

## DERIVATIONS WITH INVERTIBLE VALUES

JEFFREY BERGEN, I. N. HERSTEIN AND CHARLES LANSKI

In this paper we study a question which, although somewhat special, has the virtue that its answer can be given in a very precise, definitive, and succinct way. It shows that the structure of a ring is very tightly determined by the imposition of a special behavior on one of its derivations.

The problem which we shall examine is: Suppose that  $R$  is a ring with unit element, 1, and that  $d \neq 0$  is a derivation of  $R$  such that for every  $x \in R$ ,  $d(x) = 0$  or  $d(x)$  is invertible in  $R$ ; must  $R$  then have a very special structure?

As we shall see, the answer to this question is yes, in particular we show that except for a special case which occurs when  $2R = 0$ ,  $R$  must be a division ring  $D$  or the ring  $D_2$  of  $2 \times 2$  matrices over a division ring. More precisely we shall prove:

**THEOREM.** *Let  $R$  be a ring with 1 and  $d \neq 0$  a derivation of  $R$  such that, for each  $x \in R$ ,  $d(x) = 0$  or  $d(x)$  is invertible in  $R$ . Then  $R$  is either*

1. *a division ring  $D$ , or*
2.  *$D_2$ , or*
3.  *$D[x]/(x^2)$ , where  $\text{char } D = 2$ ,  $d(D) = 0$  and  $d(x) = 1 + ax$  for some  $a$  in the center  $Z$  of  $D$ .*

*Furthermore, if  $2R \neq 0$  then  $R = D_2$  is possible if and only if  $D$  does not contain all quadratic extensions of  $Z$ , the center of  $D$ ; equivalently if and only if some element in  $Z$  is not a square in  $D$ .*

We shall also see that if  $R = D_2$  then  $d$  must be inner, provided  $2R \neq 0$ ; however,  $d$  may fail to be inner when  $2R = 0$ . In addition, we shall see that if  $R = D[x]/(x^2)$ , then  $d$  cannot be inner.

Finally, we consider a similar situation, one in which  $d(x) = 0$  or is invertible not for all  $x \in R$ , but for all  $x$  in a suitable subset. In that context we also obtain results that say that  $R = D$ ,  $R = D_2$ , or  $R = D[x]/(x^2)$ ; however the relationship between  $d$  and  $R$  will be somewhat different, from that described in the theorem.

In all that follows, unless otherwise specified,  $R$  will be a ring with 1 and  $d \neq 0$  will be a derivation of  $R$  such that  $d(x) = 0$  or is invertible, for all  $x \in R$ .

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We begin with

LEMMA 1. *If  $d(x) = 0$  then either  $x = 0$  or  $x$  is invertible.*

*Proof.* Suppose that  $x \neq 0$ ; since  $d \neq 0$  there is a  $y \in R$  such that  $d(y) \neq 0$ . Hence  $d(y)$  is invertible. Now  $d(yx) = d(y)x \neq 0$  since  $x \neq 0$  and  $d(y)$  is invertible; therefore  $d(yx)$  is invertible, that is,  $d(y)x$  is invertible. This forces  $x$  to be invertible.

As an easy consequence of Lemma 1 we have

LEMMA 2. *If  $L \neq 0$  is a one-sided ideal of  $R$  then  $d(L) \neq 0$ .*

*Proof.* Since  $d \neq 0$  the lemma is certainly true if  $L = R$ . Suppose, then, that  $L \neq R$ . If  $0 \neq a \in L$  then, by Lemma 1,  $d(a) \neq 0$  since  $a$  is not invertible. Thus  $d(L) \neq 0$ ; in fact we saw that  $d$  is not zero on the non-zero elements of  $L$ .

Another immediate consequence of Lemma 1 is

LEMMA 3. *If  $2x = 0$  for some  $x \neq 0$  in  $R$  then  $2R = 0$ .*

*Proof.* Since  $2x = 0$ ,  $d(2x) = 2d(x) = 0$ . If  $d(x) = 0$  then, by Lemma 1,  $x$  is invertible, and since  $2x = 0$  we get  $0 = (2x)x^{-1} = 2$ , and so  $2R = 0$ . On the other hand, if  $d(x) \neq 0$  then  $d(x)$  is invertible and since  $2d(x) = 0$  we get, once again, that  $2R = 0$ .

What the lemma says is that  $R$  can have 2-torsion if and only if  $R$  is of characteristic 2.

We continue with the important

LEMMA 4. *If  $L$  is a proper left ideal of  $R$  then  $L$  is both minimal and maximal.*

*Proof.* It certainly suffices to show that every proper left ideal of  $R$  is maximal. Let  $L \subset T$  be proper left ideals of  $R$ . As is easy to verify,  $L + d(L)$  is also a left ideal of  $R$ . Since, by Lemma 2,  $d(L) \neq 0$ , and so  $L + d(L)$  contains invertible elements, we must have  $L + d(L) = R$ . Therefore if  $t \in T$  there exist  $a, b \in L$  such that  $t = a + d(b)$ . Consequently,  $d(b) = t - a \in T \cap d(L) = 0$ ; therefore  $t = a \in L$ . Thus  $L = T$  and  $L$  is maximal.

We can now narrow in on the structure of  $R$ :

LEMMA 5. (a) *If  $I$  is a proper ideal of  $R$  then  $I^2 = 0$ .*  
 (b) *If  $2R \neq 0$  then  $R$  is simple.*

*Proof.* (a) If  $I \neq R$  is an ideal of  $R$  then

$$d(I^2) \subset d(I)I + Id(I) \subset I,$$

hence by Lemma 2,  $I^2 = 0$  as  $I$  cannot contain any invertible elements.

(b) Suppose  $2R \neq 0$  and let  $I \neq 0$  be a proper ideal of  $R$ , then, by Lemma 2, there is a  $b \in I$  such that  $d(b) \neq 0$ , so  $d(b)$  is invertible. Now, since  $b^2 = 0$ ,

$$0 = d^2(b^2) = d^2(b)b + 2d(b)^2 + bd(b)^2,$$

in consequence of which,  $2d(b)^2 \in I$ , hence

$$0 = (2d(b)^2)^2 = 4d(b)^4.$$

Since  $d(b)$  is invertible we get  $4 = 0$ , so, by Lemma 3,  $2R = 0$ , in contradiction to  $2R \neq 0$ . Therefore if  $2R \neq 0$ ,  $R$  is simple.

By combining Lemmas 4 and 5 we see that if  $2R \neq 0$  then  $R = D$  or  $R = D_2$ . For any division ring  $D$  and every non-zero derivation,  $d$ , of  $D$  we certainly have that  $d(x) = 0$  or  $d(x)$  is invertible for every  $x \in R$ . For  $D_2$ , under what conditions on  $D$ , is there a non-zero derivation  $d$  with this property? To answer this question we need to analyze the derivations of the  $2 \times 2$  matrices over an arbitrary ring. In the following two lemmas we assume that  $S$  is any ring with 1,  $R = S_2$ , and  $d$  is any derivation of  $R$ . The first lemma is well known; since its proof is obtained by a straightforward computation, we omit the proof.

LEMMA 6. *Let  $S$  be any ring with 1 and let  $R = S_2$ . If  $d$  is a derivation of  $R$  then there exist  $\alpha, \beta, \gamma \in S$  and a derivation  $f$  of  $S$  such that:*

$$d(e_{11}) = \begin{pmatrix} 0 & \alpha \\ \beta & 0 \end{pmatrix}, \quad d(e_{12}) = \begin{pmatrix} -\beta & \gamma \\ 0 & \beta \end{pmatrix}, \quad d(e_{21}) = \begin{pmatrix} -\alpha & 0 \\ -\gamma & \alpha \end{pmatrix}$$

$$d(e_{22}) = \begin{pmatrix} 0 & -\alpha \\ -\beta & 0 \end{pmatrix},$$

and, for  $a \in S$ ,

$$d \begin{pmatrix} a & 0 \\ 0 & a \end{pmatrix} = \begin{pmatrix} f(a) & a\alpha - \alpha a \\ -(a\beta - \beta a) & f(a) + a\gamma - \gamma a \end{pmatrix}.$$

We use the formulas in Lemma 6 to prove the following fact interrelating  $d$  and  $f$ :

LEMMA 7. *Let  $R, S, d$ , and  $f$  be as in Lemma 6. Then  $d$  is inner on  $R$  if and only if  $f$  is inner on  $S$ .*

*Proof.* If  $d$  is the inner derivation on  $R$  induced by  $\begin{pmatrix} s & t \\ u & v \end{pmatrix}$ , where  $s, t, u, v \in S$ , then it is immediate that  $f(x) = sx - xs$  for all  $x \in S$ , hence  $f$  is inner on  $S$ .

Conversely, if  $f$  is the inner derivation on  $S$  defined by  $f(x) = rx - xr$ , where  $r \in S$ , then

$$d(T) = \begin{pmatrix} r & -\alpha \\ \beta & r - \gamma \end{pmatrix} T - T \begin{pmatrix} r & -\alpha \\ \beta & r - \gamma \end{pmatrix}$$

for all  $T \in R$ , where  $\alpha, \beta, \gamma$  are as in Lemma 6. This is verified by noting that  $d$  and the inner derivation induced by  $\begin{pmatrix} r & -\alpha \\ \beta & r - \gamma \end{pmatrix}$  agree on all matrix units and on the elements of  $S$ , hence on all of  $R$ .

We now return to our original situation, assuming that  $R$  is a ring with 1 and a derivation  $d \neq 0$  such that for each  $x \in R$  either  $d(x) = 0$  or  $d(x)$  is invertible. We shall characterize those  $D$  for which  $R = D_2$  has such a derivation, at least when the characteristic of  $D$  is not 2. To do so we need

LEMMA 8. *If  $R = D_2$  and  $2R \neq 0$  then  $d$  is inner.*

*Proof.* Given  $d$  let  $f, \alpha, \beta, \gamma$  be as in Lemma 6. Then, by Lemma 7, it is enough to prove that  $f$  is inner on  $D$ . If  $a, b, c, e \in D$ , then, by Lemma 6 and by the multiplicative law for derivations we have

$$(1) \quad d \begin{pmatrix} a & b \\ c & e \end{pmatrix} = \begin{pmatrix} f(a) - b\beta - \alpha c & f(b) + \alpha a + b\gamma - \alpha e \\ f(c) + \beta a - e\beta - \gamma c & f(e) + e\gamma - \gamma e + \beta b + c\alpha \end{pmatrix}.$$

By Lemma 2,  $d(e_{11})$  is invertible, therefore  $\alpha \neq 0$ . By (1) we have for  $a \in D$  that

$$d \begin{pmatrix} a & 0 \\ \alpha^{-1}f(a) & \alpha^{-1}a\alpha \end{pmatrix} = \begin{pmatrix} 0 & 0 \\ u & v \end{pmatrix}$$

where

$$u = f(\alpha^{-1}f(a)) + \beta a - \alpha^{-1}a\alpha\beta - \gamma\alpha^{-1}f(a) \quad \text{and} \\ v = f(\alpha^{-1}a\alpha) + \alpha^{-1}a\alpha\gamma - \gamma\alpha^{-1}a\alpha + \alpha^{-1}f(a)\alpha.$$

Since  $\begin{pmatrix} 0 & 0 \\ u & v \end{pmatrix}$  is not invertible we must have  $u = v = 0$ . Since  $f$  is a derivation,

$$f(\alpha^{-1}) = -\alpha^{-1}f(\alpha)\alpha^{-1};$$

thus  $v = 0$  gives us

$$(2) \quad 0 = v = -\alpha^{-1}f(\alpha)\alpha^{-1}a\alpha + \alpha^{-1}f(a)\alpha + \alpha^{-1}af(a) \\ + \alpha^{-1}a\alpha\gamma - \gamma\alpha^{-1}a\alpha + \alpha^{-1}f(a)\alpha.$$

Thus relation (2) can be re-written as

$$2\alpha^{-1}f(a)\alpha = \alpha^{-1}f(\alpha)\alpha^{-1}a\alpha + \gamma\alpha^{-1}a\alpha - \alpha^{-1}af(\alpha) - \alpha^{-1}a\alpha\gamma,$$

which gives us

$$2f(a) = (f(\alpha)\alpha^{-1} + \alpha\gamma\alpha^{-1})a - a(f(\alpha)\alpha^{-1} + \alpha\gamma\alpha^{-1}).$$

Since  $\text{char } D \neq 2$ , dividing by 2 we see that  $f$  is the inner derivation on  $D$  induced by  $\frac{1}{2}(f(\alpha)\alpha^{-1} + \alpha\gamma\alpha^{-1})$ . This proves the lemma.

We now completely characterize those division rings  $D$  (independent of characteristic) such that  $D_2$  has an inner derivation with our special property. In doing so, in light of the results we have obtained so far, we shall completely describe all rings  $R$  such that  $2R \neq 0$  for which there is a derivation  $d \neq 0$  with our special property.

The condition: “ $D$  does not contain all quadratic extensions of  $Z$ ” will come up. By this we mean that there are elements  $\delta$  and  $\sigma$  in  $Z$  such that the polynomial  $t^2 + \delta t + \sigma$  has no root in  $D$ .

**LEMMA 9.** *If  $D$  is a division ring then  $R = D_2$  has an inner derivation  $d \neq 0$  such that for  $x \in R$  either  $d(x) = 0$  or  $d(x)$  is invertible if and only if  $D$  does not contain all quadratic extensions of  $Z$ .*

*Proof.* Suppose that  $R$  has such an inner derivation induced by the matrix  $M \in R$ . We claim that  $M$  cannot be a diagonal matrix; for if  $M = \begin{pmatrix} a & 0 \\ 0 & b \end{pmatrix}$ , where  $a, b \in D$ , computing

$$Me_{12} - e_{12}M = \begin{pmatrix} 0 & a - b \\ 0 & 0 \end{pmatrix}$$

we have, by our basic hypothesis, that  $a = b$ . Computing

$$M \begin{pmatrix} c & 0 \\ 0 & 0 \end{pmatrix} - \begin{pmatrix} c & 0 \\ 0 & 0 \end{pmatrix} M = \begin{pmatrix} ac - ca & 0 \\ 0 & 0 \end{pmatrix},$$

for all  $c \in D$ , we get that  $a \in Z$ . Hence  $M \in Z$ , whence  $d = 0$ , contrary to hypothesis.

Since  $M$  is not diagonal there exists an invertible matrix  $T \in D_2$  such that

$$TMT^{-1} = \begin{pmatrix} 0 & 1 \\ \alpha & \beta \end{pmatrix} \quad \text{where } \alpha, \beta \in D.$$

The inner derivation induced by  $TMT^{-1}$  also has the property that all its values are 0 or invertible. So, without loss of generality, we may assume that  $d$  is induced by  $\begin{pmatrix} 0 & 1 \\ \alpha & \beta \end{pmatrix}$ ,  $\alpha, \beta \in D$ .

If  $\gamma \in D$  then

$$d \begin{pmatrix} \gamma & 0 \\ 0 & \gamma \end{pmatrix} = \begin{pmatrix} 0 & 0 \\ \alpha\gamma - \gamma\alpha & \beta\gamma - \gamma\beta \end{pmatrix}$$

which is not invertible, therefore  $\alpha\gamma = \gamma\alpha$ ,  $\beta\gamma = \gamma\beta$ . In short,  $\alpha$  and  $\beta$  are both in  $Z$ . Since  $d \begin{pmatrix} 0 & 1 \\ \alpha & \beta \end{pmatrix} = 0$ , by Lemma 1 we have that  $\begin{pmatrix} 0 & 1 \\ \alpha & \beta \end{pmatrix}$  is invertible, hence  $\alpha \neq 0$ . For  $\gamma \in D$ ,

$$d \begin{pmatrix} 0 & 1 \\ 0 & \gamma \end{pmatrix} = \begin{pmatrix} -\alpha & \gamma - \beta \\ -\alpha\gamma & \alpha \end{pmatrix}$$

cannot be 0 by Lemma 1, so is invertible. This gives us that

$$\alpha(\gamma^2 - \beta\gamma - \alpha) \neq 0 \text{ for all } \gamma \in D.$$

In other words the quadratic polynomial  $t^2 - \beta t - \alpha$  over  $Z$  has no root in  $D$ , and so  $D$  does not contain all quadratic extensions of  $Z$ .

Conversely, if  $D$  does not contain all quadratic extensions of  $Z$  there exist  $\alpha, \beta \in Z$ , with  $\alpha \neq 0$ , such that  $\alpha x^2 - \beta x - 1$  has no solutions in  $D$ .

Let  $d$  be the inner derivations of  $D_2$  induced by  $\begin{pmatrix} 0 & 1 \\ \alpha & \beta \end{pmatrix}$ . We claim that every non-zero value of  $d$  is invertible. Let  $a, b, c$ , and  $e$  be in  $D$ ; then

$$d \begin{pmatrix} a & b \\ c & e \end{pmatrix} = \begin{pmatrix} c - \alpha b & e - a - \beta b \\ \alpha(a - e) + \beta c & \alpha b - c \end{pmatrix}.$$

If we let  $m = c - \alpha b$  and  $n = e - a - \beta b$  then

$$\alpha(a - e) + \beta c = -\alpha n + \beta m, \text{ and}$$

$$d \begin{pmatrix} a & b \\ c & e \end{pmatrix} = \begin{pmatrix} m & n \\ -\alpha n + \beta m & -m \end{pmatrix}.$$

Suppose, for the moment, that  $m = 0$ ; in that case

$$d \begin{pmatrix} a & b \\ c & e \end{pmatrix} = \begin{pmatrix} 0 & n \\ -\alpha n & 0 \end{pmatrix}$$

which is either 0 or invertible, since  $\alpha \neq 0$ .

If, on the other hand,  $m \neq 0$  then

$$d \begin{pmatrix} a & b \\ c & e \end{pmatrix} = \begin{pmatrix} m & n \\ -\alpha n + \beta m & -m \end{pmatrix} = \begin{pmatrix} m & 0 \\ 0 & m \end{pmatrix} \begin{pmatrix} 1 & w \\ -\alpha w + \beta & -1 \end{pmatrix}$$

where  $w = m^{-1}n$ . Since  $m \neq 0$ ,  $d \begin{pmatrix} a & b \\ c & e \end{pmatrix}$  is invertible if and only if

$\begin{pmatrix} 1 & w \\ -\alpha w + \beta & -1 \end{pmatrix}$  is invertible, that is, if and only if

$$-1 - w(-\alpha w + \beta) \neq 0.$$

However, by our choice of  $\alpha$  and  $\beta$ ,  $\alpha w^2 - \beta w - 1 \neq 0$  for all  $w \in D$ . Thus  $d$  is an inner derivation of  $D_2$  all of whose non-zero values are invertible.

The only piece that remains in order to prove our main theorem is the case where  $2R = 0$  and  $R$  is neither  $D$  nor  $D_2$ . We handle this case with

**LEMMA 10.** *If  $R$  is not simple then  $R = D[x]/(x^2)$  where  $\text{char } D = 2$ ,  $d(D) = 0$ ,  $d(x) = 1 + ax$  for some  $a$  in  $Z$ , the center of  $D$ ; moreover,  $d$  is not inner.*

*Proof.* By Lemmas 4 and 5,  $2R = 0$ , all proper ideals of  $R$  have square zero, and all proper one-sided ideals of  $R$  are both minimal and maximal. As a result, we easily obtain that  $R$  contains a unique (left, right, two-sided) ideal  $M$  and  $M^2 = 0$ . Therefore, as in the proof of Lemma 4,  $R = M + d(M)$ , hence if  $r \in R$  there exist  $m, n \in M$  such that  $d(r) = m + d(n)$ . Consequently,  $d(r - n) = m \in M \cap d(R) = 0$  and so, if  $D = \ker d$  then, by Lemma 1,  $D$  is a division ring and  $R = D + M$ .

By the uniqueness of  $M$ , if  $0 \neq x \in M$  then  $R = D + Dx$  and thus  $d(x) = s + tx$  where  $s, t \in D$  and  $s \neq 0$ . Since  $d(D) = 0$ , if we replace  $x$  by  $s^{-1}x$ , we may assume  $d(x) = 1 + ax$  for some  $a \in D$ .

If  $s \in D$ , we can use the facts  $M = Rx$ ,  $M^2 = 0$ ,  $d(s) = 0$ , and  $d(x) = 1 + ax$  to obtain

$$\begin{aligned} 0 &= d((sx)^2) = sxd(sx) + d(sx)sx = sxs(1 + ax) + s(1 + ax)sx \\ &= sxs + s^2x = s(xs + sx). \end{aligned}$$

If  $s \neq 0$ ,  $s$  is invertible, hence  $xs = sx$  and  $x$  is in the center of  $R$ . Therefore  $R = D[x]/(x^2)$ .

Now, if  $s \in D$  then  $sx + xs = 0$ , thus

$$\begin{aligned} 0 &= d(sx + xs) = s(1 + ax) + (1 + ax)s \\ &= sax + axs = (sa + as)x. \end{aligned}$$

Since all non-zero elements of  $D$  are invertible in  $R$ ,  $sa + as = 0$ , hence  $a$  is in the center of  $D$ .

Finally, since  $x \in M$  and  $d(x) \notin M$ , it is clear that  $d$  is not inner.

We can now prove our main result, which is the theorem stated at the outset, and which we record as

**THEOREM 1.** *Let  $R$  be a ring with 1 and  $d \neq 0$  a derivation of  $R$  such that, for each  $x \in R$ ,  $d(x) = 0$  or  $d(x)$  is invertible in  $R$ . Then  $R$  is either*

1. *a division ring  $D$ , or*
2.  *$D_2$ , or*
3.  *$D[x]/(x^2)$ , where  $\text{char } D = 2$ ,  $d(D) = 0$ , and  $d(x) = 1 + ax$ , for some  $a$  in the center  $Z$  of  $D$ .*

*Furthermore, if  $2R \neq 0$  then  $R = D_2$  is possible if and only if  $D$  does not contain all quadratic extensions of  $Z$ , the center of  $D$ ; equivalently if and only if some element in  $Z$  is not a square in  $D$ .*

*Proof.* If  $R$  is simple, then by Lemma 4 either  $R = D$  or  $R = D_2$ . Furthermore if  $2R \neq 0$ , by Lemma 8  $D_2$  has such a derivation if and only if it has an inner derivation with the special property. However Lemma 9 tells us that  $D_2$  has such an inner derivation if and only if  $D$  does not contain all quadratic extensions of  $Z$ .

If  $R$  is not simple, then by applying Lemma 10 we obtain our result. Theorem 1 is now proved.

One question concerning Theorem 1 remains. Namely, in the case  $R = D_2$  is it necessary to assume  $2R \neq 0$  in order to prove that  $d$  is inner? We now present an example that shows if  $2R = 0$  then  $R = D_2$  can have an outer derivation  $d$  such that  $d(x) = 0$  or  $d(x)$  is invertible, for all  $x \in R$ .

*Example.* Take  $R = M_2(F)$  for  $F = GF(2)\langle\langle x, y \rangle\rangle$ , the field of (finite) Laurent series with coefficients in the rational function field in one variable over  $GF(2)$ . Define a derivation  $\delta$  on  $F$  by extending the action  $\delta(f(x)) = 0$  and  $\delta(y) = xy$ . If  $a \in F$  is written  $a = a_E + a_0$ , where  $a_E$  is the series of even powers of  $y$  appearing in  $a$ , and  $a_0 = a - a_E$ , then  $\delta(a) = xa_0$ . Let  $A = \begin{pmatrix} x & 1 \\ 1 & 0 \end{pmatrix} \in M_2(F)$  and set  $d = d_A + \bar{\delta}$  where  $d_A$  is the inner derivation of  $M_2(F)$  induced by  $A$  and  $\bar{\delta}$  is the derivation of  $M_2(F)$  defined by componentwise application of  $\delta$ . Note that  $d$  is not inner since

$$d \begin{pmatrix} y & 0 \\ 0 & y \end{pmatrix} = \begin{pmatrix} xy & 0 \\ 0 & xy \end{pmatrix}.$$

An easy computation shows

$$d \begin{pmatrix} a & b \\ c & e \end{pmatrix} = \begin{pmatrix} b + c + xa_0 & a + e + xb_E \\ a + e + xc_E & b + c + xe_0 \end{pmatrix}.$$

It can now be shown by a direct, if somewhat tedious computation that  $d$  has invertible values; and we omit the details.

We shall now consider a situation closely related to the one we have been discussing. Let  $R$  be a ring with 1 and  $d \neq 0$  a derivation  $R$ . Suppose that  $L \neq 0$  is a left ideal of  $R$  such that  $d(L) \neq 0$ , and such that for every  $x \in L$  either  $d(x) = 0$  or  $d(x)$  is invertible in  $R$ . Since we already know the answer when  $L = R$ , we suppose that  $L \neq R$ . We wish to determine the structure of  $R$ . Since the arguments will be similar to the ones we have given earlier we give them more sketchily here.

Let  $x \neq 0 \in R$  be such that  $d(x) = 0$ ; then, since  $xL \subset L$  and  $d(xL) = xd(L)$  we easily get the result of Lemma 1, namely, that  $x$  is invertible in  $R$ . This immediately implies the results of Lemmas 2 and 3, that is, that if  $d(W) = 0$  for some left ideal  $W$  of  $R$  then  $W = 0$ , and if  $R$  has 2-torsion then  $2R = 0$ .

As before, from our assumptions on  $L$ ,  $L + d(L) = R$ , hence if  $T$  is a proper left ideal of  $R$  containing  $L$  and  $t \in T$  then  $t = a + d(b)$ , for some  $a, b \in L$ . Once again,

$$t - a = d(b) \in T \cap d(L) = 0$$

and so,  $T = L$ . By this argument and our analog to Lemma 2,  $L$  and every non-zero left ideal of  $R$  contained in  $L$  are maximal, hence  $L$  is both minimal and maximal.

We now examine  $l(L) = \{x \in R \mid xL = 0\}$ . Since  $1 = a + d(b)$ , for  $a, b \in L$ , if  $x \in l(L)$  then

$$x = x(a + d(b)) = xd(b) = d(xb) - d(x)b = -d(x)b \in L$$

and so, by the minimality of  $L$ ,  $l(L) = 0$  or  $l(L) = L$ .

Suppose  $l(L) = 0$ , then  $R$  is semiprime for if  $I^2 = 0$  and  $I \neq 0$  we obtain the contradiction  $0 = I^2L = I(IL) = IL = L$ . It easily follows that  $R$  is simple, for if  $I \neq 0$  then

$$0 \neq d(I^2L) \subset d(L) \cap I,$$

hence  $I = R$ . By Wedderburn's theorem,  $R = D$  or  $R = D_2$ .

On the other hand, suppose  $l(L) = L$ , that is  $L^2 = 0$ . By repeated use of the maximality and minimality of  $L$  we obtain that  $L$  is the unique left ideal of  $R$ , for if  $I \neq L$  is a left ideal of  $R$  then  $R = I + L$  and so,

$$L = LR = LI + L^2 = LI \subset I,$$

a contradiction. It is now clear that  $L$  is the unique (left, right, two-sided) ideal of  $R$ . Now, as in Lemma 5, if  $b \in L$  such that  $d(b) \neq 0$  then

$$0 = d^2(b^2) = bd^2(b) + 2d(b)^2 + d^2(b)b,$$

hence  $2d(b)^2 \in L$ , and so  $4d(b)^4 = 0$ . Once again,  $2R = 0$ . Let  $x \in R$  and  $y \in L$  such that  $d(x) \in L$  and  $d(y) \neq 0$ ; in this case

$$d(xy) = d(x)y + xd(y) = xd(y)$$

and so,  $x$  is 0 or invertible. Therefore  $D = \{x \in R \mid d(x) \in L\}$  is a division ring and by the identical argument used in the proof of Lemma 10 we obtain that  $R = D[x]/(x^2)$  where  $d(x) = 1 + ax$  for some  $a$  in the center of  $D$ . The only difference we obtain is that although  $d(D) \subset L$ , it need not be the case that  $d(D) = 0$ . In fact, it is easy to see that for any  $s \in D$ ,  $d(s) = s'x$  where  $'$  is a derivation of  $D$ .

We have now proved

**THEOREM 2.** *Let  $R$  be a ring with 1 and suppose that  $d \neq 0$  is a derivation of  $R$  such that  $d(L) \neq 0$  for some left ideal of  $R$  and  $d(x) = 0$  or  $d(x)$  is invertible for every  $x \in L$ . Then  $R = D$ ,  $R = D_2$ , or,  $R = D[x]_{/(x^2)}$  where  $2R = 0$  for some division ring  $D$ .*

We note that in the case  $R = D[x]/(x^2)$ , the hypothesis of  $d$  on  $L$  does not necessarily carry over to the behavior of  $d$  on all of  $R$ . We conclude this paper by showing that in the case  $R = D_2$ , not only does the behavior of  $d$  on  $L$  necessarily not carry over to all of  $R$ , but  $R$  may fail to have any derivation  $\delta \neq 0$  such that  $\delta(x) = 0$  or  $\delta(x)$  is invertible for all  $x \in R$ .

Let  $D$  be a division ring and suppose that  $\sigma \in D$ ,  $\sigma \notin Z$ , is such that  $\sigma$  is not a square in  $D$ . Define  $d$  on  $D_2$  by:

$$d\begin{pmatrix} r & s \\ t & u \end{pmatrix} = \begin{pmatrix} 0 & \sigma \\ 1 & 0 \end{pmatrix} \begin{pmatrix} r & s \\ t & u \end{pmatrix} - \begin{pmatrix} r & s \\ t & u \end{pmatrix} \begin{pmatrix} 0 & \sigma \\ 1 & 0 \end{pmatrix} \\ = \begin{pmatrix} \sigma t - s & \sigma u - r\sigma \\ r - u & s - t\sigma \end{pmatrix}.$$

If  $L = \left\{ \begin{pmatrix} r & 0 \\ t & 0 \end{pmatrix} \mid r, t \in D \right\}$  then  $L$  is a left ideal of  $D_2$  and, for  $\begin{pmatrix} r & 0 \\ t & 0 \end{pmatrix} \neq 0 \in L$ ,

$$d\begin{pmatrix} r & 0 \\ t & 0 \end{pmatrix} = \begin{pmatrix} \sigma t & -r\sigma \\ r & -t\sigma \end{pmatrix}.$$

If  $\begin{pmatrix} \sigma t & -r\sigma \\ r & -t\sigma \end{pmatrix}$  is not invertible then  $\begin{pmatrix} \sigma t & r \\ r & t \end{pmatrix}$  is not invertible, hence

$$\begin{pmatrix} \sigma t & r \\ r & t \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \end{pmatrix}$$

for some  $x, y \in D$ , not both 0. This would imply that

$$\sigma tx + ry = 0 = rx + ty;$$

since not both  $r, t$  are 0 we get  $x \neq 0, y \neq 0$ , so without loss of generality,  $x = -1$ . Thus  $r = ty$  and  $\sigma t = ry = ty^2$ ; this latter implies that

$$\sigma = ty^2t^{-1} = (tyt^{-1})^2,$$

a square in  $D$ . Thus we see that the non-zero values of  $d$  on  $L$  are invertible.

However, since  $\sigma \in Z, \sigma a \neq a\sigma$  for some  $a \in D$ , hence

$$d\begin{pmatrix} a & 0 \\ 0 & \sigma^{-1}a\sigma \end{pmatrix} = \begin{pmatrix} 0 & 0 \\ a - \sigma^{-1}a\sigma & 0 \end{pmatrix} \neq 0,$$

and is not invertible.

We have shown that a sufficient condition that there exist a derivation  $d \neq 0$  on  $D_2$  and a left ideal  $L$  of  $D_2$  such that  $d(L) \neq 0$  and the non-zero elements of  $d(L)$  invertible, is that some element of  $D$  not be a square in  $D$ . Compare this to Theorem 1, where the element in  $D$  which is not a square must be in  $Z$ .

Finally we take a special  $D$  in the discussion above. Let  $\mathbf{C}$  be the field of complex numbers and  $F$  the field of rational functions in  $x$  over  $\mathbf{C}$ . Consider the set of Laurent series,  $D$ , of all  $\sum_{-n}^{\infty} f_i y^i$  in  $y$  over  $F$ , where  $yr(x)y^{-1} = r(2x)$ , for any  $r(x) \in F$ .  $D$  is a division ring with center  $\mathbf{C}$ , hence all elements of  $\mathbf{C}$  are squares in  $\mathbf{C}$ , hence in  $D$ . Thus, by Theorem 1, there is no derivation of  $D_2$  with the property that all its non-zero values are invertible. However, as is easily verified,  $x$  is not a square in  $D$ . Thus

by the above there is a derivation  $d \neq 0$  on  $D_2$  which on the left ideal  $\left\{ \begin{pmatrix} r & 0 \\ t & 0 \end{pmatrix} \right\}$  has all its non-zero values invertible, yet there is no derivation  $\delta \neq 0$  on  $D_2$  all of whose non-zero values are invertible.

One can give a rather awkward necessary and sufficient condition on  $D$  such that  $D_2$  have a derivation  $d \neq 0$  and a left ideal  $L$  such that  $d(L) \neq 0$  and all non-zero  $d(x)$  be invertible for  $x \in L$ . For instance, to have an inner derivation with this property, for which the  $f$  of Lemma 6 is 0, is that there exist  $\alpha, \beta, \gamma \in D$  such that

$$t^2 - \beta t^{-1} \gamma \beta + \alpha \beta \neq 0 \quad \text{for all } t \in D.$$

*De Paul University,  
Chicago, Illinois;  
University of Chicago,  
Chicago, Illinois;  
University of Southern California,  
Los Angeles, California*