EVERY SALEM NUMBER IS A DIFFERENCE OF TWO PISOT NUMBERS

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Abstract In this note, we prove that every Salem number is expressible as a difference of two Pisot numbers. More precisely, we show that for each Salem number α of degree d, there are infinitely many positive integers n for which $\alpha^{2n-1} - \alpha^n + \alpha$ and $\alpha^{2n-1} - \alpha^n$ are both Pisot numbers of degree d and that the smallest such n is at most $6^{d/2-1} + 1$. We also prove that every real positive algebraic number can be expressed as a quotient of two Pisot numbers. Earlier, Salem himself had proved that every Salem number can be written in this way.

Keywords: Salem number; Pisot number; Dirichlet's approximation theorem

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1. Introduction

Recall that a Salem number is a real algebraic integer $\alpha > 1$ whose conjugates over \mathbb{Q} except for α itself all lie in the disc $|z| \leq 1$ with at least one conjugate lying on the boundary |z| = 1. The Salem number α is reciprocal, so it has even degree $d \geq 4$ over \mathbb{Q} , the conjugate α^{-1} and d-2 unimodular conjugates of the form $e^{\pm i\phi_j}$, $j = 1, \ldots, d/2 - 1$, where $0 < \phi_1 < \cdots < \phi_{d/2-1} < \pi$. A Pisot number is a real algebraic integer greater than 1 whose other conjugates over \mathbb{Q} (if any) all lie in the open disc |z| < 1.

Various properties of Salem numbers have been investigated in [6–8, 12, 13, 15, 17] (see also a survey [16]), while their relations with Pisot numbers have been explored in, for example, [1, 2, 5, 9, 10, 18, 19]. For example, an old result of Salem [12] asserts that every Pisot number is a limit point of the set of Salem numbers. In [14], Siegel showed that the smallest Pisot number is the root $\theta = 1.3247...$ of $x^3 - x - 1 = 0$, while the smallest Salem number is not known, and it is not even known whether the set of Salem numbers is bounded away from 1.

In [5], the author investigated various sumsets and difference sets involving Salem and Pisot numbers. In this note, we will prove the following new result in this direction.

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Theorem 1. Every Salem number is expressible as a difference of two Pisot numbers.

More explicitly, we will show the following:

Theorem 2. For each Salem number α of degree $d \ge 4$, there exist infinitely many $n \in \mathbb{N}$ for which $\alpha^{2n-1} - \alpha^n + \alpha$ and $\alpha^{2n-1} - \alpha^n$ are both Pisot numbers of degree d. The smallest such n is at most $6^{d/2-1} + 1$.

In [12, p. 69] (see also [13, p. 35]), Salem himself proved that every Salem number is expressible as a quotient of two Pisot numbers. On the other hand, the author showed that every positive algebraic number is a quotient of two Mahler measures [4, Theorem 1]. Recall that the *Mahler measure* $M(\alpha)$ of a non-zero algebraic number α is the modulus of the product of its conjugates lying outside the unit circle and the leading coefficient of its minimal polynomial in $\mathbb{Z}[x]$. Thus, for a real algebraic number $\alpha > 1$, we have $M(\alpha) \geq \alpha$ with equality if and only if α is a Salem or a Pisot number. Therefore, the following theorem generalizes both these results.

Theorem 3. Every real positive algebraic number α of degree d is expressible as a quotient of two Pisot numbers of degree d from the field $\mathbb{Q}(\alpha)$.

In the next section, we will recall a few simple results, which will be used in the proofs. Then, in § 3, we will prove Theorems 2 and 3. Evidently, Theorem 2 implies Theorem 1.

2. Auxiliary results

In the proof of Theorem 2, we will use the next version of Dirichlet's approximation theorem [4] (see, e.g., [11, p. 423]).

Lemma 4. Let $\lambda_1, \lambda_2, \ldots, \lambda_N$ be real numbers. Then, for each Q > 1, there is a positive integer $q \leq Q$ such that

$$\|\lambda_i q\| < Q^{-1/N}$$

for j = 1, 2, ..., N.

Throughout, ||y|| stands for the distance between $y \in \mathbb{R}$ and the nearest integer.

Let α be a Salem number of degree $d \ge 4$ with conjugates α^{-1} and $e^{\pm i\phi_j}$, $j = 1, \ldots, N$, over \mathbb{Q} , where $0 < \phi_1 < \cdots < \phi_N < \pi$ and d = 2N + 2. In [13, p. 32], Salem showed that the numbers $\pi, \phi_1, \ldots, \phi_N$ are linearly independent over \mathbb{Q} (the argument is attributed to Pisot). In particular, Salem's result implies that

Lemma 5. The numbers ϕ_j/π , $j = 1, \ldots, N$, are all irrational.

Note that in case $\phi_j/\pi \in \mathbb{Q}$, the conjugate $e^{i\phi_j}$ of a Salem number must be a root of unity, which is impossible, because all the conjugates of a root of unity over \mathbb{Q} must be roots of unity themselves, but Salem number is not a root of unity. This also implies Lemma 5.

Next, we record the following observation:

Lemma 6. Let α be a real algebraic number of degree $d \geq 2$ with conjugates $\alpha_1 = \alpha, \alpha_2, \ldots, \alpha_d$ over \mathbb{Q} , and let f be a non-constant polynomial with rational coefficients such that $f(\alpha) > 0$ and $|f(\alpha_j)| < 1$ for $j = 2, \ldots, d$. If $f(\alpha) \in \mathbb{Q}(\alpha)$ is an algebraic integer, then it is a Pisot number of degree d.

Proof. Note that

 $f(\alpha), f(\alpha_2), \ldots, f(\alpha_d)$

is the list of conjugates of an algebraic integer $f(\alpha)$ over \mathbb{Q} , possibly repeated several times. In particular, this implies that $f(\alpha_j) \neq 0$ for $j = 2, \ldots, d$. Furthermore, $f(\alpha) \geq 1$, since otherwise $0 < f(\alpha) < 1$, and hence there is a non-zero algebraic integer $f(\alpha)$ with all conjugates in |z| < 1, including $f(\alpha)$. But then the modulus of the product of the conjugates of $f(\alpha)$ must be smaller than 1, which is impossible. Also, if $f(\alpha) = 1$, then its conjugates $f(\alpha_j), j = 2, \ldots, d$, are all equal to 1, which is not the case. Consequently, $f(\alpha) > 1$. Since $f(\alpha)$ is the only conjugate of $f(\alpha)$ outside the unit circle, all $f(\alpha_j), j = 2, \ldots, d$, lying in |z| < 1 must be distinct, whence the result.

3. Proofs of Theorems 2 and 3

Proof of Theorem 2. Let α be a Salem number of degree $d \ge 4$ with conjugates $\alpha_2 = \alpha^{-1}$ and $\{\alpha_3, \ldots, \alpha_d\} = \{e^{\pm i\phi_1}, \ldots, e^{\pm i\phi_N}\}$, where N = d/2 - 1. Applying Lemma 4 to the N irrational numbers $\lambda_1 = \phi_1/(2\pi), \ldots, \lambda_N = \phi_N/(2\pi)$ (see Lemma 5), we derive that for any Q > 1, there is an integer q in the range $1 \le q \le Q$ for which

$$0 < \|q\phi_j/(2\pi)\| < Q^{-1/N} = Q^{-2/(d-2)}.$$
(1)

Put n = q + 1 and consider the numbers

$$\beta = \alpha^{2n-1} - \alpha^n + \alpha \quad \text{and} \quad \gamma = \alpha^{2n-1} - \alpha^n.$$
(2)

We will show that β and γ are both Pisot numbers of degree d in the field $\mathbb{Q}(\alpha)$, provided that

$$Q^{-2/(d-2)} \le \frac{1}{6},\tag{3}$$

that is, $Q \geq 6^{d/2-1}$. Of course, by letting $Q \to \infty$ in Equation (1), we will produce infinitely many q satisfying Equation (1), and so infinitely many $n \in \mathbb{N}$ for which $\beta, \gamma \in \mathbb{Q}(\alpha)$ defined in Equation (2) are both Pisot numbers of degree d.

We begin with the number $\gamma = f(\alpha)$, where $f(x) = x^{2n-1} - x^n$ due to Equation (2). First, $\gamma = f(\alpha) > 0$ is an algebraic integer lying in the field $\mathbb{Q}(\alpha)$. In order to apply Lemma 6, we need to show that $|f(\alpha_j)| < 1$ for $j = 2, \ldots, d$.

Observe that, by Equation (2),

$$f(\alpha_2) = f(\alpha^{-1}) = \alpha^{-2n+1} - \alpha^{-n}$$

It is clear that $-1 < \alpha^{-2n+1} - \alpha^{-n} < 0$ because $\alpha > 1$. So $f(\alpha_2)$ lies in |z| < 1. Next, fix a conjugate $\alpha' = e^{\pm i\phi_j}$ of α . It remains to check that for any choice of the sign \pm the

number

$$f(\alpha') = (\alpha')^{2n-1} - (\alpha')^n = e^{\pm i\phi_j n} (e^{\pm i\phi_j (n-1)} - 1) = e^{\pm i\phi_j (q+1)} (e^{\pm i\phi_j q} - 1)$$

lies in |z| < 1. In view of $|f(\alpha')| = 2|\sin(q\phi_j/2)|$, this is equivalent to $|\sin(q\phi_j/2)| < 1/2$. This happens if and only if

$$|q\phi_j/2 - \pi k| < \pi/6$$

for some $k \in \mathbb{Z}$ or, equivalently, $\|q\phi_j/(2\pi)\| < 1/6$, which is indeed the case by Equations (1) and (3). This completes our verification. Therefore, $\gamma = f(\alpha) \in \mathbb{Q}(\alpha)$ is a Pisot number of degree d by Lemma 6.

Now, let us consider the number $\beta = f(\alpha)$ defined in Equation (2), where $f(x) = x^{2n-1} - x^n + x$. It is clear that $f(\alpha) > \alpha > 1$ is an algebraic integer. This time, we find that

$$f(\alpha_2) = f(\alpha^{-1}) = \alpha^{-2n+1} - \alpha^{-n} + \alpha^{-1}.$$

In view of $\alpha > 1$ and $n \ge 2$, we obtain $0 < \alpha^{-2n+1} - \alpha^{-n} + \alpha^{-1} < 1$, so $f(\alpha_2)$ is in |z| < 1. Next, as above, fix a conjugate $\alpha' = e^{\pm i\phi_j}$ of α . This time, we need to show that for any choice of the sign \pm the number

$$f(\alpha') = (\alpha')^{2n-1} - (\alpha')^n + \alpha' = e^{\pm i\phi_j n} \left(e^{\pm i\phi_j (n-1)} - 1 + e^{\mp i\phi_j (n-1)} \right)$$
$$= e^{\pm i\phi_j (q+1)} (2\cos(q\phi_j) - 1)$$

lies in the open disc |z| < 1. This is true if and only if $0 < \cos(q\phi_j) < 1$. The latter inequalities hold whenever

$$0 < |q\phi_j - 2\pi k| < \pi/2$$

for some $k \in \mathbb{Z}$ or, equivalently, $0 < ||q\phi_j/(2\pi)|| < 1/4$. This is true by Equations (1), (3) and 1/6 < 1/4. As before, by Lemma 6, we conclude that $\beta = f(\alpha) > 1$ is a Pisot number of degree d.

Finally, selecting $Q = 6^{d/2-1}$, by Equations (1) and (3), we see that the smallest $q \in \mathbb{N}$ for which Equation (1) is true satisfies $1 \leq q \leq 6^{d/2-1}$. This completes the proof of the last assertion of the theorem because the integer n = q + 1 is in the range $2 \leq n \leq 6^{d/2-1} + 1$.

Proof of Theorem 3. Let α be a positive algebraic number of degree d over \mathbb{Q} with conjugates $\alpha_1 = \alpha, \alpha_2, \ldots, \alpha_d$. The claim is trivial for d = 1, since every integer $k \geq 2$ is a Pisot number and every positive rational number is a quotient of two such numbers. Assume that $d \geq 2$, and let m be a positive integer for which $m\alpha$ is an algebraic integer.

Fix a positive number u < 1 satisfying

$$mu\max(1, |\alpha_2|, \dots, |\alpha_d|) < 1, \tag{4}$$

and a positive number v > 1 satisfying

$$mv\alpha > 1.$$
 (5)

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Select a Pisot number $\beta \in \mathbb{Q}(\alpha)$ of degree d (see Theorem 2 in [13, p. 3]). A natural power of β is also a Pisot number of degree d, so by replacing β by its large power if necessary, we can assume that $\beta > v$ and that the other d-1 conjugates of β over \mathbb{Q} are all in |z| < u.

Write this β in the form $\beta = f(\alpha)$, where f is a non-constant polynomial of degree at most d-1 with rational coefficients. Then, the numbers $\beta_j = f(\alpha_j), j = 1, \ldots, d$, are the conjugates of $\beta = \beta_1$ over \mathbb{Q} . Recall that, by the choice of β , we have

$$\beta = f(\alpha) > v$$
 and $|\beta_j| = |f(\alpha_j)| < u$ for $j = 2, \dots, d$.

We claim that under assumption on the constants $u \in (0, 1)$ as in Equation (4) and v > 1as in Equation (5), the numbers $m\alpha\beta \in \mathbb{Q}(\alpha)$ and $m\beta \in \mathbb{Q}(\alpha)$ are both Pisot numbers of degree d. This will complete our proof, since their quotient is α .

First, $m\beta$ is a Pisot number, since it is an algebraic integer greater than m > 1, whose other conjugates $m\beta_j$, j = 2, ..., d, all lie in |z| < 1 by $|\beta_j| < u$ and Equation (4). Of course, $m\beta \in \mathbb{Q}(\alpha)$ is of degree d over \mathbb{Q} , since so is β .

Second, the number $m\alpha\beta = m\alpha f(\alpha) \in \mathbb{Q}(\alpha)$ is a positive algebraic integer, since so are $m\alpha$ and β . It is greater than 1 by $\beta > v$ and Equation (5). Its other conjugates are $m\alpha_j f(\alpha_j) = m\alpha_j\beta_j$, j = 2, ..., d. They are all in |z| < 1 due to $|\beta_j| < u$ and Equation (4). Hence, $m\alpha f(\alpha) \in \mathbb{Q}(\alpha)$ is a Pisot number of degree d over \mathbb{Q} by Lemma 6 applied to the polynomial $mxf(x) \in \mathbb{Q}[x]$.

Therefore, $m\alpha\beta \in \mathbb{Q}(\alpha)$ and $m\beta \in \mathbb{Q}(\alpha)$ indeed are both Pisot numbers of degree d, which finishes the proof.

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