# *R*<sub>1</sub>, PAIRWISE COMPACT, AND PAIRWISE COMPLETE SPACES

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1. The  $R_1$  axiom was first introduced by Davis in [1]. It is strictly weaker than the  $T_2$  axiom. Murdeshwar and Naimpally, in [4], have weakened the  $T_2$  hypothesis to  $R_1$  in some well-known theorems. We show that in many topological spaces the  $R_1$  axiom and regularity are equivalent. Also, the definition of local compactness given in [4] can be weakened to the usual definition and still get the same results.

The notion of a bitopological space was first introduced by Kelley in [3]. Fletcher, Hoyle, and Patty discuss pairwise compactness for bitopological spaces in [2]. One of our main results is that a bitopological space (X, P, Q) is pairwise compact if and only if each ultrafilter  $\nu$  on X, containing a proper P closed set and a proper Q closed set, has a common P and Q limit.

Finally, we discuss biquasi uniform spaces. Some results on these spaces are given by Murdeshwar and Naimpally in [5]. We define pairwise completeness and give some conditions under which pairwise compactness implies pairwise completeness. It is also shown that if  $(X, Q_1, Q_2)$  is a pairwise complete, pairwise Hausdorff space, then  $Q_1$  and  $Q_2$  induce the same topology on X.

## 2. The following definitions and theorems will be needed

DEFINITIONS. The topological space  $(X, \tau)$  is  $R_1$  if  $\bar{x} \neq \bar{y}$  implies that x and y have disjoint neighborhoods [4]. It is *locally compact* if every point has a closed compact neighborhood [4]. The collection of sets Q is a *quasi-uniformity* for X if it is a uniformity less the symmetry axiom [5].

If  $\tau_1$ ,  $\tau_2$  are two topologies on X, then  $(X, \tau_1, \tau_2)$  is a bitopological space [3]. The space is called *pairwise Hausdorff* if  $x \neq y$  implies there is a  $\tau_1$  open set and a  $\tau_2$  open set containing  $\{x\}$  and  $\{y\}$  respectively, which are disjoint [3]. It is *pairwise regular* if A is  $\tau_i$  closed with  $x \notin A$  implies that there are  $\tau_j$ ,  $\tau_i$  disjoint open sets containing A and  $\{x\}$  respectively,  $i \neq j$  [3]. It is called *pairwise compact* if each cover of X consisting of both nonempty  $\tau_1$  and  $\tau_2$  open sets has a finite subcover [2]. If  $Q_1$ ,  $Q_2$  are quasi-uniformities for X, then  $(X, Q_1, Q_2)$  is called a biquasi-uniform space [5]. A filter f on X is  $Q_1$ -Cauchy if for each  $\nu \in Q_1$  implies that there is an  $x \in X$  with  $\nu(x) \in f$  [5]. The space  $(X, Q_1, Q_2)$  is called *pairwise complete* if every  $Q_i$ -Cauchy filter on X has a  $Q_j$  cluster point,  $i \neq j$ .

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THEOREMS. The one point compactification of X is  $R_1$  iff X is  $R_1$  and locally compact [4]. A locally compact  $R_1$  space is completely regular [4]. An  $R_1$  paracompact space is regular and hence normal [4]. Thus a compact  $R_1$  space is normal. If X is  $R_1$ and  $A \subseteq X$  is compact with  $\overline{\{x\}} \cap A = \emptyset$ , then  $\{x\}$  and A have disjoint neighborhoods [4]. The  $R_1$  axiom is hereditary [4]. In an  $R_1$  space  $(X, \tau)$ ,  $\overline{x} \subseteq O$  for each  $x \in O \in \tau$ [4]. If A is a  $\tau_1$ -closed proper set in  $(X, \tau_1, \tau_2)$ , then A is  $\tau_2$ -compact if X is pairwise compact [2].

3. LEMMA. A locally compact (i.e. each point has a compact neighborhood)  $R_1$  space  $(X, \tau)$  has a neighborhood base at each point consisting of closed compact neighborhoods.

**Proof.** Let  $O \in \tau$  and  $x \in O$ . Then there is a compact neighborhood N of x with  $x \in O_N \subseteq N$  and  $O_N \in \tau$ . Hence  $\bar{x} \subseteq O \cap O_N$ . If  $N - (O \cap O_N) \neq \emptyset$ , then  $\bar{x}$  and  $N - (O \cap O_N)$  are nonempty disjoint N-closed sets. Since N is normal, then there exist disjoint N-open sets  $O_1$ ,  $O_2$  such that  $\bar{x} \subseteq O_1$ , and  $N - (O \cap O_N) \subseteq O_2$ . Thus  $\bar{O}_1^{(N)} \subseteq N - O_2 \subseteq O \cap O_N$ . But  $O_1 \subseteq O_N$  implies that  $O_1$  is  $\tau$ -open. We claim that  $\bar{D}_1^{(N)} = \bar{O}_1^{(X)}$ . Let  $y \in X - N$ . Then  $y \in X - O_N$  and hence  $\bar{y} \subseteq X - O_N$ . Thus  $\bar{y} \cap \bar{O}_1^{(N)} = \emptyset$ . Since  $\bar{O}_1^{(N)}$  is compact, then  $\{y\}$  and  $\bar{O}_1^{(N)}$  have disjoint neighborhoods. Hence our claim follows and thus  $x \in \bar{O}_1^{(N)} = \bar{O}_1^{(X)} \subseteq O$ . If  $N - (O \cap O_N) = \emptyset$ , then  $O_N = N$   $\subseteq O$ . If  $y \notin N$ , then  $\bar{y} \cap N = \emptyset$  and hence N is a closed, compact, neighborhood of x.

REMARK 1. From the above lemma, we see that the usual definition of local compactness could have been used in the above theorems of §2.

**THEOREM 1.** Let  $(X, \tau)$  be locally compact (usual definition). Then the following are equivalent:

- (1)  $\tau$  is  $R_1$
- (2)  $\tau$  is regular
- (3) the closed compact neighborhoods of each point is a neighborhood base.

**Proof.** This follows from the lemma and the fact that regularity implies  $R_1$ , which is easily established.

**REMARK 2.** From the results of §2, we easily see that  $R_1$  and regularity are equivalent in both paracompact and normal spaces.

One might conjecture that a complete  $R_1$  quasi uniform space is regular. The following example shows that even a  $T_2$  complete quasi uniform space is not necessarily regular.

Let X= reals, Q= rationals, I= irrationals, and

$$B = \{O_{x,\epsilon} = [(x-\epsilon, x+\epsilon) \cap Q] \cup \{x\} \mid \epsilon > 0\}.$$

Clearly *B* is a base for a topology  $\tau$  on *X*, which is  $T_2$ . Let  $x \in Q$ . Then  $\{x\}$  and *I* are disjoint closed sets and are not contained in disjoint open sets. Hence  $\tau$  is not regular. Let *T* be the quasi uniformity whose base is  $\{V_{\epsilon} = \bigcup_{x \in X} (\{x\} \times O_{x, \epsilon}) | \epsilon > 0\}$ .

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Then *T* is easily seen to be a quasi uniformity which induces  $\tau$ . Let  $\mathfrak{f}$  be a *T*-Cauchy filter on *X*. That is, for each  $V \in T$  there exists an  $x \in X$  such that  $V(x) \in \mathfrak{f}$ . We must show that  $\mathfrak{f}$  has a cluster point. There is an  $x_n \in X$  such that  $V_{2-n}(x_n)$  $= O_{x_{n,2}-n} \in \mathfrak{f}$  for each natural number *n*. Now  $x_n \to x$  in the usual sense. We claim that *x* is a cluster point of  $\mathfrak{f}$ . If not, then there is an  $O_{x,\epsilon} \in B$  and  $F \in \mathfrak{f}$  such that  $O_{x,\epsilon} \cap F = \emptyset$ . There is a natural number *m* such that  $O_{x_{n,2}-n} \subset O_{x,\epsilon} \cup \{x_n\}$  for each  $n \ge m$ . Hence  $O_{x,\epsilon} \cup \{x_n\} \in \mathfrak{f}$ . Since  $O_{x,\epsilon} \cap F = \emptyset$ , then  $F \cap (O_{x,\epsilon} \cup \{x_n\})$  $= \{x_n\} \in \mathfrak{f}$  for each  $n \ge m$ , which is a contradiction. Hence (X, T) is complete.

### 4. The emphasis here will be on pairwise complete spaces

THEOREM 2. If  $(X, Q_1, Q_2)$  is pairwise compact such that for each  $Q_i$ -Cauchy filter f on  $X, \overline{f}(Q_i) \neq \{X\}(i=1, 2)$ , then it is pairwise complete.

**Proof.** Let f be a  $Q_1$ -Cauchy filter on X. Then there is an  $F \in \mathfrak{f}$  with  $\overline{F}^{(Q_1)} \neq X$ . Hence  $\overline{F}^{(Q_1)}$  is  $Q_2$ -compact. If there does not exist a  $Q_2$ -cluster point x of  $\mathfrak{f}$ , then for each  $x \in \overline{F}^{(Q_1)}$  there is a  $V_x \in Q_2$  and  $F_x \in \mathfrak{f}$  such that  $V_x(x) \cap F_x = \emptyset$ . Thus there exists  $V_{x_1}, \ldots, V_{x_n}$  such that  $\bigcup_{i=1}^n V_{x_i}(x_i) \supset \overline{F}^{(Q_1)}$  and we have  $F \cap (\bigcap_{i=1}^n F_{x_i}) = \emptyset$ . This contradicts  $\mathfrak{f}$  being a filter. Hence  $\mathfrak{f}$  has a  $Q_2$ -cluster point and the theorem follows.

THEOREM 3. Let  $Q_1$ ,  $Q_2$  be uniformities on X with either  $Q_1 \neq (X \times X)$  and  $Q_2 \neq \{X \times X\}$ , or  $Q_1 = Q_2 = \{X \times X\}$ . If  $(X, Q_1, Q_2)$  is pairwise compact, then it is pairwise complete.

**Proof.** Clearly the latter part follows. Let  $Q_1 \neq \{X \times X\}$ ,  $Q_2 \neq \{X \times X\}$  and f a  $Q_1$ -Cauchy filter. Hence  $f \times f \ge Q_1$ . Let  $V \in Q_1$  with  $V \neq X \times X$  and such that V is  $Q_1$ -closed. There is an  $F \in f$  with  $F \times F \subseteq V$ . Hence  $\overline{F}^{(Q_1)} \times \overline{F}^{(Q_1)} \subseteq V$ . That is,  $\overline{F}^{(Q_1)} \neq X$ . Hence by Theorem 2, the result follows.

If  $(X, Q_1, Q_2)$  is pairwise compact and  $Q_1 = \{X \times X\}$ ,  $Q_2 \neq \{X \times X\}$  or vice-versa, then  $(X, Q_1, Q_2)$  may not be pairwise complete. Let X be a nonfinite set,  $Q_1 = \{X \times X\}$ ,  $Q_2 = \{V \subseteq X \times X \mid V \supset \Delta\}$ . Clearly  $(X, Q_1, Q_2)$  is pairwise compact. Let f be a filter on X with  $\bigcap_{F \in f} F = \emptyset$ . Hence  $\bigcap_{F \in f} \overline{F}^{(Q_2)} = \emptyset$ . Since every filter on X is  $Q_1$ -Cauchy, then  $(X, Q_1, Q_2)$  is not pairwise complete.

THEOREM 4. If  $(X, Q_1, Q_2)$  is pairwise Hausdorff and pairwise complete, then  $\tau_{Q_1} = \tau_{Q_2}$ . That is, the induced topologies are equal.

**Proof.** Let  $O_1 \in \tau_{Q_1}$ ,  $x \in O_1$ . If for each  $O_2 \in \tau_{Q_2}$  with  $x \in O_2$  implies that  $O_2 \cap (X - O_1) \neq \emptyset$ , then  $B = \{O_2 \cap (X - O_1) \mid x \in O_2 \in \tau_{Q_2}\}$  is a base for a filter f on X. Clearly f is  $Q_2$ -Cauchy. Hence f has a  $Q_1$ -cluster point, say  $x_1$ . Also  $x_1 \neq x$ . Hence there is a  $G_1 \in \tau_{Q_1}$ ,  $G_2 \in \tau_{Q_2}$  such that  $x_1 \in G_1$ ,  $x \in G_2$  and  $G_1 \cap G_2 = \emptyset$ . This contradicts  $x_1 \in \overline{G_2 \cap (X - O_1)}^{(Q_1)}$  and the theorem follows.

Pairwise Hausdorff cannot be dropped from the hypothesis of the above theorem. One can easily show that the following would be a counter-example. Let X be the closed interval [0, 1],  $Q_1 = \{X \times X\}$  and let  $Q_2$  be the usual uniformity on X. 5. THEOREM 5. The bitopological space (X, P, Q) is pairwise compact iff each ultrafilter v on X, with at least one proper P-closed subset and at least one proper Q-closed subset, P and Q converges to a common point.

**Proof.** Let (X, P, Q) be pairwise compact. If there is an ultrafilter  $\nu$  on X such that  $\nu$  has no P and Q common limit, then for each  $x \in X$  there is an  $O_x$ , either in P or Q, such that  $O_x \notin \nu$ . Hence  $X - O_x \in \nu$ . Let  $C = \{O_x \mid x \in X\}$ . If there are elements of C in both P and Q, then  $\bigcup_{i=1}^n O_{x_i} = X$  for some finite set. Hence  $\bigcap_{i=1}^n (X - O_{x_i}) \in \nu$ , which implies that  $\phi \in \nu$  and we have a contradiction. Next, assume all elements of C are in one topology, say P. Let  $A \in \nu$  such that A is a proper Q-closed subset of X. Hence A is P-compact and we have that  $\bigcup_{i=1}^n O_{x_i} \supset A$  for some finite set. This implies that

$$X - \bigcup_{i=1}^{n} O_{x_i} \subseteq X - A, \quad \text{with} \quad X - \bigcup_{i=1}^{n} O_{x_i} = \bigcap_{i=1}^{n} (X - O_{x_i}) \in v$$

which is a contradiction since  $A \in v$ . Hence the necessity follows.

Conversely, if (X, P, Q) is not pairwise compact then there is an open cover of X, say C, containing both nonempty P-open and Q-open sets that has no finite subcover. Let  $\delta = \{X - O \mid O \in C\}$ . Then  $(X - O_1) \cap (X - O_2) = X - (O_1 \cup O_2) \neq \emptyset$ . Thus  $\delta$  is a subbase for a filter f. Let  $\nu$  be an ultrafilter with  $f \subset \nu$ . Clearly  $\nu$  has proper P and Q closed subsets. Hence by hypothesis  $\nu P$  and Q converges to some  $x \in X$ . Let  $O \in C$  with  $x \in O$ . Hence  $O \in \nu$ ; however,  $X - O \in \nu$  and we have a contradiction. Hence (X, P, Q) is pairwise compact.

THEOREM 6. If the bitopological space (X, P, Q) is pairwise compact, then for each filter  $\mathfrak{f}$  on X there is an  $x \in X$  with x being a P-cluster point of  $\overline{\mathfrak{f}}^{(Q)}$  and a Q-cluster point of  $\overline{\mathfrak{f}}^{(P)}$ .

**Proof.** Let f be a filter on X. If  $\overline{f}^{(Q)}$  does not have a P-cluster point, then there is an  $F \in \mathfrak{f}$  with  $\overline{F}^{(Q)} \neq X$ . Hence  $\overline{F}^{(Q)}$  is P-compact. For each  $x \in \overline{F}^{(Q)}$  there is an  $O_x \in P$ ,  $F_x \in \mathfrak{f}$  with  $O_x \cap F_x = \emptyset$ . Let  $\bigcup_{i=1}^n O_{x_i} \supset \overline{F}^{(Q)}$ . Hence  $F \cap (\bigcap_{i=1}^n F_{x_i}) = \emptyset$ which is a contradiction. Consequently,  $\overline{\mathfrak{f}}^{(Q)}$  has a P-cluster point. Similarly  $\overline{\mathfrak{f}}^{(P)}$  has a Q-cluster point. If  $\mathfrak{f}$  has a proper P-closed subset and a proper Q-closed subset, then we easily see that the desired result follows from Theorem 5. If  $\mathfrak{f}$  does not have a proper P-closed subset, then  $\overline{F}^{(P)} = X$  for each  $F \in \mathfrak{f}$ . Hence every  $x \in X$  is Q-cluster point of  $\overline{\mathfrak{f}}^{(P)}$ . Since  $\overline{\mathfrak{f}}^{(Q)}$  has a P-cluster point, then the theorem follows.

The conclusion of Theorem 6 seems to be a reasonable, weaker definition of pairwise compactness. The converse of Theorem 6 is false as one sees from the following example. Let X=[0, 1] with P being the usual topology, Q the discrete topology. It is easily shown that (X, P, Q) is not pairwise compact but that the conclusion of Theorem 6 holds.

THEOREM 7. If (X, P) is compact, disconnected and (X, P, Q) is pairwise regular, then (X, Q) is disconnected.

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**Proof.** Let  $X = O_1 \cup O_2$  with both  $O_1$ ,  $O_2 \neq \emptyset$  and disjoint *P*-open subsets. Since (X, P, Q) is pairwise regular, then for each  $y \in O_1$  there is an  $O_y \in P$ ,  $G_y \in Q$  with  $y \in O_y$  and  $O_2 \subset G_y$ , where  $O_y \cap G_y = \emptyset$ . Now  $O_1$  is *P*-compact. Hence  $\bigcup_{i=1}^n O_{y_i} = O_1$  for some finite set and we have that  $\bigcap_{i=1}^n G_{y_i} = O_2 \in Q$ . Similarly  $O_1 \in Q$  and the result follows. Hence we have the following theorem.

THEOREM 8. If (X, P), (X, Q) are compact, (X, P, Q) is pairwise regular, then (X, P) is connected iff (X, Q) is connected.

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