The 5-HTTLPR genotype, early life adversity and cortisol responsivity to psychosocial stress in women

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Background

The serotonin transporter gene-linked polymorphic region (5-HTTLPR) has previously been associated with hypothalamus–pituitary–adrenal axis function. Moreover, it has been suggested that this association is moderated by an interaction with stressful life experiences.

Aims

To investigate the moderation of cortisol response to psychosocial stress by 5-HTTLPR genotype, either directly or through an interaction with early life stress.

Method

A total of 151 women, 85 of which had personality psychopathology, performed the Trier Social Stress Test while cortisol responsivity was assessed.

Results

The results demonstrate a main effect of genotype on cortisol responsivity. Women carrying two copies of the long version of 5-HTTLPR exhibited stronger cortisol responses to psychosocial stress than women with at least one copy of the short allele (P = 0.03). However, the proportion of the variance of stress-induced cortisol responsivity explained by 5-HTTLPR genotype was not further strengthened by including early life adversity as a moderator factor (P = 0.52).

Conclusions

Our results highlight the need to clarify gender-specific biological factors influencing the serotonergic system. Furthermore, our results suggest that childhood maltreatment, specifically during the first 15 years of life, is unlikely to exert a moderating influence of large effect on the relationship between the 5-HTTLPR genotype and cortisol responsivity to psychosocial stress.

Declaration of interest

None.

Keywords

5-HTTLPR, hypothalamus–pituitary–adrenal axis; psychosocial stress; cortisol; women.

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Gene-by-environment interactions have been a widely touted, but often difficult to replicate, concept in psychiatric genetics. In particular, a considerable focus has been devoted to potential interactions between the serotonin transporter gene-linked polymorphic region (5-HTTLPR) and adverse life experience. A common 44-base pair insertion/deletion polymorphism in 5-HTTLPR is known to be involved in the reuptake of serotonin by the serotonin transporter in the brain through transcriptional efficiency of the long (L) and short (S) alleles. The seminal report of a prospective longitudinal study by Caspi and colleagues showing S-allele carriers react less strongly to stressful life events than women with at least one copy of the short allele (P = 0.03). However, the proportion of the variance of stress-induced cortisol responsivity explained by 5-HTTLPR genotype was not further strengthened by including early life adversity as a moderating factor (P = 0.52).

Thus far, contradictory results have been found. Several studies demonstrated that being homozygous for the S allele in 5-HTTLPR is associated with an elevated cortisol response to psychosocial stress. However, other studies failed to support these initial findings or even reported opposite results. Recently, a meta-analysis has been published in which the authors reported a statistically significant association of small effect between 5-HTTLPR genotype and HPA axis reactivity to acute psychosocial stress, with the SS variant demonstrating higher cortisol responses than the SL or LL variant of 5-HTTLPR. In addition, there is increasing evidence that the association between 5-HTTLPR and HPA axis reactivity is stronger when stressful environmental factors are taken into account. Two previous studies with sufficient samples sizes (approximately N = 100) have suggested that the effects of 5-HTTLPR on cortisol reactivity are stronger in individuals with a history of stressful life events. Taken together, the nature of the relationship between 5-HTTLPR and cortisol reactivity remains unresolved. Our primary goal was to assess whether cortisol reactivity to psychosocial stress varies as a function of 5-HTTLPR genotype, with a specific focus on women. In light of the previously demonstrated gender-dependent influences on HPA axis functioning, and considering the higher prevalence of stress-related disorders in women, our aim was to improve our understanding of the underlying physiological mechanisms of stress regulation in women as a
function of mental health, and in particular personality pathology. In addition, we aimed to examine whether the magnitude of cortisol reactivity is modulated by an interaction between 5-HTTLPR genotype and childhood adversity. Although a certain degree of challenge during childhood may enhance lifelong coping skills, overwhelming early life stress has been strongly associated with an increased lifetime risk of psychopathology. It is generally hypothesised that the major determinants of personality disorders are a combination of intrinsic biological vulnerabilities and early life exposure to traumatic experiences. Our focus on personality pathology, particularly borderline personality disorder (BPD), was motivated on the basis that this sample would include clinically significant childhood trauma, thereby giving us the opportunity to evaluate a broader range of childhood maltreatment severities. Accordingly, we included both medication-free women recently diagnosed with personality disorder and demographically matched healthy controls.

Method

Participants

The study sample comprised 151 female participants of reproductive age (18–45 years). Women were self-referred in response to advertisements (n = 66) or recruited from mental health out-patient clinics (n = 85). Women with a diagnosis of personality disorder, cluster C personality disorder or BPD were classified into the psychopathology group for additional statistical analysis. Psychiatric diagnoses were obtained by Structured Clinical Interview for DSM-IV-TR (2000) (SCID) for Axis I disorders. Psychodiagnostic assessments were performed by a psychologist who underwent specialised training to perform SCID interviews. People were excluded from the study if they had a medical or comorbid diagnosis of bipolar disorder, schizophrenia or current major depression. The indicated exclusion criteria in the patient cohort were two-fold: (a) depression, schizophrenia and bipolar disorder have all been reported to affect HPA axis activity, and (b) these disorders almost invariably involve treatment with psychoactive medications that have significant effects on HPA axis physiology. Notably, other comorbid Axis I disorders such as anxiety disorder, post-traumatic stress disorder (PTSD) and eating disorders were eligible for participation in the study. Therefore, including participants with personality psychopathology with less severe Axis I symptoms provided us with the opportunity to evaluate the effect of a broad range of childhood trauma severities on the relationship between 5-HTTLPR genotype and cortisol responsivity.

Women screened for the control group were excluded on the basis of any DSM-IV Axis I or II diagnosis, or any history of psychopathology, particularly borderline personality disorder (BPD), was motivated on the basis that this sample would include clinically significant childhood trauma, thereby giving us the opportunity to evaluate a broader range of childhood maltreatment severities. Accordingly, we included both medication-free women recently diagnosed with personality disorder and demographically matched healthy controls.

Procedure

Structured interviews were performed by telephone to confirm inclusion and exclusion criteria, after which participants were invited to the first session comprising the SCID interview. In addition, participants completed the Brief Symptom Inventory (BSI) to evaluate psychological distress and the short form of the Childhood Trauma Questionnaire (CTQ) to assess the severity of multiple forms of abuse and neglect.

The experimental session was scheduled during the second visit. Participants were asked to abstain from alcohol, nicotine, caffeine and intense physical activity for at least the prior 24 h. All measurements were performed between 14.00 and 16.00 h to minimise potential circadian influences on cortisol responses. After an acclimatisation period of 15 minutes, a baseline saliva sample was obtained. Subsequently, participants underwent the Trier Social Stress Test (TSST). Immediately following the TSST, additional saliva samples were obtained at +1, +15, +35 and +55 minutes. Participants were debriefed after the last saliva sample was collected.

Questionnaires

The BSI is a self-report questionnaire with 53 items on a four-point Likert scale and it assesses general psychological difficulties (total score) including somatisation, anxiety, depression and hostility (nine subscales). The BSI has adequate psychometric properties and good sensitivity to therapeutic changes.

The 28-item CTQ was used to assess the severity of multiple forms of abuse and neglect during childhood. The CTQ has five domains: physical abuse, sexual abuse, emotional abuse, physical neglect and emotional neglect. The total CTQ score was used as an index of childhood trauma.

The TSST

The TSST was administered according to the protocol of Kirschbaum and colleagues. Participants were informed about the procedure and asked to prepare a 5-minute speech (in which they would convince a panel of judges that they were a good candidate for their ideal job) while seated (anticipation period). Next, the two-member panel entered the room and participants were invited to stand and deliver their speech (public speaking task, PST). The PST was followed by a 5-minute mental arithmetic task (MAT). During the PST and the MAT, the panel monitored the participants’ performance without offering verbal or non-verbal feedback, while maintaining an effectively neutral facial expression. Furthermore, the participants consented to audiovisual recording of the session and the camera and tripod were positioned prominently within the room, in direct view of the participant.

Cortisol assay

Saliva samples were collected using Sarstedt Cortisol Salivette® cotton swab collection tubes. Participants were asked to chew on the swabs for 2 minutes to stimulate saliva flow. Samples were stored at −20°C until analysis. The free salivary cortisol was measured using a commercially available enzyme-linked immunosorbent assay kit (Demeditec Diagnostics, Kiel, Germany, DES6611). The inter- and intra-assay coefficients of variation were below 10 and 7%, respectively.

5-HTTLPR genotyping

DNA was isolated from the saliva collected with the Salivette® device using an adapted version of the Qiagen Buccal Brush DNA purification kit. Purified DNA was amplified by polymerase chain reaction, using the following primers: forward, 5′-TGCGGGGG
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shown in Fig. 1 and Table 2 are presented in original units. The cortisol response to the Trier Social Stress Test (computed by subtracting the baseline measurement time point from the peak value 15 minutes post-stress) as a function of 5-HTTLPR genotype in female participants (* P < 0.05).

Table 2 Mean salivary cortisol response to the Trier Social Stress Test in each psychopathology and oral contraceptive group by 5-HTTLPR genotype

<table>
<thead>
<tr>
<th>5-HTTLPR genotype</th>
<th>LL</th>
<th>SL</th>
<th>SS</th>
</tr>
</thead>
<tbody>
<tr>
<td>Psychopathology</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>HC</td>
<td>9.40 (2.12)</td>
<td>5.72 (1.20)</td>
<td>4.22 (2.29)</td>
</tr>
<tr>
<td>Cluster C</td>
<td>8.45 (2.76)</td>
<td>5.37 (1.74)</td>
<td>8.01 (2.37)</td>
</tr>
<tr>
<td>BPD</td>
<td>5.40 (1.56)</td>
<td>1.47 (1.09)</td>
<td>2.44 (1.26)</td>
</tr>
<tr>
<td>Oral contraceptives</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Non-users</td>
<td>9.80 (1.85)</td>
<td>6.17 (1.27)</td>
<td>6.76 (1.89)</td>
</tr>
<tr>
<td>Users</td>
<td>5.45 (1.53)</td>
<td>2.66 (0.88)</td>
<td>2.74 (1.24)</td>
</tr>
</tbody>
</table>

HC, healthy controls; BPD, borderline personality disorder.

Statistics

Statistical analyses were performed using SPSS (IBM, version 21). Results are expressed as mean ± s.e.m., unless otherwise specified. A priori power analysis yielded an estimate that a total sample of 159 participants for cortisol responsivity would be required for the detection of an interaction with η² of ≥0.15 and power of 0.80, at a significance level of α = 0.05. Data per parameter were tested for normality of the distribution by visual inspection of quantile–quantile plots and Levene’s tests for homogeneity of variance. To meet the normality assumption, cortisol data were logarithmically transformed. For descriptive purposes, the mean data can be shown in Fig. 1 and Table 2 are presented in original units. The Hardy–Weinberg equilibrium was determined based on the total 5-HTTLPR sample (N = 151) using χ²-tests. Initial group comparisons between women with personality disorders and healthy controls were conducted using χ²-tests and analyses of variance (ANOVA). In subsequent analyses, main effects and interactions between the 5-HTTLPR genotype (bi-allelic genotype classification) and CTQ total scores on the cortisol stress response were assessed. The cortisol response to the TSST was computed by subtracting baseline cortisol from the peak value, 15 minutes after the stress test. In accordance with previous studies, we identified oral contraceptive use (non-users vs. users) and personality psychopathology (healthy controls vs. Cluster C personality disorder vs. BPD) as variables associated with altered cortisol reactivity, and therefore these variables were included as fixed factors in the analyses. Additional analyses were performed controlling for age, BMI and ethnicity to ensure the results were robust. Greenhouse–Geisser corrections were applied where appropriate and adjusted results are reported. Effect sizes were calculated by partial η². P-values <0.05 were considered to be statistically significant. Furthermore, ANOVAs were conducted across two subsamples of women with and without personality psychopathology. For control of the effects of the presence of PTSD and eating disorders on cortisol responses, the primary analyses were re-run to serially exclude each of these diagnostic groups individually.

Results

Sample characteristics of the participants classified by 5-HTTLPR genotype are shown in Table 1. Participants were divided on the basis of a bi-allelic classification (SS, SL and LL). Genotype frequencies were consistent with the Hardy–Weinberg equilibrium [χ² (1) = 0.43; P = 0.51]. No differences were observed across 5-HTTLPR genotypic classes regarding age, BMI, ethnicity, oral contraceptive use, personality psychopathology, CTQ total score or psychological distress score (P ≥ 0.10; Table 1).

We found a significant effect of the 5-HTTLPR genotype on cortisol responsivity to the TSST [F(2, 142) = 3.46, P = 0.03, η² = 0.05]. Specifically, LL allele carriers demonstrated the highest cortisol responsivity to psychosocial stress (post hoc pairwise analyses: LL > SL, P = 0.03; LL > SS, P = 0.07) (Fig. 1). Analysis of covariance

AATACTGGTAGG-3'; reverse, 3'-GAACGTGGGAGGCAG CAGAC-5'. Amplified DNA was separated by electrophoresis using a 2% agarose gel containing ethidium bromide. Electrophoresis was performed at 120 V and 100 mA for 2 h. The 5-HTTLPR genotype was determined based on the relative height and number of DNA bands.

[Table 1]

<table>
<thead>
<tr>
<th></th>
<th>Total (N = 151)</th>
<th>5-HTTLPR genotype</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>LL (n = 46)</td>
<td>SL (n = 71)</td>
</tr>
<tr>
<td>Age (s.d.)</td>
<td>28.29 ± 6.97</td>
<td>28.00 ± 6.03</td>
</tr>
<tr>
<td>BMI (s.d.)</td>
<td>23.13 ± 6.97</td>
<td>22.80 ± 3.20</td>
</tr>
<tr>
<td>Smokers (% yes)</td>
<td>23.2%</td>
<td>19.6%</td>
</tr>
<tr>
<td>Ethnicity (% White)</td>
<td>92.1%</td>
<td>89.1%</td>
</tr>
<tr>
<td>Oral contraceptives (% yes)</td>
<td>47.0%</td>
<td>41.0%</td>
</tr>
<tr>
<td>Psychopathology (% yes)</td>
<td>55.8%</td>
<td>56.5%</td>
</tr>
<tr>
<td>CTQ total score (s.d)</td>
<td>43.54 ± 12.62</td>
<td>45.59 ± 13.41</td>
</tr>
<tr>
<td>BSI total score (s.d)</td>
<td>0.77 ± 0.81</td>
<td>0.69 ± 0.59</td>
</tr>
</tbody>
</table>

BMI, body mass index; CTQ, Childhood Trauma Questionnaire; BSI, Brief Symptom Inventory.

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revealed no significant influence of the CTQ score on cortisol responsivity to the TSST \([F(1, 44) = 0.07, P = 0.80]\), nor was there a significant interaction of 5-HTTLPR with CTQ score \([F(2, 142) = 0.66, P = 0.52]\) (Fig. 2). To further examine whether cortisol responsivity varied as a function of the interaction between 5-HTTLPR and CTQ score, we performed additional independent analyses in the patient and healthy control samples. Analyses of the interaction between 5-HTTLPR and CTQ score revealed no significant interactions of cortisol responsivity in either patients \((P = 0.42)\) or controls \((P = 0.33)\). The main effect of the 5-HTTLPR genotype remained significant when controlling for personality psychopathology and oral contraceptive use, as well as when age, BMI and psychological distress were included as covariates. In addition, no effect of ethnicity was found on cortisol responsivity to the TSST. Women with BPD showed significantly lower cortisol responses to the TSST \([F(2, 141) = 3.28, P = 0.04, \eta^2 = 0.05]\), which was considered a significant main effect of personality psychopathology. Moreover, we observed a significant main effect of oral contraceptives \([F(1, 141) = 12.82, P < 0.0001, \eta^2 = 0.08]\) as women using oral contraceptives had significantly lower cortisol responsivity to the TSST.

To ensure that the observed effects of 5-HTTLPR on cortisol response were not influenced by sample stratification regarding personality psychopathology or contraceptive use, the relationship between 5-HTTLPR genotype and cortisol responsivity to the TSST was examined independently for each oral contraception and psychopathology group. No significant differences were found within both the oral contraception and psychopathology groups \((P \geq 0.12)\) (Table 2). Additional ANOVA analyses were repeated, excluding (1) participants with PTSD and (2) participants with an eating disorder. These analyses further supported both the magnitude and direction of the effects observed for the total sample, suggesting that the results cannot be explained by either comorbid PTSD or eating disorders within our sample \((PTSD: F(2, 140) = 2.34, P = 0.19\); eating disorder: \(F(2, 129) = 2.74, P = 0.21\)).

**Discussion**

The results of our study demonstrated that 5-HTTLPR genotype is significantly associated with cortisol responsivity to psychosocial stress in women. In particular, women with the LL genotype exhibited significantly higher free salivary cortisol responses to the TSST compared with women carrying at least one S allele. Furthermore, the association of the 5-HTTLPR genotype with cortisol responsivity was not moderated by the burden of early life adversity, as quantified by the CTQ.

Although our results are in line with those of Mueller et al.\(^{18}\) they are difficult to reconcile with other studies.\(^{11–17}\) However, gender-based influences might be one of the important factors mediating this distinction because most studies have not been designed to specifically address gender-based differences. Two earlier studies observed that cortisol responsivity to stress was particularly enhanced in female homoyzgos S allele carriers.\(^{11,12}\) In contrast, we observed a significant association in the opposite direction. Several reasons might be responsible for the differences between earlier studies and our study. First, there are notable differences in age. The majority of previous studies investigated particularly young cohorts, including newborns and adolescents,\(^{11,13–15,17}\) whereas our study included adult females with a mean age of 28 years, in a period of reproductive hormonal cycling that is highly distinct from children and young adolescents. A broad range of behaviours and effects on physiological systems are highly influenced by ovarian steroid functioning.\(^{24}\) In addition to the well-known effects of the menstrual cycle on HPA axis activity, several studies have suggested that ovarian steroids exert a strong influence on the serotonergic system.\(^{29,30}\) Therefore, the modulating effect of 5-HTTLPR on the cortisol response to stress might be different across qualitatively distinct, reproductive-age cohorts. Accordingly, age accounts for a substantial proportion of the variance across 5-HTTLPR genetic association studies of depression.\(^{31}\)

The influence of hormonal contraceptives containing ethinylestradiol should also be noted. Considering that the majority of women during their reproductive age rely upon hormonal contraceptives, we ensured that we were adequately powered to examine the influence of oral contraceptives. Our inclusion criteria required that oral contraceptives contained the most commonly used preparation of ethinylestradiol in combination with androgenic progestins. Cortisol responsivity was significantly attenuated in women using oral contraceptives. This finding is consistent with the well-established oestriadiol-induced increase in corticosteroid-binding globulin levels, thereby enhancing the buffering capacity of serum cortisol with a reduction of free cortisol availability.\(^{32}\) However, oral contraceptive use did not alter the association between 5-HTTLPR genotype and cortisol responsivity to the TSST. For future studies, an even greater emphasis on endogenous and exogenous hormones is needed to identify the underlying mechanisms by which hormonal status influences HPA axis functioning in women and the corresponding relationship to the serotonergic system.

More than half of the women included in our study were diagnosed with a personality disorder and seeking out-patient psychological treatment. Although our sample has notable distinctions from the majority of previously investigated samples, some of the
clinical features such as stress vulnerability are comparable to previous samples at high risk for depression. Indeed, we observed that altered HPA axis reactivity to stress was associated with personality psychopathology. Although the inclusion of women with personality disorder provided us with an opportunity to examine a wide range of childhood trauma severities, we acknowledge that evaluation of women with personality psychopathology likely complicates the interpretation of the direct relationship between 5-HTTLPR genotype and cortisol reactivity. It has previously been suggested that psychopathological state affects both the HPA axis and the serotonergic system. Nevertheless, when controlling for personality psychopathology, the main effect of 5-HTTLPR genotype on the cortisol response to the TSST was unchanged.

Regarding childhood trauma, our study conflicts with two earlier studies demonstrating that 5-HTTLPR genotype interacts with stressful life events in the cortisol response to psychosocial stress. However, it is important to note that the operational definition of adverse life events was somewhat different in our study than in these two previous studies. Alexander et al demonstrated that homozygous S allele carriers had significantly higher cortisol responsivity, but this was only observed in people with a high burden of stressful life events. Mueller et al demonstrated that individuals carrying the LL allele showed a higher cortisol response to stress than S allele carriers, but this pattern was reversed when individuals were exposed to three or more stressful life events during the first 5 years of life. Our findings highlight the lack of a significant interaction between 5-HTTLPR genotype and burden of early life events on the cortisol response to psychosocial stress. We employed the CTQ scale for the assessment of early life adversity. The CTQ is a retrospective self-report inventory, intended to measure childhood abuse or neglect during the first 15 years of life. Therefore, it is plausible that retrospective recollection and/or reporting biases of early adversities, most notably regarding the nature of the event and the neurodevelopmental period when the event occurred, might be a source of inconsistent findings. Unfortunately, we were not able to divide the CTQ score into more specific lifetime periods, nor to define whether the traumatic events were incidental or chronic. In addition, retrospective methods of assessment might result in impaired accuracy of answers due to recall bias. Nevertheless, good correlations have been reported between CTQ scores and clinician ratings obtained by semi-structured interviews. Furthermore, it has been suggested that sexual abuse and the 5-HTTLPR genotype have stronger effects on depressive symptoms than other forms of maltreatment. Therefore, subtypes of maltreatment may interact more specifically with genetic factors on HPA axis functioning and the aetiology of stress-related disorders. In addition, stressful events occurring in childhood have been shown to be more consistently associated with neurobiological changes than those limited to adulthood. Adversities in early childhood, compared with those limited to adulthood, have been demonstrated to interact with 5-HTTLPR as a predictor of clinical depression. However, a recently published collaborative meta-analysis casts doubt upon the effect size of any putative interaction between life stress and 5-HTTLPR genotype on increased risk of depression.

The TSST has repeatedly been shown to be a reliable tool for eliciting robust endocrine and cardiovascular responses in the vast majority of participants. Notably, some studies that used modified TSST protocols (e.g. by leaving out the presence of an evaluative audience) failed to observed an association between the 5-HTTLPR genotype and cortisol reactivity, suggesting the particular importance of the psychosocial stressor. However, several studies have demonstrated a significant impact of 5-HTTLPR on cortisol response to a mild stressor in psychologically vulnerable participants, which might suggest different relationships between genetic determinants and specific physiological and psychological processes.

Several limitations should be taken into account when evaluating our findings. We did not consider modulatory polymorphisms of the L allele, designated as LA and LC, which have been reported to provide different levels of transporter expression. The LC and S allele were demonstrated to have a similarly lower serotonin transporter expression compared with the LA allele. However, other studies found no significant differences between classifications based on inferred levels of transporter expression. Moreover, given that our study was focused specifically on women, it remains unknown to what extent these findings might extend to men. Our sample was also characterised by a limited ethnic heterogeneity; however, although women were primarily Dutch white Caucasian (92.1%), no significant differences were observed in the distribution of genotypes among ethnic groups. Although we enrolled a considerable sample of women (n = 151), it should be noted that the statistical power of our analyses was still relatively limited and should be regarded as exploratory.

Future studies are needed to clarify the potential contribution of biological and environmental factors to the HPA axis reactivity to stress, alongside the influence of 5-HTTLPR allelic variation.

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