

# OPERATIONAL EXCELLENCE FOR SYSTEMS ENGINEERING (OESE): STATE OF ART

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#### ABSTRACT

Operational Excellence (EO) is increasingly present in scientific and managerial news. Increasing competition, increasingly uncertain events, demands customers and society increasingly pressing, the evolution of systems towards cyber physics systems, push organizations to adapt their engineering methodologies. Excellence operational (EO) is one of the answers proposed by the scientific literature to make engineering organizations more flexible, more responsive, more efficient and therefore more competitive. In this article, we share a state of the art of operational excellence (EO) in system engineering (IS) through its most modern methodologies: the Lean Six Sigma (LSS), Theory of Constraints (TOC) and Agility (A) with an operational approach including social and societal responsibility via the Quality-Cost-Delay-Security-Environment (QCDSE). We finish by sharing four assumptions that will serve as a basis, in our future contribution, to propose a synergy solution to implement an Operational Excellence approach in systems engineering organizations.

Keywords: Industry 4.0, Systems Engineering (SE), Design methodology, Collaborative design, Optimisation

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# **1** INTRODUCTION

The design of system engineering takes a significant technological way in recent years. We are gradually moving towards IoT systems, inter-connected with an exponential complexity without to measure all the possible impacts. One thing is sure, our engineering methodologies will have to adapt to this reality in order to remain efficient and competitive. The scientific literature shows us that only adaptive, learning and inclusive methodologies can effectively respond to constantly changing issues. As such, Operational Excellence (OE), which is a scientific, managerial, operational, global, continuous improvement approach, should enable engineering organizations to continuously adapt to this and to the future changes. A large number of works and books propose methodologies to adopt an OE approach for high performance and competitive organizations (Oakland, 2001) (Burton and Pennotti, 2003) (Gershon, 2010) (Collins, 2001) (Geracie and Eppinger, 2013) (Girard, 2017) (Jombart, 2016) (Rossi et al. 2016) (Meyer, 2014) (Ghavami, 2008) (Baxter, 2015). These works have inspired us to achieve this paper. The OE approach was born at the end of the 19th century, in American industry, with Taylorism. Henry Ford then introduced the notion of continuous movement in the 1920s. Customer satisfaction appeared around the 1930s and 1940s, thanks to Alfred Sloan. Later, Edward Deming, between 1945s-1960s, was the first to introduce the notion of statistics in quality control, and he gave birth to the wheel of continuous improvement (Jombart, 2016). OE is a recent term that encompasses all the methodologies used to continuously improve the organizations performance.

In the following lines, we propose you a state of the art of OE through its most current methodologies: Lean Six Sigma (LSS), Theory of Constraints (TC) and Agility (A). As OE is a recent term, we propose a state of the art adapted to the growing interest of scientific research on this problematic. We introduce the subject with a statistical analysis before discussing the definition and characteristics of each of current OE methodologies. Then, we will talk about the complementarity of these current methodologies through synergy solutions: The TLS (Theory of Constraints-Lean-Six Sigma) and the LAS (Lean Six Sigma-Agility-System Engineering). We will share the four assumptions which will allow us to propose our synergy solution for the engineering of systems (Hehenberger et al. 2016). Figure 1, below, is an adapted version of (Morris, 2021). It illustrates the evolution of current OE methodologies over time. We have chosen to include the history of systems engineering to well contextualize for our works.

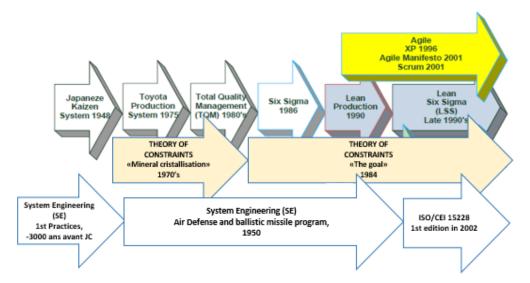


Figure 1: History of comparative developments of systems engineering and operational excellence.

## 2 STATE OF ART

#### 2.1 Some Data

In this section, we present some statistical data highlighting the growing importance of current OE methodologies in the scientific literature. (Badwe and Erkan, 2018), have performed a statistical analysis of academic publications on Lean, Six Sigma, Lean Six Sigma (LSS) and agility (A) after searching for articles with the Six Sigma, Lean, Lean six Sigma and Agile "Keyword" in the primary subjects (title, abstract, keywords) on the ISI Web of Knowledge databases. This statistical analysis s construct with respect to the forty six papers published over a period of more than ten years, from 2003 to 2017. It demonstrates, factually, the growing importance of current OE methodologies in the systems engineering. Figures 2, 3, 4 are graphic transcriptions of the data obtained through this analysis. They are complementary and have been extracted from the work of (Badwe and Erkan, 2018).

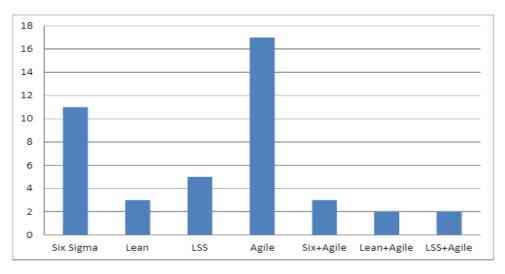


Figure 2: Occurrence of current methodologies discussed in academic publications.

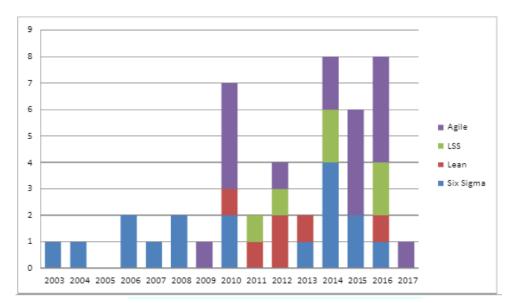


Figure 3: Allocation of publications on the current methodologies discussed by chronological order.

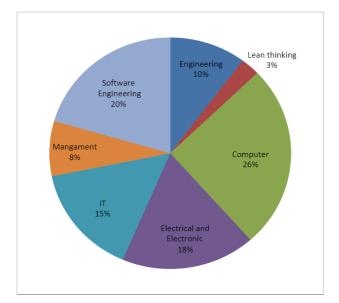


Figure 4: Allocation of academic publications by field of systems engineering.

The bibliographical study made by (Badwe and Erkan, 2018) and our professional experiences in systems engineering, have pushed us to orientate our works towards these current OE methodologies. In the following section, we will focus on their definitions, their characteristics, their complementarities and their antagonisms.

# 2.2 Definitions

Operational Excellence (OE). (Girard, 2017) defines OE as an element of the corporate culture aimed at promoting behaviours and implementing an organization and tools to continuously improve an organization's performance. In his definition, Girard highlights five (5) key concepts: culture, behaviours, organization, tools and performance continuous improvement. \Culture requires considering OE as a long-term objective that touches the company's DNA. Behaviours require that the implementation of the culture be translated into specific behaviours that are in line with the company's overall business. Organization requires that the culture put in place and the behaviours adopted be identifiable within an organization. The tools will be necessary for the deployment and the application of this new corporate culture. Performance continuous improvement is the goal of OE. The long-term goal is to continually drive the company to a high level of performance and competitiveness. To Girard's managerial definition, (Jombart, 2016) gives an operational, complementary, more visual definition. Jombart's definition highlights the operational imperatives of an organization: to satisfy the client, involve the stakeholders, make continuous progress, valorise people and share the vision. Through their definitions, (Girard, 2017) and (Jombart, 2016) define the framework of the objectives that an effective OE approach must target over time. We have based on these identified objectives to propose the adoption of an operational assessment tool with five dimensions: QCDSE (Quality-Cost-Delivery-Safety-Environment), which we define below in a dedicated section.

In the scientific literature, a significant number of scientific works associate OE with systems engineering under the name of *System Operational Excellence (SOE)*. The SOE approach is used for the design of complex systems. (Verma et al. 2003) consider that the system operational excellence concerns the systems engineering and the systems integration with the aim of achieving the best possible compromise between system performance, system availability, process efficiency and total system costs. They established the following wording to reflect the cause-and-effect relationship of these different factors for system operational excellence.

$$SOE = f(FP, SA, PE, Clfc)$$

SP = System Performance; SA = System Availability; PE = Process Efficiency; Clfc = Cost of lifecycle

Verma et al. have established an SOE model that highlights, in the detail, the various factors to be optimized for a successful system organization (Figure 5).

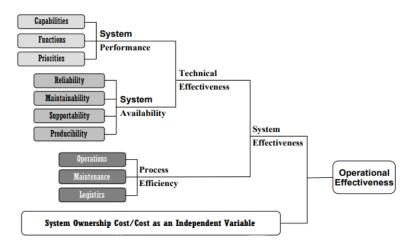


Figure 5: SOE: Model proposed by (Verma et al. 2003).

The SOE is useful for complex engineering organizations (defense, aeronautics). However, it doesn't allow to take into account social and societal stakes of current engineering organizations. Therefore, we will focus on the current OE methodologies as detailed in the following lines.

*Lean Six Sigma (LSS)*. Lean Six Sigma is the junction of two approved methodologies: Lean and Six Sigma. It integrates all the strong points of both methodologies and presents all their advantages. Since its introduction by Georges (2002), LSS has spread around the world as a tool to improve the performance of organizations. Table 1 presents the complementary advantages of Lean management and Six Sigma (Nave, 2002) (Volck, 2009) (Munteanu, 2017) (Pacheco, 2015) (Rossi et al. 2016).

Methodology	Six sigma	Lean	Lean six sigma	
Proposed in	1980	1990	2002	
Goal	- Stabilize -	- Simplify -	- Stabilize and Simplify -	
	Reduce variability	Remove waste		
	(Satisfying customer	(Improving		
	requirements)	productivity)		
Tools	DMAIC, DMADV,	Ishikawa Diagram,	Junction of Lean and Six	
	DFSS.	5W, 5S, Kanban,	Sigma tools	
	(statistical measures of	SIPOC, VSM, Poka		
	variability)	Yoke (visual		
		measures).		
Focus	Problem	Flux	Performance improvement	
Implementation	Bit difficult	Easy	Bit difficult	
Direct results	Standardization of	Reduction of flow	Combined	
	processes	duration Lean and Six Sigma		
Indirect results	Less variation, less	Less waste, fast	results.	
	inventory, performance	throughput, less		
	Measurement	inventory, performance		
	(variability), quality	measurement (flow),		
	improvement.	quality improvement.		
Limits	System interactions not	No statistical analysis.	Combined Lean and Six	
	taken into account,		Sigma limits	
	individual			
	improvement of each			
	process.			

Table 1. Lean Six Sigma: Major features

**Theory of Constraints (TC)**. The theory of constraints has been initiated by (Goldratt, 2014). In his book, he proposes a methodology to manage the inevitable bottlenecks in the execution of tasks in a systemic organization. Goldratt considers that the presence of bottlenecks is inevitable for any activity. Instead of limiting the constraints present in an organization, it is better to identify them and execute all tasks according to these constraints in order to maximize system performance. (Gershon, 2010) (Nave, 2002) and (Pacheco, 2015), in their respective works, highlight the essential characteristics of the TC. We have been inspired by these works to propose Table 2.

Methodology	Theory of Constraints		
Proposed in	1984		
Goal	- Synchronize -		
	Manage bottlenecks		
	(Reduce the impact of constraints)		
Tools	Nine (9) rules of the TOC		
	(statistical and non-statistical tools)		
Focus	Constraint		
Implementation	Difficult		
Direct results	Fast throughput		
Indirect results	Less cost overshoot, less inventory, performance measurement (throughput),		
	quality improvement		
Limits	Less known methodology, Minimized team contribution, Non-valued data		
	analysis, Abstraction of part of the organization to the benefit of constraint		
	management.		

Table 2. Theory of constraints: Major features.

*Agility* (*A*). Agility is the most current OE approach, it is applied by a large number of organizations (Badwe and Erkan, 2018). In 2001, a group of seventeen software development enthusiasts initiated the Agile Manifesto (Beck et al. 2001): a set of project management practices that aims to be more pragmatic than traditional methodologies. The agile methodology allows to an organization to increase its execution speed, its flexibility to change and to reduce execution costs by focusing on customer satisfaction, collective intelligence, daily team involvement and continuous improvement (Badwe and Erkan, 2018) (Morris, 2012). Table 3 lists the main characteristics of agility. It is extracted from the works of (Badwe and Erkan, 2018) (Morris, 2012) (Munteanu, 2017).

Methodology	Agility		
Proposed in	2001		
Goal	- Prioritize -		
	Schedule and cadence the processing of customer needs		
	(Satisfy the customer requirements, improving productivity)		
Tools	Kanban Workflow, scrum approach, Project backlog, sprint backlog, sprint		
	(iterative and incremental practices)		
Focus	System		
Implementation	Easy		
Direct results	Fast design		
Indirect results	Transparency, collective intelligence, Reduce any process time and cost		
	overload, continuous inspection, improve flexibility		
Limits	Quality often side lined, Less time for documentation, Requires the		
	customer's availability.		

Table 3. Agility: Main features.

*Systems Engineering*. Today's large industrial organizations use systems engineering to design their engineering systems. Service companies are more and more interested in it to drive their organizations in a process continuous improvement. (INCOSE, 2015) define systems engineering as a set of activities that allows to go from an identified need to a validated solution, with a systemic and controlled approach. The systems engineering is a recent science, but its practice is a millennial one. Systems engineering was born in the 1950s in the large organizations of defense, aeronautics, and automobile. As an age-old practice, the builders of the pyramids had thought about a division of activities. The art of erecting these monuments was based on a codified know-how. The questions of logistics had been intuitively integrated, the first pyramids being close to the quarries, the following ones being further away but close to the material transportation routes.

System engineering is governed by three historical standards IEEE 1220, EIS-632 and ISO/IEC 15288. The ISO/IEC 15288 standard has the broadest scope. Its processes cover the entire systems lifecycle, integrating project and organisation processes. Figure 6 is taken from (ISO/IEC 15228, 2002) (INCOSE, 2015) (Blanchard, 2016) to illustrate our orientation.

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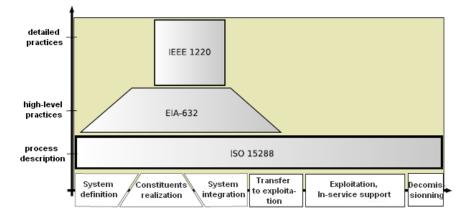


Figure 6: Systems engineering: Existing standards and definition of their perimeter according to the system lifecycle.

In large organizations, the ISO/IEC 15288 systems engineering standard is always associated with complementary methodologies to complete the approach of performance continuous improvement. (Hehenberger et al. 2016), remind us that the complexity of a systems engineering approach depends directly on the complexity of system concerned. In other words, the more complex our system is, the more complex its subsystems are, the more the number and diversity of interfaces increases, and the more the systems engineering approach will have to solve system integration and interaction problems. By causal effect, this increasing level of system complexity will require an evolution of the ISO/IEC 15288 standard and the adoption of one or more new complementary methodologies. We will base on this principles to propose a complementary methodology to the ISO/IEC 15288 for our contributions by taking into account the different types of engineering systems. Figure 7 shows the different types of engineering systems via embedded systems and cyber physical systems (Hehenberger et al. 2016). The following figure is adapted from an illustration in their paper.

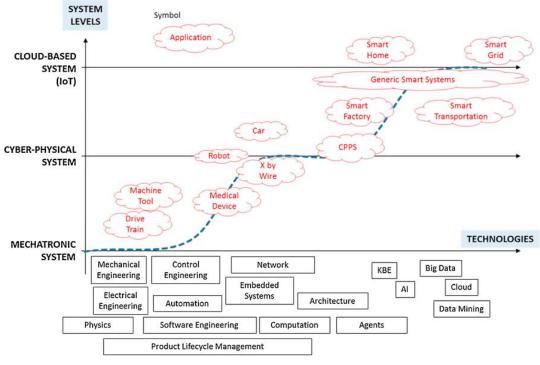


Figure 7: Systems engineering: From mechanical systems to IoT systems and cyberphysical systems.

**QCDSE.** OE as defined by Girard (2017) at the managerial level and by Jombart (2016) at the operational level, aims to improve the overall performance of an organization. To fulfilled Girard and Jombart requirements; we are looking for the most adequate criteria possible. In the managerial literature, the Quality-Cost-Delivery (QCD) triangle is the most used criteria to evaluate the operational activities of an engineering organization. However, QCD is limited by the absence of the principles of social and societal responsibilities promoted by Jombart. It became clear to us that the QCD triangle needed to evolve towards a more complete definition. Different criteria are proposed by the management and scientist literature for the continuous assessment of high performance organizations (ISO 9000, 2017) (Waal, 2011) (Porter and Tanner, 2003). We have chosen to use five-dimensional criteria (QCDSE) instead of three-dimensional criteria (QCD). The assessment criteria Quality-Cost-Delivery-Safety-Environment is an operational and a managerial tool, suitable for any production organization and, allow to integrate the principles of social and societal responsibility via two additional criteria: safety and the environment. QCDSE allows to evaluate, as a whole, the performance of our future contribution to OE methodologies. Figure 8 shows the meaning and the targets of each QCDSE criteria. It is an extraction of (Waal, 2011) (Porter and Tanner, 2003).



Figure 8: QCDSE: Definition and principles

## **3 THE EXISTING SYNERGY SOLUTIONS**

The scientific and managerial literature reports several works on synergy solutions bringing together current OE methodologies (Pacheco, 2015) (Hohmann et al. 2016) (O'Rourke, 2005) (Sreedharan and Raju, 2016) (Huang, 2002) (Gubinelli et al. 2019). Of all the synergy solutions, two solutions are unique for their completeness, their complementarity, and their ability to satisfy (Girard, 2017) and (Jombart, 2016) definitions: the TLS and the LAS.

*The solution TLS (Theory of Constraints- Lean- Six sigma).* (Hohmann et al. 2016), propose a "meta-methodology" combining Theory of Constraints, Lean and Six Sigma which would use all or a part of each of these methodologies to amplify their effects. They outline a possible deployment approach in two (2) steps. Beforehand, focus on the bottleneck whose identification and exploitation would be possible with the constraint theory. Then, once the bottleneck has been identified, use Lean or Six Sigma tools and methods for efficient exploitation. However, as also emphasized by (Hohmann et al. 2016), it is the responsibility of each organization to adapt the scheduling of the TLS deployment process according to the organization's priority needs. Recommendation confirmed by (Pacheco, 2015).Mayer has demonstrated the applicability of TLS for service companies (Meyer, 2014). However, the TLS solution finds its limit through the theory of constraints, known to be a methodology with a high scientific complexity, difficult to deploy in an organization.

*The solution LAS (Lean Six sigma- Agility- Systems engineering).* (Morris, 2012), (Cesarotti et al. 2019) propose a Lean Six sigma- Agile synergy solution - Systems engineering methodology (respectively CMMI and PMP). They propose a framework linking the system engineering processes (respectively CMMI and PMP) and the LSS DMAIC approach allowing the execution of systems engineering activities in an environment of continuous improvement of the processes. For each phase of the lifecycle, they recommend the application of Agile methodologies in order to benefit from the contributions of agility. This will promote the installation of a culture of discipline, essential to ensure the deployment and application of the OE approach. (Ghavami, 2008) mentions the applicability of LAS in engineering. He outlines its benefits in performance leading to a continuous search of excellence. Ghavami's works is the closest to the synergy solution that we will propose.

# 4 ASSUMPTIONS FOR AN OPERATIONAL EXCELLENCE LOOP

The works developed in the previous sections of this paper, allow to consolidate four (4) assumptions that will be the basis for proposing a solution leading to operational excellence:

**1.** The system engineering process approach: All major industrial organizations use the ISO/IEC 15288 standard processes as their system engineering methodology. (INCOSE, 2015) provide tools, practices, methodologies for any organization, to adopt system engineering processes. Our first assumption will be to consider that organizations use systems engineering processes to design their systems.

2. Process modelling for performances continuous improvement: In his book, (Gramdi, 2013) proposes a "meta-concept" similar to the process approach. He believes that each process can be modelized as a pipe which can be optimized by playing on its characteristics: its length, its cross-section, its thickness, its porosity. Gramdi proposal has caught our attention because of its approach, a priori simplistic, but which proves to be global because it is systemic, fractal, adaptive and evolutionary. The current OE methodologies allow to act on each of the pipe characteristics: the A allows to reduce the length of the pipe and, the LSS allows to reduce the section and length of the pipe (Table 4). Our second assumption will be to prioritize the current methodologies that allow to continuously reduce the length, thickness, cross section and porosity of a pipe, thus allowing to continuously improve the performance of a process, of an organization.

**3.** Operational excellence according to Girard and Jombart: The synergy solution, that we will propose, aims OE as defined operationally and managerially by (Girard, 2017) and (Jombart, 2016). To achieve this, every organization will have to be evaluated on five dimensions: the QCDSE. Our third assumption will be to propose a synergy solution compliant with the QCDSE criteria.

**4.** *Speed of deployment*: One of the lessons we have learned from our experience in systems engineering is that stakeholders commitment, simplicity and efficiency of a solution are essential factors to guarantee the success of an OE approach involving the entire organization. Our fourth assumption will be to focus on a synergy solution with the most reduce deployment time possible. The results will be available quickly, periodically, and within everyone's reach. To reach this goal, we will focus on the data science which should allow a relevant, global and efficient decision-making.

The four (4) hypotheses stated above, associated with the LAS solution. Table 4, below, highlights the completeness and complementarity of the LAS solution. It has been established thanks to the work of (Morris, 2012), (Munteanu, 2017) and (Ghavami, 2008).

Methodology	Lean Six Sigma	Agility
Proposed in	2002	2001
Goal	- Stabilize and Simplify -	- Prioritize -
	Reduce variability (Satisfying customer	Schedule and cadence the processing of
	requirements)	customer needs
	Remove waste (Improving	(Satisfy the customer requirements,
	productivity)	improving productivity)
Tools	DMAIC, DMADV, DFSS (statistical	Kanban Workflow, scrum approach,
	measures of variability)	Project backlog, sprint backlog, sprint
	Ishikawa Diagram, 5W, 5S, Kanban,	(iterative and incremental practices).
	SIPOC, VSM, Poka Yoke (visual	
	measures)	
Focus	Performance improvement	System
Implementation	Bit difficult	Easy
Direct results	Combined	Fast design
	Lean and Six Sigma results.	
Indirect results	Less variation, less waste, less	Transparency, collective intelligence,
	inventory, performance measurement	Reduce any process time and cost
	(variability and flux), quality	overload, continuous inspection, improve
	improvement.	flexibility
Limits	Combined Lean and Six Sigma limits	Quality often side lined, Less time for
		documentation, Requires the customer's
		availability.

Table 4. LSS and A for the solution LAS.

## **5 CONCLUSION**

In this article, we have presented a state of the art of current OE methodologies. We have started, first of all, by highlighting their growing importance through a literature review. Then, we have given the definition and the singularities of each of these methodologies. We have taken the time to contextualize our works by detailing our objectives through the Girard and Jombart definitions. Secondly, we have presented two synergy solutions proposed by the managerial and scientific literature in line with our objectives. From these works, four (4) hypotheses, the LAS synergy solution and then the five-dimensional QCDSE evaluation criteria, which will serve as a basis, in our future work, for proposing a global operational excellence solution for systems engineering: from embedded systems engineering to Internet of Things systems engineering (Hehenberger et al. 2016) via The loop of operational excellence.

We have chosen a synergy solution (tools and methodology) with iterative approach in order to make possible its adaptability according to the complexity of the systems to be designed (from embedded systems to IoT systems), the scope of application concerned (industrial product or service) and the intended weighting of each of the QCDSE evaluation criteria. We will detail this proposal in a future paper.

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