Barriers to and facilitators of ultra-processed food consumption: perceptions of Brazilian adults

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Abstract

Objective: To explore how individuals perceive the availability of ultra-processed foods in their neighbourhoods and the barriers to and facilitators of consumption of such foods.

Design: A qualitative design was chosen. In-depth, face-to-face semi-structured interviews were conducted and a content analysis was performed.

Setting: São Paulo, Brazil.

Subjects: A purposeful sample of adults (n 48), stratified by sex and age group (20–39 years and 40–59 years).

Results: All participants perceived their neighbourhoods as favourable regarding the availability of ultra-processed foods. Three barriers were identified: health concerns, not appreciating the taste of these foods and not being used to eating them. Five facilitators, however, were identified: appreciating the taste of these foods, their children’s preference, convenience, addiction and cost.

Conclusions: Participants perceived their neighbourhoods as favourable to the consumption of ultra-processed foods and reported more facilitators than barriers to their consumption. Reported barriers point to the need to include measures promoting a healthy food system and traditional eating practices. The facilitators reinforce the idea that these foods are habit-forming and that regulatory measures to offset the exposure to ultra-processed foods are necessary.

Keywords

Ultra-processed food products Nutrition Food environment Qualitative research Brazil

In recent years a new healthy eating paradigm that considers the extent and purpose of industrial processes applied to food has emerged(1). The concept of ultra-processed foods has been applied in the study of population eating patterns and their relationship to health and wellness(2–5). Ultra-processed foods are formulations made by the food industry, using substances extracted from natural or unprocessed foods or obtained from the further processing of constituents of foods or through chemical synthesis. The main purpose of industrial ultra-processing is to create products that are durable, accessible, convenient and highly palatable, ready to drink or ready to eat(6).

Ultra-processed foods have higher energy density, higher free sugar content and lower micronutrient and fibre contents than natural or minimally processed foods. Thus, the consumption of these foods impacts the nutritional profile of the diet(7–9). They have also been associated with obesity(10,11).

Recently, the proportion of dietary energy in ultra-processed products was recommended as an indicator of the quality of diets by the INFORMAS (International Network for Food and Obesity/non-communicable Diseases Research, Monitoring and Action Support) initiative(12). The utility of the concept of ultra-processed foods has been recognized not only for nutritional epidemiology studies, but also for the development of public health policies. In 2014, the Ministry of Health of Brazil updated the national dietary guidelines for the Brazilian population and among its recommendations there is the following golden rule: ‘Always prefer natural or minimally processed foods and freshly made dishes and meals to ultra-processed products’. Two years later, the Pan American Health Organization presented a Nutrient Profile Model as a tool to classify processed and ultra-processed food and drink products that contain excessive amounts of critical nutrients such as sugars, salt, total fat, saturated fat and trans-fatty acids. This model is
intended to help in the design and implementation of various strategies related to the prevention and control of obesity\textsuperscript{(14)}.

Food choices, including ultra-processed foods, are inserted in the actions that form part of one’s eating habits and are determined by a number of other factors that go through cognitive, emotional, behavioural, social and environmental elements\textsuperscript{(15)}. Moving beyond the recommendation about what people should eat, the Brazilian Guidelines clearly recognize key obstacles that can prevent people from adopting such a recommendation; for instance, access to information, food availability, cost, cooking skills, time and food marketing. The majority of these obstacles are directly related to the food environment\textsuperscript{(1,13)}. Taking into account that common attributes of ultra-processed foods are hyper-palatability, sophisticated and attractive packaging, multi-media and other aggressive marketing strategies\textsuperscript{(6)} which have a broad potential to influence food choices, the better understanding of the factors that guide the choice of these foods can support the formulation of nutrition interventions. Some previous studies have explored the drivers of consumption and values of what they named ‘convenience food’\textsuperscript{(16,17)}. Nevertheless, there is a lack of qualitative research that can deeply investigate factors promoting or discouraging the consumption of ultra-processed foods. Thus, the present study qualitatively explored, in a Brazilian sample: (i) how individuals perceive the availability of ultra-processed foods in their neighbourhoods; and (ii) the barriers to and facilitators of consumption of such foods.

**Methods**

**Study design and sample**

The present paper is part of the Obesogenic Environment Study in São Paulo, Brazil (ESAO-SP), a multi-method research project which examined the relationship between aspects of the local food environment and food access and consumption in São Paulo. Located in the Southeast region of Brazil and with a population of over 11 million, the city of São Paulo is the largest city in the country and one of the most populous urban agglomerations in the world. Despite being the richest city in Brazil, São Paulo has an unequal distribution of wealth\textsuperscript{(18)}. ESAO-SP used a cross-sectional design and drew upon three data sources from São Paulo city: (i) a local food environment audit of thirteen districts in the city that ensured representation of São Paulo’s socio-economic and food environment diversity; (ii) a survey to collect individual-level characteristics; and (iii) a purposeful sample of forty-eight adults stratified by sex (male and female) and age (20–39 years and 40–59 years). More details on the methodology of ESAO-SP can be obtained in previous publications\textsuperscript{(19,20)}. Briefly, we used the Human Development Index to classify each district according to the socio-economic level. Within each tertile of the Human Development Index, districts were classified into low or high food environment density (per 10 000 district residents). The food environment classification was based on whether the districts were below or above the median on all of three selected food environment indicators: (i) local grocery stores and supermarkets; (ii) specialized fruit and vegetable stores/markets and open-air food markets; and (iii) fast-food restaurants. Then two districts from each of the six combined strata of Human Development Index and food density were randomly selected. An extra district, which was adjacent to one of the selected districts within the highest tertile of Human Development Index, was selected to offset potential data loss. No data were lost but the thirteenth district was retained in the analyses to maximize sample size. Eight census tracts were randomly selected in each district. Out of the 104 tracts initially selected, eighteen were excluded, yielding eighty-six eligible tracts. The excluded tracts did not differ from the totality of the selected tracts in terms of mean income and education levels. Of the remaining eighty-six tracts, four were randomly sampled in each of the thirteen selected districts, resulting in a final count of fifty-two census tracts\textsuperscript{(19)}. Individual-level characteristics were gathered from an age- and sex-stratified sample of 2000 adults who lived or worked in those same districts where local food environment-level data were obtained\textsuperscript{(20)}.

The qualitative sample was purposefully selected from our survey considering gender, age, and socio-economic and food environment diversity. Individuals who agreed to share their contact information during the survey were identified to be included in the qualitative study. From each socio-economic and food environment stratum, six males and six females from each age group (18–39 years, 40–59 years) were randomly selected to give us three times as many possibilities to achieve our goal of interviewing fifty-two individuals (four adults per district). They were contacted by telephone and forty-eight individuals who fit our selection criteria agreed to participate in the study. All participants (n = 48) received written information explaining the study and signed individual consent forms. Ethics approval was granted by the Human Research Ethics Committee of the University of São Paulo’s School of Public Health.

**Data collection**

In-depth, face-to-face semi-structured interviews were conducted by a trained registered dietitian and an undergraduate student between March and August 2012.

To elaborate the interview guide, we started with two broad questions: (i) how do individuals from each neighbourhood perceive the availability of foods and of restaurants and retail food stores, and how does the presence of these restaurants/stores affect their eating practices; and (ii) where do individuals shop for food, prepare routines of food shopping, preparation and
consumption, and how do they relate with food intake, especially of fruits, vegetables and ultra-processed foods? After fully discussing such questions, the authors ended up with an interview guide with sixteen open questions. They were designed to elicit participants’ perceptions regarding: (i) practices and difficulties with food shopping, preparation and consumption; and (ii) how the presence of retail food stores in their neighbourhoods (characteristics, presence of certain food) are associated with their eating practices.

The pre-test was conducted with four participants (two men and two women) with different levels of education; and helped us to improve the interview guide. The interviews were audio-taped and then fully transcribed. Mean duration of the interviews was 35 min, ranging from 12 to 47 min.

Data analysis

A content analysis was performed to analyse the interviews’ transcripts. A trained researcher read the transcripts several times to achieve an immersion into the data. Given the objective of the present paper, excerpts regarding ultra-processed foods (n 113) were extracted from the text and further analysed using the qualitative data analysis software NVivo 10. The approach given to text analysis included a deductive and an inductive design. It started with a more theory-driven investigation, but also allowed new categorizations to emerge from the findings. We conducted a classical and an exploratory content analysis. Bernard and Ryan(21) described it as a process that ‘involves identifying quotes or expressions that seem important – these are called exemplars –, and then arranging the quotes/expressions into piles of things that go together’. We started by manually taking notes. Second, using NVivo, we attached the codes and retrieved the sentences with the same sub-categories. Therefore, the sub-categories arose from the most relevant aspects of the data, using an inductive approach(21). We present the number of excerpts classified in each category or sub-category and the quotes that best exemplify each category. The number of quotes in each sub-category was compared among men and women using the $\chi^2$ test and Yates correction on the website Quantpsy.

Results

Table 1 shows the characteristics of the participants. A total of forty-eight participants were interviewed, of whom 54.2% graduated from high school, 62.5% lived in the studied neighbourhoods and 70.8% bought food in their neighbourhood, mainly because of the high cost of the food establishments. At least half of the sample reported regular consumption of ultra-processed foods (sugar-sweetened beverages, cookies and/or salty snacks) five times or more per week.

We extracted a total of 115 quotes (Table 2). The individuals were classified according to their perceptions regarding their food environment. While most of the participants perceived their neighbourhood environments as favourable to the availability of ultra-processed foods, two could not classify their environment as favourable or unfavourable (Table 2). Illustrative quotes of the participants who classified their environment as favourable are presented below:

‘There are a lot of fast-food restaurants here. Yes, you can find chips and sandwiches. Across the street, at the bakery. But the supermarket also has all these things. There are even frozen sandwiches, which you can warm up in the microwave.’ (Woman)

‘You can find chips, cookies and soda virtually everywhere; at small markets, bars, bakeries. There are a lot of soda, bread and lunch meats, they sell a lot at the bakery and at the bar.’ (Woman)

| Table 1 Sociodemographic data and food intake of the participants who lived or worked/studied in the investigated neighbourhoods of the city of São Paulo. ESAO-SP study, São Paulo, Brazil, 2012 |
|----------------------------------|---|---|
| **Sex**                          | n | %  |
| Men                              | 26 | 54.2 |
| Women                            | 22 | 45.8 |
| **Age**                          |   |    |
| 20–39 years                      | 26 | 54.2 |
| 40–59 years                      | 22 | 45.8 |
| **Education (level of schooling)** |    |    |
| Less than high school            | 9  | 18.8 |
| High school                      | 26 | 54.2 |
| College                          | 13 | 27.0 |
| **Live in the neighbourhood**    |   |    |
| Study/work in the neighbourhood  | 18 | 37.5 |
| Buy foods in the neighbourhood   | 34 | 70.8 |
| **Regular intake of sugar-sweetened beverages** | 32 | 66.7 |
| **Regular intake of chips**      | 18 | 37.5 |
| **Regular intake of cookies**    | 24 | 50.0 |
| **Regular intake of fast-food**  | 29 | 60.4 |

*Regular intake = eating ≥ 5 times/week.
More facilitators were perceived than barriers (55·2 and 44·8% of the sixty-seven quotes identified, respectively). We identified three barriers to the consumption of ultra-processed foods: (i) health concerns; (ii) the fact that some participants reported that they did not like to eat these foods; and (iii) not being used to eating them. The frequency of these quotes is presented in Table 2 and some of the quotes that best exemplify such barriers are presented in Table 3. No differences in the frequency of quotes were observed between men and women ($P=0·69$).

Health concerns were the most cited barrier (Table 2). Several participants suggested that ultra-processed foods were ‘unhealthy’ although they could not explain why these foods were not healthy. Some, however, could link the consumption of ultra-processed foods with non-communicable diseases, such as overweight and hypertension. As result, these participants said they avoid buying or eating these foods. Finally, a few participants mentioned the association of ultra-processed foods with well-being, health and good self-esteem (Table 3). Disliking how ultra-processed foods taste was the second most cited barrier. Four participants reported they were not used to eating ultra-processed foods because they did eat these foods growing up (Tables 2 and 3).

Five facilitators of the consumption of ultra-processed foods were identified: (i) liking to eat these foods; (ii) their children liked to eat these foods; (iii) convenience;
Discussion

We found that all participants perceived their environment as favourable to the availability of ultra-processed foods. They perceived these foods as readily available and reported that they were found in supermarkets, grocery stores, bars, cafeterias, restaurants and bakeries. Indeed, when analysing data from seventy-nine countries, Monteiro et al. found that these products are ubiquitous in developed countries and are increasingly being found in developing countries. In fact, more facilitators than barriers to the consumption of ultra-processed foods were reported by the study participants, for whom personal liking or their children liking to eat these foods, convenience, addiction and cost facilitated consumption. This is worrisome, since high availability of ultra-processed foods affects cooking practices and is associated with overweight prevalence.

The sample had a high proportion of individuals who reported to regularly consume sugared beverages, chips, cookies, and especially soda and fast food. Moreover, these individuals perceived more facilitators than barriers to the consumption of ultra-processed foods.

Food environment characteristics can shape the food pattern of the population; however, individuals do not necessarily associate their food consumption with the evident features of the environment. Our findings showed that reported barriers to and facilitators of the consumption of ultra-processed foods were related to the characteristics of ultra-processed foods themselves or to their individual characteristics. These findings suggest that the influence of the food environment on dietary intake is not clear to individuals.

Ultra-processed foods are energy-dense, rich in saturated fat, trans fat, sugar and sodium, and poor in fibre and micronutrients. Besides that, they contain many additives, thus this kind of food can be dangerous to health. Although our participants did not mention these specific characteristics, they identified health concerns as the main barrier to the consumption of ultra-processed foods. They associated the intake of these foods with several diseases and described subsequent actions, such as avoiding eating or buying them. Moreover, avoiding these foods was associated with better health, well-being and better self-esteem. Interestingly, a participant noted that although she knew cookies and soda were not good for her, she liked to eat them. Both taste and health are food values, namely factors considered important by individuals in constructing their food choices. This participant’s quote shows that attitude towards ultra-processed foods may be multidimensional, for example simultaneously positive and negative. This corroborates both the model of attitudinal ambivalence described by Conner and Armitage and the value negotiation process described by Sobal et al., where a certain value is prioritized and there are ‘trade-offs’ between opposing values.

Taste is one of the primary factors determining food choice. In our study, participants referred ‘liking the taste of a specific product’ as the main facilitator of the consumption of ultra-processed foods; however, ‘not liking the taste of a specific product’ was the second most cited barrier. Ultra-processed foods are designed to be palatable because of their high content of sugar, fat and sodium. The use of flavourings and colourings can also make them very attractive.

Studies conducted in Brazil, Slovenia and Norway with adults identified a certain group that preferred simple, traditional and home-cooked foods and rejected fast foods and convenience foods. In a survey with 439 Brazilian mothers, Sato et al. verified that 39.9, 25.3 and 37.1% never or seldom bought ready-to-eat meals, used practical foods (such as noodles, ready tomato sauce, packaged soup and boxed cake mix) and liked to eat at fast-food restaurants, respectively. Future studies could focus on investigating the characteristics and drivers of individuals who do not like to eat ultra-processed foods, using more robust theoretical frameworks such the concepts of habitus as proposed by Bourdieu and the food choice trajectory as proposed by Sobal et al. In fact, the two participants who reported they were not used to eating ultra-processed foods as a barrier to their consumption also reported they did not like to eat them. They also mentioned that these foods were not part of their life.

An important facilitator of the consumption of ultra-processed foods was the fact that participants’ children liked to eat those foods and adults would buy these products as result of their children’s food preferences. Exposure to advertising of ultra-processed foods may be driving such preferences among children and stricter regulatory measures should be implemented. We found that the participants seemed to believe that children’s preference for ultra-processed foods was a given, particularly because of the amount of sugar these foods contain. The idea that ‘they like it’ was widely accepted and generated several actions, such as the regular purchase of sugar-sweetened beverages and how children would regularly drink soda. Ochs et al. observed among North Americans that parents constructed generalizations about children’s tastes: children only liked to eat dessert (which were mainly ultra-processed foods, such as ice
### Table 4 Quotes that best exemplify the category ‘facilitators of the consumption of ultra-processed foods’ and sub-categories

<table>
<thead>
<tr>
<th>Facilitators of the consumption of ultra-processed foods</th>
<th>Appreciating how these foods taste</th>
<th>Their children preferred these foods</th>
<th>Convenience and readily available</th>
<th>Addiction</th>
<th>Cost</th>
</tr>
</thead>
<tbody>
<tr>
<td>'I overdrink soda; like three times a week. But if I am feeling cheerful, I will drink it every day.' (Man)</td>
<td>'Soda, cookies, chips, sandwiches, I eat them, very frequently. It is easier. Soda and cookies?! If I keep them at work. I just grab and eat. I also keep chips at work. Thus I eat during the entire day while I am at work, as well as at home. Because is it easier, right?' (Man)</td>
<td>'If there is no soda, my children won't eat, they don't even care for food if there is no soda. I am also like this, I am used to, I don't even drink water anymore, just soda. When I go out, I don't even know how to ask for water anymore, it is only soda. I believe that I got addicted.' (Man)</td>
<td>'If I could I would have soda every day of the week. Lately I have been drinking the diet ones, like three days per week. I love it.' (Man)</td>
<td>'They drink soda all the time, because their father buys for them; but cookies no, they eat them three times per week. They eat chips once or twice per week. Because it tastes good, so they eat, because kids like it, they don't eat vegetables, they don't eat legumes, they don't eat anything.' (Woman)</td>
<td>'Soda, yes, every day. Chips, almost every day. And outside of my neighbourhood I eat sandwiches too. I used to eat snacks almost every day for breakfast, outside, until my doctor told me to stop. I eat these foods because they taste good. Because I really like them.' (Woman)</td>
</tr>
<tr>
<td>'I love cookies and soda. I have them once a week, because I like it. It is not good for me, but I like it.' (Woman)</td>
<td>'Cookies are too good. Sometimes I don't buy them. But then my mother-in-law comes home with a package. It is hard because she knows that my son likes to eat them, and I live with her; so it gets difficult.' (Woman)</td>
<td>'I eat it, because it is faster, I am too lazy to make juice, because it takes too long. That is the reality. Therefore we end up choosing something easier. This is the beautiful truth of soda.' (Man)</td>
<td>'I have one glass of soda at lunch and another at dinner. It is an addiction. But I have it, it is necessary.' (Woman)</td>
<td>'It is easy to buy ready-to-eat foods because the bakery is over there. Soda is even easier to find, because now they came up with these small Coke® cans for only one Brazilian real. If you don't have a lot of money, but you have a few coins, you can buy it.' (Woman)</td>
<td>'They [ultra-processed foods] are very cheap things, and people here don't have a lot of money, you know, so if it is cheap, they sell a lot, everybody eats them, a lot.' (Woman)</td>
</tr>
<tr>
<td>'If I could I would have soda every day of the week. Lately I have been drinking the diet ones, like three days per week. I love it.' (Man)</td>
<td>'Soda, cookies, chips, sandwiches ... Because of the children, we end up eating these things.' (Man)</td>
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Perceptions of ultra-processed food
cream and candies), while adults liked the entrée and other courses of the meal. According to the authors, such behaviour contributed to make meals a stressful time and, in fact, contributed to the children's craving for sweets. On the other hand, Italian families encouraged children to express their taste for particular cheeses, sauces, pastas and vegetables, and reported enjoying sharing their meals with their children (38). Despite children's innate preference for sweet, there is a cultural construction of taste, expressed as part of the family dynamics.

When studying Uruguayan mothers, Machín et al. found that among the reasons behind children's food choices, 'hedonics' was an important factor, as exemplified by this quote from their study: 'I buy what they like to eat because if I buy something they don't like to eat, they won't eat it' (39). In our research, the quote 'because it [soda, cookies and chips] is good, they eat, because kids like to eat it, they don't eat vegetables, they don't eat legumes, they don't eat nothing' showed that parents find it difficult dealing with their children's eating preferences. Parents thus seemed to believe that children need to eat, and as long as they eat something, they are happy.

Van Ansem et al. and Paes et al. showed that parents shaped their children's consumption of sugar-sweetened beverages (40,41). In our study, participants reported that their children will eat or drink those products because the father or another relative (such as a grandparent) buys them. Grandparents tend to indulge children, allowing them to eat whatever they want (42). In Brazil, lower-income households are more likely to have three or more generations living together, which increases the exposure of children to their grandparents' actions (43).

Finally, children were not just consumers; they were influencers and had an impact on their own and their parents' intake of ultra-processed foods, as seen in this quote: 'soda, cookies, chips, sandwiches ... Because of the children, we end up eating these things'. This phenomenon is known as pester power (42). Dallazen and Fiates conducted a study with parents of students (6–10 years old) from public and private institutions in Brazil and observed that the entire family ended up eating the ultra-processed foods requested by their children – even when these foods were not part of the family food traditions (44).

Convenience and the fact that ultra-processed foods are readily available were also important facilitators of their consumption. Convenience is one of the main characteristics of ultra-processed foods: they are convenient, do not require preparation, can be stored for a long time, and sometimes do not even require utensils (such as plates, knives, forks) to be eaten; thus, they can be consumed anywhere (29,31).

Some participants identified addiction as a facilitator of the consumption of ultra-processed foods. They reported to eat these foods because they were addicted to them. The term 'addiction to foods' is, however, contradictory (45). Schulte et al. used experimental findings to support their assertion that ultra-processed foods share some pharmacokinetic properties (such as concentrated dose and rapid rate of absorption) with drugs of abuse (46). Further understanding what individuals mean by 'addiction to food' would help explore such facilitator in more detail.

Regarding the relationship of cost and consumption of sugar-sweetened beverages, Claro et al. showed that an increase in the price of sugar-sweetened beverages would lead to a reduction in their consumption in Brazil (47). And Duran et al. found that sugar-sweetened beverages are cheaper and more likely to be available in low-income neighbourhoods in São Paulo (29).

The food industry designs and sells ultra-processed foods targeting some attributes that make them highly profitable (31). These attributes matched the facilitators reported by our sample. The fact that they are hyper-palatable and addiction-like was reflected in the sub-categories of taste and addiction. Their aggressive marketing might be related to children's preference. The sub-category convenience reflected their widespread availability and convenience. Their low cost of production might be mirrored in how accessible and affordable they are. All these categories interact with each other, and form a complex cycle that induces people to choose to eat ultra-processed foods.

The present study has a few limitations. First, it was restricted to thirteen districts in the city of São Paulo and therefore does not represent the entire city. However, the sampled districts represent the socio-economic diversity found in São Paulo and cover all geographic areas of the city. Second, we did not achieve the a priori sample size defined for the qualitative arm of the ESAO-SP study, despite all our efforts. Nevertheless, a diversity of perceptions was obtained, suggesting that our sample gave us enough power to cover the matter. Finally, the study was carried out in 2012 and more recent changes and influences of the food environment on dietary intake may not have been identified. However, it is not likely that substantial changes in the food environment occurred in São Paulo city in the past 5 years. São Paulo is already served by transnational corporations seeking to expand their sales of ultra-processed products in highly populated developing countries.

Finally, participants perceived their neighbourhoods as favourable to the consumption of ultra-processed foods and reported more facilitators than barriers to their consumption. The reported barriers point to the need for the promotion of healthy eating, which should include access to nutritional information and the preservation of traditional food patterns. The reported facilitators reinforce the idea that these foods are habit-forming and that regulatory measures are necessary, particularly because children influence what adults are buying and eating. Therefore, protecting the children from aggressive marketing strategies may also protect the health of the entire family.
To our knowledge, the present study is the first qualitative study concerning individuals’ perceptions of ultra-processed foods. Considering that eating behaviours are complex and multidimensional, qualitative studies help us understand how individuals interact with the environment for more effective public health measures to be enacted. Further qualitative studies could focus on the meanings given to ultra-processed foods by different age groups, which would contribute to the development of models to theorize the choice for ultra-processed foods.

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