!} \right) = \sum_{j \geq 0} \varphi_j(u) \frac{t^j}{j!}$$

with a unique system of partial differential polynomials  $(\varphi_j(u))_{j \geq 0}$  such that  $\varphi_j(u)$  is of weight at most  $j$ . From multiplicative property  $\Phi_p(u + v | t) = \Phi_p(u | t)\Phi_p(v | t)$  we obtain  $\varphi_j(u + v) = \varphi_j(u) + \varphi_j(v)$ , i.e.  $\varphi_j(u)$  are linear in  $u^{(i)}$  ( $0 < i \leq j$ ). This means there exists a unique system of bisymmetric contains  $\alpha_{i,j}$  in  $K$  such that

$$\Phi_p(u | t) = \exp \left[ \sum_{0 \leq i \leq j} \alpha_{i,j} u^{(i)} \frac{t^j}{j!} \right]$$

We obtain also the standard binomial partial differential polynomial sequences as follows;

PROPOSITION 1.12.

$$(1.21) \quad \exp \left[ \sum_{0 \leq i \leq j} u^{(i)} \frac{t^j}{j!} \right] = \sum_{n \geq 0} p_{I,n}(u) \frac{t^n}{n!}$$

$$(1.22) \quad p_{I,n}(u) = \sum_{n \geq 0} n! \prod_j \frac{1}{l_j!} \left( \frac{u^{(j)}}{j!} \right)^{l_j}$$

$$(1.23) \quad u^{(n)} = \sum_{\substack{\sum j l_j = n \\ \sum l_j = m}} (-1)^{m-1} (m-1)! n! \prod_j \frac{1}{l_j} \left( \frac{p_{I,j}(u)}{j!} \right)^{l_j} \quad (n \geq 0)$$

*Proof.* By direct calculation we have,

$$\begin{aligned} \sum_{j \geq 0} u^{(j)} \frac{t^j}{j!} &= \log \left( 1 + \sum_{j \geq 0} p_{I,j}(u) \frac{t^j}{j!} \right) \\ &= \sum_{m=1}^{\infty} \frac{(-1)^{m-1}}{m} \left( \sum_{j \geq 0} p_{I,j}(u) \frac{t^j}{j!} \right)^m \\ &= \sum_{m \geq 0} \frac{t^n}{n!} \left( \sum_{m=1} \frac{(-1)^{m-1}}{m} \sum_{\substack{\sum j l_j = n \\ \sum l_j = m}} n! m! \prod_j \frac{1}{l_j!} \left( \frac{p_{I,j}(u)}{j!} \right)^{l_j} \right) \end{aligned}$$

$$= \sum_{n \geq 0} \frac{t^n}{n!} \sum_{\substack{\sum j l_j = n \\ \sum l_j = m}} (-1)^{m-1} (m-1)! n! \prod_j \frac{1}{l_j!} \left( \frac{p_{1,j}(u)}{j!} \right)^{l_j}$$

PROPOSITION 1.13. *Putting  $z(s) = \exp[u(s)]$ , we obtain the relation between the partial derivatives;*

$$(1.24) \quad \frac{z^{(n)}(s)}{z(s)} = p_{I,n}(u(s)) = \sum_{\sum j l_j = n} n! \prod_j \frac{1}{l_j} \left( \frac{u^{(j)}(s)}{j!} \right)^{l_j} \quad (n \geq 0),$$

where  $z(s) = z(s_1, \dots, s_r)$ ,  $u(s) = u(s_1, \dots, s_r)$ ,  $z^{(n)}(s) = \left( \frac{\partial}{\partial s} \right)^n z(s)$  and  $u^{(j)}(s) = \left( \frac{\alpha}{\alpha s} \right)^j u(s)$ .

*Proof.* From Taylor expansion of  $u(s + t)$  we have

$$\begin{aligned} \frac{z(s+t)}{z(s)} &= \exp[u(s+t) - u(s)] = \exp \left[ \sum_{j \geq 0} u^{(j)}(s) \frac{t^j}{j!} \right] \\ &= \sum_{n \geq 0} p_{I,n}(u(s)) \frac{t^n}{n!} \end{aligned}$$

Two binomial partial differential polynomial sequences  $(p_n(u))_{n \geq 0}$  and  $(q_n(\mu))_{n \geq 0}$  are called to be similar, if there exist two systems of constant  $(\lambda_{m,n})_{0 \leq m \leq n}$  and  $(\mu_{m,n})_{0 \leq m \leq n}$  such that

$$q_n(u) = \sum_{0 < m \leq n} p_m(u) \lambda_{m,n}, \quad p_n(u) = \sum_{0 < m \leq n} q_m(u) \mu_{m,n}.$$

THEOREM 1.3. (Expansion Theorem) *Two binomial partial differential polynomial sequences  $(p_n(u))_{n \geq 0}$  and  $(q_n(u))_{n \geq 0}$  are similar, if and only if there exists systems of constants in  $K$*

$$(\lambda^{(1)}_j)_{j \geq 0}, \dots, (\lambda^{(r)}_j)_{j \geq 0}$$

such that

$$(1.25) \quad \det \begin{pmatrix} \lambda_{e_1}^{(1)} & \lambda_{e_2}^{(1)} & \dots & \lambda_{e_r}^{(1)} \\ \lambda_{e_1}^{(2)} & \ddots & & \vdots \\ \vdots & & \ddots & \vdots \\ \lambda_{e_r}^{(r)} & \dots & \dots & \lambda_{e_r}^{(r)} \end{pmatrix} \neq 0$$

and

(1.26)  $q_n(u)$

$$= \sum_{0 < m \leq n} p_m(u) \left[ \sum_k \sum_{j^{(k)}} \sum_{l^{(k)}} \sum_{j^{(k)}=n} n! \prod_{k=1}^r \prod_{j^{(k)}} \frac{1}{l_{j^{(k)}}^{(k)}!} \left( \frac{\lambda_{j^{(k)}}^{(k)}}{j^{(k)}} \right)^{l_{j^{(k)}}^{(k)}} \right] \\ \left( \sum_{j^{(1)}} l_{j^{(1)}}^{(1)}, \dots, \sum_{j^{(r)}} l_{j^{(r)}}^{(r)} \right) = m \\ (n \geq 0)$$

where  $e_1 = (1, 0, \dots, 0)$ ,  $e_2 = (0, 1, \dots, 0), \dots, e_r = (0, \dots, 0, 1)$ . Moreover (1.25) is equivalent to

(1.27)  $\Phi_q(u | t) = \Phi_p \left( u \mid \sum_{j \geq 0} \lambda_j^{(1)} \frac{t^j}{j!}, \dots, \sum_{j \geq 0} \lambda_j^{(r)} \frac{t^j}{j!} \right).$

*Proof.* Putting  $q_n(u) = \sum_{0 < m \leq n} p_m(n) \lambda_{m,n}$ , we have

$$\lambda_{0,0} = 1, \quad \lambda_{0,n} = 0 \quad (n > 0)$$

and two way expression of  $q_n(u + v)$ :

$$q_n(u + v) = \sum_{0 \leq m \leq n} p_m(u + v) \lambda_{m,n} \\ = \sum_{0 \leq m \leq n} \left( \sum_{0 \leq h \leq m} \binom{m}{h} p_{m-h}(u) p_h(v) \right) \lambda_{m,n} \\ \sum_{0 \leq l \leq n} \binom{n}{l} q_{n-l}^{(u)} q_l^{(v)} = \sum_{0 \leq l \leq n} \binom{n}{l} \left( \sum_a p_a(u) \lambda_{a,n-a} \right) \left( \sum_b p_b(v) \lambda_{b,l} \right)$$

Comparing the coefficients of  $p_{m-h}(u) p_h(v)$  in the both sides, we have

$$\binom{m}{h} \lambda_{m,n} = \sum_{0 \leq l \leq n} \binom{n}{l} \lambda_{m-h,n-h} \lambda_{h,l}, \\ \frac{m!}{n!} \lambda_{m,n} = \sum_l \frac{(m-h)!}{(n-l)!} \lambda_{m-h,n-l} \frac{h!}{l!} \lambda_{h,l}.$$

Hence, putting

$$f_m(t) = \sum_{m \geq n} \frac{m!}{n!} \lambda_{m,n} t^n \quad (m > 0)$$

we obtain the key relation,

$$\begin{aligned} f_m(t) &= \sum_{m \geq n} \frac{m!}{n!} \lambda_{m,n} t^n = \left( \sum_{m-h \geq a} \frac{(m-h)!}{a!} \lambda_{m-h,a} t^a \right) \left( \sum_{h \geq b} \frac{h!}{b!} \lambda_{h,b} t^b \right) \\ &= f_{m-h}(t) f_h(t) = \prod_{k=1}^r f_{e_k}(t)^{m_k} = \prod_{k=1}^r \left( \sum_{j \geq 0} \lambda_j^{(k)} \frac{t^j}{j!} \right)^{m_k}, \end{aligned}$$

where  $\lambda_j^{(k)} = \lambda_{e_k, j}$  ( $j \geq 0$ ). This means,

$$\begin{aligned} \lambda_{m,n} &= \sum_k \sum_{j^{(k)}} \sum_{l^{(k)}_{j^{(k)}} = n} n! \prod_{k=1}^r \prod_{j^{(k)}} \frac{1}{l_{j^{(k)}}^{(k)}!} \left( \frac{\lambda_{j^{(k)}}^{(k)}}{j^{(k)}} \right)^{l_{j^{(k)}}^{(k)}} \\ &\quad \left( \sum_{j^{(1)}} l_{j^{(1)}}^{(1)}, \dots, \sum_{j^{(r)}} l_{j^{(r)}}^{(r)} \right) = (m_1, \dots, m_r) \end{aligned}$$

and

$$\begin{aligned} \Phi_q(u | t) &= \sum_{n \geq 0} q_n(u) \frac{t^n}{n!} \\ &= \sum_{n \geq 0} \frac{t^n}{n!} \left( \sum_k \sum_{j^{(k)}} \sum_{l^{(k)}_{j^{(k)}} = n} n! \prod_{k=1}^r \prod_{j^{(k)}} \frac{1}{j^{(k)}!} \left( \frac{\lambda_{j^{(k)}}^{(k)}}{j^{(k)}} \right)^{l_{j^{(k)}}^{(k)}} p_m(u) \right) \\ &\quad \left( \sum_{j^{(1)}} l_{j^{(1)}}^{(1)}, \dots, \sum_{j^{(r)}} l_{j^{(r)}}^{(r)} \right) = m \\ &= \sum_{m \geq 0} \frac{1}{m!} \prod_{k=1}^r \prod_{j^{(k)}} \frac{1}{j^{(k)}} \left( \frac{\lambda_{j^{(k)}}^{(k)} t^{j^{(k)}}}{j^{(k)}!} \right)^{l_{j^{(k)}}^{(k)}} p_m(u) \\ &= \sum_{m_1!, \dots, m_r! \geq 0} \frac{1}{m_1! \dots m_r!} \prod_{k=1}^r \left( \sum_{j^{(k)}} \frac{\lambda_{j^{(k)}}^{(k)} t^{j^{(k)}}}{j^{(k)}!} \right)^{m_j} p_{m_1, \dots, m_r}(u) \end{aligned}$$

$$= \Phi_p \left( u \mid \sum_{j \geq 0} \lambda_j^{(1)} \frac{t^j}{j!}, \dots, \sum_{j \geq 0} \lambda_j^{(r)} \frac{t^j}{j!} \right).$$

**1.6.  $q$ -binomial differential polynomial sequences**

We choose a quantity  $q$  in  $K$  which is transcendental over rational number field  $\mathbb{Q}$ , and denote briefly

$$\begin{aligned} \binom{n}{q} &= \frac{1 - q^n}{1 - q} = 1 + q + \dots + q^{n-1}, & (0)_q &= 1 \\ \binom{n}{q}! &= \frac{(1 - q^n)(1 - q^{n-1}) \dots (1 - q)}{(1 - q)^n} \\ &= (1 + q)(1 + q + q^2) \dots (1 + q + \dots + q^{n-1}), & (0)_q! &= 1, \\ \binom{n}{l} &= \frac{\binom{n}{q}!}{(n - l)_q! (l)_q!} \end{aligned}$$

Replacing binomial coefficients with  $q$  binomial coefficients  $\binom{n}{l}_q$  ( $0 \leq l \leq n$ ), we can easily define binomial differential polynomial sequences. We introduce two types of infinite variables;

$$\hat{u} = (\hat{u}^{(1)}, \hat{u}^{(2)}, \hat{u}^{(3)}, \dots), \quad u = (u^{(1)}, u^{(2)}, u^{(3)}, \dots)$$

with commutation relation

$$(1.28) \quad \begin{aligned} \hat{u}^{(i)} \hat{u}^{(j)} &= \hat{u}^{(j)} \hat{u}^{(i)}, & u^{(i)} u^{(j)} &= u^{(j)} u^{(i)} \\ \hat{u}^{(i)} u^{(j)} &= q u^{(j)} \hat{u}^{(i)} & (i, j \geq 1). \end{aligned}$$

DEFINITION 1.10. A differential polynomial sequence  $(p_n(u))_{n \geq 0}$  is called to be  $q$ -binomial, if it satisfies

- i)  $p_0(u) \equiv 0$ ,
- ii) weight  $p_n(u) = n$ ,
- iii)  $p_n(\hat{u} + u) = \sum_{l=0}^n \binom{n}{l}_q p_{n-l}(u) p_l(\hat{u}) \quad (n \geq 1)$ .

The condition

iv)  $p_n(0) = 0 \quad (n \geq 1)$

is a consequence of i) and iii).

DEFINITION 1.11. Replacing ii) by a weaker condition

ii\*) weight  $p_n(u) \leq n$  ( $n \geq 1$ ),

we define  $q$ -binomial differential polynomial sequences in wide sense.

By means of generating function

$$(1.29) \quad \Phi_p^{(q)}(u | t) = \sum_{n=0}^{\infty} p_n(u) \frac{t^n}{(n)_q!}$$

condition iii) is equivalent to

$$\text{iii*) } \Phi_p^q(u + \hat{u} | t) = \Phi_p^{(q)}(u | t) \Phi_p(\hat{u} | t),$$

where  $t$  is commutative with  $\hat{u}^{(i)}, u^{(i)}$  ( $i, j \geq 1$ ).

Since the commutation relation  $\hat{x}x = qx\hat{x}$  implies

$$(1.30) \quad (\hat{x} + x)^n = \sum_{l=0}^n \binom{n}{l}_q x^{n-l} \hat{x}^l,$$

$q$ -exponential function

$$(1.31) \quad \exp^{(q)}(t) = \sum_{n=0}^{\infty} \frac{t^n}{(n)_q!}$$

satisfies

$$(1.32) \quad \exp^{(q)}(\hat{x}x) = \exp^{(q)}(\hat{x}) \exp^{(q)}(x).$$

$q$ -log function  $\log^q(1+t)$  is the formal power series in  $t$  which is the inverse function of  $\exp^q(t)$ , i.e.,

$$\log^q[\exp^q[t]] = t.$$

We briefly denote

$$(1.33) \quad p_m^{(q)}(n) = \sum_{\substack{\sum i_l = n \\ \sum l_j = m}} (n)_q! \left( \prod_j l_j! ((j)_q!)^{l_j} \right)^{-1}.$$

PROPOSITION 1.14.

$$(1.34) \quad \log^{(q)}(1+t) = t + \sum_{n=2}^{\infty} \left[ \sum_{r=1}^{n-1} (-1)^r \sum_{\substack{1 < m_1 < m_2 < \dots < m_{r-1} < n}} p_1^{(q)}(m_1) p_{m_1}^{(q)}(m_2) \dots p_{m_{r-1}}^{(q)}(n) \right] \frac{t^n}{n!}$$

*Proof.* We denote

$$\lambda_j = \frac{j!}{(j)_q!}$$

$$1 + s = \exp^{(q)}[t] = 1 + \sum_{j=1}^{\infty} \frac{t^j}{(j)_q!} = 1 + \sum_{j=1}^{\infty} \lambda_j \frac{t^j}{j!}$$

and

$$\log^{(q)}(1 + s) = \sum_{m=1}^{\infty} \alpha_m \frac{s^m}{m!}$$

then

$$\begin{aligned} t = \log^{(q)}(\exp^{(q)}[t]) &= \sum_{m=1}^{\infty} \frac{\alpha_m}{m!} \left( \sum_{j=1}^{\infty} \lambda_j \frac{t^j}{j!} \right)^m \\ &= \sum_{n=1}^{\infty} \left[ \sum_{m=1}^n \alpha_m \sum_{\substack{\sum j l_j = n \\ \sum l_j = m}} n! \prod_j \frac{1}{l_j!} \left( \frac{\lambda_j}{j!} \right)^{l_j} \right] \frac{t^n}{n!} \\ &= \sum_{n=1}^{\infty} \left[ \sum_{m=1}^n \alpha_m p_{m,n}(\lambda) \right] \frac{t^n}{n!}. \end{aligned}$$

This means

$$\alpha_1 p_{1,1}(\lambda) = 1, \quad \sum_{m=1}^n \alpha_m p_{m,n}(\lambda) = 0 \quad (n \geq 2).$$

On the other hand

$$\begin{aligned} p_{n,n}(\lambda) &= n! \frac{n!}{(n)_q!} \cdot \frac{1}{n!} = \frac{n!}{(n)_q!}, \quad p_{1,1}(\lambda) = 1 \\ \frac{p_{m,n}(\lambda)}{p_{n,n}(\lambda)} &= \frac{(n)_q!}{n!} \sum_{\substack{\sum j l_j = n \\ \sum l_j = m}} n! \prod_j \frac{1}{l_j!} \left( \frac{\lambda_j}{j!} \right)^{l_j} \\ &= \sum_{\substack{\sum j l_j = n \\ \sum l_j = m}} (n)_q! \left( \prod_j l_j! ((j)_q!)^{l_j} \right)^{-1} = p_m^{(q)}(n), \end{aligned}$$

hence

$$\begin{aligned} \alpha_1 &= 1 \\ \alpha_n &= - \sum_{m=1}^{n-1} \alpha_m \frac{p_{m,n}(\lambda)}{p_{n,n}(\lambda)} \\ &= - \sum_{m=1}^{n-1} \alpha_m p_m^{(q)}(n) \\ &= \sum_{r=1}^{n-1} (-1)^r \sum_{\substack{1 < m_1 < m_2 < \dots < m_{r-1} < n}} p_1^{(q)}(m_1) p_{m_1}^{(q)}(m_2) \dots p_{m_{r-1}}^{(q)}(n), \\ &\quad \log^{(q)}(1+t) \\ &= t + \sum_{n=2}^{\infty} \left[ \sum_{r=1}^{n-1} (-1)^r \sum_{\substack{1 < m_1 < m_2 < \dots < m_{r-1} < n}} p_1^{(q)}(m_1) p_{m_1}^{(q)}(m_2) \dots p_{m_{r-1}}^{(q)}(n) \right] \frac{t^n}{n!}. \end{aligned}$$

PROPOSITION 1.15. *The set  $DP^{(q)}(K[u])$  of  $q$ -binomial differential polynomial sequences in wide sense in  $K[u]$  coincides with the set of differential polynomial sequences*

$$\{(p_{\alpha,n}(u))_{n \geq 0} \mid \alpha = (\alpha_{ij})_{i \geq j}, \alpha_{ij} \in K\},$$

which are given by means of generating functions as follows

$$(1.35) \quad \Phi_{p_\alpha}^{(q)}(u \mid t) = \exp^{(q)} \left[ \sum_{1 \leq i \leq j} \alpha_{ij} u^{(i)} \frac{t^j}{(j)_q!} \right].$$

*Proof.* For an element  $(p_n(u))$  in  $DP^{(q)}(K[u])$  we put

$$\log^{(q)}(\Phi_p^{(q)}(u \mid t)) = \log^{(q)} \left[ 1 + \sum_{j=1}^{\infty} p_j(u) \frac{t^j}{(j)_q!} \right] = \sum_{j=1}^{\infty} \varphi_j(u) \frac{t^j}{(j)_q!}$$

with polynomials  $\varphi_j(u)$  of weight at most  $j$  in  $K[u]$ . Let us prove

$$\varphi_j(\hat{u} + u) = \varphi_j(\hat{u}) + \varphi_j(u) \quad (j \geq 1)$$

Since

$$\lim_{q \rightarrow 1} \frac{1}{(n)_q!} = \frac{1}{n!}, \quad \lim_{q \rightarrow 1} \exp^{(q)}[t] = \exp[t]$$

we have

$$\begin{aligned}
 \exp \left[ \sum_{j=1}^{\infty} \varphi_j(\hat{u} + u) \frac{t^j}{j!} \right] &= \lim_{q \rightarrow 1} \exp^{(q)} \left[ \sum_{j=1}^{\infty} \varphi_j(\hat{u} + u) \frac{t^j}{(j)_q!} \right] \\
 &= \lim_{q \rightarrow 1} \Phi_p^{(q)}(\hat{u} + u | t) = \lim_{q \rightarrow 1} \left( \Phi_p^{(q)}(u | t) \Phi_p^{(q)}(\hat{u} | t) \right) \\
 &= \lim_{q \rightarrow 1} \Phi_p^{(q)}(u | t) \lim_{q \rightarrow 1} \Phi_p^{(q)}(\hat{u} | t) \\
 &= \lim_{q \rightarrow 1} \exp^{(q)} \left[ \sum_{j=1}^{\infty} \varphi_j(u) \frac{t^j}{(j)_q!} \sum_{j=1}^{\infty} \varphi_j(\hat{u}) \frac{t^j}{(j)_q!} \right] \\
 &= \exp \left[ \sum_{j=1}^{\infty} \varphi_j(u) \frac{t^j}{j!} \right] \left[ \sum_{j=1}^{\infty} \varphi_j(\hat{u}) \frac{t^j}{j!} \right] \\
 &= \exp \left[ \sum_{j=1}^{\infty} (\varphi_j(\hat{u}) + \varphi_j(u)) \frac{t^j}{j!} \right]
 \end{aligned}$$

This means  $\varphi_j(\hat{u} + u) = \varphi_j(\hat{u}) + \varphi_j(u)$  ( $j \geq 1$ ), i.e.,  $\varphi_j(u)$  ( $j \geq 1$ ) are linear forms. The converse is obviously true.

**THEOREM 1.4.** *Two  $q$ -binomial differential polynomial sequences  $(p_n(u))_{n \geq 0}$  and  $(r_n(u))_{n \geq 0}$  are similar each other, if and only if there exists a system of constants  $(\lambda_j)_{j \geq 1}$  in  $K$  such that  $\lambda_1 \neq 0$  and*

$$(1.36) \quad r_n(u) = \sum_{m=1}^n \left[ \frac{m!}{(m)_q!} p_m(u) \sum_{\substack{\sum j l_j = n \\ \sum l_j = m}} (n)_q! \prod_j \frac{1}{l_j!} \left( \frac{\lambda_j}{(j)_q!} \right)^{l_j} \right]$$

Condition (1.36) is equivalent to

$$(1.37) \quad \Phi_r^{(q)}(u | t) = \Phi_p^{(q)} \left( u \mid \sum_{j=1}^{\infty} \lambda_j \frac{t^j}{(j)_q!} \right)$$

The proof of this theorem is completely same as that of Theorem 1.1.

**Appendix A. Central moments of entropy**

1. Using the standard binomial differential polynomials, we can express the  $n$ -th central moment of entropy concretely.

A distribution function means a positive real value function in  $s > 0$  which is given by an integral

$$z(s) = \int_{\Omega} \exp[-sf(x)]\mu(dx),$$

where  $f(x)$  is a non-negative real value function on a measurable space  $(\Omega, \mu)$  and we assume that  $d/ds$  and integration are always commutative. Entropy of the distribution function  $z(s)$  is defined by

$$\begin{aligned} E(z(s)) &= \int_{\Omega} \left( -\log \left[ \frac{\exp[-sf(x)]}{z(s)} \right] \right) \frac{\exp[-sf(x)]}{z(s)} \mu(dx) \\ &= \int_{\Omega} \left( -\log \left[ \frac{\exp[-sf(x)]}{z(s)} \right] \right) \frac{\exp[-sf(x)]}{z(s)} \mu(dx) \\ &= -s \frac{z^{(1)}(s)}{z(s)} + \log z(s). \end{aligned}$$

The  $n$ -th central moment of entropy  $E(z(s))$  is defined by

$$M_n(z(s)) = \int_{\Omega} \left( -\log \left[ \frac{\exp[-sf(x)]}{z(s)} \right] - E(z(s)) \right)^n \mu(dx) \quad (n \geq 0).$$

Putting  $z(s) = \exp[u^{(0)}(s)]$ , from Proposition 1.6, we have

$$\sum_{n=0}^{\infty} \frac{z^{(n)}(s)}{z(s)} \frac{t^n}{j!} = \exp \left[ \sum_{j=1}^{\infty} u^{(j)}(s) \frac{t^j}{j!} \right] = \sum_{n=0}^{\infty} p_{I,n}(u(s)) \frac{t^n}{n!}$$

where

$$\begin{aligned} p_{I,n}(u(s)) &= \sum_{\sum j l_j = n} n! \prod_j \frac{1}{l_j!} \left( \frac{u^{(j)}(s)}{j!} \right)^{l_j} \quad (n \geq 0) \\ u^{(j)}(s) &= \left( \frac{d}{ds} \right)^j u^{(0)}(s) \quad (j \geq 1) \end{aligned}$$

**THEOREM 1.**

$$\begin{aligned} \text{(A.1)} \quad \sum_{n=0}^{\infty} \frac{M_n(z(s))}{n!} t^n &= \exp \left[ \sum_{j=2}^{\infty} \frac{u^{(j)}(s)}{j!} (-st)^j \right] \\ &= \exp \left[ \exp [u(s - st)] - u(s) - u^{(1)}(s) \right] \end{aligned}$$

$$(A.2) \quad M_n(z(s)) = (-s)^n \sum_{\sum_{j \geq 2} j l_j = n} n! \prod_j \frac{1}{l_j!} \left( \frac{u^{(j)}(s)}{j!} \right)^{l_j},$$

$$(A.3) \quad M_1(z(s)) = 0, \\ M_2(z(s)) = s^2 u^{(2)}(s) = s^2 \left[ \frac{z^{(2)}(s)}{z(s)} - \left( \frac{z^{(1)}(s)}{z(s)} \right)^2 \right],$$

where  $u^{(j)}(s) = (d/ds)^{j-2} u^{(2)}(s)$  ( $j \geq 2$ ).

*Proof.* By calculation we have

$$\begin{aligned} M_n(z(s)) &= \int_{\Omega} \left( -\log \left[ \frac{\exp[-sf(x)]}{z(s)} \right] - E(z(s)) \right)^n \frac{\exp[-sf(x)]}{z(s)} \mu(dx) \\ &= \int_{\Omega} \left( sf(x) + s \frac{z^{(1)}(s)}{z(s)} \right)^n \frac{\exp[-sf(x)]}{z(s)} \mu(dx) \\ &= s^n \sum_{l=0}^n \binom{n}{l} \left( \frac{z^{(1)}(s)}{z(s)} \right)^l \frac{1}{z(s)} \int_{\Omega} f(x)^{n-l} \exp[-sf(x)] \mu(dx) \\ &= s^n \sum_{l=0}^n \binom{n}{l} \frac{1}{z(s)} \left( \frac{z^{(1)}(s)}{z(s)} \right)^l \\ &\quad \int_{\Omega} (-1)^{n-l} \left( \frac{d}{ds} \right)^{n-l} \exp[-sf(x)] \mu(dx) \\ &= s^n \sum_{l=0}^n (-1)^{n-l} \binom{n}{l} \frac{1}{z(s)} \left( \frac{z^{(1)}(s)}{z(s)} \right)^l \left( \frac{d}{ds} \right)^{n-l} \\ &\quad \int_{\Omega} \exp[-sf(x)] \mu(dx) \\ &= s^n \sum_{l=0}^n (-1)^{n-l} \binom{n}{l} \frac{1}{z(s)} \left( \frac{z^{(1)}(s)}{z(s)} \right)^l z^{n-l}(s) \\ &= s^n \sum_{l=0}^n (-1)^{n-l} \binom{n}{l} \frac{z^{n-l}(s)}{z(s)} \left( \frac{z^{(1)}(s)}{z(s)} \right)^l. \end{aligned}$$

This means

$$\sum_{n=0}^{\infty} \frac{M_n(z(s))}{n!} t^n = \sum_{n=0}^{\infty} \left( \sum_{l=0}^n (-1)^l \binom{n}{l} \frac{z^{(n-l)}(s)}{z(s)} \left( \frac{z^{(1)}(s)}{z(s)} \right)^l \right) \frac{(-st)^n}{n!}$$

$$\begin{aligned}
&= \left( \sum_{l=0}^{\infty} \frac{Z^{(l)}(s)}{z(s)} \frac{(-st)^l}{l!} \right) \left( \sum_{l=0}^{\infty} \left( \frac{z^{(1)}(s)}{z(s)} \right)^l \frac{(st)^l}{l!} \right) \\
&= \exp \left[ \sum_{j=1}^{\infty} u^{(j)}(s) \frac{(-st)^j}{j!} \right] \exp [u^{(1)}st] \\
&= \exp \left[ \sum_{j=2}^{\infty} u^{(j)}(s) \frac{(-st)^j}{j!} \right],
\end{aligned}$$

$$M_n(z(s)) = \sum_{\sum_{j \geq 2} j l_j = n} n! \prod_j \frac{1}{l_j!} \left( \frac{u^{(j)}(s)}{j!} \right)^{l_j},$$

$$M_1(z(s)) = 0, \quad M_2(z(s)) = s^2 u^{(2)}(s) = s^2 \left[ \frac{z^{(2)}(s)}{z(s)} - \left( \frac{z^{(1)}(s)}{z(s)} \right)^2 \right].$$

**2. Relations between the central moments under certain functional equations**

**THEOREM 2.** *Under the assumption*

$$(A.4) \quad z\left(\frac{-1}{s}\right) = \lambda z(s)$$

or

$$(A.5) \quad z\left(\frac{1}{s}\right) = \lambda z(s)$$

with a non-zero constant  $\lambda$ , we obtain the relations between the central moments,

$$K_n\left(\frac{-1}{s}\right) = n! \sum_{0 < h+2l \leq n} \binom{n-l-1}{h+l-1} \frac{(-1)^h K_h(s) s^{(l)} u^{(1)}(s)^l}{h! l!}$$

or

$$K_n\left(\frac{1}{s}\right) = n! \sum_{0 < h+2l \leq n} \binom{n-l-1}{h+l-1} \frac{(-1)^h K_h(s) s^{(l)} u^{(1)}(s)^l}{h! l!}$$

*Proof.* Putting  $z(s) = \exp[u(s)]$  and  $\alpha = \log \lambda$ , we have

$$u\left(\frac{-1}{s}\right) = u(s) + \alpha,$$

$$u^{(1)}\left(\frac{-1}{s}\right) = \frac{ds}{d\left(\frac{-1}{s}\right)} \frac{d}{ds}(u(s) + \alpha) = s^2 u^{(1)}(s),$$

or

$$u\left(\frac{1}{s}\right) = u(s) + \alpha,$$

$$u^{(1)}\left(\frac{1}{s}\right) = \frac{ds}{d\left(\frac{1}{s}\right)} \frac{d}{ds}(u(s) + \alpha) = s^2 u^{(1)}(s),$$

$$K(s, t) = \sum_{n=0}^{\infty} \frac{K_n(s)}{n!} (-st)^n$$

$$= \exp \left[ \sum_{j \geq 2} \frac{u^{(j)}(s)}{j!} (-st)^j \right]$$

$$= \exp \left[ \exp \left[ u(s(1-t)) - u(s) - u^{(1)}(s)(-st) \right] \right],$$

**Appendix B. The inhomogeneous invariant theory**

1. The  $GL_2(K)$ -germ action on the basic formal power series

We choose an element  $\omega$  in  $K$  different from positive integers, and a system of variables

$$\xi = (\xi^{(0)}, \xi^{(1)}, \xi^{(2)}, \dots)$$

with degree, weight and index such that

$$\deg \xi^{(l)}, \quad \text{weight } \xi^{(l)} = l, \quad \xi^{(l)} = \omega - 2l,$$

We introduce the basic formal power series

$$(B.1) \quad f_\omega(\xi | t) = \sum_{l=0}^{\infty} (\omega)_l \xi^{(l)} \frac{t^l}{l!}$$

on which the germ of  $GL_2(K)$  acts as follows,

$$(B.2) \quad f_\omega \left( \rho \begin{pmatrix} \delta & \beta \\ \gamma & \alpha \end{pmatrix} \xi | t \right) = \sum_{l=0}^{\infty} (\omega)_l \left( \rho \begin{pmatrix} \delta & \beta \\ \gamma & \alpha \end{pmatrix} \xi \right)^{(l)} \frac{t^l}{l!}$$

$$(\delta + \delta t)^\omega \sum_{l=0}^{\infty} \frac{(\omega)_l}{l!} \xi^{(l)} \left( \frac{\beta + \alpha t^{(l)}}{\delta + \gamma t} \right),$$

where  $(\omega)_l = \omega(\omega - 1)(\omega - 2) \dots (\omega - l + 1)$  and  $\binom{\omega}{l} = (\omega)_l / l!$ .

(B.2) is equivalent to the realization of the algebra  $sl_2(K)$  in  $K[\xi]$ ,

$$(B.3) \quad \begin{aligned} D_\omega \xi^{(l)} &= l\xi^{l-1} & (\xi^{-1} &= 0) \\ \Delta_\omega \xi^{(l)} &= (\omega - l)\xi^{(l+1)} \\ H_\omega \xi^{(l)} &= (\omega - 2l)\xi^{(l)} \end{aligned}$$

where

$$(B.4) \quad \begin{aligned} [D_\omega, \Delta_\omega] &= H_\omega \\ [H_\omega, D_\omega] &= 2D_\omega \\ [H_\omega, \Delta_\omega] &= -2\Delta_\omega \end{aligned}$$

LEMMA 1.

$$(B.5) \quad \begin{aligned} [D_\omega, \Delta_\omega^l] &= -l(l-1)\Delta_\omega^{l-1} + l\Delta_\omega^{l-1}H_\omega \\ [H_\omega, \Delta_\omega^l] &= -2l\Delta_\omega^l \end{aligned}$$

*Proof.* Assuming (B.5) for  $l$ , we have

$$\begin{aligned} [D_\omega, \Delta_\omega^{l+1}] &= [D_\omega, \Delta_\omega^l]\Delta_\omega + \Delta_\omega^l[D_\omega, \Delta_\omega] \\ &= -l(l-1)\Delta_\omega^l + l\Delta_\omega^l H_\omega \Delta_\omega + \Delta_\omega^l H_\omega + D_\omega^l H_\omega \\ &= -l(l+1)\Delta_\omega^l + l\Delta_\omega^{l-1}[H_\omega, \Delta_\omega] + l\Delta_\omega^l H_\omega + D_\omega^l H_\omega \\ &= -l(l+1)\Delta_\omega^l + (l+1)\Delta_\omega^l H_\omega, \\ [H_\omega, \Delta_\omega^{l+1}] &= [H_\omega, \Delta_\omega^l]\Delta_\omega + \Delta_\omega^l[H_\omega, \Delta_\omega] \\ &= -2l\Delta_\omega^{l+1} - 2\Delta_\omega^{l+1} \\ &= -2(l+1)\Delta_\omega^{l+1} \end{aligned}$$

**2.**  $\langle D_\omega, \Delta_\omega, H_\omega \rangle$ -action on the basic inhomogeneous formal power series

We mean by the basic inhomogeneous formal power series the formal power series

$$(B.6) \quad 1 + \sum_{l=1}^{\infty} (\omega)_l \frac{\xi^{(l)} t^l}{\xi^{(0)} l!}$$

Changing variables

$$z^{(l)} = (\omega)_l \xi^{(l)} \quad (l \geq 0),$$

from (B.3) we have

$$(B.7) \quad \begin{aligned} D_\omega z^{(l)} &= l(\omega - l + 1)z^{l-1} \quad (z^{-1} = 0) \\ \Delta_\omega z^{(l)} &= z^{(l+1)} \\ H_\omega z^{(l)} &= (\omega - 2l)z^{(l)} \end{aligned}$$

Again changing variables  $z^{(l)}/z^{(0)}$  ( $l \geq 1$ ) to  $u^{(j)}$  ( $j \geq 1$ ) by

$$+ \sum_{l=1}^\infty \frac{z^{(l)} t^l}{z^{(0)} l!} = \exp \left[ \sum_{j=1}^\infty u^{(j)} \frac{t^j}{j!} \right],$$

we obtain the following  $\langle D_\omega, \Delta_\omega, H_\omega \rangle$ -action on  $K[u^{(1)}, u^{(2)}, u^{(3)}, \dots]$ ;

PROPOSITION 1.

$$(B.8) \quad \begin{aligned} D_\omega u^{(j)} &= \begin{cases} \omega & (j = 1) \\ -j(j - 1)u^{(j-1)} & (j \geq 2) \end{cases} \\ \Delta_\omega u^{(j)} &= u^{(j+1)} \\ H_\omega u^{(j)} &= -2ju^{(j)} \end{aligned}$$

*Proof.* We choose a generic analytic function  $y(s)$  and  $\omega^{(j)}(s) = (d/ds)^j \omega(s)$ . Hence by means of differential algebra specializations

$$\begin{aligned} \left( \frac{y^{(1)}(s)}{y^{(0)}(s)}, \frac{y^{(2)}(s)}{y^{(0)}(s)}, \frac{y^{(3)}(s)}{y^{(0)}(s)}, \dots; \frac{d}{ds} \right) &\longrightarrow \left( \frac{z^{(1)}}{z^{(0)}}, \frac{z^{(2)}}{z^{(0)}}, \frac{z^{(3)}}{z^{(0)}}, \dots; \Delta_\omega \right), \\ \left( \omega^{(1)}(s), \omega^{(2)}(s), \omega^{(3)}(s), \dots; \frac{d}{ds} \right) &\longrightarrow \left( u^{(1)}, u^{(2)}, u^{(3)}, \dots; \Delta_\omega \right), \end{aligned}$$

we obtain

$$\Delta_\omega^{j-1} u^{(1)} = u^{(j)} \quad (j \geq 2).$$

From (B.7), denoting  $\xi^{(0)} = z^{(0)} = \exp[u^{(0)}]$ , we have

$$D_\omega z^{(0)}, H_\omega z^{(0)} = \omega z^{(0)},$$

and

$$\begin{aligned} D_\omega u^{(0)} &= D_\omega(\exp[u^{(0)}])\exp[u^{(0)}]^{-1} = D_\omega z^{(0)} z^{(0)^{-1}} = 0 \\ H_\omega u^{(0)} &= H_\omega(\exp[u^{(0)}])\exp[u^{(0)}]^{-1} = \omega z^{(0)} z^{(0)^{-1}} = \omega \end{aligned}$$

Hence from  $u^{(j)} = \Delta_\omega^{j-1}u^{(1)} = \Delta_\omega^{j-1}\Delta_\omega u^{(0)} = \Delta_\omega^j u^{(0)}$  and Lemma 1 we obtain

$$\begin{aligned} D_\omega u^j &= D_\omega \Delta_\omega^j u^{(0)} = [D_\omega, \Delta_\omega^j]u^{(0)} + \Delta_\omega^j D_\omega u^{(0)} \\ &= [D_\omega, \Delta_\omega^j]u^{(0)} = -j(j-1)\Delta_\omega^{j-1}u^{(0)} + j\Delta_\omega^{j-1}H_\omega u^{(0)} \\ &= \begin{cases} \omega & (j = 1) \\ -j(j-1)u^{(j-1)} & (j \geq 2) \end{cases}, \end{aligned}$$

$$\Delta_\omega u^j = u^{(j+1)},$$

$$\begin{aligned} H_\omega u^{(j)} &= [H_\omega, \Delta_\omega^j]u^{(0)} + \Delta_\omega^j H_\omega u^{(0)} \\ &= -2j\Delta_\omega^j u^{(0)} + u^{(0)} + \Delta_\omega^j \omega = -2ju^{(j)} \quad (j \geq 1), \end{aligned}$$

Now we can conclude as follows:

**THEOREM 3.** *The invariant theory on the basic inhomogeneous formal power series*

$$(B.9) \quad 1 + \sum_{j=1}^\infty u^{(j)} \frac{t^j}{j!} \begin{cases} D_\omega \xi^{(l)} = l\xi^{l-1} \\ \Delta_\omega \xi^{(l)} = (\omega - l)\xi^{(l+1)} \\ H_\omega \xi^{(l)} = (\omega - 2l)\xi^{(l)} \end{cases}$$

is equivalent to the invariant theory on the basic inhomogeneous basic form

$$(B.10) \quad 1 + \sum_{j=1}^\infty u^{(j)} \frac{t^j}{j!}$$

with respect to the realization

$$\begin{aligned} D_\omega u^{(j)} &= \begin{cases} \omega & (j = 1) \\ -j(j-1)u^{(j-1)} & (j \geq 2) \end{cases} \\ D_\omega u^{(j)} &= u^{(j+1)} \\ H_\omega u^{(j)} &= -2ju^{(j)} \end{aligned}$$

The structure of the graded algebra  $\Theta$  of semi invariants in  $K[u]$  is very simply expressed as follows:

**THEOREM 4.** *The isobaric polynomials*

$$(B.11) \quad \psi_n(u) = \sum_{l=1}^n \frac{(n)_l (n-1)_l}{l!} \omega^{n-l} u^{(n-l)} u^{(1)^l}$$

are generators of the graded algebra  $\Theta$  of semi-invariants, i.e.

$$\Theta = K[\psi_2(u), \psi_3(u), \psi_4(u), \dots]$$

*Proof.* By calculation

$$\begin{aligned} D\psi_n(u) &= \sum_{l=1}^n \frac{(n)_l (n-1)_l}{l!} \omega^{n-l} u^{(n-l)} l u^{(1)l-1} \omega \\ &\quad - \sum_{l=0}^{n-1} \frac{(n)_l (n-1)_l}{l!} \omega^{n-l} (n-l)(n-l-1) u^{(n-l-1)} u^{(1)l} \\ &\quad + \sum_{l=1} \frac{(n)_l (n-1)_l}{(l-1)!} \omega^{n-l+1} u^{n-l} u^{(1)l-1} \\ &\quad - \sum_{l=0} \frac{(n)_{l+1} (n-1)_{l+1}}{l!} \omega^{n-l} u^{(n-l-1)} u^{(1)l} \\ &= 0 \end{aligned}$$

On the other hand  $K[u^{(1)}, \psi_2(u), \psi_3(u), \psi_4(u), \dots] = K[u^{(1)}, u^{(2)}, u^{(3)}, \dots]$  and  $u^{(1)}$  is transcendental over  $K[\psi_2(u), \psi_3(u), \psi_4(u), \dots]$ , hence  $F = \sum_{k=0}^n u^{(1)k} g_k(\psi)$  belongs to  $\Theta$ , if and only if  $F = g_0(\psi)$ .

A Cashimi operator is a non - zero element in the center of the universal enveloping algebra of  $sl_2[K]$ , and the next is a generator of Cashimir operators of the realization  $\langle D_\omega, \Delta_\omega, H_\omega \rangle$  of  $sl_2(K)$ ,

$$(B.12) \quad K_\omega = \frac{1}{4}(H_\omega^2 + 4\Delta_\omega D_\omega + 2H_\omega).$$

PROPOSITION 2.

$$(B.13) \quad K_\omega u^j = 0 \quad (j \geq 1)$$

*Proof.* By calculation we have

$$\begin{aligned} K_\omega u^{(1)} &= \frac{1}{4}(H_\omega^2 u^{(1)} + 4\Delta_\omega D_\omega u^{(1)} + 2H_\omega)u^{(1)} \\ &= \frac{1}{4}((-2)^2 u^{(1)} + 4\Delta_\omega \omega + 2(-2)u^{(1)}) = 0, \\ K_\omega u^{(j)} &= \frac{1}{4}((-2j)^2 u^{(j)} + 4\Delta_\omega (-j)(j-1)u^{(j-1)} + 2(-2j)u^{(j)}) \\ &= \frac{1}{4}(4j^2 u^{(j)} - 4j(j-1)u^{(j)} - 4ju^{(j)}) = 0. \end{aligned}$$

PROPOSITION 3.

$$(B.14) \quad K_{\omega} \xi^{(l)} = \frac{1}{4} \omega(\omega + 2) \xi^{(l)} \quad (l \geq 0)$$

*Proof.* By calculation, we have

$$\begin{aligned} K_{\omega} \xi^{(l)} &= \frac{1}{4} (H_{\omega}^2 \xi^{(l)} + 4\Delta_{\omega} D_{\omega} \xi^{(l)} + 2H_{\omega} \xi^{(l)}) \\ &= \frac{1}{4} ((\omega - 2l)^2 + 4l(\omega - l + 1) + 2(\omega - 2l)) \xi^{(l)} = \frac{1}{4} \omega(\omega + 2) \xi^{(l)}. \end{aligned}$$

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