# ON EXPANDING LOCALLY FINITE COLLECTIONS

LAWRENCE L. KRAJEWSKI

**Introduction.** A space X is *m*-expandable, where *m* is an infinite cardinal, if for every locally finite collection  $\{H_{\alpha} | \alpha \in A\}$  of subsets of X with  $|A| \leq m$  (cardinality of  $A \leq m$ ) there exists a locally finite collection of open subsets  $\{G_{\alpha} | \alpha \in A\}$ such that  $H_{\alpha} \subseteq G_{\alpha}$  for every  $\alpha \in A$ . X is expandable if it is *m*-expandable for every cardinal *m*. The notion of expandability is closely related to that of collectionwise normality introduced by Bing [1]. X is collectionwise normal if for every discrete collection of subsets  $\{H_{\alpha} | \alpha \in A\}$  there is a discrete collection of open subsets  $\{G_{\alpha} | \alpha \in A\}$  such that  $H_{\alpha} \subseteq G_{\alpha}$  for every  $\alpha \in A$ . Expandable spaces share many of the properties possessed by collectionwise normal spaces. For example, an expandable developable space is metrizable and an expandable metacompact space is paracompact.

In § 2 we study the relationship of expandability with various covering properties and obtain some characterizations of paracompactness involving expandability. It is shown that  $\aleph_0$ -expandability is equivalent to countable paracompactness. In § 3, countably perfect maps are studied in relation to expandability and various product theorems are obtained. Section 4 deals with subspaces and various sum theorems. Examples comprise § 5.

Definitions of terms not defined here can be found in [1; 5; 16].

1. Expandability and collectionwise normality. An expandable space need not be regular (Example 5.6), and a completely regular expandable space need not be normal (W in Example 5.3). However, it is not difficult to show that a normal expandable space is collectionwise normal. In fact, we have the following theorem.

THEOREM 1.1 (Katětov [12]). A  $T_1$ -space X is normal and expandable if and only if it is collectionwise normal and countably paracompact.

It is not known whether a collectionwise normal Hausdorff space is countably paracompact. Using Theorem 1.1 one can see that this is equivalent to asking whether a collectionwise normal Hausdorff space is expandable.

In [1] Bing showed that collectionwise normality lies strictly between paracompactness and normality and that a collectionwise normal developable space is metrizable. A space X is *developable* if there is a sequence  $\mathscr{G}_1, \mathscr{G}_2, \ldots$  of open covers such that, for any  $x \in X$  and any open set U containing x, there is an integer n such that  $St(x, \mathscr{G}_n) = \bigcup \{G \in \mathscr{G}_n | x \in G\} \subseteq U$ . A regular

Received January 9, 1970 and in revised form, August 5, 1970.

developable space is called a *Moore* space. Analogously we have the following theorem.

THEOREM 1.2. An expandable developable Hausdorff space is metrizable.

*Proof.* We will show later (Corollary 2.9.1) that an expandable  $F_{\sigma}$ -screenable space is paracompact. Since a developable space is  $F_{\sigma}$ -screenable [1], our result follows.

Theorem 1.2 is a generalization of a result of Borges [2, Corollary 2.15] (see Theorem 3.9).

A well-known problem in topology is whether a normal Moore space is metrizable. If Theorem 1.2 is true with expandability replaced by  $\aleph_0$ -expandability, then the problem would be solved since a normal Moore space is  $\aleph_0$ -expandable.

*Question*. Is an  $\aleph_1$ -expandable Moore space metrizable?

## 2. Relation to covering properties.

Definition 2.1 (Morita [20]). Let m be an infinite cardinal. A space X is *m*-paracompact if every open cover of cardinality  $\leq m$  has a locally finite open refinement.

*Remark* 2.2. Every paracompact space is *m*-paracompact and  $\aleph_0$ -paracompactness is just countable paracompactness.

Remark 2.3. It is clear that X is *m*-expandable if and only if for every locally finite collection of *closed* subsets  $\{F_{\alpha} | \alpha \in A\}$  with  $|A| \leq m$  there exists a locally finite collection of open sets  $\{G_{\alpha} | \alpha \in A\}$  such that  $F_{\alpha} \subseteq G_{\alpha}$  for each  $\alpha \in A$ .

THEOREM 2.4. If X is m-paracompact, then X is m-expandable.

*Proof.* Let  $\mathscr{F} = \{F_{\alpha} | \alpha \in A\}$  be a locally finite collection of closed subsets of the *m*-paracompact space X with  $|A| \leq m$ . Let  $\Gamma$  be the collection of all finite subsets of A and define

$$V_{\gamma} = X - \bigcup \{F_{\alpha} \mid \alpha \notin \gamma\}, \qquad \gamma \in \Gamma.$$

Now  $V_{\gamma}$  is open,  $V_{\gamma}$  meets only finitely many elements of  $\mathcal{F}$ , and  $\{V_{\gamma} | \gamma \in \Gamma\}$  covers X. Since  $|\Gamma| \leq m$ , there is a locally finite open refinement

$$\mathscr{W} = \{ W_{\delta} | \delta \in \Delta \}.$$

Set

$$U_{\alpha} = \operatorname{St}(F_{\alpha}, \mathscr{W}) = \bigcup \{ W_{\delta} \in \mathscr{W} | W_{\delta} \cap F_{\alpha} \neq \emptyset \}, \quad \alpha \in A.$$

Clearly  $F_{\alpha} \subseteq U_{\alpha}$  and  $U_{\alpha}$  is open for each  $\alpha \in A$ . We claim that  $\{U_{\alpha} | \alpha \in A\}$  is locally finite. Each  $x \in X$  belongs to an open set 0 which meets only finitely many members of  $\mathcal{W}$ . Thus  $0 \cap U_{\alpha} \neq \emptyset$  if and only if  $0 \cap W_{\delta} \neq \emptyset$  and

 $W_{\delta} \cap F_{\alpha} \neq \emptyset$  for some  $\delta \in \Delta$ . But  $W_{\delta}$ , since it is contained in some  $V_{\gamma}$ , meets only finitely many  $F_{\alpha}$ . Thus  $\{U_{\alpha} | \alpha \in A\}$  is locally finite.

COROLLARY 2.4.1. If X is paracompact, then X is expandable.

The converse to Theorem 2.4 is false. In fact, there is an expandable normal Hausdorff space which is not  $\aleph_1$ -paracompact (Example 5.1). However, one has the following theorem.

**THEOREM 2.5.** X is  $\aleph_0$ -expandable if and only if X is countably paracompact.

*Proof.* One implication follows from Theorem 2.4. The other follows from [15, Theorem 3, the proof that (i)  $\Rightarrow$  (a)].

COROLLARY 2.5.1. An expandable space is countably paracompact.

There is a normal countably paracompact Hausdorff space which is not  $\aleph_1$ -expandable (Example 5.2).

Mansfield [15] proved Theorem 2.5 under the assumption that X was normal. We have the following analogue of Theorem 2.5.

THEOREM 2.6. X is countably metacompact if and only if for every locally finite countable collection  $\{F_i | i = 1, 2, ...\}$  of closed subsets of X there is a point-finite collection of open subsets  $\{G_i | i = 1, 2, ...\}$  such that  $F_i \subseteq G_i$  for each i.

Proof. A simple modification of the proofs of Theorems 2.4 and 2.5.

It is clear that a space would be expandable if it had the property that every locally finite collection is finite. Thus we state the following theorem and its corollary.

**THEOREM 2.7.** The following are equivalent for a space X:

- (a) X is countably compact;
- (b) Every locally finite collection of subsets is finite;
- (c) Every locally finite disjoint collection of subsets is finite;
- (d) Every locally finite countable collection of subsets is finite;
- (e) Every locally finite countable disjoint collection of subsets is finite.

*Proof.* This constitutes in proving that  $(e) \Rightarrow (a) \Rightarrow (b)$ ; both implications are easy.

COROLLARY 2.7.1. A countably compact space is expandable.

Call a space *semiparacompact*<sup>†</sup> if each of its open covers has a  $\sigma$ -locally finite open refinement. If  $\mathcal{H}$  is a collection of subsets, then  $\mathcal{H}^* = \bigcup \{H | H \in \mathcal{H}\}$ .

Michael showed [17] that for regular spaces, paracompactness is equivalent to semiparacompactness.

<sup>†</sup>This terminology as well as that in Definition 3.1 were suggested by the referee.

THEOREM 2.8. X is paracompact if and only if X is  $\aleph_0$ -expandable and semiparacompact.

**Proof.** Only one implication requires proof. Let  $\mathscr{U} = \{U_{\alpha} | \alpha \in A\}$  be an open cover and  $\mathscr{V} = \bigcup_{i=1}^{\infty} \mathscr{V}_i$  a  $\sigma$ -locally finite open refinement where  $\mathscr{V}_i = \{V_{\alpha,i} | \alpha \in A_i\}$ .  $\{\mathscr{V}_i^* | i = 1, 2, \ldots\}$  is a countable open cover, and hence there is a locally finite open refinement  $\{G_i | i = 1, 2, \ldots\}$ , where we may assume that  $G_i \subseteq \mathscr{V}_i^*$  for each *i*. Then  $\{G_i \cap V_{\alpha,i} | \alpha \in A_i, i = 1, 2, \ldots\}$  is a locally finite open refinement of  $\mathscr{U}$ .

We can weaken the condition of semiparacompactness to obtain the following theorem.

**THEOREM 2.9.** The following are equivalent for a  $T_1$ -space X:

- (a) X is paracompact;
- (b) X is expandable and every open cover of X has a σ-locally finite closed refinement;
- (c) X is expandable and every open cover of X has a  $\sigma$ -locally finite refinement.

*Proof.* (a)  $\Rightarrow$  (b) and (b)  $\Rightarrow$  (c). This is clear.

(c)  $\Rightarrow$  (a). Let  $\mathscr{U} = \{U_{\beta} | \beta \in B\}$  be an open cover of X and  $\mathscr{H} = \bigcup_{i=1}^{\infty} \mathscr{H}_i$ a  $\sigma$ -locally finite refinement where  $\mathscr{H}_i = \{H_{\alpha,i} | \alpha \in A_i\}$  for each i. Since X is expandable, for each i there is a locally finite open collection  $\{G_{\alpha,i} | \alpha \in A_i\}$ such that  $H_{\alpha,i} \subseteq G_{\alpha,i}$  for every  $\alpha \in A_i$ . Since  $\mathscr{H}$  is a refinement, each  $H_{\alpha,i} \subseteq U_{\beta(\alpha,i)}$  for some  $\beta(\alpha, i) \in B$ . Let

$$W_{\alpha,i} = G_{\alpha,i} \cap U_{\beta(\alpha,i)}, \quad \alpha \in A_i, \quad i = 1, 2, \ldots,$$

and let

$$\mathscr{W}_i = \{W_{\alpha,i} | \alpha \in A_i\}, \quad i = 1, 2, \ldots$$

Then  $\mathscr{W} = \bigcup_{i=1}^{\infty} \mathscr{W}_i$  is a  $\sigma$ -locally finite open refinement, and the result follows from Theorem 2.8.

In [16] McAuley showed that paracompactness is equivalent to collectionwise normality plus  $F_{\sigma}$ -screenability.

COROLLARY 2.9.1. A T<sub>1</sub>-space X is paracompact if and only if X is expandable and  $F_{\sigma}$ -screenable.

Proof. Theorem 2.9 (b).

There is an  $\aleph_0$ -expandable normal Hausdorff space which is  $F_{\sigma}$ -screenable but is not paracompact (Example 5.2).

The following definition is due to Worrell and Wicke [29].

Definition 2.10. A collection  $\mathcal{W}$  of point sets is finite at a point p if  $p \in \mathcal{W}^*$ and only a finite number of elements of  $\mathcal{W}$  contain p.

A space is  $\theta$ -refinable if for every open cover  $\mathscr{U}$  of X there exists an open refinement  $\mathscr{V} = \bigcup_{i=1}^{\infty} \mathscr{V}_i$ , where

( $\theta$ 1)  $\mathscr{V}_i$  is an open cover of X for each *i*, and

( $\theta 2$ ) For each  $p \in X$  there is an integer k such that  $\mathscr{V}_k$  is finite at p.

Clearly every metacompact space is  $\theta$ -refinable.

Michael [18] and Nagami [24] showed that paracompactness is equivalent to collectionwise normality and metacompactness.

THEOREM 2.11. X is paracompact if and only if X is expandable and  $\theta$ -refinable.

*Proof* (Modelled after Michael's proof in [18]). Only one implication needs proof. Let  $\mathscr{W} = \{W_{\alpha} | \alpha \in A\}$  be an open cover of X and let  $\mathscr{V} = \bigcup_{i=1}^{\infty} \mathscr{V}_i$  be an open refinement satisfying ( $\theta$ 1) and ( $\theta$ 2), where  $\mathscr{V}_i = \{V_{\alpha,i} | \alpha \in A_i\}, i = 1, 2, \ldots$ 

We shall construct, for each *i*, a sequence  $\{\mathscr{G}_{i,k} | k = 0, 1, ...\}$  of collections of open sets such that:

- (1)  $\mathcal{G}_{i,k}$  is locally finite for each k,
- (2) Each element of  $\mathscr{G}_{i,k}$  is a subset of some element of  $\mathscr{V}_i$ ,
- (3) If  $x \in X$  is an element of at most *m* elements of  $\mathscr{V}_i$ , then  $x \in \bigcup_{k=0}^m \mathscr{G}_{i,k}^*$ ,
- (4) Each  $x \in \mathcal{G}_{i,k}^*$  belongs to at least k elements of  $\mathcal{V}_i$ .

Let  $\mathscr{G}_{i,0} = \emptyset$ . Suppose that  $\mathscr{G}_{i,1}, \mathscr{G}_{i,2}, \ldots, \mathscr{G}_{i,n}$  were constructed and let us construct  $\mathscr{G}_{i,n+1}$ . Let  $\mathscr{B}$  be the family of all  $B \subseteq A_i$  such that B has exactly n + 1 elements. Define

$$Y(B) = \left(X - \bigcup_{j=0}^{n} \mathscr{G}_{i,j}^{*}\right) \cap (X - \bigcup \{V_{\alpha,i} \in \mathscr{V}_{i} \mid \alpha \notin B\}).$$

Clearly Y(B) is closed and we claim that  $\{Y(B) | B \in \mathscr{B}\}$  is locally finite (in fact, discrete).

*Case* 1. *x* belongs to n + 1 or more elements of  $\mathscr{V}_i$ . Choose n + 1 elements, say, with  $\alpha = \alpha(1), \alpha(2), \ldots, \alpha(n + 1)$ . Then  $\bigcap_{j=1}^{n+1} V_{\alpha(j),i}$  is a neighbourhood of *x* which meets Y(B) only if  $B = \{\alpha(1), \alpha(2), \ldots, \alpha(n + 1)\}$ .

Case 2. x belongs to less than n + 1 elements of  $\mathscr{V}_i$ . By (3),  $x \in \bigcup_{j=0}^n \mathscr{G}_{i,j}^*$  which is disjoint from each Y(B).

Then  $\{Y(B) | B \in \mathscr{B}\}$  is a locally finite collection of closed sets; thus, by the expandability of X, there is a locally finite collection of open sets  $\{H(B) | B \in \mathscr{B}\}$  such that  $Y(B) \subseteq H(B)$  for  $B \in \mathscr{B}$ . Now  $Y(B) \subseteq V_{\alpha,i}$  for each  $\alpha \in B$ . Let

$$T(B) = H(B) \cap (\cap \{V_{\alpha,i} | \alpha \in B\}).$$

Then  $Y(B) \subseteq T(B)$  for each  $B \in \mathscr{B}$ . Define

$$\mathscr{G}_{i,n+1} = \{T(B) \mid B \in \mathscr{B}\}.$$

Then (1) follows since  $T(B) \subseteq H(B)$  and  $\{H(B) | B \in \mathscr{B}\}$  is locally finite. (2) and (4) follow since  $T(B) \subseteq \bigcap \{V_{\alpha,i} | \alpha \in B\}$ . To see (3), let  $x \in X$  be such that x belongs to no more than n + 1 elements of  $\mathscr{V}_i$ . If  $x \in \bigcup_{j=0}^n \mathscr{G}_{i,j}^*$ , then the result follows. If  $x \notin \bigcup_{j=0}^n \mathscr{G}_{i,j}^*$ , then  $x \in Y(B)$  for some  $B \in \mathscr{B}$ . Now consider  $\mathscr{G} = \bigcup_{i=1}^{\infty} (\bigcup_{j=0}^{\infty} \mathscr{G}_{i,j})$ . Since  $\mathscr{V}$  satisfies ( $\theta 2$ ),  $\mathscr{G}$  is an open cover which refines  $\mathscr{V}$ . (1) implies that  $\mathscr{G}$  is  $\sigma$ -locally finite, which together with expandability implies that X is paracompact by Theorem 2.8.

COROLLARY 2.11.1. X is paracompact if and only if X is metacompact and expandable.

COROLLARY 2.11.2. X is m-paracompact if and only if X is m-metacompact and m-expandable.

*Proof.* Making the obvious definition of *m*-metacompactness, we proceed as in the proof of Theorem 2.11.

Corollary 2.11.1 fails if expandability is replaced by  $\aleph_0$ -expandability. In fact, there is a normal  $\aleph_0$ -expandable metacompact Hausdorff space which is the countable union of closed paracompact subspaces but is not paracompact (Example 5.2). However, we do have the following result.

THEOREM 2.12. If X is an expandable  $T_1$ -space and  $X = \bigcup_{i=1}^{\infty} F_i$ , where each  $F_i$  is closed and paracompact, then X is paracompact.

*Proof.* The proof follows immediately from the following lemma and Corollary 2.9.1.

LEMMA 2.13. If  $X = \bigcup_{i=1}^{\infty} F_i$  and each  $F_i$  is closed and paracompact, then X is  $F_{\sigma}$ -screenable.

*Proof.* Let  $\mathscr{U} = \{U_{\alpha} | \alpha \in A\}$  be an open cover of X, where X satisfies the hypothesis of the lemma. Then  $\mathscr{U}_{i} = \{U_{\alpha} \cap F_{i} | \alpha \in A\}$  is an open cover of  $F_{i}$ . Thus there is a  $\sigma$ -discrete closed (in  $F_{i}$  and hence in X) refinement of  $\mathscr{U}_{i}$ , namely  $\mathscr{F}_{i} = \bigcup_{k=1}^{\infty} \mathscr{F}_{i,k}$ , where  $\mathscr{F}_{i,k}$  is discrete in  $F_{i}$  and hence in X. Thus  $\mathscr{F} = \bigcup_{i=1}^{\infty} (\bigcup_{k=1}^{\infty} \mathscr{F}_{i,k})$  is a  $\sigma$ -discrete closed refinement of  $\mathscr{U}$ .

**3. Mapping and product spaces.** By a map we mean a continuous function. Although collectionwise normality is preserved under closed maps [**22**], closed maps do not always preserve expandability (Example 5.3). If the preimages of points are restricted, then we do preserve expandability.

Definition 3.1. A countably perfect<sup>††</sup> map  $f: X \to Y$  is a closed surjective map such that  $f^{-1}(y)$  is countably compact for each y in Y.

First we state two lemmas without proofs.

LEMMA 3.2. Let f be a map from X onto Y. If  $\mathscr{H} = \{H_{\alpha} | \alpha \in A\}$  is a locally finite collection of subsets of Y, then  $f^{-1}(\mathscr{H}) = \{f^{-1}(H_{\alpha}) | \alpha \in A\}$  is a locally finite collection in X.

LEMMA 3.3 (Okuyama [25]). Let f be a countably perfect map from a space X onto Y. If  $\{F_{\alpha} | \alpha \in A\}$  is a locally finite collection of subsets of X, then  $\{f(F_{\alpha}) | \alpha \in A\}$  is a locally finite collection in Y.

<sup>††</sup>It should be noted that the term "quasi-perfect" is also used.

**THEOREM 3.4.** Let f be a countably perfect map from a space X onto Y. Then X is m-expandable if and only if Y is m-expandable.

*Proof.* Suppose that X is *m*-expandable and  $\mathscr{F} = \{F_{\alpha} | \alpha \in A\}$  is a locally finite collection of subsets of Y with  $|A| \leq m$ . Then  $f^{-1}(\mathscr{F}) = \{f^{-1}(F_{\alpha}) | \alpha \in A\}$  is a locally finite collection of subsets of X with  $|A| \leq m$ , and so there is a locally finite collection  $\{G_{\alpha} | \alpha \in A\}$  of open subsets of X such that  $f^{-1}(F_{\alpha}) \subseteq G_{\alpha}$  for each  $\alpha \in A$ . Set  $V_{\alpha} = Y - f(X - G_{\alpha}), \alpha \in A$ . It is easy to see that  $F_{\alpha} \subseteq V_{\alpha}$ ; we show that  $\{V_{\alpha} | \alpha \in A\}$  is a locally finite collection of open sets.  $V_{\alpha}$  is open since f is a closed map. Now  $V_{\alpha} \subseteq f(G_{\alpha})$ , and Lemma 3.3 applies.

Now assume that Y is *m*-expandable and  $\mathscr{F} = \{F_{\alpha} | \alpha \in A\}$  is a locally finite collection of subsets of X with  $|A| \leq m$ . By Lemma 3.3,  $\{f(F_{\alpha}) | \alpha \in A\}$  is also locally finite. Hence there is a locally finite collection of open sets  $\{G_{\alpha} | \alpha \in A\}$  such that  $f(F_{\alpha}) \subseteq G_{\alpha}$  for each  $\alpha \in A$ . Then

$$F_{\alpha} \subseteq f^{-1}(f(F_{\alpha})) \subseteq f^{-1}(G_{\alpha})$$

and  $\{ f^{-1}(G_{\alpha}) | \alpha \in A \}$  is an open locally finite collection by Lemma 3.2.

COROLLARY 3.4.1. If X is countably paracompact and f is a countably perfect map from X onto Y, then Y is countably paracompact.

A space is *m*-compact if every open cover of cardinality  $\leq m$  has a finite subcover.

**LEMMA 3.5** (Hanai [6]). If Y is m-compact and X is a space such that each of its points has a neighbourhood base of power  $\leq m$ , then the projection map  $\pi_X: X \times Y \to X$  is a closed map.

THEOREM 3.6. Let m and n be infinite cardinals. If X is an m-expandable space and each of its points has a neighbourhood base of power  $\leq n$  and if Y is an *n*-compact space, then  $X \times Y$  is m-expandable.

*Proof.* By Lemma 3.5,  $\pi_X: X \times Y \to X$  is a closed map, and since  $\pi_X^{-1}(x)$  is *n*-compact (and hence countably compact),  $X \times Y$  is *m*-expandable by Theorem 3.4.

COROLLARY 3.6.1. If X is an m-expandable first countable space and Y is a countably compact space, then  $X \times Y$  is m-expandable.

COROLLARY 3.6.2. If X is m-expandable and Y is a compact space, then  $X \times Y$  is m-expandable.

In regard to Corollaries 3.6.1 and 3.6.2, it should be mentioned that the product of expandable spaces need not be expandable (Example 5.4).

THEOREM 3.7. Let  $f: X \to Y$  be a closed map of a  $T_1$ -space X onto Y such that the boundary of  $f^{-1}(y)$  is countably compact for each y in Y. If X is m-expandable, then so is Y. **Proof.** Ishii [10] has shown that if f is a map from X onto Y with the stated properties, then there is a closed subset F of X and a closed map g from F onto Y such that  $g^{-1}(y)$  is countably compact for each y in Y. Since m-expandability is hereditary with respect to closed subsets (Theorem 4.1), Y is m-expandable by Theorem 3.4.

Morita has introduced a class of spaces which he terms M-spaces [19]. He gave the following characterization.

**THEOREM 3.8.** X is an M-space if and only if there is a countably perfect map of X onto a metric space Y.

Using the fact that every metric space is paracompact [28] and thus expandable (Corollary 2.4.1), we have the following theorem.

THEOREM 3.9. Every M-space is expandable.

The converse to Theorem 3.9 fails (Example 5.4).

## 4. Subspaces and sum theorems.

THEOREM 4.1. Each closed subset of an m-expandable space is m-expandable.

Subspaces of a compact space need not be expandable (Example 5.5). However, we do have the following theorem.

THEOREM 4.2. X is hereditarily m-expandable if and only if every open subset is m-expandable.

*Proof.* Suppose that each open subset of X is *m*-expandable. Let  $B \subseteq X$  and let  $\mathscr{H} = \{H_{\alpha} | \alpha \in A\}$  be a locally finite (in B) collection of subsets of B with  $|A| \leq m$ . Define

 $V = \{x \in X | x \text{ belongs to an open set which intersects only finitely many } \}$ 

members of  $\mathscr{H}$ .

Then V is open and  $B \subseteq V$ . Since V is *m*-expandable, there is a locally finite (in V and hence in B) collection  $\{G_{\alpha} | \alpha \in A\}$  of open subsets of V such that  $H_{\alpha} \subseteq G_{\alpha}$  for every  $\alpha \in A$ .  $\{G_{\alpha} \cap B | \alpha \in A\}$  is the desired collection.

THEOREM 4.3. Let  $\mathcal{U}$  be a disjoint open cover of X. Then X is m-expandable if and only if each element of  $\mathcal{U}$  is m-expandable.

THEOREM 4.4. Suppose that  $\{F_{\alpha} | \alpha \in A\}$  is a locally finite closed cover of a space X. Then X is m-expandable if and only if every  $F_{\alpha}$  is m-expandable.

*Proof.* One implication follows from Theorem 4.1. To prove the other, let Z be the topological disjoint sum of the  $F_{\alpha}$ . Then Z is *m*-expandable by Theorem 4.3 since each  $F_{\alpha}$  is open and closed in Z. Let  $f: Z \to X$  denote the natural map. Then f is a countably perfect map, and so X is *m*-expandable by Theorem 3.4.

COROLLARY 4.4.1. If  $\{F_{\alpha} | \alpha \in A\}$  is a locally finite closed cover of a space X such that each  $F_{\alpha}$  is countably paracompact, then X is countably paracompact.

Hodel [9] showed that if Q is a class of topological spaces which satisfies the following two properties:

- (1) Q is hereditary with respect to closed subsets, and
- (2) if  $\{F_{\alpha} \mid \alpha \in A\}$  is a locally finite closed cover of X with each  $F_{\alpha}$  in Q, then X is in Q,

then various sum theorems hold for Q. Since we have Theorems 4.1 and 4.4, we obtain the following two theorems.

THEOREM 4.5. Let  $\mathscr{U}$  be a  $\sigma$ -locally finite open cover of a space X such that the closure of each element of  $\mathscr{U}$  is m-expandable. Then X is m-expandable.

THEOREM 4.6. Let X be a regular  $T_1$ -space. If  $\mathscr{U}$  is a  $\sigma$ -locally finite open cover of X, each element of which is m-expandable and has compact boundary, then X is m-expandable.

### 5. Examples.

Example 5.1. An expandable completely normal Hausdorff space which is not  $\aleph_1$ -paracompact.

Let  $\Omega$  denote the first uncountable ordinal. Then  $X = [0, \Omega)$  with the usual order topology is countably compact (hence expandable by Corollary 2.7.1) and completely normal. It is well known [3] that the open cover  $\{[0, \alpha) | \alpha < \Omega\}$  has no open locally finite refinement.

Example 5.2. A normal  $\aleph_0$ -expandable metacompact Hausdorff space which is the countable union of closed paracompact subspaces and is not  $\aleph_1$ -expandable.

Let F denote the normal but not collectionwise normal space constructed by Bing in [1, Example G] where the underlying space P has cardinality  $\aleph_1$ . In [18] Michael proved that a certain subset of F (which he called G) is metacompact and normal but not collectionwise normal. If we let

 $B_k = F_p \cup \{ f \in G | f(q) = 0 \text{ except for at most } k \text{ elements } q \text{ in } Q \},$   $k = 1, 2, \dots,$ 

then  $G = \bigcup_{k=1}^{\infty} B_k$  and each  $B_k$  is a closed discrete subspace.

The collection  $\{\{f\} \mid f \in F_p\}$  is a locally finite collection, of cardinality  $\aleph_1$ , of subsets of F, and it cannot be expanded.

Example 5.3. An expandable Hausdorff space X, a closed map  $f: X \to Y$  such that Y is not expandable.

Let  $W = [0, \Omega] \times [0, \Omega)$  and  $X = W \times N$ , where N denotes the positive integers with the discrete topology. Since W is countably compact, X is expandable. However, Zenor [30] has displayed a closed map  $f: X \to Y$  such that Y is not  $\aleph_0$ -expandable.

Example 5.4. An expandable Hausdorff space X which is not an M-space and also such that  $X \times X$  is not expandable.

Let X be the space in Sorgenfrey's example [27] (the reals with the "halfopen-interval" topology). Then X is paracompact and hence expandable. If X were an M-space, then  $X \times X$  would be paracompact, which it is not.

In  $X \times X$ , the collection  $\{\{(x, -x)\} | x \in X\}$  is locally finite, yet one can show by a category argument that there does not exist a locally finite collection of open sets  $\{G_x | x \in X\}$  such that  $(x, -x) \in G_x$  for each  $x \in X$ .

Example 5.5. A compact Hausdorff space with a subspace that is not expandable.

Let X be the Tychonoff plank,  $[0, \Omega] \times [0, \omega]$ , and let G be the subspace  $X - \{(\Omega, \omega)\}$ . Then G is not  $\aleph_0$ -expandable [7].

Example 5.6. An expandable Hausdorff space which is not regular.

Let  $Y = [0, \Omega] \times [0, \Omega] - \{(\Omega, \Omega)\}$  and let  $F = \{\Omega\} \times [0, \Omega)$ . If X = Y/F, then X is countably compact (and hence expandable) but not regular.

Acknowledgements. I would like to thank Professor George Henderson for his advice and encouragement and the referee for his many helpful comments and suggestions.

#### References

- 1. R. H. Bing, Metrization of topological spaces, Can. J. Math. 3 (1951), 175-186.
- 2. C. J. R. Borges, On metrizability of topological spaces, Can. J. Math. 20 (1968), 795-804.
- 3. J. Dieudonné, Une généralisation des espaces compacts, J. Math. Pures Appl. (9) 23 (1944), 65-76.
- 4. C. H. Dowker, On countably paracompact spaces, Can. J. Math. 3 (1951), 219-224.
- 5. R. Engelking, Outline of general topology (Interscience, New York, 1968).
- 6. S. Hanai, Inverse images of closed mappings. I, Proc. Japan Acad. 37 (1961), 298-301.
- 7. Y. Hayashi, On countably metacompact spaces, Bull. Univ. Osaka Prefecture Ser. A 8 (1959/60), 161-164.
- 8. R. W. Heath, Screenability, pointwise paracompactness and metrization of Moore spaces, Can. J. Math. 16 (1964), 663–670.
- 9. R. E. Hodel, Sum theorems for topological spaces, Pacific J. Math. 30 (1969), 59-65.
- 10. T. Ishii, On closed mappings and M-spaces. II, Proc. Japan Acad. 43 (1967), 757-761.
- 11. F. Ishikawa, On countably paracompact spaces, Proc. Japan Acad. 31 (1955), 686-687.
- 12. M. Katětov, Extension of locally finite coverings, Colloq. Math. 6 (1958), 145-151. (Russian)
- 13. J. Mack, On a class of countably paracompact spaces, Proc. Amer. Math. Soc. 16 (1965), 467-472.
- 14. Directed covers and paracompact spaces, Can. J. Math. 19 (1967), 649-654.
- 15. M. J. Mansfield, On countably paracompact normal spaces, Can. J. Math. 9 (1957), 443-449.
- L. F. McAuley, A note on complete collectionwise normality and paracompactness, Proc. Amer. Math. Soc. 9 (1958), 796-799.
- 17. E. A. Michael, A note on paracompact spaces, Proc. Amer. Math. Soc. 4 (1953), 831-838.
- 18. Point-finite and locally finite coverings, Can. J. Math. 7 (1955), 275-279.
- 19. K. Morita, Products of normal spaces with metric spaces, Math. Ann. 154 (1964), 365-382.
- 20. Paracompactness and product spaces, Fund. Math. 50 (1961/62), 223-236.
- 21. On closed mappings, Proc. Japan Acad. 32 (1956), 539-543.
- 22. K. Morita and S. Hanai, Closed mappings and metric spaces, Proc. Japan Acad. 32 (1956), 10-14.

#### LAWRENCE L. KRAJEWSKI

- 23. S. Mrówka, On local topological properties, Bull. Acad. Polon. Sci. Cl. III 5 (1957), 951-956.
- 24. K. Nagami, Paracompactness and strong screenability, Nagoya Math. J. 8 (1955), 83-88.
- 25. A. Okuyama, Some generalizations of metric spaces, their metrization theorems and product spaces, Sci. Rep. Tokyo Kyoiku Daigaku Sect. A 9 (1967), 60-78.
- 26. M. K. Singal and S. P. Arya, On m-paracompact spaces, Math. Ann. 181 (1969), 119-133.
- R. H. Sorgenfrey, On the topological product of paracompact spaces, Bull. Amer. Math. Soc. 53 (1947), 631–632.
- 28. A. H. Stone, Paracompactness and product spaces, Bull. Amer. Math. Soc. 54 (1948), 977–982.
- J. M. Worrell, Jr. and H. H. Wicke, Characterizations of developable topological spaces, Can. J. Math. 17 (1965), 820–830.
- 30. P. Zenor, On countable paracompactness and normality, Prace Mat. 13 (1969), 23-32.

The University of Wisconsin, Milwaukee, Wisconsin