

1 The management of patients with predominant  
2 negative symptoms in Slovakia: A 1-year longitudinal,  
3 prospective & multicentric cohort study  
4

5 Short title

6 Predominant negative symptom patients in Slovakia  
7

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18 **Abstract**

19

20 **Background**

21 Predominant negative symptoms (PNS) in schizophrenia can affect the patients' psychosocial  
22 functioning immensely and are less responsive to treatment than positive symptoms.

23

24 **Aims**

25 The aim of the study was to observe negative symptoms and psychosocial functioning in PNS  
26 schizophrenia patients and to understand whether PNS can be improved and with what treatment  
27 strategies.

28

29 **Methods**

30 This was a 1-year, prospective, multicentric cohort study conducted in Slovakia. Adult outpatients  
31 with diagnosis of schizophrenia according to ICD-10 and PNS evaluated using the criteria by the  
32 European Psychiatric Association's guidance were included. Change in negative symptoms,  
33 functionality and treatment patterns were observed. Treatment effectiveness was evaluated using the  
34 modified Short Assessment of Negative Domain (m-SAND), the Self-evaluation of Negative  
35 Symptoms (SNS) scale, the Personal and Social Performance Scale (PSP), and the Clinical Global  
36 Impression Severity (CGI-S) and Improvement (CGI-I) scales. Least squares (LS) means were  
37 calculated for the change from baseline to final visit for the outcomes.

38

39 **Results**

40 The study included 188 patients. Functionality improved as by the end of the study, fewer patients  
41 were unemployed (53%) and more worked occasionally (21%). PNS improved significantly according  
42 to both physicians and patients (LS mean change from baseline in m-SAND total score: -10.0 (p-value  
43 <0.0001). Most patients received polytherapy throughout the study. Cariprazine was utilized most

44 (20% monotherapy and 76% polytherapy). Only a few patients discontinued treatment due to adverse  
45 drug reactions.

46

47 **Conclusions**

48 With the right treatment strategy, it is possible to achieve improvement in PNS and everyday  
49 functioning in schizophrenia outpatients.

50

51

52 Keywords: negative symptoms; schizophrenia; antipsychotic medication; observational study

53

## 54 Introduction

55 Schizophrenia is a chronic psychiatric disorder affecting approximately 1% of the general population  
56 [1] and is one of the most disabling health conditions in the world [2]. It is also associated with  
57 significant financial and health burdens; patients with schizophrenia have increased risk of non-  
58 communicable diseases as well as higher mortality rates [3,4]. In addition, due to functional  
59 impairment and the costs of treatment and care, there is a major loss of productivity, affecting not only  
60 the patients themselves, but their caregivers too [5]. A recent epidemiological study examining the  
61 burden of schizophrenia in Central and Eastern Europe (CEE) found 14% of Slovakian schizophrenia  
62 patients to be unemployed and 63% to live on a disability pension [5]. In addition, on average, 4% of  
63 caregivers had to stop working to take care of their relatives [5].

64

65 Characterized by a wide range of symptoms, schizophrenia is a multidimensional disorder [6].  
66 According to recent conceptualizations, negative symptoms are comprised of five constructs, the so-  
67 called “5As”: anhedonia, alogia, avolition, asociality and affective flattening [7–9]. If the severity of  
68 negative symptoms exceeds that of the positive symptoms, the patient is called a predominant negative  
69 symptom (PNS) schizophrenia patient [7]. Negative symptoms can be primary or secondary depending  
70 on their root cause: while primary negative symptoms are intrinsic to the disorder, secondary negative  
71 symptoms are triggered by other factors such as adverse effects of treatment, or other symptom  
72 domains [7].

73

74 Negative symptoms are well-known to affect daily functioning and quality of life (QoL) immensely  
75 [7,10–12]. For instance, in a 3-year study with 17,384 outpatients from 37 countries, QoL was found  
76 to correlate with negative symptoms more than with positive symptoms [12]. Furthermore, a recent  
77 study by D’Anna et al. evaluating the relationship between negative symptoms and daily time use  
78 found that patients with more negative symptomatology spent more time with non-productive  
79 activities compared to patients with milder symptoms [11].

80

81 Schizophrenia is primarily treated with antipsychotic medications [13]. According to a recent study in  
82 Slovakia, first-line treatment of schizophrenia based on expert opinion is risperidone (36%),  
83 olanzapine (28%), and quetiapine (13%) [13]. Having a more balanced safety profile, second-  
84 generation antipsychotics are preferred over first-generation ones (~70% vs 30%) in Slovakia in  
85 general [13]. In terms of negative symptoms, a recent proposal by Cerveri et al. recommends  
86 cariprazine as a first-line medication due to its partial agonist effect on the dopamine D3-D2 receptors  
87 [14,15]. Indeed, according to a review involving 17 experts from the Central and Eastern European  
88 region, the Cerveri treatment algorithm, has been adapted in Slovakia as well [16].

89

90 The aim of the present cohort study was twofold. First, to observe the negative symptom domain and  
91 its association with psychosocial functioning in patients with PNS and the typical treatment patterns in  
92 Slovakia. Second, to observe whether PNS can improve in an outpatient setting throughout a 1-year  
93 treatment period and with what pharmacological and non-pharmacological treatment strategies.

94

## 95 **Methods**

96

### 97 **Study design**

98 This was a longitudinal, prospective, multicentric cohort study conducted in 20 sites in Slovakia. The  
99 study duration was 1 year, with three visits after baseline at 3, 6, and 12 months.

100

### 101 **Patient characteristics**

102 The inclusion criteria were the following: adult outpatients (between ages 18-65) with a schizophrenia  
103 diagnosis according to the International Classification of Diseases 10<sup>th</sup> edition (ICD-10) who exhibited  
104 predominant negative symptoms according to the European Psychiatric Association's (EPA) guidance  
105 were included in the study [17]. The EPA guidance suggests the presence of at least moderate severity

106 of at least two symptoms, which was evaluated and decided by the doctors based on the patient's  
107 anamnesis [17]. Patients with comorbid neurological disorders were excluded. The cohort study  
108 received approval by the Ethics Committee of the Košice Self-Governing Region (3618/2020/ODDZ-  
109 07169) and informed written consent was obtained from all participants. The study complies with the  
110 Declaration of Helsinki.

111

## 112 Measures

113 Epidemiologic measures were general patient characteristics (sex, age, duration of illness,  
114 comorbidities), changes in the frequency of functionality outcomes (employment status, disability  
115 status, and disorder insight), changes in the frequency of primary and secondary negative symptoms,  
116 as well as changes in the frequency of treatment patterns (frequency of monotherapy, polytherapy and  
117 non-pharmacotherapy) throughout the 1-year observational period. Primary and secondary negative  
118 symptoms were differentiated using a structured interview based on the guidance provided by the EPA  
119 [17]. Insight was defined as *“a person's capacity to understand the nature, significance, and severity*  
120 *of his or her own illness”* [18] and whether a patient had full, partial or no insight was determined by  
121 the physician based on the clinical interview.

122

123 The effectiveness of the different treatment strategies was assessed via the modified Short Assessment  
124 of Negative Domain (m-SAND) scale, the Self-evaluation of Negative Symptoms (SNS) scale [19],  
125 the Personal and Social Performance Scale (PSP) [20], and the Clinical Global Impression Severity  
126 (CGI-S) and Improvement (CGI-I) scales [21]. Given the nature of the study, safety parameters and  
127 adverse events were monitored and addressed as in a routine clinical setting.

128

### 129 **Modified Short Assessment of Negative Domain (m-SAND) scale**

130 The original SAND was utilized in a Latvian observational study evaluating the effectiveness of  
131 cariprazine in predominant negative symptom patients [22]. The SAND is an anamnesis-based scale  
132 that is composed of 7 items: two positive items (delusions and hallucinations), which make the SAND

133 Positive sub-scale (SAND-P) and five negative items (anhedonia, alogia, avolition, asociality and  
134 affective flattening), which make the SAND Negative sub-scale (SAND-N) [22]. Each item is rated  
135 from 0 to 6 (not observed; minimal; mild; moderate; moderately severe; severe; and extreme). The  
136 SAND was chosen due to its simplicity and ability to capture all constructs of the negative symptom  
137 domain however, the rating was modified since it is highly difficult to differentiate between 'minimal'  
138 and 'mild' severities. Therefore, the m-SAND includes the same items, but it is rated from 0 to 5 (not  
139 observed, mild, moderate, moderately severe, severe, and extreme).

140

#### 141 **Self-evaluation of Negative Symptoms (SNS) scale**

142 The Self-assessment of Negative Symptoms (SNS) scale is a self-administered questionnaire that  
143 measures the five sub-domains of negative symptoms (the 5As) in schizophrenia and schizoaffective  
144 disorder [19]. Being a self-administered questionnaire, SNS is an easily understandable instrument for  
145 patients with schizophrenia that provides meaningful information for clinicians regarding the patients'  
146 own perception of their negative symptoms [19]. Thus, the SNS can complement observer ratings of  
147 negative symptoms as well as increase patient engagement.

#### 148 **Personal and Social Performance Scale (PSP)**

149 The Personal and Social Performance Scale (PSP) is a clinical tool used to measure the routine social  
150 functioning of patients with psychiatric disorders [20]. It measures four areas of social and individual  
151 performance independently of symptomatology: socially useful activities, personal and social  
152 relationships, self-care, and disturbing and aggressive behaviours [20]. The PSP is a useful tool for  
153 providing additional valuable information when evaluating social functioning related to schizophrenia  
154 and the effectiveness of the treatment [23].

155

#### 156 **Clinical Global Impression Severity (CGI-S) and Improvement (CGI-I) scales**

157 The Clinical Global Impressions (CGI) scale provides an overall clinician-determined summary  
158 measure regarding the severity of illness (CGI-S) and improvement (CGI-I) in patients with

159 psychiatric disorders [21]. The CGI is rated on a 7-point scale [21]. It is considered to be a widely  
160 accepted tool that synthesizes the clinician's impression of the global illness state of the patient [21].

161

## 162 **Statistical analyses**

163 Epidemiologic measures were summarised using descriptive statistics in percentages, means and  
164 standard deviations. Least squares (LS) means were calculated for the change from baseline to final  
165 visit for the effectiveness measures (m-SAND, SNS, PSP and CGI-S) using a mixed model for  
166 repeated measures (MMRM). Bland-Altman agreement plots were created to compare how clinicians  
167 (m-SAND-N) vs how patients (SNS) rated negative symptoms. All analyses were conducted using  
168 Statistical Analysis Software (SAS).

169

## 170 **Results**

171

### 172 **Epidemiologic measures**

173

#### 174 **Patient characteristics**

175 Baseline patient characteristics are summarized in [Table 1](#). The mean age of the 188 patients who were  
176 included in the cohort study was 39.8 and 64.9% of them was men. The mean duration of illness was  
177 12 years, and most of the cohort was diagnosed with paranoid schizophrenia (51.6%). Patients  
178 exhibited both psychiatric and somatic comorbidities such as depression (13.3%), substance abuse  
179 disorder (11.7%), and personality disorder (8.0%), as well as hypertension (10.6%), obesity (10.1%)  
180 and hyperlipidaemia (5.3%). During the 12-month observational period, 148 patients stayed in the  
181 cohort study.

182

#### 183 **Functionality & insight**

184 At baseline, most patients were unemployed (63.8%), worked occasionally (10.6%) or part-time  
185 (11.2%) as displayed in [Table 2](#). At the end of the 12-month observation, only 53.4% were  
186 unemployed and more patients worked occasionally (20.9) or part-time (12.8%). The disability status  
187 on the other hand increased from 76.1% to 83.3%. In terms of disorder insight, at baseline, most  
188 patients had partial (70.2%) or full (20.2%) insight, while around 10% of patients had no insight at all.  
189 By the end of the observational period 53.4% had partial, 44.6% full and 0.2% no insight.

190

### 191 **Primary and secondary negative symptoms**

192 All patients had primary negative symptoms, both at baseline and at the end of the study [Table 3](#). At  
193 baseline, 93% of patients had blunted affect, 87% apathy, 82% anhedonia, 76% asociality and 53%  
194 alogia. After one year, most patients still experienced affective blunting (93%); nonetheless, the other  
195 aspects of negative symptomatology improved: only 62% of the patients had apathy, 56% anhedonia,  
196 50% asociality and 38% alogia. In addition to primary negative symptoms, a significant proportion of  
197 patients also had secondary negative symptoms (56%) due to affective symptoms (37%), positive  
198 symptoms (26%) and adverse drug reactions (21%) at baseline. Similarly to primary negative  
199 symptoms, fewer patients experienced secondary negative symptoms (30%) at the end of the  
200 observational period.

201

### 202 **Treatment patterns**

203 The treatment approaches of PNS changed slightly throughout the 1-year observational period. At  
204 baseline, all patients received pharmacotherapy, 18% antipsychotic monotherapy (M) and 82%  
205 polytherapy (P) ([Table 4](#)). In addition, 86% of patients received non-pharmacological therapy in the  
206 form of supportive psychotherapy (47%), social skills training (13%), and occupational therapy (11%).  
207 After 12 months, there was a slight decrease in the number of patients receiving polytherapy (78%)  
208 and an increase in non-pharmacological therapies (93%).  
209 Regarding the specific type of antipsychotics, cariprazine (M: 5%, P: 72%), olanzapine (M: 6%, P:  
210 32%), clozapine (M: 3%, P: 18%) and quetiapine (M: 2%, P: 14%) were prescribed most at baseline.

211 At the final visit, there was an increase in the proportion of patients receiving cariprazine monotherapy  
212 (20%) and polytherapy (76%), as well as clozapine polytherapy (21%), while those who received  
213 olanzapine (M: 0%, P: 23%) and quetiapine (M: 1%, P: 12%) decreased. All in all, throughout the 1-  
214 year period, over 200 patients received cariprazine either as monotherapy or polytherapy, 88 received  
215 olanzapine, 46 clozapine, 39 quetiapine, 32 haloperidol, 26 aripiprazole, 20 flupentixol, 16 risperidone  
216 and 14 paliperidone ([Figure 1](#)). The most common reason for stopping any antipsychotic treatment  
217 was akathisia, extra-pyramidal symptoms, and insomnia (1.6%) ([Table 4](#)).

218

### 219 Effectiveness of treatment

220 The mean m-SAND score at baseline was 23.6 with an average 4.6 score on the Positive sub-scale and  
221 19.1 on the Negative sub-scale ([Table 5](#)). A statistically significant 10-point LS mean change from  
222 baseline was observed at the end of the observational period on the m-SAND total score with an effect  
223 size (ES) of -2.5. The change from baseline was statistically significant from the first visit onwards  
224 ([Figure 2](#)). In terms of the two sub-scales, both m-SAND-P (LS mean change: -1.8, p-value <0.0001,  
225 ES: -1.6) and m-SAND-N (LS mean change: -8.3, p-value <0.0001, ES: -2.4) changed significantly  
226 over the 12 months. Importantly, patients also reported their negative symptoms to have improved as  
227 measured by the SNS (LS mean change -12-point in the SNS total score, p-value <0.0001, ES: -1.7)  
228 with significant improvement in all five sub-domains from the first visit onward ([Figure 3](#)). When  
229 comparing the views of patients vs. doctors at baseline, patients rated alogia and avolition to be the  
230 most severe (based on the SNS), while doctors found affective blunting and then avolition to be the  
231 most problematic (based on the m-SAND-N). By the end of the observational period patients had the  
232 highest self-reported scores in avolition and affective blunting. Similarly, physicians rated blunted  
233 affect, avolition and anhedonia to be the most severe. These similarities between the ratings by the  
234 patients and doctors are confirmed by a Bland-Altman agreement plot as well, which shows that the  
235 difference between the mean changes from baseline to final visit in the SNS and SAND-N lies within  
236 the 95% confidence interval around the zero-bias line with doctors reporting a slightly greater  
237 improvement compared to patients in negative symptoms ([Figure 4](#)). Furthermore, according to the

238 CGI-S scale, the participants were moderately ill at baseline (mean score: 4.3) and mildly ill at the end  
239 of the observational period (mean score: 3.0). This detected change was also significant (LS mean  
240 change: -1.3, p-value <0.0001, ES: -1.5). Indeed, the mean CGI-I score was 2.2 at the end of study,  
241 meaning much improvement. Finally, 54.3% of patients manifested disabilities according to the total  
242 PSP scores (scores between 31 and 70) and 45.7% poor functioning (scores under 30) at baseline  
243 (Table 5). By the end of the observational period this changed to 92.6% 'manifest disabilities' and  
244 only 7.4% 'functioning is poor'. This was reflected on the subscales as well where statistically  
245 significant change was detected in all categories: socially useful activities (LS mean change: -1.4, p-  
246 value <0.0001, ES: -1.5), personal and social relationships (LS mean change: -1.7, p-value <0.0001,  
247 ES: -2.0), self-care (LS mean change: -1.5, p-value <0.0001, ES: -1.6), and disturbing and aggressive  
248 behaviour (LS mean change: -0.9, p-value <0.0001, ES: -1.9).

249

## 250 Discussion

251 This was the first outpatient, longitudinal, prospective, multicentric cohort study in Slovakia that  
252 focused specifically on patients with schizophrenia and predominant negative symptoms. The aim was  
253 to do an epidemiologic assessment of the characteristics of negative symptoms, functionality status,  
254 disorder insight and treatment patterns in this patient population throughout a 1-year observational  
255 period, along with evaluating the effectiveness of treatment approaches.

256

257 According to the results, throughout the 1-year observational period, there has been a significant  
258 improvement in all negative symptom domains. Importantly, this positive change was observed by  
259 both physicians and patients. As articulated in the most recent guidance by the European Psychiatric  
260 Association (EPA), including self-report measures is encouraged in negative symptom studies as they  
261 can further complement the observer-rated scales when assessing negative symptoms of schizophrenia  
262 [17]. In the present case, results based on the SNS and the m-SAND-N scales indicated an agreement  
263 between patients and doctors regarding the changes in negative symptoms and highlighted some slight  
264 differences in terms of what subdomains of the negative construct are most affected. This comparison

265 was only possible since the SNS and m-SAND-N scales measure the same negative symptom  
266 subdomains, the 5As (anhedonia, affective blunting, avolition, alogia, and asociality). It is important to  
267 note however that one negative symptom, blunted affect (the decreased expression of emotion),  
268 seemed to be the most difficult to treat since both at baseline and final visit 93% of patients were  
269 described to exhibit it. Indeed, blunted affect is often unresponsive to treatment and is difficult to  
270 measure via rating scales as they are relatively insensitive to change [24]. Nonetheless, according to  
271 both the SNS and m-SAND-N scales, the severity of blunted affect decreased significantly, suggesting  
272 that some improvement is still possible.

273

274 By the end of the study, patients also improved in their functioning, with fewer patients being  
275 unemployed and more working occasionally and significant changes in the PSP scores. This is not  
276 surprising given the fact that negative symptoms are known to impact everyday functioning [7] and  
277 numerous studies reported a link between greater negative symptoms and reduced work functioning  
278 [25,26]. It is important to note however that even though there had been a reduction in the  
279 unemployment status, the proportion of patients being unemployed was still higher than what was  
280 reported in a study by Szkultecka-Dębek et al. in 2016 (53% vs. 14%) [5]. Additionally, while  
281 Szkultecka-Dębek et al. reported 63% of Slovakian patients with schizophrenia to live on disability  
282 pension or retirement or employed on sick leave, in the current study 84% had a disability due to  
283 psychiatric illness. Both aspects might be explained by the fact that the participants in the former study  
284 were not patients with PNS specifically. In terms of disability status, no improvement was found as the  
285 frequency of patients being disabled due to psychiatric illness increased. It is important to note  
286 however that in most social care systems [27,28], schizophrenia is recognized as a qualified condition  
287 for disability benefits. Therefore, improvements in overall functioning due to successful treatment  
288 does not necessarily translate into a decline of financial support needed that is associated with  
289 disability status.

290

291 Besides employment and disability status, there was a change in the patients' insight as well. Insight is  
292 defined as „the patient's capacity to acknowledge some awareness of having an illness" [29] and has  
293 also been repeatedly reported to be associated with negative symptoms [30]. For instance, Kemp and  
294 Lambert found a correlation between negative symptoms and insight in subjects who improved with  
295 treatment [31]. This also seemed to be the case in the present study where alongside the improvement  
296 in negative symptoms, the proportion of patients with full insight doubled (from 20% to 45%) and the  
297 number of participants with no insight declined.

298

299 In terms of typical treatment approaches in Slovakia, the present study showed that most patients  
300 received combination therapy (78% at final visit). Although it is not recommended by guidelines,  
301 polytherapy is quite common in everyday clinical practice [32]. Indeed, in a survey conducted in five  
302 European countries, polypharmacy rates were reported to increase from 19% to 27% between 2000  
303 and 2015 [33]. Interestingly, various studies underline the superiority of polypharmacy compared to  
304 monotherapy, especially on parameters such as re-hospitalisation rates [34] or total symptom reduction  
305 [35]. In fact, clozapine combined with a D<sub>2</sub> partial agonist antipsychotic medication was associated  
306 with the lowest risk of rehospitalization even compared to clozapine monotherapy [34], the gold  
307 standard in treatment resistant schizophrenia. Similarly, the most often used augmentation strategy in  
308 the present study was an atypical antipsychotic and cariprazine. This might be related to the unique  
309 mechanism of action of cariprazine and its efficacy on negative symptoms [15,22,36]. Additionally,  
310 recent evidence also endorsed the augmentation strategy of clozapine with cariprazine [37–40] by  
311 reporting good tolerability and safety, as well as further reduction in negative symptoms. [14,41]

312

313 Cariprazine was the most popular medication as monotherapy too with 20% of participants being on  
314 cariprazine treatment alone at final visit. This is in line with the treatment algorithm by Cerveri et al  
315 [42]. The results also provide confirmation to the claim that this algorithm has been adapted in  
316 Slovakia [16]. Rancans et al. conducted a 16-week observational study on the effectiveness of  
317 cariprazine with PNS patients as well [22]. The results of the observational study are comparable to

318 this cohort study; participants in both studies were patients with PNS with a baseline CGI of moderate  
319 severity (present study: 4.3, Rancans et al.: 4.4) and the primary outcome measure was the SAND [22]  
320 and the m-SAND. [22]In addition, it also shows that improvement in this symptom domain is slower  
321 and continuous with no plateauing of improvement at any point of the 12 months.

322 The present study has multiple limitations. First, due to the nature of the study design, results have  
323 limited internal validity due to probable selection and different biases such as observer bias, inter-rater  
324 bias, information bias and measurement bias [43,44]. Internal validity plays a crucial role in  
325 establishing the effectiveness of a treatment, it ensures that the observed effects are directly  
326 attributable to the treatment itself, rather than being influenced by other external factors [44].

327 However, the primary objective of this study was not to establish efficacy, but to understand the  
328 typical treatment and symptom patterns of patients with schizophrenia and PNS in Slovakia. The  
329 second limitation is that the primary outcome measure of the study was a non-validated scale.

330 Nonetheless, using standardized scales in real-life settings is often not feasible and thus to better  
331 mimic real-life settings, the m-SAND was utilized [22]. Although the m-SAND scale is not validated,  
332 it is based on the Clinical Global Impression Severity (CGI-S) scale, which is known to have good  
333 inter-rater reliability among clinicians [21,22]. Future research should aim to further investigate what  
334 combinations are the most effective in improving PNS as we have seen that besides cariprazine, most  
335 patients took an additional antipsychotic medication as well.

336

## 337 Conclusion

338 In conclusion, with the right treatment strategy, it is possible to improve PNS as well as everyday  
339 functioning in outpatients with schizophrenia. One of the most used antipsychotic medications in this  
340 patient population was cariprazine, which had been utilized both alone and in combination with other  
341 antipsychotics. This strategy is in line with the treatment algorithm for negative symptoms in  
342 schizophrenia suggested by Cerveri et al., which recommends cariprazine as a first-line medication for  
343 the treatment of negative symptoms [42]. It is also important to note that the improvement in negative

344 symptoms was continuous throughout the one-year observation with no plateauing at any point,  
345 suggesting that patience is key in negative symptom treatment.

346

## 347 Tables &amp; Figures

348 Table 1. Patient characteristics

<b>Population</b>	
Safety population, n (%)	188 (100)
<b>Demographics</b>	
Age, mean (SD), y	39.8 (10.8)
Males, n (%)	122 (64.9)
<b>Schizophrenia characteristics</b>	
Duration of illness, mean (SD), y	12.0 (9.0)
Schizophrenia diagnosis, n (%)	
Paranoid schizophrenia	97 (51.6)
Residual schizophrenia	36 (19.1)
Undifferentiated schizophrenia	24 (12.7)
Simple schizophrenia	17 (9.0)
Other type of schizophrenia	14 (7.4)
<b>Comorbidities</b>	
Psychiatric comorbidity, n (%)	
Depression	25 (13.3)
Substance abuse	22 (11.7)
Personality disorder	15 (8.0)
Somatic comorbidity, n (%)	
Hypertension	20 (10.6)
Obesity	19 (10.1)
Hyperlipidaemia	10 (5.3)

349

350 Table 2. Functionality &amp; insight

	<b>BASELINE</b>	<b>FINAL VISIT*</b>
	<b>(n = 188)</b>	<b>(n = 148)</b>
<b>Employment status, n (%)</b>		
Full-time job	17 (9.0)	10 (6.8)
Part-time job	21 (11.2)	19 (12.8)
Occasionally working	20 (10.6)	31 (20.9)
Unemployed	120 (63.8)	79 (53.4)
Student	5 (2.7)	4 (2.7)
Prisoner	5 (2.7)	5 (3.4)
<b>Disability, n (%)</b>		
No disability	44 (23.4)	23 (15.5)
Disability due to psychiatric illness	143 (76.1)	124 (83.8)
Disability due to non-psychiatric illness	1 (0.05)	1 (0.07)
<b>Insight, n (%)</b>		
Full insight	38 (20.2)	66 (44.6)
Partial insight	132 (70.2)	79 (53.4)
No insight	18 (9.6)	3 (0.2)
<i>*12 months from baseline</i>		

351

352

353 Table 3. Primary &amp; secondary negative symptoms

	<b>BASELINE</b>	<b>FINAL VISIT*</b>
	<b>(n = 188)</b>	<b>(n = 148)</b>
<b>Primary negative symptoms, n (%)</b>		
Total	188 (100.0)	148 (100.0)
Affective blunting	175 (93.1)	137 (92.6)
Alogia	100 (53.2)	56 (37.8)
Avolition, apathy	163 (86.7)	91 (61.5)
Anhedonia	154 (81.9)	83 (56.1)
Asociality	143 (76.1)	74 (50.0)
<b>Secondary negative symptoms, n (%)</b>		
Total	105 (55.9)	44 (29.7)
Due to positive symptoms	48 (25.5)	21 (14.2)
Due to affective symptoms	69 (36.7)	33 (22.3)
Due to adverse drug reactions	38 (21.2)	9 (6.1)
<i>*12 months from baseline</i>		

354

355

356 Table 4. Treatment approaches

	<b>BASELINE</b>		<b>FINAL VISIT*</b>	
	<b>(n = 188)</b>		<b>(n = 148)</b>	
<b>Type of therapy, n (%)</b>				
Pharmacotherapy	188 (100.0)		148 (100.0)	
Antipsychotic monotherapy	34 (18.1)		33 (22.3)	
Antipsychotic polytherapy	154 (81.)		115 (77.7)	
Non-pharmacological therapy	162 (86.2)		138 (93.2)	
Supportive psychotherapy	89 (47.3)		73 (49.3)	
Other types of psychotherapy	10 (5.3)		14 (9.5)	
Social skills training	24 (12.8)		20 (13.5)	
Occupational therapy	21 (11.2)		17 (11.5)	
Electroconvulsive therapy	2 (1.1)		1 (0.7)	
Other	16 (8.5)		13 (8.8)	
<b>Type of antipsychotic, n (%)**</b>				
	<b>Mono</b>	<b>Poly</b>	<b>Mono</b>	<b>Poly</b>
Cariprazine	10 (5.3)	135 (71.8)	29 (19.6)	113 (76.4)
Olanzapine	12 (6.4)	60 (31.9)	-	44 (23.4)
Clozapine	5 (2.7)	34 (18.1)	2 (1.4)	31 (20.9)
Quetiapine	3 (1.6)	27 (14.4)	1 (0.7)	17 (11.5)
Aripiprazole	1 (0.5)	22 (11.7)	-	12 (8.1)
Haloperidol	-	23 (12.2)	-	11 (7.4)
Flupentixol	1 (0.5)	17 (9.0)	-	8 (5.4)
Risperidone	1 (0.5)	13 (6.9)	-	7 (4.7)
Paliperidone	1 (0.5)	10 (5.3)	1 (0.7)	7 (4.7)
<b>Reason for stopping antipsychotic treatment, n (%)</b>				
Attenuation	1 (0.5)			
Anxiety	2 (1.1)			
Akathisia	3 (1.6)			
Extra-pyramidal symptoms	3 (1.6)			

Insomnia	3 (1.6)
Other	5 (2.7)

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*\*12 months from baseline*

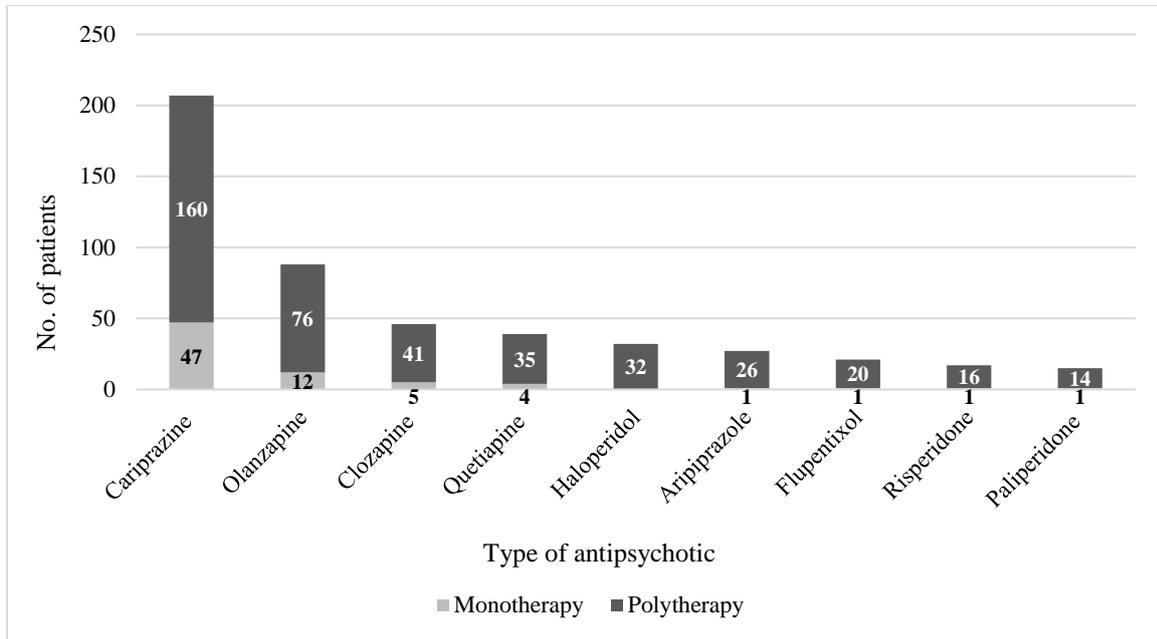
*\*\*Taken by more than 5% of patients*

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357

358

359 Figure 1. Number of patients taking different types of antipsychotics throughout  
 360 the observational period\*



361

362 \*Patients taking multiple medications are counted at each drug, drugs with multiple occurrences within a  
 363 patient are counted only once

364

365 Table 5. Effectiveness of treatment

	<b>BASELINE</b>	<b>FINAL VISIT</b>	<b>LS mean change (SE)</b>	<b>ES</b>
	<b>mean (SD)</b>	<b>means (SD)</b>		
<b>m-SAND Total</b>	23.6 (5.0)	13.8 (4.4)	-10.0 (0.33)***	-2.5
<b>m-SAND-P</b>	4.6 (2.2)	2.9 (1.3)	-1.8 (0.09)***	-1.6
Hallucinations	2.1 (1.2)	1.4 (0.7)	-0.7 (0.05)***	-1.2
Delusions	2.5 (1.3)	1.5 (0.8)	-1.1 (0.06)***	-1.5
<b>m-SAND-N</b>	19.1 (3.8)	11.0 (3.7)	-8.3 (0.28)***	-2.4
Anhedonia	4.0 (1.0)	2.2 (1.0)	-1.8 (0.08)***	-1.9
Affective blunting	4.3 (0.9)	2.7 (0.9)	-1.6 (0.07)***	-1.9
Avolition, apathy	4.2 (1.0)	2.3 (1.0)	-1.9 (0.07)***	-2.1
Alogia	2.9 (1.4)	1.8 (0.9)	-1.2 (0.06)***	-1.6
Asociality	3.6 (1.3)	1.9 (1.0)	-1.7 (0.07)***	-1.9
<b>SNS Total</b>	27.4 (7.3)	15.4 (7.1)	-12.0 (0.56)***	-1.7
Asociality / items 1-4	5.5 (2.1)	2.9 (1.7)	-2.7 (0.13)***	-1.6
Affective blunting / items 5-8	5.2 (1.7)	3.2 (1.5)	-1.9 (0.11)***	-1.3
Alogia / items 9-12	5.7 (1.9)	3.1 (1.7)	-2.7 (0.13)***	-1.6
Avolition / items 13-16	5.7 (2.0)	3.3 (1.8)	-2.4 (0.14)***	-1.4
Anhedonia / items 17-20	5.3 (1.9)	2.9 (1.6)	-2.4 (0.12)***	-1.6
<b>CGI-I</b>	-	2.2 (0.8)	-	-
<b>CGI-S</b>	4.3 (1.1)	3.0 (1.0)	-1.31 (0.07)***	-1.5
<b>PSP</b>				
Socially useful activities	3.9 (1.1)	2.6 (1.0)	-1.35 (0.07)***	-1.5
Personal and social relationships	4.2 (1.0)	2.5 (0.9)	-1.70 (0.07)***	-2.0
Self-care	3.3 (1.0)	1.9 (1.0)	-1.52 (0.07)***	-1.6
Disturbing and aggressive behaviour	2.0 (1.3)	1.2 (0.5)	-0.90 (0.4)***	-1.9
<b>PSP Total</b>	<b>BASELINE</b>	<b>FINAL VISIT</b>		
	<b>n (%)</b>	<b>n (%)</b>		
only mild difficulties (100-70)	0 (0.0)	0 (0.0)		

manifest disabilities (70-31)	102 (54.3)	137 (92.6)
functioning is poor (30-0)	86 (45.7)	11 (7.4)

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\*\*\* p-value <0.0001

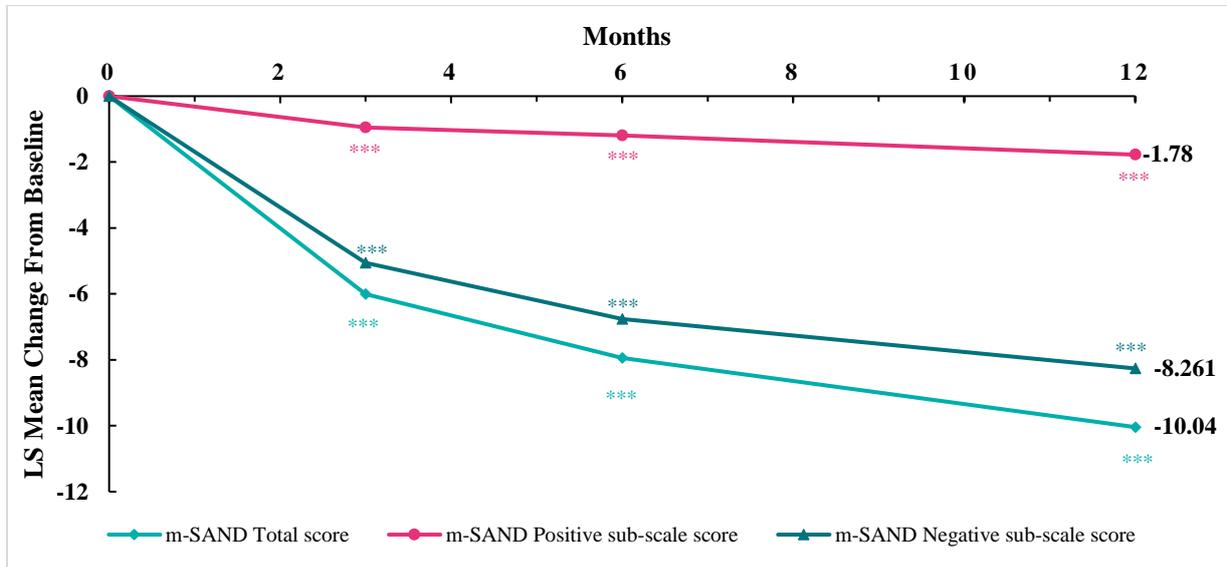
CGI-I, Clinical Global Impressions-Improvement; CGI-S, Clinical Global Impressions-Severity; ES, effect size; LS, least squares; PSP, the Personal and Social Performance Scale; m-SAND, modified Short Assessment of Negative Domains; m-SAND-N, modified Short Assessment of Negative Domains Negative symptom sub-scale; m-SAND-P, modified Short Assessment of Negative Domains Positive symptom sub-scale; SNS, Self-evaluation of Negative Symptoms; SD, standard deviation; SE, standard error

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368 Figure 2. Mean change from baseline in m-SAND Total, Positive sub-scale, and  
369 Negative sub-scale scores by months

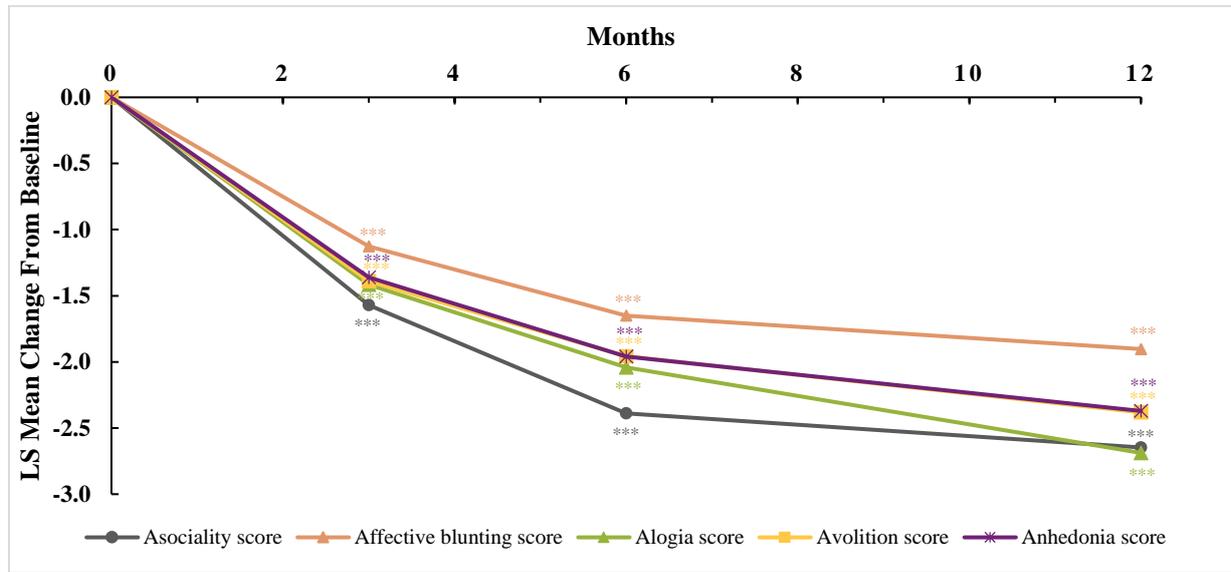


370

371 \*\*\* p-value <0.0001

372

373 Figure 3. Mean change from baseline in SNS sub-scores by months

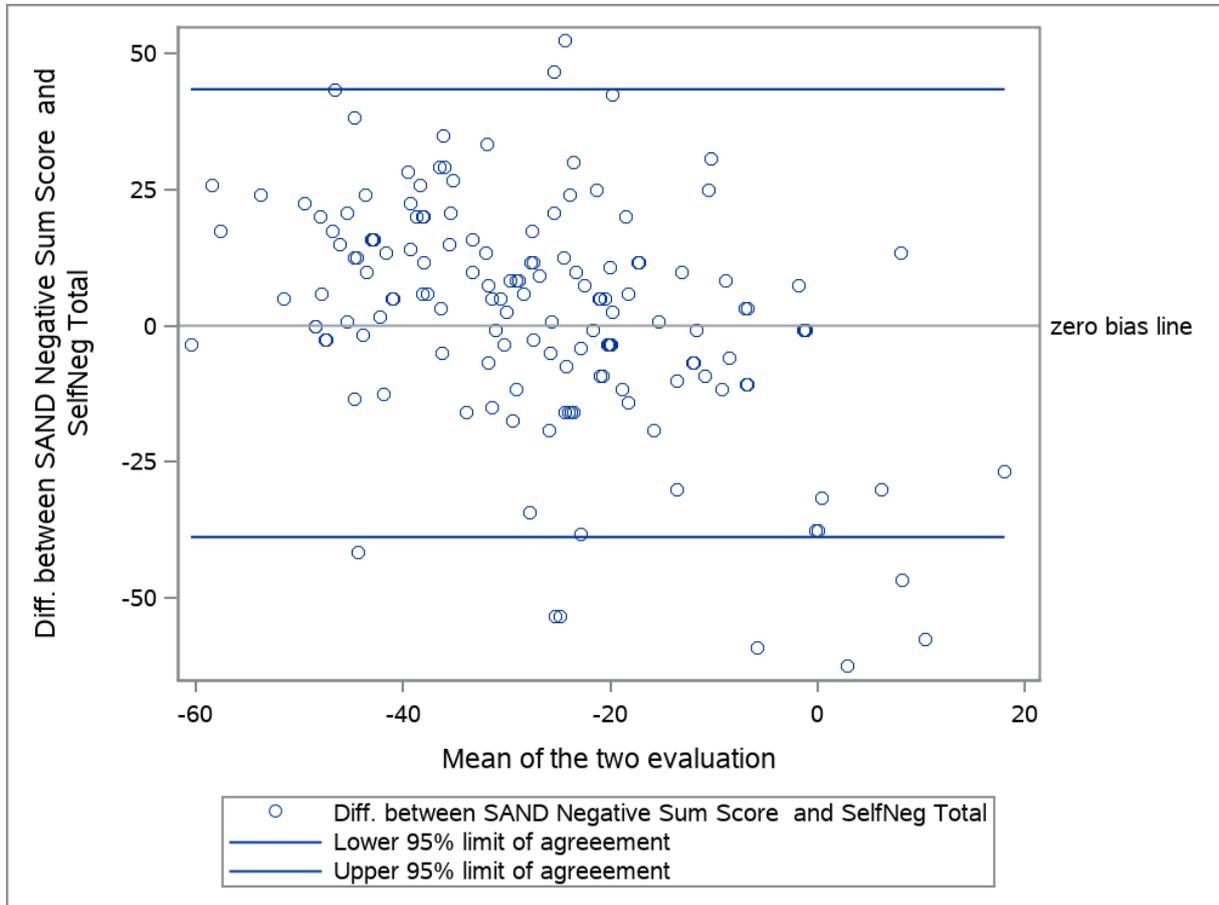


374

375 \*\*\* p-value <0.0001

376

377 Figure 4. Bland-Altman agreement plot: difference between SAND Negative Sub-  
378 Score and SNS Total score (or change) vs. their average scores are expressed as  
379 % of the corresponding max value



380

381

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383 The authors declare that this study received funding from Gedeon Richter Slovakia. The funder had  
384 the following involvement in the study: support to data collection.

385

386 **Competing interests**

387 Z. B. Dombi, K. Acsai, V. Dzurilla and Á. Barabácssy are employees of Gedeon Richter Plc., the  
388 originator company of cariprazine. J. Dragašek received honoraria or consultation fees outside of this  
389 work from Gedeon Richter Slovakia.

390

391 **Ethical standards**

392 The cohort study received approval by the Ethics Committee of the Košice Self-Governing Region  
393 (3618/2020/ODDZ-07169) and informed written consent was obtained from all participants.

394

395 **Availability of Data and Materials**

396 The data that support the findings of this study are available from the corresponding author, Z. B.  
397 Dombi , upon reasonable request.

398

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