

MAPPINGS OF CONSERVATIVE DISTANCES IN p -NORMED SPACES ($0 < p \leq 1$)

XUJIAN HUANG and DONGNI TAN✉

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Abstract

We show that any mapping between two real p -normed spaces, which preserves the unit distance and the midpoint of segments with distance 2^p , is an isometry. Making use of it, we provide an alternative proof of some known results on the Aleksandrov question in normed spaces and also generalise these known results to p -normed spaces.

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1. Introduction

Let X and Y be metric spaces. A mapping $f : X \rightarrow Y$ is called an isometry if it satisfies $d_Y(f(x), f(y)) = d_X(x, y)$ for all $x, y \in X$. For some $r > 0$, we say that f *preserves distance r* if $d_X(x, y) = r$ yields $d_Y(f(x), f(y)) = r$. In particular, we say that f has the *distance one preserving property (DOPP)* if it preserves unit distance. We say that f is a *1-Lipschitz mapping* if $d_Y(f(x), f(y)) \leq d_X(x, y)$ for all $x, y \in X$.

The classical Mazur–Ulam theorem [12] states that every surjective isometry between two real normed spaces is affine. Baker [2] showed that every isometry of a real normed linear space into a strictly convex real normed linear space is affine without the onto assumption. An old question of Aleksandrov [1] asked under what conditions a mapping on a metric space which preserves unit distance must necessarily be an isometry. Beckman and Quarles proved in [3] that any mapping of \mathbb{R}^n with $n \geq 2$ preserving unit distance is an affine isometry. As far as we know, this problem is still far from being solved. It was solved only for a few concrete two-dimensional normed spaces (see [7] concerning strictly convex normed spaces and [10] for a nonstrictly convex normed space). For modified versions of the Aleksandrov question, there are two known results. Benz [4] (see also [5]) showed that every mapping of a real normed space into a strictly convex real normed space which preserves two distances with an

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integer ratio is an affine isometry. Rassias and Šemrl [13] proved the following result for mappings having the strong distance one preserving property (SDOPP), that is, for all $x, y \in X$ with $\|x - y\| = 1$ it follows that $\|f(x) - f(y)\| = 1$ and conversely.

THEOREM 1.1 [13]. *Let X and Y be two real normed spaces such that one of them has a dimension greater than one, and let $f : X \rightarrow Y$ be a surjective mapping satisfying SDOPP. If f is a Lipschitz mapping with Lipschitz constant $K \leq 1$ or one of X and Y is strictly convex, then f is an affine isometry.*

The goal of this paper is to consider the Aleksandrov question in the case of p -normed spaces ($0 < p \leq 1$). We first prove that any mapping which preserves the unit distance and the midpoint of segments with distance 2^p is an isometry. This result is applied to show that Benz's theorem and the Rassias–Šemrl theorem hold in p -normed spaces. In fact, we provide an alternative proof of these theorems on the Aleksandrov question in normed spaces. We also show that a collineation between two p -normed spaces preserving unit distance is an affine isometry, and that a mapping between two p -strictly convex spaces from high dimensions to two dimensions, which preserves the unit distance, is an affine isometry. These results are new even in normed spaces.

2. Isometries in p -normed spaces ($0 < p \leq 1$)

All vector spaces mentioned in this article are assumed to be real.

DEFINITION 2.1. Let X be a vector space, $0 < p \leq 1$ and $\|\cdot\|$ be a real-valued function on X , satisfying the following conditions:

- (a) $\|x\| \geq 0$ and $\|x\| = 0$ if and only if $x = 0$;
- (b) $\|\lambda x\| = \|\lambda\|^p \|x\|$ for all $\lambda \in \mathbb{R}$ and $x \in X$;
- (c) $\|x + y\| \leq \|x\| + \|y\|$ for all $x, y \in X$.

The function $\|\cdot\|$ is called a p -norm on X and the pair $(X, \|\cdot\|)$ is called a p -normed space.

Let X be a p -normed space. Then X equipped with the translation-invariant metric $d(x, y) = \|x - y\|$ for every $x, y \in X$ is a metric linear space. For the case $p = 1$, X is a normed space. The spaces l_p and $L_p[0, 1]$, $0 < p \leq 1$, are p -normed spaces. If $(X, \|\cdot\|)$ is a normed space, we can define a p -norm on X by $\|\cdot\|^p$. In this case, we let X_p denote the p -normed space $(X, \|\cdot\|^p)$. In fact, every Hausdorff locally bounded topological linear space induces a p -normed space with $0 < p \leq 1$ (see [9, page 161]).

DEFINITION 2.2. A p -normed space X is called p -strictly convex if, for each pair x, y of nonzero elements in X such that $\|x + y\|^{1/p} = \|x\|^{1/p} + \|y\|^{1/p}$, it follows that $x = ty$ for some $t > 0$.

It follows from [11, Theorem 2.5] that $(X, \|\cdot\|)$ is a strictly convex normed space if and only if $(X, \|\cdot\|^p)$ is a p -strictly convex space. We see that if X is a p -strictly convex space, then the equations $\|x - z\| = \|y - z\| = \|(x - y)/2\|$ have a unique solution $z = (x + y)/2$ for every $x, y \in X$.

DEFINITION 2.3. Let X and Y be p -normed spaces. A mapping $f : X \rightarrow Y$ is said to preserve the midpoint of segments with distance r if, for any $x, y \in X$ with $\|x - y\| = r$,

$$f\left(\frac{x + y}{2}\right) = \frac{f(x) + f(y)}{2}.$$

LEMMA 2.4. Suppose that X is a p -normed space with $\dim X \geq 2$ and $x, y \in X$ with $c := \|x - y\| > 0$. If $|c^{1/p} - a^{1/p}|^p \leq b \leq |c^{1/p} + a^{1/p}|^p$ for some $a, b > 0$, then there exists a vector z in X such that $\|x - z\| = a$ and $\|y - z\| = b$.

PROOF. Let S_X be the unit sphere of X . Consider the continuous function given by

$$\phi : a^{1/p}S_X + x \rightarrow \mathbb{R}, \quad \phi(z) = \|z - y\|.$$

It is easy to check that

$$\begin{aligned} \|\phi(x + (a/c)^{1/p}(y - x))\| &= |c^{1/p} - a^{1/p}|^p \leq b, \\ \|\phi(x + (a/c)^{1/p}(x - y))\| &= |c^{1/p} + a^{1/p}|^p \geq b. \end{aligned}$$

We thus obtain the existence of a vector $z \in X$ such that $\|x - z\| = a$ and $\|y - z\| = b$. \square

The following result plays an important role and is used frequently in this paper; we call it the main lemma.

LEMMA 2.5 (Main Lemma). Let X and Y be p -normed spaces with $\dim X \geq 2$ and $f : X \rightarrow Y$ a mapping which preserves distance r for some $r > 0$. If f preserves the midpoint of segments with distance $2^p r$, then f is an isometry.

PROOF. (a) We first prove that f preserves distance $n^p r$ for every positive integer n . Let x and y be vectors in X such that $\|x - y\| = n^p r$. Set $z_i := x + (i/n)(y - x)$ for each $i \in \mathbb{N} \cup \{0\}$. Then $z_0 = x$, $z_n = y$ and $\|z_{i-1} - z_{i+1}\| = 2^p r$ for all $i \in \mathbb{N}$. Since f preserves the midpoint of segments with distance $2^p r$, we have $f(z_{i+1}) - f(z_i) = f(z_i) - f(z_{i-1})$ for all $i \in \mathbb{N}$. This implies that $f(y) - f(x) = f(z_n) - f(z_0) = n(f(z_1) - f(z_0))$ and

$$\|f(y) - f(x)\| = n^p \|f(z_1) - f(z_0)\| = n^p \|z_1 - z_0\| = n^p r.$$

(b) Next, we prove that f preserves distance r/k^p for every positive integer k . Let $x, y \in X$ with $\|x - y\| = r/k^p$. By Lemma 2.4, we can find a vector $z \in X$ such that

$$\|x - z\| = \|y - z\| = r.$$

Set $u_k := z + k(x - z)$ and $v_k := z + k(y - z)$. Obviously, we have $\|u_k - v_k\| = r$ and $\|u_k - z\| = \|v_k - z\| = k^p r$. By a similar method as in the proof of (a),

$$\begin{aligned} f(u_k) - f(x) &= (k - 1)(f(x) - f(z)), \\ f(v_k) - f(y) &= (k - 1)(f(y) - f(z)) \end{aligned}$$

and it follows that

$$\|f(x) - f(y)\| = \left\| \frac{1}{k}(f(u_k) - f(v_k)) \right\| = \frac{1}{k^p} \|u_k - v_k\| = \frac{r}{k^p}.$$

(c) Finally, we show that f is an isometry. Let $x, y \in X$ be two arbitrary different vectors and set $c := \|x - y\|$. For every ε with $0 < \varepsilon < c/3$, choose $a, b \in \mathbb{Q}$ such that $0 < a^p r < \varepsilon$ and

$$0 < (c^{1/p} - ar^{1/p})^p < b^p r < (c^{1/p} + ar^{1/p})^p. \tag{2.1}$$

By Lemma 2.4, there exists a vector $z \in X$ such that $\|x - z\| = a^p r$ and $\|y - z\| = b^p r$. By the above (a) and (b), f preserves the two distances $a^p r$ and $b^p r$. It follows that $\|f(x) - f(z)\| = a^p r$ and $\|f(y) - f(z)\| = b^p r$. By the triangle inequality and (2.1),

$$c - 2\varepsilon < b^p r - a^p r \leq \|f(x) - f(y)\| \leq b^p r + a^p r < c + 2\varepsilon.$$

Since this holds for every ε with $0 < \varepsilon < c/3$, we conclude that $\|f(x) - f(y)\| = \|x - y\|$. This completes the proof. \square

The following theorems are simple applications of Lemma 2.5. We shall prove that Benz’s theorem and the Rassias–Šemrl theorem hold in p -strictly convex spaces.

THEOREM 2.6. *Let X and Y be p -normed spaces with $\dim X \geq 2$ and Y p -strictly convex. If $f : X \rightarrow Y$ preserves two distances r and $N^p r$ for some $r > 0$ and some integer $N > 1$, then f is an affine isometry.*

PROOF. Let x and y be vectors in X such that $\|x - y\| = 2^p r$. Set $z := (x + y)/2$ and $z_i := x + i(z - x)$ for $i = 0, 1, \dots, N$. Then, clearly, $\|z_N - z_0\| = N^p r$ and $\|z_i - z_{i-1}\| = r$ for $i = 1, 2, \dots, N$. Since f preserves the two distances r and $N^p r$,

$$\begin{aligned} Nr^{1/p} &= \|f(z_N) - f(z_0)\|^{1/p} \leq \|f(z_N) - f(y)\|^{1/p} + \|f(y) - f(x)\|^{1/p} \\ &\leq \sum_{i=3}^N \|f(z_i) - f(z_{i-1})\|^{1/p} + \|f(y) - f(z)\|^{1/p} + \|f(z) - f(x)\|^{1/p} = Nr^{1/p}. \end{aligned}$$

Thus,

$$\|f(y) - f(x)\|^{1/p} = \|f(y) - f(z)\|^{1/p} + \|f(z) - f(x)\|^{1/p}.$$

Since $(Y, \|\cdot\|^{1/p})$ is a strictly convex normed space, $f(z) = (f(x) + f(y))/2$. This implies that f preserves the midpoint of segments with distance $2^p r$. By Lemma 2.5, we see that f is an isometry. Thus, f preserves the midpoint of segments with any distance. Since continuity is implied by isometry, the mapping f is affine. \square

THEOREM 2.7. *Let X and Y be p -normed spaces with $\dim X \geq 2$ and X p -strictly convex. If $f : X \rightarrow Y$ is a surjective mapping satisfying SDOPP, then f is an affine isometry.*

PROOF. We first prove that f is injective. Suppose on the contrary that there are $x, y \in X, x \neq y$ such that $f(x) = f(y)$. Choose $z \in X$ such that $\|z - x\| = 1$ and $\|z - y\| \neq 1$. Then, clearly, $\|f(z) - f(x)\| = \|f(z) - f(y)\| = 1$. This implies that $\|z - y\| = 1$, which is a contradiction.

We will show that f preserves the midpoint of segments with distance 2^p . This implies that f is an isometry, and therefore affine by Theorem 2.6. Take $x, y \in X$ such

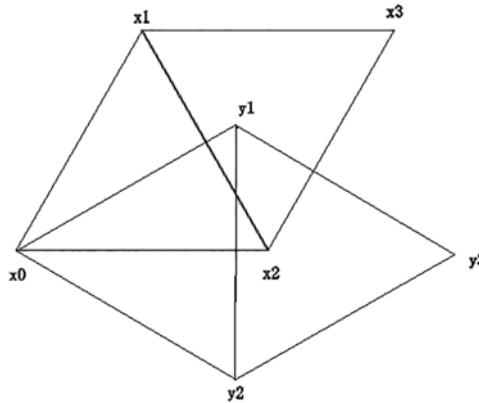


FIGURE 1. An r -probe.

that $\|x - y\| = 2^p$ and set $z := (x + y)/2$. We claim that $f(x), f(y), f(z)$ are collinear. Otherwise, we can find $w \in X$ with $w \neq z$ such that

$$\|f(w) - f(x)\| = \|f(w) - f(y)\| = 1.$$

This means that $\|w - x\| = \|w - y\| = 1$. As X is p -strictly convex, we have $w = z$, which is a contradiction. Consequently, there exists a real number t such that

$$f(y) - f(z) = t(f(x) - f(z)).$$

It is clear that

$$\|f(x) - f(z)\| = \|f(y) - f(z)\| = 1.$$

Hence, $t = -1$, and so

$$f\left(\frac{x + y}{2}\right) = f(z) = \frac{f(x) + f(y)}{2}. \quad \square$$

For the next main result, we need some new notation. Let X be a p -normed space. We call the 3-tuple $(x, y, z) \in X^3$ an r -equilateral triangle if

$$r = \|x - y\| = \|x - z\| = \|y - z\|.$$

We call the 7-tuple $(x_0, x_1, x_2, x_3, y_1, y_2, y_3) \in X^7$ an r -probe if $\{x_3, y_1, y_2, y_3\} \subset \text{aff}(x_0, x_1, x_2)$ and

$$\begin{aligned} r &= \|x_0 - x_1\| = \|x_0 - x_2\| = \|x_1 - x_2\| = \|x_1 - x_3\| = \|x_2 - x_3\| \\ &= \|x_0 - y_1\| = \|x_0 - y_2\| = \|y_1 - y_2\| = \|y_1 - y_3\| = \|y_2 - y_3\| = \|x_3 - y_3\| \end{aligned}$$

(see [7, 8]; see also Figure 1). It is known [14] that a real normed linear space X is strictly convex if and only if any two-dimensional subspace of X has the following property.

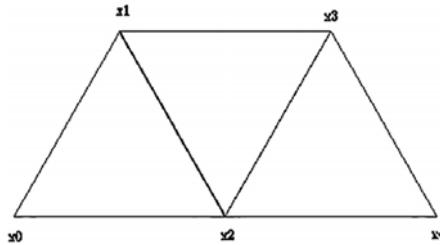


FIGURE 2. Diagram for the proof of Theorem 2.8.

- (*) For any $a \neq b$ on the line L and any c, d on the same side of L , if $\|a - c\| = \|a - d\|$ and $\|b - c\| = \|b - d\|$, then $c = d$.

By this property and [7, Lemma 4], any r -equilateral triangle can be extended to an r -probe in strictly convex spaces. Obviously, this result holds in p -strictly convex spaces.

THEOREM 2.8. *Let X and Y be p -strictly convex spaces with $\dim X \geq \dim Y = 2$, and $f : X \rightarrow Y$ a mapping which preserves distance r for some $r > 0$. Then f is an affine isometry.*

PROOF. It is sufficient to prove that f preserves the midpoint of segments with distance $2^p r$. Let x_0, x_4 be in X such that $\|x_0 - x_4\| = 2^p r$. Using the notation of Figure 2,

$$x_2 := \frac{x_0 + x_4}{2}, \quad \|x_1 - x_0\| = \|x_1 - x_2\| = \|x_0 - x_2\| = r, \quad x_3 := x_1 + (x_2 - x_0).$$

Since Y is p -strictly convex and $\|f(x_3) - f(x_1)\| = \|f(x_3) - f(x_2)\| = r$, by the property (*) we see that

$$f(x_3) \in \{f(x_0), f(x_1) + f(x_2) - f(x_0)\}.$$

We shall prove that $f(x_3) \neq f(x_0)$. Suppose, on the contrary, that $f(x_3) = f(x_0)$. Now consider the r -probe $(x_0, x_1, x_2, x_3, y_1, y_2, y_3) \in X^7$ (see Figure 1). Then the 7-tuple $(f(x_0), f(x_1), f(x_2), f(x_3), f(y_1), f(y_2), f(y_3)) \in Y^7$ is also an r -probe and the four points $f(x_0), f(y_1), f(y_2), f(y_3)$ have distance r from each other. Two of the points are on the same side of the line passing through the other two points. According to the property (*), this is impossible. It follows that $f(x_3) = f(x_1) + f(x_2) - f(x_0)$, and similarly $f(x_4) = f(x_2) + f(x_3) - f(x_1)$. Hence,

$$f\left(\frac{x_0 + x_4}{2}\right) = f(x_2) = \frac{f(x_0) + f(x_4)}{2}. \quad \square$$

Next, we return to the Aleksandrov question on general p -normed spaces. For a real vector space X , we denote the line joining two different points $x, y \in X$ by \overline{xy} and the affine subspace generated by $M \subset X$ by $\text{Aff}(M)$. Let X and Y be real vector spaces. A mapping $f : X \rightarrow Y$ is called a *collineation* if it maps any three collinear points into collinear points. It is straightforward to check that if f is a collineation, then we have $f(\text{Aff}(x, y, z)) \subset \text{Aff}(f(x), f(y), f(z))$ for any $x, y, z \in X$.

THEOREM 2.9. *Let X and Y be p -normed spaces with $\dim X \geq 2$, and $f : X \rightarrow Y$ be a collineation satisfying DOPP. Then f is an affine isometry.*

PROOF. Let $x, y \in X$ with $\|x - y\| = 2^p$ and set $z := \frac{1}{2}(x + y)$. We first prove that $f(x) \neq f(y)$. Assume on the contrary that $f(x) = f(y)$. Choose $w \in X$ such that

$$\|w - x\| = \|w - z\| = 1.$$

Then, clearly, $\|f(x) - f(z)\| = \|f(w) - f(x)\| = \|f(w) - f(z)\| = 1$. This implies that $f(x), f(z), f(w)$ are not collinear. However,

$$f(z) \in f(\text{Aff}(x, y, w)) \subset \overline{f(x)f(w)},$$

which is a contradiction. It is trivial to check that

$$\|f(x) - f(z)\| = \|f(y) - f(z)\| = 1.$$

Since $f(x), f(y), f(z)$ are collinear, there exists a real number t such that

$$f(y) - f(z) = t(f(x) - f(z)).$$

We conclude that $t = -1$, and thus

$$f\left(\frac{x + y}{2}\right) = f(z) = \frac{f(x) + f(y)}{2}.$$

We have proved that f preserves the midpoint of segments with distance 2^p and this means that f is an isometry by Lemma 2.5. The same reasoning as in Theorem 2.6 proves that f is affine. \square

To begin the discussion of the next main result, we introduce some more notation. Let X be a real p -normed space. For any $x, y \in X$, set

$$H_1(x, y) = \left\{ u \in X : \|x - u\| = \|y - u\| = \left\| \frac{x - y}{2} \right\| \right\},$$

$$H_n(x, y) = \left\{ u \in H_{n-1}(x, y) : \|u - v\| \leq \frac{\delta(H_{n-1}(x, y))}{2^p}, v \in H_{n-1}(x, y) \right\}, \quad n = 2, 3, \dots$$

Here $\delta(H_n(x, y))$ denotes the diameter of $H_n(x, y)$, which is the supremum of distances between pairs of its elements. Clearly, $\delta(H_n(x, y)) \leq 2(1/2^p)^n \|x - y\|$. It follows from the proof of [6, Lemma 1.3.1] that the intersection of these sets $H_n(x, y)$ consists of a single point $(x + y)/2$ called the *metric centre* of x and y . If $x \in X$ is a vector in X , we denote by $B(x, 1)$ the set of vectors $u \in X$ such that $\|u - x\| \leq 1$.

THEOREM 2.10. *Let X and Y_p be p -normed spaces with $\dim X \geq 2$, where Y is a normed space. Suppose that $f : X \rightarrow Y_p$ is a 1-Lipschitz mapping from $B(x, 1)$ onto $B(f(x), 1)$ for all $x \in X$. If f satisfies DOPP, then f is an affine isometry of X onto Y_p .*

PROOF. Let $x, y \in X$ with $0 < \|x - y\| \leq 1$ and set $z := x + (y - x)/\|y - x\|^{1/p}$. Then, clearly, $\|z - x\| = 1$ and $\|z - y\| = (1 - \|x - y\|^{1/p})^p$. Note that $(Y, \|\cdot\|)$ is a normed space and $f : X \rightarrow Y_p$ is a 1-Lipschitz mapping. It follows that

$$1 = \|f(z) - f(x)\| \leq \|f(z) - f(y)\| + \|f(y) - f(x)\| \leq \|z - y\|^{1/p} + \|y - x\|^{1/p} = 1.$$

This implies that $\|f(x) - f(y)\|^p = \|x - y\|$ for all $x, y \in X$ with $\|x - y\| \leq 1$.

Let $x, y \in X$ be two points with $\|x - y\| = 1$ and set $z := (x + y)/2$. By induction, $f(H_n(x, y)) = H_n(f(x), f(y))$ for every positive integer n (see [6, Lemma 1.3.2]). It follows that $f(z)$ is the unique element of $f(\bigcap_{n=1}^{\infty} H_n(x, y)) = \bigcap_{n=1}^{\infty} H_n(f(x), f(y))$, which is $\frac{1}{2}(f(x) + f(y))$. By Lemma 2.5, the mapping f is indeed an isometry. By the same reasoning as before, f maps the midpoint of the line segment joining x and y onto the midpoint of the line segment joining $f(x)$ and $f(y)$ for all x and y in X . Since isometries are continuous, f is affine. This completes the proof. \square

REMARK 2.11. Let X and Y be real normed spaces with $\dim X \geq 2$ and $f : X \rightarrow Y$ a surjective mapping satisfying SDOPP. Rassias and Šemrl [13, Theorem 1] showed that f is a mapping from $B(x, n)$ onto $B(f(x), n)$ and preserves distance n in both directions for any positive integer n . But we do not know whether these results hold in p -normed space. However, by applying Theorem 2.10, we have an alternative proof of the Rassias–Šemrl theorem on real normed space.

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XUJIAN HUANG, Department of Mathematics,
Tianjin University of Technology, 300384 Tianjin, China
e-mail: huangxujian86@sina.cn

DONGNI TAN, Department of Mathematics,
Tianjin University of Technology, 300384 Tianjin, China
e-mail: tandongni0608@sina.cn