

# SEMIGROUPS ACTING ON CONTINUA

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A *semigroup* is a nonvoid Hausdorff space together with a continuous associative multiplication. (The latter phrase will generally be abbreviated to CAM and the multiplication in a semigroup will be denoted by juxtaposition unless the contrary is made explicit.)

Any Hausdorff space may be supplied with a CAM, and, for example, one may define  $xy = x$  for all  $x$  and  $y$ . The addition of algebraic conditions may change the situation greatly and a circle together with a diameter does not admit a CAM with unit. It was shown in [W 1] (see [KW 1] for another example) that the space consisting of the curve  $y = \sin(1/x)$ ,  $0 < x \leq 1$ , together with its limit continuum, does not admit a CAM with unit. (This result follows readily from a result of Robert Hunter's [H].)

An *act* is such a continuous function

$$T \times X \rightarrow X$$

that  $T$  is a semigroup and  $X$  is a nonvoid Hausdorff space and, denoting the value of the anonymous function at the place  $(t, x)$  by  $tx$ , the associativity condition

$$t_1(t_2x) = (t_1t_2)x$$

holds for all  $t_1, t_2 \in T$  and all  $x \in X$ . We shall refer to this situation as an action of  $T$  on  $X$  and say that  $T$  *acts* on  $X$ , or use similar terminology.

Again, any semigroup may act upon any space, for example one may put  $tx = x$  for all  $t \in T$  and all  $x \in X$ . Moreover, the situation in which  $T$  is a *group* is so well known as not to require explication. However, when  $T$  is merely a semigroup, very little is known without additional conditions on  $T$  and  $X$  of an algebraic and metric nature, and it is our intention here to inaugurate such an investigation, of a modest character.

Put in its simplest form, we shall give conditions under which a compact connected semigroup may not act upon the sinuscurve described in an earlier paragraph. In more detail, suppose that the space  $X$  contains an open dense half-line whose complement is a set  $C$ , that there is some  $q \in X$  such that  $Tq = X$ , that  $T$  *acts unitarily* on  $X$  ( $x \in Tx$  for each  $x \in X$ ), and that a

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certain natural hypothesis is made to exclude trivial action of the sort just indicated — then  $C$  is (topologically) homogeneous.

It may be remarked that, from a paper by J. Aczel and A. D. Wallace (to appear), it can be concluded that  $X$  must indeed have the structure of a semigroup provided that  $T$  is commutative. It is also proved there that if  $T$  and  $X$  are compact,  $T$  acts unitarily, and  $\{Tx \mid x \in X\}$  is a tower, then there exists  $q \in X$  with  $Tq = X$ .

For material concerning *discrete* semigroups reference may be made to the books of Clifford-Preston [CP] and Ljapin [L] and for the general case to the excellent expository dissertation of Paalman-de Miranda [P-de M] and the forthcoming research monograph of Mostert-Hofmann.

Insofar as topology is concerned, we assume familiarity with much standard material and refer to Hocking-Young [HY], Hu [Hu], Kelley [K] and Wilder [Wi]. It does not follow that we adhere to the language and notation of any of these, but generally we note any departure from the customary rubric. In particular, we prefer  $A^*$ ,  $A^0$ , and  $F(A) = A^* \setminus A^0$  for the closure, interior and boundary of the set  $A$ . Where there may be confusion of meaning, topological usage will take precedence of algebraic usage. Thus to say a set is *closed*, is to mean that it is closed in the topology and *not* that it is a subsemigroup.

“Space” will include the quantifier “Hausdorff”. A *continuum* is a compact connected space and a *bing* (Middle English, Old Norse— heap, pile) is a compact connected semigroup.

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Henceforth it will be supposed that  $T \times X \rightarrow X$  is an *act*, as defined earlier. For  $A$  contained in  $X$  and  $M$  contained in  $T$  we write

$$MA = \{tx \mid t \in M \text{ and } x \in A\},$$

$$M^{(-1)}A = \{x \mid Mx \cap A \neq \square\}$$

and

$$M^{[-1]}A = \{x \mid Mx \subset A\}.$$

It will be observed that no differentiation is made between  $x$  and  $\{x\}$  if it is not convenient to do so, and does not readily lead to confusion. In this vein we write  $A \setminus x$  rather than  $A \setminus \{x\}$ , and so on. Also, inclusive quantifiers will be omitted if there is likely to be no misunderstanding.

It may be observed that

(1.1) 
$$M^{[-1]}A = X \setminus M^{(-1)}(X \setminus A).$$

Proof of the following have been given in [W 1] and [W 6] in various

forms and in varying degrees of generality and we content ourselves with a brief sketch.

- (1.2) (i) If  $M$  is compact and if  $A$  is closed then  $M^{(-1)} A$  is closed.
- (ii) If  $A$  is open then  $M^{(-1)} A$  is open.
- (iii) If  $M$  is compact and if  $A$  is open then  $M^{[-1]} A$  is open.
- (iv) If  $A$  is closed then  $M^{[-1]} A$  is closed.
- (v) If  $M$  is compact then  $\{x \mid A \subset Mx\} = \cap \{M^{(-1)} a \mid a \in A\}$  is closed and hence if  $A$  is also closed then  $\{x \mid Mx = A\}$  is closed.

For the proof of (i) it may be observed that

$$M^{(-1)}A = q((M \times X) \cap \alpha^{-1}(A))$$

where  $\alpha$  is the (continuous) action-map,  $\alpha(t, x) = tx$ , and  $q$  is the projection of  $T \times X$  onto  $X$ . From this, (iii) follows via (1.1). The others are similar.

The set  $A \subset X$  is an  $M$ -ideal if  $A$  is non-void and if  $MA \subset A$ . If  $T$  and  $X$  are compact and if  $X$  properly contains a  $T$ -ideal then it is known (e.g., [KW 1] and [W 1]) that there is a maximal proper ideal and that each such is open.

We make repeated use of the fact that

$$M^*A^* \subset (MA)^*$$

(which follows immediately from the continuity of the action) and, in particular,

$$TA^* \subset (TA)^*.$$

If  $t$  is an element of a semigroup then

$$I(t) = \{t, t^2, t^3, \dots\}^*$$

and for useful properties reference is made to [P-de M], in particular, p. 22 *et seq.* (These results are due mainly to Hewitt, Koch and Numakura, *loc. cit.*)

An illustrative and basic example of an act is given as follows. Suppose that  $X$  is locally compact Hausdorff and that  $M(X)$  is the set of all continuous functions taking  $X$  into  $X$ , so that  $M(X)$  is a semigroup under composition, using the compact-open topology. Then  $M(X)$  acts on  $X$  by evaluation,  $(f, x) \rightarrow f(x)$ . As a matter of orientation (and we do not use this fact) it may be observed that, recalling that  $T$  acts on  $X$ , the function

$$\Theta : T \rightarrow M(X)$$

defined by

$$\Theta(t)(x) = tx$$

is a continuous homomorphism which will be an *isomorphism* (homeomorphic isomorphism) into provided that  $T$  is compact and that  $T$  is effective, which is to say that if  $t \neq t'$ , then  $tx \neq t'x$  for some  $x \in X$ .

Additional insight into acts may be given. A *left congruence* on a semi-group  $S$  is such an equivalence  $\mathcal{C} \subset S \times S$  that  $\Delta \subset \mathcal{C} \subset \Delta$ ,  $\Delta$  being the diagonal. If  $g$  is the natural map from  $S$  to  $S/\mathcal{C}$  then,  $S$  being compact and  $\mathcal{C}$  closed, there is a unique manner in which  $S$  may act upon  $S/\mathcal{C}$  such that  $sg(s') = g(ss')$ . Thus any compact semigroup acts in a canonical manner upon any of its left quotients.

Now conversely, assume that  $S$  acts on  $X$  (both being compact) and suppose that  $Sq = X$  for some  $q \in X$ . If  $\mathcal{C}$  is defined as the set of all  $(s, s')$  such that  $sq = s'q$  then  $\mathcal{C}$  is a closed left congruence and there is a homeomorphism of  $X$  upon  $S/\mathcal{C}$ , and the canonical action of  $S$  on  $S/\mathcal{C}$  mimics in all essential respects the original action of  $S$  on  $X$ .

A *congruence* on a semigroup  $S$  is a subset of  $S \times S$  which is simultaneously an *equivalence* (reflexive, symmetric and transitive) and a *sub-semigroup* of  $S \times S$ , using coordinatewise operations in the latter. If  $S$  is compact and if  $\mathcal{C}$  is a *closed* (in the standard topology of  $S \times S$ ) congruence on  $S$ , then  $S/\mathcal{C}$  is a semigroup and the canonical function

$$g : S \rightarrow S/\mathcal{C}$$

is a continuous onto homomorphism. The topological parts of this construction are contained, among many other places, in Kelley [K] and the whole matter is essentially in the folklore of semigroups (but cf. [W 1] and [W 7]).

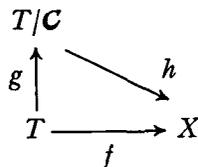
Removal of the harsh hypothesis that  $S$  be compact is very much an open question. If  $S$  were a group then the function  $g$  would be open, which would settle matters. But when  $S$  is just a semigroup there are examples to show that  $S/\mathcal{C}$  need not be Hausdorff, even if it is assumed that  $S$  is the real line (but of course the operation is not addition). In this connection, reference is made to the papers of E. J. McShane [M] and B. J. Pettis [P], among others.

Reverting to the present instance, with  $T$  acting on  $X$ , we define  $\mathcal{C} \subset T \times T$  by

$$\mathcal{C} = \{(t_1, t_2) \mid t_1x = t_2x \text{ for all } x \in X\}$$

and readily verify that  $\mathcal{C}$  is a closed congruence on  $T$ . When  $T$  is compact,  $T/\mathcal{C}$  is a semigroup and acts on  $X$ , and in fact,  $T/\mathcal{C}$  is isomorphic to a subset of  $M(X)$  since  $T/\mathcal{C}$  is compact and separates the points of  $X$ .

(1.3) PROPOSITION. *Using in part the notation above, suppose that, in the diagram*



the continuous function  $f$  is consistent with the action of  $T$  on  $X$ , in the sense that

$$(*) \quad g(t) = g(t') \text{ implies } f(t) = f(t'), \text{ for all } t, t' \text{ in } T,$$

and suppose that  $T$  is compact. Then there is such a continuous function  $h$  that the diagram is analytic,  $f = hg$ . If  $f$  is bisconsistent, in the sense that  $(*)$  is an equivalence rather than merely an implication, then  $h$  is a homeomorphism into,  $f(T)$  is a semigroup under the multiplication

$$x \circ x' = h(h^{-1}(x)h^{-1}(x')),$$

$h$  is an isomorphism onto this semigroup and  $f$  is similarly a homomorphism.

If  $f$  is also a translation relative to the given act, in the sense that

$$f(tt') = tf(t') \text{ for all } t, t' \text{ in } T,$$

and if  $C$  is a minimal  $T$ -ideal which intersects  $f(T)$ , then  $C \subset f(T)$ ,  $C$  is a minimal left ideal of  $(f(T), \circ)$ , and if there is some  $u \in T$  with  $f(u) \in C$  and  $uC = C$ , then  $(C, \circ)$  is a group.

PROOF. The first paragraph is easily verified. To prove that  $C \subset f(T)$ , first notice that  $f(T)$  is a  $T$ -ideal: for  $Tf(T) = f(T^2)$  since  $f$  is a translation, and  $T^2 \subset T$ , so that  $Tf(T) \subset f(T)$ . This, together with the hypothesis that  $C$  is a minimal  $T$ -ideal intersecting  $f(T)$ , implies that  $C \subset f(T)$ .

Now let  $x = f(t)$  be an arbitrary but fixed element of  $C$ . From the definition of  $\circ$ , one sees that  $f(t_1) \circ f(t_2) = f(t_1t_2)$ , and  $f$  is a translation, so that  $f(T) \circ f(t) = f(Tt) = Tf(t)$ . Since  $f(t) \in C$  and  $C$  is a minimal  $T$ -ideal,  $Tf(t) = C$ . That is,  $f(T) \circ x = C$  for arbitrary  $x \in C$ , so that  $C$  is a minimal left ideal of  $(f(T), \circ)$ .

From the above,  $(C, \circ)$  is a semigroup and  $C \circ x = C$  for each  $x \in C$  since  $C$  is a minimal left ideal. If there is some  $x \in C$  such that  $x \circ C = C$  also, then as is well known,  $C$  is a group. Thus we observe that  $f(u) \in C$  by hypothesis, and prove that  $f(u) \circ C = C$ : from the previous paragraph, we have that

$$C = Tf(u) = f(Tu) \text{ and } f(u) \circ f(Tu) = f(uTu),$$

which when combined give

$$f(u) \circ C = f(uTu).$$

Since  $f$  is a translation,

$$f(uTu) = uf(Tu),$$

and  $uf(Tu)$  equals  $uC$  since  $f(Tu) = C$  by the above. Therefore

$$f(u) \circ C = uC,$$

and  $uC$  equals  $C$  by hypothesis, so we have the desired result,

$$f(u) \circ C = C.$$

In the following corollary and in our later use of (1.3), we shall have, for some  $a \in X$ ,  $f(t) = ta$  (and thus  $f(T) = Ta$ ) and the condition

$$(\dagger) \quad ta = t'a \text{ implies } tx = t'x \text{ for all } t, t' \in T \text{ and all } x \in X.$$

It is easy to see that  $f$  is a biconsistent translation.

NOTATION.  $Q = \{x \in X \mid Tx = X\}$ .

(1.31) COROLLARY. *If  $X = Q$  and if there is some  $a \in X$  which satisfies  $(\dagger)$ , then  $X$  is a left simple semigroup, hence  $X$  is isomorphic to  $E \times H$ , where  $E$  is the set of idempotents of  $X$  and  $H$  is a maximal subgroup of  $X$ . If also, there is some  $u \in X$  with  $uX = X$ , then  $X$  is a group.*

PROOF. Define  $f : T \rightarrow X$  by  $f(t) = ta$ ; then  $f$  is a biconsistent translation and  $f(T) = Ta = X$  (since  $a \in Q$ ), so that  $X$  is a semigroup by (1.3). It is clear that  $X$  is the only  $T$ -ideal since  $X = Q$ , so also by (1.3),  $X$  has no proper left ideals — i.e.,  $X$  is left simple. Then by a result in [W 3],  $X$  is isomorphic to  $E \times H$ .

If there is  $u \in T$  such that  $uX = X$ , then by the above and (1.3),  $X$  is a group.

(1.4) PROPOSITION.

(i) *If  $T$  is compact and  $X$  is a continuum, then each maximal proper  $T$ -ideal is open and dense.*

(ii) *Suppose that  $X \neq Q \neq \square$ . Then  $X \setminus Q$  is the unique maximal proper  $T$ -ideal, and if also  $T$  and  $X$  are continua, then  $X \setminus Q$  is open, dense and connected.*

PROOF. (i) Let  $J$  be a maximal proper  $T$ -ideal and let  $x \in X \setminus J$ ;  $X \setminus J$  is closed since either  $X \setminus J = \{x\}$  or  $X \setminus J = \{y \in X \mid Ty = Tx\}$ , which is closed because  $T$  is compact. Therefore  $J$  is open.

Since  $J$  is a proper open set and  $X$  is connected,  $J \subsetneq J^*$ ;  $J^*$  is also a  $T$ -ideal and  $J$  is a maximal proper one, hence  $J^* = X$ , and thus  $J$  is dense.

(ii)  $Q \cap Tx \neq \square$  if and only if  $x \in Q$  (if there is some  $q \in Q \cap Tx$ , then  $X = Tq \subset T^2x \subset Tx$ , hence  $X = Tx$  so that  $x \in Q$ ; if  $x \in Q$ , obviously  $x \in Q \cap Tx$ ). Therefore  $Tx \subset X \setminus Q$  if and only if  $x \in X \setminus Q$ , i.e.,  $X \setminus Q = T^{[-1]}(X \setminus Q)$ . This implies that  $T(X \setminus Q) \subset X \setminus Q$ ; it is nonempty and proper, hence is a proper  $T$ -ideal, since  $X \neq Q \neq \square$  by hypothesis; and if  $J$  is a set properly containing  $X \setminus Q$ , then  $J$  intersects  $Q$ , hence  $TJ = X$ , so that no proper  $T$ -ideal properly contains  $X \setminus Q$ .

Now assume further that  $T$  and  $X$  are continua.  $X \setminus Q$  is open and dense by the preceding proof. Suppose that  $X \setminus Q$  is not connected, so that  $X \setminus Q = U \cup V$ , where  $U$  and  $V$  are disjoint nonempty open sets. Observe that  $X \setminus Q = T^{[-1]}U \cup T^{[-1]}V$  since  $x \in Q$  implies  $Tx = X$  so that  $Tx \not\subset U$  and  $Tx \not\subset V$ , hence  $x \notin T^{[-1]}U \cup T^{[-1]}V$ ; conversely,  $x \in X \setminus Q$  implies

$Tx \subset X \setminus Q$  since  $X \setminus Q$  is a  $T$ -ideal, and  $Tx$  is a continuum, so either  $Tx \subset U$  or  $Tx \subset V$ . Therefore at least one of  $T^{[-1]}U$  and  $T^{[-1]}V$  is nonempty, say  $T^{[-1]}U \neq \square$ . Both sets are proper and they are open by (1.2) (iii). Thus  $X$  being connected implies that there is some  $x \in (T^{[-1]}U)^* \setminus T^{[-1]}U$ . Because  $T^{[-1]}V$  is an open set disjoint from  $T^{[-1]}U$ ,  $x \notin T^{[-1]}V$ , so that  $x \in (T^{[-1]}U)^* \cap Q$ . Therefore  $X = Tx \subset T(T^{[-1]}U)^*$ ; by continuity,  $T(T^{[-1]}U)^* \subset (TT^{[-1]}U)^*$ ; by definition,  $TT^{[-1]}U \subset U$ , and thus  $X \subset U^*$ , which contradicts our assumption that  $V$  is a nonempty open set disjoint from  $U$ .

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The following lemma differs from similar statements in [F], [W 1], [W 4], and [W 6] only in that we do not require the semigroup to be connected. The fact that connectedness is unnecessary proves very useful in (2.3), where we apply it to a semigroup of the form  $\Gamma(t)$ .

(2.1) LEMMA. *Let  $X$  be a continuum, let  $H \subset X$ , and let  $S$  be a compact semigroup acting on  $X$ . If  $F(H) \neq \square$  and there exists an  $S$ -ideal in  $H$ , then there is some  $p \in F(H)$  such that  $Sp \subset H^*$ .*

PROOF. Let  $G$  be a component of  $H \cap S^{[-1]}H$ . ( $H \cap S^{[-1]}H$  is nonempty since there is an  $S$ -ideal  $L$  contained in  $H$  by hypothesis, and  $\square \neq SL \subset L \subset H$  implies  $\square \neq L \subset H \cap S^{[-1]}H$ ). Then  $G \cup SG \subset H$ , hence  $G^* \cup SG^* \subset H^*$  by continuity of the action. Suppose that  $(G^* \cup SG^*) \cap F(H) = \square$ ; then  $G^* \cup SG^* \subset H^0$ , which is to say,  $G^* \subset H^0 \cap S^{[-1]}H^0$ . Since  $S$  is compact,  $S^{[-1]}H^0$  is open by 1.2 (iii), so  $H^0 \cap S^{[-1]}H^0$  is open; it is also a proper subset of  $X$  since it is contained in  $H$  and  $F(H) \neq \square$ . Of course  $H^0 \cap S^{[-1]}H^0 \subset H \cap S^{[-1]}H$ , so we have that  $G = G^*$  and that  $G$  must be a component of  $H^0 \cap S^{[-1]}H^0$ . But then  $G$  is a component of a proper open subset of a continuum, whose closure does not intersect the boundary of the open set, and this is impossible (see [HY], for example). Therefore there must be some  $p \in (G^* \cup SG^*) \cap F(H)$ , and then  $Sp \subset S(G^* \cup SG^*) = SG^* \cup S^2G^* \subset SG^* \subset H^*$ .

A subset  $N$  of a continuum is a *nodal set* iff  $N$  is a nondegenerate continuum and  $F(N)$  is exactly one point. When a set  $B$  is the intersection of all the nodal sets containing it, we will say that  $B = D(B)$ . Faucett has proved that if  $A$  is the complement of a maximal proper ideal of a Bing and if  $A = D(A)$ , then cardinal  $A = 1$  [F]. We have proved a more general result (2.3), that if  $T$  is a Bing acting on a continuum  $X$ , and if  $A$  is a subset of the complement of a maximal proper  $T$ -ideal, then  $A = D(A)$  implies that cardinal  $A = 1$ . We shall use without proof the following facts:

- ( $\alpha$ )  $D(A)$  is a continuum  $[R]$ .
- ( $\beta$ ) If  $A = D(A)$  and  $F$  is a continuum intersecting  $A$ , then  $A \cap F$  is a continuum  $[R]$ .
- ( $\gamma$ ) If  $A = D(A)$  is contained in an open set  $U$  and  $y \notin U$ , then there is a nodal set  $N$  containing  $A$  such that  $y \notin N$  and  $F(N) \in U [R]$ .
- ( $\delta$ ) If  $\mathbf{N}$  is a collection of nodal sets, if  $A_0 = \bigcap \mathbf{N} \neq \square$ , and if  $Z = \{F(N) \mid N \in \mathbf{N}\}$ , then  $A_0 \cap Z^* \neq \square$  (easily proved using ( $\gamma$ )).

The following lemma contains the heart of the proof of (2.3), our generalization of Faucett’s theorem. The reason we define  $\mathbf{N}$ , which may be a proper subset of  $\mathbf{M}$ , and  $A_0 = \bigcap \mathbf{N}$ , is that (2.2) (ii) need not be true if one states it for  $A$  and  $\{F(M) \mid M \in \mathbf{M}\}$ , rather than for  $A_0$  and  $Z = \{F(N) \mid N \in \mathbf{N}\}$ .

(2.2) LEMMA. *Let  $A$  be a nonempty set in a continuum  $X$  such that  $A = D(A)$ , and let  $\mathbf{M}$  be all the nodal sets containing  $A$ . Fix  $N_0$  in  $\mathbf{M}$ , let  $\mathbf{N} = \{N \in \mathbf{M} \mid N \subset N_0\}$ , let  $Z = \{F(N) \mid N \in \mathbf{N}\}$  and let  $A_0 = \bigcap \mathbf{N}$ . Then*

- (i)  $A \cap Z^* = A_0 \cap Z^*$ .
- (ii) If  $B$  is a continuum intersecting both  $A_0$  and  $X \setminus A_0$ , then  $B \supset A_0 \cap Z^*$ .
- (iii) Suppose that  $T$  is a semigroup acting on  $X$  and that  $t \in T$ ,  $a' \in A_0 \cap Z^*$  such that  $ta' \in A_0$ . Then  $A_0$  contains a  $\Gamma(t)$ -ideal.

PROOF. (i) Suppose  $M \in \mathbf{M}$  and  $Z^* \not\subset M$ . Since  $M$  is closed this implies that  $Z \not\subset M$ , so there is some  $N \in \mathbf{N}$  such that  $F(N) \notin Z$ . Now  $M$  is connected,  $M \cap N \neq \square$  since  $A \subset M \cap N$ , and  $F(N)$  is a cutpoint of  $X$  not contained in  $M$ , hence  $M \subset N$ . Therefore  $M \subset N_0$ , so that  $M \in \mathbf{N}$ . We have proved that if  $M \in \mathbf{M} \setminus \mathbf{N}$ , then  $Z^* \subset M$ . Now  $A = [\bigcap \mathbf{N}] \cap [\bigcap (\mathbf{M} \setminus \mathbf{N})]$  by definition, hence  $A \cap Z^* = [\bigcap \mathbf{N}] \cap Z^*$ , which is precisely  $A_0 \cap Z^*$ .

(ii) First observe that  $Z^* \cap N = (Z \cap N)^*$  for  $N \in \mathbf{N}$ : let  $p \in Z^* \cap N$ , so that either  $p = F(N)$ , in which case  $p \in Z \cap N$ , or else  $p \in Z^* \cap N^0$ , so that surely  $p \in (Z \cap N)^*$ . The other inclusion is obvious.

Suppose now that  $B$  is a continuum intersecting both  $A_0$  and  $X \setminus A_0$ , so that  $B$  intersects  $X \setminus N$  for some  $N \in \mathbf{N}$ . If we can show that  $B$  contains  $N \cap Z$ , then  $B$  is closed so that  $B$  will contain  $(N \cap Z)^* = N \cap Z^*$ , which contains  $A_0 \cap Z^*$ . Thus let  $p \in N \cap Z$ . In case  $p = F(N)$ , then  $p \in B$  since  $B$  is a connected set intersecting both  $X \setminus N$  and  $N$  (since  $A_0 \subset N$ ). Otherwise  $p \in N \setminus F(N)$ ; since  $p \in Z$ , there is some  $N_1 \in \mathbf{N}$  such that  $p = F(N_1)$ . We will prove below that  $N_1 \subset N$ , from which it is clear that  $F(N_1)$  separates  $X \setminus N$  from  $A_0 \subset N_1$ , hence the connected set  $B$  must contain  $F(N_1) = p$ . To prove that  $N, N_1 \in \mathbf{N}$  and  $F(N_1) \in N$  imply  $N_1 \subset N$ , first note that  $(X \setminus N)^*$  is connected since its boundary is a point and  $X$  is connected; therefore,

since  $p = F(N_1)$  is a cutpoint and  $p \notin (X \setminus N)^*$ , either (1)  $(X \setminus N)^* \subset N_1$  or (2)  $(X \setminus N)^* \subset X \setminus N_1$ . It is not possible that (1) holds: for we know that  $N \cup N_1 \subset N_0$  by definition of  $N$ , hence  $(X \setminus N_0)^* \subset (X \setminus N)^*$  and, if  $(X \setminus N)^*$  were contained in  $N_1$ , we would have  $(X \setminus N_0)^* \subset N_1 \subset N_0$ . But this implies that  $X \setminus N_0 = \square$ , which is false because  $N_0$  is nodal (a closed set with non-empty boundary cannot have an empty complement). Therefore it must be true that (2) holds, which clearly implies that  $N_1 \subset N$ .

(iii) We are given a set  $A_0$ ; for  $n \geq 1$ , define  $A_n$  to be  $tA_{n-1} \cap A_0$ . Observe that  $A_0 = D(A_0)$ , so that  $A_0$  is a continuum by  $(\alpha)$ ; then by induction, using these facts,  $(\beta)$  and the continuity of  $t$ , each  $A_n$  is a continuum. One also easily shows by induction that  $tA_n \subset tA_{n-1}$ , so that if there were a nonempty  $A_n$  such that  $tA_n \subset A_0$ , then  $A_n$  would be a  $\Gamma(t)$ -ideal in  $A_0$  and we would be done. Suppose therefore, in the remainder of the proof, that whenever  $A_n \neq \square$ ,  $tA_n \not\subset A_0$ ; we will first show, by induction, that this implies  $A_n \neq \square$  for each  $n$ , and then we will use this fact to exhibit a  $\Gamma(t)$ -ideal in  $A_0$ . We will prove each  $A_n$  nonempty by showing that there is some  $a_n \in A_n$  such that  $ta_n = a'$ . We lean heavily on the hypotheses that  $a' \in Z^* \cap A_0$  and  $ta' \in A_0$ , and on the fact, stated as (2.2) (ii), that  $Z^* \cap A_0$  has the property that a continuum intersecting both  $A_0$  and its complement must contain  $Z^* \cap A_0$ . (Thus  $Z^* \cap A_0$  behaves somewhat like a  $C$ -set; see § 3 for definition.) First observe that  $A_0 \neq \square$  by hypothesis, hence  $tA_0$  is a nonempty subcontinuum by continuity of the action. Also,  $tA_0$  intersects both  $X \setminus A_0$  (by supposition) and  $A_0$  (since  $ta' \in tA_0 \cap A_0$ ). Hence  $tA_0 \supset Z^* \cap A_0$  by (2.2) (ii), so there must be some  $a_0 \in A_0$  such that  $ta_0 = a'$ . Now suppose that  $n \geq 0$  and that we have  $a_n \in A_n$  such that  $ta_n = a'$ ; then  $a' \in tA_n \cap A_0 = A_{n+1}$  so that  $ta' \in tA_{n+1} \cap A_0$ . Also,  $tA_{n+1}$  is a continuum and it intersects  $X \setminus A_0$  by supposition, hence again by (2.2) (ii), we have  $Z^* \cap A_0 \subset tA_{n+1}$ . Therefore there is some  $a_{n+1} \in A_{n+1}$  such that  $ta_{n+1} = a'$ . Therefore  $A_n \neq \square$  for each  $n$ ; also,  $t : X \rightarrow X$  is a continuous function, hence there is a  $\Gamma(t)$ -ideal in  $A_0$  by the following remark.

REMARK. Let  $t : X \rightarrow X$  be a continuous function, let  $A_0$  be a compact subset of  $X$ , and define, inductively,

$$A_{n+1} = t(A_n) \cap A_0.$$

If each of the sets  $A_n$  is nonempty, then there is a nonempty closed set  $B$  contained in every  $A_n$  such that  $t(B) \subset B$ .

PROOF. Immediately from the definition there is, for each  $n$ , an element  $x_n \in A_0$  such that

$$\{x_n, t(x_n), \dots, t^n(x_n)\} \subset A_0.$$

For  $n \geq 1$ , let

$$B_n = \{x_n, x_{n+1}, \dots\}^*,$$

so that these sets form a tower of closed subsets of the compact set  $A_0$ , and hence that

$$B_0 = \bigcap \{B_n \mid n \geq 1\} \subset A_0$$

is nonempty. It follows that  $t^k(B_0) \subset A_0$  for every  $k \geq 1$ , and from this that

$$B = (\bigcup \{t^k(B_0) \mid k \geq 1\})^*$$

is the desired set.

(2.3) PROPOSITION. *Suppose that  $T$  acts on  $X$ ,  $X$  is a continuum,  $J$  is a maximal proper  $T$ -ideal and  $A$  is a nonempty subset of  $X \setminus J$  such that  $A = D(A)$ . If either*

- (a)  $T$  is a continuum, or
  - (b)  $T$  is  $\Gamma$ -compact ( $\Gamma(t)$  is compact for each  $t \in T$ ) and there is a  $T$ -ideal contained in  $X \setminus N_0$  for some nodal set  $N_0$  containing  $A$ ,
- then cardinal  $A = 1$ .

PROOF. If  $a \in X \setminus J$ , then  $J \cup Ta$  is clearly a  $T$ -ideal;  $J$  is a maximal proper  $T$ -ideal, hence either  $J \cup Ta = J$  or  $J \cup Ta = X$ . The former implies that  $J \cup a = X$  and we are done. Therefore, suppose for the rest of this proof that  $J \cup Ta = X$  for each  $a \in X \setminus J$ . Then in particular, since  $J \subset X \setminus A$ ,  $(X \setminus A) \cup Ta = X$  for each  $a \in A$ . We will find an  $a' \in A$  such that  $Ta' \subset (X \setminus A) \cup a'$ , which clearly implies that  $A = a'$ , the desired conclusion.

Let us first prove that given the other hypotheses, (a) implies (b). Obviously,  $T$  compact implies  $T$   $\Gamma$ -compact. Let  $x \in J$  (which is nonempty by definition of  $T$ -ideal) and note that  $Tx \subset J \subset X \setminus A$  and that  $Tx$  is a continuum since  $T$  is and since the action is continuous. Therefore  $X \setminus Tx$  is open so that if  $y \in Tx$ , then by  $(\gamma)$ , there is a nodal set  $N_0$  containing  $A$  such that  $y \notin N_0$  and  $F(N_0) \in X \setminus Tx$ .  $Tx$  is connected, in the complement of the cutpoint  $F(N_0)$ , and intersects  $X \setminus N_0$ , so we conclude that  $Tx \subset X \setminus N_0$ . Since  $Tx$  is a  $T$ -ideal, (b) is satisfied.

Assume (b) now, let  $\mathcal{N} = \{N \mid A \subset N \subset N_0 \text{ and } N \text{ is nodal}\}$ , let  $A_0 = \bigcap \mathcal{N}$ , and let  $Z = \{F(N) \mid N \in \mathcal{N}\}$ . Choose  $a' \in A_0 \cap Z^*$ , which is nonempty by  $(\delta)$ ; note that  $A_0 \cap Z^* = A \cap Z^*$  by (2.2) (i), so that  $a' \in A$ ; and suppose that  $ta' \in A$ . We will show that  $ta' = a'$ , hence  $Ta' \subset (X \setminus A) \cup a'$ , which is the desired result. Since  $A \subset A_0$ , we have  $a', ta' \in A_0$ , so (2.2) (iii) asserts that there exists a  $\Gamma(t)$ -ideal in  $A_0$ . It is clear that each  $N \in \mathcal{N}$  also contains this  $\Gamma(t)$ -ideal, and for each  $N \in \mathcal{N}$ ,  $X \setminus N$  contains a  $T$ -ideal, hence a  $\Gamma(t)$ -ideal (by (b), since  $X \setminus N_0 \subset X \setminus N$ ). Finally, the action map  $T \times X \rightarrow X$  restricted to  $\Gamma(t) \times X$  is an action of the compact semigroup  $\Gamma(t)$  on the continuum  $X$ , so by (2.1), since  $F(N) = F(X \setminus N) = \text{one point}$ , we have  $\Gamma(t)F(N) \subset N^*$  and  $\Gamma(t)F(N) \subset (X \setminus N)^*$ . That is,  $\Gamma(t)F(N) = F(N)$ . This

is true for each  $N \in \mathcal{N}$ , which is to say  $\Gamma(t)z = z$  for each  $z \in Z$ . Now  $a' \in Z^*$  and the action is continuous, hence also  $\Gamma(t)a' = a'$ , so that, in particular,  $ta' = a'$ .

(2.3.1) COROLLARY. *Let  $S$  be a bing and  $J$  be a maximal proper (left, right or two-sided) ideal of  $S$ . If  $A$  is a nonempty subset of  $S \setminus J$  and  $A = D(A)$ , then cardinal  $A = 1$ .*

PROOF. First suppose that  $J$  is a maximal proper left ideal of  $S$ . The multiplication of  $S$  is an action of  $S$  on itself (on the left) and, with respect to this action,  $J$  is a maximal proper  $S$ -ideal.  $S$  is a continuum so that cardinal  $A = 1$  by (2.3). Left-right duality gives the same result when  $J$  is a maximal proper right ideal of  $S$ .

Suppose now that  $J$  is a maximal proper two-sided ideal of  $S$ . One can check that the space  $T = S \times S$  with the multiplication

$$(x, y)(x', y') = (xx', y'y')$$

is a semigroup, and that

$$T \times S \rightarrow S$$

defined by  $((x, y), s) = xsy$  is an action of  $T$  on  $S$ .  $T$  is a continuum and one can see without difficulty that  $J$  is a maximal proper  $T$ -ideal, so that we may again use (2.3) to conclude that cardinal  $A = 1$ .

### 3

We will use without proof the following facts.

- (ε) *Let  $X$  be a continuum containing an open dense half-line,  $W$ , and let  $C = X \setminus W$ . Then  $C$  is a  $C$ -set, i.e., a continuum which intersects  $C$  and is not contained in  $C$ , must contain  $C$ .*
- (ρ) *A locally connected subcontinuum which intersects a nondegenerate  $C$ -set is contained in it (follows from a result in [W 5]).*

(3.1) PROPOSITION. *Let  $X$  be a continuum containing an open dense half line,  $W$ , let  $C = X \setminus W$ , and suppose that cardinal  $C > 1$ . Let  $T$  be a bing acting unitarily on  $X$  such that  $\square \neq Q \neq X$ . Then  $Q$  is a single element, the endpoint  $q$  of  $X$ ,  $C \subset Tx$  for each  $x \in X$ , and either*

- (i)  *$TC \not\subset C$ , so that  $TC$  is homeomorphic with  $X$  and the  $Q$ -set of the act  $T \times TC \rightarrow TC$  is all of  $TC$ ; or, disjunctively,*
- (ii)  *$TC \subset C$ ,  $C$  is the unique minimal  $T$ -ideal and  $C$  is a homogeneous space.*

*If also there is some  $a \in X$  such that*

- (†)  *$ta = t'a$  implies  $tx = t'x$  for all  $x \in X$ ,*

then  $Ta$  has the structure of a semigroup,  $C$  is the minimal ideal of  $Ta$  and a group.

PROOF. Since  $T$  and  $X$  are continua,  $X \setminus Q$  is connected and dense in  $X$  by (1.4), so  $Q$  must be a subset of  $C \cup q$ , where  $q$  is the endpoint of  $X$ .  $Q$  is the complement of a maximal proper  $T$ -ideal by (1.4),  $C = D(C)$ , and cardinal  $C > 1$  by hypothesis, hence  $Q$  cannot contain  $C$  by (2.3); thus to prove that  $Q = q$ , we must show that  $Q \cap C \neq \emptyset$  implies  $Q \supset C$ . Whether or not  $Q$  intersects  $C$ ,  $C = B_1 \cup B_2$ , where

$$B_1 = \{x \in C \mid Tx \supset C\}, \quad B_2 = \{x \in C \mid Tx \subset C\}.$$

This follows from (ε) since, for each  $x \in C$ ,  $Tx$  is a continuum and  $x \in Tx \cap C$ . If  $Q \cap C \neq \emptyset$ , then  $Q \cap C = B_1$ : for obviously  $Q \cap C \subset B_1$ , and if  $x \in B_1$ ,  $Q \cap Tx \neq \emptyset$ , hence  $x \in Q$  (see proof of (1.4) (ii)). Therefore if  $Q \cap C \neq \emptyset$ ,

$$C = (Q \cap C) \cup \{x \in C \mid Tx \subset C\},$$

which are both closed sets by continuity and compactness. They are disjoint by continuity, and  $C$  is connected by either (α) or (ε), hence if  $Q \cap C \neq \emptyset$  then  $C = Q \cap C$ , which is false.

We prove next that  $C \subset Tx$  for each  $x \in X$ , which observation was made to the authors by K. Sigmon. Suppose first that there is some  $x \in W$  such that  $Tx \subset W$ . Let  $t \in T$  such that  $tu \in C$  and let  $A$  be the arc in  $W$  joining  $x$  and  $u$ . Since  $tA$  is a locally connected continuum intersecting  $C$  and since  $C$  is a nondegenerate  $C$ -set,  $tA$  must be contained in  $C$  by (δ); but this contradicts  $tx \in W \cap tA$ . Therefore, for each  $x \in W$ ,  $Tx \cap C \neq \emptyset$ . Also,  $x \in Tx \cap W$  for each  $x \in W$ ,  $Tx$  is a continuum, and  $C$  is a  $C$ -set, hence  $Tx$  must contain  $C$  for each  $x \in W$ . Because  $W$  is dense and the act is continuous,  $Tx$  must contain  $C$  for each  $x \in C$  as well.

(i) Suppose  $TC \not\subset C$  and let  $x \in C$  such that  $Tx \not\subset C$ . Since  $C \subset Tx$ ,  $Tx$  is homeomorphic with  $X$ , and since  $T(Tx) \subset Tx$ ,  $T$  acts on  $Tx$  via a restriction of the original action. Let  $Q_x$  be the  $Q$ -set for this restricted action: i.e.,  $Q_x = \{y \in Tx \mid Ty = Tx\}$ . Since  $x \in C \cap Q_x$ ,  $Q_x \neq \emptyset$  and  $Q_x$  is not just the endpoint of  $Tx$ , hence by the first assertion of this theorem, we conclude that  $Q_x = Tx$ . Therefore  $Tx = TC$  and the proof of (i) is complete.

(ii) Suppose that  $TC \subset C$ . We proved above that  $C \subset Tx$  for each  $x \in X$ , which is to say,  $C$  is a subset of every  $T$ -ideal; thus, when  $TC \subset C$ ,  $Tx = C$  for each  $x \in C$  and  $C$  is the unique minimal  $T$ -ideal.

We prove that  $C$  is homogeneous by a series of assertions:

(1) For each  $x \in W$ ,  $Tx$  is the continuum irreducible between  $C$  and  $x$ . For  $Tx$  is a continuum containing  $C$  and  $x$ , hence  $Tx$  is homeomorphic with  $X$ .  $T$  acts on  $Tx$ ,  $x \in Q_x = \{y \in Tx \mid Ty = Tx\}$  and  $C \subset Tx \setminus Q_x$ , hence  $Q_x$

contains only the endpoint of  $Tx$  by the first assertion proved above. Therefore,  $x$  is the endpoint of  $Tx$ .

(2) *T contains an idempotent  $e$  which acts as identity for  $X$ .* For there exists  $t \in T$  such that  $tq = q$ , since  $Tq = X$  by (i) above; then  $tX$  is a continuum containing  $q$  and intersecting  $C$  ( $tC \subset tX \cap C$ ), hence  $tX = X$ . Therefore  $t^n X = X$  for each  $n \geq 1$ , hence  $yX = X$  for each  $y \in \Gamma(t)$ .  $\Gamma(t)$  contains an idempotent  $e$  since  $\Gamma(t)$  is compact [P-de M].

(3) *Let  $J = \{t \in T \mid tW \subset W\}$ ; then  $T \setminus J = \{t \in T \mid tX \subset C\}$ , and  $J$  is open.* The bracketed set is closed by (1.2) (iv), and it is clear that  $T \setminus J$  contains it. Conversely let  $t \in T \setminus J$ , so that  $ty \in C$  for some  $y \in W$ . If  $A$  is an arc in  $W$  containing  $y$ , then  $tA$  is a locally connected continuum intersecting  $C$ , hence  $tA \subset C$  by ( $\zeta$ );  $W$  is the union of a family of such arcs, hence  $tW \subset C$ . Therefore,  $t \in T \setminus J$  implies  $tW \subset C$ , hence  $tX \subset C$ .

(4) *If  $t \in J^*$  then  $tC = C$ , hence  $t$  is a homeomorphism of  $C$  onto itself.* By continuity of the action, we have only to prove that  $tC = C$  for each  $t \in J$ , so let  $t \in J$ . Then  $tX$  is a continuum not contained in but intersecting  $C$ , hence  $C \subset tX$  by ( $\epsilon$ ).  $tX = tW \cup tC$  and  $tW \subset W$ , hence  $C \subset tC$ . Then, since  $T$  is compact,  $t$  is a homeomorphism of  $C$  onto itself by the Swelling Lemma [W 1], [W 2].

(5) *Let  $J_0$  be the component of  $J$  which contains  $e$ , and let  $T_0 = J_0^*$ ; then  $T_0x = Tx$  for each  $x \in X$ .* If  $J = T$ , then  $T_0 = T$  and we are done, so suppose  $J \neq T$ . Then  $J_0$  is a component of a proper open subset of the continuum  $T$ , hence  $J_0^* = T_0$  intersects the boundary of  $J$  [HY]. Let  $t \in T_0 \setminus J$ ; then  $tX \subset C$  by (3), so that  $T_0x$  intersects  $C$  for any  $x \in X$ . Also,  $x = ex \in T_0x$ , and it is clear that  $T_0x$  is a subcontinuum of  $Tx$ . Thus if  $x \in W$ ,  $T_0x$  is a continuum containing  $C$  and  $x$ ; hence  $T_0x \supset Tx$ , by (1). It is clear that  $T_0x \subset Tx$  for any  $x \in X$ , hence  $T_0x = Tx$  for each  $x \in W$ . Continuity then gives  $T_0x = Tx$  for each  $x \in X$ .

(6) *C is homogeneous.* Since  $T_0x = C$  for each  $x \in C$ , by (5), and since each member of  $T_0$  is a homeomorphism of  $C$  onto itself by (4),  $C$  must be homogeneous.

We suppose now that there is some  $a \in X$  satisfying ( $\dagger$ ); then, as remarked in § 1,  $t \rightarrow ta$  is a biconsistent translation of  $T$  onto  $Ta$ , so that  $Ta$  has a semigroup structure with  $C$  as minimal left ideal, by (1.3). Since  $C$  is the unique minimal  $T$ -ideal, it is the unique minimal left ideal of the semigroup  $Ta$ , hence is the minimal ideal of  $Ta$  [P-de M]. According to (1.3), to prove that  $C$  is also a group, we have only to produce some  $u \in T$  with  $ua \in C$  and  $uC = C$ ; but there exists  $u \in T_0$  with  $ua \in C$  since  $T_0a = Ta \supset C$ , and  $uC = C$  by (4).

Case (i) of the theorem is not vacuous. The dual of a construction due to Koch and Wallace, p. 282, [KW 2], shows that any continuum  $X$  with an isolated arc  $A$  admits the structure of a semigroup with the endpoint

of  $A$  as right unit and with  $Xb = B$  for each  $b \in B = (X \setminus A)^*$ . Thus if we take  $X$  as in the theorem and let  $A$  be an arc in  $X$  containing  $q$ , then  $X$  with the semigroup mentioned is a bing acting on itself as described in case (i).

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