# RAMIFICATION THEORY FOR VALUATIONS OF ARBITRARY RANK 

MURRAY A. MARSHALL

Throughout, we consider a finite Galois extension $L \mid K$ of non-archimedian valued fields which are maximally complete [2, Chapter 2]. Let $v$ denote the valuation on $L$ and let $L^{*}$ denote the group of non-zero elements of $L$. We may identify the value group $v\left(L^{*}\right)$ of $L$ with a subgroup of $D$, where $D$ denotes the minimal divisible ordered group containing $v\left(K^{*}\right)$. We denote the residue field of $L$ by $\bar{L}$, and will always assume that the field extension $\bar{L} \mid \bar{K}$ is separable. The characteristic of $\bar{K}$ will invariably be denoted by $p$; much of what follows is trivial in case $p=0$. Let $G$ denote the Galois group of $L \mid K$ and let $\bar{G}$ denote the Galois group of $\bar{L} \mid \bar{K}$. The kernel of the natural homomorphism of $G$ onto $\bar{G}$ is the ramification group.

$$
G_{0}=\left\{\sigma \in G \left\lvert\, v\left(\frac{\sigma u}{u}-1\right)>0\right. \text { for all } u \in \mathscr{U}\right\},
$$

where $\mathscr{U}$ denotes the unit group of $L$. The fixed field $R$ of $G_{0}$ is the maximal unramified extension of $K$ in $L[\mathbf{2}, \mathrm{p} .68]$; the extension $L \mid R$ is totally ramified. The higher ramification groups $G_{x}, x \in D, x \geqq 0$ are defined by

$$
G_{x}=\left\{\sigma \in G_{0} \left\lvert\, v\left(\frac{\sigma a}{a}-1\right) \geqslant x\right. \text { for all } a \in L^{*}\right\} .
$$

By the uniqueness of the extension of $v$ from $K$ to $L$ we have that $v(\sigma x)=v(x)$ for all $x \in L^{*}, \sigma \in G$. Using this, one may verify readily that the ramification groups $G_{x}, x \geqq 0$ are invariant subgroups of $G$. The ramification groups form a decreasing chain with $G_{x}=1$ for $x$ sufficiently large.

From Lemma 1 below it follows that for each ramification group $G_{z} \neq 1$ there is a largest $x \in D$ such that $G_{x}=G_{2}$. Such an $x$ will be called a jump of the extension $L \mid K$. If $x \in D, x \geqq 0$, let $0=x_{0} \leqq x_{1} \leqq \ldots \leqq x_{k}=x$ be a sequence of elements of $D$ containing all jumps of $L \mid K$ which lie in the interval $[0, x]$. The quantity

$$
\phi(x)=\sum_{s=1}^{k} \# G_{x_{s}}\left(x_{s}-x_{s-1}\right)
$$

is independent of the choice of sequence and thus defines a function $\phi: D^{+} \rightarrow D^{+}$ called the Herbrand Function of $L \mid K$. The Herbrand Function is strictly increasing and piecewise linear (in the obvious sense), and is thus bijective. It will be convenient to extend this function to $D^{+} \cup\{\infty\}$ by defining $\phi(\infty)=\infty$.

We may define a new indexing of the ramification groups (called the upper numbering) by defining

$$
G^{x}=G_{\phi^{-1}(x)} \quad \text { for } x \in D^{+}
$$

We call an element $y \in D^{+}$an upper jump if $G^{y} \supsetneq G^{y+\epsilon}$ for all $\epsilon>0, \epsilon \in D$. (Thus the upper jumps are just the values $\phi(x)$ where $x$ is a lower jump).

The principal results in the classical rank 1 discrete case are:
(a) (Herbrand) If $E$ is a Galois subextension of $L \mid K$ then the natural homomorphism of $G_{L \mid K}$ onto $G_{E \mid K}$ carries $G_{L \mid K}^{x}$ onto $G_{E \mid K}^{x}$ for all $x \in D^{+}$.
(b) (Hasse-Arf) If $L \mid K$ is abelian then the upper jumps of $L \mid K$ all lie in $v\left(K^{*}\right)$.

In this paper we show that (a) is true in general if and only if the value group quotient $\bar{\Gamma}=v\left(L^{*}\right) / v\left(K^{*}\right)$ has cyclic $p$-component. We also show that (b) holds in this case. The proof techniques are simple modifications of those in [1, Chapter 11], and [3] respectively.

1. Preliminaries. We begin with some elementary results on jumps.

Lemma 1. For $\sigma \in G_{0}$, the set of values $\left\{v(\sigma a / a-1) \mid a \in L^{*}\right\}$ has a minimum; further, this minimum is not achieved for $a \in \mathscr{U}$ (except in the trivial case $\sigma=1$ ).

Proof. Choose elements $1=a_{1}, a_{2}, \ldots, a_{n}$ in $L^{*}$ such that $v\left(a_{1}\right), \ldots, v\left(a_{n}\right)$ represent the distinct cosets of $\bar{\Gamma}$, each exactly once. Thus $a_{1}, a_{2}, \ldots, a_{n}$ form a basis of $L \mid R$; each $a \in L^{*}$ can be written uniquely in the form $a=\sum_{i=1}^{n} c_{i} a_{i}$, where $c_{i} \in R$ and $v(a)=v\left(c_{i_{0}} a_{i_{0}}\right)<v\left(c_{i} a_{i}\right)$ for $i \neq i_{0}$. Also

$$
\frac{\sigma a}{a}-1=\sum_{i=2}^{n} \frac{c_{i} a_{i}}{a}\left(\frac{\sigma a_{i}}{a_{i}}-1\right)
$$

Thus $v(\sigma a / a-1) \geqq \min \left\{v\left(\sigma a_{i} / a_{i}-1\right) \mid i=2,3, \ldots, n\right\}$. This inequality is strict when $a \in \mathscr{U}$, since then $i_{0}=1$.

In view of this result we see that for each $x \geqq 0, G_{x}=\left\{\sigma \in G_{0} \mid i(\sigma) \geqq x\right\}$ where $i(\sigma)$ is defined by

$$
\begin{equation*}
i(\sigma)=\min \left\{v(\sigma a / a-1) \mid a \in L^{*}\right\} \tag{1}
\end{equation*}
$$

Also the jumps of the extension $L \mid K$ are just the values $i(\sigma), \sigma \in G_{0}, \sigma \neq 1$ (so they are actually in $\left.v\left(L^{*}\right)\right)$.

We will have cause to use the following refined form of Lemma 1.
Lemma 2. If $b_{1}, \ldots, b_{s}$ are elements of $L^{*}$ such that $\overline{v\left(b_{1}\right)}, \ldots, \overline{v\left(b_{s}\right)}$ generate $\bar{\Gamma}$, then for each $\sigma \in G_{0}$,

$$
i(\sigma)=\min \left\{\left.v\left(\frac{\sigma b_{i}}{b_{i}}-1\right) \right\rvert\, i=1, \ldots, s\right\}
$$

Proof. If $b \in L^{*}$ then

$$
b=\prod_{i=1}^{s} b_{i}^{v_{i}} \cdot c \cdot u
$$

where $c \in R, u \in \mathscr{U}$. Thus

$$
\frac{\sigma b}{b}=\prod_{i=1}^{s}\left(\frac{\sigma b_{i}}{b_{i}}\right)^{v_{i}} \cdot \frac{\sigma u}{u},
$$

so the result is clear from Lemma 1.
If $i$ is a jump of $L \mid K$, let $j=\min \{i(\sigma) \mid i(\sigma)>i\}$. We examine the quotients $\bar{G}_{i}=G_{i} / G_{j}$ as in [2, Chapter 3]. Let $\overline{\mathscr{U}}_{i}$ denote the quotient group $\mathscr{U}_{i} / \mathscr{U}_{i^{+}}$, where

$$
\mathscr{U}_{i}=\{1+x \in \mathscr{U} \mid v(x) \geqq i\}, \quad \mathscr{U}_{i^{+}}=\{1+x \in \mathscr{U} \mid v(x)>i\} .
$$

If $a \in L^{*}$ and $\sigma \in G_{i}$, then $\sigma a / a \in \mathscr{U}_{i}$ and the class of $\sigma a / a$ in $\overline{\mathscr{U}}_{i}$ depends only on the class of $\mu=v(a)$ in $\bar{\Gamma}$ and the class of $\sigma$ in $\bar{G}_{i}$. In this way we obtain a bilinear mapping $(\bar{\sigma}, \bar{\mu}) \rightarrow(\sigma a / a) . \mathscr{U}_{i^{+}}$of $\bar{G}_{i} \times \bar{\Gamma}$ into $\overline{\mathscr{U}}_{i}$. Since $v(\sigma a / a-1)>i$ for all $a \in L^{*}$ implies $i(\sigma) \geqq j$, we see that the derived homomorphism

$$
\bar{G}_{i} \rightarrow \operatorname{Hom}\left(\bar{\Gamma}^{\prime}, \overline{\mathscr{U}}_{i}\right)
$$

is injective. Moreover, since

$$
\overline{\mathscr{U}}_{i} \cong \begin{cases}\bar{L}^{*}, & \text { if } i=0, \\ \bar{L}, & \text { if } i>0\end{cases}
$$

the group $\bar{G}_{i}$ is
(a) abelian of order prime to $p$ if $i=0$,
(b) an elementary $p$-group if $i>0$. Consequently,
(c) $G_{0}$ is solvable.
(d) If $T$ denotes the fixed field of $G_{j}$ where $j=\min \{i(\sigma) \mid i(\sigma)>0\}$, then $T$ is the maximal tamely ramified extension of $K$ in $L$ [ $\mathbf{2}$, Chapter $3, \S 2$ ].

Using customary terminology, the extension $L \mid T$ will be called wildly ramified.
2. The Herbrand relationship. Suppose $E$ is a subextension of $L \mid K$. Denote by $H$ the Galois group of $L \mid E$ and by $H_{x}, x \in D^{+}$, the ramification groups of $L \mid E$. Then it is clear that

$$
H_{x}=H \cap G_{x} \quad \text { for all } x \in D^{+}
$$

We now assume that the extension $E \mid K$ is also Galois, and study the more complicated relationship between the ramification groups $G_{x}$ and $(G / H)_{x}$. To avoid a lot of essentially trivial reductions we shall assume throughout this section that $L \mid K$ is totally ramified. The reader may verify that Theorems 1 and 2 are true as stated in the general case. For $\sigma \in G$, let $\bar{\sigma}$ denote its coset in $G / H$, and let $\bar{\imath}(\bar{\sigma})$ denote the function as defined by (1), but with respect to the extension $E \mid K$. That is, $\bar{\imath}(\bar{\sigma})=\min \left\{v(\sigma a / a-1) \mid a \in E^{*}\right\}$

Lemma 3. For all $\sigma \in G, \bar{\imath}(\bar{\sigma}) \leqq \sum_{\gamma \in H} i(\sigma \gamma)$.

Proof. Using the solvability of $G=G_{0}$ we may assume \# $H=l$ is prime. We also assume $\bar{\imath}(\bar{\sigma})>i(\sigma \gamma)$ for all $\gamma \in H$, since otherwise the result is trivially true. Thus the quantity $v(\sigma \gamma b / b-1)$ never achieves the minimum value $i(\sigma \gamma)$ for $b \in E^{*}$. Choose any $a \in L^{*}$ such that $v(a)$ generates $v\left(L^{*}\right)$ modulo $v\left(E^{*}\right)$. In view of Lemma 2 plus what has just been said, we have

$$
\begin{equation*}
i(\sigma \gamma)=v(\sigma \gamma a / a-1) \text { for all } \gamma \in H \tag{2}
\end{equation*}
$$

Let

$$
f(x)=\prod_{\gamma \in H}(x-\gamma a)=b_{0}+b_{1} x+\ldots+b_{l-1} x^{l-1}+x^{l}
$$

be the minimum polynomial of $a$ over $E$. Since $v(a)$ generates $v\left(L^{*}\right)$ modulo $v\left(E^{*}\right)$ and since $f(a)=0$ we have

$$
\begin{equation*}
l v(a)=v\left(a^{l}\right)=v\left(b_{0}\right)<v\left(b_{i} a^{i}\right), \quad i=1,2, \ldots, l-1 . \tag{3}
\end{equation*}
$$

Since $f^{\sigma}(x)=\Pi_{\gamma \in H}(x-\sigma \gamma a)=\sigma b_{0}+\sigma b_{1} x+\ldots+\sigma b_{l-1} x^{l-1}+x^{l}$, we have

$$
\prod_{\gamma \in H}(a-\sigma \gamma a)=f^{\sigma}(a)=f^{\sigma}(a)-f(a)=\sum_{i=0}^{l-1}\left(\sigma b_{i}-b_{i}\right) a^{i}
$$

or

$$
\begin{equation*}
a^{l} \prod_{\gamma \in H}\left(1-\frac{\sigma \gamma a}{a}\right)=\sum_{i=0}^{l-1}\left(\frac{\sigma b_{i}}{b_{i}}-1\right) b_{i} a^{i} . \tag{4}
\end{equation*}
$$

Also by (3)

$$
\begin{align*}
& v\left(\sigma b_{0}-b_{0}\right) \geqq \bar{\imath}(\bar{\sigma})+l v(a)  \tag{5}\\
& v\left(\left(\sigma b_{i}-b_{\imath}\right) a^{i}\right)>\bar{\imath}(\bar{\sigma})+l v(a), i=1,2, \ldots, l-1 .
\end{align*}
$$

Combining (2), (4), and (5) yields

$$
\sum_{\gamma \in H} i(\sigma \gamma)+l v(a) \geqslant \bar{\imath}(\bar{\sigma})+l v(a),
$$

so the lemma is proved.
Lemma 4. If the p-component of $\bar{\Gamma}$ is cyclic, then

$$
\sum_{\gamma \in H} i(\sigma \gamma)=\bar{\imath}(\bar{\sigma}), \quad \sigma \in G
$$

holds for all Galois subextensions $E$ of $L \mid K$, and conversely.
Proof. First assume $\bar{\Gamma}$ has cyclic $p$-component. As in Lemma 3, we may assume \# $H=l$ is prime. Let $T$ denote the maximal tame extension of $K$ in $L$. Then $E \cap T$ is the maximal tame extension of $K$ in $E . v\left(L^{*}\right) / v\left(T^{*}\right)$ is cyclic by assumption. If $l=p$, then $E \cap T=T$ so $v\left(L^{*}\right) / v\left(E \cap T^{*}\right)$ is cyclic. This is true in any case, since $l \neq p$ implies

$$
v\left(L^{*}\right) / v\left(E \cap T^{*}\right) \cong v\left(L^{*}\right) / v\left(E^{*}\right) \times v\left(L^{*}\right) / v\left(T^{*}\right)
$$

Let $a \in L^{*}$ be such that $v(a)$ generates $v\left(L^{*}\right)$ modulo $v\left(E \cap T^{*}\right)$. If $\sigma$ fixes $E \cap T$, then by Lemma $2, i(\sigma \gamma)=v(\sigma \gamma a / a-1)$ for all $\gamma \in H$. Reexamining the proof of Lemma 3 we see that $v\left(b_{0}\right)=l v(a)$ so $v\left(b_{0}\right)$ generates $v\left(E^{*}\right)$ modulo $v\left(E \cap T^{*}\right)$. Again applying Lemma 2, we have equality in (5) in the case $i=0$. On the other hand, if $\bar{\sigma}$ does not fix $E \cap T$, then $\bar{\imath}(\bar{\sigma})=0=i(\sigma \gamma)$ for all $\gamma \in H$. Thus the result is true in any case.

To prove the converse let $T$ be the maximal tame extension of $K$ in $L$, and let $p^{n}=[L: T]$. We prove a stronger result : namely we prove that if the formula holds for all extensions $L\left|E_{i}\right| K, i=1,2, \ldots, n-1$, where $K \subset T=$ $E_{0} \subset E_{1} \subset \ldots \subset E_{n}=L$ is some special sequence of Galois subfields such that $\left[E_{i}: T\right]=p^{i}$, then $v\left(L^{*}\right) / v\left(T^{*}\right)$ is cyclic. When $n=1$, the assumption is vacuous, but the result is obvious. In general, we choose $E=E_{1}$. By assumption, the formula holds for $L\left|E_{i}\right| K, i=1, \ldots, n-1$, and hence certainly for $L\left|E_{i}\right| E, i=2, \ldots, n-1$. Thus, by induction, $v\left(L^{*}\right) / v\left(E^{*}\right)$ is cyclic. Choose $a \in L^{*}$ such that $v(a)$ generates $v\left(L^{*}\right)$ modulo $v\left(E^{*}\right)$, and choose any $\sigma \in G$ fixing $T$ but not $E$ (i.e. $0<\bar{\imath}(\bar{\sigma})<\infty)$. For $\gamma \in H, v(\sigma \gamma x / x-1)$ is never the minimal value $i(\sigma \gamma)$ when $x \in E^{*}$, for this would imply $\bar{i}(\bar{\sigma})=i(\sigma \gamma)$, contradicting $\bar{\imath}(\bar{\sigma})=\sum_{\gamma \in H} i(\sigma \gamma)$. Thus, by Lemma 2 , $i(\sigma \gamma)=v(\sigma \gamma a / a-1)$ for all $\gamma \in H$. Using the terminology of Lemma 3, we see that the condition $\bar{\imath}(\bar{\sigma})=$ $\sum_{\gamma \in H} i(\sigma \gamma)$ forces $\bar{\imath}(\bar{\sigma})=v\left(\sigma b_{0} / b_{0}-1\right)$. Clearly this implies that $v\left(b_{0}\right)=$ $v\left(N_{L \mid E}(a)\right)=p^{n-1} v(a)$ generates $v\left(E^{*}\right)$ modulo $v\left(T^{*}\right)$. In particular $p^{n-1}$ $v(a) \notin v\left(T^{*}\right)$, so the order of $\overline{v(a)}$ in $v\left(L^{*}\right) / v\left(T^{*}\right)$ must be $p^{n}$.

For $\sigma \in G$, let
$i_{\sigma}=\max \{i(\sigma \gamma) \mid \gamma \in H\}=\max \left\{x \mid \sigma H \cap G_{x} \neq \phi\right\}$.
Let $\tilde{\phi}$ denote the Herbrand Function as previously defined, but with respect to the extension $L \mid E$.

Lemma 5. $\sum_{\gamma \in H} i(\sigma \gamma)=\tilde{\phi}\left(i_{\sigma}\right)$.
Proof. Let $0=x_{0} \leqq x_{1} \leqq x_{2} \leqq \ldots \leqq x_{k}=i_{\sigma}$ be any set of elements of D containing all the jumps of $L \mid K$ (and hence of $L \mid E$ ) in the interval $\left[0, i_{\sigma}\right]$. Let $\delta$ be the Kronecker Symbol:

$$
\delta(x, S)=\left\{\begin{array}{l}
0, \text { if } x \notin S . \\
1, \text { if } x \in S .
\end{array}\right.
$$

Then

$$
\begin{aligned}
& \sum_{\gamma \in H} i(\sigma \gamma)=\sum_{\gamma \in H} \sum_{v=1}^{k} \delta\left(\sigma \gamma, G_{x_{v}}\right)\left(x_{v}-x_{v-1}\right) \\
& \quad=\sum_{v=1}^{k} \sum_{\gamma \in H} \delta\left(\sigma \gamma, G_{x_{v}}\right)\left(x_{v}-x_{v-1}\right)=\sum_{v=1}^{k} \#\left(\sigma H \cap G_{x_{v}}\right)\left(x_{v}-x_{v-1}\right)
\end{aligned}
$$

However, if $\sigma H \cap G_{x} \neq \phi$, say $\sigma \gamma_{0} \in \sigma H \cap G_{x}$, then $\sigma \gamma \rightarrow \gamma_{0}^{-1} \gamma$ defines a

1-1 correspondence between the elements of $\sigma H \cap G_{x}$ and the elements of $G_{x} \cap H=H_{x}$; thus the result.

If $E$ is any Galois subextension of $L \mid K$, let $H$ denote the Galois group of $L \mid E$ and let $\phi, \tilde{\phi}, \bar{\phi}$ denote the Herbrand Functions for $L|K, L| E$, and $E \mid K$. With these notations we have the following result.

Theorem 1. For all $x \in D^{+}$,
(i) $G_{x} H / H \supset(G / H)_{\tilde{\phi}(x)}$,
(ii) $\phi(x) \geqq \bar{\phi}(\tilde{\phi}(x))$,
(iii) $G^{x} H / H \supset(G / H)^{x}$.

Proof. (i) By Lemmas 3 and 5, if $\sigma \in G$, then $\bar{\imath}(\bar{\sigma}) \leqq \tilde{\phi}\left(i_{\sigma}\right)$. Hence $\bar{\sigma} \in$ $(G / H)_{\tilde{\Phi}(x)} \Leftrightarrow \bar{\imath}(\bar{\sigma}) \geqq \tilde{\phi}(x) \Rightarrow \tilde{\phi}\left(i_{\sigma}\right) \geqq \tilde{\phi}(x) \Leftrightarrow i_{\sigma} \geqq x \Leftrightarrow \bar{\sigma} \in G_{x} H / H$.
(ii) Since the functions $\phi, \bar{\phi} \circ \tilde{\phi}$ are piecewise linear on $D^{+}$, and $\phi(0)=0=$ $\bar{\phi}(\tilde{\phi}(0))$, it is enough to show that the left-hand derivatives satisfy $\phi_{l}{ }^{\prime}(x) \geqq$ $(\bar{\phi} \circ \tilde{\phi})_{l}{ }^{\prime}(x)$ for all $x \in D^{+}$:

$$
\begin{aligned}
& (\bar{\phi} \circ \tilde{\phi})_{l}{ }^{\prime}(x)=\bar{\phi}_{l}{ }^{\prime}(\tilde{\phi}(x)) \cdot \tilde{\phi}_{l}{ }^{\prime}(x)=\#(G / H)_{\tilde{\phi}(x)} \cdot \# H_{x} \leqq \#\left(G_{x} H / H\right) \\
& \# H_{x}=\#\left(G_{x} / G_{x} \cap H\right) \cdot \#\left(G_{x} \cap H\right)=\# G_{x}=\phi_{l}{ }^{\prime}(x)
\end{aligned}
$$

(iii) Let $x=\phi(y)$. Then $x \geqq \bar{\phi}(\tilde{\phi}(y))$ by (ii); hence

$$
G^{x} H / H=G_{y} H / H \supset(G / H)_{\tilde{\phi}(y)} \supset(G / H)_{\bar{\Phi}^{-1}(x)}=(G / H)^{x} .
$$

Theorem 2. For a given Galois subextension $E$ of $L \mid K$, the following conditions are equivalent:
(i) $G_{x} H / H=(G / H)_{\tilde{\phi}(x)}$ holds for all $x \in D^{+}$,
(ii) $\phi=\bar{\phi} \circ \tilde{\phi}$,
(iii) $G^{x} H / H=(G / H)^{x}$ holds for all $x \in D^{+}$.

Further, these conditions hold for all Galois subextensions $E$ of $L \mid K$ if and only if $\bar{\Gamma}$ has cyclic p-component.

Proof. (i) $\Leftrightarrow$ (ii). We have $G_{x} H / H \supset(G / H)_{\tilde{\phi}(x)}$ by Theorem 1. Thus $G_{x} H / H=(G / H) \tilde{\phi}(x) \Leftrightarrow \#\left(G_{x} H / H\right)=\#(G / H)_{\tilde{\phi}(x)} \Leftrightarrow \phi_{l}{ }^{\prime}(x)=(\bar{\phi} \circ \tilde{\phi})_{\imath}{ }^{\prime}(x)$ as we see from the proof of Theorem 1.
(i), (ii) $\Leftrightarrow$ (iii). The result is immediate on examination of the proof of Theorem 1, (iii).

Finally, if we examine the proof of Theorem 1(i), we see that $G_{x} H / H=$ $(G / H)_{\tilde{\phi}(x)}$ for all $x \in D^{+} \Leftrightarrow \bar{\imath}(\bar{\sigma})=\tilde{\phi}\left(i_{\sigma}\right)$ for all $\sigma \in G \Leftrightarrow \bar{\imath}(\bar{\sigma})=\sum_{\gamma \in H} i(\sigma \gamma)$ for $\sigma \in G$. Hence the last assertion follows from Lemma 4.
3. The abelian case. The proof of the Hasse-Arf Theorem given below is a simple generalization of the proof given in [3] for the rank 1 discrete case.

Theorem 3. Assume $L \mid K$ is abelian and that the p-component of $\bar{\Gamma}$ is cyclic. Then the upper jumps of $L \mid K$ all lie in $v\left(K^{*}\right)$.

Reduction of proof. Let $x$ be an upper jump of $L \mid K$. By replacing $L$ by a subfield if necessary, we may assume that $x$ is the largest upper jump of $L \mid K$. Choose cyclic extensions $L_{1}, \ldots, L_{s}$ of $K$ in $L$ such that [ $L_{i}: K$ ] is a prime power for each $i$ and such that $L$ is the compositum of these subfields. Let $G(i)$ denote the Galois group of $L_{i}$ over $K$. By Theorem 2, the natural surjective homomorphism $G \rightarrow G(i)$ carries $G^{y}$ onto $G(i)^{y}$ for all $y \in D^{+}$. Hence the natural injection $G \rightarrow G(1) \times \ldots \times G(s)$ carries $G^{y}$ into $G(1)^{y} \times \ldots \times G(s)^{y}$. In particular, $G^{x} \neq 1$ so there exists $i_{0}$ such that $G\left(i_{0}\right)^{x} \neq 1$. On the other hand, $G^{x+\epsilon}=1$ for all $\epsilon>0$, so $G\left(i_{0}\right)^{x+\epsilon}=1$ for $\epsilon>0$. Thus $x$ is an upper jump of $L_{i_{0}} \mid K$. Now suppose $\left[L_{i_{0}}: K\right]=l^{n}$. If $l \neq p$, then $x=0 \in v\left(K^{*}\right)$. Thus we have reduced the proof to the case where $L \mid K$ is wildly ramified and cyclic.

Now assume $L \mid K$ is wildly ramified cyclic and let $p^{n}=[L: K], G=\langle\sigma\rangle$. If $\tau \in G, m \in Z$, then $i(\tau) \leqq i\left(\tau^{m}\right)$ with equality if and only if $p \nmid m$. Thus the jumps of $L \mid K$ are precisely the values $i_{0}<i_{i}<\ldots<i_{n-1}$ where $i_{k}=i\left(\sigma^{p k}\right)$. The upper jumps are the values

$$
\begin{aligned}
\phi\left(i_{k}\right)=p^{n} i_{0}+p^{n-1}\left(i_{1}-i_{0}\right)+\ldots+p^{n-k}\left(i_{k}-i_{k-1}\right) & \\
& k=0,1,2, \ldots, n-1 .
\end{aligned}
$$

Thus, the conclusion of the Hasse-Arf Theorem in this case is equivalent to the statement

$$
\begin{equation*}
p^{n-k}\left(i_{k}-i_{k-1}\right) \in v\left(K^{*}\right), \quad k=1,2, \ldots, n-1 \tag{6}
\end{equation*}
$$

We can rephrase this in yet another way: If $\mu \in v\left(L^{*}\right)$, let $\bar{\mu}$ denote the coset of $\mu$ in $\bar{\Gamma}$, and define $o(\mu)=s$ where $p^{s}$ is the index of the cyclic subgroup $\langle\bar{\mu}\rangle$ in $\bar{\Gamma}$. Since $\bar{\Gamma}$ is cyclic, this also measures the $p$-divisibility of $\bar{\mu}$.
Then (6) is equivalent to the statement

$$
\begin{equation*}
o\left(i_{k}-i_{k-1}\right) \geqq k, \quad k=1,2, \ldots, n-1 \tag{7}
\end{equation*}
$$

If $\mu \in v\left(L^{*}\right)$, we define $\sigma^{\mu}=\sigma^{p^{0(\mu)}}$. An element $x \in L^{*}$ will be called Special (for $\sigma$ ) if

$$
v(\sigma x / x-1)=i\left(\sigma^{v(x)}\right)
$$

Lemma 6. For each $\mu \in v\left(L^{*}\right)$, there is a special element $x \in L^{*}$ satisfying $v(x)=\mu$.

Proof. If $o(\mu)=n$, then $\mu \in v\left(K^{*}\right)$. In this case any $x \in K^{*}$ for which $v(x)=\mu$ will serve. Now suppose $o(\mu)=s<n$. Then $\mu=p^{s} \alpha+\beta$ where $\alpha \in v\left(L^{*}\right), \beta \in v\left(K^{*}\right)$. Moreover $o(\alpha)=0$, so $\bar{\alpha}$ generates $\bar{\Gamma}$. Choose any $a \in L^{*}$ such that $v(a)=\alpha$, and any $b \in K^{*}$ such that $v(b)=\beta$. If we define

$$
x=b \prod_{i=0}^{p^{s-1}} \sigma^{i} a
$$

then clearly $v(x)=\mu$. Moreover $\sigma x / x=\sigma^{p s} a / a$, so the result is clear by Lemma 2.

Lemma 7. Every element $x \in L^{*}$ can be written as a finite sum of special elements whose values are distinct modulo $v\left(K^{*}\right)$.

Proof. Using Lemma 6, we can form a basis of $L \mid K$ by choosing special elements of $L^{*}$ whose value classes in $\bar{\Gamma}$ are distinct. Since the product of a special element with an element of $K^{*}$ is again special, the result is obvious.

Lemma 8. If $0<j<n-1$ implies o $\left(i_{j}-i_{j-1}\right) \geqq j$, then the values $\mu+i\left(\sigma^{\mu}\right)$, $o(\mu)<n-1$ are all distinct. They are also distinct from $i_{n-2}$.

Proof. Suppose $\mu+i\left(\sigma^{\mu}\right)=\lambda+i\left(\sigma^{\lambda}\right)$. If $o(\mu)=o(\lambda)$, then $\sigma^{\mu}=\sigma^{\lambda}$ and therefore $\mu=\lambda$. Otherwise we see that $o(\mu-\lambda)=\min \{o(\mu), o(\lambda)\}$. But from the assumption, one also has $o\left(i\left(\sigma^{\lambda}\right)-i\left(\sigma^{\mu}\right)\right)>\min \{o(\mu), o(\lambda)\}$, so that $\mu-\lambda \neq i\left(\sigma^{\lambda}\right)-i\left(\sigma^{\mu}\right)$. As long as $o(\mu)<n-1$ we have $o\left(i_{n-2}-i\left(\sigma^{\mu}\right)\right)>o(\mu)$ and therefore $i_{n-2} \neq \mu+i\left(\sigma^{\mu}\right)$.

Proof of Theorem 3. If $L \mid K$ is the extension of least degree for which the assertion fails, then by the proof reduction we may assume that $L \mid K$ is wildly ramified cyclic, and that the failure occurs at the largest jump only. Thus we have $o\left(i_{k}-i_{k-1}\right) \geqq k, \quad k=1,2, \ldots, n-2$, but $o\left(i_{n-1}-i_{n-2}\right)<n-1$. Further, if $E$ denotes the subfield of $L$ fixed by $\sigma^{p}$, then by the minimality of $n$, the assertion is true for $L \mid E$, so $o\left(i_{n-1}-i_{n-2}\right) \geqq n-2$. Thus $o\left(i_{n-1}-i_{n-2}\right)=$ $n-2$. Put $s=i_{n-2}-i_{n-1}$ and apply Lemma 6 to choose $z \in L^{*}$ special for $\sigma^{p}$ such that $v(z)=s$. Thus $\left.v\left(\sigma^{p}-1\right) z\right)=s+i\left(\sigma^{p s}\right)=s+i_{n-1}=i_{n-2}$. Let $x=\left(\sigma^{p-1}+\sigma^{p-2}+\ldots+1\right) z$. The operator $A=\sigma^{p-1}+\sigma^{p-2}+\ldots+1$ is congruent to $(\sigma-1)^{p-1}$ modulo $p$. Thus:

$$
\begin{align*}
& v(x)=v(A(z))>v(z)=s  \tag{8}\\
& v((\sigma-1) x)=v\left(\left(\sigma^{p}-1\right) z\right)=i_{n-2} \tag{9}
\end{align*}
$$

Expand $x$ as in Lemma $7, x=\sum_{\mu} x_{\mu}, v\left(x_{\mu}\right)=\mu$. Then set $y=\sum_{\mu} y_{\mu}$ where $y=(\sigma-1) x, y_{\mu}=(\sigma-1) x_{\mu}$. Break this expansion into two parts:

$$
\begin{equation*}
y=\sum_{0(\mu)<n-1} y_{\mu}+\sum_{0(\mu) \geqslant n-1} y_{\mu} . \tag{10}
\end{equation*}
$$

By Lemma 8 , the $v\left(y_{\mu}\right)$ occurring in the first sum are all distinct and $v(y)=i_{n-2}$ is also distinct from these. As for the second sum, notice that if $\mu$ occurs in it, one has $v\left(y_{\mu}\right) \geqq v\left(x_{\mu}\right)+i_{n-1}$ since $o(\mu) \geqq n-1$. Since the values $v\left(x_{\mu}\right)=\mu$ lie in distinct cosets by choice, $v\left(x_{\mu}\right) \geqq v(x)$. Finally, by (8), $v(x)>s$. Hence $v\left(y_{\mu}\right)>s+i_{n-1}=i_{n-2}$ for terms in the second sum. Hence, if we write (10) in the form

$$
y-\sum_{0(\mu)>n-1} y_{\mu}=\sum_{0(\mu)<n-1} y_{\mu}
$$

and compare values, we obtain a contradiction.

## References

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University of Saskatchewan, Saskatoon, Saskatchewan

