Ergod. Th. & Dynam. Sys., (2023), **43**, 3131–3149 © The Author(s), 2022. Published by Cambridge 3131 University Press. This is an Open Access article, distributed under the terms of the Creative Commons Attribution licence (https://creativecommons.org/licenses/by/4.0), which permits unrestricted re-use, distribution and reproduction, provided the original article is properly cited. doi:10.1017/etds.2022.48

On images of subshifts under embeddings of symbolic varieties

XUAN KIEN PHUNG

Département d'informatique et de recherche opérationnelle, Université de Montréal, Montréal, Québec H3T 1J4, Canada (e-mail: phungxuankien1@gmail.com)

(Received 19 February 2022 and accepted in revised form 27 May 2022)

Abstract. We show that the image of a subshift X under various injective morphisms of symbolic algebraic varieties over monoid universes with algebraic variety alphabets is a subshift of finite type, respectively a sofic subshift, if and only if so is X. Similarly, let G be a countable monoid and let A, B be Artinian modules over a ring. We prove that for every closed subshift submodule $\Sigma \subset A^G$ and every injective G-equivariant uniformly continuous module homomorphism $\tau: \Sigma \to B^G$, a subshift $\Delta \subset \Sigma$ is of finite type, respectively sofic, if and only if so is the image $\tau(\Delta)$. Generalizations for admissible group cellular automata over admissible Artinian group structure alphabets are also obtained.

Key words: subshift, symbolic variety, embedding, cellular automata 2020 Mathematics Subject Classification: 37B10, 37B15, 68Q80 (Primary); 14A10, 14L10, 37B51 (Secondary)

1. Introduction

The rich literature on injective and surjective morphisms of symbolic varieties and cellular automata admits a long history and substantial developments which date back to the works of Moore [20] and Myhill [21] on the well-known Garden of Eden theorem whose later generalization obtained in [11] gives a dynamical characterization of amenable groups [2]. Over group universes, various injective endomorphisms of symbolic varieties are surjective and thus bijective as motivated by Gottschalk's surjunctivity conjecture [14] and the seminal paper of Gromov [15] (see also [3, 7, 8, 22, 24, 27]). Notable applications of the surjunctivity property, that is, injectivity \implies surjectivity, include the well-known Kaplansky's stable finiteness conjecture [17] on group rings (see [1, 3, 10, 13, 22, 25, 26]).

Over finite alphabets, bijective cellular automata over group universes are automorphisms (see e.g. [7, Theorem 1.3] for more general alphabets). However, when the universe



is merely a monoid, we know many examples of injective non-surjective cellular automata (see [6] whenever the monoid universe contains a bicyclic submonoid) which provide us with interesting strict embeddings of subshifts. Hence, we find that it is natural to investigate the relations between subshifts, notably *subshifts of finite type* and *sofic shifts*, and their images under such embeddings, which constitutes the main motivation of the paper.

To state the results, we recall basic notions of symbolic dynamics. Fix a monoid G, called the *universe*, and two sets A, B, the *alphabets*. The *Bernoulli shift action* of G on A^G , respectively on B^G , is defined by $(g, x) \mapsto g \star x$ where $(g \star x)(h) \coloneqq x(hg)$ for all $g \in G$ and $x \in A^G$, respectively $x \in B^G$. We say that a subset $\Sigma \subset A^G$ is a *subshift* of A^G if it is *G-invariant*. The sets A^G , B^G are equipped with the prodiscrete topology.

Associated with a given finite subset $D \subset G$ called a *defining window*, and a subset $P \subset A^D$, we have a *subshift of finite type* $\Sigma(A^G; D, P)$ of A^G which is closed with respect to the prodiscrete topology and is defined by

$$\Sigma(A^G; D, P) \coloneqq \{x \in A^G : (g \star x)|_D \in P \text{ for all } g \in G\}.$$
(1.1)

For sets $E \subset F$ and $\Delta \subset A^F$, we denote the *restriction* of Δ to E by $\Delta_E = \{x|_E : x \in \Delta\}$. Let $\Sigma \subset A^G$ be a subshift. Following the work of von Neumann [30], a map $\tau : \Sigma \to B^G$ is a cellular automaton if it admits a finite memory set $M \subset G$ and a local defining map $\mu : \Sigma_M \to B$ such that

$$(\tau(c))(g) = \mu((g \star c)|_M)$$
 for all $c \in \Sigma$ and $g \in G$.

Equivalently, by an extension of the Curtis–Hedlund–Lyndon theorem (cf. [6, Theorem 4.6], see also [4, Theorem 1.1], [5, Theorem 1.9.1]), a map $\tau: \Sigma \to B^G$ is a cellular automaton if and only if it is *G*-equivariant and uniformly continuous with respect to the prodiscrete uniform structure.

Now let G be a monoid and let X, Y be algebraic varieties over an algebraically closed field k, that is, reduced k-schemes of finite type [16]. We denote by A = X(k) and B = Y(k) the sets of k-points of X and Y. Note that we can identify X, Y with A, B respectively.

We say that a subshift $\Sigma \subset A^G$ is an *algebraic subshift* if for every finite subset $E \subset G$, the restriction Σ_E is a subvariety of A^E . For an algebraic subshift $\Sigma \subset A^G$, a map $\tau : \Sigma \to B^G$ is called an *algebraic cellular automaton* if there exists a finite memory subset $M \subset G$ and a local defining map which is a morphism of algebraic varieties $\mu : \Sigma_M \to B$ such that

$$\tau(x)(g) = \mu((g \star x)|_M)$$
 for all $x \in \Sigma$ and $g \in G$.

Images of subshifts of finite type under cellular automata are called *sofic subshifts* [31]. When a sofic subshift is not of finite type, we say that it is *strictly sofic*. See [18, 31] for various examples of strictly sofic subshifts.

The first main result of the paper is the following theorem which asserts that the image $\tau(\Delta)$ of a subshift Δ under an injective algebraic cellular automaton τ is a subshift of finite type if and only if so is Δ . In particular, the image of a subshift of finite type under an injective algebraic cellular automaton cannot be strictly sofic.

More precisely, we will show in §5 the following.

THEOREM A. Let G be a countable monoid and let X, Y be algebraic varieties over an uncountable algebraically closed field k. Let $\Sigma \subset X(k)^G$ be a closed algebraic subshift. Suppose that $\tau: \Sigma \to Y(k)^G$ is an injective algebraic cellular automaton. Then a subshift $\Delta \subset X(k)^G$ contained in Σ is of finite type, respectively sofic, if and only if so is the image subshift $\tau(\Delta) \subset Y(k)^G$.

Since the full shift is a subshift of finite type, we obtain as an immediate application of Theorem A as the following result.

COROLLARY A. Let G be a countable monoid and let X, Y be algebraic varieties over an uncountable algebraically closed field k. Let A = X(k) and B = Y(k). Suppose that $\tau: A^G \to B^G$ is an injective algebraic cellular automaton. Then $\tau(A^G)$ is a subshift of finite type of B^G .

Whenever X = Y are finite and when *G* is moreover a group, Corollary A implies [12, Theorem 2.2]. Note also that [12, Theorem 2.2] is an extension of a result going back to Klaus Schmidt but only published in [29, Theorem 2] where the alphabet is a finite set and the universe is the free abelian group \mathbb{Z}^d for some $d \ge 1$.

The second goal of the paper is to establish a similar result to Theorem A for injective admissible group cellular automata over countable monoid universes and admissible Artinian group structure alphabets (see §6). Admissible group cellular automata were defined in [23] and are of particular interest since they always satisfy the pseudo-orbit tracing property which is also known as the shadowing property (see [23, 28] for more properties).

We formulate in the following a particular application of the general Theorem 8.4.

THEOREM B. Let G be a countable monoid and let A, B be Artinian modules over a ring. Let $\Sigma \subset A^G$ be a closed subshift submodule, e.g. $\Sigma = A^G$. Suppose that $\tau : \Sigma \to B^G$ is an injective cellular automaton which is also a module homomorphism. Then for every subshift $\Delta \subset A^G$ contained in Σ , the subshift $\tau(\Delta) \subset B^G$ is of finite type, respectively sofic, if and only if so is Δ .

The paper is organized as follows. Section 2 provides some basic lemmata and results on the induced local maps and subshifts of finite type. Section 3 presents a useful criterion (Theorem 3.1) for a subshift to be of finite type that we will apply frequently in the proof of the main results. In §4, we establish the left reversibility of injective morphisms of symbolic varieties. Section 5 contains the proof of Theorem A. Basic definitions and properties of admissible Artinian group structures and admissible group cellular automata are collected in §6. Then we formulate and prove a left reversibility result (Theorem 7.2) for injective admissible group cellular automata in §7. We establish in §8 the second main result of the paper (Theorem 8.4) from which we deduce a proof of Theorem B given in §9. Finally, in §10, we give another application of Theorem 8.4 to obtain an improvement on Theorem A in the case of injective morphisms of symbolic group varieties (Theorem 10.1).

2. Preliminaries

The set of non-negative integers is denoted by \mathbb{N} . For subsets *E*, *F* of a monoid *G*, we denote their product by

$$EF \coloneqq \{xy : x \in E, y \in F\} \subset G.$$

2.1. *Subshifts of finite type*. We have the following elementary observation which allows us to perform the base change of defining windows for subshifts of finite type.

LEMMA 2.1. Let G be a monoid and let A be a set. Let $\Sigma = \Sigma(A^G; D, P)$ for some finite subset $D \subset G$ and some subset $P \subset A^D$. Then for every subset $E \subset G$ such that $D \subset E$, we have $\Sigma = \Sigma(A^G; E, \Sigma_E)$.

Proof. See [23, Lemma 2.1], [9, Lemma 5.1] for the case when G is a group. The proof is similar when G is a monoid. \Box

The following remark will be useful for the proof of our main results introduced in §1.

LEMMA 2.2. Let G be a monoid and let A be a set. Suppose that $\Delta \subset A^G$ is a subshift. Let $F \subset G$ and $\Lambda = \Sigma(A^G; F, \Delta_F)$. Then for every subset $E \subset F$, we have $\Lambda_E = \Delta_E$.

Proof. Observe first that $\Delta \subset \Lambda$ since every configuration $x \in \Delta$ satisfies trivially $(g \star x)|_F \subset \Delta_F$ for all $g \in G$ as $g \star x \in \Delta$. It follows that $\Delta_E \subset \Lambda_E$. However, since $E \subset F$ by hypothesis, we find that

$$\Lambda_E = (\Lambda_F)_E \quad (\text{since } E \subset F)$$

$$\subset (\Delta_F)_E \quad (\text{as } \Lambda = \Sigma(A^G; F, \Delta_F))$$

$$= \Delta_E \qquad (\text{since } E \subset F)$$

$$\subset \Lambda_E \qquad (\text{since } \Delta \subset \Lambda).$$

Consequently, we have $\Lambda_E = \Delta_E$ and the proof is complete.

2.2. *Induced local maps.* For the notation, let *G* be a monoid and let *A*, *B* be sets. Let $\Sigma \subset A^G$ be a subshift and let $\tau : \Sigma \to B^G$ be a cellular automaton. Fix a memory set *M* and the corresponding local defining map $\mu : \Sigma_M \to B$. For every finite subset $E \subset G$, we denote by $\tau_E^+ : \Sigma_{ME} \to B^E$ the induced local map of τ defined by setting $\tau_E^+(x)(g) = \mu((g \star y)|_M)$ for every $x \in \Sigma_{ME}$, $g \in E$, and $y \in \Sigma$ such that $y|_{ME} = x$. Equivalently, we can define

$$\tau_E^+(x|_{ME}) = \tau(x)|_E$$
 for all $x \in \Sigma$.

We have the following auxiliary lemma for the induced maps of algebraic cellular automata.

LEMMA 2.3. Let G be a monoid and let X, Y be algebraic varieties over an algebraically closed field k. Let A = X(k), B = Y(k), and let $\Sigma \subset A^G$ be an algebraic subshift. Fix a memory set $M \subset G$ of an algebraic cellular automaton $\tau : \Sigma \to B^G$. Then for every

finite subset $E \subset G$, the induced map $\tau_E^+ : \Sigma_{ME} \to B^E$ is a morphism of k-algebraic varieties.

Proof. The lemma follows directly from the universal property of fibered products. The morphism τ_E^+ is determined by the component morphisms $T_g: \Sigma_{ME} \to A^{\{g\}}, g \in E$, given by $T_g(x) = \mu((g \star x)|_M)$ for all $x \in \Sigma_{ME}$. It suffices to note that T_g is the composition of the morphism $\Sigma_{Mg} \to B^{\{g\}}$ induced by the morphism μ and the canonical projection $\Sigma_{ME} \to \Sigma_{Mg}$ which is clearly algebraic.

3. A criterion for subshifts to be of finite type

In this section, we formulate a general technical criterion (Theorem 3.1) for subshifts to be of finite type that will be useful for the proof of the main results of the paper.

Let us first introduce the context and notation. Given a monoid *G* and two sets *A*, *B*, let $\Sigma \subset A^G$ and $\Gamma \subset B^G$ be two subshifts. Suppose that $\tau : \Sigma \to B^G$ and $\sigma : \Gamma \to A^G$ are cellular automata with a common memory set $M \subset G$ such that $1_G \in M$.

THEOREM 3.1. With the above notation, suppose that $\Gamma = \tau(\Sigma)$ and $\sigma \circ \tau$ is the identity map on Σ . Assume in addition that $\Sigma = \Sigma(A^G; M, \Sigma_M)$. Then one has $\Gamma = \Sigma(B^G; M^2, \Gamma_{M^2})$. Thus, $\Gamma \subset B^G$ is a subshift of finite type.

Proof. Let us denote $\Lambda = \Sigma(B^G; M^2, \Gamma_{M^2})$. Then Λ is a subshift of finite type of B^G and it is clear that $\Gamma \subset \Lambda$.

Let $\mu_M : \Sigma_M \to B$ and $\eta_M : \Gamma_M \to A$ be respectively the local defining maps of τ and σ associated with the memory set M. Note that since $1_G \in M$, we have $M \subset M^2$. It follows that $\Lambda_M = \Gamma_M$ by Lemma 2.2.

Consequently, we obtain a well-defined cellular automaton $\pi : \Lambda \to A^G$ which admits $\eta_M : \Lambda_M \to A$ as the local defining map associated with the finite memory set $M \subset G$. Observe that $\pi|_{\Gamma} = \sigma$ since the cellular automata π and σ have the same local defining map and $\Gamma \subset \Lambda$.

We claim that $\pi(\Lambda) \subset \Sigma$. Indeed, let $y \in \Lambda$ and let $g \in G$. Since we have $\Lambda = \Sigma(B^G; M^2, \Gamma_{M^2})$ by definition, $(g \star y)|_{M^2} \in \Lambda_{M^2} = \Gamma_{M^2}$. Therefore, $(g \star y)|_{M^2} = x|_{M^2}$ for some configuration $x \in \Gamma$.

Since $\Gamma = \tau(\Sigma)$ by hypotheses, we can choose $z \in \Sigma$ such that $\tau(z) = x$. We note that

$$\pi(\tau(z)) = \sigma(\tau(z)) = z$$

since $z \in \Sigma$ and since $\pi \circ \tau = \sigma \circ \tau$ acts as the identity map on Σ . We can thus compute

$$(g \star \pi(y))|_{M} = \pi(g \star y)|_{M} = \pi_{M}^{+}((g \star y)|_{M^{2}})$$

= $\pi_{M}^{+}(x|_{M^{2}}) = \pi(x)|_{M}$
= $\pi(\tau(z))|_{M} = z|_{M}.$ (3.1)

Consequently, $(g \star \pi(y))|_M = z|_M \in \Sigma_M$ for all $g \in G$. Thus, it follows from the hypothesis $\Sigma = \Sigma(A^G; M, \Sigma_M)$ that $\pi(y) \in \Sigma$ for all $y \in \Lambda$. Therefore, $\pi(\Lambda) \subset \Sigma$ and the claim is proved.

Now let $y \in \Lambda$ and let $g \in G$. Since $(g \star y)|_{M^2} \in \Lambda_{M^2} = \Gamma_{M^2}$, we can find as above $x \in \Gamma$ and $z \in \Sigma$ such that $\tau(z) = x$ and $(g \star y)|_{M^2} = x|_{M^2}$. In particular, $(g \star y)(1_G) = x(1_G)$ as $1_G \in M^2$.

We have seen in (3.1) that $(g \star \pi(y))|_M = z|_M$. As $\pi(y) \in \Sigma$, it makes sense to write and consider $\tau(\pi(y))$ that we can compute as follows:

$$\tau(\pi(y))(g) = \mu_M((g \star \pi(y))|_M) = \mu_M(z|_M) = \tau(z)(1_G) = x(1_G) = (g \star y)(1_G) = y(g).$$

Hence, we have $y = \tau(\sigma(y))$ for all $y \in \Lambda$. It follows that $y \in \tau(\Sigma) = \Gamma$ and therefore $\Lambda \subset \Gamma$. However, $\Gamma \subset \Sigma(A^G; M^2, \Gamma_{M^2}) = \Lambda$ so we can conclude that

$$\Gamma = \Lambda = \Sigma(B^G; M^2, X_{M^2}).$$

In particular, Γ is a subshift of finite type of B^G . The proof is complete.

4. Left inverses of injective morphisms of symbolic varieties

In this section, we shall establish the following left reversibility result for injective algebraic cellular automata.

THEOREM 4.1. Let G be a countable monoid. Let X, Y be algebraic varieties over an uncountable algebraically closed field k and let A = X(k), B = Y(k). Let $\Sigma \subset A^G$ be a closed algebraic subshift and let $\Gamma = \tau(\Sigma)$. Suppose that $\tau: \Sigma \to B^G$ is an injective algebraic cellular automaton. Then there exists a finite subset $N \subset G$ such that for every finite subset $E \subset G$ containing N, there exists a map $\eta_E: \Gamma_E \to A$ with $\eta_E(\tau(x)|_E) =$ $x(1_G)$ for all $x \in \Sigma$.

We begin with the following technical lemma from which Theorem 4.1 will follow without difficulty.

LEMMA 4.2. Let the notation and hypotheses be as in Theorem 4.1. Then there exists $N \subset G$ finite and such that $\tau^{-1}(x)(1_G) \in A$ depends uniquely on the restriction $x|_N$ for every configuration $x \in \Gamma$.

Proof. Since $\tau : \Sigma \to B^G$ is an algebraic cellular automaton, it admits a local defining map $\mu : \Sigma_M \to B$ associated with a memory set $M \subset G$ such that $1_G \in M$ and such that μ is a *k*-morphism of algebraic varieties.

As G is countable, there exists an increasing sequence of finite subsets $(E_n)_{n \in \mathbb{N}}$ of G such that $G = \bigcup_{n \in \mathbb{N}} E_n$ and $M \subset E_0$.

For every $n \in \mathbb{N}$, we have a k-morphism $\tau_{E_n}^+: \Sigma_{ME_n} \to B^{E_n}$ of algebraic varieties defined in §2.2. Then $\tau_{E_n}^+$ induces a k-morphism of algebraic varieties:

$$\Phi_n \coloneqq \tau_{E_n}^+ \times \tau_{E_n}^+ \colon \Sigma_{ME_n} \times_k \Sigma_{ME_n} \to B^{E_n} \times_k B^{E_n}.$$

For every finite subset $E \subset G$, we denote respectively by Δ_E and Γ_E the diagonal of $\Sigma_E \times_k \Sigma_E$ and $B^E \times_k B^E$. Consider the canonical projections

 $\pi_n: \Sigma_{ME_n} \times_k \Sigma_{ME_n} \to \Sigma_{\{1_G\}} \times_k \Sigma_{\{1_G\}}$. Since π_n and Φ_n are clearly algebraic, we obtain a constructible subset of $\Sigma_{ME_n} \times_k \Sigma_{ME_n}$ given by

$$V_n \coloneqq \Phi_n^{-1}(\Gamma_{E_n}) \setminus \pi_n^{-1}(\Delta_{\{1_G\}}).$$

By construction, we note that the set of closed points of V_n consists of the couples (u, v) with $u, v \in \Sigma_{ME_n}$ such that $\tau_{E_n}^+(u) = \tau_{E_n}^+(v)$ and $u(1_G) \neq v(1_G)$.

For the proof, we proceed by supposing on the contrary that there does not exist a finite subset N which satisfies the conclusion of the lemma.

Therefore, the sets V_n are non-empty for all $n \in \mathbb{N}$ and we obtain a projective system $(V_n)_{n \in \mathbb{N}}$ of non-empty constructible subsets of the *k*-algebraic varieties $\Sigma_{ME_n} \times_k \Sigma_{ME_n}$ with transition maps $p_{m,n} : V_m \to V_n$, for $m \ge n \ge 0$, induced by the canonical projections $\Sigma_{ME_m} \times_k \Sigma_{ME_m} \to \Sigma_{ME_n} \times_k \Sigma_{ME_n}$.

Since the field *k* is uncountable and algebraically closed, [7, Lemma B.2] (see also [9, Lemma 3.2]) implies that $\lim_{n \to \infty} V_n \neq \emptyset$. However, since Σ is closed in the prodiscrete topology by hypothesis, we infer from [23, Lemma 2.5] that $\lim_{k \to \infty} \Sigma_{E_n M} = \Sigma$ and hence

$$\lim_{\stackrel{\leftarrow}{n}} V_n \subset \lim_{\stackrel{\leftarrow}{n}} (\Sigma_{E_n M} \times \Sigma_{E_n M}) = \Sigma \times \Sigma.$$

Consequently, by the construction of the sets V_n , we can find $x, y \in \Sigma$ such that $(x, y) \in \lim_{t \to n} V_n$, and thus $\tau(x) = \tau(y)$ and $x(1_G) \neq y(1_G)$. In particular, $x \neq y$ and as a result, the map τ is not injective, which is a contradiction. The proof is complete.

We are now in the position to give the proof of Theorem 4.1.

Proof of Theorem 4.1. Let $N \subset G$ be the finite subset given by Lemma 4.2. Then for every finite subset $E \subset G$ with $N \subset E$, we obtain a well-defined map:

$$\eta_E: \Gamma_E \to A, \quad x \mapsto \tau^{-1}(y)(1_G),$$

where $y \in \Gamma$ is an arbitrary configuration such that $y|_E = x|_E$ and $\tau^{-1}(y)$ denotes the unique element of Σ whose image is y. Note that since τ is injective, $\tau^{-1}(y)$ is well defined. Consequently, for $x \in \Sigma$ and $y = \tau(x)|_E$, we can write $\tau^{-1}(\tau(x)) = x$ and thus

$$\eta_E(\tau(x)|_E) = \eta_E(y) = \tau^{-1}(\tau(x))(1_G) = x(1_G).$$

Hence, the proof is complete.

5. Images of injective morphisms of symbolic varieties

We shall establish in this section the following finiteness result on the images of injective algebraic cellular automata which is the first main result of the paper.

THEOREM 5.1. Let G be a countable monoid and let X, Y be algebraic varieties over an uncountable algebraically closed field k. Let A = X(k), B = Y(k), and let $\Sigma \subset A^G$ be a closed algebraic subshift. Suppose that $\tau: \Sigma \to B^G$ is an injective algebraic cellular

automaton. Then for every subshift of finite type $\Delta \subset \Sigma$ of A^G , the image $\tau(\Delta) \subset B^G$ is a subshift of finite type.

Proof. As G is countable, there exists an increasing sequence of finite subsets $(E_n)_{n \in \mathbb{N}}$ of G such that $G = \bigcup_{n \in \mathbb{N}} E_n$ and $1_G \subset E_0$.

Let us fix an algebraic local defining map $\mu: \Sigma_M \to B$ of τ associated with a finite memory set $M \subset G$ such that $1_G \in M$. As Δ is a subshift of finite type, it admits a defining window $D \subset G$ so that $\Delta = \Sigma(A^G; D, \Delta_D)$ (see Definition 1.1). We denote also $\Gamma = \tau(\Sigma)$ and $\Pi = \tau(\Delta)$.

By Theorem 4.1, we can find a finite subset $N \subset G$ such that for every finite subset $E \subset G$ with $N \subset E$, there exists a map $\eta_E : \Gamma_E \to A$ such that for every $x \in \Sigma$, we have

$$\eta_E(\tau(x)|_E) = x(1_G).$$
(5.1)

Up to enlarging *M* and *N*, we can suppose without loss of generality that $D \subset M = N$. Hence, by Lemma 2.1, we can write

$$\Delta = \Sigma(A^G; M, \Delta_M). \tag{5.2}$$

We define $\Omega := \Sigma(B^G; M^2, \Pi_{M^2})$ then it is clear that $\Omega \subset \Pi$ is a subshift of finite type of B^G . In the following, we will show that $\Omega \subset \Pi$ and consequently $\tau(\Delta) = \Pi = \Omega$ will be a subshift of finite type.

Let us consider $\Lambda := \Sigma(B^G; M^2, \Gamma_{M^2}) \subset B^G$ and the cellular automaton $\sigma : \Lambda \to A^G$ which admits M as a memory set and $\eta_M : \Gamma_M \to A$ as the local defining map. Note that $\Gamma_M = \Lambda_M$ since $1_G \in M$ (cf. the proof of Theorem 3.1).

We claim that $\sigma \circ \tau = \text{Id}: \Sigma \to \Sigma$ is the identity map on Σ . Indeed, we infer from the *G*-equivariance of the cellular automata τ and σ and from the property (5.1) that for all $x \in \Sigma$ and $g \in G$, we have

$$\sigma(\tau(x))(g) = \eta_M((g \star \tau(x))|_M)$$

= $\eta_M(\tau(g \star x)|_M)$
= $(g \star x)(1_G)$
= $x(g).$ (5.3)

Since $g \in G$ is arbitrary, $\sigma(\tau(x)) = x$ and the claim is thus proved. In particular, since $\Delta \subset \Sigma$, the restriction $\sigma \circ \tau|_{\Delta} : \Delta \to A^G$ acts as the identity map on Δ .

Since $\Pi = \tau(\Delta)$ and $\Delta = \Sigma(A^G; M, \Delta_M)$ by definition, we infer from Theorem 3.1 applied to $\tau|_{\Delta} : \Delta \to B^G, \sigma|_{\Pi} : \Pi \to A^G$, and Δ that

$$\tau(\Delta) = \Pi = \Sigma(B^G; M^2, \Pi_{M^2}).$$

Therefore, the image $\tau(\Delta)$ is a subshift of finite type of B^G . The proof is complete. \Box

We prove below that the converse to Theorem 5.1 also holds. Moreover, we see that not only being a subshift of finite type but also soficity are preserved under injective morphisms of symbolic algebraic varieties. Theorem A in §1 is a consequence of the following result.

THEOREM 5.2. Let G be a countable monoid. Let X, Y be algebraic varieties over an uncountable algebraically closed field k. Suppose that $\tau: \Sigma \to Y(k)^G$ is an injective algebraic cellular automaton where $\Sigma \subset X(k)^G$ is a closed algebraic subshift. Then for every subshift $\Delta \subset X(k)^G$ such that $\Delta \subset \Sigma$, the following hold:

- (i) Δ is a subshift of finite type if and only if so is $\tau(\Delta)$;
- (ii) Δ is a sofic subshift if and only if so is $\tau(\Delta)$.

Proof. By Theorem 5.1, we know that if $\Delta \subset \Sigma$ is a subshift of finite type, then so is the image $\tau(\Delta)$. This proves one of the two implications of (i). Now suppose that $\Pi = \tau(\Delta)$ is a subshift of finite type. We denote A = X(k), B = Y(k), and $\Gamma = \tau(\Sigma)$. Then there exists a finite subset $F \subset G$ such that $\Pi = \Sigma(B^G; F, \Pi_F)$. Let $\mu_M : \Sigma_M \to A$ be the local defining map of τ associated with a memory set $M \subset G$ such that $1_G \in M$. Theorem 4.1 implies that there exists a finite subset $N \subset G$ such that for every finite subset $E \subset G$ containing N, we have a map $\eta_E : \Gamma_E \to A$ such that $\eta_E(\tau(x)|_E) = x(1_G)$ for every $x \in \Sigma$. By replacing M and N by $M \cup N \cup F$, we can suppose without loss of generality that $F \subset M = N$. We infer from Lemma 2.1 that

$$\Pi = \Sigma(A^G; M, \Pi_M). \tag{5.4}$$

Let us define $Z \coloneqq \Sigma(A^G; M^2, \Delta_{M^2}) \subset A^G$ then $\Delta \subset Z$. Moreover, we infer from Lemma 2.2 that $Z_M = \Sigma_M$ since $M \subset M^2$ as $1_G \in M$.

Therefore, the local defining map μ_M determines a cellular automaton $\pi : Z \to A^G$ whose restriction to Δ coincides with τ , that is, $\pi|_{\Delta} = \tau$.

Denote $\Lambda := \Sigma(A^G; M^2, \Gamma_{M^2}) \subset A^G$ and consider the cellular automaton $\sigma : \Lambda \to A^G$ admitting $\eta_M : \Gamma_M \to A$ as a local defining map (note that $\Gamma_M = \Lambda_M$ by Lemma 2.2 as $M \subset M^2$).

Since $\sigma \circ \tau$ is the identity map on Σ , as we have seen in the proof of (5.3) in Theorem 5.1, we have $\sigma(\Pi) = \sigma(\tau(\Delta)) = \Delta$. However, since $\tau(\Delta) = \Pi$ and $\Delta \subset \Sigma$, we deduce immediately that the restriction $\pi \circ \sigma|_{\Pi} = \tau \circ \sigma|_{\Pi}$ acts as the identity map on Π .

Hence, it follows from Theorem 3.1 applied to the subshift of finite type Π and the cellular automata $\sigma|_{\Pi}: \Pi \to A^G, \tau|_{\Delta}: \Delta \to A^G$ that

$$\Delta = Z = \Sigma(A^G; M^2, \Delta_{M^2}),$$

so Δ is a subshift of finite type. The point (i) is proved.

For (ii), assume that Δ is sofic so $\Delta = \gamma(W)$ for some subshift of finite type W and some cellular automaton γ . Since compositions of cellular automata are also cellular automata, we find that $\tau(\Delta) = \tau(\gamma(W))$ is a sofic subshift.

Conversely, suppose that $\tau(\Delta) \subset A^G$ is a sofic subshift. Then $\tau(\Delta)$ is the image of a subshift of finite type *W* under a cellular automaton γ . Since $\Delta \subset \Sigma$ and $\sigma \circ \tau = \mathrm{Id}_{\Sigma}$, it follows that $\Delta = \sigma(\tau(\Delta)) = \sigma(\gamma(W))$ is a sofic subshift. The proof is complete. \Box

6. Admissible group subshifts

In this section, we recall and formulate direct extensions to the case of monoid universes for the notion of admissible group subshifts introduced in [22] as well as their basic properties (see also [28]).

6.1. Admissible Artinian group structures

Definition 6.1. (cf. [22, 28]) Let A be a group. Suppose that for every $n \ge 1$, \mathcal{H}_n is a collection of subgroups of A^n with the following properties:

- (1) $\{1_A\}, A \in \mathcal{H}_1$, and $\Delta \in \mathcal{H}_2$ where $\Delta = \{(a, a) \in A^2 : a \in A\}$ is the diagonal subgroup of A^2 ;
- (2) for $m \ge n \ge 1$ and for the projection $\pi : A^m \to A^n$ induced by any injection $\{1, \ldots, n\} \to \{1, \ldots, m\}$, one has $\pi(H_m) \in \mathcal{H}_n$ and $\pi^{-1}(H_n) \in \mathcal{H}_m$ for every $H_m \in \mathcal{H}_m$ and $H_n \in \mathcal{H}_n$;
- (3) for each $n \ge 1$ and $H, K \in \mathcal{H}_n$, one has $H \cap K \in \mathcal{H}_n$;
- (4) for each $n \ge 1$, every descending sequence $(H_k)_{k\ge 0}$, where $H_k \in \mathcal{H}_n$ for every $k \ge 0$, eventually stabilizes.

Let $\mathcal{H} \coloneqq (\mathcal{H}_n)_{n \ge 1}$. We say that (A, \mathcal{H}) , or A if the context is clear, is an *admissible* Artinian group structure. For every $n \ge 1$, elements of \mathcal{H}_n are called *admissible subgroups* of A^n .

Note that in our definition, we require the extra condition $\Delta \in \mathcal{H}_2$ in comparison to [22, Definition 9.1].

If *E* is a finite set, then A^E admits an admissible Artinian structure induced by that of $A^{\{1,\ldots,|E|\}}$ via an arbitrary bijection $\{1,\ldots,|E|\} \rightarrow E$.

Example 6.2. (Cf. [22, Examples 9.5, 9.7]) An algebraic group V over an algebraically closed field, respectively a compact Lie group W, respectively an Artinian (left or right) module M over a ring R, admits a canonical admissible Artinian structure given by all algebraic subgroups of V^n , respectively by all closed subgroups of W^n , respectively by all R-submodules of M^n , for every $n \ge 1$.

Definition 6.3. (Cf. [22]) Let (A, \mathcal{H}) be an admissible Artinian group structure. Let $m, n \ge 0$ and let X, Y be respectively admissible subgroups of A^m and A^n . We say that a group homomorphism $\varphi : X \to Y$ is \mathcal{H} -admissible (or simply admissible) if the graph $\Gamma_{\varphi} := \{(x, \varphi(x)) : x \in X\} \subset X \times Y$ is an admissible subgroup of A^{m+n} .

In Definition 6.3, suppose that $\varphi : X \to Y$ is an admissible homomorphism. Then for all admissible subgroups $Z \subset X$ and $T \subset Y$, the groups $\varphi(Z)$, $\varphi^{-1}(T)$, and $Z \times T$ are admissible subgroups of A^n , A^m , and A^{m+n} respectively. The identity map Id : $A \to A$ is an admissible homomorphism and more generally, one has for every $n \ge 2$ that

$$\Delta^{(n)} = \{(a, \ldots, a) \in A^n : a \in A\} \in \mathcal{H}_n.$$

Suppose that $\psi: Y \to Z$ is an admissible homomorphism where Z is an \mathcal{H} -admissible subgroup, then $\psi \circ \varphi: X \to Z$ is also an admissible homomorphism. Note also that for $p \ge q \ge 0$, all the canonical projections $A^p \to A^q$ are admissible homomorphisms.

Example 6.4. With respect to the canonical admissible Artinian structures of algebraic groups, respectively of compact Lie groups, respectively of Artinian groups, and of *R*-modules respectively (see Example 6.2), one find that all homomorphisms of algebraic

groups, respectively of compact Lie groups, respectively of Artinian groups, and morphisms of *R*-modules are admissible homomorphisms.

The following auxiliary result says that the fibered products of admissible homomorphisms are also admissible homomorphisms.

LEMMA 6.5. Let A be an admissible Artinian group structure. Let $m, n \ge 1$ and let E be a finite set. Suppose that $\varphi_{\alpha} : A^m \to A^n$ is an admissible homomorphism for every $\alpha \in E$. Then the fibered product morphism $\varphi_E := (\varphi_{\alpha})_{\alpha \in E} : A^m \to (A^n)^E, \varphi_E(x) := (\varphi_{\alpha}(x))_{\alpha \in E}$ for all $x \in A^m$, is also an admissible homomorphism.

Proof. See [28, Lemma 5.7].

6.2. *Admissible group subshifts*. We recall the natural notion of admissible group subshifts introduced in [22]. However, we do not require the closedness property for subshifts in this paper.

Definition 6.6. Let G be a monoid and let A be an admissible Artinian group structure. A subshift $\Sigma \subset A^G$ is called an *admissible group subshift* if Σ_E is an admissible subgroup of A^E for every finite subset $E \subset G$.

The following example gives a natural class of admissible group subshifts.

Example 6.7. Let *G* be a monoid and let *A* be an Artinian module over a ring *R*. Note that A^G is an *R*-module with componentwise operations. Then every subshift $\Sigma \subset A^G$, which is also an *R*-submodule, is automatically an admissible group subshift of A^G with respect to the canonical admissible Artinian group structure on *A* (see Example 6.2).

6.3. *Admissible group cellular automata.* We extend the definition of admissible group cellular automata given [28, Definition 5.9] as follows.

Definition 6.8. Let G be a monoid and let A be an admissible Artinian group structure. Let $m, n \ge 1$ and let $\Sigma \subset (A^m)^G$, $\Lambda \subset (A^n)^G$ be admissible group subshifts. A map $\tau : \Sigma \to \Lambda$ is called an *admissible group cellular automaton* if τ admits a finite memory set $M \subset G$ and an associated local defining map $\mu : \Sigma_M \to A^n$ which is an admissible homomorphism such that

$$\tau(x)(g) = \mu((g \star x)|_M)$$
 for all $x \in \Sigma, g \in G$.

Observe that we no longer require admissible group cellular automata to extend to the full shift as in [28, Definition 5.9]. We have the following key technical result.

LEMMA 6.9. Let G be a monoid and let A be an admissible Artinian group structure. Let $E \subset G$ be a finite subset and let $m, n \ge 1$. Let $\Sigma \subset (A^m)^G$ be an admissible group subshift. Let $\tau : \Sigma \to (A^n)^G$ be an admissible group cellular automaton with a given memory set $M \subset G$. Then the induced map $\tau_E^+ : \Sigma_{ME} \to (A^n)^E$ defined by $\tau_E^+(c) := \tau(x)|_E$ for all $c \in \Sigma_{ME}$ and $x \in \Sigma$ such that $x|_{ME} = c$ is an admissible homomorphism.

Proof. Using Lemma 6.5, the proof of the lemma is similar to the proof of [22, Lemma 9.20] in the case of group universes.

The following theorem provides us with the methods to produce many admissible group subshifts.

THEOREM 6.10. Let G be a countable monoid and let A be an admissible Artinian group structure. Then the following hold for all m, n > 1.

- If $D \subset G$ is a finite subset and $P \subset A^D$ is an admissible subgroup, then (i) $\Sigma(A^G; D, P)$ is an admissible group subshift of A^G .
- If $\tau: (A^m)^G \to (A^n)^G$ is an admissible group cellular automaton and $\Sigma \subset (A^m)^G$, (ii) $\Lambda \subset (A^n)^G$ are admissible group subshifts, then $\tau(\Sigma)$, $\tau^{-1}(\Lambda)$ are respectively admissible group subshifts of $(A^n)^G$, $(A^m)^G$.

Proof. See [28, Theorem 5.11].

7. Left inverses of injective admissible group cellular automata

We begin with the following auxiliary technical result on the left reversibility of injective admissible cellular automata on closed admissible group subshifts.

LEMMA 7.1. Let G be a countable monoid. Let A be an admissible Artinian group structure and let $\Sigma \subset A^G$ be a closed admissible group subshift. Let $\tau: \Sigma \to A^G$ be an injective admissible group cellular automaton. Then there exists a finite subset $N \subset G$ such that

(P) for every $x \in \tau(A^G)$, the element $\tau^{-1}(x)(1_G) \in A$ depends uniquely on the restriction $x|_N$.

Proof. Let us choose a finite memory set $M \subset G$ of τ such that $1_G \in M$. Let $\mu: \Sigma_M \to A$ be the corresponding local defining map of τ .

Since G is countable, it admits an increasing sequence of finite subsets

$$M = E_0 \subset E_1 \subset \cdots \subset E_n \subset \cdots$$

such that $G = \bigcup_{n \in \mathbb{N}} E_n$, that is, $(E_n)_{n \in \mathbb{N}}$ forms an exhaustion of the monoid G. Let $\Gamma = \tau(A^G)$. Note that since $\tau : A^G \to A^G$ is an injective group homomorphism, $\tau^{-1}: \Gamma \to A^G$ is clearly a group homomorphism.

We proceed by assuming on the contrary that there does not exist a finite subset $N \subset G$ verifying the property (P). Consequently, there exist for every $n \ge 0$, two configurations $x_n, y_n \in \Gamma$ such that we have

$$x_n|_{E_n} = y_n|_{E_n}$$
 and $\tau^{-1}(x_n)(1_G) \neq \tau^{-1}(y_n)(1_G).$ (7.1)

We denote $z_n := x_n y_n^{-1} \in A^G$ for every $n \ge 0$. Let $e \in A$ be the neutral element. Then it follows that $z_n|_{E_n} = e^{E_n}$. Since τ^{-1} is a group homomorphism, we infer from (7.1) that $\tau^{-1}(z_n)(1_G) \neq e.$

Therefore, for $w_n \coloneqq \tau^{-1}(z_n)|_{E_nM} \in A^{E_nM}$, we find that

$$\tau_{E_n}^+(w_n) = z_n|_{E_n} = e^{E_n} \text{ and } w_n(1_G) \neq e,$$
 (7.2)

where $\tau_{E_n}^+: \Sigma_{E_nM} \to A^{E_n}$ is the admissible group homomorphism induced by the local defining map μ (see Lemma 6.9).

For every $n \in \mathbb{N}$, we have an admissible subgroup of $\Sigma_{E_n M}$ defined by

$$U_n \coloneqq \operatorname{Ker}(\tau_{E_n}^+) \subset \Sigma_{E_n M} \subset A^{E_n M}.$$

Note that $e^{E_n M}$, $w_n \in U_n$ for each $n \in \mathbb{N}$. Consider the canonical projection $\pi_{m,n}$: $A^{E_m M} \to A^{E_n M}$. Then it is clear that $\pi_{k,n}(U_k) \subset \pi_{m,n}(U_m)$ for all $k \ge m \ge n \ge 0$ since

$$\pi_{k,n}(U_k) = \pi_{m,n}(\pi_{k,m}(U_k)) \subset \pi_{m,n}(U_m)$$

Therefore, for every fixed $n \in \mathbb{N}$, we have a decreasing sequence of admissible subgroups $(\pi_{m,n}(U_m))_{m>n}$ of A^{E_nM} .

Since *A* is an admissible Artinian group structure, $(\pi_{nm}(U_m))_{m \ge n}$ must stabilize. It follows that we can choose the smallest $r(n) \in \mathbb{N}$ depending on *n* such that $r(n) \ge n$ and $\pi_{m,n}(U_m) = \pi_{r(n),n}(U_{r(n)})$ for all $m \ge r(n)$.

For every $n \in \mathbb{N}$, let us denote

$$W_n := \pi_{r(n),n}(U_{r(n)}) \subset U_n \subset \Sigma_{E_n M}.$$
(7.3)

Observe from our constructions that for all $m \ge n \ge 0$, the projection $\pi_{m,n} : A^{E_m M} \to A^{E_n M}$ induces by restriction a well-defined group homomorphism $p_{m,n} : W_m \to W_n$. Indeed, if $x \in W_m$, then note that $x \in \pi_{k,m}(U_k)$ for $k = \max(r(m), r(n))$. Hence, we find that

$$\pi_{m,n}(x) \in \pi_{m,n}(\pi_{k,m}(U_k)) = \pi_{k,n}(U_k) = W_n.$$

Claim. $p_{m,n}: W_m \to W_n$ is surjective for all $m \ge n \ge 0$.

Indeed, let us fix $m \ge n \ge 0$ and $x \in W_n$. Since $W_n = \tau_{k,n}(U_k)$ for $k = \max(r(m), r(n))$, there exists $y \in U_k$ such that $p_{k,n}(y) = x$. If we define $z = p_{k,m}(y) \in W_m$, then we find that

$$p_{m,n}(z) = p_{m,n}(p_{k,m}(y)) = p_{k,n}(y) = x.$$

Hence, $W_n \subset p_{m,n}(W_n)$ and the claim is proved.

We construct a sequence $(u_n)_{n \in \mathbb{N}}$ with $u_n \in W_n$ and $p_{n+1,n}(u_{n+1}) = u_n$ for all $n \in \mathbb{N}$ as follows. First, we define $u_0 \coloneqq \pi_{r(0),0}(w_{r(0)}) \in W_0$. We infer from (7.2) that $u_0(1_G) \neq e$.

Suppose that we have constructed $u_n \in W_n$ for some $n \in \mathbb{N}$. Since $p_{n+1,n}(W_{n+1}) = W_n$, we can find and fix $u_{n+1} \in W_{n+1}$ such that

$$\pi_{n+1,n}(u_{n+1}) = p_{n+1,n}(u_{n+1}) = u_n.$$

Hence, we obtain by induction the sequence $(u_n)_{n \in \mathbb{N}}$ with $u_n \in W_n$ and $u_{n+1}|_{E_nM} = u_n$ for all $n \in \mathbb{N}$. Therefore, we can define $u \in A^G$ by setting $u|_{E_nM} = u_n \in W_n$ for every $n \in \mathbb{N}$.

By construction, note that $u(1_G) = u_0(1_G) \neq e$. Moreover, since we have $W_n \subset \Sigma_{ME_n}$ (see (7.3)) and $G = \bigcup_{n \in \mathbb{N}} E_n = \bigcup_{n \in \mathbb{N}} ME_n$ as $1_G \in M$, we deduce that *u* belongs to the closure of Σ in A^G with respect to the prodiscrete topology. As Σ is closed in A^G , it follows that $u \in \Sigma$. However, we infer from the relation $W_n \subset U_n = \text{Ker}(\tau_{E_n}^+)$ that

$$\tau(u)|_{E_n} = \tau^+_{E_n}(u|_{ME_n}) = \tau^+_{E_n}(u_n) = e^{E_n}.$$

Therefore, $\tau(u) = e^G$ as $G = \bigcup_{n \in \mathbb{N}} ME_n$. However, $\tau(e^G) = e^G$ while $u \neq e^G$ since $u(1_G) \neq e$, so we obtain a contradiction to the injectivity of τ . This proves the existence of a finite subset $N \subset G$ satisfying (P). The proof is complete.

We can now prove the following main result of the section.

THEOREM 7.2. Let G be a countable monoid. Let A be an admissible Artinian group structure and let $\Sigma \subset A^G$ be a closed admissible group subshift. Let $\tau : \Sigma \to A^G$ be an injective admissible group cellular automaton. Let $\Gamma = \tau(\Sigma)$, then there exists a finite subset $N \subset G$ such that

(K) for every finite subset $E \subset G$ containing N, there exists a group homomorphism $\eta_E: \Gamma_E \to A$ such that for every $x \in \Sigma$:

$$x(1_G) = \eta_E(\tau(x)|_E).$$
 (7.4)

Proof. We infer from Lemma 7.1 that there exists a finite subset $N \subset G$ such that for every $y \in \Gamma$, the element $\tau^{-1}(y)(1_G) \in A$ depends only on the restriction $y|_N$. Consequently, we have the following well-defined map for every finite subset $E \subset G$ such that $N \subset E$:

$$\eta_E \colon \Gamma_E \to A, \quad y \mapsto \tau^{-1}(z)(1_G), \tag{7.5}$$

where $z \in \Gamma$ is any configuration extending $y \in \Gamma_E$. Now for $x \in \Sigma$, let $y = \tau(x)|_E$ then we deduce from (7.5) that

$$\eta_E(\tau(x)|_E) = \eta_E(y) = \tau^{-1}(\tau(x))(1_G) = x(1_G).$$

Hence, η_E satisfies the relation (7.4). Observe that η is clearly a group homomorphism since τ is an injective group homomorphism. The proof is complete.

8. Images of injective admissible group cellular automata

The first goal of the present section is to give a proof of the following result which says that the image of every subshift of finite type under an injective admissible group cellular automata must be a subshift of finite type.

THEOREM 8.1. Let G be a countable monoid. Let A be an admissible Artinian group structure and let $\Sigma \subset A^G$ be a closed admissible group subshift. Let $\tau : \Sigma \to A^G$ be an injective admissible group cellular automaton. Suppose that $\Delta \subset A^G$ is a subshift of finite type such that $\Delta \subset \Sigma$. Then $\tau(\Delta)$ is a subshift of finite type.

Proof. Let us denote $\Gamma = \tau(\Sigma)$ and $X = \tau(\Delta)$. Let $\mu : \Sigma_M \to A$ be a local defining map of τ associated with a finite memory set $M \subset G$ such that $1_G \in M$. Let $D \subset G$ be a defining window of Δ so that $\Delta = \Sigma(A^G; D, \Delta_D)$.

By Theorem 7.2, we can find a finite subset $N \subset G$ such that for every finite subset $E \subset G$ containing N, we have a group homomorphism $\eta_E : \Gamma_E \to A$ such that

for every $x \in \Sigma$,

$$x(1_G) = \eta_E(\tau(x)|_E).$$
(8.1)

Therefore, together with Lemma 6.9, we can replace without loss of generality M and N by $(M \cup N)D$. In particular, $D \subset M = N$ since $1_G \in M$ so that we have $\Delta = \Sigma(A^G; M, \Delta_M)$ by Lemma 2.1.

Let us denote $\Lambda := \Sigma(A^G; M^2, \Gamma_{M^2})$ and $Y = \Sigma(A^G; M^2, X_{M^2})$. Consider the cellular automaton $\sigma : \Lambda \to A^G$ admitting $\eta_M : \Gamma_M \to A$ as a local defining map (note that $\Gamma_M = \Lambda_M$ as $M \subset M^2$). We deduce from the relation (8.1) and the *G*-equivariance of τ and σ that for every $x \in \Sigma$ and every $g \in G$, we have

$$\sigma(\tau(x))(g) = \eta_M((g \star \tau(x))|_M)$$
$$= \eta_M(\tau(g \star x)|_M)$$
$$= (g \star x)(1_G)$$
$$= x(g).$$

Consequently, $\sigma \circ \tau : \Sigma \to \Sigma$ is the identity map of Σ . In particular, the restriction $\sigma \circ \tau|_{\Delta}$ is the identity map of Δ . We can then conclude from Theorem 3.1 that

$$X = Y = \Sigma(A^G; M^2, X_{M^2})$$

is a subshift of finite type of A^G . The proof is complete.

As an immediate application of Theorem 8.1, we obtain the following corollary.

COROLLARY 8.2. Let G be a countable monoid and let A be an admissible Artinian group structure. Let $\Sigma \subset A^G$ be an admissible group subshift of finite type. Then for every injective admissible group cellular automaton $\tau : \Sigma \to A^G$, the image $\tau(\Sigma)$ is an admissible group subshift of finite type.

Proof. It follows from Theorem 8.1 that $\tau(\Sigma)$ is a subshift of finite type. Since Σ is an admissible group subshift, we infer from Theorem 6.10 that $\tau(\Sigma)$ is an admissible group subshift of A^G . The conclusion follows.

It turns out that the converse of Theorem 8.1 also holds as follows. The proof is similar to that of Theorem 5.2.

THEOREM 8.3. Let G be a countable monoid. Let A be an admissible Artinian group structure and let $\Sigma \subset A^G$ be a closed admissible group subshift. Let $\tau : \Sigma \to A^G$ be an injective admissible group cellular automaton. Suppose that $\Delta \subset A^G$ is a subshift such that $\Delta \subset \Sigma$ and $\tau(\Delta)$ is a subshift of finite type. Then Δ is also a subshift of finite type.

Proof. We will proceed with several similar constructions and notation as in Theorem 8.1. Hence, we denote $\Gamma = \tau(\Sigma)$ and $X = \tau(\Delta)$ and note that $X \subset \Gamma$ since $\Delta \subset \Sigma$ by hypothesis.

Since *X* is a subshift of finite type by hypothesis, we can choose a finite defining window $D \subset G$ of *X* so that $X = \Sigma(A^G; D, X_D)$. Let $\mu_M : \Sigma_M \to A$ be a local defining map of τ associated with a finite memory set $M \subset G$ such that $1_G \in M$.

By Theorem 7.2, we can find a finite subset $N \subset G$ such that for every finite subset $E \subset G$ containing N, we have a group homomorphism $\eta_E : \Gamma_E \to A$ such that for every $x \in \Sigma$, we have $x(1_G) = \eta_E(\tau(x)|_E)$.

By replacing *M* and *N* by $(M \cup N)D$, we can suppose without loss of generality that $D \subset M = N$. Hence, by Lemma 2.1, we can write

$$X = \Sigma(A^G; M, X_M). \tag{8.2}$$

Let us define $Z := \Sigma(A^G; M^2, \Delta_{M^2}) \subset A^G$ then $\Delta \subset Z$. Moreover, we infer from Lemma 2.2 that $Z_M = \Sigma_M$ since $M \subset M^2$ as $1_G \in M$.

Therefore, the local defining map μ_M determines a cellular automaton $\pi : Z \to A^G$ whose restriction to Δ coincides with τ , that is, $\pi|_{\Delta} = \tau$.

Denote $\Lambda := \Sigma(A^G; M^2, \Gamma_{M^2}) \subset A^G$ and consider the cellular automaton $\sigma : \Lambda \to A^G$ admitting $\eta_M : \Gamma_M \to A$ as a local defining map (note that $\Gamma_M = \Lambda_M$ by Lemma 2.2 as $M \subset M^2$).

Since $\sigma \circ \tau$ is the identity map on Σ , as we have seen in the proof of Theorem 8.1, we have $\sigma(X) = \sigma(\tau(\Delta)) = \Delta$. However, since $\tau(\Delta) = X$ and $\Delta \subset \Sigma$, we deduce immediately that the restriction $\pi \circ \sigma|_X = \tau \circ \sigma|_X$ acts as the identity map on *X*.

Hence, it follows from Theorem 3.1 applied to the subshift of finite type X and the cellular automata $\sigma|_X : X \to A^G, \tau|_{\Delta} : \Delta \to A^G$ that

$$\Delta = Z = \Sigma(A^G; M^2, \Delta_{M^2}),$$

so Δ is a subshift of finite type. The proof is complete.

In parallel to Theorem 5.2, we can now establish the following general result from which we deduce easily Theorem B in $\S1$.

THEOREM 8.4. Let G be a countable monoid. Let A be an admissible Artinian group structure and let $\Sigma \subset A^G$ be a closed admissible group subshift. Let $\Delta \subset A^G$ be a subshift such that $\Delta \subset \Sigma$. Suppose that $\tau : \Sigma \to A^G$ is an injective admissible group cellular automaton. Then the following hold:

- (i) Δ is a subshift of finite type if and only if so is $\tau(\Delta)$;
- (ii) Δ is a sofic subshift if and only if so is $\tau(\Delta)$.

Proof. The point (i) results directly from the combination of Theorems 8.1 and 8.3. For (ii), suppose first that Δ is a sofic subshift. Then Δ is the image of a subshift of finite type X under a cellular automaton π . Since the composition of two cellular automata is also a cellular automaton, it follows that $\tau(\Delta) = \tau(\pi(X))$ is also a sofic subshift.

Conversely, suppose that $\tau(\Delta) \subset A^G$ is a sofic subshift. Hence, $\tau(\Delta)$ is the image of a subshift of finite type *Y* under a cellular automaton π . Let $\Gamma = \tau(\Sigma) \subset A^G$ then, as in the proof of Theorem 8.1, there exists a cellular automaton $\sigma: \Gamma \to A^G$ such that $\sigma(\Gamma) = \Sigma$

and that $\sigma \circ \tau$ is the identity map of Σ . Since $\Delta \subset \Sigma$, it is clear that

$$\Delta = \sigma(\tau(\Delta)) = \sigma(\pi(Y))$$

and we can again conclude that Δ is a sofic subshift. The proof is complete.

3147

9. Proof of Theorem B

We can now deduce Theorem B from Theorem 8.4 using a general reduction step to the case of one alphabet as follows.

Proof of Theorem B. Let $M \subset G$ be a finite memory set of τ such that $1_G \in M$ and let $\mu: \Sigma_M \to B$ be the corresponding local defining map which is also a module homomorphism.

Let $\Delta \subset A^G$ be a subshift contained in Σ . Since *A* and *B* are Artinian modules over a ring that we denote by *R*, the direct sum $S = A \oplus B$ is also an Artinian *R*-module.

For every subset $E \subset G$, we denote by $\pi_E : S^E \to A^E$ the canonical projection. Let us consider the following subshifts $\Delta(S) \subset \Sigma(S)$ of S^G :

$$\Delta(S) \coloneqq \pi_G^{-1}(\Delta), \quad \Sigma(S) \coloneqq \pi_G^{-1}(\Sigma).$$

It is clear that $\Sigma(S)$ is also a closed subshift submodule of S^G since Σ is a closed subshift submodule of A^G . Moreover, we can verify without difficulty that Δ is a subshift of finite type, respectively a sofic subshift, if and only if so is the subshift $\Delta(S)$. Note also that $\Sigma(S)_M = \pi_M^{-1}(\Sigma_M)$.

We now define an *R*-module morphism $\mu_S \colon \Sigma(S)_M \to S$ as follows. Let $s \in \Sigma(S)_M \subset S^M$, we define $x \in A^M$ and $y \in B^M$ by the direct sum decomposition $s(g) = (x(g), y(g)) \in A \oplus B$ for all $g \in M$. Then we simply set $\mu_S(s) := (\mu(x), y(1_G))$. Using this formula, it is not hard to check that μ_S is a morphism of *R*-modules.

We denote by $\tau_S: \Sigma(S) \to S^G$ the cellular automaton which admits μ_S as a local defining map. Then τ is a homomorphism of *R*-modules and we deduce immediately from the construction that for $s = (x, y) \in \Sigma(S)$ where $x \in \Sigma$ and $y \in B^G$, we have the following relation:

$$\tau_{\mathcal{S}}(s) = (\tau(x), y). \tag{9.1}$$

Consequently, $\tau_S(\Delta(S)) = \pi_G^{-1}(\tau(\Delta))$ and it follows that $\tau(\Delta)$ is a subshift of finite type, respectively a sofic subshift, if and only if so is $\tau_S(\Delta(S))$.

Since τ is injective, we infer from (9.1) that τ_S is also injective. Therefore, with respect to the canonical admissible Artinian group structure of *S* as an Artinian module, Theorem 8.4 implies that the subshift $\tau_S(\Delta(S)) \subset S^G$ is of finite type, respectively sofic, if and only if so is the subshift $\Delta(S) \subset S^G$. Hence, the above discussions show that $\tau(\Delta) \subset A^G$ is a subshift of finite type, respectively sofic, if and only if so is Δ . The proof is complete. \Box

10. Application on embeddings of symbolic group varieties

Given a monoid *G* and algebraic groups *X*, *Y* over an algebraically closed field *k* (cf. [19]), let A = X(k) and B = Y(k). Then following [23], a subshift $\Sigma \subset A^G$ is called a closed algebraic group subshift if it is closed and for every finite subset $E \subset G$, the restriction

 Σ_E is an algebraic subgroup of A^E . Given a closed algebraic group subshift $\Sigma \subset A^G$, a cellular automaton $\tau : \Sigma \to B^G$ is called an *algebraic group cellular automaton* if it admits a local defining map $\mu : \Sigma_M \to B$ for some finite memory $M \subset G$ such that μ is a *k*-homomorphism of algebraic groups.

As an another direct application of Theorem 8.4, we obtain the following extension of Theorem A in the case of algebraic group alphabets over any algebraically closed field (not necessarily uncountable).

THEOREM 10.1. Let G be a countable monoid and let X, Y be algebraic groups over an algebraically closed field k. Let $\Sigma \subset X(k)^G$ be a closed algebraic group subshift. Suppose that $\tau: \Sigma \to Y(k)^G$ is an injective algebraic group cellular automaton. Then for every subshift $\Delta \subset X(k)^G$ such that $\Delta \subset \Sigma$, the subshift $\tau(\Delta) \subset Y(k)^G$ is of finite type, respectively a sofic subshift, if and only if so is Δ .

Proof. It suffices to apply Theorem 8.4 after a reduction procedure described in the proof of Theorem B to reduce to the case when X = Y. We only remark here that instead of taking the direct sum S of the two module alphabets, as in the proof of Theorem B, we simply consider the fibered product $S = X \times_k Y$ which is an algebraic group over k.

Note that by Remark 6.4, we find that τ is an admissible group cellular automata with respect to the admissible Artinian group structures associated with algebraic groups described in Example 6.2. Likewise, Σ is a closed admissible group subshift of $X(k)^G$. The proof is complete.

Acknowledgment. We would like to express our deep gratitude to the anonymous reviewer for the careful reading of our manuscript and for numerous insightful comments and suggestions.

REFERENCES

- P. Ara, K. C. O'Meara and F. Perera. Stable finiteness of group rings in arbitrary characteristic. *Adv. Math.* 170 (2002), 224–238.
- [2] L. Bartholdi. Amenability of groups is characterized by Myhill's theorem. J. Eur. Math. Soc. (JEMS). 21(10) (2019), 3191–3197; With an appendix by D. Kielak.
- [3] T. Ceccherini-Silberstein and M. Coornaert. Injective linear cellular automata and sofic groups. Israel J. Math. 161 (2007), 1–15.
- [4] T. Ceccherini-Silberstein and M. Coornaert. A generalization of the Curtis–Hedlund theorem. *Theoret. Comput. Sci.* **400** (2008), pp. 225–229.
- [5] T. Ceccherini-Silberstein and M. Coornaert. *Cellular Automata and Groups (Springer Monographs in Mathematics)*. Springer-Verlag, Berlin, 2010.
- [6] T. Ceccherini-Silberstein and M. Coornaert. On surjunctive monoids. Internat. J. Algebra Comput. 25 (2015), 567–606.
- [7] T. Ceccherini-Silberstein, M. Coornaert and X. K. Phung. On injective endomorphisms of symbolic schemes. *Comm. Algebra* 47(11) (2019), 4824–4852.
- [8] T. Ceccherini-Silberstein, M. Coornaert and X. K. Phung. On the Garden of Eden theorem for endomorphisms of symbolic algebraic varieties. *Pacific J. Math.* 306(1) (2020), 31–66.
- [9] T. Ceccherini-Silberstein, M. Coornaert and X. K. Phung. Invariant sets and nilpotency of endomorphisms of algebraic sofic shifts. *Preprint*, 2022, arXiv:2010.01967.
- [10] T. Ceccherini-Silberstein, M. Coornaert and X. K. Phung. On linear shifts of finite type and their endomorphisms. J. Pure Appl. Algebra 226(6) (2022), 106962.

- [11] T. Ceccherini-Silberstein, A. Machì and F. Scarabotti. Amenable groups and cellular automata. *Ann. Inst. Fourier (Grenoble)* **49** (1999), 673–685.
- [12] M. Doucha and J. Gismatullin. On Dual surjunctivity and applications. *Groups Geom. Dyn.*, to appear, arXiv:2008.10565.
- [13] G. Elek and A. Szabó. Sofic groups and direct finiteness. J. Algebra 280 (2004), 426-434.
- [14] W. H. Gottschalk. Some general dynamical notions. Recent Advances in Topological Dynamics (Lecture Notes in Mathematics, 318). Ed. A. Beck. Springer, Berlin, 1973, pp. 120–125.
- [15] M. Gromov. Endomorphisms of symbolic algebraic varieties. J. Eur. Math. Soc. (JEMS) 1 (1999), 109–197.
- [16] A. Grothendieck. Éléments de géométrie algébrique. I. Le langage des schémas. Publ. Math. Inst. Hautes Études Sci. 4 (1960), 5–228.
- [17] I. Kaplansky. Fields and Rings (Chicago Lectures in Mathematics), 2nd edn. University of Chicago Press, Chicago, 1969.
- [18] D. Lind and B. Marcus. An Introduction to Symbolic Dynamics and Coding. Cambridge University Press, Cambridge, 1995.
- [19] J. S. Milne. Algebraic Groups: The Theory of Group Schemes of Finite Type Over a Field (Cambridge Studies in Advanced Mathematics, 170). Cambridge University Press, Cambridge, 2017.
- [20] E. F. Moore. Machine Models of Self-Reproduction (Proceedings of Symposia in Applied Mathematics, 14). American Mathematical Society, Providence, 1963, pp. 17–34.
- [21] J. Myhill. The converse of Moore's Garden-of-Eden theorem. Proc. Amer. Math. Soc. 14 (1963), 685–686.
- [22] X. K. Phung. On sofic groups, Kaplansky's conjectures, and endomorphisms of pro-algebraic groups. J. Algebra 562 (2020), 537–586.
- [23] X. K. Phung. On dynamical finiteness properties of algebraic group shifts. Israel J. Math., to appear, arXiv:2010.04035.
- [24] X. K. Phung. On symbolic group varieties and dual surjunctivity. Groups Geom. Dyn., to appear, arXiv:2111.02588.
- [25] X. K. Phung. A geometric generalization of Kaplansky's direct finiteness conjecture. *Preprint*, 2021, arXiv:2111.07930.
- [26] X. K. Phung. Weakly surjunctive groups and symbolic group varieties. Preprint, 2021, arXiv:2111.13607.
- [27] X. K. Phung. LEF-groups and endomorphisms of symbolic varieties. *Preprint*, 2021, arXiv:2112.00603.
- [28] X. K. Phung. Shadowing for families of endomorphisms of generalized group shifts. *Discrete Contin. Dyn. Syst.* 42(1) 2022, 285–299.
- [29] C. Radin and L. Sadun. Isomorphism of hierarchical structures. Ergod. Th. & Dynam. Sys. 21 (2001), 1239–1248.
- [30] J. von Neumann. The general and logical theory of automata. *Cerebral Mechanisms in Behavior. The Hixon Symposium.* Ed. L. A. Jeffress. John Wiley & Sons Inc., New York, NY, 1951, pp. 1–31; discussion, pp. 32–41.
- [31] B. Weiss. Subshifts of finite type and sofic systems. Monatsh. Math. 77(5) (1973), 462–474.