

RINGS WHICH RESEMBLE RINGS OF ENTIRE FUNCTIONS

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Since Helmer's 1940 paper [9] laid the foundations for the study of the ideal theory of the ring $A(\mathbb{C})$ of entire functions†, many interesting results have been obtained for the rings $A(X)$ of analytic functions on non-compact connected Riemann surfaces. For example, the partially ordered set $\text{Spec}(A(\mathbb{C}))$ of prime ideals of $A(\mathbb{C})$ has been described by Henrikson and others [2], [10], [11]. Also, it has been shown by Alling [4] that $\text{Spec}(A(\mathbb{C})) \cong \text{Spec}(A(X))$ as topological spaces for any non-compact connected Riemann surface X . Many results on the valuation theory of $A(X)$ have also been obtained [1], [2]. In this note we show that a large portion of the results on the rings $A(X)$ extend to the W -rings with complete principal divisor space which were defined by J. Klingen in [15], [16]. Therefore, many properties of $A(\mathbb{C})$ are shared by its non-archimedean counterparts studied by M. Lazard, M. Krasner, and others [8], [17], [18].

In §1 we give the relevant definitions and then give some conditions on a W -ring R which are equivalent to the condition that R satisfy a Mittag-Leffler theorem, and also give some applications. In §2 we consider the group of divisibility and indicate how results of Alling [1], [2], [3], [4] on the ideal theory and valuation theory of meromorphic function fields can be extended to Klingen's more abstract setting. We conclude in §3 with some remarks on realizing a W -ring as a ring of analytic functions on a Riemann surface. Since much of the work in this note involves fairly straight-forward translations to W -rings of known results on rings of analytic functions, the details will be kept to a minimum.

1. W -rings. We recall the definitions from [16] that we will use.

DEFINITION 1.1. An integral domain R is called a *topological ZPE-domain* if the following hold:

(T) R is a Hausdorff topological ring in which the first countability axiom holds and all principal ideals are closed.

(ZP1) R is a GCD-domain.

(ZP2) R is topologically factorial; that is for every non-unit $x \in R$ there exists a sequence $\{p_i\}_{i=1}^N$ of pair-wise nonassociate prime elements, where N is a natural number or ∞ , a sequence $\{n_i\}_{i=1}^N$ of natural numbers, and a sequence $\{\epsilon_i\}_{i=1}^N$ of units of R , such that $\prod_{i=1}^N (p_i^{n_i} \epsilon_i)$ converges in R to x . Further, the sequence $\{(p_i R, n_i)\}_{i=1}^N$ is unique up to order.

† It has been pointed out to the author by N. L. Alling that the main result of [9] can actually be found in J. H. M. Wedderburn's paper: On matrices whose coefficients are functions of a single variable, *Trans. Amer. Math. Soc.* **16** (1915), 328–332.

If R is a topological ZPE-domain we will denote the set of non-zero principal prime ideals of R by $X(R)$, or just X if no confusion can rise. By [16, Satz 1, p. 62] R_P is a rank one discrete valuation ring for each $P \in X$. We will denote by v_P the associated normalized valuation, or sometimes v_p if $P = pR$.

DEFINITION 1.2. If R is a Hausdorff topological ring, a set \mathcal{P} of prime ideals of R is said to be *permissible* if \mathcal{P} is finite, or if \mathcal{P} is countable and for some (equivalently, for every) numbering $\{P_i\}_{i=1}^\infty$ of \mathcal{P} , a sequence $\{r_i\}_{i=1}^\infty$ with $r_i \in P_i$ exists such that

$$\lim_{i \rightarrow \infty} r_i = 1.$$

We say that a set (or sequence) of prime elements $\{p_i\}_{i=1}^\infty$ is permissible if $\{p_i R\}_{i=1}^\infty$ is permissible.

If R is a topological ZPE-domain and $x \in R$, then $\{P \in X \mid v_P(x) \neq 0\}$ is a permissible set [16, p. 62, Lemma 2].

DEFINITION 1.3. A topological ZPE-domain R is said to be a *W-ring* if for every permissible sequence $\{p_i\}_{i=1}^\infty$ of prime elements of R , and every sequence $\{n_i\}_{i=1}^\infty$ of positive integers, there exists a sequence $\{\epsilon_i\}_{i=1}^\infty$ of units (called a *convergence producing factor system* for $\{(p_i, n_i)\}_{i=1}^\infty$), such that the product $\prod_{i=1}^\infty (p_i^{n_i} \epsilon_i)$ converges in $R - \{0\}$.

Several examples of topological ZPE-rings are given in [15], [16]. In particular, if a domain R is a topological ZPE-domain, then so is the polynomial ring $R[X]$ [16, Satz 4, p. 65]. At present however, the only examples of *W-rings* known to the author are the rings $A(X)$ of analytic functions on a Riemann surface, and the non-archimedean counterparts of $A(\mathbb{C})$ which were investigated in [8], [17], [18]. These latter rings will be defined in §2.

DEFINITION 1.4. A topological ZPE-domain R is said to have *representation field* k if k is a subfield of R which is mapped onto R/P by the canonical map for each $P \in X$.

Let R be a topological ZPE-domain with quotient field F and representation field k , and let $\mathbb{P}(R)$ be a set of representatives for the prime elements of R . Let $f \in F$, $p \in \mathbb{P}(R)$ and $v_p(f) = m$. Then it follows as in [16, Lemma 4] that there exist unique $a_i \in k$ such that $v_p\left(f - \sum_{i=m}^n a_i p^i\right) > n$ for each integer $n \geq m$. Then $\sum_{i=m}^n a_i p^i$ is called the *n-th partial sum of f at p*. If $m \leq n = -1$, then $\sum_{i=m}^n a_i p^i$ is called the *principal part of f at p*.

DEFINITION 1.5. Let R be a topological ZPE-domain with representation field k and quotient field F and let $\psi: F \rightarrow \prod_{P \in X} F/R_P$ be the canonical map. Then $\psi(F)$ is called the *principal divisor space* of R and is denoted $HT(R)$.

In [16] $HT(R)$ is given a topology so that $HT(R)$ becomes a topological vector space over k where k is given the discrete topology. The only fact we need about the topology is

the following. Let

$$\prod_{p \in X}^* F/R_p = \left\{ \alpha \in \prod_{p \in X} F/R_p \mid \text{support of } \alpha \text{ is permissible} \right\}.$$

Then $\text{HT}(R) \subseteq \prod_{p \in X}^* F/R_p$ with equality exactly when $\text{HT}(R)$ is complete [16, Proposition 3].

THEOREM 1.1. *Let R be a W -ring with quotient field F and representation field k . The following properties of R are equivalent.*

- (1) $\text{HT}(R)$ is complete.
- (2) $\psi : F \rightarrow \prod_{p \in X}^* F/R_p$ is surjective.
- (3) For any permissible set $D \subseteq \mathbb{P}(R)$ and any set of polynomials $\{h_p\}_{p \in D}$ in $R[X]$ with $h_p(0) = 0$ for all $p \in D$, there exists $f \in F$ with principal part $h_p(p^{-1})$ at p for $p \in D$, and $v_p(f) \geq 0$ for $p \in \mathbb{P}(R) \setminus D$.
- (4) For any permissible set $D \subseteq \mathbb{P}(R)$ and any set $\left\{ \sum_{i=m_p}^{n_p} a_{ip} p^i \right\}_{p \in D}$ of partial sums, there exists $f \in F$ with n_p -th partial sum $\sum_{i=m_p}^{n_p} a_{ip} p^i$ at $p \in D$ and $v_p(f) \geq 0$ for $p \in \mathbb{P}(R) \setminus D$.
- (5) For any permissible set $D \subseteq \mathbb{P}(R)$, and any family $\{f_p\}_{p \in D}$ of elements of F , and integers $\{n_p\}_{p \in D}$ there exists $f \in F$ such that $v_p(f - f_p) > n_p$ for $p \in D$ and $v_p(f) \geq 0$ for $p \in \mathbb{P}(R) \setminus D$.
- (6) For any $f \in R$, $R/fR \cong \prod_{i=1}^{\infty} R/p_i^n R$ where $f = \prod_{i=1}^{\infty} (p_i^n \epsilon_i)$ with $p_i \in \mathbb{P}(R)$ and ϵ_i units of R .

Proof. (1) \Leftrightarrow (2). [16, p. 71, Corollary].

(2) \Leftrightarrow (3). This is immediate from the definitions.

(3) \Rightarrow (4). Let $D \subseteq \mathbb{P}(R)$ be permissible and for each $p \in D$ let $A_p = \sum_{i=m_p}^{n_p} a_{ip} p^i$ be a given partial sum. Since R is a W -ring there exists a convergence producing factor system $\{\epsilon_p\}_{p \in D}$ so that $g = \prod_{p \in D} (p^{n_p+1} \epsilon_p) \in R$. Let the $(2n_p - m_p + 1)$ th partial sum at p of g be B_p . By [1, Proposition 1.2] there exists $C_p = \sum_{i=0}^{n_p - m_p} c_{ip} p^i$ such that

$$v_p(p^{-(n_p+1)} B_p C_p - p^{-m_p} A_p) \geq n_p - m_p + 1.$$

But then $v_p(p^{(m_p - n_p - 1)} B_p \cdot C_p - A_p) \geq n_p + 1$. Since $p^{(m_p - n_p - 1)} C_p$ is a principal part by (3) there exists $h \in F$ such that the principal part H_p of h at p is $C_p \cdot p^{(m_p - n_p - 1)}$, and $v_p(h) \geq 0$ for $p \notin D$.

Claim. $f = hg$ has partial sum A_p at p for each $p \in D$.

Indeed let $h = C_p p^{(m_r - n_r - 1)} + h'$ and $g = B_p + g'$ where $v_p(h') \geq 0$ and $v_p(g') \geq 2n_p - m_p + 2$. Then

$$\begin{aligned} v_p(hg - A_p) &= v_p[(C_p p^{(m_r - n_r - 1)} + h')(B_p + g') - A_p] \\ &= v_p(C_p p^{(m_r - n_r - 1)} B_p + h' B_p + C_p p^{(m_r - n_r - 1)} g' + h' g' - A_p) \\ &= v_p[(C_p p^{(m_r - n_r - 1)} B_p - A_p) + h' B_p + C_p p^{(m_r - n_r - 1)} g' + h' g'] \\ &\geq \min\{v_p(B_p C_p p^{(m_r - n_r - 1)} - A_p), v_p(h' B_p), v_p(C_p p^{(m_r - n_r - 1)} g'), v_p(h' g')\} \\ &\geq \min\{n_p + 1, n_p + 1, m_p - n_p - 1 + 2n_p - m_p + 2, 2n_p + 2\} \\ &= n_p + 1. \end{aligned}$$

(4) \Rightarrow (5). It suffices to consider the case that $n_p \geq v_p(f_p)$ for every $p \in D$. Let $f_p = (\sum_{i \geq m_p} a_{ip} p^i) + g_p$ where $v_p(g_p) > n_p$. By part (4) there exists $f \in F$ such that $f = (\sum_{i \geq m_p} a_{ip} p^i) + h_p$ where $v_p(h_p) > n_p$ for $p \in D$ and $v_p(f) \geq 0$ for $p \in \mathbb{P}(R) \setminus D$. Then

$$v_p(f - f_p) = v_p(h_p - g_p) \geq \min\{v_p(h_p), v_p(g_p)\} \geq n_p + 1$$

for all $p \in D$ and $v_p(f) \geq 0$ for $p \notin D$.

(5) \Rightarrow (6). Let $(\bar{g}_i)_{i \in N} \in \prod_{i=1}^{\infty} R/p_i^{n_i} R, g_i \in R$. By (5) there exists $g \in F$ such that $v_{p_i}(g - g_i) \geq n_i$ and $v_p(g) \geq 0$ for $p \in \mathbb{P}(R) \setminus \{p_i\}_{i \in N}$. Then

$$v_{p_i}(g) = v_{p_i}(g - g_i + g_i) \geq \min\{v_{p_i}(g - g_i), v_{p_i}(g_i)\} \geq 0,$$

so $g \in R$. Further $v_{p_i}(g - g_i) \geq n_i \Rightarrow g \equiv g_i \pmod{p_i^{n_i} R}$. Thus the canonical map $R \rightarrow \prod_{i=1}^{\infty} R/p_i^{n_i} R$ is surjective. Its kernel is clearly fR .

(6) \Rightarrow (2). Let $\{\sum_{i=-m_p}^{-1} a_{ip} p^i\}_{p \in D}$ be a set of principal parts where $D \subseteq \mathbb{P}(R)$ is permissible. Let $g = \prod_{p \in D} (p^{m_p} \epsilon_p)$ for some convergence producing factor system $\{\epsilon_p\}_{p \in D}$. Since the canonical map $R \rightarrow \prod_{p \in D} R/p^{m_p} R$ is surjective, there exists $f \in R$ such that $f \equiv g \sum_{i=-m_p}^{-1} a_{ip} p^i \pmod{p^{m_p} R}$ for every $p \in D$. Thus $v_p(f - g \sum_{i=-m_p}^{-1} a_{ip} p^i) \geq m_p$ and therefore $v_p(f/g - \sum_{i=-m_p}^{-1} a_{ip} p^i) \geq 0$. Therefore $f/g \in F$ with principal parts $\{\sum_{i=-m_p}^{-1} a_{ip} p^i\}_{p \in D}$.

The above theorem allows us to give a very simple proof of the following result of Klinglen [16, Satz 6].

THEOREM 1.2. *If R is a W -ring with $HT(R)$ complete, then R is Bezout; that is, every finitely generated ideal of R is principal.*

Proof. It suffices to show that if $f, g \in R$ have no common non-unit factors, then there exists $h, t \in R$ such that $hf + tg = 1$. Let $g = \prod_{i \in N} (p_i^{n_i} \epsilon_i)$, where the $\epsilon_i \in R$ are units. We must find $h \in R$ such that $(1 - hf)/g \in R$; that is we must find $h \in R$ such that $1 - hf \equiv 0 \pmod{p_i^{n_i} R}$ for all $i \in N$. By part (6) above it suffices to show that for each $i \in N$ there

exists $h_i \in R$ such that $1 \equiv h_i f \pmod{p_i^n R}$. But since no p_i divides $f, i \in N, f$ is a unit $\pmod{p_i^n R}$ so this is clear.

Many properties of W -rings with complete principal divisor space can be derived via Theorem 1.1 from facts about countable products of rank one discrete valuation rings. For example the ideas in [5] yield the following:

THEOREM 1.3. *Let R be a non-Noetherian W -ring with representation field k and $HT(R)$ complete.*

- (a) *If M is a maximal ideal of R, MR_M is principal.*
- (b) *If k is algebraically closed, then R/M is algebraically closed for each maximal ideal M of R .*
- (c) *Every non-zero prime ideal of R is contained in a unique maximal ideal of R .*
- (d) *If M is a maximal ideal of $R, Q = \bigcap_{n=1}^{\infty} M^n$ is the largest non-maximal prime ideal contained in M , and R/Q is a rank one discrete valuation ring.*
- (e) *There exists a maximal ideal M of R such that $Q = \bigcap_{i=1}^{\infty} M^n \neq \{0\}$, and for such an $M, R/Q$ is complete and M contains a chain of prime ideals of length 2^{\aleph_0} .*

2. The group of divisibility. If R is a W -ring, let X_0 be the set $X = X(R)$ with the topology inherited from $\text{Spec}(R)$ with the Zariski topology. It follows that the closed sets of X_0 are X and the permissible subsets of X , and that the group of divisibility $G(R)$ of R is isomorphic to $\{\alpha \in Z^X \mid \text{Supp}_X(\alpha) \text{ is permissible}\}$ where $\text{Supp}_X(\alpha) = \{P \in X \mid \alpha(P) \neq 0\}$. Thus $G(R)$ is completely determined by X_0 . If also R is Bezout (e.g., if $HT(R)$ is complete), then $G(R)$ completely determines $\text{Spec}(R)$ as a partially ordered set by [7, p. 197]. In fact $G(R)$ determines $\text{Spec}(R)$ as a topological space (and more) as the next theorem shows. We will use the following terminology and notation.

DEFINITION 2.1. A proper subset J of a lattice ordered abelian group G is a *dual ideal* if the following hold:

- (1) If $a, b \in J, \inf(a, b) \in J$, and
- (2) if $a \in J, g \in G$, and $g \geq a$, then $g \in J$.

If G is a lattice ordered abelian group let $G_+ = \{g \in G \mid g \geq 0\}$, $\text{di}(G)$ = the set of dual ideals of $G, J(G) = \{J \in \text{di}(G) \mid \text{there exists } d \in G \text{ such that } d \leq j \text{ for all } j \in J\}$, and $J(G_+) = \{J \in \text{di}(G) \mid J \subseteq G_+\}$. A dual ideal $J \in J(G_+)$ is called *prime* (respectively *primary*) if $a, b \in G_+ \setminus J$ implies $a + b \in G_+ \setminus J$ (respectively $a, nb \in G_+ \setminus J$ for $n = 1, 2, \dots$ implies $a + b \in G_+ \setminus J$). For $a, b \in G$, let $a \wedge b = \inf\{a, b\}$.

THEOREM 2.1. *If R is a Bezout domain with quotient field K and group of divisibility G , then the canonical map $w : K \rightarrow G \cup \{\infty\}$ gives a bijection from the set of R -submodules of K onto the set $\text{di}(G)$, and carries the sets of fractional ideals, integral ideals, prime ideals, and primary ideals onto the sets $J(G), J(G_+)$, and the sets of prime and primary dual ideals,*

respectively. Further w has the properties

- (a) $w(I_1 + I_2) = w(I_1) \wedge w(I_2) = \{j_1 \wedge j_2 \mid j_1 \in w(I_1), j_2 \in w(I_2)\}$,
 (b) $w(I_1 I_2) = w(I_1) + w(I_2) = \left\{ \bigwedge_{r=1}^n (j_{1r} + j_{2r}) \mid j_{1r} \in w(I_1), j_{2r} \in w(I_2), r = 1, 2, \dots, n \right\}$,

and

- (c) $w(I_1 \cap I_2) = w(I_1) \cap w(I_2)$.

Proof. The first statement follows as in [7, p. 197]. The rest is given in [4, §2] for rings of analytic functions and easily extends to arbitrary Bezout domains. As an example we consider part (a) which appears in [4] to be the least straightforward. First note that if J, J' are dual ideals of a lattice ordered abelian group G , then $J \wedge J'$ is a dual ideal [4, Lemma 2.8]. Let $a \in I_1, b \in I_2$. Then since R is Bezout, $aR + bR = cR$ for some $c \in R$. Then $a + b = rc$ for some $r \in R$ and so

$$w(a + b) = w(rc) = w(r) + w(c) \geq w(c) = w(a) \wedge w(b);$$

so $w(I_1 + I_2) \subseteq w(I_1) \wedge w(I_2)$. Conversely, let $w(a) \wedge w(b) \in w(I_1) \wedge w(I_2)$, $a \in I_1, b \in I_2$. Then again there exists $c \in R$ such that $cR = aR + bR$, say $c = ra + sb$, $r, s \in R$. Then $w(a) \wedge w(b) = w(c) \in w(I_1 + I_2)$, so $w(I_1) \wedge w(I_2) \subseteq w(I_1 + I_2)$.

Let k be an algebraically closed field which is complete with respect to a non-archimedean valuation $|\cdot|_v$. Let L_k be the ring consisting of all Laurent series $\sum_{i=-\infty}^{\infty} a_i X^i$, $a_i \in k$ such that $\sum_{i=-\infty}^{\infty} a_i t^i$ converges for every $t \in k$. Then by [8, 17, 18] L_k shares many properties of the ring $A(\mathbb{C})$ of entire functions. In [15, Satz 5.2] it was shown that L_k is a W -ring with representation field k and $\text{HT}(L_k)$ complete. The following result shows that if k has cardinality 2^{\aleph_0} , then the ideal theory of L_k is virtually identical to that of $A(X)$ for any non-compact connected Riemann surface X .

THEOREM 2.2. *Let k be an algebraically closed field which is complete with respect to a non-archimedean valuation $|\cdot|_v$. If k and \mathbb{C} have the same cardinality, then for any non-compact connected Riemann surface X , L_k and $A(X)$ have isomorphic groups of divisibility, and therefore isomorphic lattices of ideals.*

Proof. By [4, Theorem 2.3] it suffices to consider the case $X = \mathbb{C}$. Let $R = L_k$. From [15, Lemma 5.2] we get that there are canonical bijections $k \rightarrow X(R)$ and $\mathbb{C} \rightarrow X(A(\mathbb{C}))$, defined by $a \rightarrow (X - a)R$ and $a \rightarrow (X - a)A(\mathbb{C})$. Let $U_n = \{a \in k \mid |a|_v < n\}$ and $V_n = \{a \in \mathbb{C} \mid |a| < n\}$ for each positive integer n .

Now $U_n \setminus U_{n-1}$ is uncountable for each $n \geq 1$ since for each $t \in k$, $\text{card}\{a \in k \mid |a|_v = t\} = \text{card}\{a \in k \mid |a|_v = 1\}$. Thus for each n there is a bijection $\varphi_n : U_n \setminus U_{n-1} \rightarrow V_n \setminus V_{n-1}$. But since $U_n \setminus U_{n-1}$ and $V_n \setminus V_{n-1}$ inherit from $X_0(R)$ and $X_0(A(\mathbb{C}))$ the cofinite topologies [15, Lemma 5.2], φ_n is a homeomorphism for each n . The φ_n patch together to give a homeomorphism $\varphi : X_0(R) \rightarrow X_0(A(\mathbb{C}))$. But as observed before, this implies R and $A(\mathbb{C})$ have isomorphic groups of divisibility.

NOTE. The cardinality condition in the above theorem is obviously necessary.

As in the case of analytic functions one can obtain information about $\text{Spec}(R)$ for more general W -rings R , and also information about the valuation theory of such rings, by using the correspondence between ideals of R and the Δ -filters of $X(R)$. We adapt the notation of [4] to our setting. If R is a W -ring and $r \in R$, let $Z(r) = \{P \in X(R) \mid r \in P\}$. For any subset S of R , let $Z(S) = \{Z(r) \mid r \in S\}$, and let $\Delta = Z(R)$. Then Δ is the set of Zariski closed subsets of $X(R)$.

DEFINITION 2.2. A Δ -filter on X is a subset δ of Δ such that:

- (a) $\emptyset \notin \delta$
- (b) $U, V \in \delta \Rightarrow U \cap V \in \delta$.
- (c) $U \in \delta, V \in \Delta$ and $U \subseteq V \Rightarrow V \in \delta$.

A maximal Δ -filter is called a Δ -ultrafilter.

The following lemma is a straightforward extension of a well-known result on rings of functions [4, 10].

LEMMA. If I is a proper ideal of a W -ring R then $Z(I)$ is a Δ -filter. Conversely, if δ is a Δ -filter, $Z^{-1}(\delta)$ is a proper ideal of R . Further $I \subseteq Z^{-1}Z(I)$, so the set of maximal ideals of R is in one-to-one correspondence with the set of Δ -ultrafilters on X .

A Δ -ultrafilter δ is called *fixed* if $\bigcap \{D \mid D \in \delta\} \neq \emptyset$, and is called *free* otherwise. A maximal ideal M of R is called *fixed* (respectively *free*) if $Z(M)$ is fixed (respectively free). Let R be a W -ring with $\text{HT}(R)$ complete and let M be a free maximal ideal of R . As in the case of rings $A(X)$ of analytic functions [2, p. 11] we can realize R/M as an ultra-power of k . Indeed let $\delta = Z(M)$ and let $D \in \delta, D \neq X$. Then $\mu = \{D \cap E \mid E \in \delta\}$ is an ultrafilter on D , and we have a homomorphism $\varphi: R \rightarrow k^D$ defined by $\varphi(r) = \tilde{r} \mid D$ where $\tilde{r}: X \rightarrow k$ is defined by $\tilde{r}(p)$ is the residue class of r in $R/p = k$. Let $M' = \{f \in k^D \mid f^{-1}(0) \in \mu\}$. Then $M = \varphi^{-1}(M')$ and so we have a natural injection $\bar{\varphi}: R/M \rightarrow k^D/M'$. Further, since R is a W -ring with $\text{HT}(R)$ complete, φ is onto by Theorem 1, and thus $\bar{\varphi}$ is an isomorphism. This gives another proof that R/M is algebraically closed if k is. Further, we find that if k is an infinite field, then M is principal if and only if the canonical map $k \rightarrow R/M$ is onto, and that the fixed maximal ideals of R are just the elements of $X(R)$.

The value groups of the valuation rings R_M may also be represented as ultrapowers as follows. Let M be a maximal ideal of the W -ring R having $\text{HT}(R)$ complete. If $M \in X$ then clearly $G(R_M) = Z$. If M is free then consider the canonical map $v: (K \setminus \{0\}) \rightarrow G(R) = \{\alpha \in Z^X \mid \text{Supp}_X(\alpha) \neq X \text{ and is closed in } X_0\}$. If $D \in \delta = Z(M), D \neq X$, then restriction to D gives us an order preserving group homomorphism $\rho: G(R) \rightarrow Z^D$. Then $\rho \cdot v$ is onto since R is a W -ring. Let μ be the ultrafilter $\mu = \{E \cap D \mid E \in \delta\}$ on D and let $H = \{\alpha \in Z^D \mid \alpha(B) = 0 \text{ for some } B \in \mu\}$. Then $G(R_M) = Z^D/H$.

In [4] an ideal I of a ring R is called *local* if it is contained in a unique maximal ideal. In [2, 4] the decompositions of ideals of $A(X)$ into local ideals were studied and in [3, 20] the primary ideals of $A(X)$ were studied where X is a non-compact connected Riemann surface. We add a few remarks on these ideas.

PROPOSITION 2.1. *Let R be a Bezout domain such that each non-zero prime ideal of R is contained in a unique maximal ideal. The following properties of a non-zero ideal I of R are equivalent.*

- (1) $I = \bigcap \{IR_M \mid M \text{ is a maximal ideal of } R\}$.
- (2) I has prime radical.
- (3) I is a local ideal.

Proof. (1) \Rightarrow (2). Since R_M is a valuation ring IR_M has prime radical, which we denote by P . Then $P \cap R$ is the radical of $IR_M \cap R$. (2) \Rightarrow (3) is clear. (3) \Rightarrow (1). This follows since for any ideal $I = \bigcap \{IR_M \cap R \mid M \text{ is a maximal ideal of } R\}$.

Now let R be a Bezout ring as in the above proposition. Then for any ideal I of R , $I = \bigcap \{IR_M \cap R \mid M \text{ is a maximal ideal of } R\}$ gives a decomposition of I as an intersection of local ideals and these local ideals are irreducible by [13, Theorem 8]. For each maximal ideal M of R let $\mathcal{F}(M) = \{I \mid I \text{ is an ideal such that } IR_M \cap R = I\}$. Then the set of local ideals of R is partitioned into the sets $\mathcal{F}(M)$, M a maximal ideal of R , and for each maximal ideal M , $I \rightarrow IR_M$ gives a bijection between the elements of $\mathcal{F}(M)$ and the ideals of R_M . The primary ideals of R are of course local ideals and this bijection preserves primary ideals. Thus the analysis of the local and primary ideals reduces to studying the ideal theory of R_M for maximal ideals M . If further, R is a W -ring with $\text{HT}(R)$ complete, then the study of the ideal theory of R_M translates into an analysis of the value group of R_M and this has been determined as an ultrapower Z^D/H . Thus locally the ideal theory of one such (non-Noetherian) W -ring looks like the ideal theory of any other. We make this more precise in the next proposition.

PROPOSITION 2.2. *If R and S are non-Noetherian W -rings with $\text{HT}(R)$ and $\text{HT}(S)$ complete, and V is any valuation overring of R , then there exists a valuation overring V' of S with $G(V) \cong G(V')$, and hence V and V' have isomorphic lattices of ideals.*

Proof. Since any overring of a Bezout domain is a localization [7, Theorem 27.5] we have $V = R_P$ for some $P \in \text{Spec}(R)$. Further, if we let M be a maximal ideal of R containing P then $G(R_P)$ is a quotient of $G(R_M)$ by an isolated subgroup of $G(R_M)$. Thus it suffices to consider the case that $V = R_M$, M a maximal ideal. If $M \in X(R)$ the result is trivial, so we may assume that M is a free ideal. Let $D \in Z(M)$, $D \neq X(R)$. Let $E \neq X(S)$ be an infinite permissible subset of $X(S)$, and let $\varphi: D \rightarrow E$ be a bijection. Then $\mu_1 = \{D \cap H \mid H \in Z(M)\}$ is an ultrafilter on D and so $\mu_2 = \{\varphi(B) \mid B \in \mu_1\}$ is an ultrafilter on E . Let $\delta = \{H \in Z(S) \mid H \cap E \in \mu_2\}$. Then δ is a Δ -ultrafilter on $X(S)$. Let $N = Z^{-1}(\delta)$ be the corresponding maximal ideal of S . Then S_N is a valuation ring whose value group $G(S_N)$ is $Z^E/\mu_2 \cong Z^D/\mu_1 = G(R_M)$.

COROLLARY. *Any two non-Noetherian W -rings R with $\text{HT}(R)$ complete have the same dimension.*

3. W -rings as rings of analytic functions. Let K be a field containing \mathbb{C} as a subfield. A \mathbb{C} -rational place of K is a place $s: K \rightarrow \mathbb{C} \cup \{\infty\} = \Sigma$ which maps \mathbb{C} onto \mathbb{C} . Let S be the

set of \mathbb{C} -rational places of K . We get a natural map $\varphi : K \rightarrow \Sigma^S$ defined by $\varphi(f)(s) = s(f)$. It is well-known [6] that if K is an algebraic function field in one variable over \mathbb{C} , then S is in a natural way a compact connected Riemann surface such that φ identifies K with the set of meromorphic functions on S , and every compact connected Riemann surface is of this form. A similar result for open Riemann surfaces has remained an elusive problem [14, 19]. It was shown by Iss'sa [12] that if X is an open connected Riemann surface, then X is uniquely determined as a Riemann surface by its field $M(X)$ of meromorphic functions. There remains the problem of determining those fields F which are of the form $M(X)$, or equivalently those rings R of the form $A(X)$, for some open Riemann surface X . In particular does it hold that every W -ring R with coefficient field \mathbb{C} and $\text{HT}(R)$ complete is of this form? Let R be a W -ring with coefficient field \mathbb{C} and $\text{HT}(R)$ complete. Then each point $P \in X(R)$ defines a \mathbb{C} -rational place s_P by $s_P(a)$ is the residue class of a in $R/P = \mathbb{C}$ if $a \in R_P$, and $s_P(a) = \infty$ if $a \in K \setminus R_P$, where K is the quotient field of R . We get a natural map $\varphi : K \rightarrow \Sigma^X$ where $\Sigma = \mathbb{C} \cup \{\infty\}$. If X is uncountable, then since each $a \in R$ has at most countable many zeros, it follows that φ is injective. If $R = A(Y)$ for some Riemann surface Y , then Y would correspond to X as a point set, and would have the weakest topology such that all of the elements of $\varphi(K)$ are continuous. Further, each \mathbb{C} -rational place of K whose valuation ring is rank one discrete, would be of the form s_P for some $P \in X$ [12]. Besides the given topology on a W -ring R , the embedding $\varphi : R \rightarrow \Sigma^X$ allows one to give R the compact-open topology (which is in general weaker than the given topology). Call R with this topology A . If X is second countable and locally compact, then it can be seen that A is also a W -ring with $\text{HT}(A)$ complete, but it remains to determine a conformal structure on X . While we do not know what conditions on R are required for A to be $A(X)$ we note the further condition that for every $a \in A$ there must exist a ring homomorphism $f_a : A(\mathbb{C}) \rightarrow A$ such that $f_a(z) = a$ where $z : \mathbb{C} \rightarrow \mathbb{C}$ is the identity function. That is $A(\mathbb{C})$ is a free object on one generator in the category of rings of analytic functions on open Riemann surfaces. This implies that each \mathbb{C} -rational place of K having rank one discrete valuation ring, is of the form s_P for some $P \in X$.

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