



RESEARCH ARTICLE

On the extension of positive maps to Haagerup noncommutative L^p -spaces

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Abstract

Let M be a von Neumann algebra, let φ be a normal faithful state on M and let $L^p(M, \varphi)$ be the associated Haagerup noncommutative L^p -spaces, for $1 \leq p \leq \infty$. Let $D \in L^1(M, \varphi)$ be the density of φ . Given a positive map $T: M \rightarrow M$ such that $\varphi \circ T \leq C_1 \varphi$ for some $C_1 \geq 0$, we study the boundedness of the L^p -extension $T_{p, \theta}: D^{\frac{1-\theta}{p}} M D^{\frac{\theta}{p}} \rightarrow L^p(M, \varphi)$ which maps $D^{\frac{1-\theta}{p}} x D^{\frac{\theta}{p}}$ to $D^{\frac{1-\theta}{p}} T(x) D^{\frac{\theta}{p}}$ for all $x \in M$. Haagerup–Junge–Xu showed that $T_{p, \frac{1}{2}}$ is always bounded and left open the question whether $T_{p, \theta}$ is bounded for $\theta \neq \frac{1}{2}$. We show that for any $1 \leq p < 2$ and any $\theta \in [0, 2^{-1}(1 - \sqrt{p-1})] \cup [2^{-1}(1 + \sqrt{p-1}), 1]$, there exists a completely positive T such that $T_{p, \theta}$ is unbounded. We also show that if T is 2-positive, then $T_{p, \theta}$ is bounded provided that $p \geq 2$ or $1 \leq p < 2$ and $\theta \in [1 - p/2, p/2]$.

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1. Introduction

Let M be a von Neumann algebra equipped with a normal faithful state φ . Let $T: M \rightarrow M$ be a positive map such that $\varphi \circ T \leq C_1 \varphi$ on the positive cone M^+ , for some constant $C_1 \geq 0$. Assume first that φ is a trace (that is, $\varphi(xy) = \varphi(yx)$ for all $x, y \in M$) and consider the associated noncommutative L^p -spaces $\mathcal{L}^p(M, \varphi)$ (see, e.g., [6, 19] or [10, Chapter 4]). Let $C_\infty = \|T\|$. Then for all $1 \leq p < \infty$, T extends to a bounded map on $\mathcal{L}^p(M, \varphi)$, with

$$\|T: \mathcal{L}^p(M, \varphi) \longrightarrow \mathcal{L}^p(M, \varphi)\| \leq C_\infty^{1-\frac{1}{p}} C_1^{\frac{1}{p}}; \tag{1.1}$$

see [16, Lemma 1.1]. This extension result plays a significant role in various aspects of operator theory on noncommutative L^p -spaces, in particular for the study of diffusion operators or semigroups on those spaces; see, for example, [1, 7, 11] or [14, Chapter 5].

Let us now drop the tracial assumption on φ . For any $1 \leq p \leq \infty$, let $L^p(M, \varphi)$ denote the Haagerup noncommutative L^p -space $L^p(M, \varphi)$ associated with φ [8, 9, 10, 22]. These spaces extend the tracial noncommutative L^p -spaces $\mathcal{L}^p(\dots)$ in a very beautiful way and many topics in operator theory which had been first studied on tracial noncommutative L^p -spaces were/are investigated on Haagerup noncommutative L^p -spaces. This has led to several major advances; see in particular [9], [16, Section 7], [4], [2] and [13].

The question of extending a positive map $T: M \rightarrow M$ to $L^p(M, \varphi)$ was first considered in [16, Section 7] and [9, Section 5]. Let $D \in L^1(M, \varphi)$ be the density of φ , let $1 \leq p < \infty$ and let $\theta \in [0, 1]$. Let $T_{p,\theta}: D^{\frac{1-\theta}{p}} M D^{\frac{\theta}{p}} \rightarrow L^p(M, \varphi)$ be defined by

$$T_{p,\theta}\left(D^{\frac{1-\theta}{p}} x D^{\frac{\theta}{p}}\right) = D^{\frac{1-\theta}{p}} T(x) D^{\frac{\theta}{p}}, \quad x \in M. \tag{1.2}$$

(See Section 2 for the necessary background on D and the above definition.) Then [9, Theorem 5.1] shows that if $\varphi \circ T \leq C_1 \varphi$, then $T_{p,\frac{1}{2}}$ extends to a bounded map on $L^p(M, \varphi)$, with

$$\|T_{p,\frac{1}{2}}: L^p(M, \varphi) \longrightarrow L^p(M, \varphi)\| \leq C_\infty^{1-\frac{1}{p}} C_1^{\frac{1}{p}}.$$

This extends the tracial case (1.1); see Remark 2.5. Furthermore, [9, Proposition 5.5] shows that if T commutes with the modular automorphism group of φ , then $T_{p,\theta} = T_{p,\frac{1}{2}}$ for all $\theta \in [0, 1]$.

In addition to the above results, Haagerup–Junge–Xu stated as an open problem the question whether $T_{p,\theta}$ is always bounded for $\theta \neq \frac{1}{2}$ (see [9, Section 5]). The main result of the present paper is a negative answer to this question. More precisely, we show that if $1 \leq p < 2$ and if either $0 \leq \theta < 2^{-1}(1 - \sqrt{p-1})$ or $2^{-1}(1 + \sqrt{p-1}) < \theta \leq 1$, then there exists M, φ as above and a unital completely positive map $T: M \rightarrow M$ such that $\varphi \circ T = \varphi$ and $T_{p,\theta}$ is unbounded; see Theorem 6.1.

We also show that for any M, φ as above and for any 2-positive map $T: M \rightarrow M$ such that $\varphi \circ T \leq C_1 \varphi$ for some $C_1 \geq 0$, then $T_{p,\theta}$ is bounded for all $p \geq 2$ and all $\theta \in [0, 1]$; see Theorem 4.1. In other words, the Haagerup–Junge–Xu problem has a positive solution for $p \geq 2$, provided that we restrict to 2-positive maps. We also show, under the same assumptions, that $T_{p,\theta}$ is bounded for all $1 \leq p \leq 2$ and all $\theta \in [1 - p/2, p/2]$; see Theorem 4.3.

Section 2 contains preliminaries on the $L^p(M, \varphi)$ and on the question whether $T_{p,\theta}$ is bounded. Section 3 presents a way to compute $\|T_{p,\theta}\|$ in the case when $M = M_n$ is a matrix algebra, which plays a key role in the last part of the paper. Section 4 contains the extension results stated in the previous paragraph. Finally, Sections 5 and 6 are devoted to the construction of examples for which $T_{p,\theta}$ is unbounded.

2. The extension problem

Throughout we consider a von Neumann algebra M and we let M_* denote its predual. We let M^+ and M_*^+ denote the positive cones of M and M_* , respectively.

2.1. Haagerup noncommutative L^p -spaces

Assume that M is σ -finite, and let φ be a normal faithful state on M . We shall briefly recall the definition of the Haagerup noncommutative L^p -spaces $L^p(M, \varphi)$ associated with φ , as well as some of their main features. We refer the reader to [8], [9, Section 1], [10, Chapter 9], [19, Section 3] and [22] for details

and complements. We note that $L^p(M, \varphi)$ can actually be defined when φ is any normal faithful weight on M . The assumption that φ is a state makes the description below a little simpler.

Let $(\sigma_t^\varphi)_{t \in \mathbb{R}}$ be the modular automorphism group of φ [20, Chapter VIII], and let

$$\mathcal{R} = M \rtimes_{\sigma^\varphi} \mathbb{R} \subset M \overline{\otimes} B(L^2(\mathbb{R}))$$

be the resulting crossed product; see, for example, [20, Chapter X]. If $M \subset B(H)$ for some Hilbert space H , then we have $\mathcal{R} \subset B(L^2(\mathbb{R}; H))$. Let us regard M as a sub-von Neumann algebra of \mathcal{R} in the natural way. Then $(\sigma_t^\varphi)_{t \in \mathbb{R}}$ is given by

$$\sigma_t^\varphi(x) = \lambda(t)x\lambda(t)^*, \quad t \in \mathbb{R}, x \in M, \tag{2.1}$$

where $\lambda(t) \in B(L^2(\mathbb{R}; H))$ is defined by $[\lambda(t)\xi](s) = \xi(s - t)$ for all $\xi \in L^2(\mathbb{R}; H)$. This is a unitary. For any $t \in \mathbb{R}$, define $W(t) \in B(L^2(\mathbb{R}; H))$ by $[W(t)\xi](s) = e^{-its}\xi(s)$ for all $\xi \in L^2(\mathbb{R}; H)$. Then the dual action $\widehat{\sigma}^\varphi: \mathbb{R} \rightarrow \text{Aut}(\mathcal{R})$ of σ^φ is defined by

$$\widehat{\sigma}_t^\varphi(x) = W(t)xW(t)^*, \quad t \in \mathbb{R}, x \in \mathcal{R}.$$

(See [20, §VIII.2].) A remarkable fact is that for any $x \in \mathcal{R}$, $\widehat{\sigma}_t^\varphi(x) = x$ for all $t \in \mathbb{R}$ if and only if $x \in M$.

There exists a unique normal semifinite trace τ_0 on \mathcal{R} such that

$$\tau_0 \circ \widehat{\sigma}_t^\varphi = e^{-t} \tau_0, \quad t \in \mathbb{R};$$

see, for example, [10, Theorem 8.15]. This trace gives rise to the $*$ -algebra $L^0(\mathcal{R}, \tau_0)$ of τ_0 -measurable operators [10, Chapter 4]. Then for any $1 \leq p \leq \infty$, the Haagerup L^p -space $L^p(M, \varphi)$ is defined as

$$L^p(M, \varphi) = \{y \in L^0(\mathcal{R}, \tau_0) : \widehat{\sigma}_t^\varphi(y) = e^{-\frac{t}{p}}y \text{ for all } t \in \mathbb{R}\}.$$

At this stage, this is just a $*$ -subspace of $L^0(\mathcal{R}, \tau_0)$ (with no norm). One defines its positive cone as

$$L^p(M, \varphi)^+ = L^p(M, \varphi) \cap L^0(\mathcal{R}, \tau_0)^+.$$

It follows from above that $L^\infty(M, \varphi) = M$.

Let $\psi \in M_*^+$, that we regard as a normal weight on M , and let $\widehat{\psi}$ be its dual weight on \mathcal{R} [20, §VIII.1]. Let h_ψ be the Radon–Nikodym derivative of $\widehat{\psi}$ with respect to τ_0 . That is, h_ψ is the unique positive operator affiliated with \mathcal{R} such that

$$\widehat{\psi}(y) = \tau_0\left(h_\psi^{\frac{1}{2}}yh_\psi^{\frac{1}{2}}\right), \quad y \in \mathcal{R}_+.$$

It turns out that h_ψ belongs to $L^1(M, \varphi)^+$ for all $\psi \in M_*^+$ and that the mapping $\psi \mapsto h_\psi$ is a bijection from M_*^+ onto $L^1(M, \varphi)^+$. This bijection readily extends to a linear isomorphism $M_* \rightarrow L^1(M, \varphi)$, still denoted by $\psi \mapsto h_\psi$. Then $L^1(M, \varphi)$ is equipped with the norm $\|\cdot\|_1$ inherited from M_* , that is, $\|h_\psi\|_1 = \|\psi\|_{M_*}$ for all $\psi \in M_*$. Next, for any $1 \leq p < \infty$ and any $y \in L^p(M, \varphi)$, the positive operator $|y|$ belongs to $L^p(M, \varphi)$ as well (thanks to the polar decomposition) and hence $|y|^p$ belongs to $L^1(M, \varphi)$. This allows to define $\|y\|_p = \||y|^p\|_1^{\frac{1}{p}}$ for all $y \in L^p(M, \varphi)$. Then $\|\cdot\|_p$ is a complete norm on $L^p(M, \varphi)$.

The Banach spaces $L^p(M, \varphi)$, $1 \leq p \leq \infty$, satisfy the following version of Hölder’s inequality (see, e.g., [10, Proposition 9.17]).

Lemma 2.1. *Let $1 \leq p, q, r \leq \infty$ such that $p^{-1} + q^{-1} = r^{-1}$. Then for all $x \in L^p(M, \varphi)$ and all $y \in L^q(M, \varphi)$, the product xy belongs to $L^r(M, \varphi)$ and $\|xy\|_r \leq \|x\|_p\|y\|_q$.*

Let D be the Radon–Nikodym derivative of $\widehat{\varphi}$ with respect to τ_0 , and recall that $D \in L^1(M, \varphi)^+$. This operator is called the density of φ . Recall that we regard M as a sub-von Neumann algebra of \mathcal{R} . Then $D^{it} = \lambda(t)$ is a unitary of \mathcal{R} for all $t \in \mathbb{R}$ and

$$\sigma_t^\varphi(x) = D^{it} x D^{-it}, \quad t \in \mathbb{R}, x \in M. \tag{2.2}$$

Let $\text{Tr}: L^1(M, \varphi) \rightarrow \mathbb{C}$ be defined by $\text{Tr}(h_\psi) = \psi(1)$ for all $\psi \in M_*$. This functional has two remarkable properties. First, for all $x \in M$ and all $\psi \in M_*$, we have

$$\text{Tr}(h_\psi x) = \psi(x). \tag{2.3}$$

Second if $1 \leq p, q \leq \infty$ are such that $p^{-1} + q^{-1} = 1$, then for all $x \in L^p(M, \varphi)$ and all $y \in L^q(M, \varphi)$, we have

$$\text{Tr}(xy) = \text{Tr}(yx).$$

This tracial property will be used without any further comment in the paper.

It follows from the definition of $\|\cdot\|_1$ and equation (2.3) that the duality pairing $\langle x, y \rangle = \text{Tr}(xy)$ for $x \in M$ and $y \in L^1(M, \varphi)$ yields an isometric isomorphism

$$L^1(M, \varphi)^* \simeq M. \tag{2.4}$$

As a special case of equation (2.3), we have

$$\varphi(x) = \text{Tr}(Dx), \quad x \in M. \tag{2.5}$$

We note that $L^2(M, \varphi)$ is a space for the inner product $\langle x|y \rangle = \text{Tr}(y^*x)$. Moreover, by equation (2.5), we have

$$\varphi(x^*x) = \|xD^{\frac{1}{2}}\|_2^2 \quad \text{and} \quad \varphi(xx^*) = \|D^{\frac{1}{2}}x\|_2^2, \quad x \in M. \tag{2.6}$$

We finally mention a useful tool. Let $M_a \subset M$ be the subset of all $x \in M$ such that $t \mapsto \sigma_t^\varphi(x)$ extends to an entire function $z \in \mathbb{C} \mapsto \sigma_z^\varphi(x) \in M$. (Such elements are called analytic). It is well known that M_a is a w^* -dense $*$ -subalgebra of M [20, Section VIII.2]. Furthermore,

$$\sigma_{i\theta}(x) = D^{-\theta} x D^\theta, \tag{2.7}$$

for all $x \in M_a$ and all $\theta \in [0, 1]$, and $M_a D^{\frac{1}{p}} = D^{\frac{1}{p}} M_a$ is dense in $L^p(M, \varphi)$, for all $1 \leq p < \infty$. See [15, Lemma 1.1] and its proof for these properties.

2.2. Extension of maps $M \rightarrow M$

Given any linear map $T: M \rightarrow M$, we say that T is positive if $T(M^+) \subset M^+$. This implies that T is bounded. For any $n \geq 1$, we say that T is n -positive if the tensor extension map $I_{M_n} \otimes T: M_n \overline{\otimes} M \rightarrow M_n \overline{\otimes} M$ is positive. (Here, M_n is the algebra of $n \times n$ matrices.) Next, we say that T is completely positive if T is n -positive for all $n \geq 1$. See, for example, [18] for basics on these notions.

Consider any $\theta \in [0, 1]$ and $1 \leq p < \infty$. It follows from Lemma 2.1 that $D^{\frac{1-\theta}{p}} x D^{\frac{\theta}{p}}$ belongs to $L^p(M, \varphi)$ for all $x \in M$. We set

$$\mathcal{A}_{p,\theta} = D^{\frac{(1-\theta)}{p}} M D^{\frac{\theta}{p}} \subset L^p(M, \varphi). \tag{2.8}$$

It turns out that this is a dense subspace; see [15, Lemma 1.1].

Let $T: M \rightarrow M$ be any bounded linear map. For any (p, θ) as above, define a linear map $T_{p,\theta}: \mathcal{A}_{p,\theta} \rightarrow \mathcal{A}_{p,\theta}$ by equation (1.2). The question we consider in this paper is whether $T_{p,\theta}$ extends to a bounded map $L^p(M, \varphi) \rightarrow L^p(M, \varphi)$ in the case when T is 2-positive and $\varphi \circ T \leq \varphi$ on M_+ . More precisely, we consider the following:

Question 2.2. Determine the pairs $(p, \theta) \in [1, \infty) \times [0, 1]$ such that

$$T_{p,\theta}: L^p(M, \varphi) \longrightarrow L^p(M, \varphi)$$

is bounded for all (M, φ) as above and all 2-positive maps $T: M \rightarrow M$ satisfying $\varphi \circ T \leq \varphi$ on M_+ .

As in the introduction, we could consider maps such that $\varphi \circ T \leq C_1\varphi$ for some $C_1 \geq 0$. However, by an obvious scaling, there is no loss in considering $C_1 = 1$ only.

Remark 2.3. Question 2.2 originates from the Haagerup–Junge–Xu paper [9]. In Section 5 of the latter paper, the authors consider two von Neumann algebras M, N , and normal faithful states $\varphi \in M_*$ and $\psi \in N_*$ with respective densities $D_\varphi \in L^1(M, \varphi)$ and $D_\psi \in L^1(N, \psi)$. Then they consider a positive map $T: M \rightarrow N$ such that $\psi \circ T \leq C_1\varphi$ for some $C_1 > 0$. Given any $(p, \theta) \in [1, \infty) \times [0, 1]$, they define $T_{p,\theta}: D_\varphi^{\frac{1-\theta}{p}} MD_\varphi^{\frac{\theta}{p}} \rightarrow L^p(N, \psi)$ by

$$T_{p,\theta}\left(D_\varphi^{\frac{1-\theta}{p}} x D_\varphi^{\frac{\theta}{p}}\right) = D_\psi^{\frac{1-\theta}{p}} T(x) D_\psi^{\frac{\theta}{p}}, \quad x \in M.$$

In [9, Theorem 5.1], they show that $T_{p,\frac{1}{2}}$ is bounded and that setting $C_\infty = \|T\|$, we have $\|T_{p,\frac{1}{2}}: L^p(M, \varphi) \rightarrow L^p(N, \psi)\| \leq C_\infty^{1-\frac{1}{p}} C_1^{\frac{1}{p}}$. Then after the statement of [9, Proposition 5.4], they mention that the boundedness of $T_{p,\theta}$ for $\theta \neq \frac{1}{2}$ is an open question.

Remark 2.4. We wish to point out a special case which will be used in Section 5. Let B be a von Neumann algebra equipped with a normal faithful state ψ . Let $A \subset B$ be a sub-von Neumann algebra which is stable under the modular automorphism group of ψ (i.e., $\sigma_t^\psi(A) \subset A$ for all $t \in \mathbb{R}$). Let $\varphi = \psi|_A$ be the restriction of ψ to A . Let $D \in L^1(A, \varphi)$ and $\Delta \in L^1(B, \psi)$ be the densities of φ and ψ , respectively. On the one hand, it follows from [9, Theorem 5.1] (see Remark 2.3) that there exists, for every $1 \leq p < \infty$, a contraction

$$\Lambda(p): L^p(A, \varphi) \longrightarrow L^p(B, \psi)$$

such that $[\Lambda(p)](D^{\frac{1}{2p}} x D^{\frac{1}{2p}}) = \Delta^{\frac{1}{2p}} x \Delta^{\frac{1}{2p}}$ for all $x \in A$.

On the other hand, there exists a unique normal conditional expectation $E: B \rightarrow A$ such that $\psi = \varphi \circ E$ on B by [20, Theorem IX.4.2]. Moreover, it is easy to check that under the natural identifications $L^1(A, \varphi)^* \simeq A$ and $L^1(B, \psi)^* \simeq B$ (see equation (2.4) and the discussion preceding it), we have

$$\Lambda(1)^* = E.$$

Now, using [9, Theorem 5.1] again, there exists, for every $1 \leq p < \infty$, a contraction $E(p): L^p(B, \psi) \rightarrow L^p(A, \varphi)$ such that $[E(p)](\Delta^{\frac{1}{2p}} y \Delta^{\frac{1}{2p}}) = D^{\frac{1}{2p}} E(y) D^{\frac{1}{2p}}$ for all $y \in B$. It is clear that $E(p) \circ \Lambda(p) = I_{L^p(A, \varphi)}$. Consequently, $\Lambda(p)$ is an isometry.

We refer to [15, Section 2] for more on this.

Remark 2.5. Let $T: M \rightarrow M$ be a positive map, and let φ, D as in Subsection 2.1. Assume that φ is tracial and for any $1 \leq p < \infty$, let $\mathcal{L}^p(M, \varphi)$ be the (classical) noncommutative L^p -space with respect to the trace φ [10, Section 4.3]. That is, $\mathcal{L}^p(M, \varphi)$ is the completion of M for the norm

$$\|x\|_{\mathcal{L}^p(M, \varphi)} = (\varphi(|x|^p))^{\frac{1}{p}}, \quad x \in M.$$

In this case, D commutes with M and

$$\|D^{\frac{1}{p}}x\|_{L^p(M, \varphi)} = \|x\|_{L^p(M, \varphi)}, \quad x \in M;$$

see, for example, [10, Example 9.11]. Hence, $T_{p, \theta} = T_{p, 0}$ for all $1 \leq p < \infty$ and all $\theta \in [0, 1]$ and moreover, $T_{p, 0}$ is bounded if and only if T extends to a bounded map $L^p(M, \varphi) \rightarrow L^p(M, \varphi)$. Thus, in the tracial case, the fact that $T_{p, 0}$ is bounded under the assumption $\varphi \circ T \leq C_1\varphi$ is equivalent to the result mentioned in the first paragraph of Section 1; see (equation 1.1).

3. Computing $\|T_{p, \theta}\|$ on semifinite von Neumann algebras

As in the previous section, we let M be a von Neumann algebra equipped with a normal faithful state φ and we let $D \in L^1(M, \varphi)^+$ be the density of φ . We assume further that M is semifinite, and we let τ be a distinguished normal semifinite faithful trace on M . For any $1 \leq p \leq \infty$, we let $L^p(M, \tau)$ be the noncommutative L^p -space with respect to τ . Although $L^p(M, \tau)$ is isometrically isomorphic to the Haagerup L^p -space $L^p(M, \tau)$, it is necessary for our purpose to consider $L^p(M, \tau)$ as such.

Let us give a brief account, for which we refer, for example, to [10, Section 4.3]. Let $\mathcal{L}^0(M, \tau)$ be the $*$ -algebra of all τ -measurable operators on M . For any $p < \infty$, $L^p(M, \tau)$ is the Banach space of all $x \in \mathcal{L}^0(M, \tau)$ such that $\tau(|x|^p) < \infty$, equipped with the norm

$$\|x\|_{L^p(M, \tau)} = (\tau(|x|^p))^{\frac{1}{p}}, \quad x \in L^p(M, \tau).$$

Moreover, $L^\infty(M, \tau) = M$. The following analogue of Lemma 2.1 holds true: Whenever $1 \leq p, q, r \leq \infty$ are such that $p^{-1} + q^{-1} = r^{-1}$, then for all $x \in L^p(M, \tau)$ and $y \in L^q(M, \tau)$, xy belongs to $L^r(M, \tau)$, with $\|xy\|_r \leq \|x\|_p \|y\|_q$ (Hölder’s inequality). Furthermore, we have an isometric identification

$$L^1(M, \tau)^* \simeq M \tag{3.1}$$

for the duality pairing given by $\langle x, y \rangle = \tau(yx)$ for all $x \in M$ and $y \in L^1(M, \tau)$.

Let $\gamma \in L^1(M, \tau)$ be associated with φ in the identification (3.1), that is,

$$\varphi(x) = \tau(\gamma x), \quad x \in M. \tag{3.2}$$

Then γ is positive and it is clear from Hölder’s inequality that for any $1 \leq p < \infty, \theta \in [0, 1]$ and $x \in M$, the product $\gamma^{\frac{1-\theta}{p}} x \gamma^{\frac{\theta}{p}}$ belongs to $L^p(M, \tau)$.

It is well known that $L^p(M, \tau)$ and $L^p(M, \varphi)$ are isometrically isomorphic (apply Remark 9.10 and Example 9.11 in [10]). The following lemma provides concrete isometric isomorphisms between these two spaces.

Lemma 3.1. *Let $1 \leq p < \infty$ and $\theta \in [0, 1]$. Then for all $x \in M$, we have*

$$\|\gamma^{\frac{1-\theta}{p}} x \gamma^{\frac{\theta}{p}}\|_{L^p(M, \tau)} = \|D^{\frac{1-\theta}{p}} x D^{\frac{\theta}{p}}\|_{L^p(M, \varphi)}.$$

Before giving the proof of this lemma, we recall a classical tool. For any $\theta \in [0, 1]$, define an embedding $J_\theta: M \rightarrow L^1(M, \varphi)$ by letting

$$J_\theta(x) = D^{1-\theta} x D^\theta, \quad x \in M.$$

Consider $(J_\theta(M), L^1(M, \varphi))$ as an interpolation couple, the norm on $J_\theta(M)$ being given by the norm on M , that is,

$$\|D^{1-\theta} x D^\theta\|_{J_\theta(M)} = \|x\|_M, \quad x \in M. \tag{3.3}$$

For any $1 \leq p \leq \infty$, let

$$C(p, \theta) = [J_\theta(M), L^1(M, \varphi)]_{\frac{1}{p}} \tag{3.4}$$

be the resulting interpolation space provided by the complex interpolation method [3, Chapter 4]. Regard $C(p, \theta)$ as a subspace of $L^1(M, \varphi)$ in the natural way. Then Kosaki’s theorem [17, Theorem 9.1] (see also [10, Theorem 9.36]) asserts that $C(p, \theta)$ is equal to $D^{\frac{1-\theta}{p'}} L^p(M, \varphi) D^{\frac{\theta}{p'}}$ and that

$$\|D^{\frac{1-\theta}{p'}} y D^{\frac{\theta}{p'}}\|_{C(p, \theta)} = \|y\|_{L^p(M, \varphi)}, \quad y \in L^p(M, \varphi). \tag{3.5}$$

Here, p' is the conjugate index of p so that $D^{\frac{1-\theta}{p'}} y D^{\frac{\theta}{p'}}$ belongs to $L^1(M, \varphi)$ provided that y belongs to $L^p(M, \varphi)$.

Likewise, let $j_\theta: M \rightarrow \mathcal{L}^1(M, \tau)$ be defined by $j_\theta(x) = \gamma^{1-\theta} x \gamma^\theta$ for all $x \in M$. Consider $(j_\theta(M), \mathcal{L}^1(M, \tau))$ as an interpolation couple, the norm on $j_\theta(M)$ being given by the norm on M , and set

$$c(p, \theta) = [j_\theta(M), \mathcal{L}^1(M, \tau)]_{\frac{1}{p}}, \tag{3.6}$$

regarded as a subspace of $\mathcal{L}^1(M, \tau)$. Then arguing as in the proof of [17, Theorem 9.1], one obtains that $c(p, \theta)$ is equal to $\gamma^{\frac{1-\theta}{p'}} \mathcal{L}^p(M, \tau) \gamma^{\frac{\theta}{p'}}$ and that

$$\|\gamma^{\frac{1-\theta}{p'}} y \gamma^{\frac{\theta}{p'}}\|_{c(p, \theta)} = \|y\|_{\mathcal{L}^p(M, \tau)}, \quad y \in \mathcal{L}^p(M, \tau). \tag{3.7}$$

Proof of Lemma 3.1. We fix some $\theta \in [0, 1]$. We start with the case $p = 1$. Let $x \in M$. For any $x' \in M$, we have $\tau(\gamma x x') = \text{Tr}(D x x')$ and hence $|\tau(\gamma x x')| = |\text{Tr}(D x x')|$, by equations (2.5) and (3.2). Taking the supremum over all $x' \in M$ with $\|x'\|_M \leq 1$, it therefore follows from equations (2.4) and (3.1) that

$$\|\gamma x\|_{\mathcal{L}^1(M, \tau)} = \|D x\|_{L^1(M, \varphi)}, \quad x \in M. \tag{3.8}$$

Now, assume that $x \in M_a$ (the space of analytic elements of M). According to equation (2.7), we have $D \sigma_{i\theta}^\varphi(x) = D^{1-\theta} x D^\theta$. Likewise, $\sigma_t^\varphi(x) = \gamma^{it} x \gamma^{-it}$ for all $t \in \mathbb{R}$, by [20, Theorem VIII.2.11], hence $\sigma_{i\theta}^\varphi(x) = \gamma^{-\theta} x \gamma^\theta$. Hence, we have $\gamma \sigma_{i\theta}^\varphi(x) = \gamma^{1-\theta} x \gamma^\theta$. Applying equation (3.8) with $\sigma_{i\theta}^\varphi(x)$ in place of x , we deduce that

$$\|\gamma^{(1-\theta)} x \gamma^\theta\|_{\mathcal{L}^1(M, \tau)} = \|D^{(1-\theta)} x D^\theta\|_{L^1(M, \varphi)}. \tag{3.9}$$

Consider the standard representation $M \hookrightarrow B(L^2(M, \varphi))$, and consider an arbitrary $x \in M$. Assume that $\theta \geq \frac{1}{2}$. There exists a net $(x_i)_i$ in M_a such that $x_i \rightarrow x$ strongly. Then $x_i D^{\frac{1}{2}} \rightarrow x D^{\frac{1}{2}}$ in $L^2(M, \varphi)$. Applying Lemma 2.1 (Hölder’s inequality), we deduce that $D^{1-\theta} x_i D^\theta = D^{1-\theta} (x_i D^{\frac{1}{2}}) D^{\theta-\frac{1}{2}}$ converges to $D^{1-\theta} x D^\theta$ in $L^1(M, \varphi)$. (This result can also be formally deduced from [12, Lemma 2.3].) Likewise, $\gamma^{1-\theta} x_i \gamma^\theta$ converges to $\gamma^{1-\theta} x \gamma^\theta$ in $\mathcal{L}^1(M, \tau)$. Consequently, equation (3.9) holds true for x . Changing x into x^* , we obtain this result as well if $\theta < \frac{1}{2}$. This proves the result when $p = 1$.

We further note that the proof that $\mathcal{A}_{1, \theta} = D^{(1-\theta)} M D^\theta$ is dense in $L^1(M, \varphi)$ shows as well that the space $\gamma^{1-\theta} M \gamma^\theta$ is dense in $\mathcal{L}^1(M, \tau)$. Thus, equation (3.9) provides an isometric isomorphism

$$\Phi: L^1(M, \varphi) \longrightarrow \mathcal{L}^1(M, \tau)$$

such that

$$\Phi(D^{1-\theta} x D^\theta) = \gamma^{1-\theta} x \gamma^\theta, \quad x \in M.$$

Now, let $p > 1$ and consider the interpolation spaces $C(p, \theta)$ and $c(p, \theta)$ defined by equations (3.4) and (3.6). Since $j_\theta = \Phi \circ J_\theta$, the mapping Φ restricts to an isometric isomorphism from $C(p, \theta)$ onto $c(p, \theta)$. Let $x \in M$. Applying equations (3.7) and (3.5), we deduce that

$$\begin{aligned} \left\| \gamma^{\frac{1-\theta}{p}} x \gamma^{\frac{\theta}{p}} \right\|_{\mathcal{L}^p(M, \tau)} &= \left\| \gamma^{1-\theta} x \gamma^\theta \right\|_{C(p, \theta)} \\ &= \left\| D^{1-\theta} x D^\theta \right\|_{C(p, \theta)} \\ &= \left\| D^{\frac{1-\theta}{p}} x D^{\frac{\theta}{p}} \right\|_{L^p(M, \varphi)}, \end{aligned}$$

which proves the result. □

The following is a straightforward consequence of Lemma 3.1. Given any $T : M \rightarrow M$, it provides a concrete way to compute the norm of the operator $T_{p, \theta}$ associated with φ . Note that in this statement, this norm may be infinite.

Corollary 3.2. *Let $1 \leq p < \infty$, let $\theta \in [0, 1]$, and let $T : M \rightarrow M$ be any bounded map. Then*

$$\|T_{p, \theta}\| = \sup \left\{ \left\| \gamma^{\frac{1-\theta}{p}} T(x) \gamma^{\frac{\theta}{p}} \right\|_p : x \in M, \left\| \gamma^{\frac{1-\theta}{p}} x \gamma^{\frac{\theta}{p}} \right\|_p \leq 1 \right\}.$$

Let $n \geq 1$ be an integer, and consider the special case when $M = M_n$, equipped with its usual trace tr . For any φ and $T : M_n \rightarrow M_n$ as above, $T_{p, \theta}$ is trivially bounded for all $1 \leq p < \infty$ and θ since $L^p(M_n, \varphi)$ is finite-dimensional. However, we will see in Sections 5 and 6 that finding (lower) estimates of the norm of $T_{p, \theta}$ in this setting will be instrumental to devise counterexamples on infinite dimensional von Neumann algebras. This is why we give a version of the preceding corollary in this specific case.

For any $1 \leq p < \infty$, let $S_n^p = \mathcal{L}^p(M_n, \text{tr})$ denote the p -Schatten class over M_n .

Proposition 3.3. *Let $\Gamma \in M_n$ be a positive definite matrix such that $\text{tr}(\Gamma) = 1$ and let φ be the faithful state on M_n associated with Γ , that is, $\varphi(X) = \text{tr}(\Gamma X)$ for all $X \in M_n$. Let $T : M_n \rightarrow M_n$ be any linear map. For any $p \in [1, \infty)$ and $\theta \in [0, 1]$, let $U_{p, \theta} : S_n^p \rightarrow S_n^p$ be defined by*

$$U_{p, \theta}(Y) = \Gamma^{\frac{1-\theta}{p}} T \left(\Gamma^{-\frac{1-\theta}{p}} Y \Gamma^{-\frac{\theta}{p}} \right) \Gamma^{\frac{\theta}{p}}, \quad Y \in S_n^p. \tag{3.10}$$

Then

$$\|T_{p, \theta} : L^p(M_n, \varphi) \rightarrow L^p(M_n, \varphi)\| = \|U_{p, \theta} : S_n^p \rightarrow S_n^p\|.$$

4. Extension results

This section is devoted to two cases for which Question 2.2 has a positive answer. Let M be a von Neumann algebra equipped with a faithful normal state φ , and let $D \in L^1(M, \varphi)^+$ denote its density.

Theorem 4.1. *Let $T : M \rightarrow M$ be a 2-positive map such that $\varphi \circ T \leq \varphi$. For any $p \geq 2$ and for any $\theta \in [0, 1]$, the mapping $T_{p, \theta} : \mathcal{A}_{p, \theta} \rightarrow \mathcal{A}_{p, \theta}$ defined by equation (1.2) extends to a bounded map $L^p(M, \varphi) \rightarrow L^p(M, \varphi)$.*

Proof. Consider a 2-positive map $T : M \rightarrow M$ such that $\varphi \circ T \leq \varphi$. We start with the case $p = 2$. For any $x \in M$, we have

$$T(x)^* T(x) \leq \|T\| T(x^* x),$$

by the Kadison–Schwarz inequality [5]. By equation (2.6), we have

$$\|T(x) D^{\frac{1}{2}}\|_2^2 = \varphi(T(x)^* T(x)) \leq \|T\| \varphi(T(x^* x)) \leq \|T\| \varphi(x^* x) = \|T\| \|x D^{\frac{1}{2}}\|_2^2.$$

This shows that $T_{2,1}$ is bounded. The proof that $T_{2,0}$ is bounded is similar.

Now, let $\theta \in (0, 1)$ and let us show that $T_{2,\theta}$ is bounded. Consider the open strip

$$S = \{z \in \mathbb{C} : 0 < \operatorname{Re}(z) < 1\}.$$

Let $x, a \in M_a$, and define $F: \overline{S} \rightarrow \mathbb{C}$ by

$$F(z) = \operatorname{Tr}\left(T\left(\sigma_{\frac{i}{2}(1-z)}^\varphi(x)\right)D^{\frac{1}{2}}\sigma_{-\frac{i}{2}}^\varphi(a)D^{\frac{1}{2}}\right).$$

This is a well-defined function which is actually the restriction to \overline{S} of an entire function. For all $t \in \mathbb{R}$, we have

$$\begin{aligned} F(it) &= \operatorname{Tr}\left(D^{\frac{1}{2}}T\left(\sigma_{\frac{i}{2}}^\varphi\left(\sigma_{\frac{i}{2}}^\varphi(x)\right)\right)D^{\frac{1}{2}}\sigma_{\frac{i}{2}}^\varphi(a)\right) \\ &= \operatorname{Tr}\left(D^{\frac{1}{2}}T\left(D^{-\frac{1}{2}}\sigma_{\frac{i}{2}}^\varphi(x)D^{\frac{1}{2}}\right)D^{\frac{1}{2}}\sigma_{\frac{i}{2}}^\varphi(a)\right) \\ &= \operatorname{Tr}\left(T_{2,0}\left(\sigma_{\frac{i}{2}}^\varphi(x)D^{\frac{1}{2}}\right)D^{\frac{1}{2}}\sigma_{\frac{i}{2}}^\varphi(a)\right), \end{aligned}$$

by equation (2.7). Hence, by equation (2.2),

$$\begin{aligned} |F(it)| &\leq \left\|T_{2,0}\left(\sigma_{\frac{i}{2}}^\varphi(x)D^{\frac{1}{2}}\right)\right\|_2 \left\|D^{\frac{1}{2}}\sigma_{\frac{i}{2}}^\varphi(a)\right\|_2 \\ &\leq \|T_{2,0}\| \left\|D^{\frac{it}{2}}(xD^{\frac{1}{2}})D^{-\frac{it}{2}}\right\|_2 \left\|D^{\frac{it}{2}}(D^{\frac{1}{2}}a)D^{-\frac{it}{2}}\right\|_2 \\ &= \|T_{2,0}\| \|xD^{\frac{1}{2}}\|_2 \|D^{\frac{1}{2}}a\|_2. \end{aligned}$$

Likewise,

$$F(1+it) = \operatorname{Tr}\left(T_{2,1}\left(\sigma_{\frac{i}{2}}^\varphi(x)D^{\frac{1}{2}}\right)D^{\frac{1}{2}}\sigma_{\frac{i}{2}}^\varphi(a)\right),$$

hence

$$|F(1+it)| \leq \|T_{2,1}\| \|xD^{\frac{1}{2}}\|_2 \|D^{\frac{1}{2}}a\|_2.$$

By the three lines lemma, we deduce that

$$|F(\theta)| \leq \|T_{2,0}\|^{1-\theta} \|T_{2,1}\|^\theta \|xD^{\frac{1}{2}}\|_2 \|D^{\frac{1}{2}}a\|_2.$$

To calculate $F(\theta)$, we apply equation (2.7) again and we obtain

$$\begin{aligned} F(\theta) &= \operatorname{Tr}\left(T\left(D^{-\frac{1-\theta}{2}}xD^{\frac{1-\theta}{2}}\right)D^{\frac{1}{2}}D^{\frac{\theta}{2}}aD^{-\frac{\theta}{2}}D^{\frac{1}{2}}\right) \\ &= \operatorname{Tr}\left(D^{\frac{1-\theta}{2}}T\left(D^{-\frac{1-\theta}{2}}xD^{\frac{1}{2}}D^{-\frac{\theta}{2}}\right)D^{\frac{\theta}{2}}D^{\frac{1}{2}}a\right) \\ &= \operatorname{Tr}\left(T_{2,\theta}(xD^{\frac{1}{2}})D^{\frac{1}{2}}a\right). \end{aligned}$$

Thus,

$$\left|\operatorname{Tr}\left(T_{2,\theta}(xD^{\frac{1}{2}})D^{\frac{1}{2}}a\right)\right| \leq \|T_{2,0}\|^{1-\theta} \|T_{2,1}\|^\theta \|xD^{\frac{1}{2}}\|_2 \|D^{\frac{1}{2}}a\|_2.$$

Since $M_a D^{\frac{1}{2}}$ and $D^{\frac{1}{2}} M_a$ are both dense in $L^2(M, \varphi)$, this estimate shows that $T_{2,\theta}$ is bounded, with $\|T_{2,\theta}\| \leq \|T_{2,0}\|^{1-\theta} \|T_{2,1}\|^\theta$.

We now let $p \in (2, \infty)$. The proof in this case is a variant of the proof of [9, Theorem 5.1]. We use Kosaki’s theorem which is presented after Lemma 3.1; see equations (3.4) and (3.5). Let $\theta \in [0, 1]$. Let $\mathfrak{J}_\theta : M \rightarrow L^2(M, \varphi)$ be defined by $\mathfrak{J}_\theta(x) = D^{\frac{1-\theta}{2}} x D^{\frac{\theta}{2}}$ for all $x \in M$. Equip $\mathfrak{J}_\theta(M)$ with

$$\|D^{\frac{1-\theta}{2}} x D^{\frac{\theta}{2}}\|_{\mathfrak{J}_\theta(M)} = \|x\|_M, \quad x \in M. \tag{4.1}$$

Consider $(\mathfrak{J}_\theta(M), L^2(M, \varphi))$ as an interpolation couple. In analogy with equation (3.4), we set

$$E(p, \theta) = [\mathfrak{J}_\theta(M), L^2(M, \varphi)]_{\frac{2}{p}},$$

subspace of $L^2(M, \varphi)$ given by the complex interpolation method. Let $q \in (2, \infty)$ such that

$$\frac{1}{p} + \frac{1}{q} = \frac{1}{2}.$$

We introduce one more mapping $U_\theta : L^2(M, \varphi) \rightarrow L^1(M, \varphi)$ defined by

$$U_\theta(\zeta) = D^{\frac{1-\theta}{2}} \zeta D^{\frac{\theta}{2}}, \quad \zeta \in L^2(M, \varphi).$$

By equation (3.5), U_θ is an isometric isomorphism from $L^2(M, \varphi)$ onto $C(2, \theta)$. Since U_θ restricts to an isometric isomorphism from $\mathfrak{J}_\theta(M)$ onto $J_\theta(M)$, by equations (3.3) and (4.1), it induces an isometric isomorphism from $E(p, \theta)$ onto $[J_\theta(M), C(2, \theta)]_{\frac{2}{p}}$. By equation (3.4) and the reiteration theorem for complex interpolation (see [3, Theorem 4.6.1]), the latter is equal to $C(p, \theta)$. Hence, U_θ actually induces an isometric isomorphism

$$E(p, \theta) \stackrel{U_\theta}{\cong} C(p, \theta). \tag{4.2}$$

Since $\frac{1}{p'} = \frac{1}{2} + \frac{1}{q}$, we have

$$U_\theta(D^{\frac{1-\theta}{q}} y D^{\frac{\theta}{q}}) = D^{\frac{1-\theta}{p'}} y D^{\frac{\theta}{p'}}$$

for all $y \in L^p(M, \varphi)$. Applying equations (3.5) and (4.2), we deduce that

$$E(p, \theta) = D^{\frac{1-\theta}{q}} L^p(M, \varphi) D^{\frac{\theta}{q}},$$

with

$$\|D^{\frac{1-\theta}{q}} y D^{\frac{\theta}{q}}\|_{E(p, \theta)} = \|y\|_{L^p(M, \varphi)}, \quad y \in L^p(M, \varphi). \tag{4.3}$$

Now, let

$$S = T_{2, \theta} : L^2(M, \varphi) \longrightarrow L^2(M, \varphi)$$

be given by the first part of the proof (boundedness of $T_{2, \theta}$). By equation (4.1), S is bounded on $\mathfrak{J}_\theta(M)$. Hence, by the interpolation theorem, S is bounded on $E(p, \theta)$.

Using equation (4.3), we deduce that for all $x \in M$,

$$\begin{aligned} \|D^{\frac{1-\theta}{p}} T(x) D^{\frac{\theta}{p}}\|_{L^p(M, \varphi)} &= \|D^{\frac{1-\theta}{2}} T(x) D^{\frac{\theta}{2}}\|_{E(p, \theta)} \\ &\leq \|S : E(p, \theta) \rightarrow E(p, \theta)\| \|D^{\frac{1-\theta}{2}} x D^{\frac{\theta}{2}}\|_{E(p, \theta)} \\ &= \|S : E(p, \theta) \rightarrow E(p, \theta)\| \|D^{\frac{1-\theta}{p}} x D^{\frac{\theta}{p}}\|_{L^p(M, \varphi)}. \end{aligned}$$

This proves that $T_{p, \theta}$ is bounded and completes the proof. □

Remark 4.2. Let $T : M \rightarrow M$ be a 2-positive map such that $\varphi \circ T \leq C_1 T$ for some $C_1 \geq 0$, and let $C_\infty = \|T\|$. It follows from the above proof and an obvious scaling that for any $p \geq 2$ and any $\theta \in [0, 1]$, we have

$$\|T_{p,\theta} : L^p(M, \varphi) \rightarrow L^p(M, \varphi)\| \leq C_\infty^{1-\frac{1}{p}} C_1^{\frac{1}{p}}.$$

Theorem 4.3. Let $T : M \rightarrow M$ be a 2-positive map such that $\varphi \circ T \leq \varphi$, and let $1 \leq p \leq 2$. If

$$1 - \frac{p}{2} \leq \theta \leq \frac{p}{2}, \tag{4.4}$$

then $T_{p,\theta} : \mathcal{A}_{p,\theta} \rightarrow \mathcal{A}_{p,\theta}$ extends to a bounded map $L^p(M, \varphi) \rightarrow L^p(M, \varphi)$.

Proof. We will use Theorem 4.1 on $L^2(M, \varphi)$, as well as the fact that $T_{1,\frac{1}{2}}$ is bounded; see [9, Lemma 5.3] or Remark 2.3. Let $p \in (1, 2)$, let θ satisfying equation (4.4), and let

$$\eta = \frac{\theta - (1 - \frac{p}{2})}{p - 1}.$$

Then $\eta \in [0, 1]$. This interpolation number is chosen in such a way that

$$\frac{\eta}{p'} + \frac{1 - \theta}{p} = \frac{\theta}{p} + \frac{1 - \eta}{p'} = \frac{1}{2}, \tag{4.5}$$

where p' is the conjugate number of p .

We set

$$S = T_{1,\frac{1}{2}} : L^1(M, \varphi) \rightarrow L^1(M, \varphi).$$

Let $V : L^2(M, \varphi) \rightarrow L^1(M, \varphi)$ defined by $V(y) = D^{\frac{\eta}{2}} y D^{\frac{1-\eta}{2}}$ for all $y \in L^2(M, \varphi)$. According to equation (3.5), V is an isometric isomorphism from $L^2(M, \varphi)$ onto $C(2, 1 - \eta)$. Hence, for all $x \in M$, we have

$$\begin{aligned} \|S(D^{\frac{1}{2}} x D^{\frac{1}{2}})\|_{C(2,1-\eta)} &= \|D^{\frac{\eta}{2}} D^{\frac{1-\eta}{2}} T(x) D^{\frac{\eta}{2}} D^{\frac{1-\eta}{2}}\|_{C(2,1-\eta)} \\ &= \|D^{\frac{1-\eta}{2}} T(x) D^{\frac{\eta}{2}}\|_{L^2(M,\varphi)} \\ &\leq \|T_{2,\eta}\| \|D^{\frac{1-\eta}{2}} x D^{\frac{\eta}{2}}\|_{L^2(M,\varphi)} \\ &= \|T_{2,\eta}\| \|D^{\frac{1}{2}} x D^{\frac{1}{2}}\|_{C(2,1-\eta)}. \end{aligned}$$

Here, the boundedness of $T_{2,\eta}$ is provided by Theorem 4.1. This proves that S is bounded on $C(2, 1 - \eta)$.

By equation (3.4) and the reiteration theorem, we have

$$C(p, 1 - \eta) = [C(2, 1 - \eta), L^1(M, \varphi)]_{\frac{2}{p}-1}.$$

Therefore, S is bounded on $C(p, 1 - \eta)$. Using equation (3.5) again, as well as equation (4.5), we deduce that for any $x \in M$,

$$\begin{aligned} \|D^{\frac{1-\theta}{p}} T(x) D^{\frac{\theta}{p}}\|_{L^p(M, \varphi)} &= \|D^{\frac{\eta}{p}} D^{\frac{1-\theta}{p}} T(x) D^{\frac{\theta}{p}} D^{\frac{1-\eta}{p}}\|_{C(p, 1-\eta)} \\ &= \|D^{\frac{1}{2}} T(x) D^{\frac{1}{2}}\|_{C(p, 1-\eta)} \\ &\leq \|S: C(p, 1-\eta) \rightarrow C(p, 1-\eta)\| \|D^{\frac{1}{2}} x D^{\frac{1}{2}}\|_{C(p, 1-\eta)} \\ &= \|S: C(p, 1-\eta) \rightarrow C(p, 1-\eta)\| \|D^{\frac{1-\theta}{p}} x D^{\frac{\theta}{p}}\|_{L^p(M, \varphi)}. \end{aligned}$$

This shows that $T_{p, \theta}$ is bounded. □

5. The use of infinite tensor products

In this section, we show how to reduce the problem of constructing a unital completely positive map $T: (M, \varphi) \rightarrow (M, \varphi)$ such that $\varphi \circ T = \varphi$ and $T_{p, \theta}$ is unbounded, for a certain pair (p, θ) , to a finite-dimensional question. In the sequel, by a matrix algebra A , we mean an algebra $A = M_n$ for some $n \geq 1$.

Lemma 5.1. *Let A_1, A_2 be two matrix algebras, and for $i = 1, 2$, consider a faithful state φ_i on A_i . Let $B = A_1 \otimes_{\min} A_2$ and consider the faithful state $\psi = \varphi_1 \otimes \varphi_2$ on B . Let $T_i: A_i \rightarrow A_i$ be a linear map, for $i = 1, 2$, and consider $T = T_1 \otimes T_2: B \rightarrow B$. Then for any $1 \leq p < \infty$ and any $\theta \in [0, 1]$, we have*

$$\begin{aligned} \|T_{p, \theta}: L^p(B, \psi) \rightarrow L^p(B, \psi)\| &\geq \\ &\| \{T_1\}_{p, \theta}: L^p(A_1, \varphi_1) \rightarrow L^p(A_1, \varphi_1) \| \| \{T_2\}_{p, \theta}: L^p(A_2, \varphi_2) \rightarrow L^p(A_2, \varphi_2) \|. \end{aligned}$$

Proof. Let $n_1, n_2 \geq 1$ such that $A_1 = M_{n_1}$ and $A_2 = M_{n_2}$ and let $n = n_1 n_2$. For $i = 1, 2$, let $\Gamma_i \in M_{n_i}$ such that $\varphi_i(X_i) = \text{tr}(\Gamma_i X_i)$ for all $X_i \in M_{n_i}$. As in Proposition 3.3, consider the mapping $\{U_i\}_{p, \theta}: S_{n_i}^p \rightarrow S_{n_i}^p$ defined by $\{U_i\}_{p, \theta}(Y_i) = \Gamma_i^{\frac{1-\theta}{p}} T_i(\Gamma_i^{-\frac{1-\theta}{p}} Y_i \Gamma_i^{-\frac{\theta}{p}}) \Gamma_i^{\frac{\theta}{p}}$ for all $Y_i \in S_{n_i}^p$. Using the standard identification

$$B = M_{n_1} \otimes_{\min} M_{n_2} \simeq M_n, \tag{5.1}$$

we observe that $\psi(X) = \text{tr}((\Gamma_1 \otimes \Gamma_2) X)$ for all $X \in M_n$. Hence, using the identification $S_n^p = S_{n_1}^p \otimes S_{n_2}^p$ inherited from equation (5.1), we obtain the mapping $U_{p, \theta}$ defined by equation (3.10) is actually given by

$$U_{p, \theta} = \{U_1\}_{p, \theta} \otimes \{U_2\}_{p, \theta}.$$

For any $Y_1 \in S_{n_1}^p$ and $Y_2 \in S_{n_2}^p$, we have $\|Y_1 \otimes Y_2\|_p = \|Y_1\|_p \|Y_2\|_p$. Hence, we deduce

$$\begin{aligned} \| \{U_1\}_{p, \theta}(Y_1) \| \| \{U_2\}_{p, \theta}(Y_2) \| &= \| \{U_1\}_{p, \theta}(Y_1) \otimes \{U_2\}_{p, \theta}(Y_2) \| \\ &= \| U_{p, \theta}(Y_1 \otimes Y_2) \| \\ &\leq \| U_{p, \theta} \| \|Y_1\|_p \|Y_2\|_p. \end{aligned}$$

This implies that $\| \{U_1\}_{p, \theta} \| \| \{U_2\}_{p, \theta} \| \leq \| U_{p, \theta} \|$. Applying Proposition 3.3, we obtain the requested inequality. □

Throughout the rest of this section, we let $(A_k)_{k \geq 1}$ be a sequence of matrix algebras. For any $k \geq 1$, let φ_k be a faithful state on A_k . Let

$$(M, \varphi) = \overline{\otimes}_{k \geq 1} (A_k, \varphi_k)$$

be the infinite tensor product associated with the (A_k, φ_k) . We refer to [21, Section XIV.1] for the construction and the properties of this tensor product. We merely recall that if we regard $(A_1 \otimes \dots \otimes A_n)_{n \geq 1}$ as an increasing sequence of (finite-dimensional) algebras in the natural way, then

$$\mathcal{B} := \bigcup_{n \geq 1} A_1 \otimes \cdots \otimes A_n \tag{5.2}$$

is w^* -dense in M . Further, φ is a normal faithful state on M such that

$$\varphi_1 \otimes \cdots \otimes \varphi_n = \varphi|_{A_1 \otimes \cdots \otimes A_n},$$

for all $n \geq 1$.

Proposition 5.2. *Let $1 \leq p < \infty$ and $\theta \in [0, 1]$. For any $k \geq 1$, let $T_k: A_k \rightarrow A_k$ be a unital completely positive map such that $\varphi_k \circ T_k = \varphi_k$. Assume that*

$$\prod_{k=1}^n \|\{T_k\}_{p, \theta}: L^p(A_k, \varphi_k) \rightarrow L^p(A_k, \varphi_k)\| \longrightarrow \infty \quad \text{when } n \rightarrow \infty.$$

Then there exists a unital completely positive map $T: M \rightarrow M$ such that $\varphi \circ T = \varphi$ and $T_{p, \theta}$ is unbounded.

Proof. For any $n \geq 1$, we introduce $B_n = A_1 \otimes_{\min} \cdots \otimes_{\min} A_n$ and the faithful state

$$\psi_n = \varphi_1 \otimes \cdots \otimes \varphi_n$$

on B_n . According to [21, Proposition XIV.1.11], the modular automorphism group of φ preserves B_n . Consequently (see Remark 2.4), there exists a unique normal conditional expectation $E_n: M \rightarrow B_n$ such that $\varphi = \psi_n \circ E_n$, and the preadjoint of E_n yields an isometric embedding

$$L^1(B_n, \psi_n) \hookrightarrow L^1(M, \varphi).$$

Likewise, let $F_n: B_{n+1} \rightarrow B_n$ be the conditional expectation defined by

$$F_n(a_1 \otimes \cdots \otimes a_n \otimes a_{n+1}) = \varphi_{n+1}(a_{n+1}) a_1 \otimes \cdots \otimes a_n, \tag{5.3}$$

for all $a_1 \in A_1, \dots, a_n \in A_n, a_{n+1} \in A_{n+1}$. Then the preadjoint of F_n yields an isometric embedding

$$J_n: L^1(B_n, \psi_n) \hookrightarrow L^1(B_{n+1}, \psi_{n+1}).$$

We can therefore consider $(L^1(B_n, \psi_n))_{n \geq 1}$ as an increasing sequence of subspaces of $L^1(M, \varphi)$. We introduce

$$\mathcal{L} := \bigcup_{n \geq 1} L^1(B_n, \psi_n) \subset L^1(M, \varphi).$$

Let $D \in L^1(M, \varphi)$ be the density of φ . It follows from Remark 2.4 that

$$\mathcal{L} = D^{\frac{1}{2}} \mathcal{B} D^{\frac{1}{2}},$$

where \mathcal{B} is defined by equation (5.2). Since \mathcal{B} is w^* -dense, it is dense in M for the strong operator topology given by the standard representation $M \hookrightarrow B(L^2(M, \varphi))$. Hence, by [12, Lemma 2.2], $\mathcal{B} D^{\frac{1}{2}}$ is dense in $L^2(M, \varphi)$. This implies that \mathcal{L} is dense in $L^1(M, \varphi)$.

For any $n \geq 1$, let

$$V(n) := T_1 \otimes \cdots \otimes T_n: B_n \longrightarrow B_n.$$

This is a unital completely positive map. Hence, its norm is equal to 1. Let

$$S_n = V(n)_*: L^1(B_n, \psi_n) \longrightarrow L^1(B_n, \psi_n)$$

be the preadjoint of $V(n)$. Then $\|S_n\| = 1$. We observe that

$$J_n \circ S_n = S_{n+1} \circ J_n. \tag{5.4}$$

Indeed by duality, this amounts to show that $V(n) \circ F_n = F_n \circ V(n + 1)$, where F_n is given by equation (5.3). The latter is true because $\varphi_{n+1} \circ T_{n+1} = \varphi_{n+1}$.

Thanks to equation (5.4), one may define

$$S: \mathcal{L} \longrightarrow \mathcal{L}$$

by letting $S(\eta) = S_n(\eta)$ if $\eta \in L^1(B_n, \psi_n)$. Then S is bounded, with $\|S\| = 1$. Owing to the density of \mathcal{L} , there exists a unique bounded $S: L^1(M, \varphi) \rightarrow L^1(M, \varphi)$ extending S . Using the duality (2.4), we set

$$T = S^*: M \longrightarrow M.$$

By construction, T is a contraction. Furthermore, for each $n \geq 1$, $S_n^* = V(n)$ is a unital completely positive map and $\psi_n \circ S_n^* = \psi_n$. We deduce that T is unital and completely positive and that

$$\varphi \circ T = \varphi.$$

Let $1 \leq p < \infty$, and let $\theta \in [0, 1]$. Let us use the isometric embedding

$$L^p(B_n, \psi_n) \hookrightarrow L^p(M, \varphi) \tag{5.5}$$

as explained in Remark 2.4. If D_n denotes the density of ψ_n , then it follows from [9, Proposition 5.5] that the embedding (5.5) maps $D_n^{\frac{1-\theta}{p}} x D_n^{\frac{\theta}{p}}$ to $D^{\frac{1-\theta}{p}} x D^{\frac{\theta}{p}}$ for all $x \in B_n$. Then the restriction of $T_{p,\theta}: \mathcal{A}_{p,\theta} \rightarrow L^p(M, \varphi)$ coincides with

$$V(n)_{p,\theta}: L^p(B_n, \psi_n) \longrightarrow L^p(B_n, \psi_n).$$

Finally we observe that by a simple iteration of Lemma 5.1, we have

$$\|V(n)_{p,\theta}\| \geq \prod_{k=1}^n \|\{T_k\}_{p,\theta}: L^p(A_k, \varphi_k) \rightarrow L^p(A_k, \varphi_k)\|.$$

The assumption that this product of norms tends to ∞ therefore implies that the operator $T_{p,\theta}$ is unbounded. □

6. Nonextension results

The aim of this section is to show the following.

Theorem 6.1. *Let $1 \leq p < 2$. If either*

$$0 \leq \theta < \frac{1}{2}(1 - \sqrt{p-1}) \quad \text{or} \quad \frac{1}{2}(1 + \sqrt{p-1}) < \theta \leq 1, \tag{6.1}$$

then there exist a von Neumann algebra M equipped with a normal faithful state φ , as well as a unital completely positive map $T: M \rightarrow M$ such that $\varphi \circ T = \varphi$ and the mapping $T_{p,\theta}: \mathcal{A}_{p,\theta} \rightarrow \mathcal{A}_{p,\theta}$ defined by equation (1.2) is unbounded.

This result will be proved at the end of this section, as a simple combination of Proposition 5.2 and the following key result. Recall that M_2 denotes the space of 2×2 matrices.

Proposition 6.2. *Let $1 \leq p < 2$, and let $\theta \in [0, 1]$ be satisfying equation (6.1). Then there exist a unital completely positive map $T: M_2 \rightarrow M_2$ and a faithful state φ on M_2 such that $\varphi \circ T = \varphi$ and $\|T_{p,\theta}\| > 1$.*

Proof. Let $c \in (0, 1)$, and consider

$$\Gamma = \begin{pmatrix} 1 - c & 0 \\ 0 & c \end{pmatrix}.$$

This is a positive invertible matrix with trace equal to 1. We let φ denote its associated faithful state on M_2 , that is, $\varphi(X) = \text{tr}(\Gamma X) = (1 - c)x_{11} + cx_{22}$, for all $X = \begin{pmatrix} x_{11} & x_{12} \\ x_{21} & x_{22} \end{pmatrix}$ in M_2 .

Let $E_{i,j}$, $1 \leq i, j \leq 2$, denote the standard matrix units of M_2 . Let $T: M_2 \rightarrow M_2$ be the linear map defined by

$$T(E_{11}) = (1 - c)I_2, \quad T(E_{22}) = cI_2, \quad \text{and} \quad T(E_{21}) = T(E_{12}) = (c(1 - c))^{\frac{1}{2}}(E_{12} + E_{21}).$$

Let $A = [T(E_{ij})]_{1 \leq i, j \leq 2} \in M_2(M_2)$. If we regard A as an element of M_4 , we have

$$A = \begin{pmatrix} 1 - c & 0 & 0 & (c(1 - c))^{\frac{1}{2}} \\ 0 & 1 - c & (c(1 - c))^{\frac{1}{2}} & 0 \\ 0 & (c(1 - c))^{\frac{1}{2}} & c & 0 \\ (c(1 - c))^{\frac{1}{2}} & 0 & 0 & c \end{pmatrix}.$$

Clearly, A is unitarily equivalent to $B \otimes I_2$, with

$$B = \begin{pmatrix} 1 - c & (c(1 - c))^{\frac{1}{2}} \\ (c(1 - c))^{\frac{1}{2}} & c \end{pmatrix}.$$

It is plain that B is positive. Consequently, A is positive. Hence, T is completely positive, by Choi’s theorem (see, for example, [18, Theorem 3.14]). Furthermore, T is unital. We note that $\varphi(T(E_{11})) = \varphi(E_{11}) = 1 - c$, $\varphi(T(E_{22})) = \varphi(E_{22}) = c$, $\varphi(T(E_{12})) = \varphi(E_{12}) = 0$ and $\varphi(T(E_{21})) = \varphi(E_{21}) = 0$. Thus,

$$\varphi \circ T = \varphi.$$

Our aim is now to estimate $\|T_{p,\theta}\|$, using Proposition 3.3. We let $U_{p,\theta}: S_2^p \rightarrow S_2^p$ be defined by equation (3.10). We shall focus on the action of $U_{p,\theta}$ on the antidiagonal part of S_2^p . First, we have

$$\Gamma^{-\frac{1-\theta}{p}} E_{12} \Gamma^{-\frac{\theta}{p}} = (1 - c)^{-\frac{1-\theta}{p}} c^{-\frac{\theta}{p}} E_{12}.$$

Hence

$$\begin{aligned} T(\Gamma^{-\frac{1-\theta}{p}} E_{12} \Gamma^{-\frac{\theta}{p}}) &= (1 - c)^{-\frac{1-\theta}{p}} c^{-\frac{\theta}{p}} T(E_{12}) \\ &= (1 - c)^{-\frac{1-\theta}{p}} c^{-\frac{\theta}{p}} (c(1 - c))^{\frac{1}{2}} (E_{12} + E_{21}). \end{aligned}$$

Hence,

$$\begin{aligned} U_{p,\theta}(E_{12}) &= (1 - c)^{-\frac{1-\theta}{p}} c^{-\frac{\theta}{p}} (c(1 - c))^{\frac{1}{2}} \left(\Gamma^{\frac{1-\theta}{p}} E_{12} \Gamma^{\frac{\theta}{p}} + \Gamma^{\frac{1-\theta}{p}} E_{21} \Gamma^{\frac{\theta}{p}} \right) \\ &= (1 - c)^{-\frac{1-\theta}{p}} c^{-\frac{\theta}{p}} (c(1 - c))^{\frac{1}{2}} \left((1 - c)^{\frac{1-\theta}{p}} c^{\frac{\theta}{p}} E_{12} + c^{\frac{1-\theta}{p}} (1 - c)^{\frac{\theta}{p}} E_{21} \right) \\ &= (c(1 - c))^{\frac{1}{2}} \left(E_{12} + \left(\frac{1 - c}{c} \right)^{\frac{2\theta - 1}{p}} E_{21} \right). \end{aligned}$$

Likewise, we have

$$U_{p,\theta}(E_{21}) = (c(1 - c))^{\frac{1}{2}} \left(\left(\frac{c}{1 - c} \right)^{\frac{2\theta-1}{p}} E_{12} + E_{21} \right).$$

Set

$$\delta = \left(\frac{1 - c}{c} \right)^{\frac{2\theta-1}{p}}. \tag{6.2}$$

Consider

$$Y = \begin{pmatrix} 0 & a \\ b & 0 \end{pmatrix} \quad \text{with} \quad |a|^p + |b|^p = 1$$

so that $\|Y\|_p = 1$. Then

$$\begin{aligned} U_{p,\theta}(Y) &= (c(1 - c))^{\frac{1}{2}} (aE_{12} + a\delta E_{21} + b\delta^{-1}E_{12} + bE_{21}) \\ &= (c(1 - c))^{\frac{1}{2}} ((a + b\delta^{-1})E_{12} + (a\delta + b)E_{21}). \end{aligned}$$

Hence,

$$\|U_{p,\theta}(Y)\|_p^p = (c(1 - c))^{\frac{p}{2}} ((a + b\delta^{-1})^p + (a\delta + b)^p). \tag{6.3}$$

To prove Proposition 6.2, it therefore suffices to show that for any $1 \leq p < 2$ and $\theta \in [0, 1]$ satisfying equation (6.1), there exist $a, b > 0$ and $c \in (0, 1)$ such that

$$a^p + b^p = 1 \quad \text{and} \quad (c(1 - c))^{\frac{p}{2}} ((a + b\delta^{-1})^p + (a\delta + b)^p) > 1,$$

where δ is given by equation (6.2).

We first assume that $p > 1$. We let $q = \frac{p}{p-1}$ denote its conjugate exponent. Given $c \in (0, 1)$ and δ as above, we define

$$a = \left(\frac{\delta^q}{1 + \delta^q} \right)^{\frac{1}{p}} \quad \text{and} \quad b = \left(\frac{1}{1 + \delta^q} \right)^{\frac{1}{p}}. \tag{6.4}$$

They satisfy $a^p + b^p = 1$ as required. Note that these values of (a, b) are chosen in order to maximize the quantity $(c(1 - c))^{\frac{p}{2}} ((a + b\delta^{-1})^p + (a\delta + b)^p)$, according to the Lagrange multiplier method.

We set

$$c_t = \frac{1}{2} + t, \quad -\frac{1}{2} < t < \frac{1}{2}.$$

Then we denote by δ_t, a_t, b_t the real numbers δ, a, b defined by equations (6.2) and (6.4) when $c = c_t$. Also, we set

$$\gamma_t = (c_t(1 - c_t))^{\frac{p}{2}} \quad \text{and} \quad \mathfrak{m}_t = \gamma_t ((a_t + b_t\delta_t^{-1})^p + (a_t\delta_t + b_t)^p).$$

It follows from above that it suffices to show that $\mathfrak{m}_t > 1$ for some $t \in (0, \frac{1}{2})$. We will prove this property by writing the second-order Taylor expansion of \mathfrak{m}_t .

We have

$$(a_t + b_t \delta_t^{-1})^p + (a_t \delta_t + b_t)^p = (1 + \delta_t^{-p})(a_t \delta_t + b_t)^p.$$

Moreover,

$$a_t \delta_t = \frac{\delta_t^{\frac{q}{p}+1}}{(1 + \delta_t^q)^{\frac{1}{p}}} = \frac{\delta_t^q}{(1 + \delta_t^q)^{\frac{1}{p}}}.$$

Hence,

$$(a_t + b_t \delta_t^{-1})^p + (a_t \delta_t + b_t)^p = (1 + \delta_t^{-p})(1 + \delta_t^q)^{p-1}.$$

Consequently,

$$m_t = \gamma_t (1 + \delta_t^{-p})(\delta_t^q + 1)^{p-1}.$$

In the sequel, we write

$$f_t \equiv g_t$$

when $f_t = g_t + o(t^2)$ when $t \rightarrow 0$.

We note that $c_t(1 - c_t) = (\frac{1}{2} + t)(\frac{1}{2} - t) = \frac{1}{4}(1 - 4t^2)$. We deduce that

$$\gamma_t \equiv \frac{1}{2^p}(1 - 2pt^2). \tag{6.5}$$

We set $\lambda = 2\theta - 1$ for convenience. Then we have

$$\begin{aligned} \delta_t &= \left(\frac{1 - 2t}{1 + 2t}\right)^{\frac{\lambda}{p}} \\ &\equiv ((1 - 2t)(1 - 2t + 4t^2))^{\frac{\lambda}{p}} \\ &\equiv (1 - 4t + 8t^2)^{\frac{\lambda}{p}} \\ &\equiv 1 - \frac{4\lambda}{p}t + \frac{8\lambda}{p}t^2 + \frac{1}{2} \frac{\lambda}{p} \left(\frac{\lambda}{p} - 1\right)(4t)^2 \\ &\equiv 1 - \frac{4\lambda}{p}t + \frac{8\lambda^2}{p^2}t^2. \end{aligned}$$

This implies that

$$\begin{aligned} \delta_t^q &\equiv 1 - \frac{4\lambda q}{p}t + \frac{8\lambda^2 q}{p^2}t^2 + \frac{1}{2} q(q - 1) \left(\frac{4\lambda}{p}\right)^2 t^2 \\ &\equiv 1 - \frac{4\lambda q}{p}t + \frac{8\lambda^2 q^2}{p^2}t^2. \end{aligned}$$

Likewise,

$$\delta_t^{-p} \equiv 1 + 4\lambda t + 8\lambda^2 t^2. \tag{6.6}$$

Since $p - 1 = \frac{p}{q}$, we have

$$\begin{aligned} (1 + \delta_t^q)^{p-1} &\equiv 2^{\frac{p}{q}} \left(1 - \frac{2\lambda q}{p}t + \frac{4\lambda^2 q^2}{p^2}t^2 \right)^{\frac{p}{q}} \\ &\equiv 2^{\frac{p}{q}} \left(1 - 2\lambda t + \frac{4\lambda^2 q}{p}t^2 + \frac{1}{2} \frac{p}{q} \left(\frac{p}{q} - 1 \right) \left(\frac{2\lambda q}{p} \right)^2 t^2 \right) \\ &\equiv 2^{\frac{p}{q}} (1 - 2\lambda t + 2\lambda^2 q t^2). \end{aligned}$$

Combining this expansion with equations (6.5) and (6.6), we deduce that

$$\begin{aligned} m_t &\equiv \frac{1}{2^p} (1 - 2pt^2) \cdot 2(1 + 2\lambda t + 4\lambda^2 t^2) \cdot 2^{\frac{p}{q}} (1 - 2\lambda t + 2\lambda^2 q t^2) \\ &\equiv (1 - 2pt^2)(1 + 2\lambda^2 q t^2). \end{aligned}$$

Consequently,

$$m_t \equiv 1 + \alpha t^2 \quad \text{with} \quad \alpha = 2(\lambda^2 q - p). \tag{6.7}$$

The second-order coefficient α can be written as

$$\begin{aligned} \alpha &= 2q \left((2\theta - 1)^2 - \frac{p}{q} \right) \\ &= 8q \left(\theta^2 - \theta + \frac{q-p}{4q} \right) \\ &= 8q(\theta - \theta_0)(\theta - \theta_1), \end{aligned}$$

with

$$\theta_0 = \frac{1}{2}(1 - \sqrt{p-1}) \quad \text{and} \quad \theta_1 = \frac{1}{2}(1 + \sqrt{p-1}).$$

Now, assume equation (6.1). Then $\alpha > 0$. Hence, equation (6.7) ensures the existence of $t > 0$ such that $m_t > 1$, which concludes the proof (in the case $p > 1$).

We now consider the case $\underline{p=1}$. We apply the same method as before, with

$$a = 1 \quad \text{and} \quad b = 0.$$

According to equation (6.3), it will suffice to show that whenever $\theta \neq \frac{1}{2}$, there exists $c \in (0, 1)$ such that $(c(1 - c))^{\frac{1}{2}}(1 + \delta) > 1$.

Again, we set $c_t = \frac{1}{2} + t$, for $-\frac{1}{2} < t < \frac{1}{2}$, we define δ_t accordingly, and we set

$$m_t = (c_t(1 - c_t))^{\frac{1}{2}}(1 + \delta_t).$$

It follows from the previous calculations that

$$(c_t(1 - c_t))^{\frac{1}{2}} = \frac{1}{2} + o(t) \quad \text{and} \quad \delta_t = 1 - 4(2\theta - 1)t + o(t).$$

Consequently,

$$m_t = 1 - 2(2\theta - 1)t + o(t).$$

This order one expansion ensures that if $\theta \neq \frac{1}{2}$, then there exists $t \in (-\frac{1}{2}, \frac{1}{2})$ such that $m(t) > 1$, which concludes the proof (in the case $p = 1$). □

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